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1 Assessing trichloromethane formation and control in algal-stimulated waters amended with
2 nitrogen and phosphorus

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26 **ABSTRACT**

27 Nitrogen (N) and phosphorus (P) enrichments can stimulate algal growth in drinking water
28 sources, which can cause increased production of disinfection byproduct (DBP) precursors.
29 However, the effect of systematic N and P enrichments on DBP formation and control has not
30 been adequately studied. In this work, we enriched samples from a drinking water source –
31 sampled on April 5, May 30, and August 19, 2013 – with N and P to stimulate algal growth at
32 N:P ratios covering almost five orders of magnitude (0.2-4,429). To simulate DBP-precursor
33 removal processes at drinking water treatment plants (DWTPs), the samples were treated with
34 ClO₂ followed by alum coagulation prior to free chlorine addition to assess the DBP formation
35 potential (FP). Trichloromethane (TCM) was the predominant DBP formed and the TCMFP was
36 the highest at intermediate N:P molar ratios (~10-50), which corresponded with the peak in algal
37 biomass, as measured by chlorophyll-*a* (Chl-*a*). Algal biomass was P-limited throughout the
38 study period, and co-limited by N for the August 19 sampling set. The differences in TCMFP
39 between the raw and treated waters decreased with increasing P amendment, indicating that ClO₂
40 and alum coagulation became less effective for TCM precursor removal as algal biomass
41 increased. This study highlights the impact of nutrient enrichments on TCM formation and
42 control and has implications for nutrient management strategies related to source water
43 protection and for DWTPs that use source waters increasingly enriched with N and P.

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47 Introduction

48 Despite the discovery of disinfection byproducts (DBPs) in chlorinated waters almost
49 four decades ago,¹ DBP control at drinking water treatment plants (DWTPs) remains an ongoing
50 challenge. DBPs are formed by reactions between disinfectants (*e.g.*, free chlorine and chlorine
51 dioxide) and natural organic matter (NOM). While over 600 individual DBPs have been
52 identified,² only 11 are regulated by the United States Environmental Protection Agency under
53 the Stage 2 Disinfectants/DBP Rule – four trihalomethanes (THMs), five haloacetic acids,
54 chlorite, and bromate.

55 DWTPs can draw from a two-pronged approach to curb formation of regulated DBPs: (1)
56 increase NOM removal, by processes such as enhanced coagulation in which more coagulant is
57 added than is necessary for turbidity removal,^{3,4} and (2) switch primary and/or secondary
58 disinfectants. One common primary disinfectant for DWTPs seeking to curb DBPs is chlorine
59 dioxide (ClO₂), which can improve NOM coagulation⁵ and does not react with NOM to form
60 THMs.⁶ However, the use of ClO₂ necessitates the addition of a secondary disinfectant, like free
61 chlorine, to maintain a residual throughout the distribution system. As such, DBPs such as THMs
62 can still form, but only after some NOM removal has occurred through the coagulation process.
63 The drawbacks of chlorine dioxide addition are that it is reduced to chlorite,^{7,8} a regulated DBP
64 that can be removed by the addition of ferrous salts, and that it may lyse algal cells and release
65 intracellular organic matter, a potential source of DBP precursors.⁹

66 It has long been recognized that DBP formation is impacted by nutrient loadings to
67 source waters. As urban and agricultural land use intensifies, nitrogen (N) and phosphorus (P)
68 enrichments can cause increases in algal biomass and productivity,¹⁰⁻¹² decreasing the
69 availability of pristine water supplies. Increased algal biomass and extracellular products¹³ can

70 react with disinfectants to form DBPs.¹⁴⁻¹⁷ In addition to elevated nutrients increasing algal
71 biomass, the ratio of N:P can influence the type of algae growing in lakes,^{18, 19} which also has
72 consequences for water quality. Eutrophic waters often have high algal productivity and lower
73 N:P ratios,²⁰ which favor nitrogen-fixing cyanobacteria, and can deteriorate water quality
74 through the production of toxins and taste-and-odor forming compounds.²¹ On the other hand,
75 oligotrophic lakes are often characterized by low productivity and high N:P ratios, conditions
76 under which cyanobacteria are rare and diatoms typically dominate the phytoplankton
77 community composition.

78 Despite these previous research efforts, comparatively little is known about DBP
79 formation and control in waters enriched across environmentally relevant gradients of N and P.
80 Such work is important to help guide nutrient management strategies and to assist DWTPs in
81 adapting DBP control processes for increasingly impaired water sources. The research objective
82 of this work was to assess the effect of algal growth driven by N and P enrichments on DBP
83 formation and control. Source water was sampled in the spring and summer 2013 from Beaver
84 Lake near a DWTP intake (Lowell, AR) and amended with N and P at various N:P ratios to
85 stimulate biomass growth. To simulate DBP-precursor removal processes at DWTPs, these
86 waters were subjected to ClO₂ oxidation and alum coagulation. After each treatment, the samples
87 were filtered and various DBP-precursor surrogate parameters were measured.²² The raw and
88 treated waters were chlorinated to assess the DBP formation potential (DBFP) as a function of
89 N and P amendments, and correlations were sought between DBFP and the various precursor
90 surrogate parameters.

91 **Materials and methods**

92 **Sampling Location and Nutrient Enrichment Experiments**

93 Source waters were collected from the transition zone of Beaver Lake Reservoir (Lowell,
94 AR) near the Beaver Water District (BWD) DWTP intake structure and used as an algae seed
95 culture. This reservoir provides drinking water and recreation opportunities for the Northwest
96 Arkansas region. It has an average depth of 18-m and an average hydraulic retention time of 1.5
97 years. Trophic conditions range from eutrophic at the mouth of the White River to oligotrophic
98 near the dam. The reservoir is also fed by Richland Creek, War Eagle Creek, and Brush Creek,
99 and comprises a total hydraulic catchment area of 300,000-ha of largely forested (69%) and
100 agricultural (26%) land.²³

101 Beaver Lake water was collected from a boat in the spring and summer of 2013 on April
102 5, May 30, and August 19. On each day, a 120-L composite sample was collected from across
103 the photic zone and transported to the University of Arkansas for bioassay experiments. Samples
104 were mixed and dispensed in 3-L aliquots into 4-L acid-washed plastic cubitainers. For each
105 sampling date, a total of 36 cubitainers were used for a nutrient enrichment experiment. The
106 nutrient enrichment bioassay experiment on each date was intended to create various nutrient-
107 amendment rates and various N:P ratios. A P enrichment gradient of 0, 0.025, 0.05, 0.075, 0.1,
108 and 0.2 mg L⁻¹ P as disodium hydrogen phosphate (Na₂HPO₄) along with 2 mg L⁻¹ nitrogen as
109 potassium nitrate (KNO₃) was created to achieve 6 triplicate N:P ratios of ~4429, 442, 177, 89,
110 44, and 22 by moles, respectively. A separate N enrichment gradient of 0, 0.1, 0.25, 0.5, and 1
111 mg L⁻¹ N (as KNO₃) along with 0.2 mg L⁻¹ P (as Na₂HPO₄) was created to achieve 5 triplicate
112 molar N:P ratios of ~0.22, 1.1, 2.8, 5.5, and 11.1, respectively. As such, the combined N:P ratio

113 gradient spanned almost five orders of magnitude, while the N and P enrichment gradients
114 spanned more than one order of magnitude each.

115 After N and P amendment, samples were placed in a 30°C water bath under artificial
116 lighting. Lights were controlled by a 12-hour on/off timer and measured to be 500 $\mu\text{mol photons}$
117 $\text{m}^{-2} \text{s}^{-1}$ during illumination. The cubitainers used were transparent and were inverted during
118 incubation to prevent shading from the opaque lids. Each cubitainer was opened to the
119 atmosphere and shaken daily by hand to aid in aeration and minimize attached growth. Algal
120 biomass was estimated daily as raw water fluorescence measurements using a Turner Design
121 Trilogy fluorometer (Turner Designs, Sunnyvale, CA) at 880 nm. Once the samples had achieved
122 their maximum biomass (~4 days), the cubitainers were shaken vigorously and 2-L were poured
123 into prepared HDPE containers. These containers were stored in the dark at 4°C for DBPFP
124 experiments. The remaining cubitainer volume was divided evenly for analyses of phytoplankton
125 biomass and particulate nutrients. Aliquots were filtered onto Whatman glass fiber filters (GFFs)
126 and stored frozen for measurement of phytoplankton biomass as extracted chlorophyll-*a* (Chl-*a*).

127 Chl-*a* was measured to estimate phytoplankton biomass according to Standard Methods
128 10200 H,²⁴ with modifications. One filter from each sample was protected from light and
129 transferred to a 15 mL test tube containing 7 mL of 90% acetone solution. The samples were
130 placed in a dark freezer for 24 hours to further enhance pigment extraction. In a dark room, 3 mL
131 of each sample extract were then transferred into disposable test tubes and were analyzed using
132 the Turner Design fluorometer at 880 nm. To adjust for the chlorophyll degradation product
133 pheophytin, each sample was re-measured 90 seconds after addition of 0.1 mL of 0.1 N HCl.

