

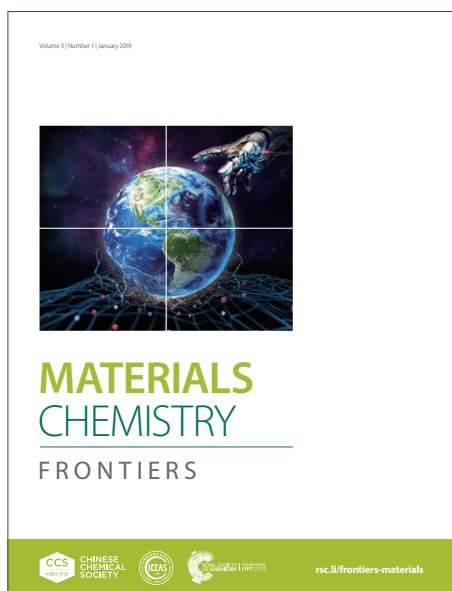
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ARTICLE

Dual-State Emissions of Dihydroquinoxaline Derivatives

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Abstract: The study of dual-state emissions is an emerging field of research with tremendous potential as DSEgens overcome limitations of two mutually exclusive phenomena of aggregation-caused quenching and aggregation-induced emissions. These materials have versatile applications in optoelectronic devices, bioimaging, chemical sensors, and data encryption. In this study, a new series of dual-state emissive compounds, featuring the dihydroquinoxaline framework is introduced. These derivatives were accessed via facile synthetic routes. By leveraging on specific molecular design strategies such as donor– π –acceptor moieties, partially planar aromatic core with attached phenyl/aryl rings in a twisted molecular conformation, and varying substituents, dual-state emissions were achieved in three dihydroquinoxaline derivatives. These exhibited photoluminescent quantum yields ranging from 47% to 60% in solution, and 42% to 53% in solid states. In contrast, two derivatives showed solid state fluorescence quenching. Single crystal X-ray diffraction analyses reveal key intermolecular hydrogen bonding interactions to the imine nitrogen resulting in fluorescence quenching in these derivatives in the solid state. This study presents the first addition of the dihydroquinoxaline framework to the suite of DSE luminogens.

Keywords: Dual-state emissions, dihydroquinoxalines, luminogens, solid-state emission.

Introduction

Dual-state emissions (DSE) are emissions from materials (DSEgens), in which fluorescence emission is preserved both in solution and solid states.¹ Dual-state emitting (DSE) molecules are fine-tuned, tailored molecular entities with appreciable fluorescence in solution and solid states.^{1–3} Thus, a practical consideration for classifying molecules as DSEgens is based on fluorescence quantum yield (Φ_f) $\geq 5\%$ in both solution and solid states.³ In this context, solid state typically refers to molecules in their amorphous or (poly-)crystalline condensed state, such as thin films, amorphous powders, crystalline samples, nanoparticles, polymeric matrices, frozen solutions and aggregates in dispersions.^{3,4} Emissions arising from different electronic states (eg. singlet and triplet) can also be considered as dual emissive,⁵ hence DSEgens are also known as solution and solid state emitters (SSSE) to avoid confusion.³ This phenomenon was first described by Tang and co-workers in 2015,⁶ but this is still an emerging field of research with key design features, underlying photophysical principles and synthesis of DSEgens still being explored.^{1–3} Investigations of DSE materials is particularly attractive as they provide several

advantages over mutually exclusive phenomena of aggregation-caused quenching (ACQ) and aggregation-induced emission (AIE), which only exhibit fluorescence in dilute solutions, or aggregate forms, respectively.^{7–9} Due to their reduced susceptibility to fluorescence quenching,^{10,11} DSEgens are versatile molecules with various applications in solution state, for example bioimaging,^{12–15} and chemical sensors,^{16–18} and in solid state such as optoelectronics,^{19–21} and data encryption.^{22–24} Another advantage of DSEgens over ACQ- or AIEgens is their concentration-independent photoluminescence, enabling them to function in a range of concentrations.²⁵

Emissions from dual states of a single molecule can greatly reduce human effort and time involved in the design, synthesis and optimisation for a number of fluorophores.² Key DSE-enabling structural features include twisted structures,^{26–30} isolation of fluorophores,^{23,31,32} and donor–acceptor architectures,^{33–35} while commonly investigated derivatives include tetraphenylethylene (TPE),³⁶ triphenylamine,^{6,37} carbazole,^{22,33} organoboron,³⁸ coumarin,^{39,40} single benzene,⁴¹ and excited state intramolecular proton transfer (ESIPT)⁴² derivatives.¹ Recently, Voskuhl and co-workers proposed classical and non-classical approaches for the design of DSE molecules.³ In the classical approach, hybrid molecular structures featuring static cores and rotatable motifs are created. These are a combination of specific AIE and ACQ motifs to obtain emissions in both solution and solid states. For ACQ system, non-radiative decays resulting from intermolecular π – π interactions must be inhibited to allow solid state fluorescence.

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While for AIE, intramolecular motions of functional motifs must be sufficiently restricted while retaining the necessary molecular twist. Thus, planar aromatic core units are combined with moveable, twisted rotors to prevent undesirable excimer formation in the solid state and increase molecular rigidity, allowing restricted motions in the solution state, facilitating fluorescence in both states. Whereas in non-classic approach, a single unified molecule contains planar core and small, flexible groups with subtle rotation and vibration, in a twisted conformation, or intermolecular interactions that prevent deleterious stacking interactions.

Taking inspiration from these design principles, the dihydroquinoxaline framework, which represents a non-classical design approach was chosen for the study of DSE phenomenon. This dihydroquinoxaline molecule is particularly interesting, as it presents a new framework to the existing library of DSEgens, which largely contains common molecular frameworks (*vide supra*). Since appreciable solution and solid state fluorescence^{1,2} and $\Phi_f \geq 5\%$ ³ are currently available metrics to classify DSEgens; to the best of our knowledge, comprehensive investigations on the DSE behaviour of dihydroquinoxaline derivatives have not been carried out so far. This work reports a new DSE framework based on the dihydroquinoxaline derivatives and identifies underlying phenomena leading to preserved or quenched fluorescence in dual states. Some dihydroquinoxaline derivatives are known to exhibit strong solution state fluorescence,^{43,44} intramolecular charge transfer (ICT),^{43,45} AIE,⁴⁵ and acid-base sensing⁴⁵⁻⁴⁸ properties but their DSE properties remain unexplored. Structural features such as sp^2 - and sp^3 -type (electron acceptor and electron donor) nitrogen in the dihydroquinoxaline core are crucial for its fluorescence properties.⁴³ Specifically, features such as twisted molecular conformations, donor- π -acceptor (D- π -A) moieties, and asymmetrical, partially planar core of the dihydroquinoxaline framework, may allow molecular rigidity in the solid state, thereby restricting intramolecular motions and facilitating solid state emissions.^{1,3} Meanwhile its well-established ICT effect^{43,45} will allow efficient solution state fluorescence.

