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## COMMUNICATION

# Thermodynamics and High-Pressure Kinetics of a Fast Carbon Dioxide Fixation Reaction by a (2,6-Pyridinedicarboxamidato-hydroxo)nickel(II) Complex

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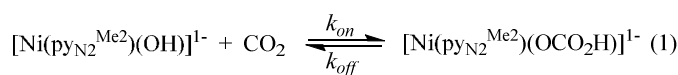
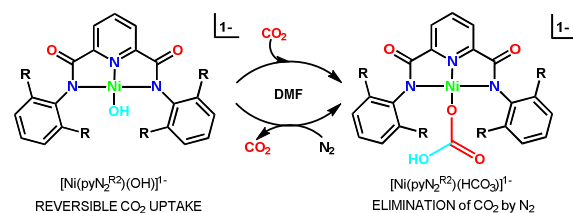
The previously reported carbon dioxide fixation reaction by the planar terminal hydroxide complex  $[\text{Ni}(\text{pyN}_2^{\text{Me}_2})(\text{OH})]^{-1}$  in DMF has been further characterized by determination of the equilibrium constant  $K_{\text{eq}}^{298} = 2.4 \pm 0.2 \times 10^5 \text{ M}^{-1}$  and  $K_{\text{eq}}^{223} = 1.3 \pm 0.1 \times 10^7 \text{ M}^{-1}$ , as well as the volume of activation for the  $\text{CO}_2$  binding ( $\Delta V_{\text{on}}^{\ddagger 223} = -21 \pm 3 \text{ cm}^3 \text{ mol}^{-1}$ ) and back decarboxylation ( $\Delta V_{\text{off}}^{\ddagger 223} = -13 \pm 1 \text{ cm}^3 \text{ mol}^{-1}$ ) by high-pressure kinetics. The data are consistent with an earlier DFT computation, including the probable nature of the transition state, and support designation of the reaction as one of the most completely investigated carbon dioxide fixation reactions of any type.

Fixation and utilization of  $\text{CO}_2$  is an increasingly prominent problem<sup>1-4</sup> not only because  $\text{CO}_2$  is a contributor to the greenhouse effect, but also because of its importance as a potentially cheap, nontoxic and abundant source of renewable synthetic fuels.<sup>5</sup> There are many general approaches that can lead to a fixation and utilization of  $\text{CO}_2$  to form energetically more valuable molecules like carbon monoxide, methanol or methane, ranging from photochemical<sup>6-18</sup> to electrochemical<sup>1, 5, 19-34</sup> to chemical reduction<sup>35-43</sup> pathways. They often involve a variety of transition metal centers as redox catalysts, ranging from rather expensive and rare metals like ruthenium<sup>21</sup> and platinum<sup>44</sup> to cheaper and more abundant ones like nickel<sup>22, 45</sup> and copper.<sup>1</sup> A number of metal complexes have been synthesized and investigated as possible mimics of naturally occurring carbon monoxide dehydrogenase (CODH), an enzyme catalyzing the reversible redox reaction  $\text{CO}_2 + 2\text{H}^+ + 2\text{e}^- \rightleftharpoons \text{CO} + \text{H}_2\text{O}$  and either containing Mo-Cu-S or Ni-Fe-S active sites.<sup>46-49</sup>

Besides redox methods, carbon dioxide fixation can also be achieved through non-redox processes.<sup>4</sup> Of the latter, reactions of a terminal  $\text{M}^{\text{II/III}}\text{-OH}$  group with  $\text{CO}_2$  to afford bridging carbonate and terminal or bridging bicarbonate products are well documented.<sup>50-54</sup> Nature utilizes such a reaction within the catalytic cycle of carbonic anhydrases, where the terminal hydroxo group at the four-coordinate  $\text{Zn}^{\text{II}}$  center plays a crucial role in the reversible hydration of  $\text{CO}_2$ .<sup>55</sup> Formation of a unidentate bicarbonate complex seems to be critical for an efficiency of that catalytic cycle.<sup>56</sup> Some of us have recently

