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B-spiroBODIPYs as a fluorophore responsive to hydrogen bond donorsAiko Kondo,^a Hideaki Takano *^{a,b} and Hiroshi Shinokubo *^{a,c,d}

Boron dipyrromethene (BODIPY) dyes possess excellent photophysical properties and are extensively used in chemical biology and materials science. While peripheral functionalisation of the dipyrromethene core is well established, modification at the boron centre has remained limited. Here, we demonstrate an Au-catalysed modulation of the boron centre, enabling the synthesis of B-spiroBODIPYs with a 1,3-dioxinone moiety on boron. The resulting B-spiroBODIPY exhibits solvent-dependent fluorescence arising from hydrogen-bonding interactions at the carbonyl oxygen on the 1,3-dioxinone moiety, offering a versatile platform for stimulus-responsive fluorescent probes.

Introduction

Boron dipyrromethene (BODIPY) and its derivatives are attractive organic dyes that display intense visible-light absorption and bright emission with high quantum yields.¹ Owing to these properties, BODIPY dyes have been widely applied in chemical biology and materials science.² BODIPY derivatives with appropriate functional groups can alter their photophysical properties in response to external stimuli such as pH,³ temperature,⁴ polarity^{4a,5} and viscosity.^{4a,6}

The introduction of functional groups onto the BODIPY unit has been conducted based on the versatile reactivity of the dipyrromethene skeleton. The peripheral positions of the BODIPY unit can be selectively manipulated through reactions with electrophiles, nucleophiles, radicals and transition metal catalysts, enabling precise modulation of their physical properties (Fig. 1a).⁷

On the other hand, functionalisation of the boron centre of BODIPY offers an attractive alternative strategy. It enables incorporation of additional features, including enhanced stability, solubility and modulated aggregation behaviour, while leaving the inherent optical properties of the BODIPY scaffold essentially intact (Fig. 1a).⁸ However, the modification of the boron centre of the BODIPY unit has not been explored until recently.

The strategies for boron functionalisation can be divided into two types: one involves nucleophilic substitution on the boron atom,⁹ and the other is B–O bond cleavage by photoirradiation.¹⁰ In the case of nucleophilic substitution, the use of hard Lewis acids⁹ or hard nucleophiles⁹ is required to install the substituents on the boron centre. In addition, only BODIPYs with (pseudo)halogens on boron can be employed.

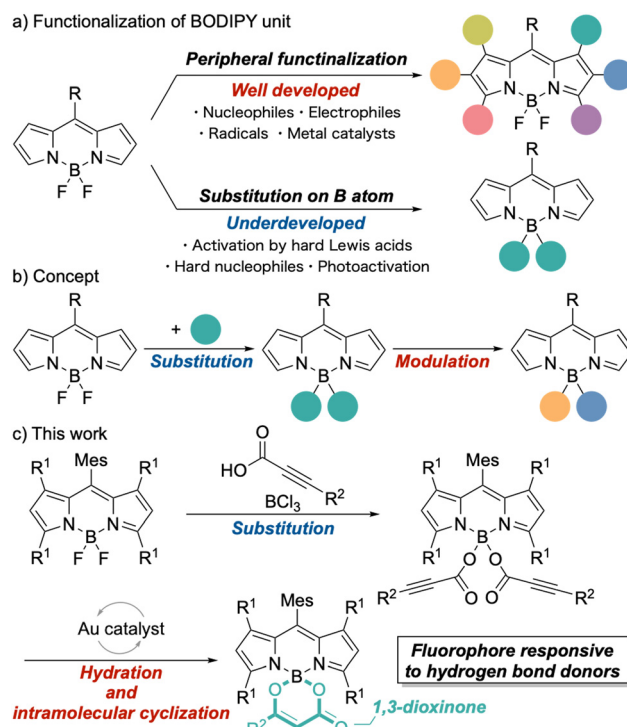


Fig. 1 Functionalisation of BODIPYs and the concept of this work.

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Photoinduced B–O bond cleavage of O-BODIPY can generate the corresponding borenium cation, which is utilised as a photocage in cell biology.¹⁰ However, only hydroxy and alkoxy groups from solvents can be introduced on the boron centre.