134 **Water Quality Tests**

135 Laboratory glassware and plastic ware were prepared in accordance with previous
136 work.²⁵ All stock chemicals used were ACS grade, and aqueous solutions were made with Milli-
137 Q water (18.2 M Ω -cm) generated by a Millipore Integral 3 (Billerica, MA) water purification
138 system. The pH and turbidity of the raw waters were measured using equipment and methods
139 described previously.²⁵ Prior to measurement of dissolved organic carbon (DOC) and ultraviolet
140 (UV) absorbance, samples were filtered through prepared 0.45- μ m nominal pore size
141 polyethersulfone (PES) membranes. These filters were prepared by rinsing with 500-mL of
142 Milli-Q water prior to use.⁸ The first 25-mL of filtered sample was wasted for each new filter, to
143 minimize organic carbon adsorption. Filtered samples were then stored in 250-mL amber glass
144 screw top bottles in the dark at 4°C. DOC analysis was performed on a Sievers 900 Portable
145 Total Organic Carbon Analyzer (GE Analytical Instruments, Boulder, CO). UV absorbance
146 scans from 600- to 270-nm were performed on a Shimadzu UV-Vis 2450 (Kyoto, Japan)
147 spectrophotometer using a 1-cm path length low volume quartz cell.

148 **Chlorine Dioxide Preparation**

149 Chlorine dioxide was generated using methods described previously.²⁶ Before dosing,
150 raw water samples were poured into prepared 1-L amber glass screw top bottles and placed in a
151 water bath at 24°C. The stock chlorine dioxide concentration was measured by absorptivity at
152 360-nm after dilution with Milli-Q water, using an assumed molar absorptivity of 1,225 M⁻¹ cm⁻¹.
153 The nutrient amended samples generated from source water collected on May 30, 2013 were
154 dosed with chlorine dioxide at 1 mg L⁻¹, whereas the August 19 samples were dosed at 2 mg L⁻¹.
155 After dosing, samples were capped headspace-free and placed in the dark at room temperature
156 for 24 hours.

157 Alum Coagulation Jar Tests

158 After the chlorine dioxide dosing and hold time, 500-mL aliquots of each sample water
159 were alum coagulated in square-bottom plastic jars equipped with 5-cm magnetic PTFE stir bars
160 with ring-collared ends on an eight-position magnetic stir plate (Challenge Technology,
161 Springdale, AR). Samples were mixed at 200 rpm to simulate rapid mix conditions prior to the
162 simultaneous addition of alum (aluminum sulfate octadecahydrate) as a coagulant and sodium
163 carbonate to aid in pH control. May 30 samples were dosed with 40 mg L⁻¹ alum and 25 mg L⁻¹
164 sodium carbonate, while August 19 samples were dosed with 80 mg L⁻¹ alum and 85 mg L⁻¹
165 sodium carbonate. After 30 seconds of rapid mix (~200 rpm), the jars were moved to an adjacent
166 eight-position magnetic stir plate for flocculation at 40 rpm for 30 minutes. The samples were
167 then allowed to settle quiescently for at least 30 minutes before decanting. The supernatant was
168 characterized and filtered as described in the *Water Quality Tests*, then used for subsequent
169 experiments as detailed in the remainder of this section.

170 Fluorescence Measurements

171 Fluorescence excitation-emission matrices (EEMs) were collected for every raw and
172 treated water sample (244 EEMs). Excitation wavelengths ranged from 225- to 400-nm in 1 nm
173 step sizes and emission data was collected from 270- to 600-nm in 1 nm step sizes, resulting in a
174 total of 58,256 fluorescence intensity values, $I_{Ex/Em}$, per EEM. Scatter correction methods used
175 were described previously.^{25, 27} For the group of 244 EEMs, each $I_{Ex/Em}$ pair was regressed
176 against the DBPFP data using an in-house MATLAB[®] code.

177 In addition to the pair-picking procedure, EEM data was modeled with PARAFAC
178 analysis, following methods described previously.²⁵ Of the 244 EEM sample set, one sample was
179 classified as an outlier and removed from the dataset based on high leverage and apparent

180 measurement error.²⁸ A 5-component model was validated using split-halves analysis as detailed
181 previously,²⁵ and fluorescence maximum (F_{MAX}) values from each component and EEM were
182 used in DBPFP regression analyses.

183 **Disinfection Byproducts**

184 The DBPFP was measured following Standard Methods 5710 B.²⁴ Filtered samples were
185 poured into 125-mL amber glass bottles and buffered with a phosphate solution to pH 7.0 ± 0.2 .
186 Sodium hypochlorite stock solution was standardized following Standard Methods 4500-C1 B,
187 and then diluted to a lower concentration (between 2- and 4 g L⁻¹ as Cl₂) for dosing with a
188 micropipette. The free chlorine dose required to achieve 7-day chlorine residuals of 3- to 5 mg L⁻¹
189 as Cl₂ was estimated based on raw water DOC. Free chlorine doses were stair-stepped with
190 nutrient loading and ranged from 9- to 22 mg L⁻¹ as Cl₂. After addition of free chlorine, samples
191 were capped headspace-free and placed in the dark at room temperature. After seven days, the
192 chlorine residual was measured. Standards of free chlorine were prepared and analyzed with
193 DPD total chlorine reagent powder pillows (Hach Company) and a spectrophotometer
194 (Shimadzu UV-Vis 2450) across a measurement range of 1- to 7 mg L⁻¹ as Cl₂ ($n = 5$, $r^2 = 0.99$,
195 data not shown). An aliquot of sample was wasted before gently inverting the bottle three times,
196 to minimize possible sample stratification. Precisely 5 mL of sample was pipetted into 5 mL of
197 Milli-Q water for measurement of chlorine residual to measure high residuals.

198 Precisely 30 mL of the remaining sample was withdrawn for DBPFP testing as described
199 previously²⁹, with modifications. Two additional standard curve concentrations (150 $\mu\text{g L}^{-1}$ and
200 200 $\mu\text{g L}^{-1}$) were added to encompass higher trichloromethane (TCM) yields. Blanks and check
201 standards were analyzed every 18 injections for quality control and 90% of check standards were

202 within $\pm 20\%$ of the standard concentration, and all check standards were within $\pm 25\%$, which is
203 considered to be acceptable based on EPA 551.1.

204 **Results and Discussion**

205 **Algal biomass, nutrient concentrations, and N:P ratios**

206 Algal biomass, measured as Chl-*a*, increased proportionally along the P enrichment
207 gradient when N availability was high in experiments from all three months (Fig. 1a). Similarly,
208 algal biomass increased along the N enrichment gradient when P availability was high in the
209 August 19 experiment only (Fig. 1b). As a result, there was an obvious pattern in algal biomass
210 along the experimental N:P gradient (Fig. 1c). For the May 30 and August 19 samples, algal
211 biomass was greatest at intermediate N:P (~ 5 - 50 by moles) and decreased substantially when the
212 molar N:P ratio exceeded ~ 80 , indicating P-limiting conditions. These results indicate that P was
213 at least partially controlled algal biomass in Beaver Lake throughout the summer of 2013.
214 Nitrogen exerted little control on algal biomass in spring, but partially controlled algal biomass
215 in August (Fig. 1b). These results are consistent with previously reported patterns showing the
216 seasonal transition between P- and N-limited algal growth in southern U.S. river impoundment
217 reservoirs.^{30, 31}

218 **Water Quality Tests**

219 Raw water quality results for the April 5 sample collection are shown in Table 1. DOC
220 increased with P dose from an average of 2.26- to 2.77 mg L⁻¹ as C, suggesting the increased
221 algal biomass (Fig. 1a) augmented the DOC by release of extracellular organic matter. While
222 UV₂₅₄ increased with P dose, the average SUVA decreased from 1.89- to 1.81 mg L⁻¹ m⁻¹,
223 indicating the DOC produced was not enriched with aromatic carbon. This is a noteworthy result
224 given the aromatic carbon fraction has been shown to be a significant source of THM

225 precursors.³² In contrast with the trends in P dose, DOC, UV_{254} , and SUVA did not change
226 across the range of N doses. Taken together, these results suggest P-limited growth for the April
227 5 sampling set, which is consistent with the biomass data (Fig. 1). The free chlorine residuals
228 after 7 days (FC-7d) were between 4- and 7 mg L⁻¹ as Cl₂, with no trends based on the N or P
229 dose.

