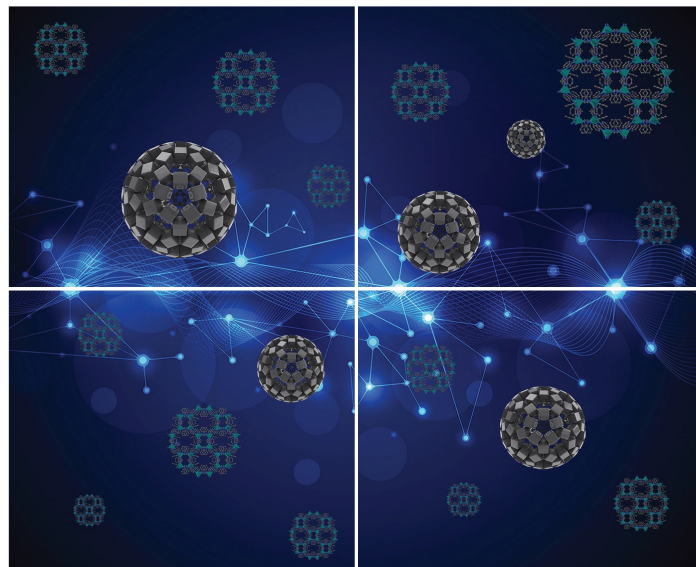


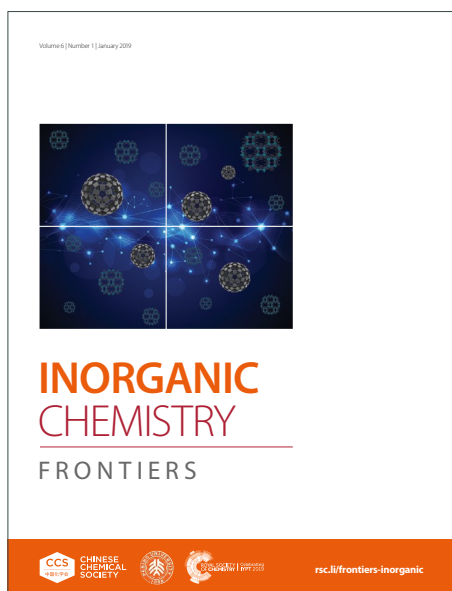
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ARTICLE

Cytotoxicity and Immunogenic Cell Death Studies of Water-soluble Tetrahedral Ga(III) or Fe(III) Coordination Cages Containing a Au(I) Anticancer Drug as GuestPriya Ranjan Sahoo,^a Fatima Aftab,^{b,c} Aaron A. Manu,^{b,d} Anwita Roy,^a Maria Contel,^{b,f,*} and Janet R. Morrow^{a,*}Received 00th January 20xx,
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Water-soluble tetrahedral coordination cages of Ga(III) (**1**) or Fe(III) (**2**) were studied as carriers for FIN and FIN-ester as gold drugs (FIN = triethylphosphine Au(I) complex of 4-mercaptobenzoate or ethyl ester) in solution and in cell culture. Au(I) complexes remained encapsulated inside **1** or **2** at neutral pH even in the presence of serum proteins but were released at mildly acidic pH values (<6.5). The cytotoxicity response of **1** or **2** containing encapsulated FIN was assessed in two different cancer cell lines (human clear cell renal cell carcinoma ccRCC Caki-1 and murine colon carcinoma CT26) as well as normal cells (human fetal lung fibroblasts IMR-90) over a pH range of 7.5-6.2 and compared to the cages alone or gold drug alone. Free gold(I) complexes were the most highly cytotoxic but showed little selectivity for cancer cells compared to normal cells. FIN encapsulated in either Ga(III) or Fe(III) cages displayed a more selective cytotoxic response in cancer cell lines compared to normal cells. At mildly acidic pH, Fe-FIN (**2a**) gave IC₅₀ values of 4 μM in CT26 cells compared to 61 μM in IMR-90 cells. Immunogenic cell death studies of FIN in Caki-1 show significant release of DAMPs ATP and HGMB1 and elicitation of prominent CRT translocation at pH 7.2. Under mildly acidic conditions (pH 6.5) there is a significant decrease in DAMPs release, and no translocation of CRT. FIN encapsulated in **1** displays translocation of CRT under these conditions underscoring the potential of water-soluble cages to transport and stabilize gold drugs.

Introduction

Self-assembled coordination cages consist of metal ions and organic linkers that form various polyhedral shapes and have interior cavities for binding to small molecules.^{1,2} The host-guest properties of coordination cages make them useful as catalysts that typically function in organic solvents.³ In contrast, there are more limited examples of water-soluble cages, especially those that are robust under physiological conditions. Robust, water-soluble cages have applications as hosts for delivering a range of drug-like small molecules or inorganic complexes into cells.⁴ Coordination cages have recently been studied in animals as carriers for metallodrugs and as imaging agents for MRI or PET.^{5,6,7,8}

The success of a coordination cage in drug delivery depends on efficient encapsulation and controlled release of the drug to targeted

tissue in vivo and to organelles within cells. Related nanocarriers that can selectively differentiate cancer cells from healthy cells are under study as promising vehicles for drug delivery.^{9,10} An important consideration is the selective liberation of the drug by biological stimuli.^{11,12} Among the available biological triggers, pH is an excellent choice of stimulus to release the drug for therapeutic applications. Tumor microenvironment is more acidic^{13,14,15,16,17} than healthy tissue, so that certain pH responsive cages may selectively release the drug molecule in the tumor. To date, the most common nanocarriers include liposomes,¹⁸ nanoparticles,¹⁹ cyclodextrins²⁰ and MOFs.²¹ By contrast, only a few coordination cages have been reported to deliver platinum drugs into the cancer cells as shown by Therrien,⁶ Lippard²² or Casini.²³ These studies report on the use of cationic coordination cages to deliver drugs to cancer cells.^{24, 25} Metallacages are also being explored for imaging applications, the delivery of radioactive isotopes, and as platforms for radiotheranostic strategies.^{26,27,28} Recently it has been shown that anionic tetrahedral coordination cages of Fe(III) are effective MRI probes in mice^{5,29,30,31} and solubilize Au(I) drugs in aqueous solutions for in vivo studies.⁵ Our interest in coordination cages as Au(I) drug carriers motivated the studies presented here.

