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## Reply to the 'Comment on "Mapping photoisomerization dynamics on a three-state model potential energy surface in bacteriorhodopsin using femtosecond stimulated Raman spectroscopy"' by I. Schapiro, M. Olivucci, K. Heyne and S. Haacke, *Chem. Sci.*, 2025, 16, DOI: 10.1039/D5SC05038C

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We appreciate the insightful comments by Dr Schapiro *et al.* on our recent article (*Chem. Sci.*, 2025, 16, 3713). Their comment raises valuable points concerning the excitation regime employed in our femtosecond stimulated Raman spectroscopy (FSRS) experiments, the interpretation of the newly observed 1820 cm<sup>-1</sup> vibronic band, and the electronic-state assignments of the I and J intermediates in the photoisomerization of bacteriorhodopsin (bR). In this reply, we provide detailed clarifications, supplementary analyses, and additional experimental evidence to address these concerns. These results reinforce the validity of our FSRS interpretation of the AT-PSBR photoisomerization dynamics and clarify the photochemical roles of the I and J intermediates in the retinal protein photocycle.

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### 1 Assessment of excitation regime: single-photon vs. multiphoton processes

In our experiments, the laser operated at a repetition rate of 1 kHz, with an actinic pulse duration of 80 fs, and the excitation beam was focused to a spot diameter of ~150 μm on the sample. The corresponding excitation power densities were approximately 3.5 GW cm<sup>-2</sup> and 14.2 GW cm<sup>-2</sup> for pulse energies of 50 nJ and 200 nJ, respectively. To evaluate the percentage of protein excited,  $P = n_{\text{ph}}\sigma$ , where  $n_{\text{ph}}$  is photon density and  $\sigma = \sim 2.3 \times 10^{-16}$  cm<sup>2</sup> is the absorption cross section at pump wavelength (as reported in ref. 1). Using these parameters, we obtained the percentage of protein excited  $P = 0.19$  and  $P = 0.75$  photons per molecule under low (3.5 GW cm<sup>-2</sup>) and high (14.2 GW cm<sup>-2</sup>) excitation conditions, respectively.

For the low-intensity excitation ( $P \approx 0.19$ , 3.5 GW cm<sup>-2</sup>), the fraction of excited proteins is well below the threshold of  $P \approx 0.3$ , which defines the low-light excitation regime typically used

in bR photodynamic studies.<sup>1</sup> Even under high-intensity excitation ( $P \approx 0.75$ , 14.2 GW cm<sup>-2</sup>), the power density remains far below the level required to induce multiphoton effects in the all-*trans* retinal protonated Schiff base (AT-PSBR). Indeed, multiphoton excitation of AT-PSBR has been reported only under extremely high intensities ( $\sim 180$  GW cm<sup>-2</sup>) or excitation probabilities approaching  $P \approx 40$ ,<sup>1,2</sup> leading to resonant  $S_0 \rightarrow S_n$  excitation and an ultrafast (<150 fs) transient decay component, features that are not observed in our measurements. Our high-excitation condition (14.2 GW cm<sup>-2</sup>) is comparable to the 30–60 GW cm<sup>-2</sup> range used in ref. 2, where two-photon absorption was shown to selectively excite the tryptophan (Trp86) residue near the retinal chromophore. This process extended the J-state lifetime from 4.5 ps to 9.1 ps due to enhanced excitonic coupling between Trp86 and retinal.<sup>3</sup> Importantly, this reversible effect does not indicate protein damage: after dark recovery overnight, the J-intermediate lifetime returned to 4.5 ps under low-intensity excitation (3.5 GW cm<sup>-2</sup>).<sup>3</sup>

Given that our excitation intensities (3.5–14.2 GW cm<sup>-2</sup>) are well below the threshold of multiphoton excitation of AT-PSBR, the observed Raman signal should scale linearly with excitation power. To verify this, we further performed power-dependent FSRS measurements on the bR homolog Archaeorhodopsin-3 (AR3) from the halobacterium *Halorubrum sodomense*, as discussed below in Section 3.

