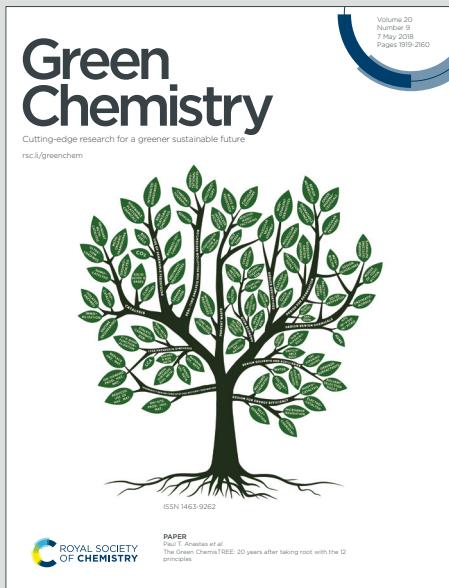


Green Chemistry

Cutting-edge research for a greener sustainable future

Accepted Manuscript



This is an Accepted Manuscript, which has been through the Royal Society of Chemistry peer review process and has been accepted for publication.

Accepted Manuscripts are published online shortly after acceptance, before technical editing, formatting and proof reading. Using this free service, authors can make their results available to the community, in citable form, before we publish the edited article. We will replace this Accepted Manuscript with the edited and formatted Advance Article as soon as it is available.

You can find more information about Accepted Manuscripts in the [Information for Authors](#).

Please note that technical editing may introduce minor changes to the text and/or graphics, which may alter content. The journal's standard [Terms & Conditions](#) and the [Ethical guidelines](#) still apply. In no event shall the Royal Society of Chemistry be held responsible for any errors or omissions in this Accepted Manuscript or any consequences arising from the use of any information it contains.

Green Foundation box

1. This palladium-catalyzed asymmetric sequential hydroalkylation/hydroamination of 1,3-enynes achieves 100% atom economy under redox-neutral conditions, minimizing waste by incorporating all atoms of the starting materials into the final products.
2. This asymmetric sequential hydrofunctionalization cyclization can install two distinct functional groups across the unsaturated hydrocarbons in one-pot with excellent step-economy.
3. The enantioenriched pyrido[1,2- α]indoles and derivatives are constructed in high efficiency with excellent chemo-, enantio-, and diastereo-selectivity (up to >20:1 rr, >20:1 dr, 97% ee). The gram-scale capability further underscores the practical efficiency and sustainability of this methodology.



ARTICLE

Palladium-Catalyzed Asymmetric Sequential Hydroalkylation and Hydroamination of 1,3-Enynes with 3-Hydroxyindoles

Received 00th January 20xx,
Accepted 00th January 20xx

DOI: 10.1039/x0xx00000x

Quiyu Li,^{†a} Ruixue Wu,^{†a} Tianbao Wu,^{†b} Renkang Wei,^a Zhijiao Li,^a Shang Gao,^a Minyan Wang,^{*b} Hequan Yao,^{*a} and Aijun Lin^{*a}

Transition-metal catalyzed asymmetric hydrofunctionalization of unsaturated hydrocarbons has emerged as an efficient method to access diverse chiral value-added compounds. In contrast, the asymmetric sequential hydrofunctionalization cyclization, which could incorporate two functional groups across carbon-carbon multiple bonds to construct chiral complex cyclic compounds, has been only sporadically explored. Herein, we report a palladium-catalyzed asymmetric sequential hydroalkylation and hydroamination of readily available 1,3-enynes with 3-hydroxyindoles. This redox-neutral process provides an efficient route to construct a broad spectrum of enantioenriched pyrido[1,2- α]indole and derivatives with high atom- and step-economy. Preliminary mechanistic investigations reveal that this transformation proceeds via an intermolecular enyne hydroalkylation pathway to produce an allene intermediate. Subsequent intramolecular hydroamination of the allene intermediate occurs via an axial-to-center chirality transfer process. Density functional theory (DFT) studies were conducted to probe the origins of enantioselectivities.