In our group's recent synthesis of 1,2-dihydro-2,2-diaryl-substituted quinoxalines *via* a sequential two-step, one-pot route of quinones, alkynes, and diamines,⁴⁹ we observed that some of the dihydroquinoxaline derivatives fluoresced in solution and solid (crystalline and amorphous powder) states. This interesting activity prompted us to investigate a selected number of dihydroquinoxaline derivatives for DSE property. One derivative, **DQ-OH** showed strong solution state fluorescence but quenched solid state emission. The presence of acidic protons on the hydroxyl and amino groups makes this compound susceptible to excited state proton transfer (ESPT) and/or photoacidity processes.⁵⁰ To probe if such processes were responsible for the quenched fluorescence behaviour, selected dihydroquinoxaline derivatives were designed, whereby the proton donor and acceptor ability of the motifs in the parent molecule, **DQ-OH** was hindered. By performing *O*-

and *N*-methylation, and dehydroxylation, the proton donor hydroxyl group was blocked (**DQ-OMe** and **DQ-OMe-NMe**), weak proton donor amino nitrogen was blocked (**DQ-NMe** and **DQ-OMe-NMe**), and the proton donor ability completely removed (**DQ** and **DQ-NMe**) (See Figure 1 and SI - Scheme 1). While some DSEgens have also shown emission in aggregate form,¹³ the focus of this investigation is on dual emissions arising from isolated (dilute solution) and bulk solid (crystalline and amorphous powder) states.^{3,4}

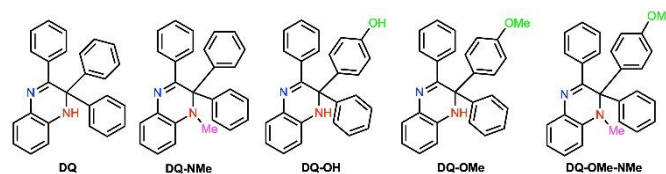


Figure 1. Selected dihydroquinoxaline derivatives being investigated in this study.

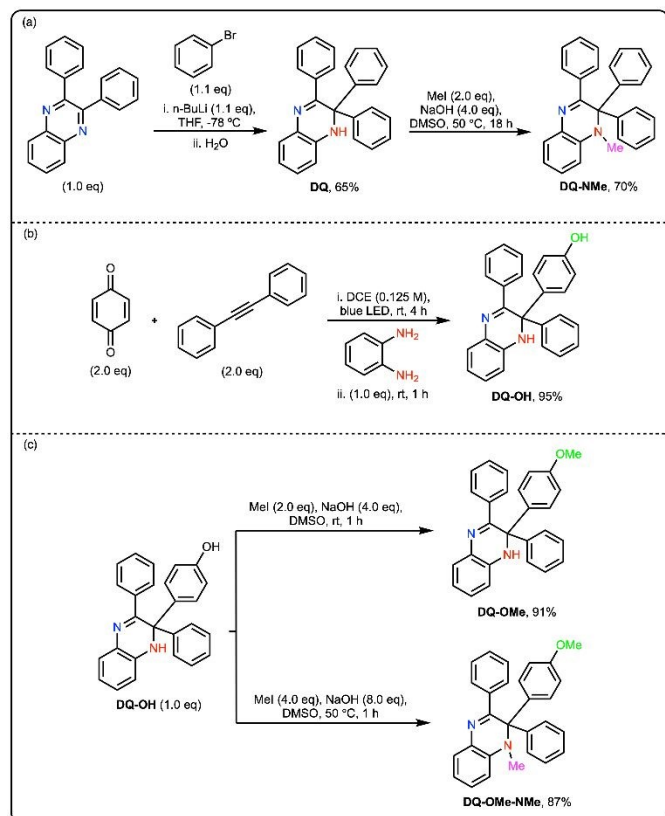
Results and Discussion

Synthesis of dihydroquinoxaline derivatives

Compound **DQ** was prepared according to slightly modified procedure described by Son *et al.*⁴³ Arylation of 2,3-diphenyl quinoxaline with phenyl bromide and *n*-butyllithium yielded **DQ** (see Scheme 1 (a)).⁴³ Compound **DQ-NMe** was prepared from compound **DQ** following the procedure described by our group earlier.⁴⁹ Methylation of **DQ** with methyl iodide, using sodium hydroxide furnished **DQ-NMe**.⁴⁹ The crude products were purified using flash column chromatography using eluent mixtures of *n*-hexane and ethyl acetate. Compounds **DQ** and **DQ-NMe** were obtained as light-yellow solids in 65% and 70% yields, respectively (see SI Figure 1).

Synthesis of compound **DQ-OH** commenced with a reaction between 1,4-benzoquinone, 1,2-diphenylethyne and 1,2-diaminobenzene using blue LED reactor (see Scheme 1 (b)).⁴⁹ Methylation of **DQ-OH** using varying equivalents of methyl iodide and sodium hydroxide furnished crude **DQ-OMe** and **DQ-OMe-NMe**.⁴⁹ The crude products were purified in the similar manner as **DQ** and **DQ-NMe**, to furnish compounds **DQ-OH** as light yellow solid in 95% yield, **DQ-OMe** as yellow solid in 91% yield, and **DQ-OMe-NMe** as intense yellow solid in 87% yield (see SI Figure 1). Compounds **DQ**, **DQ-NMe**, **DQ-OH** and **DQ-OMe** were classed as crystalline powder, while **DQ-OMe-NMe** as amorphous powder based on their powder X-ray diffraction (PXRD) patterns (see SI - Figures 21 and 22).





Scheme 1. Synthesis of dihydroquinoxaline derivatives: (a) **DQ** and **DQ-NMe**, (b) **DQ-OH**, and (c) **DQ-OMe** and **DQ-OMe-NMe**.