230 Raw and treated water quality results for the May 30 sample collection are shown in
231 Table 2. Similar to the April results, raw water DOC increased with P dose from an average of
232 3.99- to 4.91 mg L⁻¹ as C and did not increase uniformly with N dose, indicating P-limited
233 growth. For all twelve N and P doses, ClO₂ treatment increased the average DOC and decreased
234 the average SUVA, suggesting algal cells were lysed by ClO₂ oxidation and released intracellular
235 organic matter with relatively low aromatic carbon content, similar to previous results.³³
236 Subsequent alum coagulation decreased the average DOC below their corresponding raw waters
237 in all 6 cases across the P gradient, but only in 3 of 5 cases across the N gradient. This indicates
238 that DOC produced by N enrichment was more resistant to removal by alum coagulation. It is
239 worth noting that the average FC-7d residuals in Table 2 were between 10- and 16 mg L⁻¹ as Cl₂,
240 above the target window of 3-5 mg L⁻¹ as Cl₂ for the DBPFP tests. Ongoing experiments in our
241 laboratory suggest these higher residuals will enhance formation of chlorinated THMs at the
242 expense of bromine-substituted species and haloacetonitriles.

243 Raw and treated water quality results for the August 19 sample collection are shown in
244 Table 3. For the P-gradient, the raw water DOC ranged from 2.96- to 3.35 mg L⁻¹ as C, but in
245 contrast to April and May samples only increased for the two highest P doses (100- and 200 µg
246 L⁻¹). No discernible trends in average DOC were apparent across the N gradient, although Fig. 1b
247 indicates N was co-limiting for the August 19 samples. ClO₂ treatment increased the average

248 DOC and decreased the average SUVA, supporting the previous results (Table 2) that lysis of
249 algal cells occurred and released DOC depleted in aromatic carbon. Subsequent alum
250 coagulation decreased the average DOC relative to their corresponding raw waters for all 11
251 nutrient amended samples. The ranges of the average SUVA for raw, ClO₂-treated only, and
252 ClO₂+alum coagulated waters were 1.54-1.70 mg L⁻¹ m⁻¹, 1.20-1.36 mg L⁻¹ m⁻¹, and 1.28-1.61
253 mg L⁻¹ m⁻¹. The modest increase in SUVA following alum coagulation of ClO₂-treated waters for
254 all 11 samples was unexpected and suggests that alum coagulation preferentially removed the
255 less aromatic DOC. FC-7d residuals ranged from 5- to 9 mg L⁻¹ as Cl₂, more inline with the
256 target residual for the DBPFP tests (3-5 mg L⁻¹ as Cl₂) compared to the April samples (Table 2),
257 but nevertheless relatively high, which, as stated previously, favors the formation of chlorinated
258 THMs.

259 **DBPFP Tests**

260 As expected based on the high free chlorine residuals (Tables 1, 2, and 3)
261 trichloromethane (TCM) was the predominant DBP formed, comprising 89-98% by mass of the
262 total THMs (data not shown). Additionally, other DBPs quantified as part of EPA 551.1, such as
263 dichloroacetonitrile, formed at relatively low concentrations (below 1.76 µg L⁻¹) and, as a result,
264 further discussion is focused on TCM only. TCMFP results are presented in Fig. 2, organized by
265 sample month (April 5, May 30, and August 19) and nutrient amendment (N or P). The relatively
266 high raw water TCMFP concentrations for the May 30 samples (approximately 50 µg L⁻¹ higher
267 than the April 5 and August 19 samples) are likely due to the comparatively high FC-7d values
268 (Tables 1, 2, and 3), rather than a greater abundance of TCM precursors. For the April 5 samples,
269 the average TCMFP did not change across the N amendment (Fig. 2a), but increased 13% across
270 the P amendment (from 90.0 to 102.8 µg L⁻¹, Fig. 2b). For the May 30 samples, the average

271 TCMFP in raw waters showed similar trends, with no increase across the N amendment (Fig.
272 2c), and an increase of 15% across the P amendment (from 165.7- to 195.1 $\mu\text{g L}^{-1}$, Fig. 2d). For
273 the August 19 samples, by contrast, the average TCMFP in the raw waters increased 18% across
274 N amendment (from 103.9- to 126.9 $\mu\text{g L}^{-1}$, Fig. 2e), and 9% across the P amendment (from
275 106.8- to 117.3 $\mu\text{g L}^{-1}$, Fig. 2f). For the raw water samples, TCMFP was greatest at intermediate
276 values of the experimental N:P gradient (\sim 10-50 by moles, Fig. 3a), which corresponded with the
277 greatest algal biomass across all experiments (Fig. 1c). Thus, TCMFP was positively correlated
278 with algal biomass as Chl-*a* in all experiments, with the steepest and strongest relationship
279 occurring for the May 30 samples (Fig. 3b).

280 Treatment of raw waters occurred for the samples collected on May 30 and August 19
281 only. The May 30 samples were treated with ClO_2 at 1 mg L^{-1} and an alum dose of 40 mg L^{-1} ; to
282 achieve greater TCM precursor removal, both of these doses were doubled for the August 19
283 samples. Fig. 2c shows that treatment with 1 mg L^{-1} ClO_2 increased the average TCMFP relative
284 to the raw waters for the lowest two N amendments, and was similar to the raw waters for the
285 higher N doses. Fig. 2d shows this same dose of ClO_2 had little impact on TCMFP across the P
286 amendment. This result indicates that the aromatic carbon depleted DOC released by ClO_2
287 treatment (Table 2 – DOC and SUVA), was not a significant source of TCM precursors. For
288 August 19 samples, a ClO_2 dose of 2 mg L^{-1} decreased the average TCMFP by 20-30 $\mu\text{g L}^{-1}$
289 across the N amendments (Fig. 2e) and 22-47 $\mu\text{g L}^{-1}$ across the P amendments (Fig. 2f). Further,
290 Fig. 2f shows that the differences in TCMFP between the raw and ClO_2 treated samples
291 decreased with increasing P amendment, presumably because the biomass produced (Fig. 1a)
292 exerted a demand for ClO_2 , more so than directly contributing to the TCM precursor pool.

293 Alum coagulation following ClO₂ treatment lowered the average TCMFP, an expected
294 result based on previous research.²⁶ The one exception to this trend occurred for the May 30
295 samples at an N amendment of 1000 µg L⁻¹ (Fig. 2c), in which the average TCMFP values were
296 similar for both treatments. Fig. 2d shows that alum coagulation decreased the average TCMFP
297 by 34-64 µg L⁻¹ compared to ClO₂-only, but the difference between treatments decreased as the
298 P amendment increased. For the August 19 samples, alum coagulation decreased TCMFP by 10-
299 20 µg L⁻¹ relative to ClO₂-only for both nutrient amendments (Fig. 2e and f). The implication of
300 this result for DWTPs is that ClO₂ pre-oxidation and alum coagulation may be less effective for
301 removal of TCM precursors as source waters become more nutrient enriched.

302 To further explain the TCMFP data, correlations were sought with known TCM precursor
303 surrogate parameters (*e.g.*, UV₂₅₄, DOC, I_{Ex/Em}, and PARAFAC component F_{MAX} values). For
304 this dataset, I_{344/425} and F_{MAX} from Component 2 (Table 4) were the most strongly correlated
305 fluorescence metrics (I_{Ex/Em} correlation results not shown). Fig. 4 shows correlations ($p < 0.001$)
306 between TCMFP and (i) DOC ($r^2 = 0.72$, Fig. 4a), (ii) UV₂₅₄ ($r^2 = 0.88$, Fig. 4b), (iii) I_{344/425} ($r^2 =$
307 0.62, Fig. 4c), and (iv) C2 F_{MAX} ($r^2 = 0.61$, Fig. 4d). A weaker correlation was found between
308 TCMFP and SUVA ($r^2 = 0.57$, data not shown), an expected result given that SUVA is an
309 intensive property. Data presented in Fig. 4 includes all samples and treatments except seven
310 samples (out of 244) that were determined to be outliers – five of these samples had TCM
311 concentrations that were 150% greater (*e.g.*, 300-700 µg L⁻¹) than the highest value in the GC
312 standard curve, one sample had no measurable FC-7d residual, and the other sample was
313 determined to be an outlier during the PARAFAC modeling process. The comparatively strong
314 TCMFP:DOC correlation ($r^2 = 0.72$, Fig. 4a) was unexpected because ClO₂ treatment increased
315 DOC (Tables 2 and 3) but decreased TCMFP (Fig. 2). The high TCMFP:UV₂₅₄ correlation ($r^2 =$

316 0.88, Fig. 4b) is in agreement with prior research,³⁴ supporting the contention that released DOC
317 from nutrient stimulated biomass was both low in aromatic carbon and did not contribute
318 significantly to the pool of TCM precursors. The comparatively weak correlations between
319 TCMFP and the fluorescence metrics (Fig. 4c and 4d) were unexpected based on previous
320 research^{26, 29} and suggest that dissolved species present in the samples from the nutrient
321 enrichments (*e.g.*, algal extrudates and intracellular organic matter) may have interfered with
322 fluorescence measurements more so than UV₂₅₄.

