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Data-driven approach to elucidate the correlation between photocatalytic activity and rate constants from excited states

This work presents a data-driven framework that uncovers how excited-state kinetics govern the catalytic activity of organic photosensitizers by integrating machine learning with quantum chemical calculations. Theoretically simulated rate constants from excited states were shown to outperform experimentally measured excited-state lifetimes as descriptors. SHAP-based visual analyses revealed that transitions from the  $T_1$  state, together with the non-radiative decay process between the  $S_1$  and  $S_0$  states, play key roles in determining photocatalytic activity. This computational approach provides insights that are not readily accessible through conventional experimental methods.

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# Data-driven approach to elucidate the correlation between photocatalytic activity and rate constants from excited states

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Even though excited-state properties play a crucial role in photocatalysis, directly correlating these with photocatalytic activity remains challenging. Herein, we propose a method to elucidate the correlations between the catalytic activity of organic photosensitizers and the rate constants of various excited-state processes through integrating machine learning (ML), quantum chemical calculations, and chemical experiments. This approach was applied to interpolative predictions of the yield of the nickel/photocatalytic formation of C–O bonds and radical additions to alkenes using various organic photosensitizers with satisfactory accuracy ( $R^2 = 0.83$  and  $0.77$  on the test set, respectively). The calculated rate constants obtained through quantum chemical calculations proved to be comparable or even superior to the experimentally measured excited-state lifetimes as descriptors. SHAP-based visual analysis revealed that the rate constants corresponding to transitions from the  $T_1$  state provide significant contributions to the interpolative prediction of photocatalytic activity. Additionally, the non-radiative decay process between the  $S_1$  and  $S_0$  states helps describe the low catalytic activity of poorly emissive photosensitizers. These findings highlight the potential of the proposed method to provide insights into photocatalytic properties that are difficult to obtain using conventional approaches.

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## Introduction

The excitation of organic molecules induces intriguing phenomena, including photocatalysis.<sup>1–9</sup> However, elucidating the relationship between excited-state properties and the resulting photochemical behavior is highly challenging using conventional experimental approaches.

The excited-state lifetimes of photosensitizers are considered to play a crucial role in facilitating energy-transfer and electron-transfer processes with substrates, although a long excited-state lifetime does not necessarily correlate with a higher product yield.<sup>10</sup> Therefore, clarifying the relationship between photocatalytic activity and excited-state lifetimes, or related properties such as decay rate constants, is essential to

understand the behavior of organic photosensitizers (OPs), which exhibit diverse excited-state properties. Recent advances in quantum chemical calculations have made the prediction of excited-state characteristics, *e.g.*, first-principles prediction of rate constants for various processes in the excited state, more accessible.<sup>11–21</sup> However, to elucidate how the complex excited-state properties influence photocatalytic activity using quantum chemical calculations, further integration with robust tools to decipher these relationships is required.

Machine learning (ML) is increasingly being applied across diverse chemical fields, including organic synthesis.<sup>22–27</sup> A common application of ML in this field is the prediction of the product yield and selectivity in order to identify the optimal catalyst and reaction conditions.<sup>28–34</sup> In addition to its predictive capabilities, ML is valuable for uncovering correlations between inputs, *e.g.*, the properties of substrates and catalysts, and outputs, *e.g.*, the product yield and selectivity of reactions.<sup>35–37</sup> Shapley additive explanations (SHAP), a tool based on game theory that has been developed to enhance the interpretability of ML models, is highly useful in this context.<sup>38–44</sup> SHAP enables the quantitative assessment of how individual descriptors contribute to the trends in the overall predicted outputs and to the predictions for individual samples. However, despite its utility, the application of ML to the characterization of

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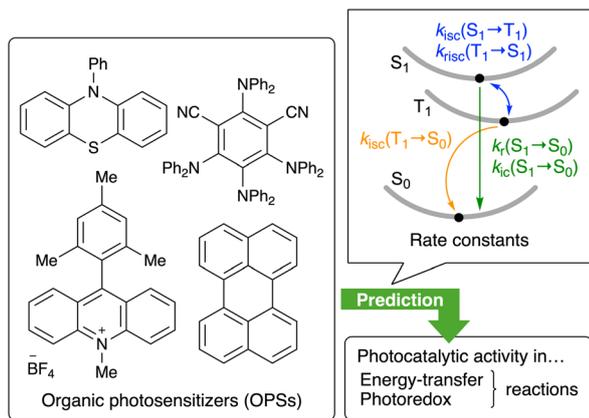


Fig. 1 Rate constants obtained from quantum chemical calculations for the prediction of photocatalytic activity.

photocatalytic properties remains an area with considerable room for further development.<sup>40,45–54</sup> In particular, approaches that can correlate essential yet elusive excited-state properties, such as rate constants from excited states, with photocatalytic activity are still underdeveloped.

Here, we propose a data-driven approach to estimate the catalytic activity of OPSSs using theoretically simulated rate constants from excited states (Fig. 1), whose effectiveness in ML for photocatalysis remains underexplored. Specifically, rate constants for the radiative ( $k_r(S_1 \rightarrow S_0)$ ) and non-radiative ( $k_{ic}(S_1 \rightarrow S_0)$ ) processes from the  $S_1$  to the  $S_0$  state, the intersystem crossing (ISC;  $k_{isc}(S_1 \rightarrow T_1)$ ) and reverse ISC ( $k_{risc}(T_1 \rightarrow S_1)$ ) processes between the  $S_1$  and  $T_1$  states, and the ISC process from the  $T_1$  state to the  $S_0$  state ( $k_{isc}(T_1 \rightarrow S_0)$ ) were simulated using a combination of time-dependent density functional theory (TD-DFT) and excited-state-dynamics theory based on the thermal vibration correlation function (TVCF). Descriptor sets incorporating these DFT-based properties were used for the ML-based interpolative prediction of the photocatalytic activity in energy-transfer and photoredox reactions, *i.e.*, nickel-catalyzed C–O bond formation and radical addition. Our protocol demonstrates that the integration of advanced quantum chemical calculations into ML represents a pertinent tool to elucidate how complex excited-state characteristics influence photocatalytic activity, thereby highlighting a potential avenue through which data science can contribute to chemical research.

## Results and discussion

### Experimentally measured and theoretically simulated rate constants of representative OPSS

Recently, Inai and Yanai have reported a photochemical study in which a first-principles method based on TD-DFT and TVCF calculations was used to predict the decay rate constants of organic dyes.<sup>55</sup> We applied this method to simulate the rate constants of various excited-state processes for OPSSs. We first selected four compounds (**OPS1**, **OPS5**, **OPS7**, and **OPS47**) from among the 60 OPSSs used in this study and compared their

experimentally measured rate constants with the theoretically simulated ones. A complete list of all OPSSs is provided in the SI (Fig. S2).