^a Department of Chemistry, University at Buffalo, The State University of New York, Amherst, New York 14260, United States. Email: jmorrow@buffalo.edu

^b Department of Chemistry & Biochemistry, and

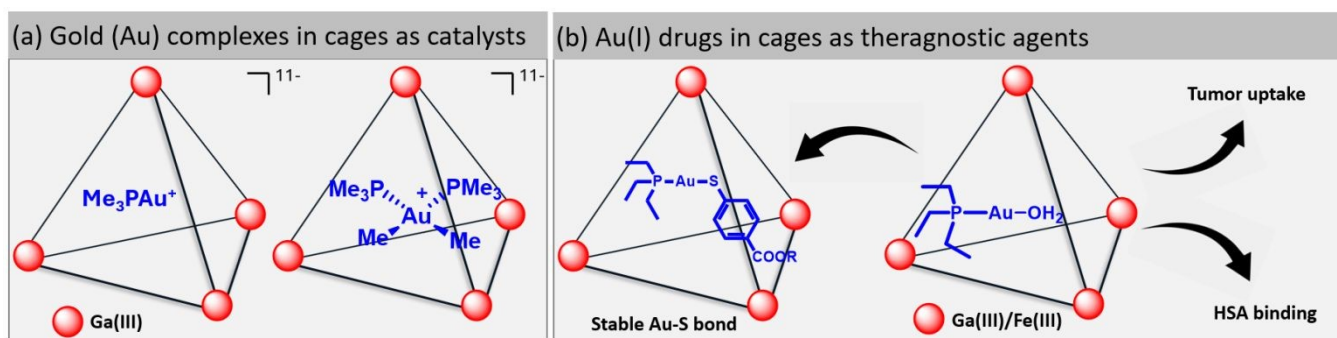
^c Brooklyn College Cancer Center, Brooklyn College, The City University of New York, Brooklyn, NY, 11210, USA.

^d Biochemistry, ^eChemistry, ^fBiology PhD Programs, The Graduate Center, The City University of New York, New York, NY, 10016, USA.
Email: mariacontel@brooklyn.cuny.edu



Au(I) complexes, particularly those with phosphine or N-heterocyclic carbene ligands, are emerging as promising alternatives to platinum-based chemotherapeutics^{32,33} due to their ability to induce cell death including immunogenic cell death³⁴ and to inhibit tumor progression in several types of cancers. Auranofin (AF), originally used for arthritis, is now under investigation for cancer, alongside newer Au(I) compounds showing improved pharmacological profiles.³⁵ However, many gold-based drugs still

face significant limitations including insufficient selectivity, limited aqueous solubility, and short circulation times which hinder their effective use in cancer therapy and clinical translation. Various types of drug carriers are being explored as delivery vehicles for gold-based drugs.³³ Water-soluble, targeted and stimuli-responsive nanocarriers including immunoliposomes³⁶ and nanopeptides^{37,38} have been used successfully for delivering gold compounds to breast and renal cancer cells.



Scheme 1. Illustrations showing Au(I) and Au(III) complexes in coordination cages studied as catalysts (a) or as theragnostic agents (b).

For the development of coordination cages as Au(I) drug carriers, we chose to study anionic tetrahedral cages that were originally developed by Raymond and co-workers.³⁹ The Ga(III)-based cages host lipophilic Au(I) and Au(III) complexes and have been studied as catalysts (**Scheme 1**).^{40,41} Analogous paramagnetic Fe(III) cages act as MRI probes^{42,31} and encapsulate Au(I) drugs for theragnostic studies in mice.⁵ Both the Fe(III) and Ga(III) cages solubilize gold metallodrugs and protect them from reacting with nucleophiles such as the cysteine residues of serum proteins. Our group found that the encapsulated complex $[\text{Au}(\text{PET}_3)(\text{OH}_2)]^+$ was released at the mildly acidic pH values characteristic of tumor environments but reacted with serum albumin over several hours. For further studies, we sought to encapsulate less reactive drugs such as Au(I) complexes of 4-mercaptobenzoic acid (Hmba) in $(\text{Au}(\text{Hmba})\text{L})$ with phosphines or N-heterocyclic carbenes. Compounds of the type $(\text{Au}(\text{Hmba})(\text{PR}_3))$ have been especially relevant as components of Ti(IV)-based heterometallic complexes with high efficacy in renal cancer mouse models.⁴³ Moreover, FIN (**Scheme 2**) which is structurally related to Auranofin suppresses cancer cell migration and invasion, accompanied by decreased levels of pro-metastatic interleukins, MMPs, and TNF- α . It also inhibits the mitochondrial enzyme thioredoxin reductase (TrxR), often overexpressed in apoptosis-resistant tumors, and downregulates FOXC2 and PECAM-1, markers linked to resistance to genotoxic chemotherapy.⁴⁴ In addition, FIN has been an outstanding payload to generate antibody drug conjugates efficacious in HER-2 positive breast cancer mouse models.⁴⁵ As part of our endeavour towards understanding Au(I) drug encapsulation and delivery using coordination cages, anionic tetrahedral cages (**Scheme 2**) including Ga-FIN (**1a**), Ga-EthylFIN (**1b**) and Fe-FIN (**2a**) were studied with a focus on drug release, cytotoxicity and cellular uptake. The cancer cell lines examined in this study are clear cell renal cell carcinoma (ccRCC) Caki-1 and colon

carcinoma CT26. They were selected based on the pronounced activity of FIN $[\text{Au}(\text{mba})(\text{PET}_3)]$ and its derivatives in ccRCC across both *in vitro* and *in vivo* models, supported by mechanistic insights.^{35,36} Encapsulation of the more labile gold(I) complex $\text{Au}(\text{PET}_3)\text{Cl}$ within an Fe(III) cage (**2**) resulted in enhanced vascular contrast and increased accumulation in colorectal CT26 tumors in BALB/c mice, as demonstrated by MRI, and enabled intravenous administration, validating Fe and Ga cages as delivery vehicles in colon carcinoma.⁵