323 **Conclusions**

324 The experiments presented here demonstrate that nutrient-driven increases in algal
325 biomass reduced the effectiveness of two common DBP-control measures, ClO₂ oxidation and
326 alum coagulation. Algal biomass in nutrient amended waters was shown to be P-limited for the
327 April 5, May 30, and August 19 sampling sets, with an N co-limitation for the August 19
328 samples. For the nutrient amended raw waters, algal biomass, measured as Chl-*a*, was a
329 maximum at molar N:P ratios of ~10-50, which following chlorination corresponded to a
330 measurable increase in the TCMFP. Oxidation of the sample waters with chlorine dioxide
331 increased the DOC with aromatic-depleted compounds that were not significant TCM precursors.
332 Across the experimental P-gradient, the differences in TCMFP between the raw and ClO₂+alum
333 coagulated waters decreased with increasing P amendment, indicating the algal biomass exerted
334 a demand for ClO₂ and alum. Results from this study can be used to guide nutrient management
335 strategies for source water protection and can be used by DWTPs to assess the impact of N and P
336 enrichments on TCM formation and control.

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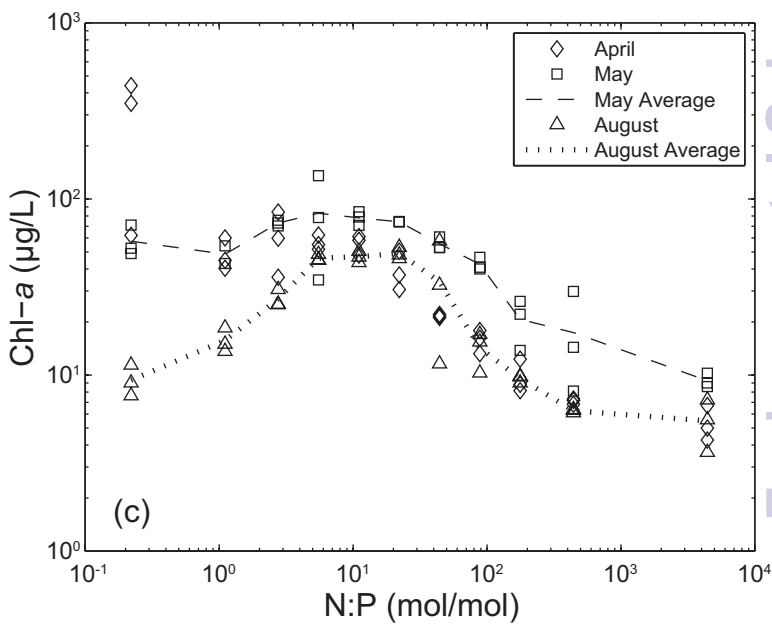
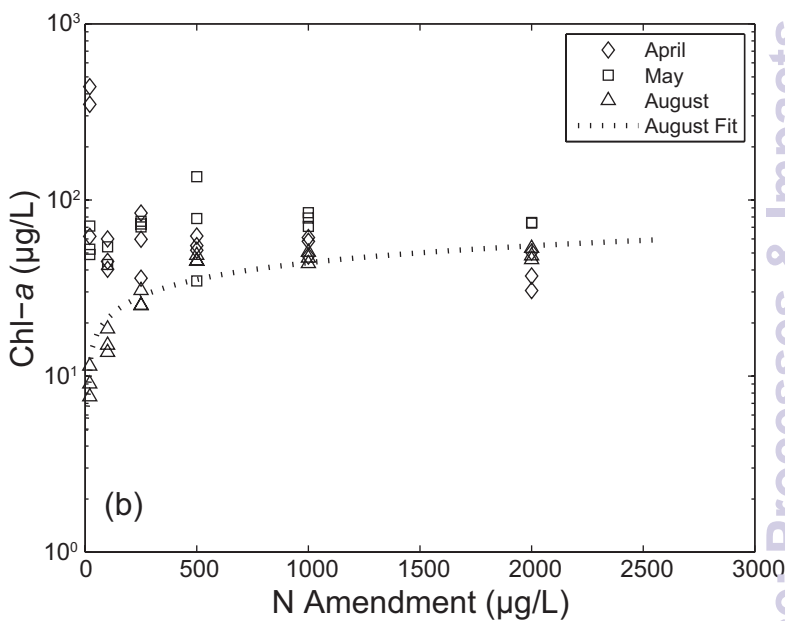
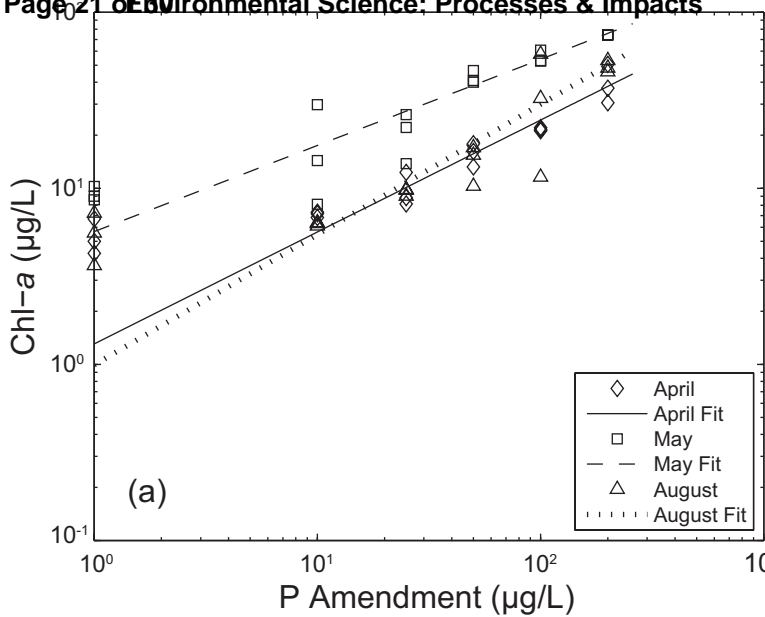
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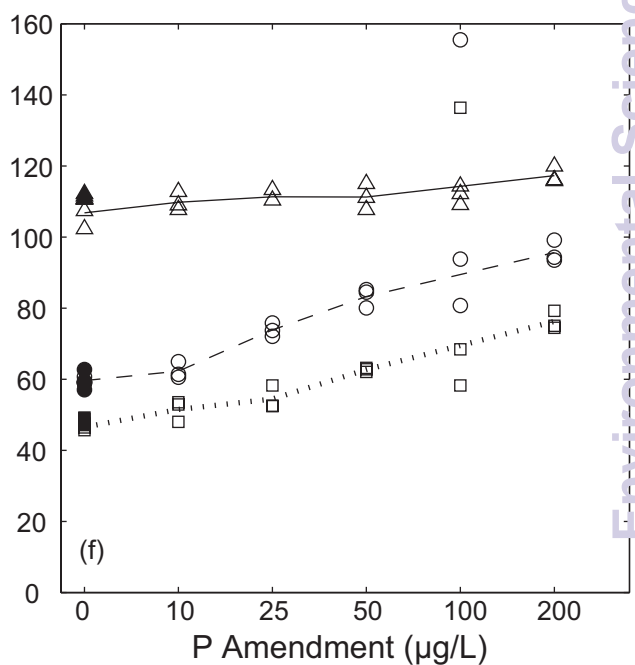
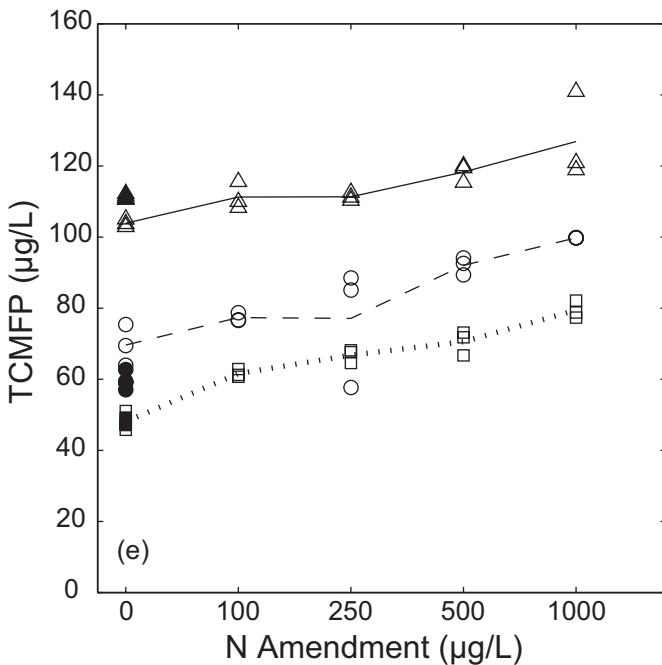
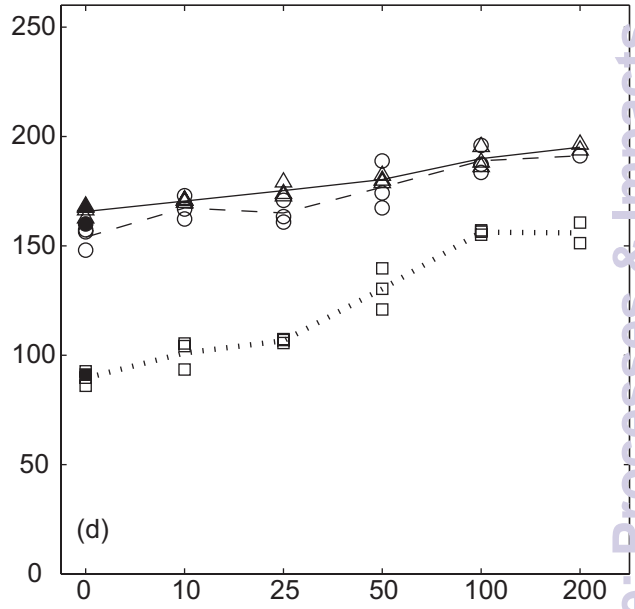
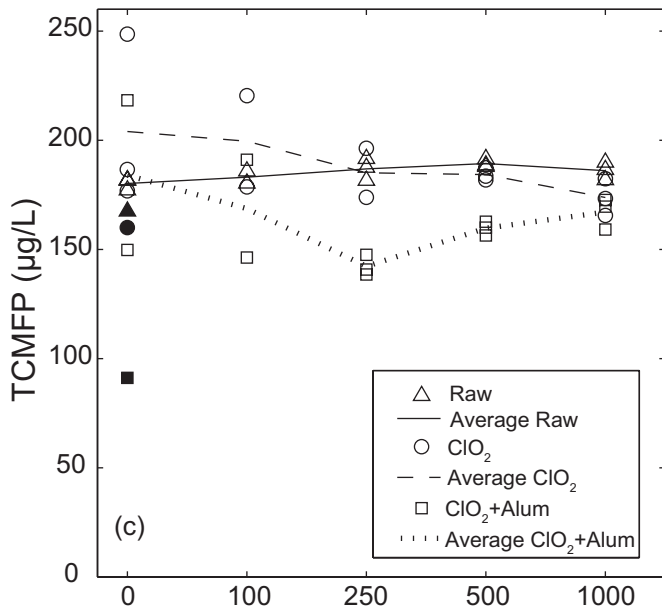
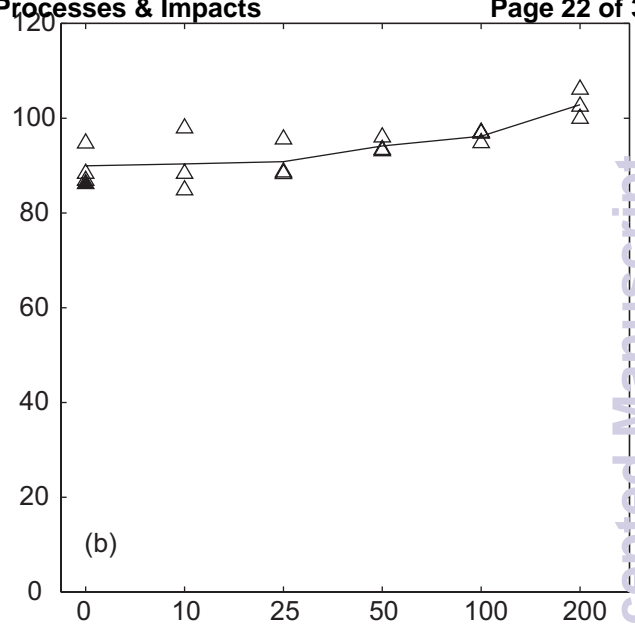
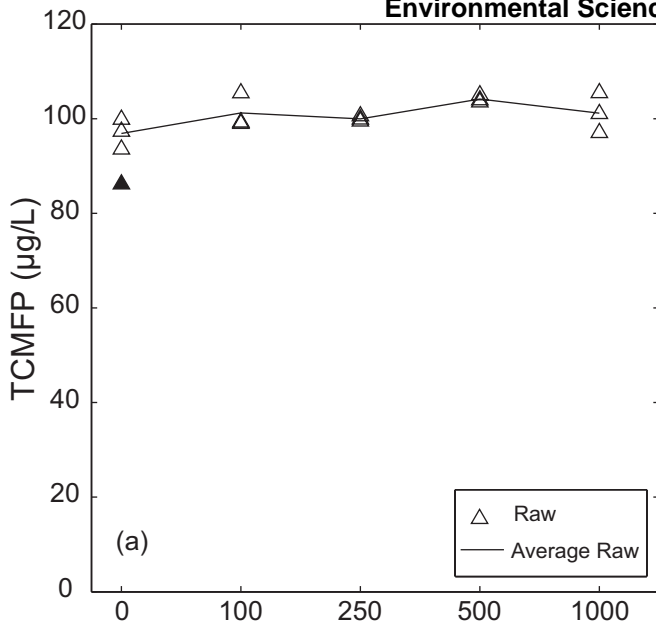
Fig. 1 – Chlorophyll-*a* (Chl-*a*) of the raw water samples as a function of the (a) P amendment gradient with constant N ($2,000 \text{ mg L}^{-1}$) on a log-log basis, (b) N amendment gradient with constant P (200 mg L^{-1}) on a semi-log basis, and (c) molar N:P ratio of all samples on a log-log basis. Lines in panels (a) and (b) represent the least squares best fit and lines in panel (c) represent triplicate averages for the May 30 and August 19 sample collection. See Table 1 for details on N:P ratio.