**OPS1**, commonly known as 4CzIPN, is an organic compound that exhibits thermally activated delayed fluorescence (TADF).<sup>56</sup> **OPS5** and **OPS7** are derivatives of **OPS1** featuring 3,6-dimethoxycarbazolyl and diphenylamino groups, respectively, instead of the carbazolyl groups of **OPS1**. **OPS47** also contains diphenylamino groups as electron-donor moieties but differs in having a nitrophenyl group as an electron acceptor. The photophysical properties of **OPS1** in toluene, including fluorescence lifetimes ( $\tau$ ) and quantum yield ( $\Phi$ ), have already been reported.<sup>56–60</sup> Methoxy-substituted **OPS5** exhibits shorter  $\tau$  values and a much lower  $\Phi$  than **OPS1**, while **OPS7** has a lower  $\Phi$  value together with a significantly extended  $\tau$ . **OPS47** has a very low  $\Phi$  with very short  $\tau$  values and does not exhibit TADF properties. All these  $\tau$  and  $\Phi$  values are summarized in Table 1.

The rate constants of these OPSSs were experimentally determined (Table 1). Detailed procedures for estimating the rate constants are described in the SI (Experimentally determined rate constants section). The experimentally measured rate constants of **OPS1** have also been reported previously.<sup>57</sup> **OPS5** and **OPS7** exhibit higher  $k_{isc}(S_1 \rightarrow T_1)$  values than **OPS1**, whereas their  $k_{risc}(T_1 \rightarrow S_1)$  values are lower. **OPS47** shows lower  $k_r(S_1 \rightarrow S_0)$  and higher  $k_{isc}(S_1 \rightarrow T_1)$  values than **OPS1**, which might explain its poor luminescence properties.

The theoretically simulated rate constants for these OPSSs are also summarized in Table 1. The calculations were conducted using the TD-DFT and TVCF methods described in the previous study.<sup>55</sup> The underlying DFT and TD-DFT calculations were carried out at the PCM(toluene)-CAM-B3LYP/6-31G(d) level, and the theoretically simulated  $k_r(S_1 \rightarrow S_0)$  values show good agreement with the experimentally determined values for all four OPSSs.

To calculate  $k_{isc}(S_1 \rightarrow T_1)$  and  $k_{risc}(T_1 \rightarrow S_1)$ , the adiabatic singlet–triplet splitting ( $\Delta E_{ST}$ ) values of the OPSSs are required. Specifically, the TVCF method sums over vibronic levels under the harmonic approximation and employs  $\Delta E_{ST}$  as the detuning parameter in the phase factor rather than a standalone proxy for the activation. Considering that accurately computing  $\Delta E_{ST}$  values *via* DFT-based methods remains challenging,<sup>61–63</sup> we compared the effects of using the computational (DFT-based) or experimental (measurement-based)  $\Delta E_{ST}$  values on the resulting  $k_{isc}(S_1 \rightarrow T_1)$  and  $k_{risc}(T_1 \rightarrow S_1)$  values. The  $\Delta E_{ST}$  values of **OPS1**, **OPS5**, **OPS7**, and **OPS47** obtained *via* quantum chemical calculations (**OPS1**: 0.37 eV; **OPS5**: 0.39 eV; **OPS7**: 0.70 eV; **OPS47**: 0.42 eV) and experiments (**OPS1**: 0.08 eV; **OPS5**: 0.03 eV; **OPS7**: 0.12 eV; **OPS47**: 0.15 eV) are provided in Table 1. In addition, theoretically simulated  $k_{isc}(S_1 \rightarrow T_1)$  and  $k_{risc}(T_1 \rightarrow S_1)$  values that were refined using the experimental  $\Delta E_{ST}$  are also listed in Table 1.

The relative effectiveness of using the computational or experimental  $\Delta E_{ST}$  value for the calculation of the  $k_{isc}(S_1 \rightarrow T_1)$  value varied on a case-by-case basis. Both methods provided similar  $k_{isc}(S_1 \rightarrow T_1)$  values for **OPS1** (computational  $\Delta E_{ST}$ :  $2.6 \times 10^7$  s<sup>-1</sup>; experimental  $\Delta E_{ST}$ :  $1.1 \times 10^7$  s<sup>-1</sup>). The computational  $\Delta E_{ST}$  values resulted in better agreement with the



Table 1 Excited-state properties of OPS1, OPS5, OPS7, and OPS47<sup>a</sup>

OPS	$\tau$ ( $\Phi$ ) <sup>b</sup>	$\Delta E_{ST}/\text{eV}$	$k_r(S_1 \rightarrow S_0)/\text{s}^{-1}$	$k_{isc}(S_1 \rightarrow T_1)/\text{s}^{-1}$	$k_{risc}(T_1 \rightarrow S_1)/\text{s}^{-1c}$
<b>OPS1</b>	14.2 ns, 1.8 $\mu\text{s}^c$ (0.94) <sup>d</sup>	0.08 <sup>d,e</sup> (0.37) <sup>f</sup>	$1.7 \times 10^{7c,e}$ ( $2.0 \times 10^7$ ) <sup>f</sup>	$5.1 \times 10^{7c,e}$ ( $2.6 \times 10^{7g}$ , $1.1 \times 10^{7h}$ )	$2.7 \times 10^{6c,e}$ ( $5.3 \times 10^g$ , $2.7 \times 10^{6h}$ )
<b>OPS5</b>	4.8 ns, 0.6 $\mu\text{s}$ (0.06)	0.03 <sup>e</sup> (0.39) <sup>f</sup>	$1.1 \times 10^{7e}$ ( $7.8 \times 10^{6f}$ )	$2.0 \times 10^{8e}$ ( $4.1 \times 10^{6g}$ , $2.5 \times 10^{8h}$ )	$2.9 \times 10^{5e}$ ( $2.6 \times 10^{-1g}$ , $1.6 \times 10^{7h}$ )
<b>OPS7</b>	1.7 ns, 34.4 $\mu\text{s}$ (0.20)	0.12 <sup>e</sup> (0.70) <sup>f</sup>	$4.8 \times 10^{7e}$ ( $2.4 \times 10^7$ ) <sup>f</sup>	$5.5 \times 10^{8e}$ ( $3.3 \times 10^{5g}$ , $5.4 \times 10^{8h}$ )	$4.7 \times 10^{4e}$ ( $6.8 \times 10^{-5g}$ , $3.7 \times 10^{5h}$ )
<b>OPS47</b>	3.5 ns, 9.9 ns (0.02)	0.15 <sup>e</sup> (0.42) <sup>f</sup>	$5.7 \times 10^{6e}$ ( $1.9 \times 10^{6f}$ )	$2.1 \times 10^{8e}$ ( $1.7 \times 10^{8g}$ , $3.8 \times 10^{6h}$ )	$6.2 \times 10^{5e}$ ( $6.0 \times 10^{2g}$ , $6.9 \times 10^{5h}$ )