observed that members of a set of planar terminal hydroxo complexes derived from  $N,N'$ -2,6-disubstitutedphenyl-2,6-dicarboxamide pincer ligands react rapidly and completely with  $\text{CO}_2$  in DMF to form  $\eta^1$ -bicarbonate products (Scheme 1).<sup>3, 57</sup> Reactions are second-order with rate constants (*ca.*  $10^4$ - $10^6 \text{ M}^{-1}\text{s}^{-1}$ ) that tend to decrease as the steric size of the phenyl R-substituents increase. The most notable feature is the second-order rate constant  $k_{\text{on}} = 9.5 \times 10^5 \text{ M}^{-1}\text{s}^{-1}$  for reaction 1 at 298 K (Table 1), extrapolated from measurements at lower temperatures.<sup>3</sup>  $[\text{Ni}(\text{pyN}^{\text{Me}_2})(\text{OH})]^{-1}$  carries the smallest *ortho*-substituent in the set; the unsubstituted ligand forms octahedral  $[\text{Ni}(\text{pyN}_2)_2]^{2-}$ .<sup>58</sup>

## Scheme 1. Reversible Carbon Dioxide Fixation Reaction Mediated by $[\text{Ni}(\text{pyN}_2^{\text{R}_2})(\text{OH})]^{-1}$ .



**Table 1. Observed Equilibrium and Kinetic Parameters for the Reaction 1 of the R = CH<sub>3</sub> Complex in DMF.**

$K_{\text{eq}}^{298}$	$2.4 \pm 0.2 \times 10^5 \text{ M}^{-1 a}$
$K_{\text{eq}}^{223}$	$1.3 \pm 0.1 \times 10^7 \text{ M}^{-1 a}$
$k_{\text{on}}^{298}$	$9.5 \times 10^5 \text{ M}^{-1}\text{s}^{-1 b}$
$\Delta H_{\text{on}}^{\ddagger}$	$3.2 \pm 0.5 \text{ kcal/mol}^b$
$\Delta S_{\text{on}}^{\ddagger}$	$-20 \pm 3 \text{ cal/mol K}^b$
$\Delta V_{\text{on}}^{\ddagger 223}$	$-21 \pm 3 \text{ cm}^3/\text{mol}^a$
$\Delta V_{\text{off}}^{\ddagger 223}$	$-13 \pm 1 \text{ cm}^3/\text{mol}^a$

<sup>a</sup>This work. <sup>b</sup>Ref. 3.

Comparison of  $k_{on}^{298}$  for reaction 1 with second-order rate constants for other systems including model reactions for the Zn(II) enzyme carbonic anhydrase reveals an increase of  $\geq 10^3 \text{ M}^{-1}\text{s}^{-1}$ . Further, under the approximation  $k_{on} \approx k_{eq}/K_M$  the rate constant overlaps the enzyme range of *ca.*  $10^5\text{-}10^8 \text{ M}^{-1}\text{s}^{-1}$ .<sup>55</sup> While precise comparisons cannot be made between synthetic or enzyme systems and reaction 1 owing to solvent differences (aqueous vs. DMF), it is nonetheless evident that this reaction is an unusually rapid  $\text{CO}_2$  fixation process. Such rapid  $\text{CO}_2$  fixation appears to be related to several notable features of  $[\text{Ni}(\text{pyN}_2^{\text{Me}_2})(\text{X})]^-$ : presence of the uncommon terminal  $\text{Ni}^{\text{II}}\text{-OH}$  group in the reactant and unidentate  $\eta^1\text{-OCO}_2\text{H}$  bicarbonate in the product complex, despite the four-coordinate ligand environment around the  $\text{Ni}^{\text{II}}$  center.<sup>3</sup>