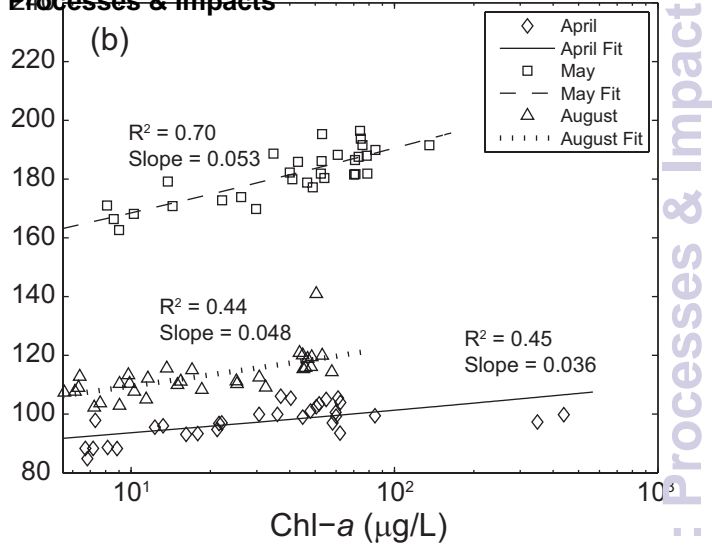
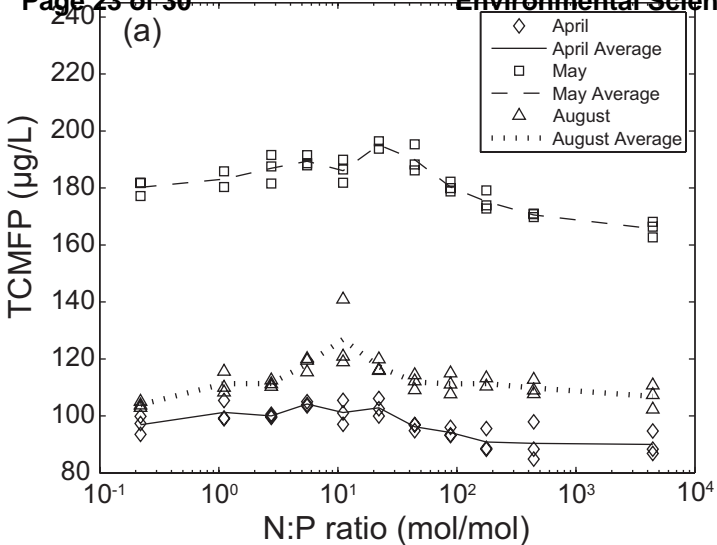
Fig. 2 – Trichloromethane formation potential (TCMFP) as a function of nitrogen (N) and phosphorus (P) amendments for (a) and (b) April 5 raw water, (c) and (d) May 30 raw and treated waters (ClO_2 dose of 1 mg L^{-1} as Cl_2 and alum dose of 40 mg L^{-1}), and (e) and (f) August 19 raw and treated waters (ClO_2 dose of 2 mg L^{-1} as Cl_2 and alum dose of 80 mg L^{-1}). The P dose for all N-amended samples was 200 mg L^{-1} and the N dose for all P-amended samples was $2,000 \text{ mg L}^{-1}$. Lines represent triplicate averages for a given amendment for all observations except the August 19 P = 100 mg L^{-1} dose, which was excluded. Filled markers represent blank samples without any nutrient amendment.