<sup>a</sup> For details of how these properties were obtained, see the SI. <sup>b</sup> Luminescence lifetimes ( $\tau$ ) and quantum yields ( $\Phi$ ) were measured in toluene under an argon atmosphere. <sup>c</sup> The excited-state lifetimes and rate constants of **OPS1** were obtained from ref. 57. <sup>d</sup> The  $\Phi$  and  $\Delta E_{ST}$  values of **OPS1** were obtained from ref. 56 and 58–60. <sup>e</sup> Experimentally determined values are presented. <sup>f</sup> Theoretically simulated values are presented. <sup>g</sup> Theoretically simulated  $k_{isc}(S_1 \rightarrow T_1)$  and  $k_{risc}(T_1 \rightarrow S_1)$  values, which were calculated using computational (DFT-based)  $\Delta E_{ST}$  values, are presented. <sup>h</sup> Theoretically simulated  $k_{isc}(S_1 \rightarrow T_1)$  and  $k_{risc}(T_1 \rightarrow S_1)$  values, which were calculated using experimental (measurement-based)  $\Delta E_{ST}$  values, are presented.

experimentally determined  $k_{isc}(S_1 \rightarrow T_1)$  for **OPS47** (computational  $\Delta E_{ST}$ :  $1.7 \times 10^8 \text{ s}^{-1}$ ; experimental  $\Delta E_{ST}$ :  $3.8 \times 10^6 \text{ s}^{-1}$ ), whereas the opposite effect was observed for **OPS5** (computational  $\Delta E_{ST}$ :  $4.1 \times 10^6 \text{ s}^{-1}$ ; experimental  $\Delta E_{ST}$ :  $2.5 \times 10^8 \text{ s}^{-1}$ ) and **OPS7** (computational  $\Delta E_{ST}$ :  $3.3 \times 10^5 \text{ s}^{-1}$ ; experimental  $\Delta E_{ST}$ :  $5.4 \times 10^8 \text{ s}^{-1}$ ).

In contrast, the experimental  $\Delta E_{ST}$  values were clearly more effective for simulating  $k_{risc}(T_1 \rightarrow S_1)$ . The fully computation-based approach significantly underestimated the  $k_{risc}(T_1 \rightarrow S_1)$  values (**OPS1**:  $5.3 \times 10 \text{ s}^{-1}$ ; **OPS5**:  $2.6 \times 10^{-1} \text{ s}^{-1}$ ; **OPS7**:  $6.8 \times 10^{-5} \text{ s}^{-1}$ ; **OPS47**:  $6.0 \times 10^2 \text{ s}^{-1}$ ) compared to the cases where the experimental  $\Delta E_{ST}$  values were used (**OPS1**:  $2.7 \times 10^6 \text{ s}^{-1}$ ; **OPS5**:  $1.6 \times 10^7 \text{ s}^{-1}$ ; **OPS7**:  $3.7 \times 10^5 \text{ s}^{-1}$ ; **OPS47**:  $6.9 \times 10^5 \text{ s}^{-1}$ ). However, despite this underestimation, the former method captured more accurately the relative magnitudes of **OPS1** and **OPS5** than the latter, indicating that the use of fully computational values in ML is not always inferior.

For processes involving ISC, using the experimental  $\Delta E_{ST}$  value for the TVCF calculations tended to provide values closer to the experimentally determined rate constants, due to the difficulty of accurately estimating  $\Delta E_{ST}$  via DFT-based approaches. Meanwhile, for compounds with poor emission properties, experimentally determining the  $\Delta E_{ST}$  value is difficult. In addition, a fully computational approach is more promising in terms of ensuring the future applicability of this framework to compounds that have not yet been synthesized. Alternatively, the prediction accuracy of  $\Delta E_{ST}$  can be significantly improved using higher-level wavefunction-based methods such as SCS-CC2.<sup>62,63</sup> However, the computational cost of such methods still remains prohibitively high for the relatively large molecular datasets used for ML. Therefore, we chose to use the  $\Delta E_{ST}$  values obtained from DFT-level calculations, i.e., the computational  $\Delta E_{ST}$  values, in the subsequent ML investigations.

### Comparison of the model performance in C–O bond-forming reactions

Although the focus of the present study was on quantifying the relative contributions of the model inputs (OPS properties) to the model output (predicted yield) rather than achieving maximum model accuracy, a certain level of model performance is crucial for meaningful feature interpretation. In our case, the challenge lies in attaining reasonable model performance while using only descriptors that are meaningful from a photochemical perspective. Therefore, we first investigated the impact of the employed descriptors on the accuracy of interpolative predictions for C–O bond-forming reactions using various aryl halides,<sup>64–66</sup> which are considered to be promoted mainly by energy transfer (Fig. 2).<sup>50,67–69</sup> Our dataset included reactions using four different aryl halides, i.e., 4-bromobenzonitrile (**CO-a**, **CO-b**), 4-bromobiphenyl (**CO-c**), methyl 4-bromo-3-methylbenzoate (**CO-d**), and 4-chlorobenzonitrile (**CO-e**). For 4-bromobenzonitrile, data for two distinct reaction times (1.5 h: **CO-a**; 7.5 h: **CO-b**) were considered. In our previous study, 60 OPSs were tested for each C–O bond-forming reaction,<sup>54</sup> resulting in a dataset consisting of 300 data points. The differences among the OPSs were represented by their DFT-derived properties, and the reaction types (i.e., **CO-a–CO-e**) were encoded using one-hot encoding. In all the ML investigations, the target variable was the product yield. Since the yield was determined using the employed OPS and the reaction type, the developed models can be regarded as ML models for the estimation of the photocatalytic activity in similar reactions. Other details of the ML setup are summarized in Table 2.

In addition to the three theoretically simulated rate constants mentioned earlier, i.e.,  $k_r(S_1 \rightarrow S_0)$ ,  $k_{isc}(S_1 \rightarrow T_1)$ , and  $k_{risc}(T_1 \rightarrow S_1)$ , we also incorporated  $k_{ic}(S_1 \rightarrow S_0)$  and  $k_{isc}(T_1 \rightarrow S_0)$ . The adiabatic singlet–triplet splitting between the  $S_0$  and  $T_1$  states was required to calculate  $k_{isc}(T_1 \rightarrow S_0)$  instead of that



