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1	Simultaneous determination of caffeine, gallic acid, theanine,
2	(-)-epigallocatechin and (-)-epigallocatechin-3-gallate in
3	green tea using quantitative <sup>1</sup> H-NMR spectroscopy
4	
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### 25 Abstract

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Green tea consists of the dried leaves of Camellia sinensis, and enjoys great 27 popularity all over the world due to its pleasant taste and positive impact on human 28 29 health. It has also been regarded as a natural medicine containing great amounts of caffeine (CA), gallic acid (GA), theanine (TH), and tea polyphenols, mainly including 30 epicatechin (EC), epigallocatechin (EGC), epicatechin-3-gallate (ECG), and 31 32 epigallocatechin-3-gallate (EGCG). In the present study, <sup>1</sup>H-NMR spectroscopy was 33 utilized for chemical characterization along with simultaneous determination of CA, 34 GA, TH, EGC and EGCG in commercial green tea. Signal assignment for representative samples was facilitated by reference compounds and comparing with 35 information in the literatures. On the other side, the diagnostic singlet signals at  $\delta$  7.68, 36 7.14, 6.59 and 6.62 in the <sup>1</sup>H-NMR spectra were selected as quantitative peaks for CA, 37 38 GA, EGC and EGCG, respectively, while the triplet signal at  $\delta$  1.12 with a coupling constant (J) of 7.26 Hz was chosen for the determination of TH. TSP- $d_4$  was adopted 39 40 as the internal standard (IS) and the reference chemical shift of  $\delta$  0.00. The limits of 41 detection (LODs) and limits of quantitation (LOQs) were measured as 28.9 and 57.8 42  $\mu$ g/mL for CA, 18.7 and 37.4  $\mu$ g/mL for GA, 23.4 and 46.8  $\mu$ g/mL for TH, 28.1 and 43 56.2 µg/mL for EGC, and 28.1 and 56.2 µg/mL for EGCG, respectively. The relative 44 standard deviation (RSDs) of both precision and repeatability assays were lower than 4.5%. The mean recoveries of high, medium and low concentration levels for each 45 analyte were among the range of 93.1~106.3%. The contents of CA, GA, TH, EGC 46 and EGCG were measured among the ranges of 3.72~8.38 mg/g, 0.34~1.88 mg/g, 47 1.56~4.48 mg/g, 2.96~8.50 mg/g and 2.78~10.60 mg/g, respectively, in nine batches 48 of green tea. Above all, <sup>1</sup>H-NMR spectroscopy was proved as a reliable tool not only 49 50 for metabolic characterization, but also for simultaneous determination of effective components in green tea. 51

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Key words: Green tea; <sup>1</sup>H-NMR spectroscopy; Quantitative <sup>1</sup>H-NMR; Chemical
characterization; Tea polyphenols.

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### 55 **1. Introduction**

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Green tea is made solely from the dried leaves of *Camellia sinensis* by minimal 57 oxidation and fermentation during processing (unfermented). Originating in China, it 58 has been consumed as a popular drink throughout the world for thousands of years 59 with a high reputation of having health-promoting effects  $^{1}$ . It has also become the 60 raw material for the extracts which are used in various beverages, health foods, 61 dietary supplements, and cosmetic items<sup>2</sup>. Over the past few decades, this drinking 62 herb has been screened using many scientific and medical evaluations to determine 63 64 the extent of its long-purported health benefits, and the results suggested that regular green tea drinkers have a lower risk of developing heart disease and certain types of 65 cancers, such as skin, esophagus, colon, pancreas, stomach, lung, bladder, prostate 66 and breast cancers <sup>3-5</sup>. In addition, the extract of green tea has been reported to exhibit 67 68 diversely pharmacological activities, including, but not limited to, anti-inflammatory, anti-microbial, anti-tumour, anti-oxidative and anti-aging effects <sup>6</sup>. Although green tea 69 70 could not raise the metabolic rate significantly to afford immediate weight loss, 71 polyphenols, theanine (TH) and caffeine (CA), all of which are extensively present in 72 the green tea extract, have been demonstrated to induce thermogenesis and to 73 stimulate fat oxidation by boosting the metabolic rate without increasing the heart rate 7. 74

Varieties of green tea products have been produced in the locations where it is 75 grown. Thus, the quality of these various products can differ substantially due to 76 77 variable growing conditions, horticulture, production processing, and harvesting time. 78 Up to now, the quality of green tea is mainly assessed through its appearance (color, color intensity, and cloudiness), flavor (astringency, bitterness, and sweetness), and 79 aroma (floral, sweet, grassy, etc.)<sup>8</sup>. However, modern evaluations have shown that the 80 green tea quality exhibits high correlation with the contents of amino acids (in 81 particular TH), gallic acid (GA), catechins [such as epicatechin (EC), 82 epicatechin-3-gallate (ECG), epigallocatechin (EGC) and epigallocatechin-3-gallate 83 (EGCG)], CA and some other components<sup>8</sup>. Therefore, it is crucial to determine the 84

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quality of green tea by the performance of both globally metabolic characterizationand simultaneous quantitation of the effective constituents mentioned above.