Introduction

With the increasing concerns about environmental sustainability, preparing value-added molecules such as bioactive compounds and pharmaceuticals, from readily available materials in a redox-neutral, atom- and step-economical manner has been a hot topic to the synthetic and medicinal communities.¹ One of the versatile strategies to achieve this goal is to develop transition-metal catalyzed hydrofunctionalization of unsaturated hydrocarbons, which provides the synthetic valuable products in 100% atomic utilization.² Over the past decades, great efforts have been made in the catalytic asymmetric hydrofunctionalization of alkenes,³ alkynes,⁴ allenes,⁵ and dienes,⁶ facilitating the construction of alkyl and allyl compounds. Recently, the asymmetric hydrofunctionalization of conjugated enynes has garnered attention and warrants further study. In this context, the groups of Buchwald, Hoveyda, Malcolmson, Engle, He and Luo, have independently gained elegant achievements for the synthesis of optically pure allene and propargyl compounds (Scheme 1a).⁷ However, these advancements have predominantly concentrated on the introduction of a single functional motif onto the unsaturated hydrocarbons.

Sequential reaction, which could incorporate several transformations into one single sequence, represents a powerful strategy to rapidly install two or more functional groups to construct complex molecules in a step-economical fashion.⁸ In this regard, asymmetric sequential hydrofunctionalization of conjugated enynes provides a unique approach to assemble structurally diverse chiral cyclic compounds. Nevertheless, only a few asymmetric variants have been disclosed to date. In 2021, the Shao group developed a palladium-catalyzed sequential hydroalkylation of 1,3-enynes (double C-C bonds formation) to produce a panel of chiral spiro compounds in good yields.⁹ In 2022, Meng and coworkers described a Co-catalyzed sequential hydrosilylation of 1,3-enynes (double C-Si bonds formation) to generate enantioenriched cyclic alkenylsilane scaffolds with high level of enantioinduction.¹⁰ In addition, our group reported a Pd-catalyzed sequential hydroamination of 1,3-enynes and ureas via the formation of double C-N bonds, yielding valuable chiral imidazolidinone architectures (Scheme 1b).¹¹ Despite these progresses, the installation of two distinct functional groups via the asymmetric sequential hydrofunctionalization of enynes has thus far proven elusive.¹²

As an extension of our interest in the catalytic hydrofunctionalization of unsaturated hydrocarbons,^{11,13} we then seek to integrate hydroalkylation and hydroamination with 3-hydroxyindoles as the nucleophiles, fulfilling the more challenging asymmetric sequential hydrofunctionalization of 1,3-enynes (Scheme 1c). However, realization of this procedure in a one-pot synthesis presents several sticky issues. (1) The reaction might terminate prematurely at the first stage, leading to the formation of allene or diene products. (2) Both 3-hydroxyindoles and 1,3-enynes contain multiple reaction sites, complicating the precise control over

^a State Key Laboratory of Natural Medicines (SKLMN) and Department of Medicinal Chemistry, School of Pharmacy, China Pharmaceutical University, Nanjing, 210009, P. R. China. E-mail: hyao@cpu.edu.cn; ajlin@cpu.edu.cn