Although the reversible character of this process has been qualitatively demonstrated,<sup>57</sup> quantification of this equilibrium and the back decarboxylation reaction has not yet been reported. Since efficiency of a  $\text{CO}_2$  uptake is related to a  $\text{CO}_2$  binding constant, the equilibrium constant  $K_{eq}$  of reaction 1 was thermodynamically and kinetically determined in this study at 298 K and 223 K, respectively, contributing to understanding of overall solution thermodynamics. Moreover, there is no literature data for binding constants of  $\text{CO}_2$  to  $\text{Ni-OH}$  species. The only binding constant reported is for a Zn-hydroxo complex at 217 K.<sup>53</sup> Kinetics characterization of the reaction thus far has disclosed a low enthalpic barrier and a large negative entropy of activation consistent with a second-order reaction (Table 1). However, to fully comprehend the nature of this rather unique low activation pathway for  $\text{CO}_2$  insertion, here we sought to determine the pressure effect on its kinetics, applying unique high-pressure cryo-temperature stopped-flow measurements at 223 K and 0.5-70 MPa. This is not only important in respect to the determination of a volume of activation ( $\Delta V^\ddagger$ ) and thus visualization of a reaction transition state, but also considering an increase in solubility of  $\text{CO}_2$  under low temperature and high pressure conditions, which can have an impact on efficiency of  $\text{CO}_2$  sequestering on larger scales.

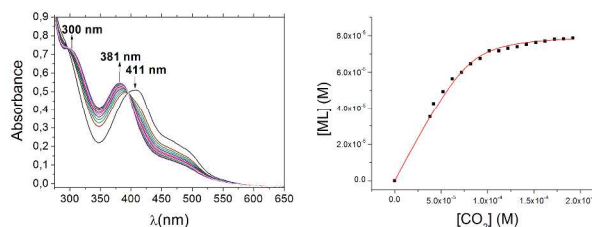
The compounds  $(\text{Et}_4\text{N})[\text{Ni}(\text{pyN}_2^{\text{Me}_2})\text{X}]$  ( $\text{X} = \text{OH}^-, \text{HCO}_3^-$ ) were prepared as described.<sup>46, †</sup> To elucidate the reversible nature of reaction 1 and to determine its equilibrium constant  $K_{eq}$ , a spectrophotometric titration was undertaken in which small aliquots of solutions of  $\text{CO}_2$  in DMF were added to a 0.1 mM solution of  $[\text{Ni}(\text{pyN}_2^{\text{Me}_2})(\text{OH})]^-$  in DMF at 298 K in a 1 cm path-length septum-capped and gas-tight Uv/visible cuvette. Saturated solutions of  $\text{CO}_2$  in DMF (0.2 M)<sup>59, 60</sup> were prepared by bubbling  $\text{CO}_2$  gas at room temperature through argon-saturated dry DMF in a 10 mL gas-tight Hamilton syringe. Because the concentration of  $\text{CO}_2$  required for the titration was not in a high excess over the complex concentration, spectrophotometric data were analyzed with eq 2 in which  $[\text{ML}]$  is the concentration of the product as a function of the concentration of  $\text{CO}_2$  ( $[\text{L}_0]$ ),  $[\text{M}_0]$  is the total complex concentration and  $K_{eq}$  is the equilibrium constant. Change in the overall volume of a reaction mixture in the course of a titration is negligible because of the small amount of  $\text{CO}_2$  titrant solution added. After the addition of  $\text{CO}_2$  and prior to an absorbance measurement, the reaction mixture was allowed to equilibrate for 20 s.

$$[\text{ML}] = \frac{1 + K_{eq}([\text{M}_0] + [\text{L}_0]) - \sqrt{(1 + K_{eq}([\text{M}_0] + [\text{L}_0]))^2 - 4K_{eq}^2[\text{M}_0][\text{L}_0]}}{2K_{eq}} \quad (2)$$

The UV/visible spectra obtained in the course of the titration are depicted in Figure 1 (left). Observed absorbance changes and tight isosbestic points at 279 and 395 nm are consistent with previous results<sup>3</sup> describing the expected single-step reaction; concentration of the product vs.  $[\text{CO}_2]$  is shown in Figure 1 (right). Analysis of the global spectra data with eq 2 leads to  $K_{eq}^{298} = 2.4 \pm 0.2 \times 10^5 \text{ M}^{-1}$ .