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## 2 Revisiting the symmetry and electronic origin of the 1820 cm<sup>-1</sup> vibronic coupling mode

We thank the authors of the Comment for recognizing that “the observation of the 1820 cm<sup>-1</sup> vibronic band is a clear new experimental finding”.<sup>4</sup> They argue, however, that this band cannot be explained by the symmetric polyene model since the structurally distorted AT-PSBR chromophore in bR lacks ideal  $C_{2h}$  symmetry. In practice, most naturally occurring long-chain polyenes (e.g., retinals and carotenoids) deviate from perfect  $C_{2h}$  symmetry, yet their photophysical behaviors can still be rationalized within the conceptual framework of idealized  $C_{2h}$ -symmetric polyenes. For example, in the light-harvesting complex II (LHCII) of higher plants, all-*trans* carotenoids adopt twisted conformations<sup>5</sup> but still exhibit excited-state Raman bands near 1800 cm<sup>-1</sup>, assigned to C=C stretching vibronic coupling modes.<sup>6,7</sup> Similarly, Kennis *et al.* investigated the structural dynamics of a highly distorted, unprotonated retinal Schiff base in a UV-absorbing rhodopsin using FSRS and observed a comparable C=C stretching vibronic coupling mode, even though this isomer displays a strongly asymmetric and polarized  $\pi$ -electron distribution.<sup>8</sup> Our own results, together with these previous findings, suggest that although the molecular symmetry of AT-PSBR does not fully conform to that of linear polyenes, the essential  $A_g^-$  and  $B_u^+$  character of their excited-state manifolds remains largely valid, as confirmed by structure-based calculations for protonated AT-PSBR.<sup>9</sup> In the excited state, dynamic torsional motion further breaks the  $C_{2h}$  symmetry, leading to a reduction in the intensity of the C=C vibronic coupling mode, which ultimately vanishes following photoisomerization and the formation of ground-state photo-products.<sup>8</sup> This interpretation is consistent with our FSRS observations in bR, where the double-exponential decay of the 1820 cm<sup>-1</sup> vibronic band reflects the presence of two excited intermediates (I and J) that remain in non-*cis* configurations prior to photoisomerization.

Building on this discussion, Dr Schapiro *et al.* emphasize that the I state possesses a mixed  $2A_g^-/1B_u^+$  character, rather than arising from  $2A_g^-/1A_g^-$  vibronic coupling. In ref. 7 cited in the Comment (ref. 11 in our reply), two-dimensional electronic photon echo (2DPE) spectroscopy revealed pronounced oscillatory features around 100 fs. Based on their newly proposed three-state model, the population on the  $S_1$  state was suggested to pass through two avoided crossings between  $S_1$  and  $S_2$ , rather than a single one as assumed in the original three-state model,<sup>10,11</sup> thereby endowing  $S_1$  with a charge-transfer ( $1B_u^+$ ) character. Consequently, the observed oscillations were assigned to the coherent mixing between the  $S_2$  ( $2A_g^-$ ) and  $S_1$  ( $1B_u^+$ ) states, with characteristic frequency components at 945 and 1634 cm<sup>-1</sup>. In our FSRS experiments, however, the observation of a  $2A_g^-/1A_g^-$  vibronic coupling Raman mode at 1820 cm<sup>-1</sup> for both the I and J states supports the traditional three-state model, in which the  $S_2$  ( $2A_g^-$ ) state forms an avoided crossing with  $S_1$  ( $1B_u^+$ ). At this crossing, the wavefunction characters of  $S_1$  (charge transfer) and  $S_2$  (diradical) states exchange,

leading the populated state to acquire a  $2A_g^-$  like character as the system approaches the conical intersection. We further note that even within the original three-state framework, the avoided crossing naturally narrows the  $S_2/S_1$  energy gap, giving rise to a mixed  $2A_g^-/1B_u^+$  character. Additionally, in the 2DPE study, the pronounced oscillations were observed only under 540 nm excitation and disappeared when the excitation wavelength was shifted to 570 nm.<sup>11</sup> In our FSRS experiments, the actinic pump was set at 570 nm, which likely explains the absence of observable  $S_2/S_1$  diabatic mixing in our results. Future FSRS measurements using a 540 nm pump could provide further insight into this diabatic coupling behavior.