Comparing with routine analytical techniques, such as capillary electrophoresis 87 (CE), high performance liquid chromatography (HPLC) or ultra performance liquid 88 89 chromatography (UPLC) coupled with diode array detection (DAD) or tandem mass spectrometry (MS/MS), proton nuclear magnetic resonance (<sup>1</sup>H-NMR) spectroscopy 90 has been widely proved as a more attractive method with an "all in one" feature being 91 92 able to provide not only qualitative but also quantitative information for a wider range 93 of chemical metabolites with simple sample preparation and fast acquisitions, yet without further time consuming purification process <sup>9</sup>. This technology has been 94 utilized to characterize metabolic profile and simultaneous determination of a group 95 of catechins in green tea<sup>10-15</sup>. The assignment of signals for the main constituents that 96 97 present in green tea has been accomplished, and the results indicated a possibility for 98 the simultaneous determination of a set of active components using quantitative <sup>1</sup>H-NMR spectroscopy. In addition, liquid chromatography coupled with 99 100 time-of-flight mass spectrometry (TOF-MS) has been applied for the metabolomic study of green tea <sup>16-17</sup>, and EC, EGC, ECG, EGCG, CA, TH, myricetin and theogallin 101 102 were picked out as the chemical biomarkers for different cultivars of green tea. However, to the best of our knowledge, <sup>1</sup>H-NMR method hasn't been proposed for the 103 104 simultaneous determination of active components in green tea at the same time of characterizing the major constituents. Moreover, the simultaneous determination of 105 CA, GA, TH, ECG and EGCG, the latter three ones in which are known to be the 106 active components in green tea and may be used as quality indicators <sup>18,19</sup>, hasn't been 107 108 achieved either. Therefore, in the present study, we aim to propose a practical method 109 for the simultaneous determination of CA, GA, TH, ECG and EGCG (Fig. 1) in crude 110 green tea extract on the basis of comprehensive chemical characterization using <sup>1</sup>H-NMR spectroscopy. The findings obtained in this paper are expected to prove this 111 112 method as a meaningful choice for the quality control of green tea.

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### 114 **2 Material and methods**

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### 116 2.1 Chemicals and Reagents

Deuterium Oxide (D<sub>2</sub>O, 99.9 atom % D) was purchased from Cambridge Isotope 117 118 Laboratories (Andover, Massachusetts, USA). TSP- $d_4$  [3-(trimethylsilyl)-2,2, 119 3,3-tetradeuteropropionic acid, sodium salt] (purity = 99%), which was used as the 120 internal standard, was obtained from NORELL (Landisville, NJ, USA). Analytical 121 grade caffeine (CA), gallic acid (GA), theanine (TH), epigallocatechin (EGC), 122 epigallocatechin-3-gallate (EGCG), K<sub>2</sub>HPO<sub>4</sub> and NaOH were supplied by 123 Sigma-Aldrich (St. Louis, MO) or Merck (Darmstadt, Germany). Ultra-pure water 124 was prepared in house using a Milli-Q plus water purification system (Millipore, 125 Bedford, MA, USA).

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### 127 2.2 Preparation of TSP- $d_4$ -D<sub>2</sub>O solution

Phosphate buffer (50 mmol/L, pH 6.5) was prepared by adding 0.68 g KH<sub>2</sub>PO<sub>4</sub> and 0.1 mol/L NaOH into Milli-Q water. 0.06 g TSP- $d_4$  was dissolved in the phosphate buffer to obtain TSP- $d_4$  stock solution. 250 µL TSP- $d_4$  stock solution and 7.0 mL D<sub>2</sub>O were transferred into a 10.0 mL volumetric flask and mixed thoroughly, and then the mixture was made up to 10.0 mL with the phosphate buffer. The final contents of TSP- $d_4$  and D<sub>2</sub>O were 0.15 g/L and 70% (v/v) in the prepared TSP- $d_4$ -D<sub>2</sub>O solution, respectively.

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136 2.3 Preparation of green tea extract

Various types of commercial green tea samples (*C. sinensis*) were collected from
a local supermarket (Guangzhou, China) in May 2012, including (1) *Tieh-Kuan-Yin*, *BiLuoChun*, (3) *Huangshan Maofeng*, (4) *Xinyang Maojian* (5) *Saiqing Maocha*and (6) *Kejia Chaoqing*. The voucher specimens of all the nine batches were
deposited at the Key Laboratory of Natural Pesticide and Chemical Biology of
Ministry of Education, South China Agricultural University. The detailed description
of the samples is summarized in Table 1.

144

Approximate 50 mg pulverized green tea was weighed in 2 mL Eppendorf tube.