Photophysical properties of dihydroquinoxaline derivatives in solution and solid states

The photophysical properties of the dihydroquinoxaline derivatives were studied in their solution (25 μM in DCM) and bulk solid states (see Table 1). Solution state UV-vis absorption spectra showed strong absorption bands between 250 to 270 nm, attributed to π - π^* transition, slight shoulder *ca.* 300 nm for compounds **DQ**, **DQ-OH** and **DQ-OMe**, and a weak absorption band around 375 to 392 for all compounds (see SI - Figure 2). This red-shifted band is attributed to n - π^* transition and could be due to the charge transfer band resulting from electron-donating amine and electron-accepting imine groups. Compounds **DQ**, **DQ-OH** and **DQ-OMe** had charge transfer band λ_{abs} at 375 nm, while compounds **DQ-NMe** and **DQ-OMe-NMe** had charge transfer band λ_{abs} at 392 nm due to methyl substituent on the amino nitrogen. Dilute solutions of all the dihydroquinoxaline derivatives exhibited strong fluorescence emission in the greenish-yellow region upon irradiation under long UV light (see Figure 2(c)).

The fluorescence emission λ_{em} for all the compounds were almost the same, with λ_{em} 525 nm for **DQ**, **DQ-OH** and **DQ-OMe**, and λ_{em} 532 nm for **DQ-NMe** and **DQ-OMe-NMe** (see Figure 2

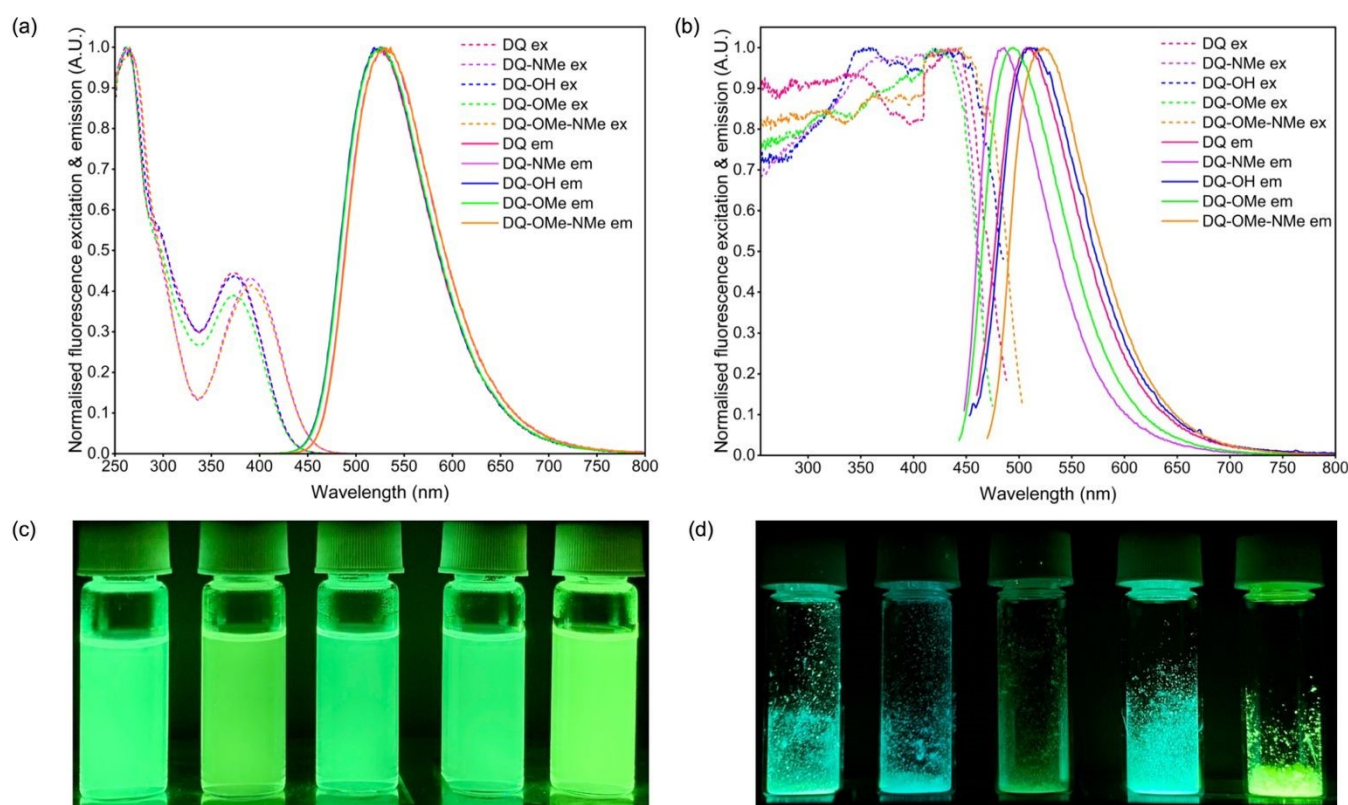
(a)). All the compounds had large Stokes shift (see Table 1), which is typical for compounds showing ICT effect. The CIE 1931 chromaticity plot showed two distinct spots in the green-yellow region for these two sets of compounds (see SI - Figure 4 (a)). Compound **DQ-OMe-NMe** exhibited the highest fluorescence quantum yield, $\Phi_f = 60.2\%$, followed by **DQ** ($\Phi_f = 59.6\%$), **DQ-OH** ($\Phi_f = 54.1\%$), **DQ-OMe** ($\Phi_f = 51.9\%$), while **DQ-NMe** had the lowest quantum yield ($\Phi_f = 47.5\%$) (see Table 1). High quantum yields could be due to the ICT effects in these molecules.⁴³ All the compounds showed average fluorescence lifetime (τ_{ave}) ranging from 7.00 ns to 11.5 ns. Compound **DQ-OMe-NMe** had the lowest τ_{ave} of 7.00 ns, while compound **DQ** had the highest τ_{ave} of 11.5 ns (see Table 1). This is consistent with compound **DQ-OMe-NMe**, which has the highest Φ_f also has the highest radiative decay constant ($k_r = 0.086 \text{ ns}^{-1}$). Compound **DQ-NMe** has the lowest Φ_f and has the highest non-radiative decay constant ($k_{nr} = 0.063 \text{ ns}^{-1}$), compared to other compounds (see Table 1). A high radiative decay constant and low non-radiative decay constant indicate an efficient system and *vice-versa*.²⁹