Fig. 3 – Trichloromethane formation potential (TCMFP) for the raw water samples amended with nitrogen (N) and phosphorus (P) for the April 5, May 30, and August 19 samples as a function of the (a) log-molar N:P ratio, where N and P represent the applied doses and (b) chlorophyll-*a* (Chl-*a*). Lines in panel (a) represent triplicate averages for each sample collection and lines in panel (b) represent the least squares best fit. See Table 1 for details on N:P ratio.

Fig. 4 – Correlations between trichloromethane formation potential (TCMFP) and (a) DOC, (b) UV_{254} , (c) $I_{344/425}$, (d) C2 F_{MAX} . Linear best-fit models (solid lines) were determined based on least-squares analyses of raw (R), chlorine dioxide treated (C), and chlorine dioxide treated and alum coagulated (CA) waters from the April 5, May 30, and August 19 sampling collections. Dashed lines encompass the upper and lower 95% prediction intervals for the linear models. DOC is the dissolved organic carbon, UV_{254} is the ultraviolet absorbance at 254 nm, $I_{344/425}$ is the fluorescence intensity at an excitation of 344 nm and an emission of 425 nm, and C2 F_{MAX} is the maximum fluorescence intensity for PARAFAC Component 2 (see Table 4 for description of the fluorescence-PARAFAC components). Seven samples (out of 244) were excluded from this figure because they were determined to be outliers as described in the Results and Discussion – DBPFP section.







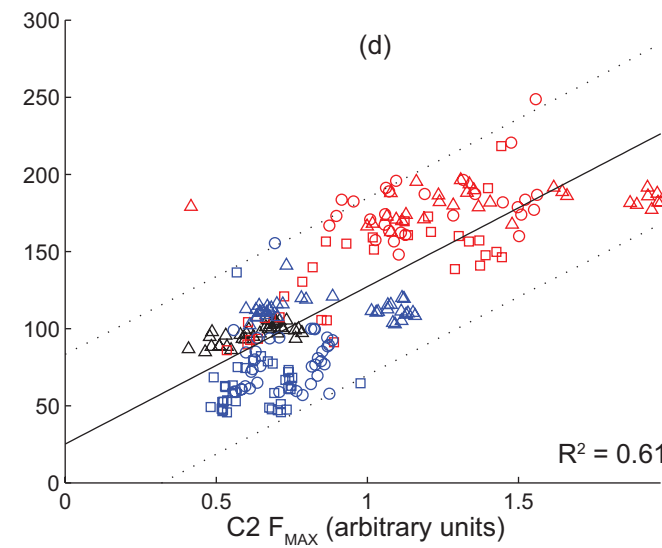
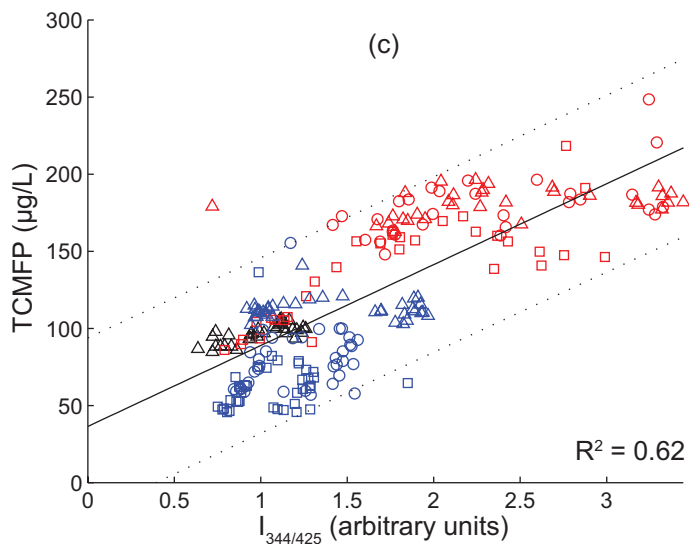
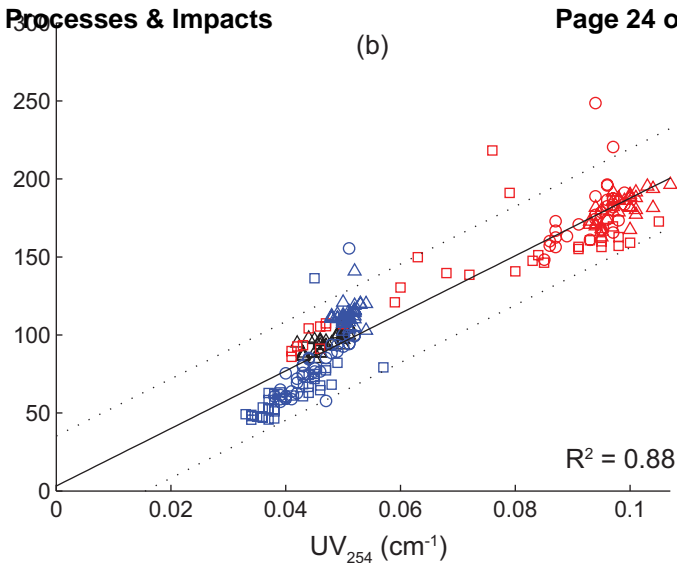
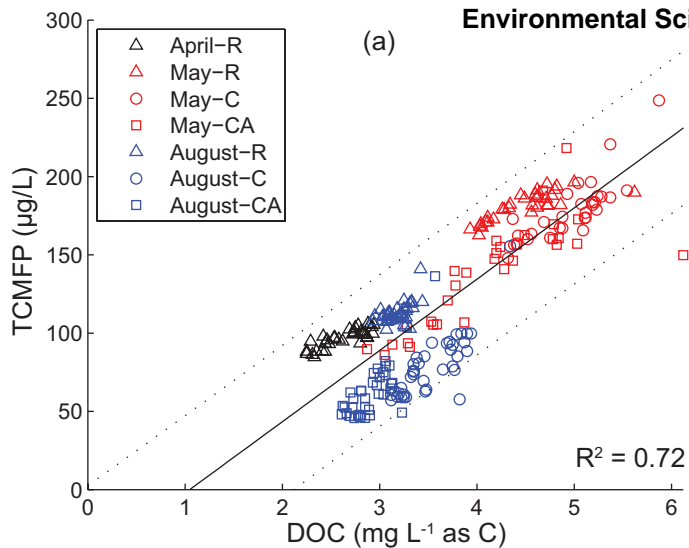


Table 1 – Nitrogen and phosphorus doses and raw water quality data for April 5, 2013 sample collection.

N Dose ($\mu\text{g L}^{-1}$)	P Dose ($\mu\text{g L}^{-1}$)	N:P (mol/mol)	DOC (mg L^{-1})	UV ₂₅₄ (m^{-1})	SUVA ($\text{mg L}^{-1} \text{m}^{-1}$)	FC Dose/FC-7d (mg L^{-1} as Cl ₂)
0	0	NA	2.31	4.3	1.86	9/5.22
2000	0	4429	2.26 ± 0.02	4.3 ± 0.1	1.89 ± 0.04	9/5.59 ± 0.13
2000	10	442.3	2.37 ± 0.05	4.5 ± 0.1	1.89 ± 0.06	10/6.02 ± 0.04
2000	25	176.9	2.44 ± 0.03	4.6 ± 0.0	1.89 ± 0.02	11/6.34 ± 0.16
2000	50	88.5	2.50 ± 0.07	4.7 ± 0.1	1.87 ± 0.04	12/6.64 ± 0.24
2000	100	44.2	2.56 ± 0.05	4.6 ± 0.2	1.81 ± 0.03	12/6.59 ± 0.11
2000	200	22.1	2.77 ± 0.10	5.0 ± 0.0	1.81 ± 0.06	13/6.85 ± 0.17
0	200	0.2	2.87 ± 0.07	5.0 ± 0.1	1.76 ± 0.03	9/4.30 ± 0.07
100	200	1.1	2.83 ± 0.09	5.0 ± 0.1	1.77 ± 0.02	10/5.00 ± 0.13
250	200	2.8	2.80 ± 0.05	5.0 ± 0.1	1.77 ± 0.01	9/4.44 ± 0.08
500	200	5.5	2.82 ± 0.09	5.1 ± 0.1	1.80 ± 0.05	12/6.09 ± 0.24
1000	200	11.1	2.87 ± 0.07	5.0 ± 0.1	1.75 ± 0.02	13/6.48 ± 0.32

Values are averages ± standard deviations.