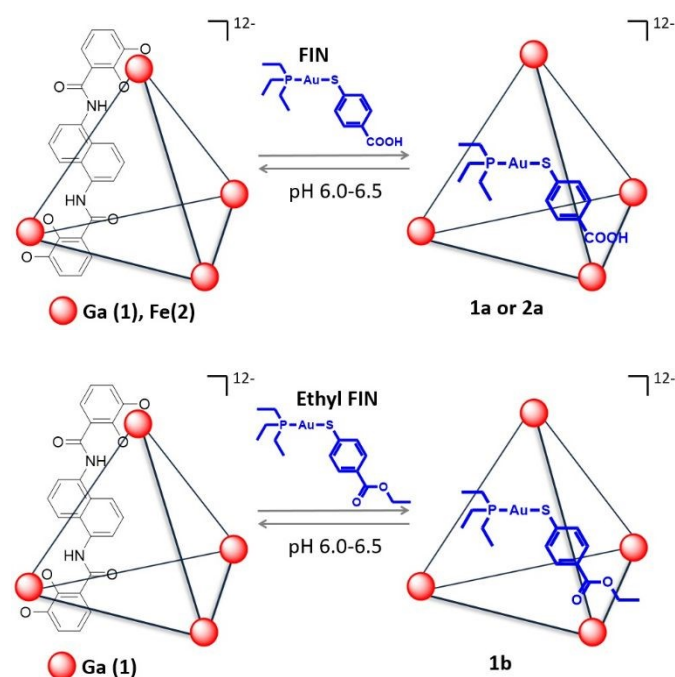
Results and discussion

Synthesis and characterization of host-guest complexes

Addition of one equivalent of FIN complex to the Ga(III) cage **1** in D_2O (pD = 8.6) produced a complex showing ^1H NMR resonances at -0.26 and -1.7 ppm assigned to the ethyl groups of the phosphine ligands of FIN encapsulated within the cage (Ga-FIN (**1a**)) (**Scheme 2**, **Figure 1**). The aromatic protons of the FIN complex, which is insoluble in water, shifted from 7.8 and 7.6 ppm in CDCl_3 to 6.0 and 5.7 ppm in D_2O following FIN incorporation into the cage. The complicated aromatic ^1H NMR resonance pattern of the cage is likely the result of distortion of the tetrahedral cage upon guest incorporation, given that a simple aromatic pattern is restored upon ejection of FIN. The encapsulation of the FIN complex in **1a** is verified by ^1H DOSY NMR as shown by the diffusion coefficient ($D = 1.44 \times 10^{-10} \text{ m}^2/\text{sec}$) determined for all the proton resonances of the host-guest species (**Figure 2**). Incorporation of Au(I) complex did not substantially alter the diffusion coefficients in comparison to the native Ga(III) cage ($D = 1.77 \times 10^{-10} \text{ m}^2/\text{sec}$) in D_2O . Calculation shows a comparable cage radius for Ga(III) cage (1.12 nm) and Ga-FIN cage (1.38 nm). The Fe(III) cage with encapsulated FIN (**2a**) was prepared



in a similar manner. Quantitation of the amount of Au versus Ga or Fe, as measured by ICP-MS, supported complete encapsulation of gold complexes in the cages when they were precipitated from solution and isolated as solids.



Scheme 2. Encapsulation of FIN or ethyl-FIN complexes within gallium (**1**) or iron (**2**) cage and their release at acidic pH. Linker structure is shown in Scheme S1.

The encapsulation of the more lipophilic ethyl ester of FIN was studied for comparison to the FIN complex which is anionic if ionized at the carboxylic acid or neutral if protonated. The spectral characterisation of ethyl-FIN complex is shown in figures S1-S5. Given the propensity of the highly anionic coordination cages to encapsulate cationic or neutral guests, we surmise that FIN is most likely in a neutral form in the cage. Moreover, these coordination cages feature an acidic environment that would also favor protonation of the carboxylic group of the FIN guest.^{46, 47} As shown, the highly shifted ¹H NMR peaks at -0.23, -0.64 and -1.71 ppm confirmed encapsulation of neutral ethyl-FIN to generate Ga-EthylFIN (**1b** in Figure 1). The aromatic proton resonances at 7.6 to 7.4 ppm shifted to 6.0 and 5.4 ppm respectively indicating full encapsulation of the thiol portion of the gold guest. The methylene group of the ethyl ester of ethyl FIN shifted from 4.2 ppm to 3.8 ppm following encapsulation. An increase in diffusion coefficient with $D = 1.59 \times 10^{-10} \text{ m}^2/\text{sec}$ was noted for ethyl-FIN encapsulated in the cage. Similar guests with aromatic groups such as benzyl phosphonium have been studied by Raymond and co-workers within **1**.⁴⁸ The ³¹P spectra of **1a** and **1b** were unchanged for up to 2 weeks in either water or phosphate buffer at pH 7.4 (Figure S7, S8). Further, ¹H NMR spectra of **1a** in D₂O with dissolved RPMI 1640 cell media (10% FBS) displayed upfield-shifted proton resonances characteristic of the encapsulated triethyl phosphine group as well as aromatic

resonances at 5.35–6.0 ppm observed for encapsulated FIN complex (Figure S9).

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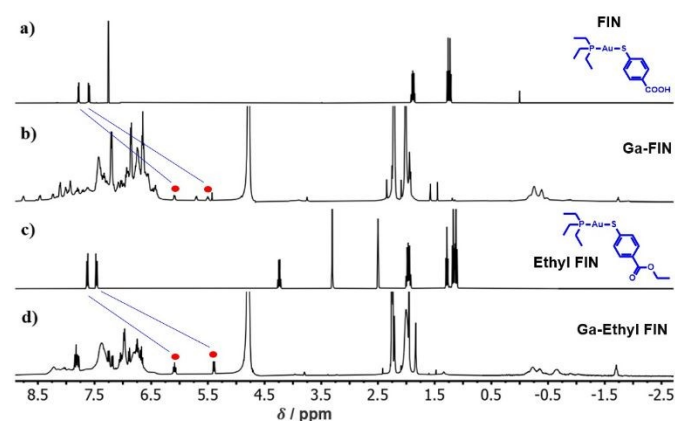


Figure 1. ¹H NMR spectra (400 MHz) of a) free FIN complex in CDCl₃; b) FIN encapsulated Ga cage in D₂O (Ga-FIN **1a**); c) FIN-ester complex in DMSO-D₆; d) FIN-ester encapsulated Ga cage (Ga-Ethyl FIN **1b**) in D₂O at pH 7.7. Red circles denote the aromatic proton resonance of the encapsulated gold complexes.

Studies of gold drug release and cage stability as function of pH

Prior to cell culture studies, the cages and their encapsulated gold complexes, **1a**, **1b**, **2a** were monitored at various conditions relevant to in vitro and in vivo environments. Release of gold drug was examined as a function of pH and the robustness of the cages under acidic conditions and with biological reductants were studied. Moreover, it was of interest to identify the gold species released from the cage given that reactions of compounds within these tetrahedral cages are well-known.^{5,4,49}

The effect of pH/pD on gold(I) complex release was studied by ¹H NMR spectroscopy. At pD = 6.2, the NMR spectrum of **1a** showed two new ¹H resonances at 0.92 ppm and 1.33 ppm corresponding to the released gold(I) complex (Figure S10) and the original resonances of the encapsulated complex disappeared. The DOSY spectra (Figure S11) were consistent with the presence of free cage and released Au(I) complex. Release of the encapsulated complex was also supported by ³¹P NMR studies of **1a** at pD = 6.2 (Figure S13).⁴⁴ Similarly, ¹H NMR spectra of **1b** recorded at pD = 6.2 showed resonances corresponding to the released gold complex (Figure S12) and ¹H DOSY spectra of **1b** under these conditions supported the release of ethyl-FIN (Figure S14). Notably, the mildly acidic conditions of release were similar to that observed in our previous studies of a [Au(PEt₃)(OH₂)]⁺ as a guest encapsulated in **1**.⁵



