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# Topological interface states and nonlinear thermoelectric performance in armchair graphene nanoribbon heterostructures

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We investigate the emergence and topological nature of interface states (IFs) in  $N$ -AGNR/ $(N - 2)$ -AGNR/ $N$ -AGNR heterostructure (AGNRH) segments lacking translational symmetry, focusing on their relation to the end states (ESs) of the constituent armchair graphene nanoribbon (AGNR) segments. For AGNRs with  $R_1$ -type unit cells, the ES numbers under a longitudinal electric field follow the relations  $N = N_{A(B)} \times 6 + 1$  and  $N = N_{A(B)} \times 6 + 3$ , whereas  $R_2$ -type unit cells exhibit  $(N_{A(B)} + 1)$  ESs. The subscripts A and B denote the chirality types of the ESs. The Stark effect lifts ES degeneracy and enables clear spectral separation between ESs and IFs. Using a real-space bulk boundary perturbation approach, we show that opposite-chirality states hybridize through junction-site perturbations and may shift out of the bulk gap. The number and chirality of IFs in symmetric AGNRHs are determined by the difference between the ESs of the outer and central segments,  $N_O$  and  $N_C$ , according to  $N_{IF,\beta} = |N_{O,B(A)} - N_{C,A(B)}|$ , where  $\beta$  labels the chirality. Depending on whether  $N_O > N_C$  or  $N_C > N_O$ , the resulting IFs acquire B- or A-chirality, respectively. Calculated transmission spectra  $\mathcal{T}_{\text{GNR}}(\epsilon)$  reveal that AGNRHs host a topological double quantum dot (TDQD) when IFs originate from the ESs of the central AGNR segment. Using an Anderson model with effective intra-dot and inter-dot Coulomb interactions, we derive an analytical expression for the tunneling current through the TDQD via a closed-form transmission coefficient. Thermoelectric analysis shows that TDQDs yield enhanced nonlinear power output in the electron-dilute and hole-dilute charge states, with Coulomb blockade suppressing thermal current but not thermal voltage. The thermal power output of the TDQD is significantly enhanced by nonlinear effects, even under strong electron Coulomb interactions.

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## 1. Introduction

Since the groundbreaking discovery of graphene in 2004,<sup>1</sup> extensive experimental and theoretical studies have focused on graphene nanoribbons (GNRs).<sup>2–13</sup> Advances in bottom-up fabrication now allow atomically precise GNRs with diverse geometries.<sup>2–13</sup> Among these, armchair GNRs (AGNRs) exhibit width-dependent electronic structures,<sup>2–6</sup> enabling tunable semiconducting phases suitable for quantum device applications. Of particular interest are zero-dimensional topological states (0D-TSs) associated with the interface states (IFs) of AGNR heterostructures (AGNRHs), which have been observed in scanning tunneling microscopy measurements of the local electronic density.<sup>7–11</sup> These 0D-TSs emerge within the mid-gap region of semiconducting AGNRHs,<sup>10,11</sup> providing a promising route toward atomically precise topological quantum dot (TQD) devices.<sup>14–20</sup> Their robustness allows the design of double TQDs and TQD arrays with controllable electron hopping and Coulomb interactions between TSs.<sup>11</sup>

Topological states in GNRs were originally predicted using the Zak number  $Z_2$  of bulk GNRs,<sup>21</sup> which requires time-reversal and translational symmetries.<sup>21–25</sup> However, experimentally synthesized 9–7–9 and 7–9–7 AGNRHs lack translational symmetry, as illustrated in Fig. 1(a). Because the wave-function decay length of the TS is very short, 9–7–9 AGNRH segments do not exhibit significant size effects on the TSs,<sup>11</sup> which explains why predictions based on the Zak number remain valid for 9–7–9 and 7–9–7 AGNRH segments.<sup>24</sup> For wider AGNRHs, such as 15–13–15, 21–19–21, and 27–25–27 segments, the wave-function decay lengths of TSs increase with width, making Zak number calculations insufficient. This motivates a real-space analysis of the relationship between ESs of wider AGNR segments possessing multiple end states (ESs) and IFs in wider AGNRHs, which is critical for designing two-dimensional TS-based crystals for novel quantum devices.<sup>26</sup>

A real-space approach involves solving the Schrodinger equation to obtain eigenvalues and eigenfunctions of AGNRHs. Exact analytical solutions exist only for triangular or rectangular GNR structures within the one-band tight-binding model.<sup>27–32</sup> Numerical methods can alternatively determine the number of IFs in AGNR heterojunctions.<sup>33–43</sup> However, distinguishing the

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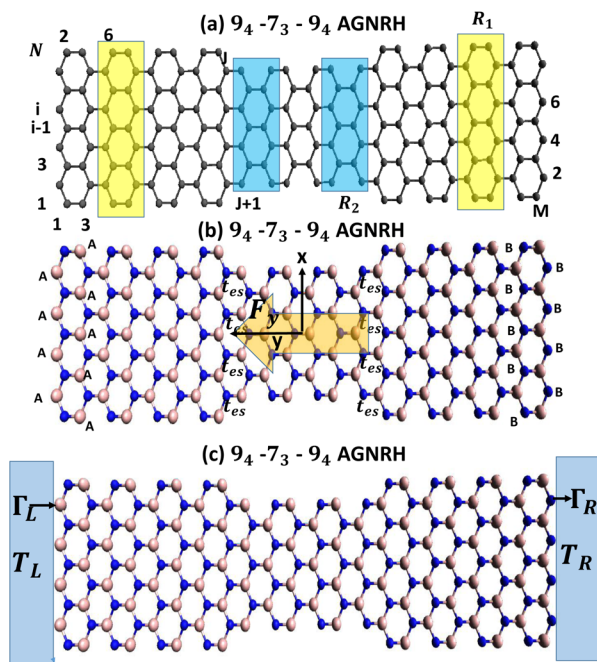


Fig. 1 (a) Schematic illustration of a  $9_w-7_x-9_y$  armchair graphene nanoribbon heterostructure (AGNRH) composed of three AGNR segments. The size of the AGNRH is characterized by  $(N, M)$ , where  $N$  and  $M$  denote the row and column numbers.  $R_1$  and  $R_2$  represent the unit cells (u.c.) of the 9-AGNR and 7-AGNR segments, respectively. (b) A and B sublattice sites are indicated by white and blue colors. The inter-AGNR electron hopping strengths  $t_{es}$  connect adjacent atoms between the 9-AGNR and 7-AGNR segments. (c) AGNRH segment with zigzag edge terminations coupled to left and right electrodes with equilibrium temperatures  $T_L$  and  $T_R$ .  $\Gamma_{L(R)}$  denote the tunneling rates for electrons tunneling from the left (right) electrode into the adjacent atoms at the zigzag edges.

locations and counts of IFs in numerical calculations is challenging due to their zero-energy modes. To overcome this, we propose applying a longitudinal electric field to AGNRH segments, as illustrated in Fig. 1(b). The resulting Stark effect not only resolves the number of IFs but also clarifies their spatial locations.

This study has two main objectives. First, we aim to elucidate the correlation between the ESs of isolated AGNR segments and IFs in  $N$ -AGNR/ $(N-2)$ -AGNR/ $N$ -AGNR heterostructures using a real-space formulation. While only 9-7-9 and 7-9-7 AGNRHs have been experimentally realized *via* bottom-up synthesis,<sup>11</sup> we extend our analysis to semiconducting AGNRs in the  $N = 9, 15, 21,$  and  $27$  families. To explore the evolution of terminal states of isolated AGNRs into IFs of AGNRHs, we introduce a tunable inter-AGNR hopping parameter  $t_{es}$  at the junction sites (Fig. 1(b)), following the bulk-boundary perturbation method.<sup>43</sup> When  $t_{es} = 0$ , the AGNRH decouples into three independent segments; varying  $t_{es}$  effectively modifies the boundary conditions of each segment. Sublattices are labeled A and B in Fig. 1(b) to emphasize the chiral symmetry of the structure.<sup>24</sup>

Second, we analyze charge transport through IFs in the Coulomb blockade regime when zigzag-terminated ends of the AGNRH are connected to electrodes (Fig. 1(c)). Tunneling

current measurements probe the spatial distribution of localized states, providing essential information for designing one- and two-dimensional 0D-TS crystals. We also tackle the challenging calculation of nonlinear thermoelectric power in topological double quantum dots (TDQDs) formed by AGNRH segments. By employing an analytical solution for tunneling current in the Coulomb blockade regime, we evaluate the influence of Coulomb interactions on both thermal voltage and thermal current, providing a comprehensive understanding of TDQD thermoelectric performance.