N = Nitrogen added as KNO₃; P = Phosphorus added as Na₂HPO₄; DOC = Dissolved Organic Carbon; UV₂₅₄ = Ultraviolet Absorbance at 254 nm; SUVA = Specific UV₂₅₄ (UV₂₅₄/DOC); FC = free chlorine; FC-7d = free chlorine residual after 7-day hold time; N:P = molar nitrogen to phosphorus ratio based on amended doses, with the exception of two values (4429 and 0.2) which were calculated using the initial background concentrations of 2,700 $\mu\text{g N L}^{-1}$ and 11 $\mu\text{g P L}^{-1}$ (initial molar N:P = 539); NA = not applicable.

Note: Free chlorine was dosed after all other reported measurements.

Table 2 – Nitrogen and phosphorus doses and water quality data of raw and treated waters for May 30, 2013 sample collection.

Sample Type	N Dose ($\mu\text{g L}^{-1}$)	P Dose ($\mu\text{g L}^{-1}$)	pH	Turbidity (NTU)	DOC (mg L^{-1})	UV ₂₅₄ (m^{-1})	SUVA ($\text{mg L}^{-1} \text{m}^{-1}$)	FC Dose/FC-7d ($\text{mg L}^{-1} \text{as Cl}_2$)
R	0	0	8.18	12.00	4.05	10.0	2.47	18/13.54
C	0	0	7.79	8.50	4.52	8.6	1.90	18/13.56
CA	0	0	NM	NM	3.31	4.6	1.39	18/15.66
R	2000	0	8.14 \pm 0.02	9.23 \pm 0.15	3.99 \pm 0.06	9.5 \pm 0.0	2.38 \pm 0.03	18/13.74 \pm 0.23
C	2000	0	7.80 \pm 0.03	8.70 \pm 0.44	4.37 \pm 0.05	8.6 \pm 0.1	1.97 \pm 0.02	18/13.53 \pm 0.19
CA	2000	0	NM	NM	3.02 \pm 0.13	4.1 \pm 0.1	1.37 \pm 0.05	18/15.69 \pm 0.45
R	2000	10	9.07 \pm 0.08	9.60 \pm 0.00	4.08 \pm 0.04	9.4 \pm 0.1	2.30 \pm 0.03	19/14.00 \pm 0.33
C	2000	10	8.22 \pm 0.09	10.33 \pm 0.29	4.56 \pm 0.28	8.7 \pm 0.0	1.91 \pm 0.11	19/14.49 \pm 0.50
CA	2000	10	NM	NM	3.37 \pm 0.15	4.4 \pm 0.2	1.31 \pm 0.02	19/15.82 \pm 0.23
R	2000	25	9.37 \pm 0.08	9.67 \pm 0.83	4.18 \pm 0.08	9.5 \pm 0.2	2.28 \pm 0.05	20/14.52 \pm 0.17
C	2000	25	8.76 \pm 0.12	10.83 \pm 0.76	4.67 \pm 0.10	9.1 \pm 0.2	1.95 \pm 0.01	20/14.56 \pm 0.45
CA	2000	25	NM	NM	3.66 \pm 0.18	4.8 \pm 0.2	1.31 \pm 0.02	20/15.97 \pm 0.26
R	2000	50	9.84 \pm 0.04	11.33 \pm 0.58	4.32 \pm 0.03	9.7 \pm 0.2	2.24 \pm 0.05	21/14.60 \pm 0.64
C	2000	50	9.44 \pm 0.06	10.50 \pm 0.87	4.89 \pm 0.04	9.4 \pm 0.1	1.93 \pm 0.03	21/14.15 \pm 0.49
CA	2000	50	NM	NM	3.75 \pm 0.04	6.2 \pm 0.5	1.66 \pm 0.12	21/15.66 \pm 0.08
R	2000	100	10.07 \pm 0.04	11.00 \pm 0.00	4.55 \pm 0.15	10.1 \pm 0.2	2.21 \pm 0.03	21/14.09 \pm 0.27
C	2000	100	9.73 \pm 0.02	11.67 \pm 0.29	5.17 \pm 0.12	9.7 \pm 0.1	1.87 \pm 0.03	21/12.91 \pm 1.05
CA	2000	100	NM	NM	4.56 \pm 0.42	9.3 \pm 0.4	2.05 \pm 0.10	21/15.38 \pm 0.25
R	2000	200	10.26 \pm 0.01	11.75 \pm 0.35	4.91 \pm 0.13	10.6 \pm 0.2	2.15 \pm 0.01	22/13.93 \pm 0.07
C	2000	200	9.78 \pm 0.03	11.40 \pm 5.09	6.79 \pm 1.77	9.9 \pm 0.1	1.50 \pm 0.40	22/12.36 \pm 0.72
CA	2000	200	NM	NM	4.52 \pm 0.45	8.9 \pm 0.6	1.96 \pm 0.06	22/14.12 \pm 0.27
R	0	200	10.11 \pm 0.20	12.67 \pm 0.58	4.66 \pm 0.17	9.8 \pm 0.4	2.11 \pm 0.09	18/11.54 \pm 0.25
C	0	200	9.67 \pm 0.17	7.13 \pm 3.35	5.45 \pm 0.38	9.5 \pm 0.1	1.74 \pm 0.12	18/11.18 \pm 0.27
CA	0	200	NM	NM	5.75 \pm 0.72	7.5 \pm 1.2	1.32 \pm 0.26	18/12.69 \pm 0.80

R	100	200	10.19 ± 0.08	11.67 ± 0.58	6.58 ± 3.31	10.1 ± 0.4	1.75 ± 0.65	19/11.90 ± 1.85
C	100	200	9.78 ± 0.11	15.33 ± 2.08	7.20 ± 3.29	9.6 ± 0.1	1.50 ± 0.54	19/9.95 ± 1.78
CA	100	200	NM	NM	6.07 ± 2.67	7.8 ± 0.7	1.47 ± 0.61	19/12.27 ± 1.91
R	250	200	10.25 ± 0.10	12.00 ± 0.00	4.72 ± 0.09	10.1 ± 0.3	2.14 ± 0.05	20/12.53 ± 1.32
C	250	200	9.71 ± 0.08	12.33 ± 0.58	5.14 ± 0.03	9.6 ± 0.1	1.88 ± 0.02	20/11.89 ± 0.52
CA	250	200	NM	NM	4.12 ± 0.20	7.8 ± 0.6	1.90 ± 0.07	20/13.89 ± 0.26
R	500	200	10.28 ± 0.01	12.00 ± 0.00	4.66 ± 0.09	9.9 ± 0.2	2.13 ± 0.04	21/13.55 ± 0.18
C	500	200	9.82 ± 0.06	14.33 ± 1.15	5.21 ± 0.03	9.8 ± 0.1	1.87 ± 0.02	21/11.97 ± 0.27
CA	500	200	NM	NM	4.70 ± 0.18	9.6 ± 0.2	2.05 ± 0.12	21/13.46 ± 0.44
R	1000	200	10.29 ± 0.07	11.33 ± 0.58	4.98 ± 0.55	9.9 ± 0.1	2.01 ± 0.20	22/14.42 ± 0.68
C	1000	200	9.85 ± 0.04	13.33 ± 0.58	5.09 ± 0.02	9.7 ± 0.1	1.91 ± 0.01	22/12.97 ± 0.09
CA	1000	200	NM	NM	4.68 ± 0.43	10.1 ± 0.4	2.16 ± 0.19	22/13.33 ± 0.29

Values are averages ± standard deviations.

Initial background concentrations in raw water were 724 µg N L⁻¹ and 13 µg P L⁻¹ (initial molar N:P = 125); N = Nitrogen added as KNO₃; P = Phosphorus added as Na₂HPO₄; DOC = Dissolved Organic Carbon; UV₂₅₄ = Ultraviolet Absorbance at 254 nm; SUVA = Specific UV₂₅₄ (UV₂₅₄/DOC); FC = free chlorine; FC-7d = free chlorine residual after 7-day hold time; C = Chlorine dioxide dosed at 1 mg L⁻¹ as Cl₂; CA = Chlorine dioxide dosed at 1 mg L⁻¹ as Cl₂ and Alum coagulation at 40 mg L⁻¹ as alum; R = nutrient amended raw water; NM = not measured

Note: Free chlorine was dosed after all other reported measurements.

Table 3 – Nitrogen and phosphorus doses and water quality data of raw and treated waters for August 19, 2013 sample collection.

