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Box-Behnken design applied to ultrasound-assisted extraction for the
 determination of polycyclic aromatic hydrocarbons in river sediment by gas
 chromatography/mass spectrometry

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This paper describes the optimization of the extraction of polycyclic aromatic 16 17 hydrocarbons (PAHs) in sediment samples from a river by applying an ultrasonic bath 18 for quantification by gas chromatography/mass spectrometry (GC/MS). The factors nhexane and dichloromethane proportion in the solvent mixture, solvent mixture volume 19 and sonication time were optimized to take into account a 3³ Box-Behnken design with 20 a triplicate central point. The optimum extraction conditions achieved through a 21 22 response surface methodology study consisted of 15 minutes of sonication time and 10 mL of solvent mixture composed of 55% of n-hexane. The optimized conditions were 23 24 validated by assessing the percentage recovery obtained for blank spike and sample 25 spike using as solvent mixture n-hexane/dichloromethane (55:45) and n-hexane/acetone (55:45). The extraction with n-hexane/acetone (55:45) presented lower percent relative 26 standard deviation and greater efficiency in the extraction process, since hexane/acetone 27 (55:45) led to higher mean percentages of recovery of the blank spike and the sample 28 29 spike.

30 KEYWORDS: Ultrasonic bath, PAHs, Sediment, Box-Behnken design.

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32 1. INTRODUCTION

Polycyclic aromatic hydrocarbons (PAHs) are a group of ubiquitous organic 33 34 pollutants and their presence has been studied in different matrices such as water, atmospheric particulate matter, and marine and river sediments.^{1, 2} Some of them are 35 known to be carcinogenic or mutagenic because they are metabolized by hydrocarbon 36 hydroxylases present in the liver and their derivatives bind to DNA inducing mutations 37 and cancers.^{3, 4} Thus, the PAHs were included in the lists of priority pollutants by the 38 39 Environmental Protection Agency of the United States (U.S. EPA), which are: Acenaphthene, Acenaphthylene, Anthracene, Benzo[a]anthracene, Benzo[a]pyrene, 40 41 Benzo[b]fluoranthene, Benzo[ghi]perylene, Benzo[k]fluoranthene, Chrysene, 42 Dibenzo[a,c]anthracene. Fluoranthene. Fluorene. Indeno[1.2.3-cd]fluoranthene. Naphthalene, Phenanthrene, Pyrene (16 U.S. EPA PAHs).⁵ Since these compounds are 43 highly lipophilic and have low biodegradability, they are generally found adsorbed to 44 particulate material rich in organic matter, mixing with minerals of various types and 45 granules present on the bottom of rivers, participating in the formation of sediment.^{1,6} 46

47 Several methods have been described for the determination of PAHs in environmental samples, with different techniques of extraction, purification and 48 detection, according to the matrix. For soil and sediment samples, Soxhlet extraction is 49 the recommended method by the U.S. EPA and by National Oceanic and Atmospheric 50 Administration (NOAA).^{7, 8} This is the most commonly used method as the extraction is 51 simple, but has some disadvantages, such as that it is time-consuming and requires large 52 volumes of organic solvents.⁹ To reduce the extraction time and solvent consumption, 53 other techniques have been applied, among them accelerated solvent extraction, 54 55 supercritical fluid extraction and microwave-assisted extraction. However, besides

having a high cost, the extraction efficiency for these techniques are directly related to
the type of matrix. ⁹⁻¹¹ Thus, ultrasound can be highlighted because of its low cost,
small volume of organic solvents, simplicity of operation and because it provides safer
conditions for the analyst, since the methods enable operation at ambient temperature
and pressure.^{9, 10}