Finally, regarding the nature of the J state, Dr Schapiro *et al.* state in their Comment that “according to many papers on bR, J is identified as a vibrationally excited ground state population.”<sup>14</sup> However, the assignment of the J state, whether it represents an excited-state or ground-state species, remains under debate. Indeed, a series of theoretical and computational studies have assigned the J intermediate to a vibrationally hot ground-state species formed immediately after the decay of the excited I state,<sup>12,13</sup> and this interpretation has also been supported by several time-resolved experimental investigations.<sup>11,14–16</sup> In contrast, a theoretical study by Birge and co-workers proposed that the J intermediate possesses an electronically excited character,<sup>17</sup> and this view was subsequently reinforced by a series of ultrafast spectroscopic studies providing experimental evidence that J retains excited-state features.<sup>11,18–22</sup> In our experiments, the observation of a  $\tau_2$  component associated with the 1820 cm<sup>-1</sup> vibronic coupling mode provides direct evidence of  $2A_g^-$  character in the J state, supporting its assignment as an excited-state intermediate rather than a vibrationally hot ground-state species in bR.

## 3 Experimental validation of the FSRS interpretation through Archaerhodopsin-3

To further substantiate the validity of our FSRS interpretation of the AT-PSBR structural dynamics, particularly the assignment and photochemical roles of the I and J intermediates, we have conducted additional FSRS experiments on a related microbial rhodopsin system, AR3. This protein shares the same retinal chromophore as bR but exhibits distinct hydrogen bond network and structural environments within its binding pocket (see Fig. 1a). Importantly, AR3 has been extensively characterized by Dr Haacke *et al.* in their recent work,<sup>23</sup> providing a well-established reference framework for comparison.

As depicted in Fig. 1c, upon photoexcitation at 570 nm (200 nJ, 14.2 GW cm<sup>-2</sup>,  $P \approx 0.56$ ), the FSRS spectra of the light-adaptive state AR3 reveal Raman peaks at 1530 cm<sup>-1</sup> (C=C stretching), 1700 cm<sup>-1</sup> (C=N stretching), and 1835 cm<sup>-1</sup>. Analogous to bR, this 1835 cm<sup>-1</sup> is attributed to the C=C stretching  $2A_g^-/1A_g^-$  vibronic coupling mode. The transient amplitude of the C=C stretching (1530 cm<sup>-1</sup>) mode is fitted with three decay components of  $\tau_1 = 300$  fs,  $\tau_2 = 1.7$  ps, and  $\tau_3 =$  an infinitely long lifetime (Fig. 1d), reflecting the sequential formation and relaxation of