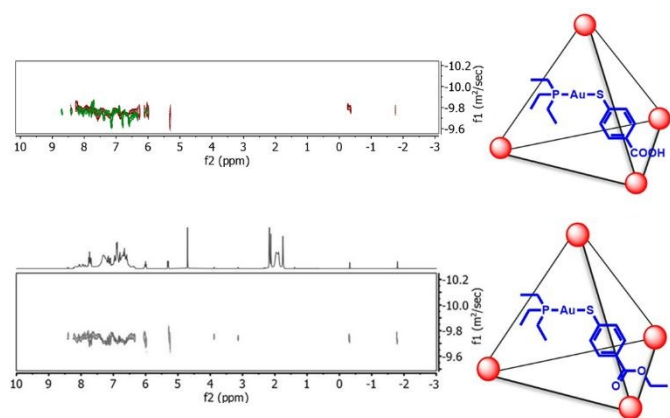
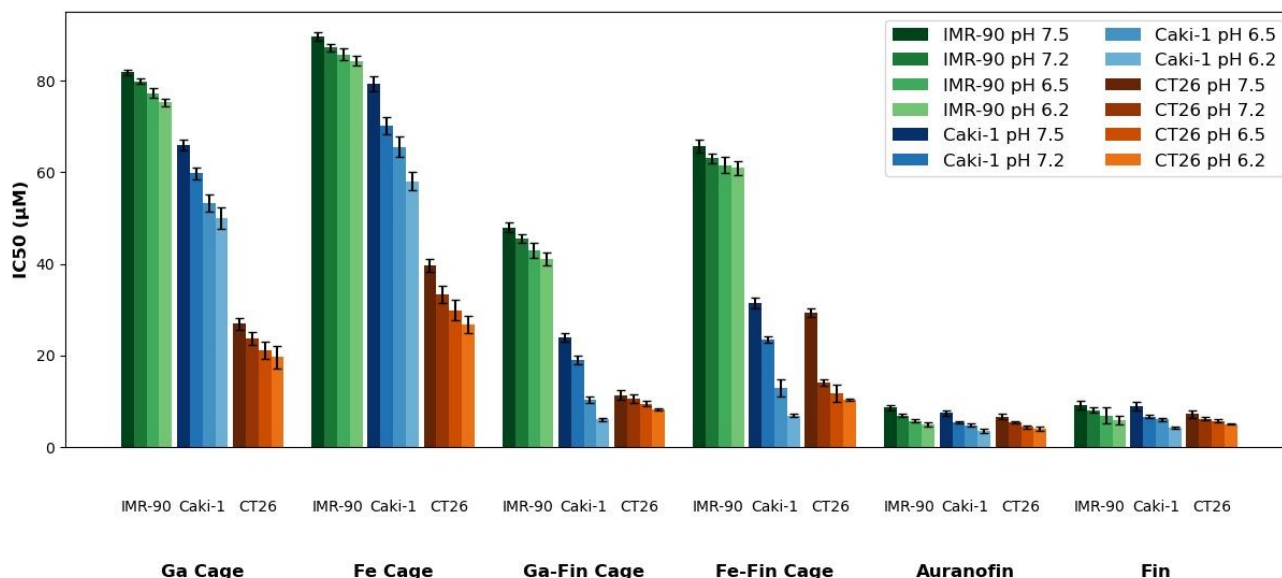


Figure 2. (Top) Overlay of DOSY spectra (400 MHz) of **1a** (Ga-FIN) shown in orange color, free cage in green color and (bottom) DOSY spectra of **1b** (Ga-ethyl FIN) shown in grey color in D₂O.

Electrospray ionization mass spectrometry (ESI-MS) was used to monitor gold complex ejection and potential change in speciation upon release from Ga(III) and Fe(III) cages as the pH was varied from 8.0 to 5.5. The ejected gold complexes were compared as relative signal intensities by using Bu₄NPF₆ as an internal standard. A major peak at 469.1 was observed at pH 6.5 that was assigned to released FIN complex. However, at more acidic pH values of 6.0 or 5.5, there was a mixture of FIN complex and [(PEt₃)₂Au]⁺. Similar release profiles were obtained at pH 6.5 for both Ga(III) and Fe(III) cages with peaks corresponding to [Au(PEt₃)₂]⁺ and to FIN complex (Figure S15, S16). Additional experiments were carried out to determine whether the bis-phosphine gold complex was formed inside of the cage or formed from released FIN at acidic pH values. NMR spectra of [Au(PEt₃)₂]⁺ encapsulated within **1** (Figures S17, S18) show proton resonances for the ethyl groups of the phosphine, observed at -0.5 and -1.5 ppm, that are distinct from those of encapsulated FIN (**1a**).

Figure 3. Bar diagram showing the IC₅₀ values for native cages, cages with encapsulated Au(I) drugs, and free gold complex FIN against IMR-



90, Caki-1 and CT26 at four different pH values after 24h incubation. Auranofin was used for comparison purposes.

This data is most consistent with formation of the gold(I) bis-phosphine complex upon release from the cage at acidic conditions.

The stability of the cages at acidic pH and with physiological amounts of ascorbate was studied towards the elucidation of the mechanism of guest release. Titration of the Ga(III) cage (**1**) from pH 7.4 to pH 4.0 showed no change in the electronic absorption spectrum of the cage over this pH range (Figure S19), suggesting that the protonation state of the catechol ligands does not change over this pH range. DOSY spectra at pD of 5 showed a similar diffusion constant as that observed at pD 7 (Figure S11), consistent with the cage remaining intact under acidic conditions. Similar DOSY spectra were observed for solutions of Ga-EthylFIN (**1b**) at pD 6.2 (Figure S14) with data supporting gold complex dissociation from the cage. By contrast, the Fe(III) cage (**2**) showed a shift in the MLCT band upon titration from pH 8 to pH of 5, indicative of protonation of one or more of the catechol groups (Figure S19) and fitting of the data gives a pK_a value of 5.8 for protonation of **2**. Adjustment of the pH back to neutral gives the original spectrum, suggesting that the protonation of the catechol group does not destroy the Fe(III) cage structure. The gallium and iron cages were also treated with ascorbate (5-100 µM) as a biologically relevant reductant in the blood pool to gauge the likelihood of reduction of the cage itself.⁵⁰ These studies showed that the coordination cages resisted reduction in the presence of ascorbic acid when incubated at 37 °C for 1 hour (Figure S20). This data is consistent with the highly negative redox potential of tris-catechol Fe(III) complexes⁵¹ which correlates to the stabilization of the trivalent iron center. Moreover, the ³¹P NMR spectrum of Ga-FIN cage, (**1a**), showed little change suggesting the absence of reaction to ascorbate over 1 hour (Figure S21).