145 Following the addition of 1.5 mL milli-Q water, tubes were tightly closed with the plastic cap and then kept in 70°C water bath with continuous shaking for 25 min. 146 147 After each extract was cooled to room temperature, around 1.0 mL clarified supernatant was harvested by centrifugation at 13 000 rpm for 20 min. Subsequently, 148 149 an aliquot of 600  $\mu$ L each supernatant was mixed with 100  $\mu$ L prepared TSP-d<sub>4</sub>-D<sub>2</sub>O 150 solution. The obtained mixture was transferred into 5-mm tubes (Norell ST500-7) and immediately subjected for <sup>1</sup>H-NMR spectra measurement. Reference compounds 151 152 mixture were prepared and analyzed in the same way at the same time. All 153 experiments were carried out in triplicate and variation was expressed with relative 154 standard deviation (RSD, %).

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156  $2.4^{1}$ H-NMR spectroscopy

All <sup>1</sup>H-NMR spectra were recorded on a Bruker AVANCE II 600 spectrometer at
600.13 MHz proton frequency (Bruker, Karlsruhe, Germany) equipped with TCI
cryoprobe and Z-gradient system at 297 K.

To determine the longitudinal relaxation time (T<sub>1</sub>) of these CA, GA, TH, EGC and EGCG, the inversion recovery pulse sequence method was applied for the selected proton signals using T<sub>1</sub> cal Bruker program. And then, the measured data was put into the exponential equation:  $I = I_0 + P \exp(-\gamma/T_1)$ , in which I is the intensity of each proton resonance at inversion delay ( $\gamma$ ) and I<sub>0</sub> at the equilibrium state, and P is a constant. A serial of inversion delays were fixed as 0.25, 0.5, 1.0, 2.0, 4.0, 8.0, 15.0, 30.0 and 60.0 s.

For each sample, 128 scans of 38 460 data points were acquired with a spectral width of 9 600 Hz (16 ppm), pulse width of 12.34  $\mu$ s, acquisition time of 4.0 s, relaxation delay time ( $d_1$ ) of 10 s, flip angle of 90° and constant gain of 181. All the data was obtained under an automatic procedure, requiring about 19.4 min per sample. Solvent suppression was achieved using the Watergate pulse sequence <sup>20</sup>. D<sub>2</sub>O served as the field frequency lock, and the chemical shifts of all the spectra were calibrated using the signal from TSP- $d_4$  at  $\delta$  0.00.

174 Initialized data processing was carried out with Bruker TOPSPIN 2.1 software (Bruker, Karlsruhe, Germany). An exponential function with LB = 0.3 Hz was applied, 175 176 and the data were zero-filled to give at least five data points above the half width for 177 each resonance to allow for precise and reliable integration. The Free Induction Decay 178 (FID) signals were Fourier transformed (FT) and all the spectra were manually phased 179 and automated polynomial baseline correction was applied to improve the accuracy of 180 the integral. Data analysis was carried out with MestReNova 5.3.1 software package 181 (Mestrelab Research SL). All selected NMR peaks were integrated manually with the 182 same integral limits being used for a given peak in all spectra.

183

184 2.6 Simultaneous determination using quantitative <sup>1</sup>H-NMR spectroscopy

The contents of these five analytes in green tea samples were calculated using the
 following equation <sup>21</sup>:

187 
$$content(mg / g) = \frac{A_x}{A_{IS}} \times \frac{N_{IS}}{N_x} \times \frac{M_x}{M_{IS}} \times \frac{W_{IS}}{W_x} P_{IS}$$

where  $A_x$  and  $A_{IS}$  represent the integral areas of the analyte and internal standard (IS), respectively;  $N_{IS}$  and  $N_x$  correspond to the proton numbers of internal standard and the analyte, respectively;  $M_x$  and  $M_{IS}$  are the molecular weights of the analyte and IS, respectively;  $W_{IS}$  is the weight of IS, while  $W_x$  is the weight of the analyte;  $P_{IS}$  stands for the purity of the standard (99%).

193

194 2.7 quantitative <sup>1</sup>H-NMR method validation

The proposed quantitative <sup>1</sup>H-NMR ( $q^{1}$ H-NMR) method was validated using the calibration curves, precision, accuracy, repeatability, limit of detection (LOD) and limit of quantitation (LOQ) assays <sup>22</sup>.

Accurately weighed CA, GA, TH, EGC and EGCG (around 1.65 mg for each) were mixed and dissolved in 600  $\mu$ L Milli-Q water and diluted using 100  $\mu$ L of prepared TSP-*d*<sub>4</sub>-D<sub>2</sub>O solution to obtain stock solution. And then, the mixture was stepwise diluted by Milli-Q water: TSP-*d*<sub>4</sub>-D<sub>2</sub>O solution (*v*/*v*, 6:1) to yield calibration samples at a serial of concentration levels. At least six concentration levels of the