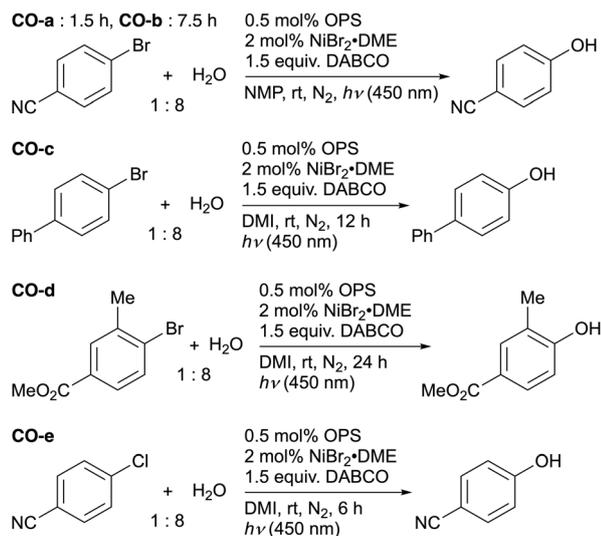


Fig. 2 C–O bond-forming reactions tested in this study.

between the  $S_1$  and  $T_1$  states, which was used for the estimation of  $k_{isc}(S_1 \rightarrow T_1)$  and  $k_{risc}(T_1 \rightarrow S_1)$ . In contrast, the rate constant for the radiative process from the  $T_1$  state to the  $S_0$  state was not included because phosphorescence is intrinsically weak in OPSS and its contribution to the overall excited-state kinetics is negligible. These five rate constants were used directly to generate a descriptor set comprising five descriptors (referred to as **RC**). Alternatively, scaled descriptors expressed as ratios among the five rate constants were used to generate another five-descriptor set (denoted as **s\_RC**). The method used to prepare the **s\_RC** descriptor set is summarized in the SI (Computational details for the design of descriptors section). A preliminary investigation identified histogram-based gradient boosting (HGB) as an effective ML model (Table S13). Among

Table 2 Model performance for the interpolative prediction of the photocatalytic activity in C–O bond-forming reactions<sup>a</sup>

Entry	Descriptor set	$R^2$	RMSE
1	<b>RC</b>	0.79 (0.05)	13.1 (1.2)
2	<b>s_RC</b>	0.78 (0.04)	13.5 (1.1)
3	<b>s_RC</b> + ' $E_{HOMO}$ , $f_{S1}$ , $\Delta E_{ST}$ , $\Delta DM$ '	0.83 (0.04)	11.8 (1.3)
4	' $E_{HOMO}$ , $f_{S1}$ , $\Delta E_{ST}$ , $\Delta DM$ '	0.79 (0.06)	13.0 (1.4)
5	<b>LT_t</b>	0.66 (0.06)	16.9 (1.9)
6	<b>LT_d</b>	0.64 (0.08)	17.2 (1.4)
7	<b>LT_t</b> + ' $E_{HOMO}$ , $f_{S1}$ , $\Delta E_{ST}$ , $\Delta DM$ '	0.80 (0.05)	12.8 (1.5)
8	<b>LT_d</b> + ' $E_{HOMO}$ , $f_{S1}$ , $\Delta E_{ST}$ , $\Delta DM$ '	0.79 (0.05)	13.1 (1.2)

<sup>a</sup>  $R^2$  and RMSE scores on the test set were averaged over 10 runs (standard deviations in parentheses).

the rate constants calculated at different levels of theory, those derived from the PCM(toluene)-CAM-B3LYP/6-31G(d) level provided the best model performance for both **RC** and **s\_RC**, although the differences were not significant (Table S15). Therefore, descriptors calculated at this level were used in subsequent investigations. The mean  $R^2$  scores on the test set were 0.79 for **RC** and 0.78 for **s\_RC** (Table 2, entries 1 and 2), indicating that reasonable interpolative predictions can be achieved using only the rate-constant information.

Subsequently, we examined whether incorporating other physical properties relevant to the photoreactions could lead to more robust models. The additional descriptors include the HOMO ( $E_{HOMO}$ ) and LUMO ( $E_{LUMO}$ ) energy levels, the vertical-excitation (absorption) energy of the lowest singlet ( $E_{S1}$ ) and triplet ( $E_{T1}$ ) excited states, the corresponding vertical  $\Delta E_{ST}$ , the oscillator strength of the lowest singlet excitation ( $f_{S1}$ ), and the differences between the ground- and excited-state dipole moments ( $\Delta DM$ ). These descriptors were calculated at the same PCM(toluene)-CAM-B3LYP/6-31G(d) level. Details of the preparation for these descriptors are provided in the SI (Computational details for the design of descriptors section). We compared the model performance of all 127 combinations of these descriptors in conjunction with **RC** or **s\_RC**, and identified **s\_RC**,  $E_{HOMO}$ ,  $f_{S1}$ ,  $\Delta E_{ST}$ , and  $\Delta DM$  as the best descriptor set (entry 3;  $R^2 = 0.83$ , RMSE = 11.8). When the rate constants were excluded from the descriptor set, the model performance was lower than the best case (entry 4;  $R^2 = 0.79$ , RMSE = 13.0), indicating that combining **s\_RC** with other descriptors leads to improved accuracy.

Furthermore, the effectiveness of using experimentally measured excited-state lifetimes as descriptors was examined. The excited-state lifetimes were measured using transient absorption spectroscopy in toluene or DMSO (referred to as **LT\_t** and **LT\_d**, respectively). When either **LT\_t** or **LT\_d** was used as a standalone descriptor, the model performance significantly decreased (entries 5 and 6; **LT\_t**:  $R^2 = 0.66$ , RMSE = 16.9; **LT\_d**:  $R^2 = 0.64$ , RMSE = 17.2). Additionally, when either **LT\_t** or **LT\_d** was combined with  $E_{HOMO}$ ,  $f_{S1}$ ,  $\Delta E_{ST}$ , and  $\Delta DM$ , the resulting scores (entries 7 and 8;  $R^2 = 0.79$ – $0.80$ , RMSE = 13.1–12.8) did not surpass those obtained using the calculated rate constants.

One major issue with constructing a database of experimentally measured excited-state properties is the difficulty of performing all measurements under identical conditions. For example, while most of the excited-state lifetimes in this study were measured using toluene or DMSO as the solvent, some data points were obtained in other solvents, such as acetonitrile (**OPS59**, **OPS60**) or DMF (**OPS44**, **OPS56**, **OPS59**, **OPS60**), due to solubility and related issues (Table S12). Additionally, unlike theoretically simulated rate constants, experimentally measured excited-state lifetimes represent a combined value that encompasses various excited-state processes. These inconsistencies in measurement conditions and the limited ability to distinguish individual excited-state processes may have contributed to the decreased accuracy observed when using **LT\_t** or **LT\_d**. Furthermore, clarifying each individual kinetic parameter requires considerable experimental effort.



Therefore, the theoretically simulated rate constants, which can provide more details regarding the molecular excited states, have superior utility as descriptors as well as greater interpretability (*vide infra*).