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Cytotoxicity studies

Cytotoxicity data was collected for cages and gold drugs as a function of pH in human clear cell renal cell carcinoma (Caki-1) and murine colon carcinoma (CT26) cells and compared to that in human fetal lung fibroblasts (IMR-90) cells by using the Presto-Blue assay (Table 1, Tables S1-S5). Data was collected for free cages 1 and 2 and for free Auranofin and for FIN. The Fe(III) and Ga(III) cages alone displayed very moderate antiproliferative effects in all three cell lines (IC_{50} ranging from 40 to 90 μM) with cytotoxicity increasing slightly over the pH range of 7.5 to 6.2, especially in the cancer cell lines (IC_{50} s 48 to 79 μM after 24 h incubation). In comparison, cages with encapsulated FIN (1a and 2a) produced higher cytotoxicity than the free cages and showed selectivity towards cancer cells, especially at pH 6.2 compared to physiological pH. After a 24 h treatment, the Ga(III) and Fe(III) cages containing the gold guest exerted good potency against Caki-1 ($IC_{50} = 5.93 \pm 0.28 \mu\text{M}$ for Ga-FIN 1a, and $6.81 \pm 0.33 \mu\text{M}$ for Fe-FIN 2a) and CT26 cells ($IC_{50} = 4.78 \pm 0.35 \mu\text{M}$, for Ga-FIN 1a and $5.50 \pm 0.40 \mu\text{M}$ for Fe-FIN 2a) at pH 6.2 (Table 1 and Figure 3). The potency of complexes improved slightly after 72 h of incubation at pH 6.2. Under these conditions, IC_{50} values of $3.86 \pm 0.11 \mu\text{M}$ and $4.43 \pm 0.35 \mu\text{M}$ in Caki-1 cells and IC_{50} values of $3.28 \pm 0.24 \mu\text{M}$ and $3.77 \pm 0.28 \mu\text{M}$ in CT26 cells were observed for 1a and 2a, (Tables S1-S5). The improved antiproliferative effect at acidic pH was observed in the cancer cell lines, but much less so in the non-malignant lung fibroblast cells (Figure 3, S22). The free gold complexes, Auranofin and FIN showed very little selectivity for the cancer cell lines compared to normal cell

lines with all IC_{50} values decreasing slightly with pH for the free gold drugs.

pH Level	Ga-Cage (μM)	Fe-Cage (μM)	Ga-Fin Cage (μM)	Fe-Fin Cage (μM)	Auranofin (μM)	Fin (μM)
7.5	63.64 ± 1.34	71.03 ± 1.20	22.66 ± 1.50	29.71 ± 1.46	8.81 ± 0.73	9.31 ± 1.27
7.2	57.15 ± 1.97	65.60 ± 2.43	17.84 ± 1.34	22.53 ± 1.03	5.99 ± 0.40	7.20 ± 0.54
6.5	50.07 ± 2.31	59.05 ± 3.20	8.32 ± 0.99	10.28 ± 2.33	3.79 ± 0.40	5.58 ± 0.47
6.2	48.24 ± 3.51	53.01 ± 2.36	4.78 ± 0.35	5.50 ± 0.40	3.28 ± 0.65	4.13 ± 0.25

pH Level	Ga-Cage (1)	Fe-Cage (2)	Ga-FIN Cage (1a)	Fe-FIN Cage (2a)	Auranofin	Fin
7.5	65.97 ± 1.27	79.38 ± 1.59	23.92 ± 1.03	31.37 ± 1.19	7.35 ± 0.59	8.82 ± 0.87
7.2	59.76 ± 1.35	70.15 ± 1.87	18.87 ± 0.92	23.34 ± 0.71	5.33 ± 0.33	6.60 ± 0.44
6.5	53.32 ± 1.87	65.61 ± 2.17	10.33 ± 0.67	12.78 ± 1.88	4.71 ± 0.32	5.94 ± 0.38
6.2	50.02 ± 2.41	58.11 ± 1.90	5.93 ± 0.28	6.81 ± 0.33	3.46 ± 0.51	4.12 ± 0.17

Table 1. Mean IC_{50} values \pm SD (μM) on (top) CT26 cells and (bottom) Caki-1 cells after 24h incubation from three independent experiments. Cages (1 and 2) and metal-containing cages (1a and 2a) were dissolved in H_2O and Auranofin and Fin in DMSO (0.1%). Dilutions were performed in media.

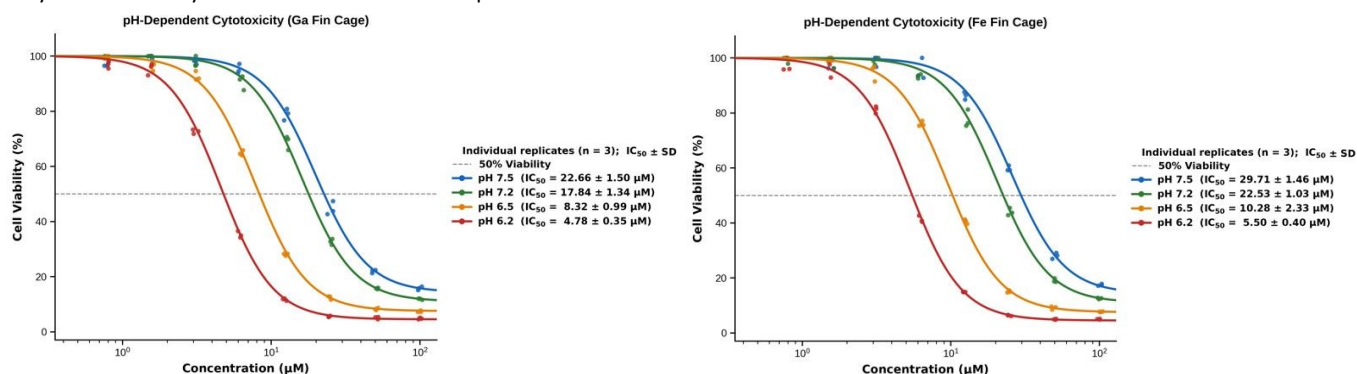


Figure 4. pH-dependent cell viability profile of (left) Ga-FIN (1a) and (right) Fe-FIN (2a) cages on CT26 cells at different concentrations (Presto-Blue assay). Curves were fitted using four-parameter logistic (4PL) regression. Individual data points from three independent experiments ($n = 3$) are shown. IC_{50} values \pm SD are reported in the legend; the dashed line indicates 50% viability.



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 ^{69}Ga and ^{197}Au quantification in cell lysates (ICP-MS)

Studies were carried out in murine colorectal cancer (CT26) cells to assess cellular uptake of FIN as a free drug or when encapsulated in the Ga(III) cage (**1**) or Fe(III) cage (**2**). Quantification of Au, or Ga was studied by using ICP-MS analysis upon digestion of the cells or organelles in concentrated acid. Analysis of experiments with **2a** were analyzed for Au but not Fe uptake due to the presence of native iron in the cells that would interfere with measurements.