## 2. Calculation methodology

The system Hamiltonian, illustrated in Fig. 1(c), is written as:  $H = H_0 + H_{\text{GNR}}$ , where  $H_0$  describes the Hamiltonian of the electrodes and  $H_{\text{GNR}}$  represents the AGNRH. The Hamiltonian of  $H_0$  is given by

$$H_0 = \sum_{k,\sigma} \varepsilon_k a_{k,\sigma}^\dagger a_{k,\sigma} + \sum_{k,\sigma} \varepsilon_k b_{k,\sigma}^\dagger b_{k,\sigma} + \sum_{\ell,k,\sigma} V_{k,\ell,j}^L d_{\ell,j,\sigma}^\dagger a_{k,\sigma} + \sum_{\ell,k,\sigma} V_{k,\ell,j}^R d_{\ell,j,\sigma}^\dagger b_{k,\sigma} + h.c., \quad (1)$$

where the first two terms describe the free electrons in the left and right electrodes. The operators  $a_{k,\sigma}^\dagger$  ( $b_{k,\sigma}^\dagger$ ) create an electron with momentum  $k$  and spin  $\sigma$  in the left (right) electrode, each with energy  $\varepsilon_k$ . The terms  $V_{k,\ell,j=1}^L$  and  $V_{k,\ell,j=M}^R$  describe the coupling between the electrode and its adjacent atoms in the  $\ell$ -th row of the AGNRH. The electronic state of the GNR is described using a tight-binding model with one  $p_z$  orbital per carbon atom.<sup>44-46</sup> The AGNRH Hamiltonian  $H_{\text{GNR}}$  is written as:

$$H_{\text{GNR}} = \sum_{\ell,j} E_{\ell,j} d_{\ell,j,\sigma}^\dagger d_{\ell,j,\sigma} - \sum_{\ell,j} \sum_{\ell',j'} t_{(\ell,j),(\ell',j')} d_{\ell,j,\sigma}^\dagger d_{\ell',j',\sigma} + h.c., \quad (2)$$

where  $E_{\ell,j}$  denotes the on-site energy for the  $p_z$  orbital at row  $\ell$  and column  $j$ . Spin-orbit interaction is neglected in this model. Graphene possesses exceptionally weak spin orbit coupling and negligible hyperfine interaction, owing to the dominant presence of  $^{12}\text{C}$  atoms with zero nuclear spin.<sup>47-51</sup>

The operators  $d_{\ell,j,\sigma}^\dagger$  and  $d_{\ell,j,\sigma}$  create and annihilate electrons at the site  $\ell,j$ , respectively. The hopping integral  $t_{(\ell,j),(\ell',j')}$  describes electron transfer between sites  $\ell,j$  and  $\ell',j'$ . The tight-binding parameters used for the AGNRH are  $E_{\ell,j} = 0$  for all sites and  $t_{(\ell,j),(\ell',j')} = t_{\text{pp}\pi} = 2.7$  eV for nearest-neighbor hopping. A perturbative hopping term  $t_{es}$  is introduced to probe the interaction between pairs of states with opposite chirality, as illustrated in Fig. 1(b). The effect of a longitudinal electric field  $F_y$  is incorporated through an additional potential  $U_y = eF_y y$  added to  $E_{\ell,j}$ , where  $F_y = V_y/L_a$ , with  $V_y$  being the applied bias and  $L_a$  the length of the AGNRH.

To investigate the electron transport through the AGNRH junction, the transmission coefficient  $\mathcal{T}_{\text{GNR}}(\varepsilon)$  is evaluated using  $\mathcal{T}_{\text{GNR}}(\varepsilon) = 4\text{Tr}[\Gamma_L(\varepsilon)G^r(\varepsilon)\Gamma_R(\varepsilon)G^a(\varepsilon)]$ , where  $\Gamma_{L(R)}(\varepsilon)$  denote the tunneling rates of the left (right) electrode, and  $G^{(a)}(\varepsilon)$  are the retarded (advanced) Green functions of the AGNRH.<sup>52-56</sup> In the tight-binding formulation,  $\Gamma_\alpha(\varepsilon)$  and Green's



functions are matrices. The expression for  $\Gamma_{L(R)}(\varepsilon)$  is derived from the imaginary part of the self-energies, denoted as  $\sum_{L(R)}^r(\varepsilon)$ , and is given by  $\Gamma_{L(R)}(\varepsilon) = -\text{Im}(\sum_{L(R)}^r(\varepsilon)) = \pi \sum_k \left| V_{k,\ell,j=1(M)}^{L(R)} \right|^2 \delta(\varepsilon - \varepsilon_k)$ . For simplicity, we adopt the wide-band limitation and assume  $\Gamma_{L(R)}(\varepsilon)$  to be energy-independent, denoted simply as  $\Gamma_{L(R)}$ .

### 3. Results and discussion

#### 3.1. End states of AGNR segments and interface states of AGNRH segments

Because the end states (ESs) of an AGNR segment possess zero-energy modes, it is difficult to resolve their degeneracies through numerical calculations alone. Meanwhile, to experimentally reveal the number of ESs, we apply a Stark effect induced by an external electric field to lift the zero-energy modes and thereby determine the number of ESs in AGNR segments with an  $R_1$  unit cell (u.c.), as shown in Fig. 1(a). Fig. 2 displays the energy levels of  $N$ -AGNR segments under a uniform electric field applied along the armchair direction (defined as the  $y$ -direction). The conduction- and valence subband edge states,  $E_c$  and  $E_v$ , exhibit red Stark shifts, while the localized ESs exhibit blue Stark shifts. These localized states show a linear dependence on the applied voltage  $V_y$ . The subband gap  $\Delta_g = E_c - E_v$  decreases with increasing ribbon width for a family of AGNR, as illustrated in Fig. 2.

Furthermore, the number of ESs differs among AGNR segments of various widths. We find that 13-AGNR and 15-

AGNR segments possess two left ESs ( $N_A = 2$ ) and two right ESs ( $N_B = 2$ ). The energies of the left ESs are labeled as  $\Sigma_{c_1}$  and  $\Sigma_{c_2}$ , while those of the right ESs are labeled as  $\Sigma_{v_1}$  and  $\Sigma_{v_2}$ . The subscripts c and v denote states above and below the charge-neutral point (CNP), respectively. These multiple ESs contrast with those in narrower segments such as 7-AGNR and 9-AGNR, which each exhibit only one left ES and one right ES ( $N_A = N_B = 1$ ). For wider AGNRs, such as 19-AGNR and 21-AGNR, we obtain  $N_A = N_B = 3$ , and for 25-AGNR and 27-AGNR, we obtain  $N_A = N_B = 4$ . Based on the numerical results in Fig. 2, the ES numbers follow the rule  $N = 6N_{A(B)} + 1$  and  $N = 6N_{A(B)} + 3$ . The former corresponds to semiconducting AGNRs with  $N = 3p + 1$  and the latter to semiconducting AGNRs with  $N = 3p$ , where  $p$  is an integer.

The Stark shift of ES energy levels in AGNR segments can also be applied to AGNRH segments. For the  $N$ -AGNR/ $(N - 2)$ -AGNR/ $N$ -AGNR heterostructures considered in this work, we focus on the semiconducting family with  $N = 9, 15, 21$ , and 27. The outer AGNR segments in these heterostructures belong to the  $N = 3p$  family, while the central segment belongs to the  $N = 3p + 1$  family. Fig. 3 presents the energy spectra of these AGNRHs as functions of the applied voltage  $V_y$ .

As shown in Fig. 3(a), the 9–7–9 AGNRH exhibits two low-energy modes above the CNP and two below it. The modes labeled  $\Sigma_{c,1}$  and  $\Sigma_{v,1}$  correspond to the left and right ESs of the 9–7–9 AGNRH segment. The modes  $\Sigma_{IF,c}$  and  $\Sigma_{IF,v}$  represent the left and right topological symmetry-protected interface states (IFs) at the 9–7 and 7–9 AGNR heterojunctions. At  $V_y = 0.18$  V, the energy levels are  $\Sigma_{c,1} = 0.087$  eV,  $\Sigma_{v,1} = -0.087$  eV,  $\Sigma_{IF,c} = 0.062$  eV, and  $\Sigma_{IF,v} = -0.062$  eV.

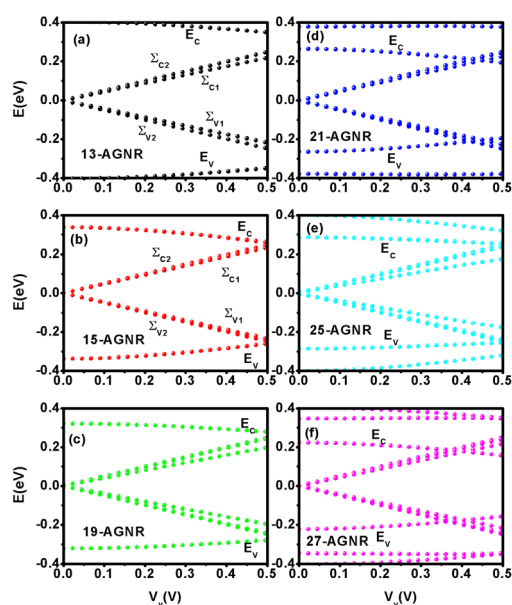


Fig. 2 Energy levels of armchair graphene nanoribbon (AGNR) segments as functions of the applied voltage  $V_y$ . (a) 13-AGNR, (b) 15-AGNR, (c) 19-AGNR, (d) 21-AGNR, (e) 25-AGNR, and (f) 27-AGNR segments. All segments have a length specified by  $M = 96$ , and their widths are characterized by  $N$ .

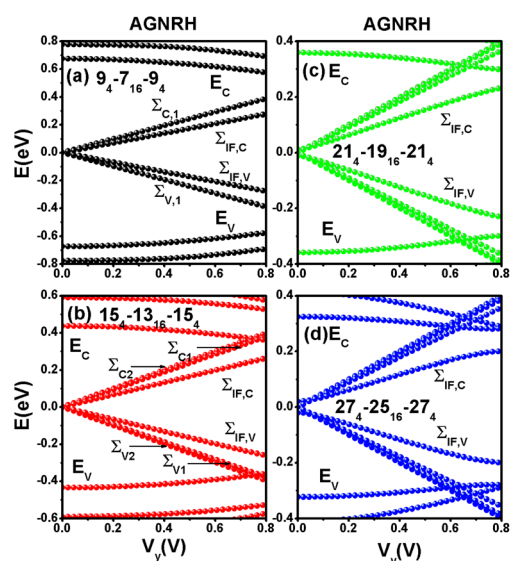


Fig. 3 Energy levels of armchair graphene nanoribbon heterostructure (AGNRH) segments as functions of the applied voltage  $V_y$  for different widths. (a) 9–7–9 AGNRH, (b) 15–13–15 AGNRH, (c) 21–19–21 AGNRH, and (d) 27–25–27 AGNRH. Here, we adopt  $w = y = 4$  for the outer AGNR segments with  $R_1$ -type unit cells (u.c.) and  $x = 16$  for the central AGNR segment with an  $R_2$ -type unit cell (u.c.).