203 calibration samples were analyzed in triplicate, and then the calibration curves were 204 constructed by plotting the ratios of the peak areas of each standard detected by 205  $q^{1}$ H-NMR to internal standard (IS, TSP- $d_{4}$ ) versus the theoretical concentration levels 206 of each analyte over the corresponding calibration concentration range. Limit of detection (LOD) is the lowest concentration of an analyte that can be detected, while 207 208 limit of quantification (LOQ) is defined as the lowest concentration of a targeted 209 analyte that can be accurately and precisely quantified. Typically, they are three and 210 ten folds of the noise level (S/N = 3, 10), respectively. For each targeted ingredient, 211 both LOD and LOQ were determined by serial dilution of stock solution under the described q<sup>1</sup>H-NMR parameters. 212

Precision, repeatability and stability assays were assessed by the relative standard deviation (RSD, %). The *BiLuoChun* (1) sample was chosen to measure for six replicates continuously to achieve the precision assay. Stability study was carried out by detecting the same sample at different time points within 24 h during the storage at 4°C. Repeatability was determined by testing six separately prepared *BiLuoChun* (1).

The recovery was used to evaluate the accuracy of the method and determine by adding the mixed standard solutions with three different concentration levels (high, middle and low) to the known amounts of *BiLuoChun* (1). The mixture was extracted and analyzed following the procedures described above. Three replicates were performed at each level. The percentage recoveries were calculated according to the following equation: Recovery (%) = (amount found - original amount) × 100%/amount spiked. Variations were expressed by RSD (%).

- 225
- 226 **3. Results and Discussion**
- 227

228 3.1 Optimization of q<sup>1</sup>H-NMR experiment conditions

In accordance with usual performance, in this paper, hot water was selected as the extraction solvent for pulverized green tea sample. After optimizing extraction time and temperature, 70°C and 25 min duration were chosen to process each batch of

green tea sample. Furthermore, the cycle of extraction was optimized as once.

233 The  $T_1$  values of the selected working proton signals were measured as 3.735 s for 234 CA, 7.140 s for GA, 2.546 s for TH, 2.647 s for EGC, 3.404 s for EGCG and 3.483 s 235 for the internal standard (TSP- $d_4$ ). If 5-fold T<sub>1</sub> relaxation delay time was adopted as 236 the relaxation delay time  $(d_1, about 36 s)$  for the simultaneous determination, the 237 single measurement time would be too long for the routine analysis. For time-saving 238 measurement, we compared the response of GA, which afforded the longest  $T_1$ , under 239 different relaxation delay times (from 1 s to 36 s), and the results revealed that no 240 significant change was observed for the peak area of GA after 10 s of relaxation delay 241 (data not shown). Therefore, 10 s was chosen in current study, which is three times 242 more than the average T1. In fact, some examples that the  $d_1$  was set less than 5-fold  $T_1$  could be found in literatures <sup>20</sup>. Owing that increasing the scanning numbers is 243 244 helpful to improve the signal-noise (S/N) ratio, which has a potent effect on the 245 integral value, in particular for that of trace components, 128 scans were finally 246 selected in this NMR analysis.

247

### 248 3.2 Signal assignment of <sup>1</sup>H-NMR spectra

249 Table 2 summarizes the assignment of proton signals of the main components 250 that were tentatively identified from green tea. Firstly, the signals of CA, GA, TH, 251 EGC and EGCG were assigned using reference compounds which were measured 252 parallelly. CA exhibited three obvious signals at  $\delta$  3.23 (s), 3.42 (s) and 3.79 (s), 253 corresponding to the three N-methyl groups at N-1, N-7 and N-3 positions, 254 respectively, and one single peak at  $\delta$  7.65 (s) that was definitely assigned to the 255 aromatic proton (H-8) (Fig. 2). GA solely afforded singlet peak at  $\delta$  7.16 (s), which 256 was generated by the protons of H-2 and H-6 (Fig. 2). Five signals were yielded by 257 TH, including one intense triplet signal at  $\delta$  1.12 (t, J = 7.26 Hz) vesting to 8-CH<sub>3</sub>, 258 one triplet signal at  $\delta$  3.85 (t, J = 6.06 Hz, H-2) for H-2 and three multiplet signals at  $\delta$ 259 2.20 (m), 2.45 (m) and 3.27 (m) belonging to the methylene groups at C-3, C-4, C-7 260 positions, respectively. Five signals were observed for EGC, including a singlet peak 261 at  $\delta$  6.59 (s) corresponding to the aromatic protons of H-2' and H-6', a doublet peak at

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4.32 (m) belonging to H-3, and two multiplet signals at  $\delta$  2.81 (m) and 2.93 (m) being respectively assigned to H-4eq and H-4ax. EGCG generated seven proton signals in the <sup>1</sup>H-NMR spectrum, including two singlet signals at  $\delta$  6.62 (s) and 6.87 (s) that corresponded to the aromatic protons of H-2' & H-6' and H-2'' & H-6'', respectively; one doublet peak at  $\delta$  6.09 (d, J = 2.28 Hz) for aromatic protons of H-6 and H-8; two multiplet signals at  $\delta$  2.93 (m, H-4ax) and 3.08 (m, H-4eq) and two multiplet ones at  $\delta$ 5.03 (m); 5.50 (m) yielded by H-2 and H-3.