In this work, we advance the concept of modulating BODIPY properties by reconfiguring its boron coordination environment. Further transformations of substituents on the boron centre provide a versatile strategy for introducing new functionalities and tuning the behaviour of BODIPY dyes (Fig. 1b). Herein, we have successfully developed an Au-catalysed modulation at the boron centre of COO-BODIPY, affording B-spiroBODIPYs with a 1,3-dioxinone moiety on the boron centre (Fig. 1c). The obtained B-spiroBODIPY exhibits solvent-dependent fluorescence through hydrogen bonding between its carbonyl oxygen and solvent molecules, underscoring its potential as a stimulus-responsive fluorescent probe.¹¹

Results and discussion

We first synthesised COO-BODIPY **2a** derivatives from F-BODIPY **1a** with corresponding carboxylic acids in the presence of BCl_3 and triethylamine (Scheme 1).⁹ Treatment of **2a** with the combination of $\text{AuCl}(\text{IPr})$ ($\text{IPr} = 1,3\text{-bis}(2,6\text{-diisopropylphenyl})\text{imidazol-2-ylidene}$) and silver triflate in the presence of tetrabutylammonium triflate afforded B-spiroBODIPY **3a** in 80% yield (Scheme 1).¹² This protocol was applied to BODIPY **2b** and tetramethylBODIPY **2c**, providing corresponding B-spiroBODIPYs **3b** and **3c** in 92% and 75% yields, respectively.

The structure of **3a** was unambiguously determined by X-ray crystal analysis (Fig. 2). The single crystal of **3a** was obtained by vapour diffusion of hexane to a solution of **3a** in dichloromethane. In BODIPY **3a**, the 1,3-dioxinone moiety is orthogonal to a BODIPY subunit. The mean plane deviation (MPD) of the BODIPY subunit was 0.031 \AA , indicating that the spiro structure did not affect the planarity of the BODIPY core. It is worth noting that the distance between a carbon atom in dichloromethane and an oxygen atom at the carbonyl group

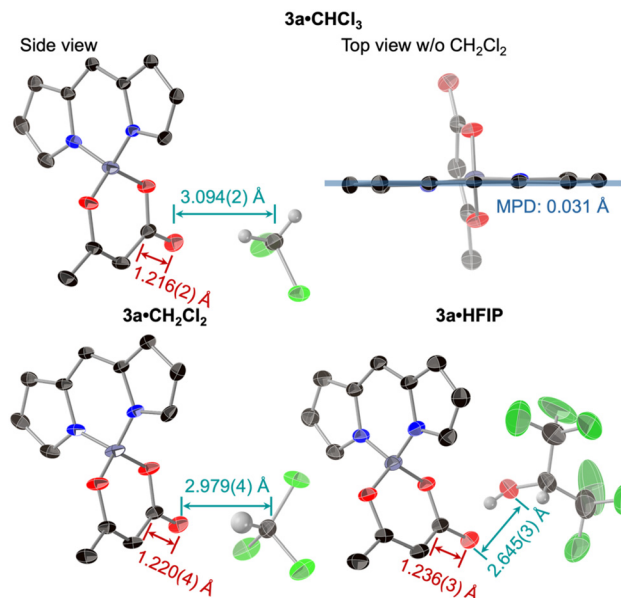
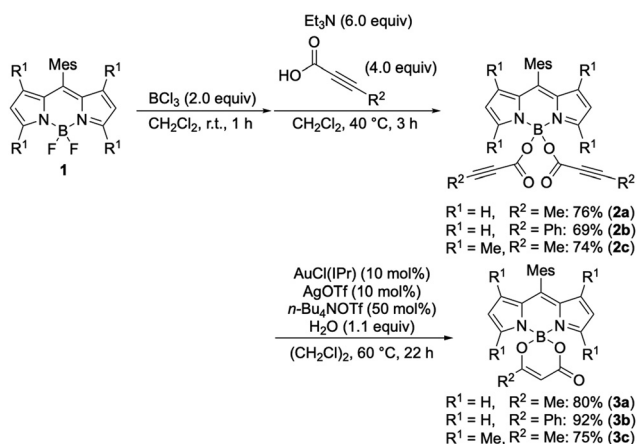