Several studies have shown that sonication provides a similar or higher 61 extraction efficiency of PAHs in sediment and soil samples compared with other 62 extraction techniques. In a comparative study, Marvin *et al.* $(1992)^{12}$ concluded that 63 Soxhlet and ultrasound methods presented equal extraction efficiency, and also that the 64 65 ultrasound extraction was faster (45 minutes) than Soxhlet extraction (48 hours). Likewise, Sun et al. (1998)¹³ evaluated the efficiency of extraction for the 16 U.S. EPA 66 PAHs in soil samples for ultrasonic extraction using different solvents and verified that 67 68 sonication using acetone gave better results than Soxhlet extraction. Banjoo et al. (2005)¹⁴ optimized an ultrasonic extraction using n-hexane-acetone (1:1) mixture and 69 70 concluded that this method resulted in better precision and comparable quantities of individual PAHs when compared to the reflux procedure using methanolic potassium 71 hydroxide. Tuncel and Topal (2011)¹⁵ performed a screening analysis to evaluate the 72 73 factors that may influence the extraction efficiency for PAHs in sediment samples for three different extraction techniques: Soxhlet, ultrasonic bath and solid-phase micro-74 extraction (SPME), and the best extraction method chosen was the ultrasonic bath . 75

In extraction, some interfering compounds could be co-extracted from matrix, hence the cleanup step for chromatographic analysis is essential to reduce those interferences. Adsorption purification using solid phase, including chromatography column with silica gel/alumina and solid-phase extraction (SPE), is the most used method. ^{8, 16, 17}

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Taking into account the works reported in the literature using an ultrasonic bath 81 82 for PAH sediments sample preparation, it was observed that there is scope for new analytical considerations. Thus, the present work aimed to optimize a faster extraction 83 process under low solvent consumption for the 16 U.S. EPA PAHs in sediment samples 84 from a river using an ultrasonic bath. To develop a method for ultrasound extraction, 85 some parameters have to be optimized, such as solvent composition, volume and 86 87 extraction time. Generally, the optimization procedures are conducted one factor at a time, which involves many experiments and is time-consuming and uses large volumes 88 of organic solvents. Therefore, the experimental design becomes a useful tool to 89 90 evaluate variables that influence the extraction process by means of a simultaneous study of these variables carrying out a few experiments. Thus, in the present study a 91 new surface-response methodology was performed using a 3^3 Box-Behnken design to 92 93 optimize the factors time, organic solvent volume and solvent mixture composition for ultrasound extraction. 94

95

96 **2. EXPERIMENTAL**

97 **2.1.** Chemicals and solutions

PAHs standard solution containing 16 U.S. EPA PAHs (4000 ng μ L⁻¹) and a solution of surrogate PAHs (2000 ng μ L⁻¹; naphthalene-d8, acenaphthene-d10, phenanthrene-d10, chrysene-d12 and perylene-d12) were supplied by Accustandard (purity > 98%). Internal standard fluoranthene-d10 was supplied by Sigma-Aldrich (purity 98 %). The solvents dichloromethane (DCM) and n-hexane (n-HEX) were all pesticide grade, and acetone was HPLC grade.

104 The calibration stock solution of 16 U.S. EPA PAHs was prepared at a 105 concentration of 10 ng μ L⁻¹ in dichloromethane and was diluted to working

109

110 **2.2. Sample extraction**

Extraction optimization was performed using samples of sediments from 111 Paraibuna river (Juiz de Fora, Brazil). Before extraction, an aliquot of surrogate 112 standard solutions was added to dry sediment to a resulting concentration of 75 ng g⁻¹ 113 dry weight for each compound. The sediment samples were extracted in an ultrasonic 114 bath (Unique, USC2850 model) operating at a frequency of 25 kHz and power of 120 115 W. The extracts were concentrated to 1 mL using rotary evaporator and fractioned using 116 silica gel/alumina column with 3.2 g of silica gel and 2 g of alumina, both deactivated 5 117 118 % (w/w) with deionized water. The chromatographic column was eluted under gravity with 16 mL of n-hexane/DCM (8:2). The eluate was then concentrated, the internal 119 120 standard was added and then the volume was adjusted with n-hexane to 1 mL. An aliquot of 1 µL was injected into GC/MS. 121

Following the extraction procedure described above, the factorial design optimization was performed using 10 g of sediment sample spiked with 16 U.S. EPA PAHs, at a final concentration of 50 ng g⁻¹, for each compound, within the dry sediment. The same procedure was used for recovery studies, with exception that a final concentration of 75 ng g⁻¹, for each compound, was used for dry sediment. Sodium sulphate anhydrous was used as blank, also with a final concentration of 75 ng g⁻¹ dry weight, for each compound.