For the wider AGNRH structures shown in Fig. 3(b)–(d), only one left IF and one right IF appear, even though the AGNR segments contain multiple ESSs. For example, in Fig. 3(b), the 15–13–15 AGNRH at  $V_y = 0.18$  V exhibits six in-gap states: four ESSs with energies  $\Sigma_{c,2} = 0.08932$  eV,  $\Sigma_{v,2} = -0.08932$  eV,  $\Sigma_{c,1} = 0.08483$  eV, and  $\Sigma_{v,1} = -0.08483$  eV, and two IFs with energies  $\Sigma_{IF,c} = 0.05972$  eV and  $\Sigma_{IF,v} = -0.05972$  eV.

Similarly, the 21–19–21 AGNRH in Fig. 3(c) exhibits eight in-gap states at  $V_y = 0.18$  V: six ESSs with energies  $\Sigma_{c,3} = 0.08966$  eV,  $\Sigma_{v,3} = -0.08966$  eV,  $\Sigma_{c,2} = 0.08823$  eV,  $\Sigma_{v,2} = -0.08823$  eV,  $\Sigma_{c,1} = 0.0824$  eV, and  $\Sigma_{v,1} = -0.0824$  eV, along with two IFs at  $\Sigma_{IF,c} = 0.05728$  eV and  $\Sigma_{IF,v} = -0.05728$  eV. The 27–25–27 AGNRH contains ten in-gap states (eight ESSs and two IFs). Compared with the in-gap states of the isolated  $N$ -AGNR segments shown in Fig. 2, the  $N$ -AGNR/ $(N - 2)$ -AGNR/ $N$ -AGNR heterostructures exhibit two additional in-gap states arising from the IFs. Thus, the Stark effect reveals not only the number of ESSs in AGNR segments but also the number of IFs in AGNRH structures.

### 3.2. Bulk boundary perturbation method

Although the number of IFs in AGNR heterojunctions can be determined using the winding number  $Z$ , its evaluation requires momentum–space calculations.<sup>24</sup> However, this approach is not directly suitable for finite AGNRH segments. To elucidate the correlation between the ESSs of AGNR segments and the IFs of AGNRH segments, we analyze the energy levels of the four AGNRH structures shown in Fig. 3(a–d) as functions of the inter-AGNR coupling parameter  $t_{es}$ . In Fig. 4(a), eight in-gap energy levels appear at  $t_{es} = 0$  because the 9–7–9 AGNRH is decoupled into isolated left-, central-, and right-AGNR segments. Each 9-AGNR segment with an  $R_1$ -type unit cell hosts  $N_{L(R),A} = 1$  and  $N_{L(R),B} = 1$  ESS. The 7-AGNR segment, which has an  $R_2$ -type unit

cell, possesses  $N_{C,A} = 2$  and  $N_{C,B} = 2$  ESSs. One of the  $N_{C,A}$  ( $N_{C,B}$ ) states is an additional zero-energy mode with an extremely localized wave-function at sublattice-A(B), denoted as  $\Psi_{7,A}$  ( $\Psi_{7,B}$ ). Because the 9-AGNR and 7-AGNR segments have finite lengths of 4 and 6 unit cells, respectively, these in-gap ESSs hybridize and form bonding and antibonding energy levels. However, the coupling between  $\Psi_{7,A}$  and  $\Psi_{7,B}$  remains very weak.

As  $t_{es}$  increases from 0 to the pristine hopping value  $t = 2.7$  eV, four of the in-gap states exhibit linear  $t_{es}$  dependence, forming the curves labeled  $\Sigma_{AB,c}$  and  $\Sigma_{AB,v}$ . These levels merge into the bulk bands at  $t_{es} = 0.45t$ . The origin of  $\Sigma_{AB,c}$  and  $\Sigma_{AB,v}$  is the interaction between pairs of ESSs with opposite chirality through weak boundary perturbation (see also Fig. 5). The curves labeled  $\Sigma_{IF,c}$  and  $\Sigma_{IF,v}$  correspond to the bonding and antibonding combinations of the robust ESSs ( $\Phi_{7,A}$  and  $\Phi_{7,B}$ ) in the 7-AGNR segment. These states are weakly dependent on  $t_{es}$ . Specifically, we find  $\Sigma_{IF,c} = 0.04552$  eV ( $\Sigma_{IF,v} = -0.04552$  eV) at  $t_{es} = 0$ , and  $\Sigma_{IF,c} = 0.02286$  eV ( $\Sigma_{IF,v} = -0.02286$  eV) at  $t_{es} = t_{pp\pi} = 2.7$  eV. The evolution of these levels with  $t_{es}$  demonstrates that the robust ESSs of the 7-AGNR segment give rise to the interface states of the 9–7–9 AGNRH. The terminal states of the 9–7–9 AGNRH, labeled  $\Sigma_{A,c}$  and  $\Sigma_{B,v}$  near the CNP, are insensitive to  $t_{es}$ .

For the 15–13–15 AGNRH shown in Fig. 4(b), we obtain 14 in-gap levels at  $t_{es} = 0$ . The 15-AGNR segments possess  $N_{L,A(B)} = 2$  and  $N_{R,A(B)} = 2$ , whereas the 13-AGNR segment has  $N_{C,A(B)} = 3$ . As  $t_{es}$  increases to  $t = 2.7$  eV, eight ESSs move out of the bulk gap. The levels  $\Sigma_{IF,c}$  and  $\Sigma_{IF,v}$  originate from the bonding and antibonding combinations of the robust ESSs of the 13-AGNR segment ( $\Phi_{13,A}$  and  $\Phi_{13,B}$ ). In this case, the robust ESSs exhibit a significantly longer decay length than those in the 9–7–9 AGNRH. In Fig. 4(c) and (d), 12 ESSs and 16 ESSs leave the bulk gap in the 21–19–21 and 27–25–27 AGNRHs, respectively. The

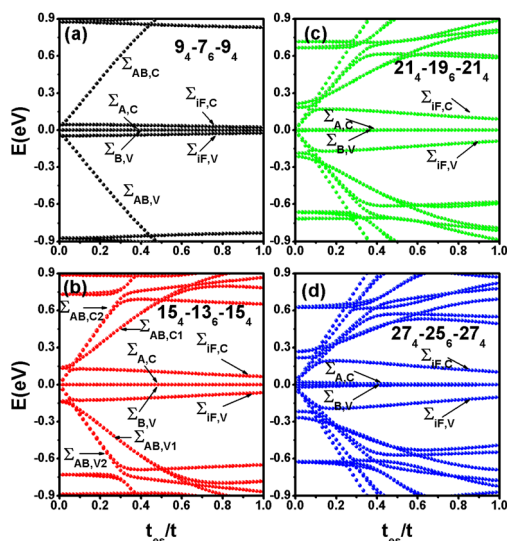


Fig. 4 Energy levels of four AGNRH segments with different widths as functions of the inter-AGNR electron hopping strength  $t_{es}$ . (a) 9<sub>4</sub>–7<sub>6</sub>–9<sub>4</sub> AGNRH segment, (b) 15<sub>4</sub>–13<sub>6</sub>–15<sub>4</sub> AGNRH segment, (c) 21<sub>4</sub>–19<sub>6</sub>–21<sub>4</sub> AGNRH segment and (d) 27<sub>4</sub>–25<sub>6</sub>–27<sub>4</sub> AGNRH segment. The parameter  $t_{es}$  is illustrated in Fig. 1(b).

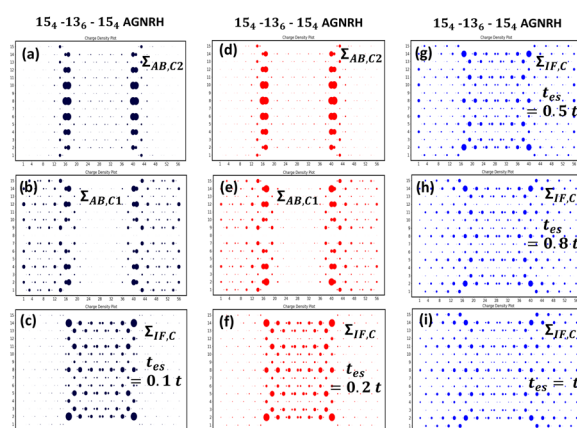


Fig. 5 (a–c) Probability densities corresponding to  $\Sigma_{AB,c_2} = 0.24355$  eV,  $\Sigma_{AB,c_1} = 0.20466$  eV and  $\Sigma_{IF,c} = 0.12651$  eV in the 15<sub>4</sub>–13<sub>6</sub>–15<sub>4</sub> AGNRH segment with  $t_{es} = 0.1t$ . (d–f) Probability densities corresponding to  $\Sigma_{AB,c_2} = 0.47859$  eV,  $\Sigma_{AB,c_1} = 0.32919$  eV and  $\Sigma_{IF,c} = 0.12399$  eV in the 15<sub>4</sub>–13<sub>6</sub>–15<sub>4</sub> AGNRH segment with  $t_{es} = 0.2t$ . (g–i) Probability densities of  $\Sigma_{IF,c}$  in the 15<sub>4</sub>–13<sub>6</sub>–15<sub>4</sub> AGNRH segment for various values of  $t_{es}$ : (g)  $\Sigma_{IF,c} = 0.10343$  eV at  $t_{es} = 0.5t$ , (h)  $\Sigma_{IF,c} = 0.07926$  eV at  $t_{es} = 0.8t$ , and (i)  $\Sigma_{IF,c} = 0.06531$  eV at  $t_{es} = 1t$ .