269 In general, <sup>1</sup>H-NMR spectroscopy based quantification requires at least one 270 non-overlapping signal for each molecule for easy integration and quantification. The 271 signal exhibited at 0.00 ppm of  $TSP-d_4$  was used as internal standard. The triplet 272 signal at  $\delta$  1.12 with a coupling constant (J) as 7.26 Hz was selected to determine 273 TH's concentration (Fig. 2), while the diagnostic single signals at  $\delta$  7.65, 7.16, 6.62 274 and 6.59 in the proton NMR spectra were chosen as quantitive peaks for CA, GA, 275 EGCG and EGC (Fig. 2B), respectively. The protons indicated with circles were 276 chosen for quantitative analysis because the corresponding signals afford intense 277 signal or triplet and not overlap with the other signals (Fig. 1).

278 Besides the observation of EGC and EGCG with the assistance of reference 279 compounds, some other catechin derivates were also tentatively identified in the 280 <sup>1</sup>H-NMR spectra by comparing with spectroscopic values in literature. The presence 281 of epicatechin (EC) and epicatechin-3-gallate (ECG) was obviously indicated by the 282 observation of two sets of signals, which were  $\delta$  2.77, 2.95, 4.27, 4.81, 5.90, 6.83, 6.92, 7.02, and  $\delta$  2.89, 3.03, 5.09, 6.94, 7.04 (Table 2) <sup>14,23</sup>. Meanwhile, as the 283 284 biosynthesis precursor of polyphenols in green tea, catechin was also identified based on the signals at  $\delta$  2.57, 2.87, 4.63 and 6.85 <sup>13,24</sup>. In addition, the signals at  $\delta$  6.57, 285 6.84 and 6.96 tentatively accounted for the existences of gallocatechin-3-gallate 286 (GCG), gallocatechin (GC) and catechin-3-gallate (CG), respectively (Table 2) <sup>13,24</sup>. 287 Flavonoids were responsible for the signal at the low field around 8.00 ppm, and the 288 289 flavonoids could be apigenin glucoside, quercetin glucoside and/or kaempferol glucoside on the basis of the information in literature  $^{13}$ . 290

291

Sugar compounds were mostly observed in the region between  $\delta$  3.00 and 5.50.

Sucrose was the major disaccharide (nonreducing sugar) in green tea having resonances at  $\delta$  3.43, 3.53, 3.76, 3.80, 3.84, 3.88, 4.05, 4.19, and 5.42 <sup>13,14</sup>. Signals belonging to monosaccharides (reducing sugar) including fructose,  $\alpha$ -glucose and  $\beta$ -glucose clearly resonated at  $\delta$  3.56, 3.70, 3.79, 3.88, 4.00, 4.10;  $\delta$  3.50, 5.20;  $\delta$  3.50, 5.20, and  $\delta$  3.21, 4.58, respectively (Table 2) <sup>13</sup>.

Six types of amino acids including arginine (1.73 and 3.27 ppm), alanine (1.57 and 3.71 ppm), valine (0.97 and 1.02 ppm), threonine (1.35 and 4.22 ppm), leucine (0.98 ppm) and glutamine (2.13, 2.43 and 3.70 ppm) were also detected in the spectra (Table 2)<sup>13</sup>.

301 As the biosynthesis pioneer of caffeine, the existence of theobromine was confirmed by the signal at  $\delta$  7.74 <sup>12,13</sup>. *p*-Coumaryl quinic acid was identified using 302 303 the signals at  $\delta$  7.51 and 7.72, while quinic acid afforded the signals at  $\delta$  1.90, 1.92, 2.03, 3.55, 3.98 and 4.05 <sup>13</sup>. Some other components including  $\gamma$ -amino butyric acid 304 305 (1.92, 2.34 and 3.03 ppm), fatty acid (0.88, 1.28 and 1.60 ppm), 2-O-306 arabinopyranosyl-myo-inositol (3.26, 3.61, 3.68, 3.89, 3.97, 4.18 and 5.14 ppm), 307 theogallin (2.02, 2.15, 2.20 and 7.15 ppm) and acetate (1.98 ppm) were also assigned in the spectra (Table 2)  $^{13}$ . 308

309

### 310 3.3 Validation of quantitative method

311 In fact, it is not really necessary for the quantitative analysis of individual 312 compound to establish regressive calibration curves since the content of the compound was strictly proportional to the peak area of corresponding signal in the 313 314 <sup>1</sup>H-NMR spectrum. But depending on the different concentrations, the accuracy 315 evaluation of this method may be determined by establishing calibration curves for 316 each compound determined over a large concentration range. For the calibration 317 equations, the correlation coefficients of the five compounds were higher than 0.999, 318 while the high values obtained indicated a good linearity response within the 319 concentration range studied (Table 3).

