

Analytical Methods

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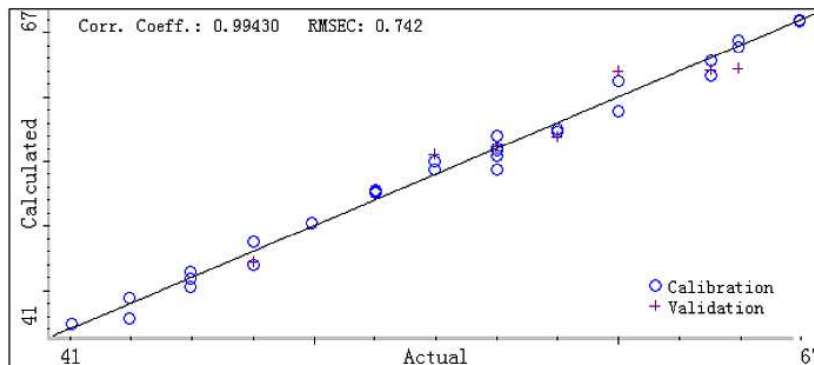
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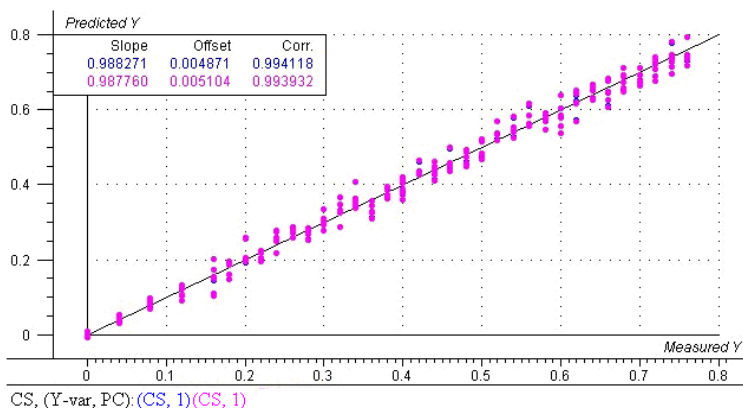
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NIR can obtain high accuracy within a wider concentration range. Raman can obtain relatively high accuracy only within a narrower concentration range.



Raman



NIR

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4 1 **Determination of chondroitin sulfate in tablet by Raman spectroscopy and near**
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6 2 **infrared spectroscopy combined with chemometrics methods**
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10 4 **Xiumei Liu^{a*}, Lian Li^a, Ting Zhao^a, Haiping Dong^b**
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1
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3
4 **9 Abstract**

5
6 10 Chondroitin sulfate (CS) is one type of acidic mucopolysaccharides, which consist
7
8 11 of repeating disaccharide units of glucuronic acid and galactosamine. Since CS has no
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10 12 UV chromophore, it is usually detected by the terminal absorption due to the N-acetyl
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12 13 function at a wavelength of 200 nm, resulting in lower sensitivity. Raman
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14 14 spectroscopy (Raman) and near infrared spectroscopy (NIR) coupled with partial least
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16 15 squares (PLS) can provide rapid, simple, reproducible and non-destructive
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18 16 quantitative analysis of CS, and no sample pre-treatment and pre-separation are
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20 17 required. In this study, we predicted the CS content in tablet using Raman and NIR
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22 18 combined with PLS approaches. Our results showed that the predicted values obtained
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24 19 by NIR were in good agreement with the real values, and the correlation coefficient
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26 20 (Corr. Coeff.) was 0.994. In Raman spectroscopy studies, when the CS content in
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28 21 tablet was in the range of 7%-39%, the Corr. Coeff. and root mean square error of
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30 22 calibration (RMSEC) were 0.998 and 0.578, respectively. When the CS content in
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32 23 tablet was in the range of 41%-67%, the Corr. Coeff. and RMSEC were 0.994 and
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34 24 0.742, respectively. Therefore, high accuracy could be achieved within a wider
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36 25 concentration range when using NIR, whereas a relatively high accuracy could be
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38 26 obtained only within the certain concentration range when using Raman.

39
40 27 **Keywords** Chondroitin sulfate; Raman spectrum; Near infrared spectrum; Partial least
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42 28 squares

1. Introduction

Chondroitin sulfate (CS) is one type of acidic glycosaminoglycans (GAGs) consisting of variable number of repeating disaccharide units of alduronic acid and aminohexose, extracted from cartilage of animals. It has been used as drugs for treating neuralgia, arthritis, tinnitus, canker, hyperlipemia and so on.^{1,2} The outcome of treatments is directly related to the quality of CS preparations. The structural characteristic of CS include heterogeneity of molecular mass and charge density due to different sites and degree of sulfation, much types depending on the extraction source, such as chondroitin sulfate A (CS-A, 4-sulfation in N-acetyl-D-galactosamine), chondroitin sulfate B (CS-B, C-5 epimerization to iduronic acid), chondroitin sulfate C (CS-C, 6-sulfation in N-acetyl-D-galactosamine) etc and no UV or fluorescence chromophores and so on. The structure of one disaccharide unit of CS is shown in Fig.1. So it is difficult to quantify the intact CS using common spectrum and chromatographic methods. Therefore, to develop reliable and accurate methods of CS content determination is exclusively important for the quality control of CS preparations.

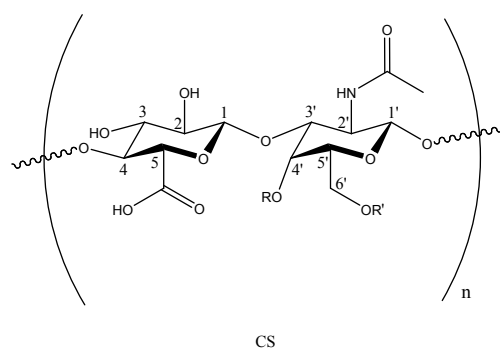


Fig. 1. The structure of one disaccharide unit of CS. CS-A: $R=SO_3H$, $R'=H$; CS-C: $R=H$, $R'=SO_3H$; CS-B: C-5 epimerization to iduronic acid.