21–19–21 AGNRH retains two IFs (forming  $\Sigma_{\text{IF},c}$  and  $\Sigma_{\text{IF},v}$ ) and six ESs within the gap, while the 27–25–27 AGNRH contains two IFs and eight ESs. These results lead to the general rule for  $N$ -AGNR/ $(N-2)$ -AGNR/ $N$ -AGNR heterostructures:  $N_{\text{IF},L,A} = |N_{C,A} - N_{L,B}|$  and  $N_{\text{IF},R,B} = |N_{C,B} - N_{R,A}|$ . This relation shows that the number and type of IFs in a symmetric  $N_{\text{out}}$ -AGNR/ $N_{\text{cen}}$ -AGNR/ $N_{\text{out}}$ -AGNR heterostructure with  $\Delta N = (N_{\text{out}} - N_{\text{cen}})/2 = 1$  are determined by the ES-number difference and the chirality of the ESs in the AGNR segments with the maximal ES number. As demonstrated in Appendix A.1, this rule is also valid for  $\Delta N \neq 1$ , for example,  $\Delta N = 3, 7, 9$ .

Next, we consider the case of Fig. 4(b) as an example to illustrate the charge (probability) density distribution of ESs and IFs at different  $t_{\text{es}}$  values, and to clarify why some ESs shift out of the bulk gap, leaving only two IFs in the  $N$ -AGNR/ $(N-2)$ -AGNR/ $N$ -AGNR structure. As shown in Fig. 5(a–c), the AGNRH behaves as three weakly coupled segments at  $t_{\text{es}} = 0.1t$ . In Fig. 5(a), the probability density of  $\Sigma_{\text{AB},c_2}$  is confined at the interface sites, reflecting interactions between sublattice-A and sublattice-B states. The probability density of  $\Sigma_{\text{AB},c_1}$ , shown in Fig. 5(b), also reflects opposite-chirality interactions but is primarily distributed over the outer 15-AGNR segments. By contrast, the probability density of  $\Sigma_{\text{IF},c}$  is localized entirely within the central 13-AGNR segment (Fig. 5(c)).

At  $t_{\text{es}} = 0.2t$ , the distributions of  $\Sigma_{\text{AB},c_2}$ ,  $\Sigma_{\text{AB},c_1}$ , and  $\Sigma_{\text{IF},c}$  remain nearly unchanged. To clarify the effect of  $t_{\text{es}}$  on  $\Sigma_{\text{IF},c}$ , we plot the probability densities for  $t_{\text{es}} = 0.5t, 0.8t$ , and  $1.0t$  in Fig. 5(g–i). As  $t_{\text{es}}$  increases, the probability density of  $\Sigma_{\text{IF},c}$  gradually extends into the outer 15-AGNR segments while conserving normalization. Based on Fig. 4(b) and 5, we conclude that the interface states of the  $N$ -AGNR/ $(N-2)$ -AGNR/ $N$ -AGNR heterostructure originate from the ESs of the narrow  $(N-2)$ -AGNR segment, which adopts the  $R_2$ -type unit cell. It is important to note that the IF states exhibit a direction-dependent decay length. Similar direction-dependent decay behavior has also been reported for the two-dimensional topological interface states in HgTe/CdTe heterostructures.<sup>57</sup>

### 3.3. Interface states of AGNRH segments functioning as a single TDQD

The bulk gap of the 9–7–9 AGNRH segment is larger than that of the 15–13–15, 21–19–21, and 27–25–27 AGNRH segments, which is advantageous for suppressing thermal noise. However, the decay length of its IF wave functions is too short to allow the fabrication of gate electrodes. Increasing the width of AGNRH segments leads to longer decay lengths of IF wave functions, as reflected by the increased energy separation between  $\Sigma_{\text{IF},c}$  and  $\Sigma_{\text{IF},v}$  shown in Fig. 4. Because the bulk gaps of the 21–19–21 and 27–25–27 AGNRH segments are smaller than that of the 15–13–15 AGNRH segment, we focus on the transport properties of the interface states in the 15–13–15 AGNRH segment. The calculated transmission coefficients for this structure are shown in Fig. 6.

Fig. 6(a) presents the transmission coefficient  $\mathcal{T}_{\text{GNR}}(\epsilon)$  of a  $15_4$ - $13_6$ - $15_4$  AGNRH with zigzag edge terminations for different values of  $t_{\text{es}}$ . As  $t_{\text{es}}$  decreases, the  $\mathcal{T}_{\text{GNR}}(\epsilon)$  spectrum

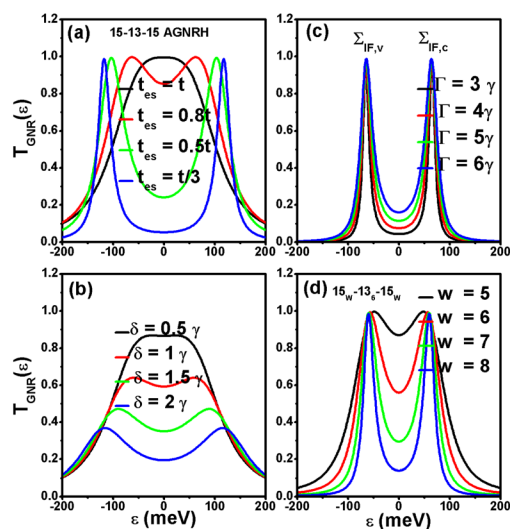


Fig. 6 Transmission coefficient  $\mathcal{T}_{\text{GNR}}(\epsilon)$  of the  $15_w$ - $13_x$ - $15_y$  AGNRH segment with zigzag-terminated edge structures coupled to electrodes. (a)  $\mathcal{T}_{\text{GNR}}(\epsilon)$  of the  $15_4$ - $13_6$ - $15_4$  AGNRH segment for different values of  $t_{\text{es}}$  at  $\Gamma_L = \Gamma_R = \Gamma = 2.7$  eV. (b)  $\mathcal{T}_{\text{GNR}}(\epsilon)$  of the  $15_4$ - $13_6$ - $15_4$  AGNRH segment with a staggered sublattice potential  $\delta$ , applied to the outer AGNR segments, for  $\Gamma = 2.7$  eV and  $t_{\text{es}} = t$ . (c)  $\mathcal{T}_{\text{GNR}}(\epsilon)$  of the  $15_4$ - $13_6$ - $15_4$  AGNRH segment with  $t_{\text{es}} = t$  and  $\delta = 0$  for different values of  $\Gamma$ , corresponding to various electrode materials. (d)  $\mathcal{T}_{\text{GNR}}(\epsilon)$  of the  $15_w$ - $13_x$ - $15_w$  AGNRH segment for different values of  $w$ , with  $t_{\text{es}} = t$ ,  $\Gamma = 2.7$  eV and  $\delta = 0$ . A parameter of  $\gamma = 90$  meV is used in panels (b) and (c).

splits into two resonant peaks, each reaching a maximum value of one. These two peaks clearly demonstrate that the 15–13–15 AGNRH segment hosts two nondegenerate resonant channels. The peak positions shift away from the CNP as  $t_{\text{es}}$  decreases. This behavior arises because reducing  $t_{\text{es}}$  effectively increases the barrier height experienced by the IFs, strengthening their confinement. Consequently, the peak widths also become narrower. In the context of  $t_{\text{es}} \neq t$ , one may consider employing STM techniques to manipulate the electron-hopping strengths at the interface sites.<sup>11</sup>

Fig. 6(b) shows  $\mathcal{T}_{\text{GNR}}(\epsilon)$  for different staggered potentials  $\delta_A = -\delta_B = \delta$  induced by the two-dimensional substrates supporting the outer 15-AGNR segments. Increasing  $\delta$  enhances quantum confinement, and once the 15-AGNR segments behave as potential barriers, strong backward electron scattering is expected. However, since strain engineering can be used to minimize the staggered potential,<sup>58–62</sup> we neglect substrate-induced staggered potentials in the following discussion.

In Fig. 6(c), we show  $\mathcal{T}_{\text{GNR}}(\epsilon)$  for the  $15_4$ - $13_6$ - $15_4$  AGNRH segment for various coupling strengths  $\Gamma$ , corresponding to different electrode materials. While the resonant peak positions remain unchanged, the peak widths vary according to the coupling between the electrodes and the edge atoms (*i.e.*, variation of  $\Gamma_L = \Gamma_R = \Gamma$ ). These results further highlight the topologically protected and symmetric localization characteristics of the IFs.

In Fig. 6(a)–(c), the length of the  $15_4$ - $13_6$ - $15_4$  segment is fixed. To examine size effects, we also calculate  $\mathcal{T}_{\text{GNR}}(\epsilon)$  for  $15_w$ -



13<sub>6</sub>–15<sub>y</sub> AGNRHs with symmetrically varied outer segment lengths ( $w = y$ ). Because the IFs are strongly localized, increasing  $w$  reduces the effective coupling strength between the electrodes and the IFs. As a result, the resonant peaks become narrower with increasing 15-AGNR segment length. Overall, the results in Fig. 6 demonstrate that the IFs in a 15–13–15 AGNRH function as a topological double quantum dot (TDQD). Each quantum dot hosts a single energy level that is well isolated from bulk states by an effective bulk gap on the order of one electron-volt (see Fig. 3(b)).