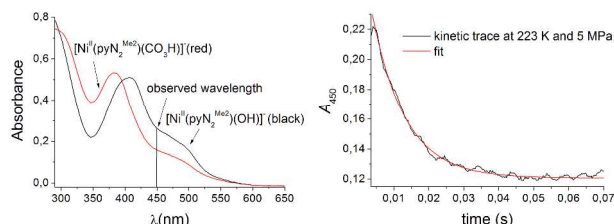
Using  $K_{eq} = k_{on}/k_{off}$ , we estimate the first order rate constant  $k_{off}$  for the back decarboxylation reaction to be  $4 \text{ s}^{-1}$  at 298 K. We have previously demonstrated the reversibility of the binding reaction by its reversal upon passing dinitrogen through a solution of  $[\text{Ni}(\text{pyN}_2^{\text{Me}_2})(\text{OCO}_2\text{H})]^{1-}$ .<sup>3, 57</sup>

The only other quantified equilibrium for  $\text{CO}_2$  fixation is bicarbonate formation by  $\text{CO}_2$  insertion in a Zn-OH bond ( $K_{eq} = 6 \pm 2 \times 10^3 \text{ M}^{-1}$  in dichloromethane at 217 K, corresponding to  $\Delta G = -3.8 \pm 0.2 \text{ kcal/mol}$ ).<sup>53</sup> Under comparable low temperature conditions in DMF, reaction 1 is three to five orders of magnitude more efficient with estimated binding constants in the  $4 \times 10^7$  to  $8 \times 10^8 \text{ M}^{-1}$  range. The values were estimated from our  $K_{eq}$  value and reaction enthalpies previously computed with BP86 and B3LYP functionals, respectively.<sup>3</sup>



**Figure 1.** UV/visible spectral changes upon addition of small aliquots of  $\text{CO}_2$  in DMF to a 0.1 mM solution of  $[\text{Ni}(\text{pyN}_2^{\text{Me}_2})(\text{OH})]^-$  in DMF at room temperature (left). Plot of the concentration changes of the bicarbonate reaction product ( $[\text{ML}]$ , based on the absorbance change at 381 nm) as dependent on  $[\text{CO}_2]$ ; the red line is a fit to eq 2 (right).

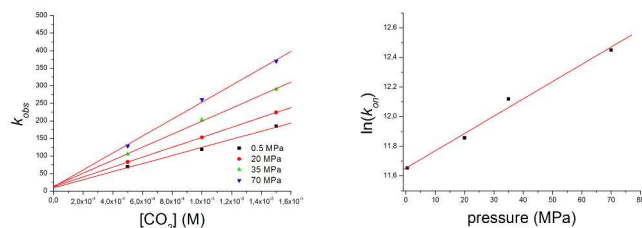
In terms of the mechanism of reaction 1, one can envision either a transition state that is less or more compact than the product  $\eta^1\text{-OCO}_2\text{H}$  bicarbonate complex. The former will speak in favour of a four-coordinate transition state (hydroxo attack on  $\text{CO}_2$  followed by a proton transfer),<sup>61</sup> whereas the latter supports a five-coordinate transition state (insertion of a carbon dioxide C-O bond into the Ni-OH bond).<sup>3</sup> This ambiguity is possible to overcome by determining the volume of activation,  $\Delta V^\ddagger$ , which will offer a clearer picture of the transition state in the solution. Given the information potentially forthcoming from effects of applied pressure on reaction rates,<sup>62-64</sup> the high-pressure kinetics of reaction 1 was investigated. To determine the activation volume  $\Delta V^\ddagger$ , pressure-dependent stopped-flow spectrophotometry at low temperature was employed.<sup>‡</sup> The decrease in absorbance of the  $\text{Ni}^{\text{II}}$  complex at 450 nm and constant temperature, during a course of  $\text{CO}_2$  binding, was monitored within a pressure range of 0.5 - 70 MPa (Figure 2).