A signal-to-noise (*S/N*) ratio of 3 is used to determine limit of detection (LOD). For the five measurements performed on diluted standard solution with known

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concentration, the LODs obtained were 28.9 μg/mL for CA, 18.7 μg/mL for GA, 23.4
μg/mL for TH, 28.1 μg/mL for both EGC and EGCG, respectively. The LOQs were
57.8, 37.4, 46.8, 56.2, 56.2 μg/mL for CA, GA, TH, EGC and EGCG, respectively
(Table 3).

The RSDs of precision and repeatability tests are both below 4.5% (Table 4). The stability results proved that the sample could keep stable within 24 h. The recovery tests were analyzed by the method described above. As shown in Table 5, the mean recoveries of the five compounds were in the range of 93.1~106.3% over the three concentration levels.

These data proved that the developed approach was precise, accurate and sensitive enough for simultaneous quantitative determination of these five compounds in green tea samples.

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335 3.4 Simultaneous determination of CA, GA, TH, EGC and EGCG in commercial336 green tea samples

The contents of CA, GA, TH, EGC and EGCG in nine batches of commercial 337 338 green tea were analyzed following the method described above, and the results were summarized in Table 1. The representative <sup>1</sup>H-NMR spectrum of green tea is shown in 339 340 Fig. 2. All the contents of CA (7.84 mg/g), GA (1.88 mg/g), TH (4.48 mg/g), EGC 341 (8.50 mg/g) and EGCG (10.6 mg/g) were detected in Huangshan Maofeng (1), and 342 the other batch of *Huangshan Maofeng* (2) also contained high contents of these five analytes (CA, 8.38 mg/g; GA, 1.45 mg/g; TH, 4.24 mg/g; EGC, 7.50 mg/g; and 343 344 EGCG, 9.63 mg/g), indicating a good active prospect for this type of green tea. For 345 Tieh-Kuan-Yin, which was mainly cultivated in Fujian province, it exhibited low 346 contents of GA and TH in all types. On the other hand, the content of EGCG in *Kejia* 347 *Chaoqing* was detected much lower than the other type of green tea, while the lowest 348 content of EGC was observed for *Saiging Maocha*. Interestingly, big variations were 349 found between the two batches of Xinyang Maojian, especially for the contents of 350 EGC and EGCG. The content of EGCG in BiLuoChun (1) was quite different from 351 that in *BiLuoChun* (2), and these two batches contained analogous contents of CA,

352 GA, TH and EGC.

353 The results indicated that the contents of these five compounds exhibited big 354 variations among the different types of the plant materials. The chemical composition 355 of green tea depends on several factors: genetic strain, climatic conditions, soil, 356 growth altitude and horticultural practices, the plucking season, sorting (grading) of the leaves, the processing, storage, etc  $^{12}$ . For example, the best green teas are usually 357 plucked during the first flush in April or May (there are three main plucking times: 358 spring, summer, and autumn)<sup>25</sup>. Furthermore, the plucking position also plays key 359 role for the quality of green tea<sup>10</sup>. In general, the youngest green tea leaves provide the 360 highest quality of the tea, the highest levels of caffeine, gallic acid, and theanine yet 361 the lowest level of EGCG<sup>10</sup>. 362

Some factors were widely believed to contribute to the LOD of quantitative <sup>1</sup>H-NMR, such as the magnetic field strength of the spectrometer, the scanning numbers, the type of probe, and the size of the tube. Generally speaking, the introduction of cryo-probes could strengthen the LOD obviously. In current case, a TCI cryoprobe was adopted. For the other factors, the magnetic field strength was selected as 600 MHz, and 5-mm tubes were chosen due to convenient process, while scanning number was optimized as 128 scans.

Ouantitative <sup>1</sup>H-NMR has been introduced for the simultaneous determination of 370 catechin derivates <sup>11</sup>, however, DMSO- $d_6$  served as the solvent during NMR spectrum 371 372 measurement in that case. As common drinking herb, aqueous solvent should be more 373 appropriate for the quality assessment of green tea. On the other hand, the separation 374 between EGC and EGCG usually couldn't meet the demands of determination due to overlapping signals of these two analytes <sup>10,12,13</sup>. However, in the current study, 375 376 baseline separation was achieved for EGC and EGCG, which should be accounted for 377 the adoption of high-field NMR spectrometer and acidic buffer (pH 6.5). Moreover, 378 the simultaneous quantitation of CA, GA and TH in green tea was carried out for the 379 first time using quantitative NMR spectroscopy.

In addition, thirty-one components were tentatively identified in the representative <sup>1</sup>H-NMR spectra. Sucrose and some amino acids (alanine and threonine) were also

obtained baseline separation with the other signals in corresponding domains, indicating the potential for the determination of those components using <sup>1</sup>H-NMR spectroscopy with the conditions proposed in current study.

385

### 386 Conclusion

In this study, <sup>1</sup>H-NMR spectroscopy was adopted for the simultaneous 387 determination of the contents of CA, GA, TH, EGC and EGCG in nine batches of 388 389 commercial green tea samples for the first time based on the comprehensively 390 metabolic profiling. Validation assays proved that the developed quantitative <sup>1</sup>H-NMR 391 method was of good accuracy, precision and repeatability, indicating it could be 392 adopted as a simple, rapid, and powerful tool for effective quality assessment. The results obtained suggested that <sup>1</sup>H-NMR can be adopted as a reliable tool for rapid 393 394 quality evaluation of green tea.