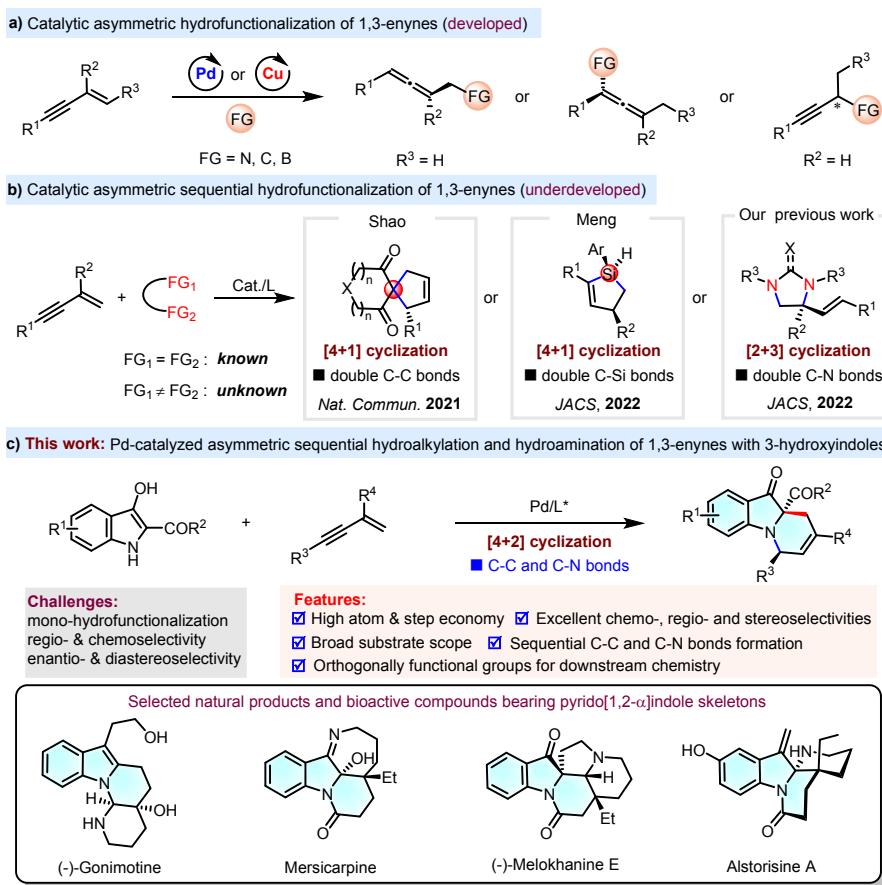
^b State Key Laboratory of Coordination Chemistry, School of Chemistry and Chemical Engineering, Nanjing University, Nanjing 210093, China

[†] These authors contributed equally to this work.

Electronic Supplementary Information (ESI) available: [details of any supplementary information available should be included here]. See DOI: 10.1039/x0xx00000x



Scheme 1. Catalytic Asymmetric Hydrofunctionalization of 1,3-Enynes.

View Article Online
DOI: 10.1039/D5GC05558J

chemo- and regioselectivity. (3) Achieving control over the enantioselectivity and diastereoselectivity of the products poses an additional challenge.

Herein, we report an unprecedented palladium-catalyzed asymmetric sequential hydroalkylation and hydroamination reaction between 3-hydroxyindoles and 1,3-enynes to give optically pure pyrido[1,2- α]indole and derivatives in good efficiency. This process demonstrates excellent regio-, chemo-, and stereoselectivities, along with commendable atom- and step-economy. These scaffolds constitute a structurally fascinating part of indole alkaloids, which are prevalent in a variety of natural products and bioactive compounds.¹⁴ Preliminary mechanistic studies disclose this consecutive reaction proceeds through an intermolecular enyne hydroalkylation pathway to give an allene intermediate. The subsequent intramolecular hydroamination of the allene intermediate involves an axial-to-center chirality transfer process.

Results and discussion

Optimization Studies of Racemic Process. To validate the feasibility of this strategy, we commenced our investigation on the racemic process with commercially available 3-hydroxyindole-2-carboxylate **1a** and enyne **2a** as the model substrates. Employing Pd(OAc)₂ as the catalyst, Xantphos as the ligand, PhCOOH as the acid additive and DCM as the reaction medium, we successfully isolated product **3aa** in 30% yield with 10:1 dr (Table 1, entry 1). Subsequent optimization identified that Pd(acac)₂ or [Pd(π -allyl)Cl]₂ as the catalyst, toluene as the solvent significantly enhanced both