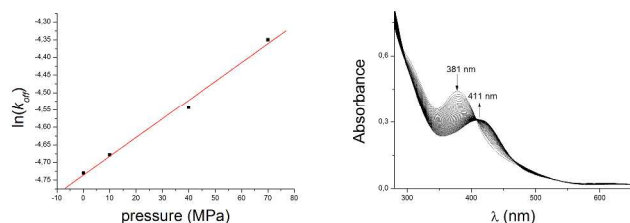
**Figure 2.** Spectral changes upon the reaction of 1 mM  $\text{CO}_2$  and 0.1 mM  $[\text{Ni}(\text{pyN}_2^{\text{Me}_2})(\text{OH})]^-$  in DMF at room temperature (left). The kinetics trace (black) overlaid with the one-exponential fit to the data (red) for the same reaction system at 223 K and 0.5 MPa (right).

Reactions were performed under pseudo-first-order conditions, with  $\text{CO}_2$  concentrations ranging between 0.5 and 1.5 mM and a  $\text{Ni}^{\text{II}}$  concentration of 0.1 mM. The temperature of the reaction cell and

the solutions was maintained at  $223 \pm 0.1$  K. Table S1 contains the resulting second order rate constants ( $k_{on}$ ) derived from the slopes of plots of the observed rate constants as a function of  $\text{CO}_2$  concentration at variable pressures (Figure 3 (left)). At least five kinetics runs were performed per data point up to 70 MPa at 223 K. Under these conditions, the volume of activation is independent of pressure and can be evaluated from the slope of the linear plot ( $\ln(k_{on})$  vs. pressure)<sup>62</sup> in Figure 3 (right).<sup>35</sup>



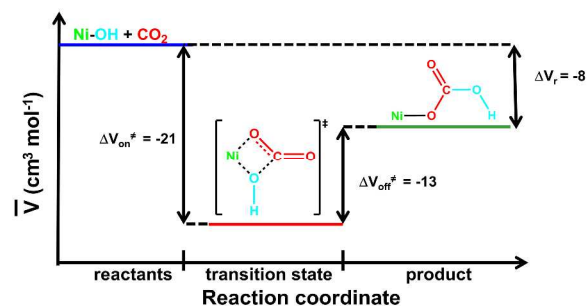
**Figure 3.** Plots of the observed rate constants ( $k_{obs}$ ) as a function of  $\text{CO}_2$  concentration at different pressures; 0.1 mM  $[\text{Ni}(\text{pyN}_2^{\text{Me}_2})(\text{OH})]^-$  in DMF at 223 K (left). Corresponding plot of  $\ln(k_{on})$  vs. pressure (right).



**Figure 4.** Plot of  $\ln(k_{off})$  vs. pressure for the reaction of 0.08 mM  $[\text{Ni}(\text{pyN}_2^{\text{Me}_2})(\text{OH})]^-$  with  $\text{CO}_2$  in DMF at 223 K (left). Corresponding time resolved UV/visible spectra on a course of the back decarboxylation reaction; 100 s observation time (right).