Currently, spectrophotometry,^{3,4} chromatography⁵⁻¹¹ and electrochemistry method¹² are the most commonly used methods for crude CS quantification. Spectrophotometry has a complex operation process and is often affected by many factors, leading to a

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4 58 poor reproducibility. Chromatography, such as capillary electrophoresis (CE)⁹, has a
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6 59 strong baseline noise due to the end absorption at 200 nm with a tailing peak or wide
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8 60 peak, resulting in an imprecise quantification. While strong-anion exchange
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10 61 (SAX)-HPLC⁷ is used for analysis unsaturated disaccharides produced by the action
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12 62 of chondroitin ABC lyase, the analytical process is complicated and reagent is
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14 63 expensive. Although with a high sensitivity, electrochemistry method has a lot of strict
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16 64 determination conditions and difficulties in operations, and its specificity is not good.
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18 65 Therefore, it is necessary to develop an appropriate and precise method for the
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20 66 quantitative analysis of CS.

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22 67 Both Raman spectroscopy (Raman) and near infrared spectroscopy (NIR) are
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24 68 branches of vibrational spectroscopy and have been applied in many areas of
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26 69 analytical chemistry today. They can provide rapid, simple, reproducible and
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28 70 non-destructive qualitative and quantitative analysis, and no sample pre-treatment and
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30 71 pre-separation are required.

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32 72 Raman is based on the scattering of light from near infrared or visible radiation due
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34 73 to the vibrational energy of the molecules in the sample. It has several general
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36 74 advantages, such as non-interference of water in the sample, ease of sampling and
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38 75 measurement, and minimal fluorescence interference.^{13,14} Because the Raman signal is
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40 76 scattering spectrum signal, the Raman spectrum can be affected by the molecular
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42 77 structure and stability of light irradiation of analyte. Meanwhile, the optical path of
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44 78 irradiation can also affect the determination. Sometimes, the peaks of several analytes
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46 79 can overlap, leading to an inaccurate quantification. Therefore, it is necessary to
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48 80 establish the proper calibration and validation procedures with data acquisition
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50 81 protocols for Raman methods. Chemometrics is often used in Raman spectrum for
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52 82 quantitative analysis in order to extract the information from the complex spectra
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54 83 containing overlapping absorption peaks, interference effects and instrumental
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56 84 artifacts. Feld et al.¹⁵ quantitatively analyzed the histochemical composition of human
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58 85 artery using NIR Raman spectroscopy. They found that the Raman signal behaves
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60 86 linearly with the component concentration, even in a highly scattering medium such
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as in powder. The calculated fit coefficients from the spectra are in agreement with the

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4 88 measured values within experimental uncertainties.

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6 89 NIR technique can quantitatively analyze one or several components in a sample
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8 90 using the optical property of analyte in near infrared spectrum. It has several
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10 91 advantages, such as speediness, simplicity, non-destructiveness and pollution-free.
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12 92 Moreover, NIR is a simultaneous multi-component analytical method, and it can also
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14 93 determine a single chemical compound among a great number of other substances,
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16 94 especially by means of NIR spectrophotometer combined with chemometrics methods.
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18 95 In addition, the original NIR spectrum is difficult to analyze because the NIR
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20 96 spectrum band is wide and often overlaps with other bands. Therefore, it is necessary
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22 97 to obtain available information by chemometrics.

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24 98 The construction of calibration model is the basis of quantitative analysis by
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26 99 spectrum. The stand or fall of calibration model directly affects the quantitative
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28 100 analysis. Generally, quantitative analysis includes several steps,¹⁶ and calibration set
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30 101 must be also accorded several requirements. For a simple sample, samples in the
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32 102 validation set can be directly prepared. The concentration range of samples in the
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34 103 validation set should cover 95% of those in the calibration set.¹⁷

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36 104 Pre-treatment of crude spectral data is important because the NIR or Raman spectra
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38 105 are often affected by the instrumental variation and measurement conditions, resulting
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40 106 in background noise and baseline drift. There are different spectral pre-treatment
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42 107 methods, among which Savitzky-Golay (S-G) filter is effective for smoothing
43
44 108 high-frequency noise and elevating signal-to-noise ratio. The first derivative can
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46 109 eliminate the translation and baseline drift, wipe out the interference of other
47
48 110 background, discriminate the overlapping peaks and improve the resolution and
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50 111 sensitivity of spectra. Second derivative makes it easier to see the peak feature in the
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52 112 raw spectrum. Both standard normal variate (SNV) and multiple scatter correction
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54 113 (MSC) can remove the slope variation and correct the light scatter due to different
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56 114 particle sizes.^{18,19}

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58 115 The most commonly used multivariate statistical methods in spectrum analysis
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60 116 include principal component analysis (PCA), principal component regression (PCR),
117 117 partial least squares (PLS) and so on. Data compression, calibration and validation are

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4 118 the basis of these methods.^{20,21} For a successful application of these methods, certain
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6 119 factors should be taken into consideration, such as the proper selection of spectral
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8 120 range, stability of the spectra and the number of variables employed in the calibration
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10 121 model.