### 3.4. Nonlinear thermoelectric power of TDQD

The transmission coefficients  $T_{\text{GNR}}(\varepsilon)$  shown in Fig. 6 were calculated within a single-particle framework. However, evaluating  $T_{\text{GNR}}(\varepsilon)$  in the Coulomb blockade regime is extremely challenging.<sup>63–68</sup> When intra-site Coulomb interactions are included in the Hamiltonian of eqn (2), current theoretical approaches remain limited to mean-field treatments, *i.e.*, one-particle approximations.<sup>36</sup> Since our interest lies in the low-energy modes near the CNP, we employ an extended Anderson model that incorporates effective intra-dot and inter-dot Coulomb interactions to investigate tunneling through the TDQD. The effective Hamiltonian is given by  $H_{\text{eff}} = H_{\text{SD}} + H_{\text{TDQD}}$ , where  $H_{\text{SD}}$  describes the source and drain electrodes and  $H_{\text{TDQD}}$  represents the TDQD:

$$H_{\text{TDQD}} = \sum_{j=L,R,\sigma} E_j c_{j,\sigma}^\dagger c_{j,\sigma} - t_x \left( c_{R,\sigma}^\dagger c_{L,\sigma} + c_{L,\sigma}^\dagger c_{R,\sigma} \right) + \sum_{j=L,R} U_j n_{j,\sigma} n_{j,-\sigma} + \frac{1}{2} \sum_{j \neq \ell, \sigma, \sigma'} U_{j,\ell} n_{j,\sigma} n_{\ell,\sigma'}, \quad (3)$$

Here,  $E_j$  is the spin-independent energy level of dot  $j$ , and  $U_j = U_{L(R)} = U_0$  and  $U_{\ell,j} = U_{LR} = U_1$  denote the intra-dot and inter-dot Coulomb interactions, respectively. The operator  $n_{j,\sigma} = c_{j,\sigma}^\dagger c_{j,\sigma}$  is the number operator, and  $t_x$  is the interdot hopping amplitude. For 15–13–15 AGNRH segments, both end states and interface states emerge within the middle gap and are well separated from the conduction and valence subbands by a band gap of approximately 1 eV [Fig. 3(b)]. This large separation suppresses optical-phonon assisted transport due to the phonon bottleneck effect;<sup>69</sup> therefore, thermal noise effects arising from bound-to-continuum states can be safely neglected in eqn (3). Noting that the phonon mean free path in graphene nanostructures can be reduced to 10 nm.<sup>70–76</sup> Since the lengths of the AGNRH segments considered in this work are smaller than this scale, electron-acoustic phonon scattering can be safely ignored.

The Green-function technique provides a powerful framework for calculating tunneling currents in strongly correlated nanostructures under nonequilibrium conditions.<sup>77–80</sup> Using the equation-of-motion method, the tunneling current from the left (right) electrode through the TDQD with electron–electron interactions is given by

$$J_{L(R)}(V_a, \Delta T) = \frac{2e}{h} \int d\varepsilon T_{\text{LR(RL)}}(\varepsilon) [f_L(\varepsilon) - f_R(\varepsilon)], \quad (4)$$

The Fermi–Dirac distribution of electrode  $\alpha$  is defined as  $f_\alpha(\varepsilon) = 1/(\exp[(\varepsilon - \mu_\alpha)/k_B T_\alpha] + 1)$ , with chemical potentials  $\mu_{L(R)} = \mu \pm eV_a/2$  under an applied bias of  $+V_a/2$  and  $-V_a/2$ . For convenience, we set the Fermi energy  $\mu = 0$ . The temperature bias is defined as  $\Delta T = T_L - T_R$ . A closed-form expression for  $T_{\text{LR}}(\varepsilon)$  is given in ref. 80:

$$T_{\text{LR}}(\varepsilon)/(4t_x^2 \Gamma_{e,L} \Gamma_{e,R}) = \frac{C_1}{|\varepsilon_L \varepsilon_R - t_x^2|^2} + \frac{C_2}{|(\varepsilon_L - U_{LR})(\varepsilon_R - U_R) - t_x^2|^2} + \frac{C_3}{|(\varepsilon_L - U_{LR})(\varepsilon_R - U_{LR}) - t_x^2|^2} + \frac{C_4}{|(\varepsilon_L - 2U_{LR})(\varepsilon_R - U_{LR} - U_R) - t_x^2|^2} + \frac{C_5}{|(\varepsilon_L - U_L)(\varepsilon_R - U_{LR}) - t_x^2|^2} + \frac{C_6}{|(\varepsilon_L - U_L - U_{LR})(\varepsilon_R - U_R - U_{LR}) - t_x^2|^2} + \frac{C_7}{|(\varepsilon_L - U_L - U_{LR})(\varepsilon_R - 2U_{LR}) - t_x^2|^2} + \frac{C_8}{|(\varepsilon_L - U_L - 2U_{LR})(\varepsilon_R - U_R - 2U_{LR}) - t_x^2|^2}, \quad (5)$$

Here,  $\varepsilon_L = \varepsilon - E_L + i\Gamma_{e,L}$  and  $\varepsilon_R = \varepsilon - E_R + i\Gamma_{e,R}$ ;  $\Gamma_{e,L(R)}$  is the tunneling rate determined by the dot-electrode coupling. The eight terms above correspond to the eight possible TDQD occupation configurations encountered by an incoming spin- $\sigma$  electron. The configuration probabilities  $C_m$  are expressed as

$$C_1 = 1 - N_{L,\sigma} - N_{R,\sigma} - N_{R,-\sigma} + \langle n_{R,\sigma} n_{L,\sigma} \rangle + \langle n_{R,-\sigma} n_{L,\sigma} \rangle + \langle n_{R,-\sigma} n_{R,\sigma} \rangle - \langle n_{R,-\sigma} n_{R,\sigma} n_{L,\sigma} \rangle$$

$$C_2 = N_{R,\sigma} - \langle n_{R,\sigma} n_{L,\sigma} \rangle - \langle n_{R,-\sigma} n_{R,\sigma} \rangle + \langle n_{R,-\sigma} n_{R,\sigma} n_{L,\sigma} \rangle$$

$$C_3 = N_{R,-\sigma} - \langle n_{R,-\sigma} n_{L,\sigma} \rangle - \langle n_{R,-\sigma} n_{R,\sigma} \rangle + \langle n_{R,-\sigma} n_{R,\sigma} n_{L,\sigma} \rangle$$

$$C_4 = \langle n_{R,-\sigma} n_{R,\sigma} \rangle - \langle n_{R,-\sigma} n_{R,\sigma} n_{L,\sigma} \rangle$$

$$C_5 = N_{L,\sigma} - \langle n_{R,\sigma} n_{L,\sigma} \rangle - \langle n_{R,-\sigma} n_{L,\sigma} \rangle + \langle n_{R,-\sigma} n_{R,\sigma} n_{L,\sigma} \rangle$$

$$C_6 = \langle n_{R,\sigma} n_{L,\sigma} \rangle - \langle n_{R,-\sigma} n_{R,\sigma} n_{L,\sigma} \rangle$$

$$C_7 = \langle n_{R,-\sigma} n_{L,\sigma} \rangle - \langle n_{R,-\sigma} n_{R,\sigma} n_{L,\sigma} \rangle$$

$$C_8 = \langle n_{R,-\sigma} n_{R,\sigma} n_{L,\sigma} \rangle,$$

Here,  $N_{L,\sigma}$  is the single-particle occupation at site L. Two-particle and three-particle correlation functions are also included, and the full set is solved self-consistently so that  $\sum_m C_m = 1$ , ensuring probability conservation. The reverse-bias current is obtained by exchanging the indices of  $T_{\text{LR}}(\varepsilon)$ . Note that the transmission formula is valid only for temperatures above the Kondo temperature.<sup>81–83</sup>



Although many-body effects in voltage-driven transport have been widely explored, their impact on electrical power generation in nanostructures driven by a temperature bias—both in quasi-equilibrium and far-from-equilibrium regimes—remains insufficiently understood.<sup>84–106</sup> Here, we investigate these many-body effects for thermoelectric generators formed by the topological states (TSS) of AGNRHs. In realistic experimental conditions, the left (right) gate voltage not only tunes the energy level of the left (right) TQD but also affects the right (left) TQD; therefore, the left and right TQD energy levels are modulated as  $E_L = -(\eta_1 e V_{L,g} + (1 - \eta_1) e V_{R,g}) + \eta_L e V_a$  and  $E_R = -((1 - \eta_2) e V_{L,g} + \eta_2 e V_{R,g}) + \eta_R e V_a$ , where  $\eta_1$  and  $\eta_2$  represent the effects of intra- and interdot Coulomb interactions<sup>107</sup> and  $\eta_{L(R)}$  ( $|\eta_{L(R)}| < 1/2$ ) describe the orbital shifts induced by  $V_a$  (see Fig. (3)). We take  $U_0 = 90$  meV and  $U_1 = 25$  meV, consistent with their weak dependence on AGNR lengths.<sup>56</sup> In this study, we use  $\eta_1 = \eta_2 = 0.8$  and a small orbital offset  $\eta_L = -\eta_R = 0.1$ . The tunneling rates  $\Gamma_{e,L}$  and  $\Gamma_{e,R}$  and the interdot hopping  $t_x$  are treated as tunable parameters controlled by the 13- and 15-AGNR segment lengths.

To analyze nonlinear temperature-driven power generation, we define the optimal electrical power as  $\mathcal{Q}_{op} = -J_{op}(V_{op}, \Delta T) \times V_{op}(\Delta T)$ , where  $J_{op}(V_{op}, \Delta T)$  and  $V_{op}(\Delta T)$  denote the optimized thermal current and thermal voltage, respectively.<sup>93,103</sup> The thermal voltage  $V_{op}(\Delta T)$  is obtained by maximizing the electrical power  $\mathcal{Q} = -J_{L(R)}(V_a, \Delta T) \times V_a$  with respect to  $V_a$ .<sup>103</sup> This optimization is highly nontrivial in the Coulomb blockade regime under nonequilibrium conditions; thus, many theoretical studies avoid correlated transport and power optimization

altogether.<sup>91–104</sup> The electrode temperatures are expressed as  $T_L = T_0 \pm \Delta T/2$  and  $T_R = T_0 \mp \Delta T/2$ , where  $T_0 = (T_L + T_R)/2$  is the average temperature.