395

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399

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446 Figure legends:

447

Fig.1 Chemical structures of caffeine (CA), gallic acid (GA), theanine (TH), 448 449 epigallocatechin (EGC) and epigallocatechin-3-gallate (EGCG). The protons 450 indicated with circles are chosen for quantitative analysis. Fig.2 Representative <sup>1</sup>H-NMR spectra of green tea in the range of  $\delta$  -0.5~8.5 (A); 451 expansions for the range of  $\delta$  6.5~7.3 (B),  $\delta$  3.2~4.5 (C), and  $\delta$  0.9~1.5 (D). 1. 452 453 flavonoid(s); 2. theobromine; 3. caffeine; 4. p-coumaryl quinic acid; 5. gallic acid; 6. 454 theogallin; 7. epicatechin-3-gallate; 8. epicatechin; 9. gallocatechin-3-gallate; 10. 455 catechin-3-gallate; 11. epigallocatechin-3-gallate; 12. catechin; 13. epigallocatechin; 456 14. gallocatechin; 15. sucrose; 16.  $\alpha$ -glucose; 17. 2-*O*-arabinopyranosyl-myo-inositol; 457 18. β-glucose; 19. frucose; 20. quinic acid; 21. theanine; 22. glutamine; 23. arginine; 458 24. γ-amino butyric acid; 25. acetate; 26. alanine; 27. threonine; 28. fatty acid; 29. 459 valine; 30. leucine; 31. isoleucine.

N.	name	CA		GA		TH		EGC		EGCG	
No.		Con.	RSD (%)								
1	Tieh-Kuan-Yin	3.72	1.37	0.34	2.34	1.56	2.03	3.85	1.36	8.81	1.24
2	BiLuoChun (1)	5.47	1.49	1.58	1.78	2.94	1.39	3.97	2.01	3.13	1.13
3	Huangshan Maofeng (1)	7.84	1.02	1.88	1.65	4.48	1.97	8.50	0.77	10.6	0.87
4	Xinyang Maojian (1)	5.02	0.95	1.31	2.78	3.74	2.57	3.18	2.44	3.78	1.21
5	Saiqing Maocha	3.77	1.45	1.73	1.48	1.94	2.35	2.96	1.86	3.37	1.39
6	Kejia Chaoqing	5.20	1.32	1.63	1.99	1.83	2.21	6.75	2.28	2.78	2.77
7	BiLuoChun (2)	5.90	0.76	1.51	2.05	3.13	1.65	3.58	2.73	5.82	1.84
8	Xinyang Maojian (2)	5.07	1.22	1.17	1.34	2.92	2.89	7.83	1.72	8.76	2.55
9	Huangshan Maofeng (2)	8.38	1.38	1.45	2.31	4.24	3.04	7.50	2.13	9.63	2.97

CA: caffeine; GA: gallic acid; TH: theanine; EGC: (-)-epigallocatechin; EGCG: (-)-epigallocatechin-3-gallate; Con.: content.

463

### **Analytical Methods**

	$(10\% D_2O, \delta \text{ in ppm}, J \text{ in Hz})$				
Analyte	Assignment of protonic signals				
caffeine <sup>a</sup>	3.23 (s, N <sub>1</sub> -CH <sub>3</sub> ); 3.42 (s, N <sub>7</sub> -CH <sub>3</sub> ); 3.79 (s, N <sub>3</sub> -CH <sub>3</sub> ); <b>7.65 (s, H-8)</b> <sup>b</sup>				
gallic acid <sup>a</sup>	7.16(s, H-2,6)				
theanine <sup>a</sup>	<b>1.12</b> ( <b>t</b> , <b><i>J</i> = <b>7.26</b> Hz, <b>8-CH</b><sub>3</sub>); 2.20 (m, 3-CH<sub>2</sub>); 2.45 (m, 4-CH<sub>2</sub>); 3.2</b>				
	(m, 7-CH <sub>2</sub> ); 3.85 (t , $J = 6.06$ Hz, H-2)				
epigallocatechin <sup>a</sup>	2.81 (1H, m, H-4eq); 2.93 (1H, m, H-4ax); 4.32 (1H, m, H-3); 4.92 (1H				
	m, H-2); <b>6.59</b> ( <b>s</b> , <b>H-2'</b> , <b>6'</b> )				
epigallocatechin-3-gallate <sup>a</sup>	2.93 (m, H-4ax); 3.08 (m, H-4eq); 5.03 (m, H-2); 5.50 (m, H-3); 6.0				
	(d, J =2.28 Hz, H-6,8); <b>6.62</b> (s, H-2',6'); 6.87 (s, H-2'',6'')				
epicatechin	2.77, 2.95, 4.27, 4.81, 5.90, 6.83, 6.92, 7.02				
epicatechin-3-gallate	2.89, 3.03, 5.09, 6.94, 7.04				
catechin	2.57, 2.87, 4.63, 6.85				
catechin-3-gallate	6.96				
gallocatechin	6.57				
gallocatechin-3-gallate	6.57, 6.84, 6.98				
flavonoids	8.00				
<i>p</i> -coumaryl quinic acid	7.51, 7.72				
theobromine	7.74				
theogallin	2.02, 2.15, 2.20, 7.15				
2-O-arabinopyranosyl-myo-	3.26, 3.61, 3.68, 3.89, 3.97, 4.18, 5.14				
inositol					
sucrose	3.43, 3.53, 3.76, 3.80, 3.84, 4.05, 4.19, 5.42				
fructose	3.56, 3.70, 3.79, 3.88, 4.00, 4.10				
α-glucose	3.50, 5.20				
$\beta$ -glucose	3.21, 4.58				
arginine	1.73, 3.27				
threonine	1.35, 4.22				