Studies with the Fe(III) cages as carriers show that total Au cellular content is similar with free drug and with encapsulated FIN (**2a**) (Figure 5 & S27). Studies with the Ga(III) cage as a carrier had the advantage that the cage itself could be tracked and showed that Au was taken up into the cells both as free drug and when encapsulated in **1**. Surprisingly, the Ga content found in whole cells for experiments using the cage alone or as Ga-FIN (**1a**) was substantial. A possible explanation is that binding of the cage to human serum albumin may facilitate cellular uptake. Our previous studies showed that both **1** and **2** bind strongly to serum albumin.^{31, 42} The nearly 4:1 ratio of Ga to Au in whole cells is consistent with **1a** being taken up into the cells as a unit or with similar uptake of cage and FIN separately (Figure 5).

Sub-cellular fractionation was carried out to analyse the organelles for Au content. Notably, cytoplasmic Au content was about two-fold higher for free drug than with either **1** or **2** as a carrier (Figure 5, S27, S28). Very little gold was measured in the mitochondria with or without the Ga(III) or Fe(III) cages as carriers. However, gold content increased in the nucleus with both **1** and **2** cages as carriers. This corresponds to the large amount of Ga found in the cell nucleus and suggests that gold is transported into the nucleus by the cage. Differences in uptake at pH 7.2 and 6.2 over 24 hours were minimal for these two pH values.

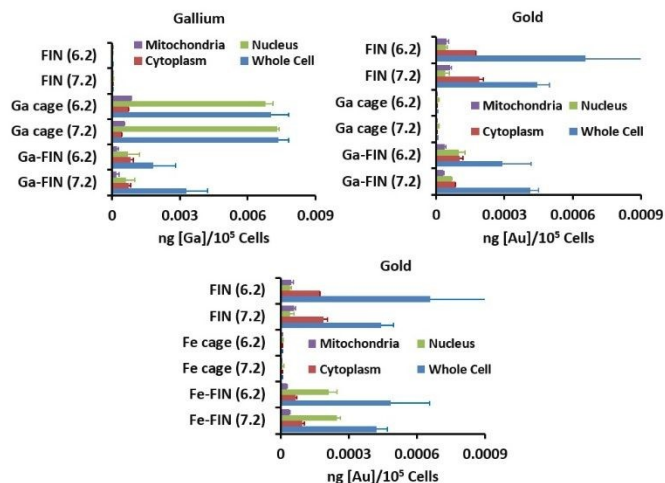


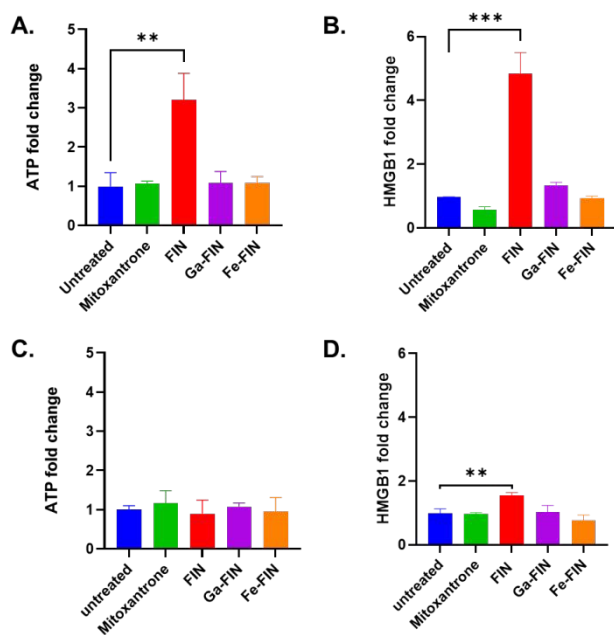
Figure 5. Cellular internalization of Ga and Au from **1a** (Ga-FIN), **2a** (Fe-FIN), native Ga cage (**1**) in whole CT26 cells, cytoplasm, nucleus and mitochondria at pH 7.2 and 6.2 as measured by ICP-MS. The respective pH values are given in parentheses.

Immunogenic cell death studies

Immunogenic cell death (ICD) is a form of regulated cancer cell death that elicits an adaptive immune response against tumor cells. This phenomenon is defined by the emission of damage-associated



molecular patterns (DAMPs), notably the extracellular release of



Compounds	IC ₅₀ at pH 6.5 (μM) 24h	IC ₅₀ at pH 7.2 (μM) 24h
Mitoxantrone	1.84 ± 0.37	4.17 ± 0.38
FIN	5.94 ± 0.38	7.7 ± 0.28
Ga-FIN	10.33 ± 0.67	18.87 ± 0.92
Fe-FIN	12.78 ± 1.88	23.34 ± 0.71

Figure 6. Extracellular release of ATP and HMGB1 using IC₅₀ concentrations of compounds and 24 h incubation. A) Release of ATP at pH = 7.2; B) release of HMGB1 at pH = 7.2; C) Release of ATP at pH = 6.5; D) release of HMGB1 at pH = 6.5. Data represents SD from n=3 independent biological replicates. Statistical analysis was performed using unpaired student t-test and a *p* value <0.05 is considered statistically significant. ***p* < 0.0021, ****p* < 0.0002.

adenosine triphosphate (ATP), secretion of high mobility group box 1 protein (HMGB1), and the translocation of calreticulin (CRT) to the plasma membrane. These immunostimulatory signals facilitate dendritic cell recruitment and maturation, enhance antigen uptake and processing, and promote the activation of tumor-specific T lymphocytes, thereby coupling direct tumor cell killing with the establishment of immunological memory.^{52,53} Notwithstanding its therapeutic relevance, the repertoire of clinically validated ICD-inducing agents remains narrow. Only a small subset of FDA-approved chemotherapeutics including anthracyclines (doxorubicin, mitoxantrone), platinum agents (oxaliplatin), and others (such as Lurbinectedin, Bortezomib, and Cyclophosphamide) have been shown to reliably trigger ICD.^{52,53,54,55} However, their clinical performance is frequently limited by the emergence of drug

resistance, dose-limiting toxicities, and insufficient tumor selectivity.⁵⁶ These limitations underscore the need to develop next-generation ICD inducers that operate through alternative molecular pathways and retain efficacy in recalcitrant malignancies such as clear cell renal cell carcinoma (ccRCC).