Analysis of the data leads to  $\Delta V_{on}^\ddagger = -21 \pm 3 \text{ cm}^3 \text{ mol}^{-1}$  for reaction 1. This value is entirely consistent with the negative value of  $\Delta S^\ddagger$  for this reaction (Table 1) and supports an associative mechanism where bond breaking does not have a significant role in the rate-determining step. Unlike many classical substitution reactions, there is neither a leaving ligand nor a change in charge. Thus, the observed  $\Delta V^\ddagger$  corresponds to the intrinsic volume change and directly reflects the transition state at the molecular level. Activation volumes for the same type of reaction are reported only for  $\text{CO}_2$  uptake by  $[\text{M}(\text{NH}_3)_5(\text{OH})]^{2+}$  complexes in aqueous solution ( $\text{M} = \text{Co}^{\text{III}}, -10.1 \pm 0.6$ ;  $\text{Rh}^{\text{III}}, -4.7 \pm 0.8$ ;  $\text{Ir}^{\text{III}}, -4 \pm 1 \text{ cm}^3 \text{ M}^{-1}$ ).<sup>61</sup> These values are significantly less negative than that of reaction 1, suggesting a more compact transition state in that reaction. By way of comparison, the partial molar volume of  $\text{CO}_2$  in DMF is ca.  $43 \text{ cm}^3 \text{ M}^{-1}$ .<sup>65</sup> By a dilution experiment (stopped-flow mixing) of  $[\text{Ni}(\text{pyN}_2^{\text{Me}_2})(\text{OCO}_2\text{H})]^-$  with degassed DMF at 223 K) at variable pressures we have directly determined the activation volume for the back decarboxylation reaction  $\Delta V_{off}^\ddagger = -13 \pm 1 \text{ cm}^3 \text{ mol}^{-1}$  (Figure 4, Table S1). This enabled us to construct a volume profile (Figure 5), which clearly indicates that the  $\{[\text{Ni}(\text{pyN}_2^{\text{Me}_2})(\text{OH})]^- \cdots \text{CO}_2\}^\ddagger$  transition state is more compact than the product bicarbonate complex. In addition, based on the kinetic parameters at 223 K (Table S1) the corresponding binding constant could be obtained as  $K_{eq}^{223} = k_{on}/k_{off} = 1.3 \pm 0.1 \times 10^7 \text{ M}^{-1}$ , which is in accordance to the estimations based on the thermodynamic parameters (*vide supra*).

Such a volume profile supports a five-coordinate transition state with bidentate bicarbonate coordination on the way to the four-coordinate product.



**Figure 5.** Volume profile for  $\text{CO}_2$  fixation in reaction 1.

The four-coordinate structure of the starting complex and binding of the dinegative pincer ligand enhances the nucleophilicity of bound hydroxide. This favors initial nucleophilic attack of hydroxide and a cycloaddition pathway that should promote fast kinetics. The analogous reactions for the  $[\text{M}(\text{NH}_3)_5(\text{OH})]^{2+}$  systems ( $\text{M} = \text{Co}^{\text{III}}, \text{Rh}^{\text{III}}$  or  $\text{Ir}^{\text{III}}$ )<sup>61</sup> have three orders of magnitude smaller rate constants than that in this study. This parallels a significantly lower nucleophilicity of  $\text{OH}^-$  coordinated to the +3 metal centers within the positively charged  $[\text{M}(\text{NH}_3)_5(\text{OH})]^{2+}$  complexes in comparison to the nucleophilicity of  $\text{OH}^-$  within negatively charged  $[\text{Ni}(\text{pyN}_2^{\text{Me}_2})(\text{OH})]^-$ . The steric protection of the activated hydroxide and the increased electron density caused by the pincer ligand control the reaction thermodynamics and leads to a stable  $\eta^1$ -bound product. These collective features render  $\text{CO}_2$  fixation by  $[\text{Ni}(\text{pyN}_2^{\text{Me}_2})(\text{OH})]^-$  kinetically and thermodynamically favorable. In addition to reaction 1,<sup>3</sup> the enthalpic reaction coordinate has also been computed by DFT methods for the slower reaction of  $\text{CO}_2$  with  $[\text{Ni}(\text{pyN}_2^{\text{ipr}_2})(\text{OH})]^-$  ( $k_{on} = 5.1 \times 10^4 \text{ M}^{-1} \text{ s}^{-1}$ ,  $\Delta S^\ddagger = -27 \text{ cal/mol K}$  in DMF).<sup>57</sup> Results for both systems indicate a mechanism proceeding by nucleophilic attack at the carbon atom of  $\text{CO}_2$  by hydroxide, formation of a cyclic transition state as depicted in Figure 5, rupture of one Ni-O bond, and reorientation of the resultant monodentate bicarbonate by a Ni-O-C pivot motion. The predicted final perpendicular orientation of bicarbonate relative to the  $\text{NiN}_3$  plane is observed in crystalline salt of the reaction products.<sup>46</sup> The herewith visualized mechanism differs to that reported for  $[\text{M}(\text{NH}_3)_5(\text{OH})]^{2+}$  ( $\text{M} = \text{Co}^{\text{III}}, \text{Rh}^{\text{III}}$  or  $\text{Ir}^{\text{III}}$ )<sup>61</sup> where the rate-determining step in decarboxylation proceeds according to a dissociative mechanism that involves breakage of the oxygen-carbon bond on the carbonate ligand. In contrast, our back reaction has a clear associative character, involving a Ni-O bond formation and closure of a chelate bicarbonate ring. We should point out that the reactions between  $\text{CO}_2$  and  $[\text{M}(\text{NH}_3)_5(\text{OH})]^{2+}$  operate in aqueous solutions, where a hydrogen-bonding network assists in proton transfer from bound hydroxide to one of the  $\text{CO}_2$  oxygen atoms. On another hand, our reaction operates in DMF, within a hydrophobic environment of the sterically bulky ligand, and there is no possibility that the  $\text{CO}_2$  oxygen atoms can be involved in some prominent hydrogen-bonding interactions. This makes the  $\text{CO}_2$  oxygen atoms even more nucleophilic and prone to bound to the  $\text{Ni}^{\text{II}}$  center, resulting in a five-coordinate transition state. This may also explain the fact that our interpretation of the overall volume profile is consistent with, and may be said to support, the computed reaction pathway, although these computational models do not address hydrogen bonding.<sup>3</sup>