### SHAP-based analysis for C–O bond-forming reactions

We next used SHAP to quantify the contributions of descriptors to the interpolative predictions in the case where the best model performance was achieved (all data:  $R^2 = 0.96$ ; test set:  $R^2 = 0.88$ ). In this analysis, we generated a SHAP summary plot (Fig. 3a) to capture the overall trends, and SHAP scatter plots (Fig. 3b) to clarify the contribution of each descriptor. In addition, SHAP waterfall plots were used to visually display the feature contributions of individual OPSs for CO-a (Fig. 2). In this reaction, the experimental yields for **OPS1**, **OPS7**, and **OPS47** were 62%, 88%, and 0%, respectively. Briefly, a higher absolute SHAP value indicates a greater contribution of the corresponding descriptor, and its signum shows whether the contribution affects the prediction positively or negatively. Additional explanations on how to interpret these plots are provided in the SI (Description of SHAP plots section). Descriptors prefixed with 'reaction\_' represent the one-hot encoding used to identify reaction types. Additionally, the term 'feature' is used synonymously with 'descriptor'.

The SHAP summary plot revealed that  $\Delta E_{ST}$  and  $E_{HOMO}$  are the two most impactful descriptors excluding the one-hot encoding descriptors (Fig. 3a). Highly negative SHAP values for  $\Delta E_{ST}$  were observed for high  $\Delta E_{ST}$  values, whereas lower  $\Delta E_{ST}$  values lead to positive SHAP values (Fig. 3b). A range of moderate  $E_{HOMO}$  values resulted in highly positive SHAP values, while those outside this range yielded negative SHAP values (Fig. 3b). In the C–O bond-forming reactions, OPSs that meet these conditions to afford positive SHAP values, such as **OPS1** and **OPS7** (Fig. 3c), generally exhibit better catalytic activity. In contrast, OPSs with high  $\Delta E_{ST}$  values, *e.g.*, **OPS56** and **OPS58**, those with strong reducing capacity, *e.g.*, **OPS38**, **OPS40**, and **OPS49**, and those with strong oxidizing capacity, *e.g.*, **OPS59** and **OPS60**, tend to furnish low product yields (Table S17). This SHAP-based analysis demonstrates the ability to effectively capture the correlations between physical properties and photocatalytic activity.

Next, we analyzed the contributions of the rate constants from the summary plot (Fig. 3a). As mentioned earlier, these descriptors are expressed as ratios of the five processes and are prefixed with 's\_'. Among the five descriptors derived from rate constants, the descriptors for two processes, *i.e.*,  $s_{k_{risc}(T_1 \rightarrow S_1)}$  and  $s_{k_{isc}(T_1 \rightarrow S_0)}$ , showed greater contributions than the other three. Given that the triplet state of OPSs is highly likely to be involved in photosensitization,<sup>4,6,70,71</sup> it is reasonable to assume that descriptors representing transitions from the  $T_1$  state to other states are important. Although the  $s_{k_{risc}(T_1 \rightarrow S_1)}$  values tended to be underestimated in the employed descriptor set, the SHAP scatter plots revealed that  $s_{k_{risc}(T_1 \rightarrow S_1)}$  values within a certain range tend to result in positive SHAP values, whereas those outside this range produce negative SHAP values (Fig. 3b). Similarly,  $s_{k_{isc}(T_1 \rightarrow S_0)}$  values that fell within

a specific range also tend to exhibit positive SHAP values (Fig. 3b). The SHAP waterfall plots for **OPS1** and **OPS7** (Fig. 3c) indicate that while  $E_{HOMO}$ ,  $f_{S1}$ ,  $\Delta E_{ST}$ , and  $\Delta DM$  exhibit relatively similar positive SHAP values,  $s_{k_{risc}(T_1 \rightarrow S_1)}$  and  $s_{k_{isc}(T_1 \rightarrow S_0)}$  are the primary contributors to distinguishing the catalytic activity of **OPS1** and **OPS7**. The predicted yields were 53.85% for **OPS1** and 85.15% for **OPS7**, demonstrating relatively high accuracy. The SHAP values for  $s_{k_{risc}(T_1 \rightarrow S_1)}$  were  $-1.17$  for **OPS1** and  $+6.17$  for **OPS7**, while those for  $s_{k_{isc}(T_1 \rightarrow S_0)}$  were  $-2.69$  for **OPS1** and  $+8.13$  for **OPS7**. Thus, based on SHAP, 18.16% of the 31.30% difference in predicted yields between **OPS1** and **OPS7** can be attributed to these two descriptors derived from rate constants.

Meanwhile, **OPS47** is structurally distinct from **OPS7** in terms of its acceptor moiety, resulting in a significantly lower quantum yield (**OPS1**:  $\Phi = 0.94$ ; **OPS7**:  $\Phi = 0.20$ ; **OPS47**:  $\Phi = 0.02$ ) and catalytic activity compared to **OPS1** and **OPS7**. The SHAP waterfall plots revealed that  $\Delta E_{ST}$ ,  $E_{HOMO}$ ,  $f_{S1}$ , and  $\Delta DM$ , which provided highly positive SHAP values for **OPS1** and **OPS7**, do not contribute positively to the model output for **OPS47** (Fig. 3c). Additionally, the negative SHAP value derived from  $s_{k_{risc}(T_1 \rightarrow S_1)}$  ( $-4.36$ ) for **OPS47** contributes to distinguishing the catalytic activity of **OPS7** and **OPS47**. The  $s_{k_r}(S_1 \rightarrow S_0)$  of **OPS47** was  $2.7 \times 10^{-4}$  and its  $s_{k_{ic}}(S_1 \rightarrow S_0)$  was 0.768, indicating that the former is significantly lower and the latter significantly higher compared to those of **OPS1** ( $s_{k_r}(S_1 \rightarrow S_0)$ : 0.431;  $s_{k_{ic}}(S_1 \rightarrow S_0)$ :  $9.6 \times 10^{-4}$ ) and **OPS7** ( $s_{k_r}(S_1 \rightarrow S_0)$ : 0.573;  $s_{k_{ic}}(S_1 \rightarrow S_0)$ :  $2.4 \times 10^{-4}$ ). Unlike in the case of **OPS1** and **OPS7**, these descriptors have negative SHAP values of  $-6.04$  and  $-4.10$  for **OPS47**. The low  $s_{k_r}(S_1 \rightarrow S_0)$  and high  $s_{k_{ic}}(S_1 \rightarrow S_0)$  observed for **OPS47** reflect its poor luminescence properties. The low catalytic activity of the OPSs, for which non-radiative decay pathways unrelated to the transition to the triplet state are favored, is consistent with chemical intuition. The ML-derived outcome, in which the  $s_{k_r}(S_1 \rightarrow S_0)$  and  $s_{k_{ic}}(S_1 \rightarrow S_0)$  values of **OPS47** negatively impact its output, support this notion.