The absorption spectra for solid state samples showed broad absorption bands ranging from 379 to 428 nm. All compounds are light-yellow, except for **DQ-OMe-NMe**, which is intense yellow in colour (see SI - Figure 3). Thus, compound **DQ-OMe-NMe** showed the most bathochromic shifted λ_{ab} at 428 nm, followed by compounds **DQ-NMe** ($\lambda_{\text{ab}} = 414 \text{ nm}$), **DQ-OMe** ($\lambda_{\text{ab}} = 413 \text{ nm}$), **DQ** ($\lambda_{\text{ab}} = 398 \text{ nm}$), and **DQ-OH** ($\lambda_{\text{ab}} = 379 \text{ nm}$) (see Table 1). The fluorescence emission spectrum of compound **DQ-OMe-NMe** showed the most red-shifted emission wavelength ($\lambda_{\text{em}} = 523 \text{ nm}$), followed by compounds **DQ-OH** ($\lambda_{\text{em}} = 512 \text{ nm}$), **DQ** ($\lambda_{\text{em}} = 508 \text{ nm}$), and **DQ-OMe** ($\lambda_{\text{em}} = 495 \text{ nm}$), **DQ-NMe** ($\lambda_{\text{em}} = 486 \text{ nm}$) (see Table 1 and Figure 2 (b)). The CIE 1931 chromaticity plot showed five distinct spots in the blue to yellow region for these compounds (see SI - Figure 4 (b)), which aligned to emissions observed from the solid samples under long-UV irradiation (see Figure 2 (d)). The Stokes shift for compounds in solid state was smaller than those obtained for solution samples (see Table 1). Unlike the solution samples, the solid samples showed quantum yields ranging from very low to high ($\Phi_f = 1.7\% - 52.9\%$). This behaviour was intriguing. Compound **DQ-OMe** had the highest $\Phi_f = 52.9\%$, which was very similar to the solution sample ($\Phi_f = 51.9\%$). Compound **DQ-OMe-NMe** had $\Phi_f = 52.1\%$, which was slightly less than that of the solution sample ($\Phi_f = 60.2\%$). Compound **DQ** had Φ_f of 41.7%, which was much lower than that of the solution sample ($\Phi_f = 59.6\%$). The fluorescence quantum yields of compounds **DQ-NMe** ($\Phi_f = 5.1\%$) and **DQ-OH** ($\Phi_f = 1.7\%$) were very low, and significantly lower than those of corresponding solution samples ($\Phi_f = 47.4\%$ and 54.1%, respectively) (see Table 1).



Table 1. Table showing photophysical properties of dihydroquinoxaline derivatives in solution and solid states. All the emission measurements were made with λ_{exc} = 365 nm. DOI: 10.1039/D6QM00234J

Property	Compounds in Solution State					Compounds in Solid State				
	DQ	DQ-NMe	DQ-OH	DQ-OMe	DQ-OMe-NMe	DQ	DQ-NMe	DQ-OH	DQ-OMe	DQ-OMe-NMe
λ_{abs} (nm)	375	392	375	375	392	398	414	379	413	428
λ_{ex} (nm)	375	392	375	375	392	440	428	433	423	450
λ_{em} (nm)	525	532	525	525	532	508	486	512	495	523
Φ_f (%)	59.6	47.4	54.1	51.9	60.2	41.6	5.1	1.7	52.9	52.1
τ_{ave} (ns)	11.5	8.41	10.9	11.3	7.00	8.00	1.10	0.63	7.15	9.78
k_r (ns^{-1})	0.052	0.056	0.047	0.046	0.086	0.052	0.047	0.027	0.074	0.053
k_{nr} (ns^{-1})	0.035	0.063	0.042	0.043	0.057	0.073	0.862	1.560	0.066	0.049
Stokes shift (cm^{-1})	7619	6713	7619	7619	6713	3042	2788	3563	3439	3102

Figure 2. Normalised fluorescence emission spectra of dihydroquinoxaline derivatives in solution (25 μ M in DCM) (a) and solid state (b). Fluorescence emissions of derivatives **DQ**, **DQ-NMe**, **DQ-OH**, **DQ-OMe** and **DQ-OMe-NMe** (L-R) under long UV irradiation (365 nm) for solution (c) and solid states (d).

Compounds that showed high quantum yields also had higher τ_{ave} values compared to those that had low quantum yields. Compounds **DQ-OMe**, **DQ-OMe-NMe** and **DQ** had τ_{ave} of 7.15 ns, 9.78 ns and 8.00 ns, respectively. Compound **DQ-OMe** had the highest $k_r = 0.074 ns^{-1}$, being most efficient emitter. Compounds **DQ-NMe** and **DQ-OH** had τ_{ave} of 1.10 ns and 0.63 ns. Compound **DQ-OH** had the highest non-radiative decay constant, $k_{nr} = 1.560 ns^{-1}$, indicating the most inefficient emitter. This was followed by the k_{nr} of **DQ-NMe** ($0.862 ns^{-1}$) (see Table 1). The high non-radiative decay constant suggests the presence of mechanisms contributing to fluorescence quenching for compounds **DQ-NMe** and **DQ-OH** in the solid state. Establishing clear correlations between substituents on dihydroquinoxaline derivatives and their emission wavelengths remains

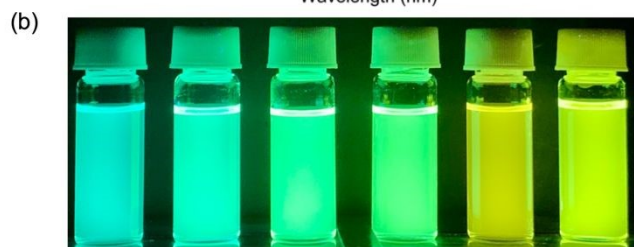
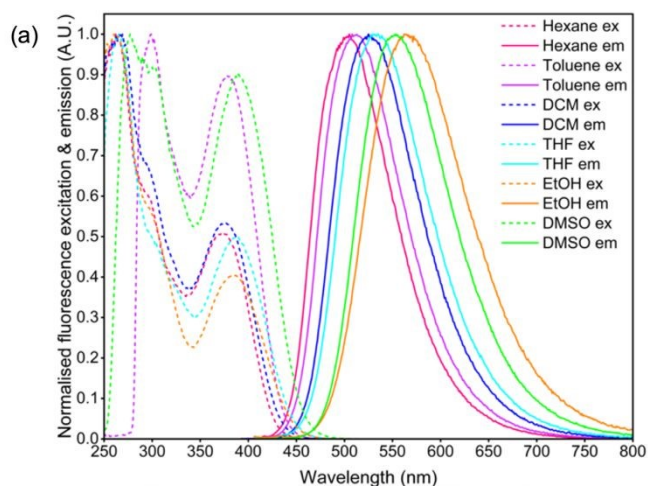
challenging. Compound **DQ-OMe-NMe**, bearing two electron-donating *N*-methyl and methoxy substituents, exhibited the most bathochromic shift. In contrast, **DQ-NMe** and **DQ-OMe**, with only one of these substituents, showed the most pronounced hypsochromic shifts. While both **DQ** and **DQ-OH** displayed nearly identical emission wavelengths despite the presence of a hydroxyl group in the latter. Compounds **DQ-OMe**, **DQ-OMe-NMe**, and **DQ**, which retain relatively high quantum yields in both solution and solid states, were classified as dual-state emitters.^{1,3} Compound **DQ-NMe** has $\Phi_f = 5.1\%$, which could also be a DSEgen but as it has highly reduced solid state Φ_f similar to that of **DQ-OH**, they both show aggregation-caused emission weakener (ACEW) effect.³ This could be likely due to aggregation-caused quenching, internal conversion, and,