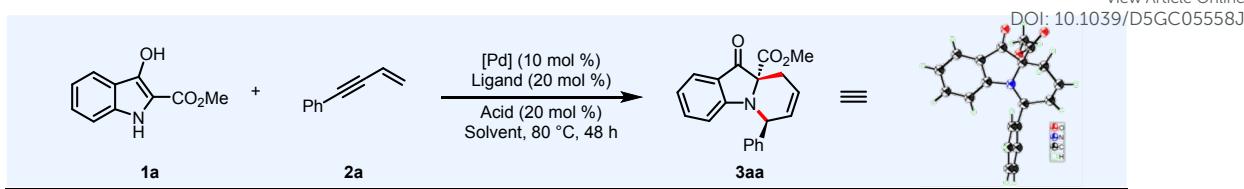
productivity and diastereoselectivity (Table 1, entries 2-5).

Table 1. Optimization of the Racemic Reaction Conditions.^{a-c}

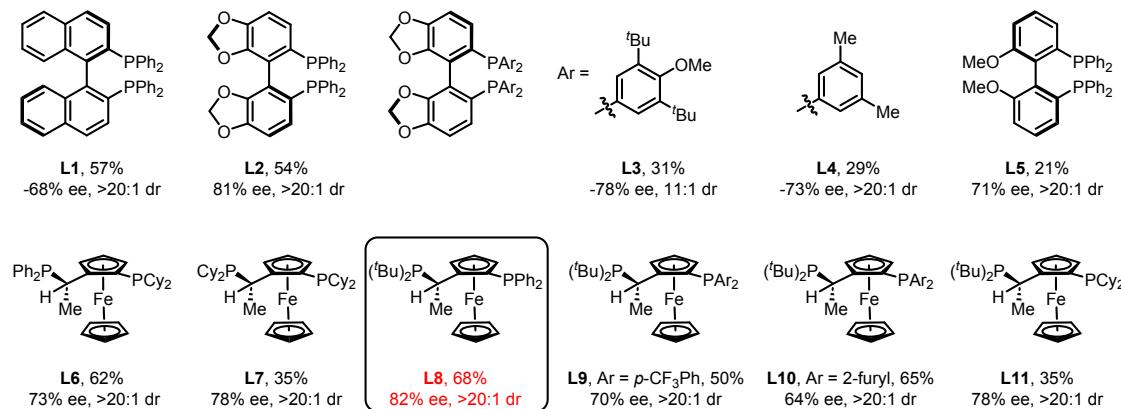
Entry	[Pd] source	Solvent	Yield (%)	dr
1	Pd(OAc) ₂	DCM	30	10:1
2	Pd(OAc) ₂	1,4-Dioxane	54	15:1
3	Pd(OAc) ₂	toluene	59	13:1
4	Pd(acac) ₂	toluene	65	>20:1
5	[Pd(π -allyl)Cl] ₂	toluene	75	>20:1

^aStandard conditions: **1a** (0.2 mmol), **2a** (0.4 mmol), [Pd] (10 mol %), Xantphos (20 mol %), PhCOOH (20 mol %), solvent (1.0 mL), at 80 °C under Ar atmosphere for 48 h. ^bIsolated yield. ^cThe dr values were determined by crude ¹H NMR.

Optimization Studies of Asymmetric Process. Inspired by the success in the racemic transformation, we endeavored to interpret this transformation into an enantioselective process. Combined with [Pd(π -allyl)Cl]₂ as the catalyst, PhCOOH as the acid additive and toluene as the solvent, a variety of chiral BINAP-type bisphosphines were evaluated (Table 2, **L1-L4**). When (*S*)-SEGPHOS (**L2**) was employed as the chiral ligand, product **3aa** could be achieved in 54% yield with 81% ee and >20:1 dr. Modifying the electronic and steric properties of the ligands resulted in inferior outcomes (**L3** and **L4**).