### Application to radical-addition reactions to alkenes

We then extended the scope of our ML strategy to photoredox reactions. As target reactions, we selected the addition of trifluoromethyl, 2,2,2-trifluoroethyl, monofluoromethyl, cyclohexyl, and trifluoromethylthio radicals to 1,1-di(*p*-tolyl)ethylene.<sup>72–78</sup> In this study, these reactions to synthesize trisubstituted alkenes are referred to as **CF<sub>3</sub>**, **CF<sub>3</sub>CH<sub>2</sub>**, **CH<sub>2</sub>F**, **Cy**, and **SCF<sub>3</sub>**, respectively (Table 3). By testing the same database of 60 OPSs for these five photoredox reactions, we constructed a dataset consisting of 300 data points. The HGB-based ML models were constructed using the same setup as that used for the C–O bond-forming reactions (Table 2).

Since the model performance did not differ significantly among the descriptor sets based on rate constants (Table S16), we continued to use the **s\_RC** descriptor set calculated at the PCM(toluene)-CAM-B3LYP/6-31G(d) level in order to maintain consistency with the results of the C–O bond-forming reactions. Although the model performance using **s\_RC** alone was relatively poor (Table 3, entry 1;  $R^2 = 0.67$ ), combining **s\_RC** with



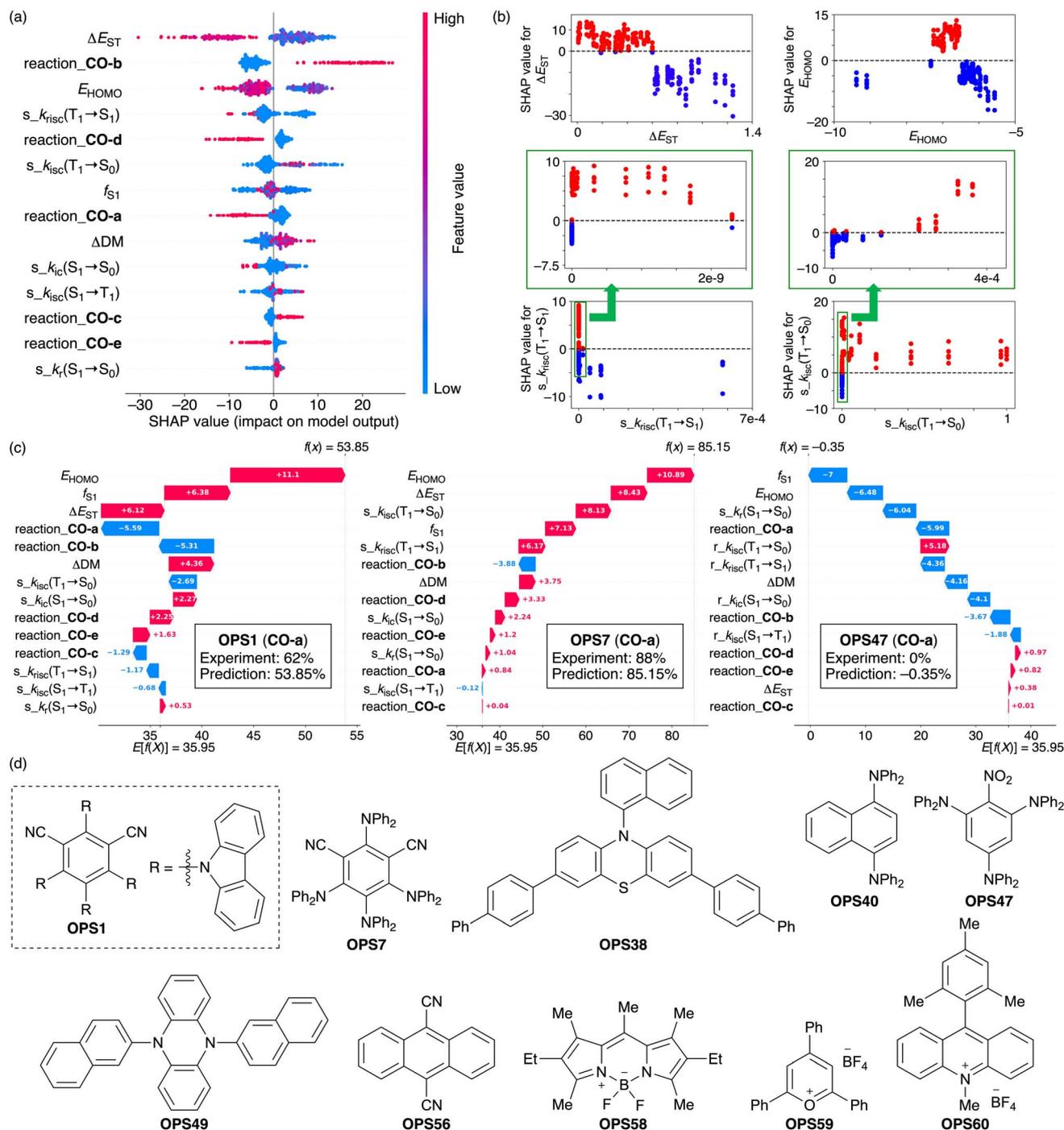


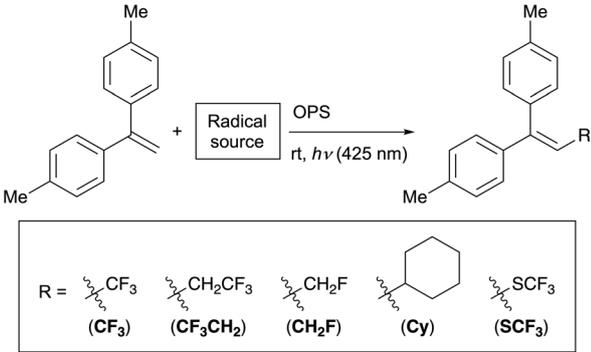
Fig. 3 SHAP (a) summary, (b) scatter, and (c) waterfall plots (for CO-a) for the quantification of feature contributions. (d) OPSs discussed in the SHAP-based analysis. Plots including more detailed information are displayed in Fig. S7. The original plots are included in the GitHub repository (<https://github.com/Naoki-Noto/P6-20240509-RK>).

$E_{LUMO}$ ,  $f_{S1}$ , and  $E_{T1}$  improved the interpolative predictions, yielding a mean  $R^2$  score of 0.77 and a mean RMSE of 16.1 on the test set (entry 2). While a long excited-state lifetime is known to contribute to efficient electron transfer, it is not always the sole factor determining the product yield, as reported for similar photoredox reactions.<sup>10,79,80</sup> Thus, it is reasonable that the model based solely on  $s_{RC}$  exhibits relatively poor performance, and combining  $s_{RC}$  with other descriptors such as

$E_{LUMO}$  improves the model accuracy. When the experimentally obtained  $LT_t$  or  $LT_d$  descriptor set was used instead of  $s_{RC}$ , the resulting  $R^2$  scores were 0.73 and 0.75, respectively (Table 3, entries 3 and 4), confirming the satisfactory performance of an entirely DFT-derived descriptor set.