for **DQ-OH**, deactivating hydrogen bonding.⁵² The effects of aggregation on the emission properties of all the dihydroquinoxaline derivatives were carried out using THF/water mixtures. All the derivatives showed gradual bathochromic shifts as the f_{water} (vol%) increased to *ca.* 70%, suggesting ICT effect with increasing solvent polarity (see SI - Figures 7–12).⁴⁵ Derivatives **DQ**, **DQ-NMe**, **DQ-OMe**, **DQ-OMe-NMe** did not show typical AIE or ACQ behaviour upon aggregation, **DQ-OH** showed ACQ properties.⁸ To further elucidate all these behaviours, single-crystal X-ray diffraction (SC-XRD) analyses were conducted (*vide infra*).

To investigate ICT phenomenon in these derivatives, compound **DQ-OH** was selected and 25 μM solution were prepared in various non-polar, polar, polar protic and aprotic solvents. The UV-vis absorption spectra showed a charge transfer band *ca.* 375 nm for solutions prepared in hexane, toluene and DCM, while solutions prepared in THF, EtOH and DMSO showed a bathochromic shift with λ_{abs} 386 – 392 nm. The fluorescence emission spectra for non-polar solvents hexane and toluene, ($E_{\text{T}}(30)$ values, which is a measure of the microscopic solvent polarity, of *ca.* 31 – 34)^{53,54} had a hypsochromic-shifted emission ($\lambda_{\text{em}} = 507$ and 511 nm), emission of λ_{em} 530 and 525 nm for THF and DCM ($E_{\text{T}}(30)$ *ca.* 37 – 41) and red-shifted emissions of λ_{em} of 554 and 564 nm with DMSO and EtOH ($E_{\text{T}}(30)$ *ca.* 45 – 52), respectively (Figure 3).

Figure 3. Normalised fluorescence emission spectra of **DQ-OH** in various solvents (25 μM)

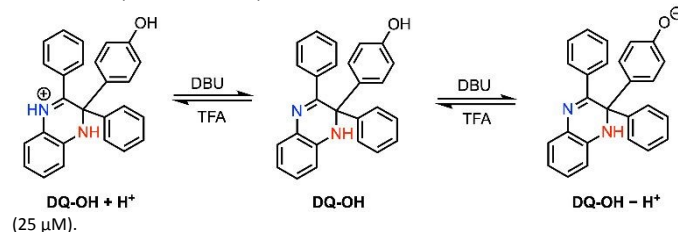


(a). Samples were excited as follows: hexane ($\lambda_{\text{ex}} = 372$ nm), toluene ($\lambda_{\text{ex}} = 378$ nm), DCM ($\lambda_{\text{ex}} = 375$ nm), THF ($\lambda_{\text{ex}} = 388$ nm), EtOH ($\lambda_{\text{ex}} = 386$ nm) and DMSO ($\lambda_{\text{ex}} = 392$ nm). Solutions of **DQ-OH** in various solvents (L-R): hexane, toluene, DCM, THF, EtOH, and DMSO (25 μM) under long UV irradiation (365 nm) (b).

Moderate to high Stokes shift were obtained for the different solutions ranging from 7001 cm^{-1} (131 nm for hexane) to 8176

cm^{-1} (178 nm) for EtOH (see SI - Table 2). Hexane solution gave the shortest τ_{ave} of 5.28 ns, while toluene and EtOH solutions gave slightly higher values of 7.31 and 8.75 ns, and THF, DCM and DMSO solutions gave high τ_{ave} values of 11.99, 12.48 and 15.36 ns, respectively. A linear relationship was seen between the Stokes shift and emission maxima with the $E_{\text{T}}(30)$ values (see SI - Figures 5 and 6), confirming positive solvatochromism, which supports the existence of ICT effects.⁵³ Bathochromic shifts with DMSO and EtOH could be attributed to stabilisation of charge-separated excited state by polar solvents, which lowers the energy of this state and leads to red-shifted emission.^{51,55} Highest τ_{ave} value for DMSO indicates enhanced stabilisation of the excited state, resulting in slower radiative decay. While EtOH exhibited the most red-shifted emission maximum (λ_{em}), its moderate τ_{ave} value may be explained by strong hydrogen bonding (HB) interactions,⁵⁶ which favour non-radiative decay pathways via internal conversion, by providing an efficient vibrational mode for excited-state deactivation.⁵² The ICT effects arising from the asymmetrical molecular structure with D- π -A system and electron rich phenyl rings⁴³ may enhance radiative decay, giving high quantum yield for these derivatives. To confirm the existence of the ESPT phenomenon,^{50,57} pH-dependent studies were carried out for **DQ-OH** using hydrogen bond (HB) acceptor and donor (EtOH), HB acceptor (DMSO) and HB neutral (DCM) solvents and an organic base DBU, and acid, TFA. Treatment of a 25 μM solution of **DQ-OH** with up to 100 equivalents of DBU and TFA may deprotonate the hydroxyl proton and protonate the imine group, respectively (see Scheme 2).