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12 At present, NIR is a relative mature technique and is applied in qualitative analysis,
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14 123 quantitative analysis and on-line quality control for polysaccharide.²²⁻²⁶ While Raman
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16 124 spectrum is deemed to only do semiquantitative analysis for a long time. Recently,
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18 125 Raman spectrum was also used for quantitative analysis and less applications have
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20 126 been reported.²⁷⁻²⁹ Mrozek et al.²⁷ analyzed oligosaccharides using Raman spectrum
21
22 127 and PLS, and they obtained good results with an average error of less than 2.7%.
23
24 128 However, no one has reported the quantitative analysis of CS using Raman approach.
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26 129 The aim of the study is to determine the CS content in CS tablets using Raman and
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28 130 NIR approaches and to validate the accuracy and suitability of Raman approach in
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30 131 quantitative analysis by means of comparison of the results obtained by Raman and
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32 132 NIR approaches. The main contents in this study include: investigate the potentials of
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34 133 Raman spectroscopy; characterize the preparation with different excipients; quantify
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36 134 the CS content in tablets; develop the calibration and validation models for predicting
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38 135 the CS content in unknown samples; and compare the results obtained by Raman and
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40 136 NIR.

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43 44 45 138 **2. Materials and methods**

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48 49 140 **2.1. Chemicals**

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53 142 CS from shark cartilage (purity > 92.0%) was purchased from Chongqing Imperial
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55 143 Bio-Chem. Co., Ltd. (Chongqing, China). Soluble starch (SS), magnesium stearate
56
57 144 (MS), talcum (T), dextrin (D) and crystallite cellulose (CC) were provided by Tianjin
58
59 145 Bodi Chemical Ltd. (Tianjin, China).

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2.2. Sample preparation and partition

A total of 45 groups of samples with different compositions were prepared according to the general tablet composition. Furthermore, 35 groups of them were used for the calibration set (Table 1), and the remaining was used for the validation set (Table 2). In order to investigate the effect of different backgrounds on spectrum analysis, the excipients in samples 5* and 6* in validation set are different from excipients in other samples. The constituents of samples were precisely weighed. The mixtures were ground into a fine powder in a mortar, filtered to a fineness of 80 mesh and then tableted. The CS content in the calibration set and validation set was in ranges of 0%-76% and 20%-65% (increase in orderly), respectively.

2.3. Apparatus and parameters

Manual and single punch tablet press with 80-mesh fineness was provided by Shandong Medical Appliance Factory (Shandong, China).

Raman spectra were recorded using a LabRAM HR UV-800 NIR Confocal Laser MicroRaman spectrometer (HORIBA Jobin Yvon, France). He-Ne laser operating at

Table 1 The content percentage of every constituent in CS tablets in the calibration set

Sample number	CS (%)	CC (%)	MS (%)
1	0.000	88.34	11.66
2	4.029	85.25	10.72
3	8.009	81.62	10.37
4	12.00	78.32	9.680
5	16.00	74.92	9.080
6	18.00	73.33	8.670
7	20.02	70.94	9.040
8	22.00	69.29	8.710
9	24.00	67.46	8.540

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4	10	26.00	65.01	8.990
5				
6	11	28.00	63.91	8.090
7				
8	12	29.99	62.14	7.870
9				
10	13	32.00	60.50	7.500
11				
12	14	34.02	58.61	7.370
13				
14	15	36.00	56.78	7.220
15				
16	16	37.90	54.98	7.120
17				
18	17	39.97	53.29	6.740
19				
20	18	41.95	50.02	8.030
21				
22	19	43.94	44.99	11.07
23				
24	20	45.94	48.35	5.710
25				
26	21	47.99	46.68	5.330
27				
28	22	49.95	43.36	6.690
29				
30	23	52.02	42.65	5.330
31				
32	24	53.97	40.82	5.210
33				
34	25	56.00	39.15	4.850
35				
36	26	57.96	37.34	4.700
37				
38	27	60.00	35.49	4.510
39				
40	28	63.02	34.42	2.560
41				
42	29	63.94	32.02	4.040
43				
44	30	65.96	30.19	3.850
45				
46	31	67.97	28.67	3.360
47				
48	32	69.93	26.86	3.210
49				
50	33	71.96	25.52	2.520
51				
52	34	73.93	23.04	3.030
53				
54	35	76.00	20.98	3.020
55				

167

168 Table 2 The content percentage of every constituent in CS tablets in the validation set

Sample number	CS (%)	CC (%)	MS (%)
1	20.02	71.64	8.340
2	25.00	67.82	7.180
3	30.01	64.31	5.680
4	34.98	57.18	7.840
5 ^{*a}	40.00	54.48	5.520
6 ^{*b}	44.99	46.99	8.020
7	49.98	45.66	4.360
8	54.99	40.17	4.840
9	60.00	35.50	4.500
10	64.99	31.16	3.850

169 ^a the excipients in 5*sample are CC, MS and T, (mass ratio, CC:MS:T =54.48:4.14:1.38)

170 ^b the excipients in 6* samples are CC, MS and T, (mass ratio, CC:MS:T =46.99:6.015:2.005)

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172 632.81 nm with a power of 17 mW was used as the excitation source, and the laser
173 beam was focused to a spot of approximately 100 μm diameter. The exposure time
174 was 20 s, and the aperture was 400 μm equipped with long-focus lens of 50 times.
175 Raman spectra were obtained in the range of 500 ~ 4,000 cm^{-1} . The system was
176 operated using the TQ Analyst software (Thermo Nicolet), and the experiments were
177 performed in triplicate.

178 NIR measurement was carried out using Brimrose Luminar 5030 AOTF-NIR
179 spectrometer (Brimrose Co., USA) with an InGaAs detector. NIR spectra were
180 collected at about 25 $^{\circ}\text{C}$, and the humidity was well controlled in the laboratory. Each
181 spectrum was the average of 300 scans with a wavelength increment of 2 nm over the
182 wavelength range of 1,100-2,300 nm. The spectrum data were analyzed by the
183 Unscrambler analytical software.