129

131 **2.3. GC/MS apparatus**

132 The PAH analyses were conducted using an SHIMADZU GCMS-QP2010 plus, equipped with autosampler PAL and an Rtx-5MS column (30 m, 0.25 mm i.d., 0.25-µm 133 film thickness). The GC temperature program was: from 60°C to 80°C at 20°C min⁻¹, 134 then 2°C min⁻¹ to 103°C (1 min), then 5°C min⁻¹ to 280 °C (13 min) and 5°C min⁻¹ to 135 285°C (17 min). Helium ultrapure was used as carrier gas with a flow rate of 1 ml min⁻¹. 136 137 The mass spectrometer was operated in the selected ion-monitoring mode with electron impact ionization voltage of 70 eV. Data acquisition and processing were accomplished 138 with the CGMS solutions software. 139 140 **2.4 Software** 141

All statistical analyses were performed in Microsoft Office® Excel 2007 software,while the response surfaces were performed in Statistica 6.0 software.

144

145 **3. RESULTS AND DISCUSSION**

146 **3.1. Optimization of ultrasound-assisted extraction**

The solvents used in extraction were selected by considering the solubility of 147 148 analytes and polarity. The principal methods of extraction of PAHs in sediment samples use a solvents mixture composite of n-hexane and DCM in a proportion of 1:1.^{7,8} There 149 are no descriptions in the literature of a study of these proportions, and this is very 150 important, since perhaps the ratio in the solvents mixture of DCM can be reduced. Thus, 151 in this study, the proportion of n-hexane and DCM was a selected variable. Another two 152 variables that can also affect the extraction efficiency were selected for study: the 153 154 volume of solvent mixture and sonication time in the ultrasonic bath. To optimize the extraction process, a 3^3 Box-Behnken design with a triplicate at the central point was 155

c.

120	used. Box-bennken design can be understood as a special fractional factorial design
157	containing three-levels and k factors (3k, where $k \ge 3$), which allows the efficient
158	estimation of the first- and second-order coefficients of the mathematical model. These
159	designs are more efficient and economical then their corresponding 3k designs, mainly
160	for a large number of variables, because they requires an experiment number according
161	to N= $2k(k-1)$ + cp (cp means number of central points, which they are used to
162	calculate the experimental error). Thus, all factor levels have to be adjusted only at three
163	levels $(-1, 0, +1)$ with equally spaced intervals between these levels. ¹⁸ Table 1 shows
164	the Coded 3 ³ Box-Behnken Matrix containing, levels, factors and response (average
165	percentage recovery) obtained for 16 PAHs for each test. For each experiment, a sample
166	spiked to a final concentration of 50 ng g ⁻¹ dry weight of each of the 16 U.S. EPA PAHs
167	was extracted.

Issue	\mathbf{X}_{1}	\mathbf{X}_{2}	X_3	Recovery (%)
1	-1	-1	0	59.07
2	+1	-1	0	86.89
3	-1	+1	0	67.33
4	+1	+1	0	66.75
5	-1	0	-1	60.86
6	+1	0	-1	71.15
7	-1	0	+1	66.46
8	+1	0	+1	72.88
9	0	-1	-1	87.75
10	0	+1	-1	51.17
11	0	-1	+1	74.43
12	0	+1	+1	42.93
13	0	0	0	129.46
14	0	0	0	83.85
15	0	0	0	117.64

Table 1: Coded 3³ Box-Behnken Matrix containing, levels, factors and response (% average recovery) obtained for 16 U.S. EPA PAHs. 168 169

170 X_1 : Composition of mixture n-Hex/DCM (v/v):	(-1): 25:75;	, (0)):	: 50:	50;	(+1)):
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171 75:25

172

X₂: Sonication time (min): (-1): 10; (0): 20; (+1): 30 X₃: Volume of solvent mixture (mL): (-1): 08; (0): 10; (+1): 12 173

174

The response data obtained by the average recovery values of 16 U.S. EPA PAHs, by each experiment, are given in Table 1. Using a fitted full quadratic model (Equation 1), a response surface regression analysis using coded units was performed for each response factor.