A SHAP-based analysis was subsequently conducted for the best-performing model (all data:  $R^2 = 0.94$ ; test set:  $R^2 = 0.82$ ). The SHAP bar plot depicting the mean SHAP values of the

**Table 3** Model performance for the interpolative prediction of the photocatalytic activity in the radical–addition reactions to 1,1-d(*p*-tolyl)ethylene<sup>a</sup>



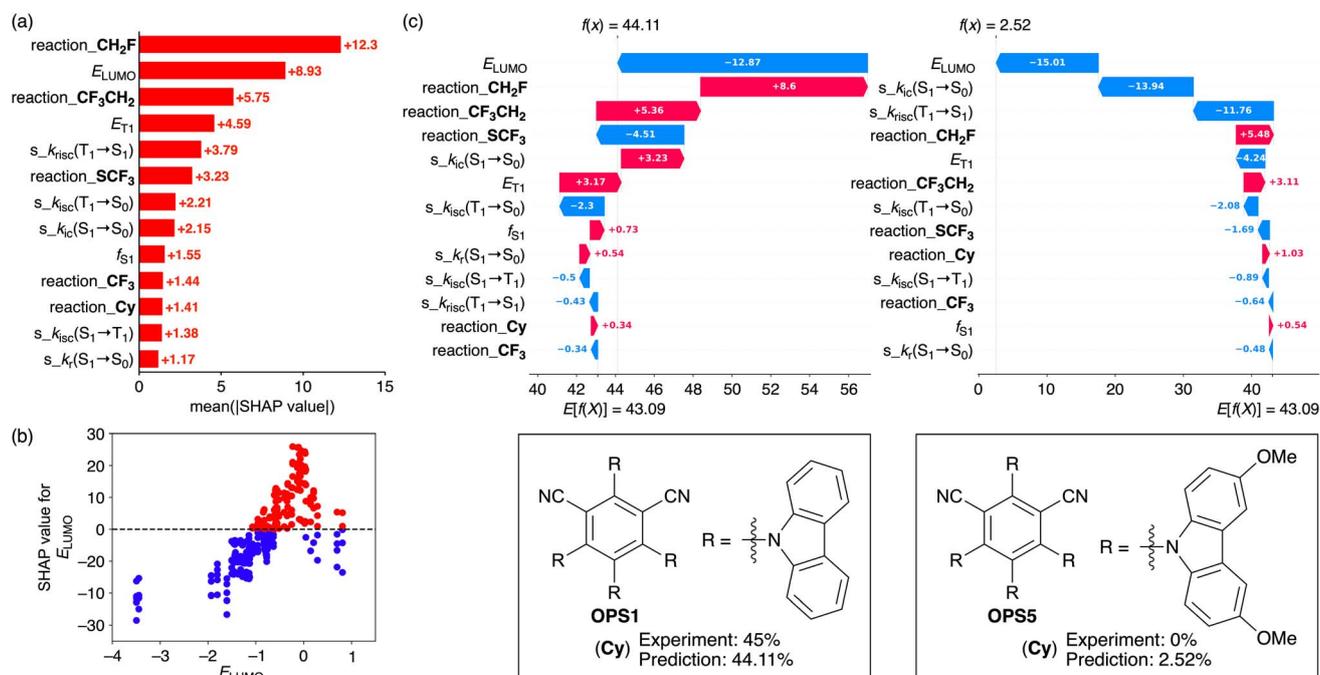
Entry	Descriptor set	$R^2$	RMSE
1	<b>s_RC</b>	0.67 (0.05)	19.3 (1.9)
2	<b>s_RC</b> + ' $E_{LUMO}, f_{S1}, E_{T1}$ '	0.77 (0.03)	16.1 (1.5)
3	<b>LT_t</b> + ' $E_{LUMO}, f_{S1}, E_{T1}$ '	0.73 (0.05)	17.5 (1.6)
4	<b>LT_d</b> + ' $E_{LUMO}, f_{S1}, E_{T1}$ '	0.75 (0.03)	16.6 (0.9)

<sup>a</sup> For details of reaction conditions, see the ESI.  $R^2$  and RMSE scores on the test set were averaged over 10 runs (standard deviations in parentheses).

descriptors indicated that  $E_{LUMO}$ , which exhibits a high correlation coefficient with  $E_{HOMO}$  (0.86) and is associated with the redox capacity of the OPSs, shows the largest contribution excluding the one-hot encoding descriptors (Fig. 4a). The SHAP scatter plot (Fig. 4b) revealed that a high  $E_{LUMO}$  contributes to

positive SHAP values, but when the  $E_{LUMO}$  is too high, the SHAP values shift negatively. Both oxidizing and reducing properties are important in photoredox reactions, and an excessively high  $E_{LUMO}$  values implies a weak oxidizing capacity for an OPS. Therefore, the SHAP-derived result that an excessively high  $E_{LUMO}$  negatively influences the product yield appears reasonable. Furthermore, it is known that while the product yield in photoredox reactions is strongly affected by the lifetime of radicals generated *in situ*, an excessively high  $E_{LUMO}$  can negatively influence the lifetime of radicals derived from OPSs and consequently reduce the product yield.<sup>81,82</sup> This insight is also consistent with the result obtained from SHAP.

The trends in the feature contribution of rate constants in the ML model for the radical–addition reactions are similar to those observed in the ML model for the C–O bond-forming reactions (Fig. 3a and 4a). Notably,  $s_{k_{\text{risc}}}(T_1 \rightarrow S_1)$  and  $s_{k_{\text{isc}}}(T_1 \rightarrow S_0)$ , which are key descriptors for the C–O bond-forming reactions, also play an important role in the ML model for the radical–addition reactions. Next, SHAP waterfall plots were generated for **OPS1** and **OPS5** in **Cy** (Fig. 4c). In this reaction, **OPS1** and **OPS5** afforded experimental yields of 45% and 0%, respectively. **OPS1** and **OPS5** differ in the absence or presence of methoxy groups on their skeletons. The predicted yields for **OPS1** and **OPS5** were 44.11% and 2.52%, respectively, indicating that the ML model can distinguish the difference in product yields based on their physical properties. The SHAP waterfall plots (Fig. 4c) revealed that, while  $E_{LUMO}$  exhibits a highly negative SHAP value in both cases (**OPS1**:  $-12.87$ ; **OPS5**:  $-15.01$ ),  $E_{T1}$  exhibits significantly different SHAP values (**OPS1**:  $+3.17$ ; **OPS5**:  $-4.24$ ). The  $s_{k_{\text{ic}}}(S_1 \rightarrow S_0)$  and  $s_{k_{\text{risc}}}(T_1 \rightarrow S_1)$  parameters exhibit significantly more negative SHAP values for



**Fig. 4** SHAP (a) bar and (b) scatter plots as well as (c) waterfall plots (for **Cy**) for the quantification of feature contributions. Waterfall plots including the more detailed information are displayed in Fig. S8. The original plots are included in the GitHub repository (<https://github.com/Naoki-Noto/P6-20240509-RK>).