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185 2.4. Methods

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6 187 **2.4.1. Raman spectrum analysis**

7 188 A total of 35 groups tablets were scanned. Tablets with the same component and
8 189 content were scanned in triplicate. In order to investigate the precision, the tablets
9 190 with 56% CS content were scanned in sextuplicate. So 108 ($34 \times 3 + 1 \times 6$) tablets were
10 191 scanned, corresponding to 108 samples. The calibration model was constructed in
11 192 following steps: (1) sample selection, which was conducted according to leverage and
12 193 studentized residual; (2) spectrum pre-treatment, the methods used for spectrum
13 194 pre-treatment included derivative, spectrum smoothness and MSC. Derivative can
14 195 eliminate the baseline drift and strengthen the spectrum band character. Spectrum
15 196 smoothness can improve the signal-to-noise of analysis signal. MSC is often used to
16 197 diffuse the reflection spectrum, and it can reduce the difference of spectrum and
17 198 reserve the spectrum information related to the chemical ingredient; (3) selection of
18 199 spectral range, which can reduce the reference of noise from the irrelevant interzone
19 200 spectrum; (4) selection of main factor number, which can avoid the fit-not-enough or
20 201 overfit; (5) construction of mathematical model; and (6) model estimation and
21 202 optimization. The calibration set and validation set were stochastically selected by the
22 203 TQ Analyst software.

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40 204 **2.4.2. NIR spectrum analysis**

41 205 NIR spectra were obtained from 45 groups CS tablets, among which 35 groups
42 206 were used as the calibration set and 10 groups were used as the validation set. Every
43 207 surface (side) of one tablet was scanned once, and three CS tablets with the same
44 208 component and content were scanned. Therefore, the same CS content produced six
45 209 spectra and 270 ($45 \times 6 = 270$) spectra were obtained, corresponding to 270 samples.
46 210 Moreover, the averaged spectrum was calculated. The diffuse reflectance spectra were
47 211 collected in a ratio mode, which can reduce the effect caused by the change of
48 212 background. Leave-one-out cross-validation strategy was used to identify the
49 213 optimum factors during the model development.

50 214 In Raman and NIR quantitative analysis, the PLS was used to construct models. In
51 215 addition, the first derivative was used for spectrum pre-treatment, and the optimum

number of calibration factors was selected based on the root mean square error of cross validation (RMSECV). Cross validation was used to estimate the performance of the developed models.

3. Results and discussion

3.1. Quantitative analysis of CS tablet by Raman spectrum

5 samples were ignored, 84 samples were used as calibration set and 29 samples were used as validation set. The correlative parameters of calibration models are showed in Table 3. The results suggested that the calibration model was bad when the CS concentration of samples in the calibration set ranged between 0.0 and 76.0%. Therefore, 35 groups tablets were divided into two groups, with CS concentration ranges of 0%-40% and 41%-76%, respectively. Accordingly, two models, model A with a CS content of 41%-67% and model B with a CS content of 7%-39%, were constructed in order to obtain good predicted results. The correlative parameters are listed in Table 3.

Table 3 The correlative parameters of models of Raman and NIR analysis

	C _{CS} ^a	N ₁ ^b	N ₂ ^c	Method of treatment	PLS factors	R _c ^d	RMSECV ^f	RMSECV	R _p ^e	RMSEP ^g
	0-76%	84	29	MSC, 1 st , S-G7 ^h	3	0.780	13.4	14.9	-	-
Raman	7-39%	23	6	MSC, 1 st , S-G7	9	0.998	0.578	3.79	0.760	2.56
	41-67%	29	7	MSC, 1 st , S-G7	6	0.994	0.742	3.95	0.966	1.42
NIR	0-76%	210	60	1 st , S-G9	1	0.994	2.28	0.994	0.999	8.45×10 ⁻³

^a C_{CS}, the percentage concentration of CS

^b N₁, the number of samples in calibration set

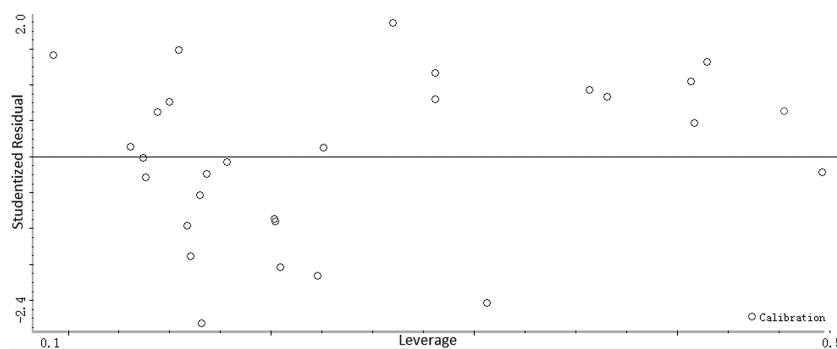
^c N₂, the number of samples in validation set

- 236 ^dR_c, correlation coefficient of calibration model
- 237 ^eR_p, correlation coefficient of validation model
- 238 ^fRMSEC, root mean square error of samples in calibration set
- 239 ^gRMSEP, root mean square error of samples in validation set
- 240 ^hS-G7, Savitzky-Golay smoothing with 7 points

242 3.1.1. Model A

243 3.1.1.1. Outlier detection

244 An important step in building a PLS model is the identification of outliers because
 245 PLS calibration method is strongly influenced by the presence of outliers.³⁰
 246 Studentized residual and leverage methods are usually used to detect and remove
 247 outliers.³¹⁻³⁴ Those samples which have higher studentized residual or leverage or
 248 which have obvious difference from others are regarded as outliers. Fig.2 shows the
 249 selected 29 samples whose concentration percentage range of CS were from 42% to
 250 66% in calibration set after removing the outliers.