### 3.4.1 Quasi-equilibrium and out-of-equilibrium scenarios.

Fig. 7(a)–(c) show the charge stability diagram ( $N_t$ ), electrical conductance ( $G_e$ ), and Seebeck coefficient ( $S$ ) as functions of the two gate voltages  $V_{L,g}$  and  $V_{R,g}$  at  $T_0 = 12$  K. In Fig. 7(a), the charge stability diagram gives the total charge number  $N_t = N_L + N_R = \sum_{\sigma} (N_{L,\sigma} + N_{R,\sigma})$ . Nine distinct charge configurations ( $N_L, N_R$ ) are observed, corresponding to TDQD occupancies of zero to four electrons. These results are consistent with experimental charge stability diagrams reported for other serial DQD systems at low temperature.<sup>107–111</sup> In Fig. 7(b), the electrical conductance exhibits eight peaks aligned with the charge-transition lines in Fig. 7(a). These peaks indicate that the TDQD provides eight resonant transport channels under weak coupling ( $\Gamma_{e,t} = t_x = 1$  meV). The first peak ( $P_1$ ) corresponds to the lowest-energy TDQD state, while the last peak ( $P_8$ ) corresponds to the highest-energy state.<sup>80</sup> The Seebeck coefficient in Fig. 7(c) exhibits a bipolar behavior, with negative (positive) values associated with electron (hole-like) transport. The  $S$  spectra thus encode both the thermal transport characteristics and the charge stability structure of the TDQD. The quantities  $G_e$  and  $S$  are expressed in units of  $G_0 = 2e^2/h = 77.5$   $\mu\text{S}$  and  $k_B/e = 86.25$   $\mu\text{V K}^{-1}$ , respectively. These results represent the quasi-equilibrium regime of TDQD thermoelectric transport.

To examine the out-of-equilibrium regime, Fig. 7(d)–(f) present the total charge number  $N_t$ , optimized thermal current  $J_{op}$ , and optimized thermal voltage  $V_{op}$  at average temperature  $T_0 = 24$  K with a thermal bias  $\Delta T = 12$  K. In Fig. 7(d), the charge-transition region between (0, 1) and (1, 1) is broader than that between (1, 0) and (1, 1), reflecting the asymmetric electrode temperatures ( $T_L = 30$  K,  $T_R = 18$  K). Unlike  $G_e$ , the optimized thermal current  $J_{op}$  [Fig. 7(e)] displays a bipolar dependence on the symmetric gate voltage  $V_{L,g} = V_{R,g} = V_g$ , with vanishingly small values at the conductance peaks. This indicates that maximum  $J_{op}$  does not occur when TDQD energy levels align with the Fermi energy. The optimized thermal voltage  $V_{op}$  [Fig. 7(f)] also shows bipolar behavior but with broader features than the Seebeck coefficient. Although  $J_{op}$  and  $V_{op}$  exhibit opposite Coulomb oscillations with respect to  $V_g$ , the optimized output power  $\mathcal{Q}_{op}$  remains positive.

### 3.4.2 Comparison between noninteracting and interacting cases.

Many previous studies predicted the power output of nanoscale thermoelectric generators without considering electron–electron Coulomb interactions.<sup>88–105</sup> To clarify the role of Coulomb interactions and temperature bias on  $V_{op}$  and  $J_{op}$  at room temperature, Fig. 8 shows  $V_{op}$ ,  $J_{op}$ , and  $\mathcal{Q}_{op}$  as functions of gate voltage  $V_g$  and temperature bias  $\Delta T$  at  $T_0 = 288$  K.  $V_g$  is varied from  $-100$  mV to 0, and  $\Delta T$  from 0 to 240 K. Fig. 8(a)–(c) correspond to the noninteracting case, and Fig. 8(d)–(f) to the interacting case. As seen in Fig. 8(a) and (d),  $V_{op}$  differs only slightly, mainly near  $V_g \approx -10$  mV: without interactions,  $V_{op}(V_g = 0) = 0$  for all  $\Delta T$  due to electron–hole symmetry, whereas interactions lift this symmetry, giving small but finite  $V_{op}$  at  $V_g = 0$ . In contrast,  $J_{op}$  shows large differences: the noninteracting

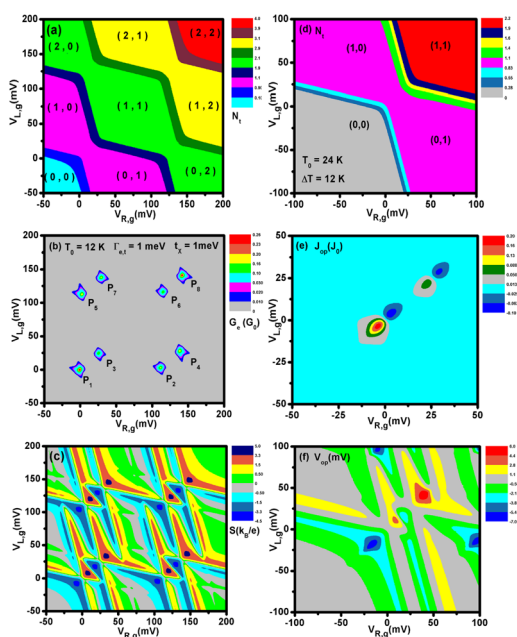


Fig. 7 (a) Charge stability diagram ( $N_t$ ), (b) electrical conductance ( $G_e$ ), and (c) Seebeck coefficient ( $S$ ) as functions of gate voltages  $V_{L,g}$  and  $V_{R,g}$  at  $T_0 = 12$  K with  $\Delta T = 0$ . (d) Total occupation number  $N_t$ , (e) optimized thermal current  $J_{op}(\Delta T)$ , and (f) optimized thermal voltage  $V_{op}(\Delta T)$  at  $T_0 = 24$  K with  $\Delta T = 12$  K. A weak interdot coupling of  $t_x = \Gamma_{e,t} = 1$  meV is used.



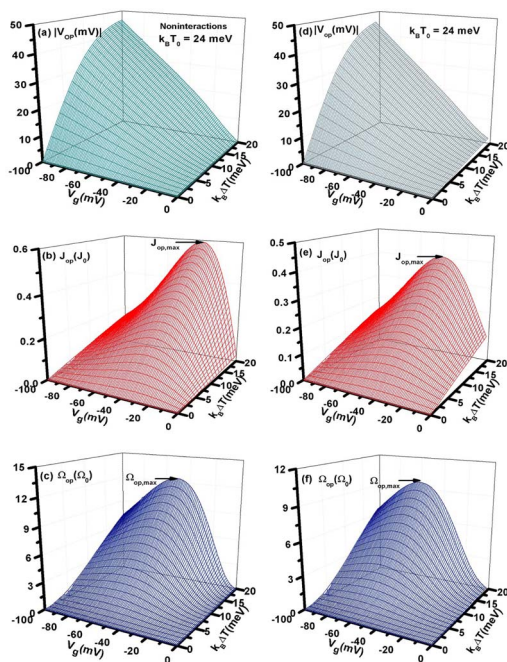


Fig. 8 (a–c) Noninteracting case: optimized thermal voltage  $V_{op}$ , thermal current  $J_{op}$ , and electrical power  $\Omega_{op}$  as functions of symmetric gate voltage  $V_{L,g} = V_{R,g} = V_g$  and temperature bias  $\Delta T$  at  $T_0 = 288$  K. (d–f) Interacting case under the same conditions. Other physical parameters are identical to those in Fig. 7. Units are  $J_0 = 0.773$  nA and  $\Omega_0 = 77.3$  pW.

maximum  $J_{op,max} = 0.566$  at  $V_g = -25$  mV and  $\Delta T = 240$  K [Fig. 8(b)] is significantly overestimated compared with the interacting value  $J_{op,max} = 0.418$  at  $V_g = -32$  mV [Fig. 8(e)]. In the (0, 0) configuration, the Coulomb blockade suppresses  $J_{op,max}$  but has little effect on  $V_{op,max}$ . The behavior of  $V_{op}$  resembles that of the Seebeck coefficient  $S$ , which is largely insensitive to electron Coulomb interactions.<sup>86</sup>

To further clarify the relationship between  $\Omega_{op}$  and  $\Delta T$  shown in Fig. 8(f) and 9(a)–(c) present  $S_{op} = V_{op}/\Delta T$ ,  $J_{op}$ , and  $\Omega_{op}$  as functions of  $\Delta T$  for  $V_g = -32, -46,$  and  $-60$  mV. For  $V_g = -60$  mV,  $\Omega_{op}$  reaches its maximum value at  $k_B\Delta T = 20$  meV. When the temperature bias ( $\Delta T$ ) approaches zero, the Seebeck coefficient ( $S_{op} = V_g/T_0$ ) (for  $V_g = 60$  mV) depends only on the separation between the TDQD energy levels and the Fermi energy ( $\mu = 0$ ), as well as the average temperature ( $T_0$ ). This indicates that the Seebeck coefficient of the TDQD in the weak-coupling limit ( $t_x = \Gamma_{et} \rightarrow 0$ ) can function as an ultra-sensitive thermal detector.<sup>112</sup> As shown in Fig. 9(a),  $S_{op}$  is only weakly dependent on  $\Delta T$  for  $V_g = -32$  mV, which also explains why  $V_{op}$  exhibits a linear dependence on  $\Delta T$  for  $V_g > -24$  mV in Fig. 8(d). When the TDQD energy levels lie far from the Fermi energy ( $V_g = -60$  mV),  $S_{op}$  remains linear in  $\Delta T$ , and this linearity persists up to  $k_B\Delta T = 20$  meV. It is noteworthy that  $k_B\Delta T/(k_B T_0) \geq \frac{10}{24} \geq \frac{5}{12}$  is not a perturbative parameter, yet the nonlinear correction to  $V_{op}$  is restricted to a quadratic term, with no observable cubic or higher-order contributions which is an unexpected behavior. This finding is consistent with the

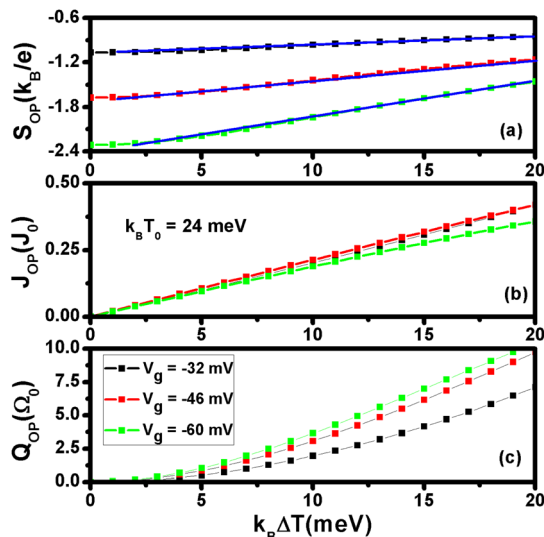


Fig. 9 (a) Optimized thermal voltage  $S_{op} = V_{op}/\Delta T$ , (b) thermal current  $J_{op}(\Delta T)$ , and (c) electrical power output  $\Omega_{op}(\Delta T) = -J_{op}(\Delta T) \times V_{op}(\Delta T)$  as functions of  $\Delta T$  for  $V_g = -32, -36,$  and  $-60$  mV at  $T_0 = 288$  K. Other physical parameters are the same as in Fig. 8. The units are  $J_0 = 0.773$  nA and  $\Omega_0 = 77.3$  pW.