Gold compounds are emerging as potential ICD inducers,^{34,57,58,59,60,61,62,63,64,65} but no studies have been reported for metal-based anticancer agents encapsulated in nanocarriers. Immunogenic cell death in clear cell renal carcinoma remains largely underexplored, yet it holds significant potential for informing the development of novel therapeutic strategies.⁶⁶ Mitoxantrone in combination with cholesteryl indoximod in a liposomal combination has been found to have a limited effect in tumor growth in a renal cancer syngeneic model (RENCA) despite the efficient release of DAMPs and CRT translocation of Mitoxantrone.⁶⁷ Promising results (tumor volume decrease and lack of systemic toxicity) in ccRCC mice models with heterometallic compounds containing molecules like FIN encouraged us to explore the ICD potential of FIN free and encapsulated in the Ga(III) and Fe(III) cages. Here we evaluate the release of extracellular ATP and HGMB1 as well as the translocation of CRT in ccRCC caki-1 cells treated with IC₅₀ concentrations of FIN, Ga-FIN and Fe-FIN for 24 h. We carried out the incubation at pH = 7.2 and pH = 6.5 and evaluated mitoxantrone as a positive control for ICD. The results of the release of extracellular ATP and HMGB1 for the two pH values are collected in Figure 6.

Extracellular ATP release was quantified in Caki-1 clear cell renal carcinoma (ccRCC) cells following 24-hour treatment with FIN, Ga-FIN, and Fe-FIN complexes at their respective IC₅₀ concentrations under two conditions, acidic (pH 6.5) and physiological (pH 7.2). Supernatants were collected, and ATP levels were measured using a luciferase-based luminescence assay. FIN and positive control Mitoxantrone induced the highest levels of ATP release among the tested compounds, while Ga-FIN (**1a**) and Fe-FIN (**2a**) cages did not trigger detectable ATP secretion above baseline levels at pH 7.5 (Fig. 6a). HMGB1 release was evaluated using an ELISA assay to measure its release from the nucleus to the extracellular medium in Caki-1 cells. Treatment with FIN led to the higher HMGB1 release, while mitoxantrone, Ga-FIN (**1a**) and Fe-FIN (**2a**) did not show release relative to untreated controls (Figure 6b).

The cages release gold compounds at lower pH, so these experiments were also performed at pH = 6.5. At this slightly acidic pH, the release of ATP and HGMB1 decreases considerably for FIN and there is not much variation for mitoxantrone, the Ga-FIN and Fe-FIN cages. Mitoxantrone is known to be less cytotoxic at lower pH as it dimerizes, inhibiting cellular uptake and resulting in accumulation of cells in G₁ phase in breast and bladder, where they may be more resistant to mitoxantrone and related molecules.^{68,69} However these effects are dose dependent. In caki-1 we see an increase in cytotoxic potential and no changes while decreasing the pH from 7.2 to 6.5. For FIN the cytotoxicity also increases slightly while decreasing the pH, but the release of DAMPs decreases significantly. To elucidate these results, NMR experiments were carried out to study the



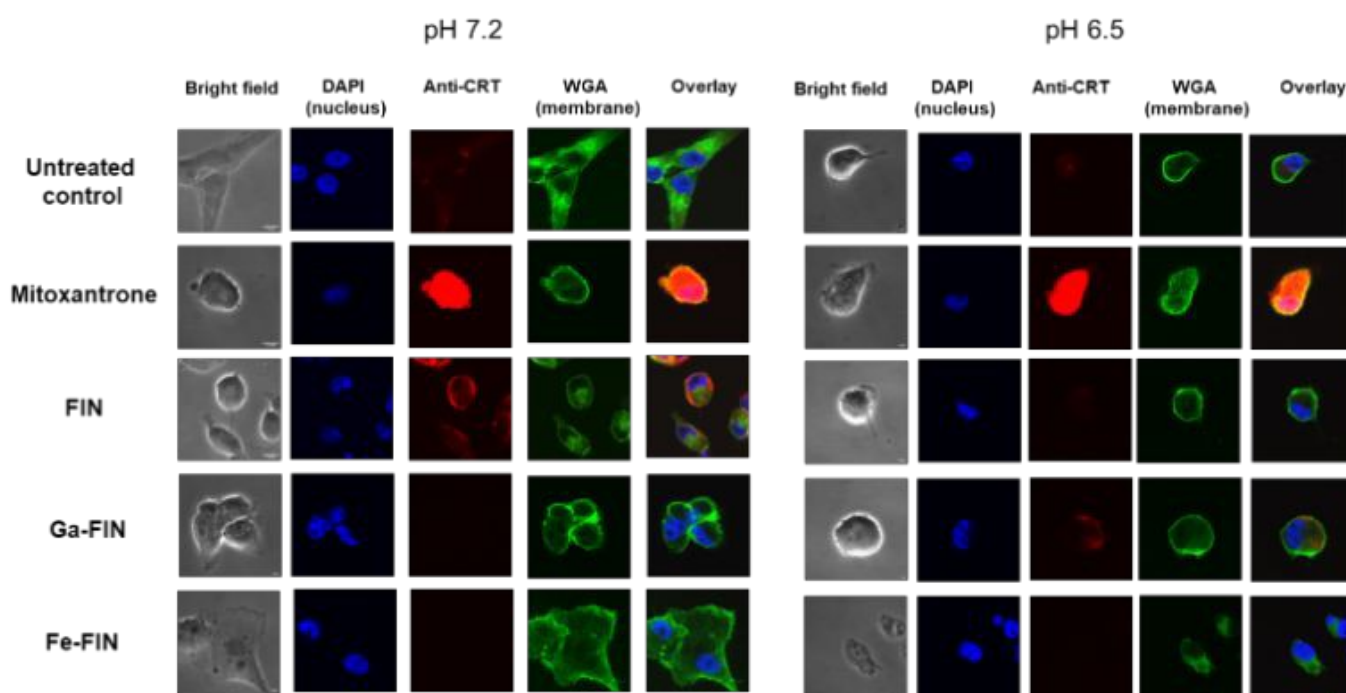
speciation of FIN under mildly acidic conditions. Upon decreasing the pD value from 7.2 to 6.5, minor resonances appear in the aromatic region of the NMR spectrum (Figure S29). These are likely due to dissociation of the thiol ligand and subsequent formation of $[\text{Au}(\text{PET}_3)_2]^+$. This was also corroborated with ^{31}P NMR where a new resonance appears at 47.1 ppm amounting to 15% along with the original major peak at 38.1 ppm assigned to the bis-phosphine complex and FIN complex respectively (Figure S30). However, most of the FIN complex remains intact under these conditions, suggesting that other factors are important in the ICD response.

Calreticulin (CRT) is predominantly endoplasmic reticulum-localized but undergoes membrane translocation during ICD, resulting in surface exposure as ecto-CRT.⁷⁰ CRT translocation to the cell surface is one of the main hallmarks of immunogenic cell death (ICD), functioning as an "eat-me" signal that promotes dendritic cell phagocytosis of dying tumor cells. To evaluate this response, Caki-1 renal cancer cells were treated with the selected Au(I) compounds at their respective IC_{50} concentrations for 24 hours. Following treatment, cells were stained with anti-CRT antibody and analyzed via fluorescence microscopy (Figure 7) as reported by Babak and coworkers in MPM tumors.³⁴ At pH = 7.2, FIN treatment resulted in prominent CRT exposure at the plasma membrane, as evidenced by co-localization of CRT signal with WGA staining. In contrast, Ga-FIN

(1a) and Fe-FIN (2a) cages did not induce detectable CRT translocation. Untreated cells exhibited minimal CRT exposure.