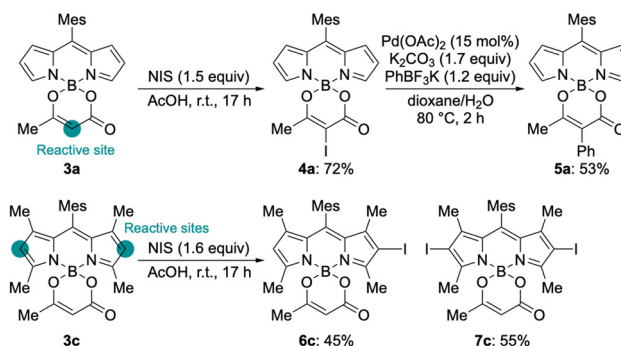
Fig. 2 X-ray crystal structures of **3a-CH₂Cl₂**, **3a-CHCl₃** and **3a-HFIP**. Thermal ellipsoids are shown at 50% probability. Hydrogen atoms, except for those in solvents, and mesityl groups are omitted for clarity.

was 3.094 \AA , which was shorter than the sum of van der Waals radii of carbon (1.70 \AA) and oxygen (1.52 \AA). These results suggest that the carbonyl group in **3a** forms hydrogen bonding with dichloromethane co-crystallised in the crystal **3a-CH₂Cl₂**. Single crystals **3a-CHCl₃** and **3a-HFIP** were also obtained by evaporation of a chloroform solution of **3a** and vapour diffusion of water into a solution of **3a** in 1,1,1,3,3,3-hexafluoroisopropan-2-ol (HFIP). The distance between the carbon atom of chloroform and the carbonyl oxygen was 2.979 \AA , whereas the distance between the oxygen atom of HFIP and the carbonyl oxygen was 2.645 \AA . Furthermore, the C=O bond lengths in **3a-CH₂Cl₂**, **3a-CHCl₃** and **3a-HFIP** were 1.216 \AA , 1.220 \AA and 1.236 \AA , respectively. These findings indicate that **3a** interacts tightly with HFIP through hydrogen bonding with its carbonyl oxygen.¹³

We examined the functionalisation of the obtained B-spiroBODIPY derivatives **3** (Scheme 2). The reaction of **3a**



Scheme 1 Synthesis of B-spiroBODIPY **3** via Au-catalysed hydration and cyclisation.



Scheme 2 Functionalisation of B-spiroBODIPY **3**.



with *N*-iodosuccinimide (NIS) in acetic acid promoted selective iodination on the central carbon atom of the 1,3-dioxinone moiety in 72% yield.¹⁴ The subsequent Suzuki–Miyaura coupling of **4a** provided the arylated product **5a** in 53% yield. In sharp contrast, iodination of **3c** proceeded on the BODIPY subunit under the same conditions to afford mono- and di-iodinated BODIPY **6c** and **7c** in 45% and 55% yield, respectively. These results suggest that the most nucleophilic site is on the 1,3-dioxinone moiety in **3a** but on the BODIPY framework in **3c**. These results demonstrated that regioselective functionalisation of B-spiroBODIPYs **3** enables further elaboration of the BODIPY and 1,3-dioxinone moieties to fine-tune their properties.

We measured absorption and emission spectra of COO-BODIPY **2a** and B-spiroBODIPYs **3** in CH₂Cl₂ (Fig. 3). Both COO-BODIPY **2a** and B-spiroBODIPYs **3** exhibit intense absorption bands around 505 nm and emission bands around 520 nm, regardless of the substituent on the boron atoms. The absorption and fluorescence spectral shapes of **2a** and **3** are comparable to those of BODIPY **1a**.¹⁵ However, the quantum yields Φ_F of **3a** and **3b** were 0.078 and 0.069, respectively, which are markedly different from those of **2a** ($\Phi_F = 0.74$) and **3c** ($\Phi_F = 0.79$), demonstrating the efficient photophysical modulation by functionalisation at the boron centre. The decrease in fluorescence quantum yield can be explained by intramolecular charge transfer from the electron-donating 1,3-dioxinone moiety to the electron-accepting BODIPY subunit.