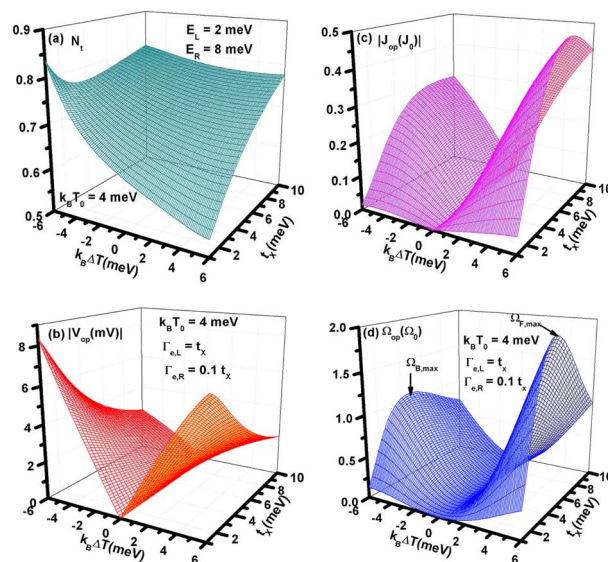


Fig. 10 (a) Total occupation number  $N_t$ , (b) optimized thermal voltage  $|V_{op}(\Delta T, T_0)|$ , (c) optimized thermal current  $|J_{op}(\Delta T, T_0)|$ , and (d) optimized power output  $\Omega_{op}(\Delta T, T_0) = -J_{op}(\Delta T, T_0) \times V_{op}(\Delta T, T_0)$  as functions of temperature bias  $\Delta T$  and interdot hopping  $t_x$  at  $k_B T_0 = 4$  meV,  $E_L = 2$  meV,  $E_R = 8$  meV,  $\Gamma_{e,L} = t_x$ , and  $\Gamma_{e,R} = 0.1 t_x$ . Other physical parameters are the same as in Fig. 8. Units are  $J_0 = 0.773$  nA and  $\Omega_0 = 77.3$  pW.

recent analytical results reported in ref. 105, where a single noninteracting quantum dot with one energy level was considered. In Fig. 9(b), we find that  $J_{op}$  remains a linear function of  $\Delta T$  even at  $V_g = -60$  mV. Based on the trends identified in Fig. 9(a),  $\Omega_{op} = -J_{op}V_{op}$  for  $V_g = -46$  and  $-60$  mV, with  $\Omega_{op,max}$  deviating from the expected  $(\Delta T)^2$  scaling due to nonlinearities in  $V_{op}$ .



**3.4.3 Electrical power rectification.** Finally, we examine the nonlinear thermoelectric properties of the TDQD under asymmetric conditions,  $V_{L,g} \neq V_{R,g}$  and  $\Gamma_{e,L} \neq \Gamma_{e,R}$ . Fig. 10(a)–(d) show 2D plots of total occupation number  $N_t$ , optimized thermal voltage  $V_{op}(\Delta T)$ , thermal current  $J_{op}(\Delta T)$ , and electrical power  $\mathcal{Q}_{op}(\Delta T)$  as functions of temperature bias  $\Delta T$  and interdot hopping  $t_x$ , for parameters  $\Gamma_{e,L} = t_x$ ,  $\Gamma_{e,R} = t_x/10$ ,  $E_L = 2$  meV,  $E_R = 8$  meV, and  $T_0 = 48$  K. In Fig. 10(a),  $N_t$  decreases with forward temperature bias ( $\Delta T > 0$ ) and increases with backward bias ( $\Delta T < 0$ ) at weak hopping ( $t_x = 1$  meV).  $N_t$  is primarily determined by  $N_L$ , as the left dot energy level is close to the Fermi energy ( $\mu = 0$ ). At stronger coupling ( $t_x = 10$  meV), the broadening of  $E_L$  due to  $\Gamma_{e,L} = t_x$  makes  $N_L$  nearly independent of bias direction, explaining the reduced sensitivity of  $N_t$  to  $\Delta T$  sign.

The optimized thermal voltage  $V_{op}$  is less sensitive to the direction of  $\Delta T$  (Fig. 10(b)). In contrast,  $J_{op}$  and  $\mathcal{Q}_{op}$  exhibit pronounced rectification (Fig. 10(c) and (d)), providing strong evidence that electron Coulomb interactions govern power rectification; asymmetrical structures alone cannot induce this effect in noninteracting nanostructures. The asymmetry of  $J_{op}$  and  $\mathcal{Q}_{op}$  can be understood from the first term of the transmission coefficient:

$$T_{LR}(\varepsilon) / (4\Gamma_{e,L}t_x^2\Gamma_{e,R}) \approx \frac{1 - N_{L,\sigma} - N_{R,\sigma} - N_{R,-\sigma}}{|\varepsilon - E_L + i\Gamma_{e,L})(\varepsilon - E_R + i\Gamma_{e,R}) - t_x^2|^2} \quad (6)$$

and

$$T_{RL}(\varepsilon) / (4\Gamma_{e,L}t_x^2\Gamma_{e,R}) \approx \frac{1 - N_{R,\sigma} - N_{L,\sigma} - N_{L,-\sigma}}{|\varepsilon - E_L + i\Gamma_{e,L})(\varepsilon - E_R + i\Gamma_{e,R}) - t_x^2|^2} \quad (7)$$

For  $\Delta T > 0$ , the relevant probability  $P_1 \approx 1 - N_{L,\sigma} - N_{R,\sigma} - N_{R,-\sigma}$ , while for  $\Delta T < 0$ ,  $P_1 \approx 1 - N_{R,\sigma} - N_{L,\sigma} - N_{L,-\sigma}$ . Since  $N_L(\Delta T < 0) \gg N_L(\Delta T > 0)$ , this accounts for the observed asymmetry in  $J_{op}$  and  $\mathcal{Q}_{op}$ . Rectification of  $J_{op}(\Delta T)$  and  $\mathcal{Q}_{op}(\Delta T)$  requires TDQDs with strong electron Coulomb interactions, asymmetric gate voltages, and asymmetric 15-AGNR segment lengths.

## 4. Conclusion

In this study, we systematically clarified the number and types of interface states (IFs) that emerge in  $N$ -AGNR/ $(N-2)$ -AGNR/ $N$ -AGNR heterostructure (AGNRH) segments lacking translational symmetry, based on their real-space geometric structure. We established a direct correspondence between the end states (ESs) of individual AGNR segments and the topological IFs of the resulting AGNRHs. For AGNR segments with  $R_1$ -type unit cells, the numbers of ESs were determined under a uniform longitudinal electric field for  $N = 13, 15, 19, 21, 25$ , and  $27$ . These ESs satisfy the relations  $N = N_{A(B)} \times 6 + 1$  and  $N = N_{A(B)} \times 6 + 3$ , where  $N_{A(B)}$  denotes the number of ESs with A-(B)-chirality. For AGNR segments with  $R_2$ -type unit cells, the total number of ESs increases to  $N_{A(B)} + 1$ .

The Stark effect induced by the applied electric field lifts the degeneracy of ESs in AGNRH segments and enables clear spectral distinction between ESs and IFs. The real-space bulk boundary perturbation approach further shows that states with opposite chirality can hybridize through junction-site perturbations and shift out of the bulk gap. We demonstrated that the number and chirality of IFs,  $N_{IF,\beta}$ , in symmetric AGNRHs composed of  $N = 3p$  and  $N = 3p + 1$  AGNR segments are fully determined by the ESs belonging to the outer and central AGNR components. Denoting by  $N_O$  and  $N_C$  the numbers of ESs of the outer and central AGNR segments, respectively, the IF number satisfies  $N_{IF,\beta} = |N_{O,B(A)} - N_{C,A(B)}|$ , where  $\beta$  specifies the chirality of the IFs. When  $N_{O,B} > N_{C,A}$ , the IFs exhibit B-chirality, while they acquire A-chirality when  $N_{C,A} > N_{O,B}$ . For instance, the IFs of a 15–13–15 AGNRH segment originate from the ESs of the central 13-AGNR segment with  $R_2$ -type unit cells, whereas the IFs of a 27–13–27 AGNRH segment arise from the ESs of the outer 27-AGNR segments.

Using the calculated transmission coefficient  $T_{GNR}(\varepsilon)$  for  $N_{out}$ -AGNR/ $N_{cen}$ -AGNR/ $N_{out}$ -AGNR segments with zigzag edge structures coupled to electrodes, we demonstrated that IFs act as a topological double quantum dot (TDQD) when the IFs are formed by the ESs of the central AGNR segment. By employing an Anderson model incorporating effective intradot and interdot Coulomb interactions, we derived an analytical expression for the tunneling current through the TDQD *via* the transmission coefficient  $T_{LR}(\varepsilon)$ . The thermoelectric performance of AGNRH-based TDQDs was further analyzed in the context of their potential application as graphene-nanoribbon power generators.<sup>113</sup>

The nonlinear thermoelectric power output of TDQDs exhibits several notable features. (a) The optimized power output is favored in either the electron-dilute (0, 0) or hole-dilute (2, 2) charge configurations. In the (0, 0) configuration, Coulomb blockade strongly suppresses  $J_{op,max}$  while leaving  $V_{op,max}$  largely unaffected. (b) Nonlinear temperature bias induces only a quadratic correction to the thermal voltage  $V_{op}$ , with no cubic or higher-order terms observed. (c) Even in the presence of strong electron Coulomb interactions, the thermal power output remains highly enhanced under nonlinear temperature bias. (d) Direction-dependent power output arises from strong electron correlations and the asymmetry in outer AGNR lengths. Owing to their well-isolated energy levels located deep within the bulk gap of 15–13–15 AGNRHs, TDQDs represent promising candidates for high-temperature thermoelectric power generation.