As described for other ccRCC cells, the positive control mitoxantrone induced high CRT exposure. At pH 6.5, FIN treatment did not result in noticeable CRT exposure to the plasma membrane. However, when encapsulated in the Ga cage (1a) showed slight exposure of CRT. Fe-FIN (2a) cages did not induce detectable CRT translocation. Further studies will address more time points and pH, to find the optimal translocation conditions. It is important to note that in epithelial cancer cell lines such as Caki-1 (human clear cell renal carcinoma), exposure to cytotoxic agents or targeted therapies can lead to the disruption of the cell cycle, which can cause cells to lose their ability to adhere to the culture surface. Cell rounding is a sign of stress, and cell detachment or changes in cell shape indicate dying cells or cells in a resistant state (as observed for cells treated with the compounds, Figure 7).^{71,72} In addition, extracellular pH is a critical factor in cellular processes. This directly affects metabolic activity, enzymatic function, and cytoskeleton polymerization.⁷³ Generally, the optimal pH range of 7.2 to 7.4 is required for normal, healthy morphology. Variations lead to stress, causing cells to round up, shrink or detach from the surface of the flask. These effects can be observed for some of the treated cells.

Figure 7. Representative immunofluorescence images showing ecto-calreticulin (CRT) exposure in Caki-1 cells after 24-hour treatment with the specific compounds at their respective IC_{50} concentrations under neutral (pH 7.2) and mildly acidic conditions (pH 6.5). Cells were stained with anti-CRT antibody (detect surface-exposed CRT), wheat germ agglutinin (WGA) staining the plasma membrane, and DAPI to stain the nuclei. $n = 2-3$ independent replicates. Images were acquired by confocal microscopy using identical acquisition settings across all conditions. Scale bar is 10 μm .



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Collectively, these results indicate that, while FIN is a potent inducer of immunogenic cell death at pH 7.2, at mildly acidic pH its ICD properties significantly decrease (especially CRT translocation). Encapsulation in cages (as shown by Ga-FIN **1a**) may preserve its ICD potential.

Conclusions

Two Au(I) triethyl phosphine complexes (FIN and ethyl-FIN) containing aryl thiolate ligands were studied here as guests within tetrahedral Ga(III) or Fe(III) cages. FIN and related [Au(mba)L] (L = PR₃, NHC) compounds are of interest as components of Ti-Au anticancer drugs and antibody drug conjugates in cell culture and in animal tumor models.^{38,45} Moreover, FIN has displayed relevant anticancer properties as a free compound.⁴⁴ Solution studies of **1a**, **2a** and **1b** suggest that the FIN or EthylFIN complexes are released at slightly acidic pH values. Previously, we showed that a related gold complex was also released at weakly acidic pH values.⁵ The similarity of the pH of release for the three complexes suggests that the aqueous interior of the cage is altered as the external pH is changed, and that this cavity environment is less favourable to Au(I) complex encapsulation. For the Fe(III) cage, protonation of the catechol groups may play a role in the change of environment, but the Ga(III) cage shows no such change in protonation state.

The host-guest complexes of the cages with Au(I) drugs discussed here have excellent water solubility (millimolar) and are compatible for studies in cell culture as drug carriers. Whereas the free gold complexes, Auranofin and FIN, were highly cytotoxic, there was little selectivity for cancer cells compared to normal cells. In comparison, the cages alone showed little cytotoxicity towards either normal or cancer cells. The cages **1** and **2** with encapsulated FIN showed an intermediate toxicity towards the two cancer cell lines at neutral pH and maintained selective toxicity towards cancer cells. Cytotoxicity increased as pH was lowered for Au(I) complex alone, cage alone and for the host-guest complexes of cage with encapsulated FIN. However, the effect was most pronounced for the encapsulated FIN complexes (**1a** and **2a**). At a pH of 6.2, the FIN complex encapsulated in the Fe(III) cage or in the Ga(III) cage showed IC₅₀ values of 3–4 μM towards the cancer cell lines. Importantly, the Fe(III) cage or Ga(III) cage with encapsulated FIN gave the same potent cytotoxicity at pH 6.2 as did free FIN, but with a much higher selectivity for killing cancer cells. The greater cytotoxicity at acidic pH may be attributed to greater release of the gold complex from the cage. Our studies also demonstrated that while FIN displays relevant immunogenic cell death properties at pH 7.2 in ccRCC caki-1 cells, at a mildly acidic pH (6.5) the release of DAMPs and translocation of CRT are diminished.

However, when encapsulated in the Ga(III) cage (**1a**) at this pH, there is still translocation of CRT, indicating that these water-soluble cages can indeed serve as useful delivery carriers for anticancer gold(I) drugs.

The tetrahedral Fe(III) cages are of interest as MRI probes that accumulate in murine tumor models over a 1 to 24 hour period and function as hosts for metalldrugs.⁵ The role of the coordination cage was to carry the metalldrug to the tumor site and release the drug under the acidic pH values in tumor tissue. However, studies here show that the Ga(III) cage, as an isostructural model for the Fe(III) cage, is taken up into cancer cells over a 24-hour period. If the Fe(III) cages are similarly taken up into cells, then their role as MRI contrast agents merits further consideration. MRI probes are normally expected to clear rapidly and the large negative charge on the cages was expected to prevent their cellular uptake. Given their avid binding to serum albumin, the cages may become bound to protein to facilitate cellular uptake over a 24-hour period. Thus, the cages may not only carry the Au(I) drugs by accumulation in tumors, but they may also facilitate the uptake of the Au(I) drug into cells and influence the organelle distribution. These data suggest that future studies should focus on modification of the cage properties to modulate protein binding and to determine the effect of the modifications on cell toxicity, accumulation and animal biodistribution.

Gold-based metallacages hold significant promise for future biomedical applications, as rational design strategies^{74,75} can mitigate key limitations such as poor solubility and limited targeting while enhancing delivery and biological efficacy. Continued progress in structural tuning and a deeper understanding of their interactions in complex biological environments will likely expand their scope and enable the development of more precise and multifunctional therapeutic and diagnostic platforms.⁷⁶

Conflicts of interest

There are no conflicts to declare.

Data availability

The data supporting this article (such as Instruments and methods, Experimental sections, Schemes S1 to S2, Fig. S1 to S30, and Tables S1 to S5) have been included as part of the supplementary information (SI). Supplementary information is available.



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Data availability

The datasets supporting this article have been uploaded as part of the ESI. Additional data will be provided by the authors upon reasonable request.