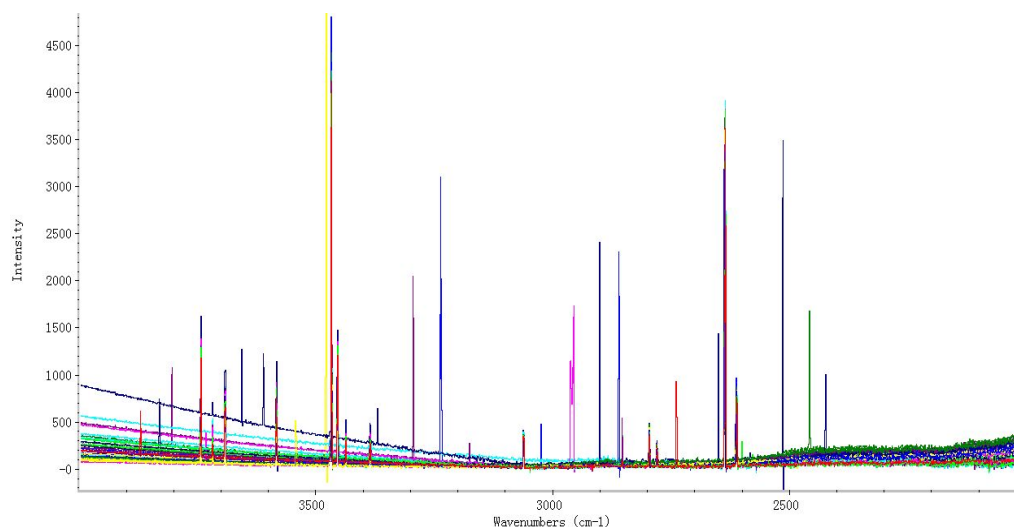


254 Fig. 2. Outlier detection. 29 samples were selected and those dots that have higher
 255 studentized residual or leverage had been deleted.

256 3.1.1.2. Spectrum treatment

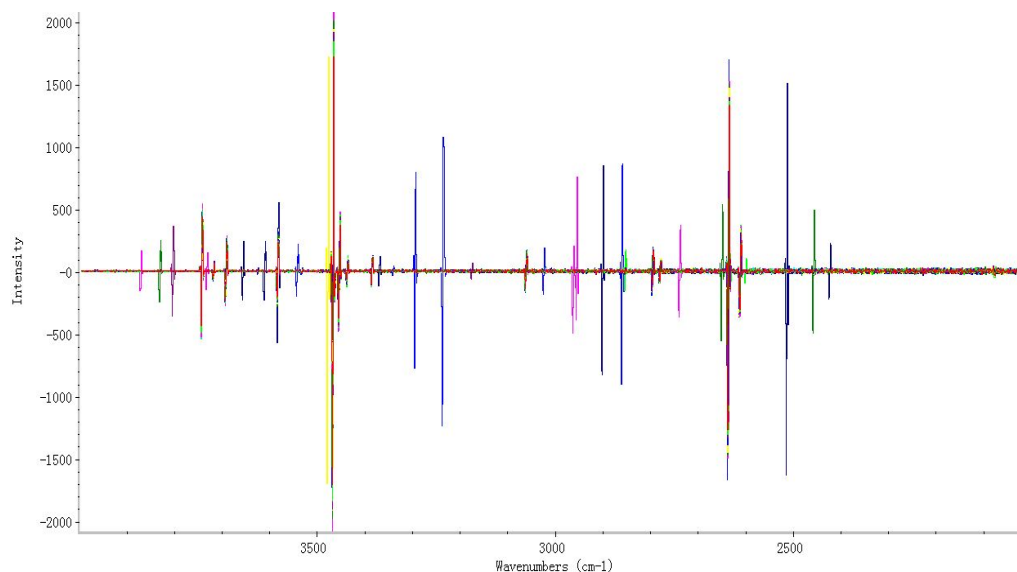
257 Fig. 3 shows the original spectra of the selected 29 samples. We found that good
 258 results could be obtained after the spectra were dealt with MSC, first-order

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5 259 derivative and S-G smoothing with 7 points (S-G7, the polynomial order: 3). Fig. 4
6
7 260 shows the spectra after dealt with MSC, first-order derivative and S-G7.



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262 Fig.3. The Raman spectra of 29 samples with CS percentage concentration ranges
263 between 41% and 67% in calibration set.



264

265 Fig.4. The pre-treatment spectrum via MSC treatment, first-order derivative and S-G7
266 smoothing.

267 3.1.1.3. The selection of main factor number

268 The main factor is also named the main component, it is very important to select
269 the main factor number (n) for the accuracy of calibration model. If n is too little,
270 there will lose more useful information of original spectrum, resulting in underfit. If n
271 is too much, there will include more noise of original spectrum, resulting in overfit.

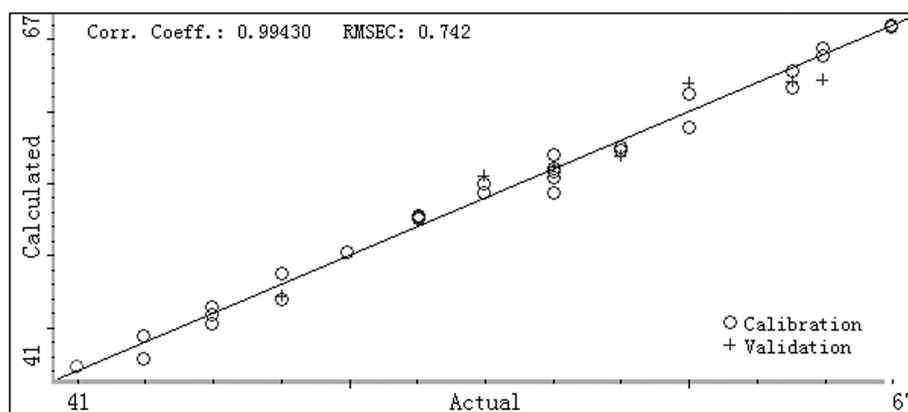
Appropriate n not only can make the most of spectrum information but also eliminate noise.¹⁶ The proper number of main factors was selected by the leave-one-out cross-validation method, and RMSECV was used to optimize the parameters. When a least value of RMSECV is obtained, the corresponding number of main factors is optimum. In this study the optimum number of main factors was 6.