Scheme 2. Deprotonation and protonation of **DQ-OH** with DBU and TFA in solution



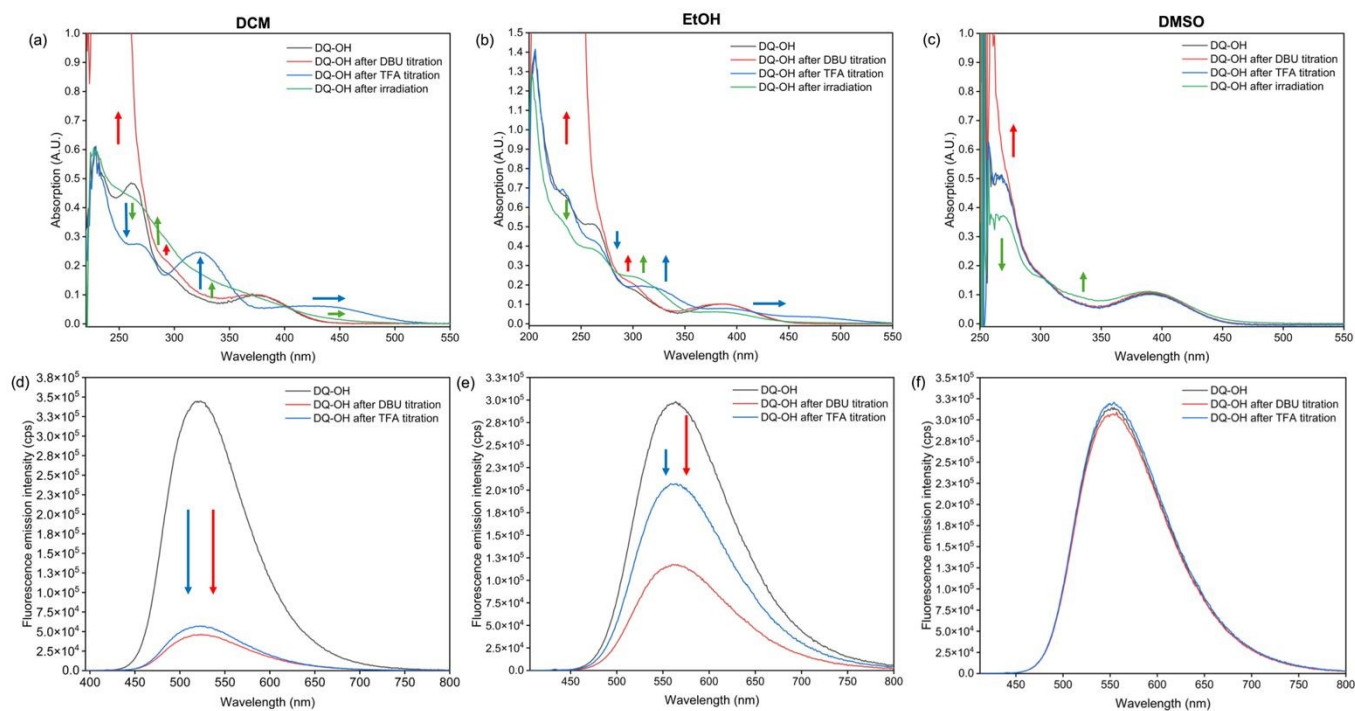
Treatment with DBU caused an increase in band between 250 and 270 nm in the UV-vis absorption spectra for all the solvents (see Figure 4, a-c). This could be due to change in the electronic states arising from the phenoxide ion. As expected, no change was seen in the charge transfer band upon DBU addition. Treatment with TFA, red-shifted the charge transfer band and decreased the intensity for band at 250 and 270 nm in DCM and EtOH (Figure 4, a and b). TFA did not have any effect in DMSO (Figure 4, c). Bathochromic shifts seen in the charge transfer band may result from iminium ion, which seems to be stabilised in DCM and EtOH. Slight red-shifting and increase in intensity was seen for the shoulder band at *ca.* 300 nm. These changes are most pronounced in DCM, followed by EtOH and DMSO. Irradiation of fresh samples with long UV light (365 nm), caused similar changes but with less intensity compared to TFA treatment. since DCM does not participate in hydrogen bonding, the hydroxyl and imine groups are most susceptible to proton transfer. While in EtOH, these groups may be hydrogen bonded with EtOH for some molecules, hence less prone to



proton transfer. In DMSO, since most hydroxyl protons may be hydrogen bonded to DMSO, and possibly TFA also protonating DMSO instead of the imine, minimal proton transfer may take place. This was further verified as the most fluorescence quenching was seen in DCM with both acid and base treatment, followed by moderate quenching in EtOH and almost no quenching seen for DMSO (see Figure 4, d–f). This pH-dependent study confirmed that the quenching could be attributed to proton transfer. Concentration-dependent studies were carried out in DCM to further investigate fluorescence quenching in **DQ-OH**. Figure 14 in SI shows that as the

concentration of DCM solution increased, the intensity of absorption bands increased. As the concentration increased from 25 μM to 625 μM , fluorescence intensity gradually increased and decreased thereafter, suggesting that **DQ-OH** is emissive in both dilute solution and concentrated solutions up to 2.5 mM. Within this range, **DQ-OH** may show a combination of sufficient rotation and twisting, enabling fluorescence at both dilute and concentrated solutions. Further increase in concentration, may impart solid like characteristics to the molecules, hence fluorescence quenching is seen after 2.5 mM.

Figure 4. UV-vis absorption (top) and fluorescence emission (bottom) spectra of **DQ-OH** solution (black), **DQ-OH** solutions upon treatment with 100 equivalence of DBU (red) and TFA (blue), and irradiation at 365 nm for 30 min (green). All solutions (25 μM) are prepared in DCM (column 1), EtOH (column 2) and DMSO (column 3). Different colours and sizes



of arrows represent increase/decrease/shift in absorption bands and emission intensity with respect to various treatments.