We evaluated the solvent effect on the photophysical properties of **3a** (Table 1). The shape of the absorption and emission spectra displayed almost no change in various solvents (Fig. S41 and S42). However, the emission quantum yield was significantly modulated by the solvents. In chloroform, the quantum yield increased to 0.30 compared to that in CH₂Cl₂ (0.078). While the fluorescence intensities were comparable in apolar solvents such as CH₂Cl₂, toluene, hexane and Et₂O, fluorescence became slightly stronger in alcohols. We hypothesise that hydrogen bond donors interact with the carbonyl oxygen on the 1,3-dioxinone moiety, thereby enhancing the

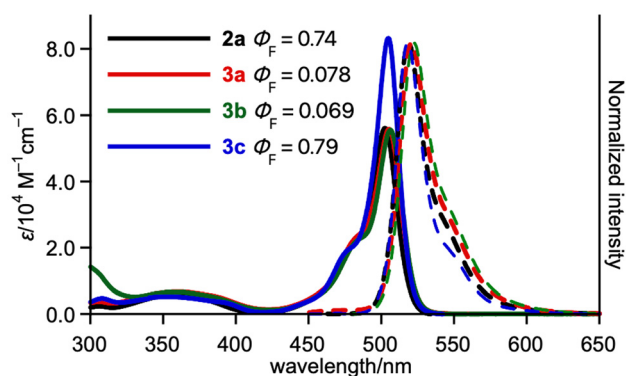


Fig. 3 Absorption and emission spectra of **2a** and **3** in CH₂Cl₂. Absorption and fluorescence spectra were measured with 10⁻⁵ M solutions.

Table 1 Photophysical properties of **3a** in various solvents

Solvent	Φ_F	$\langle\tau\rangle/\text{ns}$	$k_f/10^7 \text{ s}^{-1}$	$k_{nr}/10^8 \text{ s}^{-1}$
Et ₂ O	0.030	3.8	0.79	2.5
toluene	0.071	1.7	4.2	5.5
CH ₂ Cl ₂	0.078	1.1	6.8	8.0
IPA	0.22	3.0	7.3	2.6
CHCl ₃	0.30	4.5	6.7	1.6
HFIP	0.93	10.4	9.0	0.067

Quantum yields and fluorescence lifetimes were obtained with 10⁻⁵ M solutions.

fluorescence. We then chose HFIP as a strong hydrogen bond donor,¹³ which dramatically enhanced the fluorescence quantum yield to 0.93. In addition, we measured absorption and emission in the presence of water in acetonitrile (Fig. 4). As water content increased, the emission quantum yield was enhanced from 0.019 to 0.16. This result can also be attributed to the hydrogen bonding interaction with water.

We also determined fluorescence lifetimes in different solvents to estimate the rates of radiative and non-radiative decay. The fluorescence decay profiles were fitted with a double-exponential function to determine the average fluorescence lifetimes (Fig. S43 and S44). While the fluorescence lifetimes in toluene and CH₂Cl₂ were 1.7 ns and 1.1 ns, respectively, that in HFIP was much longer (10.4 ns). The k_f value in Et₂O was an order of magnitude smaller, resulting in significantly reduced fluorescence intensity. The k_f values were comparable in other solvents. However, the k_{nr} value in HFIP was one hundredth of the value measured in other solvents. We considered that hydrogen bonding stabilises the molecular orbital of the

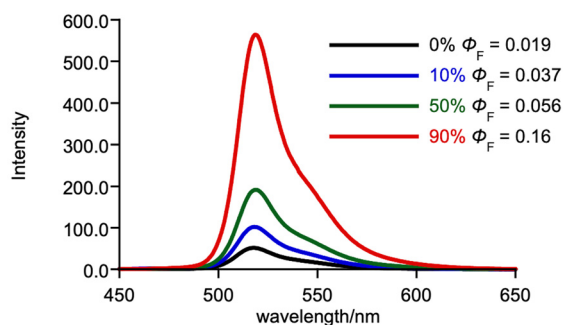


Fig. 4 Fluorescence spectra in MeCN–H₂O mixture containing X% (w/w) H₂O (X = 0, 10, 50, 90).