3.1.1.4. The selection of spectral region

The spectral regions of 2,616-2,643 cm^{-1} and 2,800-2,780 cm^{-1} were automatically selected by the software. The calibration model was constructed based on the selected spectrum range.

3.1.1.5. Model construction and evaluation

In order to characterize the prediction ability of a created PLS model, the correlation coefficient of calibration model (R_c), the correlation coefficient of validation model (R_p), root mean square error of calibration (RMSEC) and root mean square error of prediction (RMSEP) were used for estimation.³⁰ Fig. 5 shows the pertinence between predicted results obtained by calibration model and real values of percentage concentration of CS between 41% and 67%. Samples in the calibration set were distributed in two sides of tropic, R_c and RMSEC were 0.994 and 0.742, respectively. Samples in the validation set were also distributed in two sides of tropic, R_p and RMSEP were 0.966 and 1.42, respectively. The results suggested that the predicted values of samples in the validation set were consistent with real values. Table 4 lists the predicted values and real values of samples with a CS content range of 41%-67%.



295 Fig. 5. The pertinence between predicted results obtained by calibration model and
 296 real values of CS contents with percentage concentration range of 41%-67%.

297

298 Table 4 The predicted values obtained by Raman and the real values of samples within
 299 the CS content range of 41%-67%

Real value (%)	Predicted value (%)	Absolute error	Relative error
41.95	42.31	0.36	0.86%
43.94	44.48	0.54	1.08%
43.94	42.88	-1.06	-2.41%
45.94	45.89	-0.05	-0.11%
45.94	46.47	0.53	1.15%
45.94	45.26	-0.68	-1.48%
47.99	48.75	0.76	1.58%
47.99	47.02	-0.97	-2.02%
47.99*	47.18	-0.81	-1.69%
49.95	50.23	0.28	0.56%
52.02	52.60	0.58	1.11%
52.02	52.64	0.62	1.19%
52.02	52.80	0.78	1.50%
53.97*	55.58	1.61	2.98%
53.97	55.00	1.03	1.91%
53.97	54.41	0.44	0.82%
56.00	55.38	-0.62	-1.11%
56.00	54.37	-1.63	-3.00%
56.00	57.05	1.05	1.88%
56.00	56.10	0.10	0.18%
56.00	55.83	-0.17	-0.30%
56.00*	56.19	0.19	0.34%
57.96	57.59	-0.37	-0.64%

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4	57.96	56.93	-1.03	-1.78%
5				
6	57.96	57.37	-0.59	-1.02%
7				
8	60.00	58.88	-1.12	-1.87%
9				
10	60.00	61.23	1.23	2.05%
11				
12	60.00*	61.95	1.95	3.25%
13				
14	63.02	62.81	-0.21	-0.33%
15				
16	63.02*	62.09	-0.93	-1.48%
17				
18	63.02	61.71	-1.31	-2.08%
19				
20	63.94	64.45	0.51	0.80%
21				
22	63.94*	62.18	-1.76	-2.75%
23				
24	63.94	63.93	-0.01	-0.02%
25				
26	65.96	65.84	-0.12	-0.18%
27				
28	65.96	66.05	0.09	0.00%
29				

300 * the samples in validation set

301

302 3.1.2. Model B

303 The same operation process and treatment methods used in model A were applied to
 304 model B. The optimum number of main factors was 9 for model B. The wave bands of
 305 spectra automatically selected by the software were 2,779-2,460 cm^{-1} , 3,476-3,463
 306 cm^{-1} and 3,777-3,742 cm^{-1} . Fig. 6 shows the pertinence between predicted values
 307 obtained by calibration model and real values of percentage concentration of CS
 308 between 7% and 39%. The R_c was 0.998 and the RMSEC was 0.578, R_p and RMSEP
 309 were 0.760 and 2.56, respectively. The results suggested that the predicted values of
 310 samples were consistent with real values. Table 5 lists the predicted values and real
 311 values of samples with a CS content range of 7%-39%.

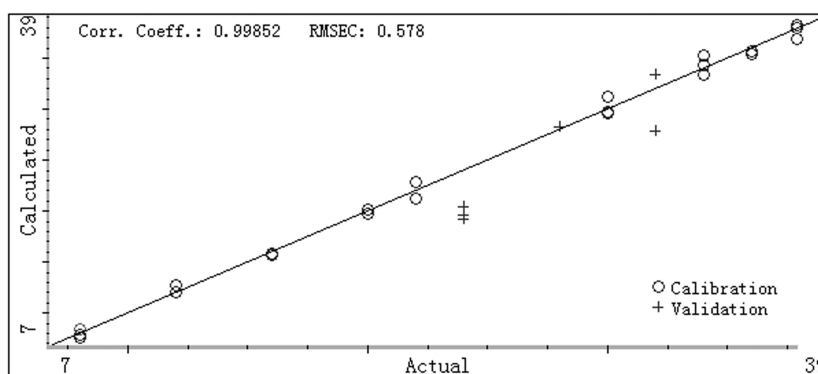


Fig. 6. The pertinence between predicted values obtained by calibration model and real values of CS contents with percentage concentration range of 7%-39%.