XRD Analyses

Single crystal XRD (SC-XRD) analyses revealed that the dihydroquinoxaline framework, consisting of a benzene ring fused to a non-planar dihydropyrazine unit with three phenyl or aryl groups in a twisted conformation, render these molecules non-planar (see Figure 5 (column 1) and SI - Table 3). The torsion angle between conjugated aromatic rings in the fluorophore is critical for DSE property¹ and are influenced by substituents on the phenyl rings and intermolecular interactions in the crystal lattice.²⁶ Compounds **DQ**, **DQ-OH** and **DQ-OMe** have comparable torsion angles, while the torsion angles in compound **DQ-NMe** differ significantly due to the methyl group on the nitrogen atom, inducing greater dihedral angles for rings 1 and 2, and further twisting of ring 3 (see SI Table 3). Large torsion angles are important as they twist the molecular structure in the solid state, inhibiting close packing and

preventing deleterious $\pi\cdots\pi$ stacking interactions, thus promoting radiative decay processes.³

Substituents on the amino nitrogen or phenyl ring further influence molecular orientation, packing and specific intermolecular interactions, accounting for three distinct packing arrangements. Compounds **DQ** and **DQ-OH** have face-to-edge packing with nearly perpendicular aromatic rings, revealing a Herringbone stacking pattern along the *a* axis (see SI Figure 17 and 19).⁵⁸ This arrangement likely arises from the molecule's twisted conformation and is stabilised by several short-range $\text{CH}\cdots\pi$ (2.719 – 3.087 Å) interactions (see Figure 5 (b and h) and SI - Table 5). Compound **DQ-NMe** adopts a spiral ladder like arrangement, likely driven by the methyl group, to minimise steric and electronic repulsion (see SI - Figure 18). In compound **DQ-OMe**, two molecules form dimeric head-to-tail arrangement, with the methoxy oriented toward each other along the *b* axis (see SI - Figure 20).



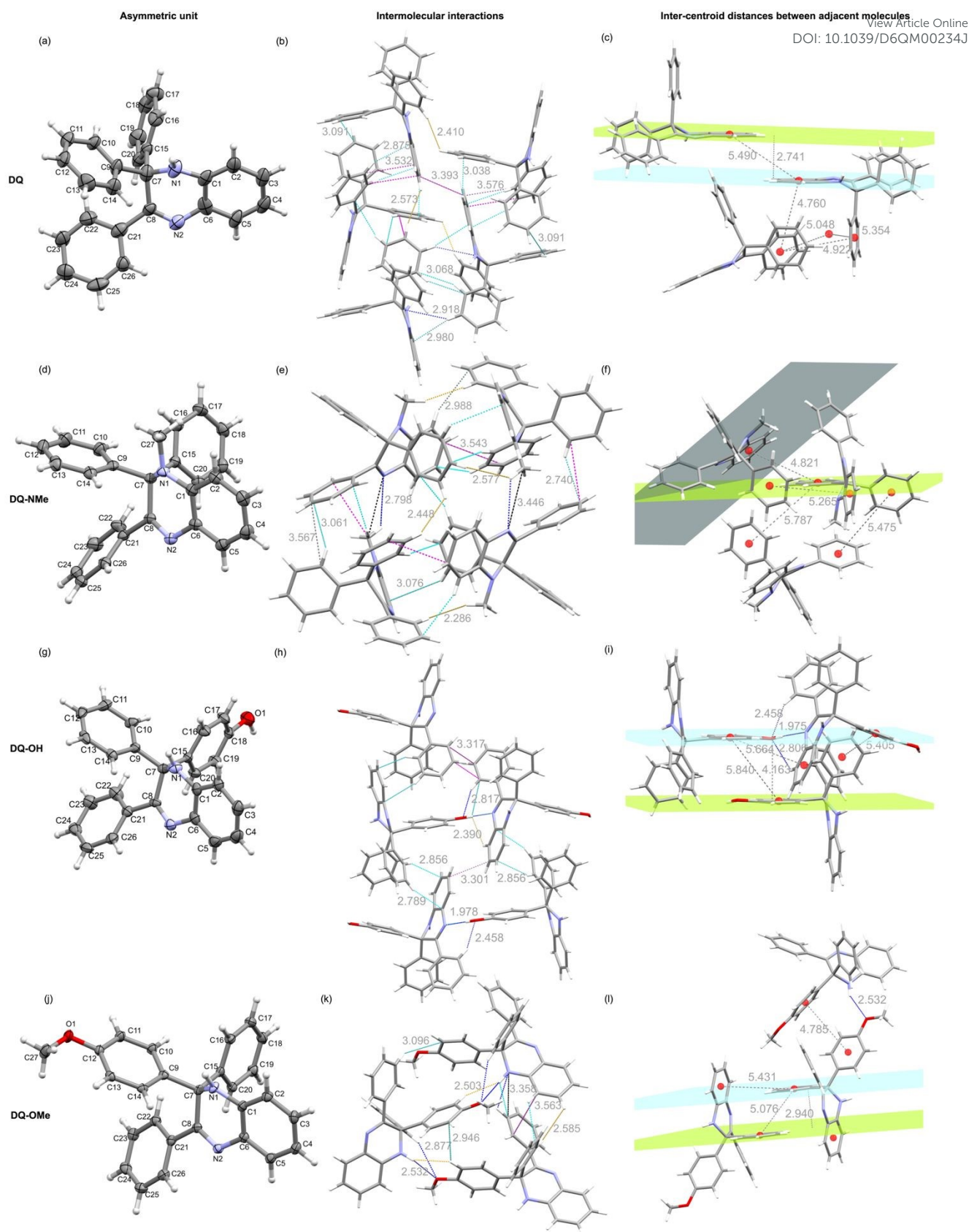


Figure 5. Single crystal structure analyses of dihydroquinoxaline derivatives. Column 1: asymmetric units; crystal structures for all showing 50% probability ellipsoids for all non-hydrogen atoms, except for **DQ**, which is showing 40% probability. Column 2: selected intermolecular interactions (in Å) in the crystal lattice. Interactions are coloured as follows: i) dark blue (hydrogen bonds): CH...NH, OH/CH₃...N, CH...O, and NH...O; ii) cyan: CH...π; iii) magenta: π...π or π...CH₃; iv) yellow: CH...HC or CH...HN or CH...HO or CH...H₃C; and v) black: C...N/NH. Column 3: distances between the centroids in the phenyl rings (in Å) between mean planes of the phenyl rings (grey/blue and green).



A distinct difference between solid state emitters (**DQ** and **DQ-OMe**), and weak emitters (**DQ-OH** and **DQ-NMe**) lies in the type of nitrogen atom engaged in the intermolecular HB interactions (see Figure 5 (column 2) and SI - Figure 16). In **DQ** and **DQ-OMe**, the sp^3 amino nitrogen functions as both HB donor and acceptor, whereas in **DQ-NMe** and **DQ-OH**, the sp^2 imine nitrogen behaves as HB acceptor only. Furthermore, compounds **DQ** and **DQ-NMe** only possess weak HB interactions, while the hydroxyl and methoxy substituents in **DQ-OH** and **DQ-OMe** promote strong HB interactions.⁵⁹ Compound **DQ** has $CH\cdots NH$ (2.918 Å) HB interaction.