179
$$\hat{y} = \hat{\beta}_0 + \sum_{i=1}^k \hat{\beta}_i x_i + \sum_{i=1}^k \hat{\beta}_{ii} x_i^2 + \sum_i \sum_j \beta_{ij} x_i x_j + r_i$$
(1)
$$i < j$$

Table 2 shows the calculated values for coefficients and p-values (p-value is the 180 181 probability of the null hypothesis). Using a 5% significance level, a factor is considered to affect the response if the coefficients differ significantly from zero and the p-value < 182 0.05. Thus, an evaluation of coefficients was made for each parameter and their 183 184 interactions. Calculated p-values indicates that the three considered variables do not 185 have a statistically significant effect on the response (p-value > 0.05). Moreover, the fit models were evaluated (ANOVA) and the results found indicated that no evidence of 186 lack of fit was observed in the 95% confidence interval (p-value > 0.82). 187

189	Table 2: Values obtained for coefficients, standard error, t-test and p-values with a 3^3	
190	Box-Behnken design.	

Coefficients	Regression coeff.	Standard error	t (2)	p-value
Mean	110.32	13.67	8.071	0.015
b_1	5.49	8.37	0.656	0.579
b_2	-9.99	8.37	-1.194	0.355
b ₃	-1.78	8.37	-0.213	0.851
b ₁₁	-18.27	12.32	-1.483	0.276
b ₂₂	-22.04	12.32	-1.789	0.216
b ₃₃	-24.21	12.32	-1.965	0.188
b ₁₂	-7.10	11.84	-0.600	0.609
b ₁₃	-0.97	11.84	-0.082	0.942
b ₂₃	1.27	11.84	0.107	0.925

191 To select the best extraction conditions, two response surfaces were performed 192 (Figure 1 A-B). At both the sonication time was fixed and the ratio of n-hexane (Figure 193 1A), and the solvent mixture volume (Figure 1B), was varied at each.

In both response surfaces, there was an optimal region for extraction of PAHs localized between 13 to 22 min and between 14 to 24 min (Figures 1 A and 1 B, respectively). Since there is a coincident time interval between the two surfaces, it is possible to select a condition within this region that provides better recovery values combined with higher throughput. Thus, the extraction condition selected involves three sonication steps of 15 min with 10 mL of a mixture solvent composed of nhexane/DCM (55:45).



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Figure 1: Response surfaces estimated from the Box-Behnken 3³ design for optimization of extraction conditions in an ultrasonic bath. A: Interaction between sonication time and the ratio of n-hexane; B: Interaction between sonication time and volume of solvent mixture.

207

208 **3.2. Recovery tests**

The extraction conditions selected by factorial design have been validated by 209 210 studying the recovery of the sample spike (n = 4) and the blank spike (n = 4), both with a standard mixture containing the analytes with a final concentration of 75 ng g^{-1} dry 211 weight. The main objective of this work was the determination of the 16 U.S. EPA 212 213 PAHs. However, the extraction method was optimized to be applied to analysis of these and another 29 PAHs, including methylated compounds, which can be used to obtain 214 additional information regarding the origin of PAHs.⁶ Figure 2 shows the 215 216 chromatograms obtained for the sample (A) and sample spike (B) extracted using the selected conditions, in which only the 16 U.S. EPA PAHs are highlighted. 217

218



Figure 2: Chromatograms obtained for sample (A) and sample spike (B) extracted with
n-hexane/DCM (55:45). Peaks: 1) Naphthalene-d8, 2) Naphthalene, 3) Acenaphthylene,
4) Acenaphthene- d10, 5) Acenaphthene, 6) Fluorene, 7) Phenanthrene-d10, 8)
Phenanthrene, 9) Anthracene, 10) Fluoranthene-d10, 11) Fluoranthene, 12) Pyrene, 13)
Benz[a]anthracene, 14) Chrysene-d12, 15) Chrysene, 16) Benzo[b]fluoranthene, 17)
Benzo[k]fluoranthene, 18) Benzo[a]pyrene, 19) Perylene-d12, 20) Indeno[1,2,3-cd]fluoranthene, 21) Dibenzo[a,c]anthracene, 22) Benzo[ghi]perylene.

228	Acetone was used to replace DCM in the solvent mixture, as chlorinated organic
229	compounds are harmful to health and have a higher cost of disposal. ⁷ Therefore, another
230	study was conducted using the extraction conditions selected by factorial design but
231	replacing the DCM with acetone in the solvent mixture. Thus, the sample spike $(n = 4)$
232	and blank spike $(n = 4)$, both with a standard mixture containing the analytes at a final
233	concentration of 75 ng g ⁻¹ dry weight, were extracted with a solvent mixture comprising
234	n-hexane/acetone (55:45). Figure 3 shows the chromatograms obtained for the sample
235	(A) and sample spike (B) extracted using this new mixture of solvents.