Table 5 The predicted values obtained by Raman and the real values of samples within the CS content range of 7%-39%

Real value (%)	Predicted value (%)	Absolute error	Relative error
8.01	8.48	0.47	5.87%
8.01	7.54	-0.47	-5.87%
8.01	7.85	-0.15	-1.87%
12.00	12.09	0.09	0.75%
12.00	12.07	0.07	0.58%
16.00	15.79	-0.21	-1.31%
16.00	15.73	-0.27	-1.69%
16.00	15.84	-0.16	-1.00%
20.02	20.13	0.11	0.55%
20.02	19.77	-0.25	-1.25%
22.00	22.86	0.86	3.91%
22.00	21.18	-0.82	-3.73%
24.00*	21.55	-2.45	10.2%
24.00*	21.24	-2.76	11.5%
24.00*	21.12	-2.88	12%
28.00*	28.32	0.32	1.14%
29.99	31.19	1.20	4.00%

29.99	29.57	-0.42	-1.40%
29.99	29.71	-0.28	-0.93%
32.00*	29.24	-2.96	-9.25%
32.00*	33.38	1.38	4.31%
34.02	33.38	-0.64	-1.88%
34.02	34.30	0.28	0.82%
34.02	35.26	1.24	3.64%
36.00	37.47	-0.53	-1.47%
36.00	35.68	-0.32	-0.89%
37.70	38.31	0.41	1.09%
37.70	38.03	0.13	0.34%
37.70	36.84	-1.06	-2.81%

318 * the samples in validation set

319

320 3.1.3. Discussion

321 The interference experiment showed that the excipients in CS tablet exerted a
322 serious interference to Raman spectrum. Therefore, it was impossible to quantitatively
323 analyze the CS content by routine methods without the pre-treatment. However, the
324 quantitative analysis for CS tablet could be directly performed without the
325 complicated pre-treatment process by Raman spectrum combined with PLS modeling
326 method.

327 The relative errors of predicted values obtained by models A and B were less than
328 4% and 12%, respectively. It suggested that the quantitative analysis by Raman
329 spectrum combined with PLS was quite accurate in the certain concentration range.
330 The relative standard deviation (RSD) of six predicted values for 56% CS content was
331 1.64%.

332 However, Raman spectrum also had some shortcomings when analyzing solid
333 mixture. For example, the uniformity of solid samples is highly required by Raman
334 spectrum. Bad sample uniformity results in poor reproducibility and much exceptional
335 samples.

336

3.2. Quantitative analysis of CS tablet by NIR spectrum

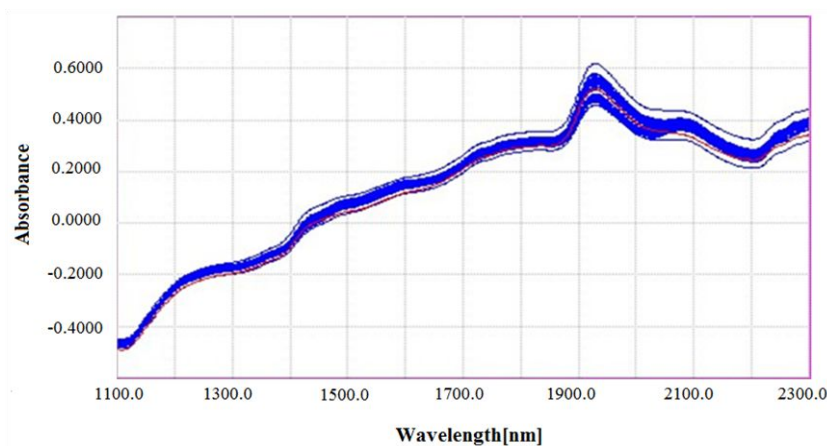
338

3.2.1. Spectrum treatment

Fig. 7 shows the original spectra of all 270 (45×6) samples between 1,100-2,300 nm.

The band at 1,900-1,950 nm was caused by the strong absorption of water.³⁵ Light scattering caused by different particle sizes and densities affected the raw spectra, resulting in the baseline drift. The spectra were more tightly arranged, suggesting that the comparability among spectra was good.

345

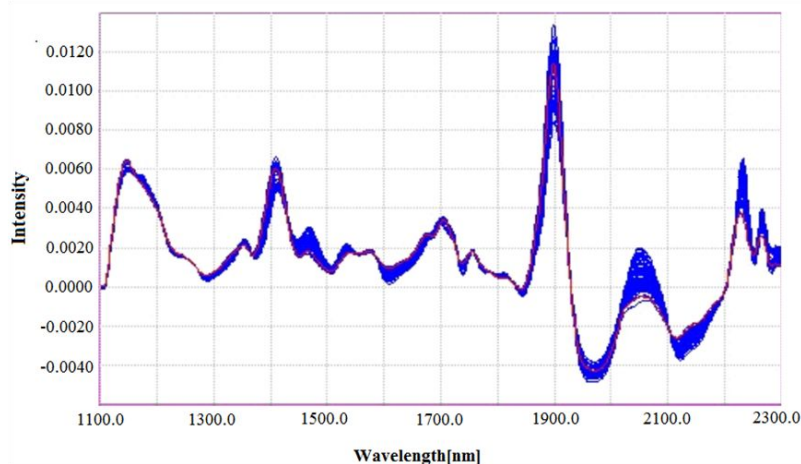


346

Fig. 7. The original NIR spectra of 45 groups CS tablets, total 270 samples. Red line: a selected spectrum, has not specific meaning.

In order to eliminate the effect of noise and baseline, the original spectra need to be pretreated prior to the model construction. The adopted pre-treatment methods included first-order derivative and S-G9 smoothing treatments. First-order derivative treatment can eliminate the baseline excursion and drift caused by the color difference of samples. Fig. 8 shows the spectra after the pre-treatment.