## Conflicts of interest

There are no conflicts to declare.

## Data availability

The data that supports the finding of this study are available within the article.



## A. Appendices

## A.1. Interface states of wide-AGNR/narrow-AGNR/wide-AGNR AGNRH segments

For  $N$ -AGNR/ $(N - 2)$ -AGNR/ $N$ -AGNR AGNRH segments, the width difference  $\Delta N = (N_{\text{out}} - N_{\text{cen}})/2$  equals  $\Delta N = 1$ , where  $N_{\text{out}}$  and  $N_{\text{cen}}$  denote the atom widths of the outer and central AGNR segments, respectively. In Fig. 4, our analysis focused on the case  $\Delta N = 1$ . In this appendix, we examine how increasing  $\Delta N$  affects both the number and chirality of interface states (IFs) in AGNRHs.

Fig. 11(a-d) display the energy spectra of four AGNRH segments as functions of  $t_{\text{es}}$ : (a)  $27_4$ - $25_{10}$ - $27_4$ , (b)  $27_4$ - $21_{10}$ - $27_4$ , (c)  $27_4$ - $13_{10}$ - $27_4$ , and (d)  $27_4$ - $9_{10}$ - $27_4$ . These correspond to  $\Delta N = 1, 3, 7,$  and  $9$ , respectively, with a fixed outer width of  $N_{\text{out}} = 27$ . In all cases, the outer AGNRs have an  $R_1$ -type unit cell, whereas the central AGNR adopts an  $R_2$ -type unit cell.

In Fig. 11(a), one interface state of sublattice-A (sublattice-B) arises at the 27-AGNR/25-AGNR (25-AGNR/27-AGNR) junction. The resulting pair of IF levels,  $\Sigma_{\text{IF},c}$  and  $\Sigma_{\text{IF},v}$ , originates from their mutual coupling. Compared with the  $27_4$ - $25_6$ - $27_4$  case in Fig. 4(d), the energy separation between  $\Sigma_{\text{IF},c}$  and  $\Sigma_{\text{IF},v}$  in Fig. 11(a) is smaller due to the longer  $25_{10}$  central segment.

In Fig. 11(b), eight in-gap states appear, all attributable to the end states of the 27-21-27 AGNRH system. Since both 27-AGNR and 21-AGNR segments have  $N_{\text{A(B)}} = 4$ , the number of IFs is zero, consistent with the rule that IFs are determined by the difference in ES counts.

In Fig. 11(c), a single interface state with sublattice-B(A) character is present at each 27-AGNR/13-AGNR (13-AGNR/27-AGNR) junction because the 13-AGNR segment has  $N_{\text{A(B)}} = 3$ . These IFs originate from the ESs of the outer AGNRs; consequently, their mutual overlap is extremely small, whereas the IFs strongly couple to the terminal states of the  $27$ - $13$ - $27$

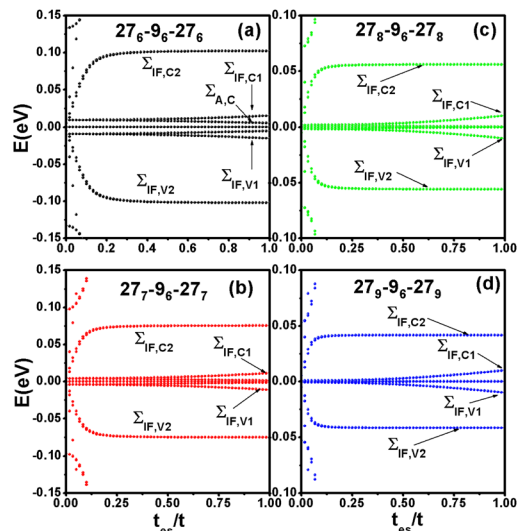


Fig. 12 Energy levels of  $27_w$ - $9_6$ - $27_w$  AGNRH segments as functions of the inter-AGNR hopping strength  $t_{\text{es}}$  for different outer 27-AGNR lengths: (a)  $27_6$ - $9_6$ - $27_6$ , (b)  $27_7$ - $9_6$ - $27_7$ , (c)  $27_8$ - $9_6$ - $27_8$ , and (d)  $27_9$ - $9_6$ - $27_9$  AGNRH segments.

AGNRH segment. Their evolution with respect to  $t_{\text{es}}$  is therefore markedly different from IFs originating from the central AGNR segment.

In Fig. 11(d), two IFs appear at the 27-AGNR/9-AGNR (9-AGNR/27-AGNR) junctions because the 9-AGNR segment possesses  $N_{\text{A(B)}} = 2$ .

A particularly large energy separation between  $\Sigma_{\text{IF},c_2}$  and  $\Sigma_{\text{IF},v_2}$  is seen in Fig. 11(d). To understand its origin, Fig. 12 presents the energy levels of  $27_w$ - $9_6$ - $27_w$  AGNRHs for four different outer lengths  $w = 6, 7, 8,$  and  $9$ . As  $w$  increases, the splitting between  $\Sigma_{\text{IF},c_2}$  and  $\Sigma_{\text{IF},v_2}$  decreases significantly. This trend indicates that these two levels arise from IFs coupled to

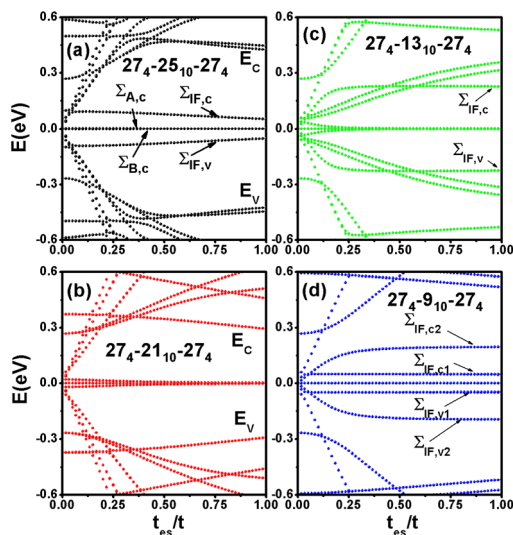


Fig. 11 Energy levels of four AGNRH segments with varying central widths as functions of the inter-AGNR electron hopping strength  $t_{\text{es}}$ : (a)  $27_4$ - $25_{10}$ - $27_4$ , (b)  $27_4$ - $21_{10}$ - $27_4$ , (c)  $27_4$ - $13_{10}$ - $27_4$ , and (d)  $27_4$ - $9_{10}$ - $27_4$  AGNRH segments.

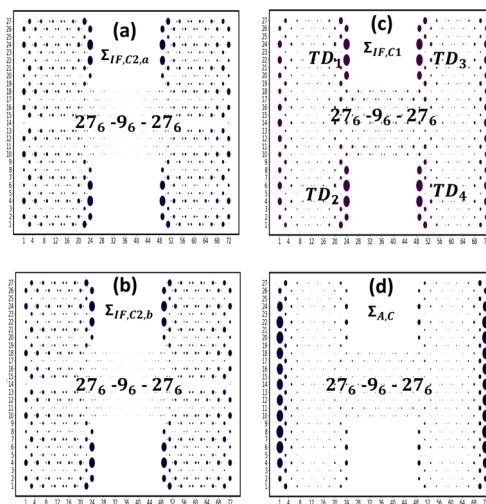


Fig. 13 Probability densities of selected energy levels in the  $27_6$ - $9_6$ - $27_6$  AGNRH segment with  $t_{\text{es}} = t$ : (a)  $\Sigma_{\text{IF},c_2,a} = 102.2335$  meV, (b)  $\Sigma_{\text{IF},c_2,b} = 102.2232$  meV, (c)  $\Sigma_{\text{IF},c_1} = 15.4212$  meV, and (d)  $\Sigma_{\text{A},c} = 0.539$  meV.



end states of the 27-AGNR segments that have long decay lengths. In contrast, the splitting between  $\Sigma_{\text{IF},c_1}$  and  $\Sigma_{\text{IF},v_1}$  shows only weak dependence on  $w$ , implying that these states originate from IFs associated with short-decay-length end states of the 27-AGNR segments.

Fig. 13 shows the probability densities of representative IF levels specifically, the pair  $\Sigma_{\text{IF},c_2,a} = 102.2335$  meV and  $\Sigma_{\text{IF},c_2,b} = 102.2232$  meV, the level  $\Sigma_{\text{IF},c_1} = 15.4212$  meV, and the terminal-state level  $\Sigma_{A,c} = 0.539$  meV corresponding to Fig. 12(a). In Fig. 13(a) and (b), the spatial distributions of  $\Sigma_{\text{IF},c_2,a}$  and  $\Sigma_{\text{IF},c_2,b}$  are nearly indistinguishable; furthermore, their amplitudes inside the central 9-AGNR segment are extremely weak, indicating that transport through  $\Sigma_{\text{IF},c_2}$  is strongly suppressed.

In contrast,  $\Sigma_{\text{IF},c_1}$  exhibits a pronounced probability density within the central 9-AGNR segment, making it a more favorable transport channel. As shown in Fig. 13(c),  $\Sigma_{\text{IF},c_1}$  effectively behaves as a set of four topological dots (TDs). Fig. 13(d) demonstrates that the state  $\Sigma_{A,c}$  is primarily associated with the terminal states of the 27–9–27 AGNRH structure.

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