**OPS5** than **OPS1**, with larger differences in SHAP values (17.17 and 11.33) than  $E_{T1}$  (7.41). Thus, based on SHAP, 28.50% of the 41.59% difference in predicted yield can be attributed to  $s_{k_{ic}}(S_1 \rightarrow S_0)$  and  $s_{k_{risc}}(T_1 \rightarrow S_1)$ .

The higher  $s_{k_{ic}}(S_1 \rightarrow S_0)$  value of **OPS5** ( $3.4 \times 10^{-2}$ ) than that of **OPS1** ( $9.6 \times 10^{-4}$ ) supports its poor luminescence properties. The lower  $s_{k_{risc}}(T_1 \rightarrow S_1)$  of **OPS5** ( $2.1 \times 10^{-8}$ ) than that of **OPS1** ( $1.2 \times 10^{-6}$ ) is consistent with the trend in the experimental values. We have long recognized that the methoxy group is a substituent that specifically impairs luminescence properties and catalytic activity,<sup>50,77</sup> although explaining the dramatic effects of “MeO” using more fundamental physical properties is challenging. It is noteworthy that incorporating theoretically simulated rate constants into ML enabled us to capture such small yet specific differences. Moreover, as mentioned earlier, our study clarified that when rate constants are generated using the same computational method, their correlation with photocatalytic activity in energy-transfer and photoredox reactions is similar.

## Conclusions

We have developed a data-driven approach to elucidate the correlation between the rate constants from excited states and the catalytic activity of organic photosensitizers (OPSS) by integrating machine learning (ML), quantum chemical calculations, and experiments. The theoretically simulated rate constants provided a model performance that was comparable, or even superior, to that obtained using the experimental excited-state lifetimes. A SHAP-based analysis revealed that the rate constants associated with transitions from the  $T_1$  state to other states, as well as those from the  $S_1$  state to the  $S_0$  state, play a crucial role in determining the photocatalytic activity.

Among the effective OPSSs reported so far, there have been cases where  $S_1$ -state contributions were observed,<sup>80,83</sup> indicating complexity and diversity in their excited-state behavior. Nevertheless, part of the SHAP-derived outcomes demonstrates that, overall, properties associated with transitions from the  $T_1$  state play an important role in governing the photocatalytic activity. Researchers are often influenced by biases derived from a limited set of experimental observations, particularly from compounds they are most familiar with. Therefore, incorporating statistical, data-driven approaches can provide a more comprehensive and objective perspective for catalyst design and mechanistic understanding.

Beyond its utility for capturing general trends across the dataset, SHAP is particularly useful for case-by-case analyses. Through this framework, we successfully elucidated the correlations between excited-state properties influenced by subtle structural variations and the corresponding photocatalytic activity. For example, differences were observed in  $s_{k_{isc}}(T_1 \rightarrow S_0)$  and  $s_{k_{risc}}(T_1 \rightarrow S_1)$  for **OPS1** and **OPS7** (carbazoyl vs. diphenylamino groups), in  $s_{k_r}(S_1 \rightarrow S_0)$  and  $s_{k_{ic}}(S_1 \rightarrow S_0)$  for **OPS7** and **OPS47** (nitro vs. cyano groups), and in  $s_{k_{ic}}(S_1 \rightarrow S_0)$  and  $s_{k_{risc}}(T_1 \rightarrow S_1)$  for **OPS1** and **OPS5** (the presence or absence of methoxy groups), which are consistent with experimental observations and chemical intuitions. Statistical

analyses based on our dataset suggest that these factors account for the observed differences in catalytic activity. In particular, the developed descriptors, e.g.,  $s_{k_{ic}}(S_1 \rightarrow S_0)$ , successfully captured the characteristics of OPSSs with poor luminescent properties. Such case-specific analyses are compatible with the nature of organic chemistry.

Although the DFT-level computational approach introduces some numerical uncertainty particularly in  $k_{isc}(S_1 \rightarrow T_1)$  and  $k_{risc}(T_1 \rightarrow S_1)$ , the resulting relative relationships are sufficient for our ML framework to capture the overall trends, as substantiated by the improved model performance and the agreement between the SHAP-based analysis and experimental interpretations. We show that excited-state properties, which are often difficult to capture experimentally, can be reasonably related to photocatalytic activity at a feasible computational cost. This provides a chemically meaningful contribution beyond purely data-driven aspects. Meanwhile, to further generalize this strategy, continued experimental and computational efforts to construct databases that encompass a broader range of compounds and that incorporate more accurate photophysical properties are essential. For instance, when incorporating complex photosensitizers based on iridium or ruthenium, which are known to be highly effective, we should consider, for example, ultrafast excited-state processes<sup>84</sup> and the radiative rate constant associated with the  $T_1$ - $S_0$  transition, which are requirements that differ significantly from those of OPSSs. Developing rational strategies to integrate such differences will be an important challenge for future research.

## Author contributions

This study was primarily conducted by R. K., who performed experiments and machine-learning studies, and by M. H., who processed calculations of the descriptors. T. R., T. N., and K. S. supported the photoreaction experiments, while O. G. M. supervised T. R. The computational method used for calculating rate constants in this study was previously reported by N. I. and T. Y., who also contributed to the descriptor design in this study. The photophysical experiments were carried out under the guidance and discussions led by Y. M., with support from T. O. and supervision by H. I. The overall research was directed by N. N., who was also involved in experiments and machine-learning studies, under the supervision of S. S. The initial manuscript was drafted by N. N. with contributions from R. K., M. H., N. I., T. Y., and Y. M., and was edited by all co-authors.

## Conflicts of interest

There are no conflicts to declare.

## Data availability

Example code used within this study and corresponding data are available at our GitHub repository (<https://github.com/Naoki-Noto/P6-20240509-RK>).

The data supporting this article have been included in the supplementary information (SI). Supplementary information:



full experimental methods including detailed synthetic procedures and characterization data, computational details, and NMR spectra are compiled. See DOI: <https://doi.org/10.1039/d5sc06465a>.

## Abbreviations

DFT	Density functional theory
HGB	Histogram-based gradient boosting
ISC	Intersystem crossing
ML	Machine learning
OPS	Organic photosensitizer
RISC	Reverse intersystem crossing
SHAP	Shapley additive explanations
TADF	Thermally activated delayed fluorescence
TD-DFT	Time-dependent density functional theory
TVCF	Thermal vibration correlation function

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