353

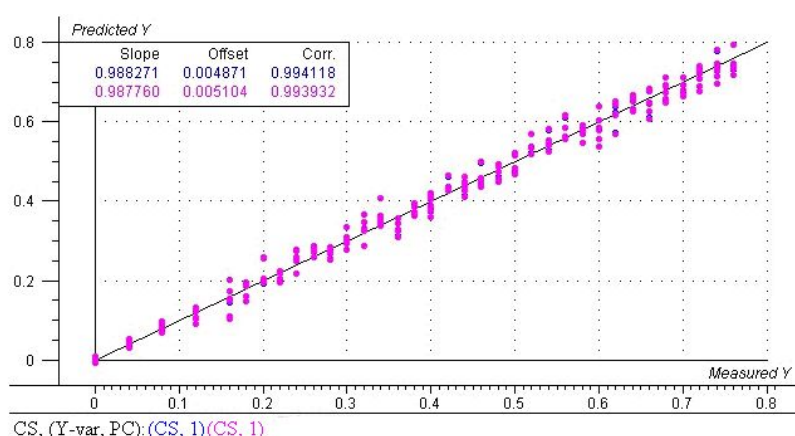


354

355 Fig. 8. The spectra obtained after treatment by first-order derivative and S-G9
 356 smoothing. Red line: a selected spectrum, has not specific meaning.

357 3.2.2. Construction of PLS model

358 PLS method was used to construct the pertinence between the spectrum data after
 359 the pre-treatment and sample content. Fig. 9 shows the perfect calibration model
 360 obtained by the quantitative analysis software of Unscrambler. The blue dots were
 361 from 210 samples (35×6) and were used as calibration set, $R_c=0.9941$. The red dots
 362 were also from the 210 samples and were used as leave-one-out cross-validation set,
 363 $RMSECV=0.9939$. So these blue and red dots were almost overlapped completely.
 364 The predicted values of all samples in calibration model had good pertinence with the
 365 real CS content, and correlative parameters of model are showed in Table 3.



366

367 Fig. 9. The PLS regression model of CS tablet. Blue dots: calibration set, $R_c=0.9941$,
 368 red dots: leave-one-out cross-validation set, $RMSECV=0.9939$. (CS,1): the main
 369 factor number of PLS model is 1.

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4 370 **3.2.3. Exterior validation**

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6 371 A total of 10 groups samples in the exterior validation set were predicted using the
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8 372 constructed calibration model (Table 6). Because each CS content has six spectra, the
9
10 373 predicted value of each CS content is the average value of six predicted values. The
11
12 374 results suggested that predicted values are very near to real values except for two
13
14 375 samples of 5* and 6*, the R_p is 8.45×10^{-3} .

15
16 376

17
18 377 Table 6 The predicted average values obtained by NIR and real values of 10 groups
19
20 378 samples in the validation set

Sample number	Predicted value	Real value	Relative error (%)	Average error (%)
1	0.20	0.20	0.00	
2	0.26	0.25	4.00	
3	0.30	0.30	0.00	
4	0.34	0.35	2.86	
5* ^a	0.42	0.40	5.00	1.54
6* ^a	0.49	0.45	8.89	
7	0.51	0.50	2.00	
8	0.54	0.55	1.82	
9	0.59	0.60	1.67	
10	0.65	0.65	0.00	

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46 379 ^a the excipients in 5* and 6* are different from those in other samples in validation set.
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49
50 381 **3.2.4. Discussion**

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52 382 According to the modeling and predicted results, the NIR method is better than the
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54 383 Raman method because the NIR spectrum can eliminate the effect of tablet uniformity
55
56 384 to a certain extent. Table 3 lists the correlative parameters of Raman and NIR analysis.

57
58 385 The average relative error of the 8 predicted values (except for two samples of 5*
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60 386 and 6*) was 1.54%. Large errors were observed from the samples of 5* and 6* (Table

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4 387 6). The main reason could be that the accessory materials of these two samples were
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6 388 different from those of other samples in the calibration set. Therefore different
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8 389 background have large effect on the predicted ability of PLS model.
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10 390

11 391 **4. Conclusion**

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16 393 Raman spectrum combined with PLS is a new analytical technique for quantitative
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18 394 analysis of GAG. This method requires no the sample pre-treatment and
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20 395 pre-separation, and the obtained results have good accuracy within a relatively narrow
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22 396 concentration range. Our data showed that the uniformity of tablet and the stability of
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24 397 experimental conditions have great effect on predicted results. The most promising
25
26 398 finding in this study is that Raman spectroscopy can also be used to detect the CS
27
28 399 content in tablet. Prediction can be improved if standard calibration and validation
29
30 400 models are developed for samples within a narrow concentration range.

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32 401 NIR is a relatively mature method for the quantitative analysis, and its
33
34 402 determination is quite accurate in a very wide concentration range of given
35
36 403 compounds. However, in order to obtain the high accuracy, the premise is that the
37
38 404 chemical components of samples in the calibration set must be consistent with those
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40 405 of samples in the validation set. Therefore, NIR is very suitable for the sample with
41
42 406 known chemical components, which is very important for on-line quality control of
43
44 407 drugs in enterprises.

45
46 408 NIR and Raman spectroscopy coupled with multivariate calibration are promising
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48 409 techniques for the quantitative analysis of GAG. Furthermore, both methods are well
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50 410 suited for rapid screening procedures, by which a large number of samples can be
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52 411 quickly evaluated. NIR is a rapid, non-destructive and fluorescence-insensitive
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54 412 technique. However, water in the sample can affect the NIR spectrum. Raman
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56 413 spectroscopy is also a rapid and non-destructive method, but it is sensitive to the
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58 414 fluorescence in sample or sample container. Therefore, NIR and Raman
59
60 415 spectroscopies are complementary methods for the quantitative analysis.

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5
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7
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11
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13
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