The recovery results for the 16 U.S. EPA PAHs obtained in the extractionsample spike and blank spike using the two solvent mixtures are shown in Table 3.

238



239

Figure 3: Chromatograms obtained for sample (A) and sample spike (B) extracted with 240 n-hexane/acetone (55:45). Peaks: Naphthalene-d8, 2) Naphthalene, 241 1) 3) Acenaphthylene, 4) Acenaphthened10, 5) Acenaphthene, 6) Fluorene, 242 7) Phenanthrene-d10, 8) Phenanthrene, 9) Anthracene, 10) Fluoranthene-d10, 243 11) Fluoranthene, 12) Pyrene, 13) Benz[a]anthracene, 14) Chrysene-d12, 15) Chrysene, 16) 244 245 Benzo[b]fluoranthene, 17) Benzo[k]fluoranthene, 18) Benzo[a]pyrene, 19) Perylene-246 d12, 20) Indeno[1,2,3-cd]fluoranthene, 21) Dibenzo[a,c]anthracene, 22) 247 Benzo[ghi]perylene.

249	Table 3: Recovery and relative standard deviation (RSD) obtained for 16 U.S. EPA
250	PAHs for extraction with an ultrasound bath of blank spike and sample spike using n

251 hexane/DCM (55:45) and n-hexane/acetone (55:45).

Compound	n-HEX/DC	M (55:45)	n-HEX/Acetone (55:45)		
	Sample spike	Blank spike	Sample spike	Blank spike	
Naphthalene	94(±4)	96(±14)	137(±7)	161(±10)	
Acenaphthylene	80(±3)	77(±7)	76(±8)	83(±2)	
Acenaphthene	78(±6)	88(±2)	87(±4)	91(±1)	
Fluorene	90(±4)	91(±6)	89(±6)	105(±2)	
Phenanthrene	68(±11)	86(±4)	97(±5)	92(±2)	
Anthracene	71(±3)	77(±3)	79(±3)	80(±2)	
Fluoranthene	62(±28)	97(±5)	102(±13)	112(±3)	
Pyrene	81(±15)	97(±6)	109(±8)	112(±6)	
Benz[a]anthracene	94(±12)	98(±3)	95(±7)	117(±2)	
Chrysene	78(±12)	98(±2)	91(±5)	96(±1)	
Benzo[b]fluoranthene	88(±10)	97(±13)	101(±2)	109(±2)	
Benzo[k]fluoranthene	83(±6)	96(±4)	87(±7)	98(±1)	
Benzo[a]pyrene	80(±11)	84(±3)	92(±1)	86(±2)	
Indeno[1,2,3-cd]fluoranthene	78(±9)	70(±8)	83(±2)	103(±2)	
Dibenzo[a,c]anthracene	94(±6)	97(±6)	109(±2)	116(±2)	
Benzo[ghi]perylene	76(±9)	87(±3)	83(±3)	100(±3)	

252

When examining trace-level compounds, the percentage recovery for the sample spike was in the range of 60% to 120%, which is acceptable, as are the values for the percent relative standard deviation (% RSD) between repetitions, which were less than 30%.⁸

Both solvent mixtures exhibited satisfactory recovery percentage. The average recovery for the extraction with n-hexane/DCM (55:45) was 81% for the sample spike and 90% for the blank spike. The RSDs vary from 2% to 22% for the sample spike and 3% to 25% for the blank spike. The values of average recovery from the sample spike provided by the response surfaces 1A and 1B were 84% and 82%, respectively. Thus, the value obtained experimentally was very close to the predicted value, indicating that the model obtained was valid to select a condition for extraction of PAHs in sediment

samples. For extraction with n-hexane/acetone (55:45), the average recovery values were 95% and 104% for the sample and the blank spike, respectively. The values of RSD varied from 1% to 13% for the sample spike and 1% to 10% for the blank spike. Therefore, the extraction using n-hexane/acetone (55:45) resulted in a greater percentage recovery of the analytes and a greater reproducibility between replicates, and presented a lower RSD for most compounds.