alanine	1.57, 3.71
valine	0.97, 1.02
leucine	0.98
isoleucine	0.92, 0.99
γ-amino butyric acid	1.92, 2.34, 3.03
acetate	1.98
glutamine	2.13, 2.43, 3.70
quinic acid	1.90, 1.92, 2.03, 3.98, 4.05
fatty acid	0.88, 1.28, 1.60

464 <sup>a</sup>: signals were assigned using reference compounds;

465 <sup>b</sup>: signals indicated in bold were chosen for quantitation.

	(	LOQ) of	the five analytes.			
Amolaitea	Linear regression da	ita		LOD (walmu)		
Analytes	Regression equation	R	Test range (µg/mL)	- LOD (μg/InL)	LUQ (µg/mL)	
CA	y = 0.0051 x - 0.0078	0.9995	28.9-1850	28.9	57.8	
GA	y = 0.0122 x - 0.0875	0.9995	18.7-1200	18.7	37.4	
TH	y = 0.0184 x - 0.1099	0.9995	23.4-1500	23.4	46.8	
EGC	y = 0.0043 x - 0.1003	0.9993	28.1-1800	28.1	56.2	
EGCG	y = 0.0044 x - 0.0466	0.9992	28.1-1800	28.1	56.2	

### Table 3 Linear regression data, limit of detection (LOD), limit of quantification 467

469 CA: caffeine; GA: gallic acid; TH: theanine; EGC: (-)-epigallocatechin; EGCG:

470 (-)-epigallocatechin-3-gallate.

x stands for the ratio of the peak areas of each analyte detected by q<sup>1</sup>H-NMR to 471

internal standard (IS, TSP- $d_4$ ); y stands for the concentration of the targeted analyte. 472

investigated components.

Analyte	Precision $(n = 6)$		Repeatability $(n = 6)$		Stability $(n = 6)$	
	Content (mg/g)	RSD (%)	Content (mg/g)	RSD (%)	content (%)	RSD (%)
CA	5.46	2.04	5.47	0.69	5.47	3.21
GA	1.58	1.32	1.57	1.23	1.57	2.64
TH	2.94	2.09	2.93	4.34	2.94	4.32
EGC	3.98	2.54	3.97	1.62	3.97	2.06
EGCG	3.14	1.65	3.13	2.54	3.14	3.22

CA: caffeine; GA: gallic acid; TH: theanine; EGC: (-)-epigallocatechin; EGCG:

### 474 Table 4 Results of precision, repeatability and stability assays of the five

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477

(-)-epigallocatechin-3-gallate.

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**Analytical Methods Accepted Manuscript** 

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### Table 5 The recovery test results of the five investigated compounds.

Apolyto	Addad dmga (mg)	Found drug	Recovery (%) <sup>b</sup>	
Allaryte	Added drug (mg)	Average (mg) <sup>a</sup>	RSD (%)	
CA	0.3000	0.3470	2.74	93.1
	0.1500	0.2139	2.39	97.5
	0.0750	0.1431	3.47	100.5
GA	0.3600	0.4331	1.27	97.6
	0.1800	0.2571	2.33	97.3
	0.0900	0.1653	3.68	92.6
TH	0.3450	0.3958	1.49	98.4
	0.1725	0.2247	2.29	97.7
	0.0863	0.1376	3.13	94.3
EGC	0.2850	0.3276	2.03	102.1
	0.1425	0.1861	2.77	104.9
	0.0713	0.1124	2.98	106.3
EGCG	0.3150	0.4012	1.74	103.1
	0.1575	0.2375	1.79	102.3
	0.0788	0.1587	3.04	104.5

CA: caffeine; GA: gallic acid; TH: theanine; EGC: (-)-epigallocatechin; EGCG:

481 (-)-epigallocatechin-3-gallate.

482 <sup>a</sup> The data was present as average of three measurements.

483 <sup>b</sup> Recovery (%) = (amount found-original amount)  $\times$  100% /amount spiked.

484







CA

GA







EGC