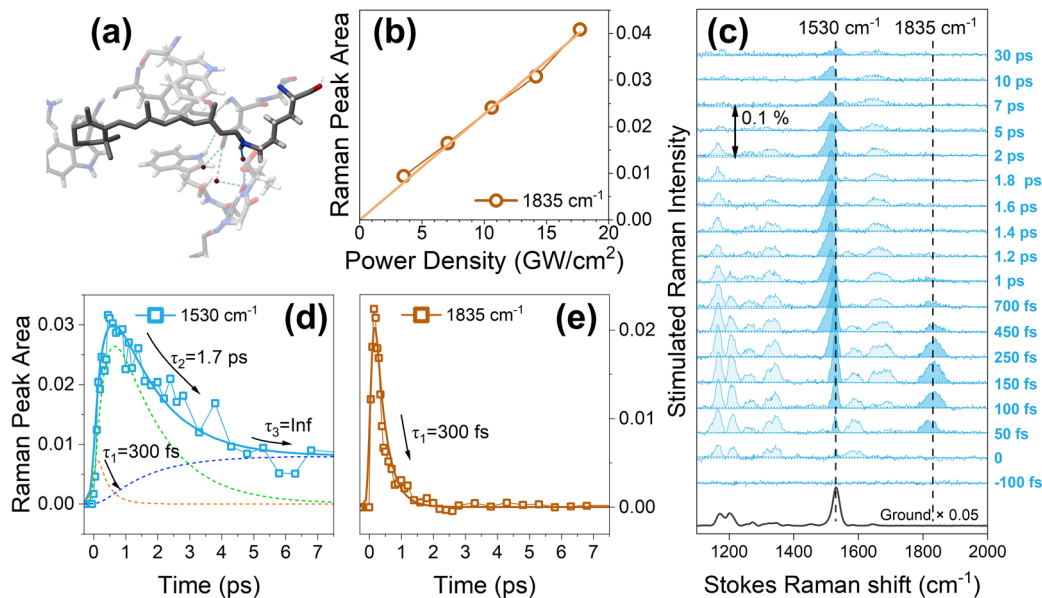


Fig. 1 (a) A schematic diagram illustrating the AT-PSBR chromophore of AR3 and its surrounding protein environment (PDB code 6S6C); (b) excitation power dependence of the Raman mode intensities at  $1835\text{ cm}^{-1}$  in AR3; (c) FSRS spectra of AT-PSBR in AR3 at an excitation energy of 200 nJ per pulse, the ground state FSRS spectrum is shown at the bottom for comparison; transient Raman intensities of the  $1530\text{ cm}^{-1}$  (d) and  $1835\text{ cm}^{-1}$  (e) Raman modes in AR3.

intermediates I, J, and K. In contrast, the transient amplitude of the  $1835\text{ cm}^{-1}$  mode in AR3 exhibits a single exponential decay of  $\tau_1 = 300\text{ fs}$  (Fig. 1e), indicating that this short-lived component primarily arises from excited state decay (I state) along the isomerization, followed by the formation of the hot *cis* ground state (J state) photoproduct ( $\tau_2$ ).<sup>23</sup> These results are fully consistent with previous time-resolved spectroscopic studies of AR3.<sup>23</sup> Unlike in bR, where the vibronic coupling mode persists through both the I and J intermediates, reflecting a two-step C13=C14 bond twisting process approaching a  $\sim 90^\circ$  torsion, AR3 shows a much faster decay of this vibronic feature. The difference originates from variations in the local electrostatic environment and hydrogen-bonding network surrounding the Schiff base in bR and AR3, which modulate the excited-state potential energy landscape.

To ensure that the excitation fluence used in our measurements does not induce multiphoton effects in the AT-PSBR of AR3, we performed excitation power-dependent FSRS experiments over a range of excitation intensities from  $3.54\text{ GW cm}^{-2}$  ( $P \approx 0.14$ ) to  $17.68\text{ GW cm}^{-2}$  ( $P \approx 0.70$ ). As shown in Fig. 1b, the integrated intensities of  $1835\text{ cm}^{-1}$  Raman bands at  $t = 100\text{ fs}$  scale linearly with excitation energy. This linear dependence confirms that the photoexcitation process proceeds *via* one-photon absorption rather than a multiphoton excitation pathway in the AT-PSBR chromophore of AR3. Consequently, our results demonstrate that the observed vibronic coupling features originate from the linear, single-photon excitation regime, ensuring that the reported structural dynamics and Raman-active modes are directly comparable with well-established ultrafast studies on retinal proteins.

In summary, the control experiments on AR3 confirm that the vibronic coupling signatures observed in our FSRS measurements originate from a linear, single-photon excitation

regime. The observed  $1820\text{ cm}^{-1}$  vibronic band in bR and  $1835\text{ cm}^{-1}$  in AR3 originate from C=C stretching vibronic coupling between the  $2A_g^-$  and  $1A_g^-$  manifolds, reflecting the transient evolution of the *trans*-excited intermediate before *cis* ground-state photoproduct formation. The comparison of bR with AR3 highlights that variations in local protein environment modulate the *trans*-*cis* isomerization without altering the fundamental three-state photoisomerization framework. These findings strengthen the mechanistic understanding of retinal photoisomerization revealed by FSRS.

## Author contributions

Z. W. and W. L. conceived, designed and performed the experiments. Z. W. analyzed the experimental results. Z. W., H. L. and W. L. co-wrote the manuscript. B. L. and H. L. provided the AR3 samples. All authors participated in discussions and edited the manuscript. W. L. directed the project.

## Conflicts of interest

There are no conflicts of interest to declare.

## Data availability

The authors confirm that the data supporting the findings of this study are available within the article.

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