1,3-dioxinone moiety, thus suppressing intramolecular charge transfer leading to non-radiative decay.

To verify the presence of hydrogen bonding in solution, we measured the infrared absorption spectra of **3a** in various solutions to evaluate the carbonyl stretching vibration (Fig. 5). In Et₂O, where the fluorescence quantum yield was the lowest, the C=O stretching vibration was observed at 1716 cm⁻¹. As the fluorescence quantum yield increased, the C=O stretching band shifted to lower wavenumbers. The C=O stretching vibration peak was detected at 1632 cm⁻¹ in HFIP. These observations clearly indicate that the carbonyl group of **3a** forms hydrogen bonds with the solvent.

To gain a deeper insight into the solvent effect on **3a**, we conducted DFT calculations.¹⁶ The structure optimisations were conducted at the B3LYP/6-31+G(d,p) level of theory (Fig. 6). The single-point calculations were performed at the B3LYP/6-311+G(d,p) level of theory using the optimised structure or the crystal structure. Both HOMO and LUMO of **2a** were

localised on the BODIPY subunit, which were almost identical with those of BODIPY **1a**.¹⁷ Conversely, the HOMO (-6.33 eV) and HOMO-1 (-6.42 eV) of **3a** were almost degenerated, which were delocalised on both BODIPY and 1,3-dioxinone subunits. These differences in molecular orbitals contributed to the photophysical properties before and after spiro cyclisation. The LUMO of **3a** was rather localised on the BODIPY subunit. Therefore, the partial orbital separation in **3a** indicates the existence of intramolecular charge transfer character in the excited state, which contributes to its less emissive feature. In contrast, the HOMO and LUMO of **3c** were destabilised by electron-donating methyl groups, resulting in lifting the degeneracy between the HOMO (-5.74 eV) and HOMO-1 (-6.30 eV). Accordingly, the HOMO and LUMO of **3c** were almost identical with those of BODIPY **1a** and **2a**, resulting in the retrieval of the emissive nature of BODIPY.

The dihedral angles between the mean planes of the BODIPY and 1,3-dioxinone subunits were 83.0° and 90.0° in the optimized structures of **3a** and **3c**, respectively. We concluded that the dihedral angles did not significantly affect the degeneracy of the HOMO and HOMO-1. The difference in the frontier orbitals of **3a** and **3c** can also explain the regioselectivity of electrophilic iodination observed in Scheme 2. The HOMO of **3a** exhibits high MO coefficients on the 1,3-dioxinone subunit, which undergoes the electrophilic iodination. In contrast, the HOMO of **3c** is mainly located on the BODIPY subunit, resulting in β-selective iodination of the BODIPY core.

In the crystal structure of **3a**-HFIP, the degeneracy between HOMO and HOMO-1 was lifted, as the molecular orbital derived from the 1,3-dioxinone subunit was substantially stabilised by hydrogen bonding. Consequently, the effect of intramolecular charge transfer was almost diminished in **3a**-HFIP, thus improving its fluorescence intensity.

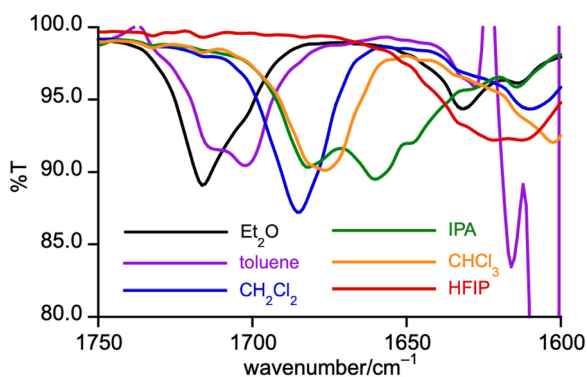


Fig. 5 IR spectrum of **3a** in various solvents (ca. 0.6 mM).