According to Mitra $(2003)^{19}$, the solvent selection depends on the nature of the 270 analytes and matrix, often using a mixture of water-miscible solvents (acetone) with 271 non-miscible ones (hexane or DCM). The water-miscible solvents can penetrate the 272 layer of moisture on the surface of the solid particles, facilitating the extraction of 273 hydrophilic organics. The hydrophobic solvents then extract the organic compounds of 274 like polarity, and so n-hexane is efficient in the extraction of nonpolar analytes, and 275 276 methylene chloride extracts the polar analytes. Therefore, when using a mixture composed of n-hexane/acetone, the extraction of PAHs is favoured, since they have a 277 nonpolar character. 278

Thus, acetone can be used to replace DCM in the solvent mixture for the extraction of PAHs in sediment samples, due to its greater efficiency in extraction of analytes, as well as being a less costly (for commercial and disposal aspects) and less toxic solvent.

283

284 **3.3. LOD and LOQ**

After selecting the solvent mixture for extraction, limit of detection (LOD) and limit of quantification (LOQ) were determined. According to IUPAC (2002) ²⁰, the detection limit should be calculated as 3 times the standard deviation obtained for at least 6 complete independent determinations of analyte at a typical matrix, blank or low-

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289	level material. Thus, 10 g of sediment sample ($n = 6$) were spiked with 16 U.S. EPA
290	PAHs, at a final concentration of 10 ng g ⁻¹ , for each compound, for dry weight. Spiked
291	sediments were then extracted by three 15 min steps, using 10 mL of a solvent mixture
292	composed of n-hexane/acetone (55:45). LOD and LOQ were calculated as 3s and 10s,
293	respectively, where s is the analysis standard deviation (Table 4). Method limits of
294	detection ranged from 0.6 ng g^{-1} dry weight (Acenaphthylene) to 7.6 ng g^{-1} dry weight
295	of (Benzo[a]anthracene), which are in accordance to literature. ^{14, 21, 22} Method accuracy
296	for low level concentrations (10 ng g^{-1}) was also evaluated by means of the percent
297	relative standard deviation, with values not greater than 5%.

298

Table 4: Limit of detection (LOD), the limit of quantification (LOQ) and percent
 relative standard deviation (n=6) obtained for 16 U.S. EPA PAHs.

Compound	LOD	LOQ	RSD (%)
Compound	(ng g ⁻¹ dry wt.)	(ng g ⁻¹ dry wt.)	
Naphthalene	5.5	18.5	2
Acenaphthylene	0.6	1.9	1
Acenaphthene	1.2	4.0	2
Fluorene	1.3	4.2	2
Phenanthrene	4.1	13.6	3
Anthracene	1.4	4.5	3
Fluoranthene	5.7	19.0	3
Pyrene	5.2	17.3	3
Benz[a]anthracene	7.6	25.3	5
Chrysene	3.8	12.7	3
Benzo[b]fluoranthene	3.0	10.1	3
Benzo[k]fluoranthene	3.7	12.3	5
Benzo[a]pyrene	4.6	15.5	4
Indeno[1,2,3-cd]fluoranthene	2.1	6.9	3
Dibenzo[a,c]anthracene	1.6	5.2	3
Benzo[ghi]perylene	2.6	8.6	3

% RSD: percent relative standard deviation; dry wt.: dry weight.

Through a 3^3 Box-Behnken design, it was possible to optimize a rapid method 305 306 (45 min) with low consumption of solvents for the extraction of PAHs in samples of river sediment by ultrasound. The extraction conditions selected involve three steps of 307 sonication of 15 minutes with 10 mL of a mixture of solvents. Two solvent mixtures 308 were proposed for the extraction of PAHs in sediment samples, i.e. n-hexane/DCM 309 (55:45) and n-hexane/acetone (55:45). The extraction with a mixture composed of n-310 hexane/acetone (55:45) was more efficient and reproducible, since it resulted in higher 311 percentages of recovery and lower percent relative standard deviation. Method limits of 312 detection ranged from 0.6 ng g^{-1} dry weight (Acenaphthylene) to 7.6 ng g^{-1} dry weight 313 of (Benzo[a]anthracene). 314

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