The orientation of the methyl group in compound **DQ-NMe** facilitates weak HB interactions from the methyl protons to the imine nitrogen ($CH_3\cdots N$ (2.798 Å)). Compound **DQ-OH** has strong ($OH\cdots N$, 1.978 Å) and weak ($CH\cdots O$, 2.458 Å) HB interactions. The orientation of the methoxy group in **DQ-OMe** allows strong ($NH\cdots O$, 2.790 Å), and weak $CH\cdots N$ (2.877 Å) or $CH\cdots O$ (2.532 Å) HB interactions, consistent with distances for HB interactions for ether groups.^{59,60} Intermolecular HB interactions seen in **DQ-NMe** and **DQ-OH** enhance the deactivation of the excited state through internal conversion, promoting efficient non-radiative decay processes.⁵²

In the crystal lattice of the dihydroquinoxaline derivatives, key short-range interactions include $\pi\cdots\pi$ interactions (3.301 – 3.569 Å), consistent with effective intermolecular charge transfer and potentially explaining the slightly reduced quantum yield in solid state for compounds **DQ** and **DQ-OMe**. Additional stabilising interactions such as $CH\cdots\pi$ (2.719 – 3.096 Å), $CH\cdots HC/HN/HO/H_3C$ (2.074 – 2.585 Å), and $C\cdots N/NH$ (3.358 – 3.446 Å) were also observed (see Figure 5 (column 2) and SI - Table 5).

In the dihydroquinoxaline derivatives, molecular arrangements, intermolecular distances and HB interactions strongly influence solid state fluorescence. In compounds **DQ** and **DQ-OH**, aromatic rings adopt a face-to-edge arrangement, while the molecules exist co-facially on parallel planes with slip angles of 30.37° and 48.75°, and perpendicular distances between two parallel planes of 2.777 Å and 4.163 Å, respectively (see Figure 5 (c and i) and SI - Table 4). Large inter-centroid distances (4.760 – 5.840 Å) prevent effective $\pi\cdots\pi$ interactions to occur. While both **DQ** and **DQ-OH** have similar molecular arrangements, differences in their HB interactions render **DQ** as an efficient solid state emitter unlike **DQ-OH**. Compound **DQ-NMe** has slanted face-to-face arrangement of the phenyl rings and possesses large inter-centroid distances (4.821 – 5.787 Å) (see Figure 5 (f) and SI - Table 4). In solid state, the unfavourable HB interactions and partial charge transfer through $\pi\cdots\pi$ interactions (3.301 – 3.567 Å) seem to be the main cause of fluorescence quenching. Compound **DQ-OMe** has two phenyl rings in co-facial, parallel arrangement with a slip angle of 41.01° and centroid-to-centroid distance of 5.076 Å (see Figure 5 (l) and SI Table 4). The two aryl groups are arranged head-to-tail at an angle of 96.32° and inter-centroid distance of 4.785 Å. A perpendicular distance between the parallel planes is 2.940

Å, and other centroid-to-centroid distances include 5.431 Å. Large intermolecular centroid distances prevent close molecular packing and $\pi\cdots\pi$ stacking interactions. A combination of loose molecular packing, rigid twisted conformations and either favourable or unfavourable hydrogen bonding interactions either preserve or quench fluorescence in solid state dihydroquinoxaline derivatives.

To provide theoretical support for the photophysical behaviour of the dihydroquinoxaline derivatives, TD-DFT calculations were performed at the (TD) ω B97X-D/def2-TZVPP//((TD)CAM-B3LYP-def2-SVP level (see the ESI for further details). Efficient dual-state emitters are generally associated with relatively large oscillator strengths and limited structural reorganisation between the ground and excited states.⁶¹ All five compounds exhibit oscillator strengths of 0.197–0.212 and RMSD values of 0.727–1.017 Å, consistent with efficient fluorescence (see page S50 in the ESI). The calculations further indicate that the $S_0\rightarrow S_1$ transition is dominated by a HOMO→LUMO excitation with charge transfer from the amino/aryl donor region to the imine-containing acceptor fragment. Notably, the calculated LUMO is localized on the imine nitrogen in all derivatives. Single-crystal X-ray diffraction analysis revealed intermolecular interactions involving this imine nitrogen in **DQ-NMe** and **DQ-OH**, the two compounds that exhibit strongly quenched solid-state fluorescence, suggesting that these interactions contribute to enhanced non-radiative decay in the solid state. Overall, the calculations provide a theoretical rationale for both the dual-state emission of **DQ**, **DQ-OMe**, and **DQ-OMe-NMe** and the solid-state fluorescence quenching of **DQ-NMe** and **DQ-OH**.

Conclusions

In conclusion, judicious choice of molecular design features such as D– π –A moieties, partially planar core with aromatic rings in a twisted molecular conformation and appropriate substituents can engender simple dihydroquinoxaline derivatives as DSEgens. The synergy of molecular features, along with key intermolecular hydrogen bonding interactions can be useful in preserving or quenching fluorescence in solid state. This study introduced a new framework, together with key photophysical and SC-XRD insights for the design and synthesis of future DSE luminogens with very good PLQY in dual states. Herein, all compounds showed very good solution state photoluminescence behaviour with PLQY ranging from 47% to 60%. Three derivatives (**DQ**, **DQ-OMe** and **DQ-OMe-NMe**) showed very good PLQY (42% to 53%) in solid state. The dihydroquinoxaline framework can be enhanced to develop DSE luminophores with targeted photoluminescent properties and enhanced efficiency for various applications such as chemosensors and biological imaging. This study presents the first introduction of the dihydroquinoxaline framework to the suite of DSE luminogens.^{1,3,14-16,18,21,24,25,40-42,46}



Author contributions

Compound synthesis: THH and SS. Photophysical studies: SS and THH. XRD data collection and analyses: SS and RT. Instrumentation: TNP. Computational studies: BKM. Project design and supervision: TVN. Writing – original draft: SS, BKM. Writing – review & editing: TVN.

Conflicts of interest

The authors declare that they have no competing interests.

Data availability

All data are available in the main text or the ESI. All crystal structures have been deposited in the CCDC in the cif format under 2463720, 2463716, 2463715 and 2463714 for **DQ**, **DQ-NMe**, **DQ-OH** and **DQ-OMe**, respectively. References cited in the ESI^{43,54,62-67} have been included in Notes and References section.

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