## Conclusions

Here we have revealed the thermodynamics of the CO<sub>2</sub> binding to [Ni(pyN<sub>2</sub><sup>Me2</sup>)(OH)]<sup>-</sup>, which was previously reported as the fastest CO<sub>2</sub> fixation reaction by metal-bound hydroxide.<sup>3</sup> Based on the determined equilibrium constant of  $2.4 \pm 0.2 \times 10^5 \text{ M}^{-1}$  at 298 K and  $1.3 \pm 0.1 \times 10^7 \text{ M}^{-1}$  at 223 K, it seems that thermodynamics of this process is also highly efficient, though there is limited literature information for comparisons.<sup>53</sup>

Our high-pressure kinetic studies revealed a large negative activation volume for both the CO<sub>2</sub> uptake and the back decarboxylation reaction. These results support a very compact transition state, which can be visualized as a five-coordinate species with bicarbonate bound in a bidentate fashion. The observed character of the transition state, in accordance with computational result,<sup>3</sup> explains a very low activation enthalpy and a negative activation entropy consistent with rapid CO<sub>2</sub> fixation. Reaction 1 is currently the most thoroughly characterized carbon dioxide fixation reaction by a metal hydroxide complex, and among the more completely examined carbon dioxide fixation reactions of any type.

## Notes and references

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† All manipulations and measurements were performed under a pure argon atmosphere. All reagents and solvents were purchased from commercial sources (Sigma-Aldrich, Acros Organics) and were of *p. a.* grade and used without purification. DMF was purchased as extra dry solvent and was stored in a sure-seal bottle under argon and molecular sieves. All solutions were prepared in dry DMF in an MBraun inert atmosphere glove box.

‡ Measurements were made using a Hi-Tech Scientific HPSF 56 stopped-flow instrument externally coupled to a Huber CC905 thermostat. UV/visible spectra were recorded using an Energetiq LDLS ENQ EQ-99 FC laser-driven light source coupled to an Applied Photo Physics photomultiplier. Solutions in DMF were prepared immediately before measurement. Lower concentrations of CO<sub>2</sub> were obtained by dilution of a saturated solution in graduated gas-tight syringes. Neither the solution of [Ni(pyN<sub>2</sub><sup>Me2</sup>)(OH)]<sup>-</sup> nor the CO<sub>2</sub> solution were exposed to the atmosphere.

Electronic Supplementary Information (ESI) available: [Rate constants for the CO<sub>2</sub> uptake ( $k_{on}$ ) and decarboxylation ( $k_{off}$ ) at elevated pressures]. See DOI:

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