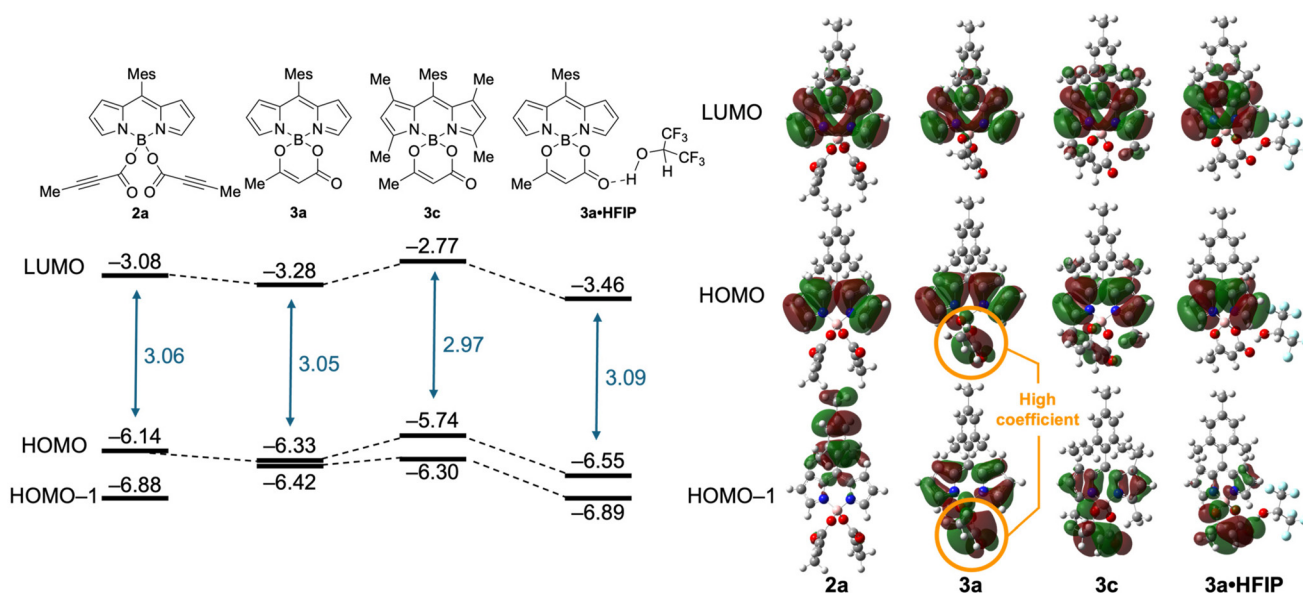


Fig. 6 Molecular orbitals of COO-BODIPY **2a** and B-spiroBODIPY **3a**, **3c**, and **3a**-HFIP (unit: eV).



Conclusions

We developed the additional functionalisation of COO-BODIPY 2 to synthesise B-spiroBODIPY *via* an Au-catalysed hydration and subsequent intramolecular cyclisation. B-spiroBODIPY 3 possessed a 1,3-dioxinone moiety, which can be further transformed by halogenation and cross-coupling reaction. While B-spiroBODIPY 3a exhibited very weak fluorescence in non-hydrogen-bonding solvents, its photophysical properties, including a quantum yield and fluorescence lifetime, were remarkably modulated by hydrogen bond donors. B-spiroBODIPYs with a 1,3-dioxinone subunit are promising as an environment-sensitive dye for fluorescence lifetime imaging microscopy and would be useful in the field of chemical biology.^{6a,b,18}

Author contributions

H. T. and H. S. designed and conducted the project, prepared the original draft, and finalised the manuscript. A. K. carried out all the experiments, including the synthesis and characterisation. The manuscript was written with contributions from all authors. All authors have approved the final version of the manuscript.

Conflicts of interest

There are no conflicts to declare.

Data availability

The data supporting this article have been included as part of the supplementary information (SI). Supplementary information: Fig. S41–S44, NMR spectra, HR-MS spectra, further experimental details and calculation details. See DOI: <https://doi.org/10.1039/d6qo00102e>.

CCDC 2523317–2523319 for 3a-CHCl₃, 3a-HFIP and 3a-CH₂Cl₂ contain the supplementary crystallographic data for this paper.^{19a–c}

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