Sample Type	N Dose ($\mu\text{g L}^{-1}$)	P Dose ($\mu\text{g L}^{-1}$)	pH	Turbidity (NTU)	DOC (mg L^{-1})	UV ₂₅₄ (m^{-1})	SUVA ($\text{mg L}^{-1} \text{m}^{-1}$)	FC Dose/FC-7d (mg L^{-1} as Cl_2)
R	0	0	8.63	3.20	3.10	4.8	1.55	9/5.36
C	0	0	7.94	2.70	3.47	4.2	1.21	9/5.23
CA	0	0	8.23	0.90	3.23	3.3	1.02	9/6.11
R	0	0	8.83 \pm 0.03	1.53 \pm 0.06	3.09 \pm 0.06	4.8 \pm 0.0	1.56 \pm 0.03	10/6.47 \pm 0.04
C	0	0	8.12 \pm 0.06	1.83 \pm 0.12	3.18 \pm 0.07	3.9 \pm 0.1	1.24 \pm 0.03	10/6.43 \pm 0.07
CA	0	0	8.32 \pm 0.04	0.43 \pm 0.03	2.72 \pm 0.07	3.5 \pm 0.1	1.29 \pm 0.05	10/7.01 \pm 0.10
R	2000	0	8.94 \pm 0.17	1.80 \pm 0.26	3.09 \pm 0.03	5.0 \pm 0.1	1.61 \pm 0.03	10/6.58 \pm 0.15
C	2000	0	8.21 \pm 0.25	1.80 \pm 0.26	3.25 \pm 0.03	4.0 \pm 0.1	1.24 \pm 0.01	10/6.23 \pm 0.36
CA	2000	0	8.28 \pm 0.03	0.42 \pm 0.05	2.77 \pm 0.03	3.6 \pm 0.2	1.30 \pm 0.06	10/7.11 \pm 0.20
R	2000	10	8.92 \pm 0.13	1.53 \pm 0.15	3.10 \pm 0.01	5.0 \pm 0.0	1.61 \pm 0.01	11/7.91 \pm 0.51
C	2000	10	8.23 \pm 0.12	1.77 \pm 0.21	3.18 \pm 0.05	3.9 \pm 0.1	1.22 \pm 0.00	11/7.41 \pm 0.24
CA	2000	10	8.26 \pm 0.01	0.40 \pm 0.08	2.69 \pm 0.14	3.6 \pm 0.2	1.32 \pm 0.04	11/8.51 \pm 0.49
R	2000	25	9.25 \pm 0.01	2.43 \pm 0.23	3.06 \pm 0.03	4.9 \pm 0.1	1.61 \pm 0.03	11/7.80 \pm 0.34
C	2000	25	8.61 \pm 0.05	2.13 \pm 0.42	3.34 \pm 0.02	4.3 \pm 0.1	1.28 \pm 0.01	11/7.25 \pm 0.54
CA	2000	25	8.34 \pm 0.03	0.83 \pm 0.32	2.70 \pm 0.05	3.8 \pm 0.1	1.40 \pm 0.00	11/8.11 \pm 0.39
R	2000	50	9.36 \pm 0.03	2.87 \pm 0.57	2.96 \pm 0.04	5.0 \pm 0.1	1.70 \pm 0.01	12/8.75 \pm 0.26
C	2000	50	8.78 \pm 0.05	3.20 \pm 0.20	3.40 \pm 0.06	4.4 \pm 0.1	1.29 \pm 0.02	12/8.25 \pm 0.38
CA	2000	50	8.36 \pm 0.01	0.83 \pm 0.32	2.77 \pm 0.06	3.8 \pm 0.1	1.37 \pm 0.05	12/9.33 \pm 0.29
R	2000	100	9.55 \pm 0.28	4.23 \pm 0.75	3.24 \pm 0.03	5.1 \pm 0.1	1.58 \pm 0.04	12/7.81 \pm 0.88
C	2000	100	9.00 \pm 0.28	4.53 \pm 0.64	3.76 \pm 0.51	4.7 \pm 0.4	1.27 \pm 0.09	12/7.25 \pm 0.82
CA	2000	100	8.37 \pm 0.03	0.77 \pm 0.15	3.12 \pm 0.39	4.2 \pm 0.4	1.34 \pm 0.11	12/8.21 \pm 0.54
R	2000	200	9.80 \pm 0.12	5.40 \pm 0.53	3.35 \pm 0.08	5.3 \pm 0.1	1.57 \pm 0.01	13/7.83 \pm 0.27
C	2000	200	9.28 \pm 0.16	5.57 \pm 0.25	3.73 \pm 0.05	5.1 \pm 0.2	1.36 \pm 0.03	13/7.71 \pm 0.07
CA	2000	200	8.60 \pm 0.11	1.27 \pm 0.29	3.03 \pm 0.08	4.9 \pm 0.7	1.61 \pm 0.20	13/8.81 \pm 0.19

R	0	200	9.34 ± 0.01	2.23 ± 0.25	3.27 ± 0.03	5.2 ± 0.2	1.60 ± 0.03	10/6.96 ± 0.17
C	0	200	8.71 ± 0.04	2.30 ± 0.17	3.43 ± 0.07	4.1 ± 0.1	1.20 ± 0.02	10/6.26 ± 0.10
CA	0	200	8.43 ± 0.04	0.77 ± 0.21	2.88 ± 0.03	3.7 ± 0.1	1.28 ± 0.04	10/7.10 ± 0.16
R	100	200	9.56 ± 0.01	3.30 ± 0.62	3.17 ± 0.03	5.1 ± 0.1	1.62 ± 0.02	11/7.47 ± 0.10
C	100	200	9.06 ± 0.05	3.63 ± 0.15	3.72 ± 0.06	4.5 ± 0.1	1.22 ± 0.04	11/6.69 ± 0.11
CA	100	200	8.49 ± 0.03	0.87 ± 0.31	3.06 ± 0.08	4.3 ± 0.2	1.39 ± 0.02	11/7.63 ± 0.20
R	250	200	9.67 ± 0.02	3.53 ± 0.50	3.24 ± 0.02	5.1 ± 0.1	1.58 ± 0.01	12/8.35 ± 0.15
C	250	200	9.20 ± 0.01	4.07 ± 0.45	3.84 ± 0.05	4.7 ± 0.1	1.23 ± 0.00	12/7.10 ± 0.07
CA	250	200	8.52 ± 0.02	0.97 ± 0.21	3.12 ± 0.01	4.7 ± 0.1	1.50 ± 0.03	12/8.47 ± 0.05
R	500	200	9.70 ± 0.06	3.73 ± 0.06	3.30 ± 0.05	5.3 ± 0.1	1.59 ± 0.00	13/7.32 ± 0.29
C	500	200	9.14 ± 0.08	4.33 ± 0.31	3.78 ± 0.08	5.0 ± 0.1	1.32 ± 0.01	13/7.23 ± 0.10
CA	500	200	8.47 ± 0.02	1.03 ± 0.21	3.01 ± 0.05	4.5 ± 0.1	1.48 ± 0.01	13/8.41 ± 0.15
R	1000	200	9.76 ± 0.10	4.27 ± 0.64	3.33 ± 0.09	5.1 ± 0.1	1.54 ± 0.03	14/8.37 ± 0.23
C	1000	200	9.24 ± 0.05	4.67 ± 0.58	3.89 ± 0.05	5.2 ± 0.1	1.33 ± 0.01	14/7.95 ± 0.24
CA	1000	200	8.42 ± 0.05	1.00 ± 0.26	3.03 ± 0.05	4.8 ± 0.1	1.57 ± 0.03	14/9.09 ± 0.02

Values are averages ± standard deviations.

Initial background concentrations in raw water were 1,900 µg N L⁻¹ and 20 µg P L⁻¹ (initial molar N:P = 214); N = Nitrogen added as KNO₃; P = Phosphorus added as Na₂HPO₄; DOC = Dissolved Organic Carbon; UV₂₅₄ = Ultraviolet Absorbance at 254 nm; SUVA = Specific UV₂₅₄ (UV₂₅₄/DOC); FC = free chlorine; FC-7d = free chlorine residual after 7-day hold time; C = Chlorine dioxide dosed at 2 mg L⁻¹ as Cl₂; CA = Chlorine dioxide dosed at 2 mg L⁻¹ as Cl₂ and Alum coagulation at 80 mg L⁻¹ as alum; R = nutrient amended raw water.

Note: Free chlorine was dosed after all other reported measurements.

Table 4 – Excitation and Emission maxima of fluorescence-PARAFAC components.

Component	Excitation Maxima (nm)	Emission Maxima (nm)	r^2 (TCMFP:F _{MAX})
C1	235 (325, 386)	422 (476)	0.55
C2	337 (237)	375 (423)	0.61
C3	267 (367)	456	0.52
C4	226 (280)	355	0.18
C5	400 (370, 309)	490 (394)	0.47

Values in parentheses are secondary and tertiary maxima; r^2 values describe the linear correlations between trichloromethane formation potential (TCMFP) and the fluorescence maximum values (F_{MAX}) for each parallel factor (PARAFAC) component