Table 2. Optimization of the Asymmetric Reaction Conditions^{a-c}

with $[\text{Pd}(\pi\text{-allyl})\text{Cl}]_2$ as the catalyst, PhCOOH as an acid additive and toluene as the solvent



entry	[Pd] source	solvent	additive	T/°C	yield (%)	ee (%)	dr
1	$[\text{Pd}(\pi\text{-allyl})\text{Cl}]_2$	toluene	PhCOOH	80	68	82	>20:1
2	$[\text{Pd}(\pi\text{-allyl})\text{Cl}]_2$	PhCF_3	PhCOOH	80	75	86	>20:1
3	$[\text{Pd}(\pi\text{-allyl})\text{Cl}]_2$	Mesitylene	PhCOOH	80	76	82	>20:1
4	$[\text{Pd}(\pi\text{-allyl})\text{Cl}]_2$	THF	PhCOOH	80	65	67	15:1
5	$\text{Pd}(\text{dba})_2$	PhCF_3	PhCOOH	80	71	86	>20:1
6	$\text{Pd}(\text{OAc})_2$	PhCF_3	PhCOOH	80	63	81	>20:1
7	$\text{Pd}(\text{acac})_2$	PhCF_3	PhCOOH	80	72	84	>20:1
8	$[\text{Pd}(\pi\text{-allyl})\text{Cl}]_2$	PhCF_3	4-F-C ₆ H ₄ COOH	80	70	85	>20:1
9	$[\text{Pd}(\pi\text{-allyl})\text{Cl}]_2$	PhCF_3	$\text{PhMe}_2\text{CCOOH}$	80	53	82	>20:1
10	$[\text{Pd}(\pi\text{-allyl})\text{Cl}]_2$	PhCF_3	Ph_3CCOOH	80	67	84	>20:1
11	$[\text{Pd}(\pi\text{-allyl})\text{Cl}]_2$	PhCF_3	1-AdCOOH	80	65	82	>20:1
12	$[\text{Pd}(\pi\text{-allyl})\text{Cl}]_2$	PhCF_3	PhCOOH	60	71	90	>20:1
13	$[\text{Pd}(\pi\text{-allyl})\text{Cl}]_2$	PhCF_3	PhCOOH	50	68	90	>20:1
14	$[\text{Pd}(\pi\text{-allyl})\text{Cl}]_2$	PhCF_3	PhCOOH	40	63	92	>20:1
15	$[\text{Pd}(\pi\text{-allyl})\text{Cl}]_2$	PhCF_3	PhCOOH	30	60	95	>20:1
16 ^d	$[\text{Pd}(\pi\text{-allyl})\text{Cl}]_2$	PhCF_3	PhCOOH	30	86 (84) ^e	95	>20:1
17 ^d	$[\text{Pd}(\pi\text{-allyl})\text{Cl}]_2$	PhCF_3	/	30	23	80	>20:1

^aReaction conditions: **1a** (0.2 mmol), **2a** (0.4 mmol), [Pd] (10 mol %), ligand (20 mol %) and acid (20 mol %) in solvent (1.0 mL), under argon atmosphere. ^bYields of **3aa** were determined by GC with dodecane as the internal standard. ^cThe dr and ee values were determined by chiral HPLC analysis. ^d 72 h. ^e Isolated yield.

Upon assessing biphenyl-type and JosiPhos-type chiral ligands, **L8** emerged as the optimal, furnishing **3aa** in 68% yield with 82% ee. Solvent screening indicated that PhCF_3 provided the best results (Table 2, entries 1-4). Exploration of the palladium catalysts returned that $[\text{Pd}(\pi\text{-allyl})\text{Cl}]_2$ was the most promising one (Table 2, entries 5-7). Different types of acids were then examined, but no superior yield and enantioselectivity were obtained (Table 2, entries 8-11). Next,

lowering the reaction temperature can efficiently boost the ee values (Table 2, entries 12-15), and the enantioselectivity could be increased to 95% when the reaction was carried out at 30 °C (Table 2, entry 15). Elongation of the reaction time to 72 h afforded the product **3aa** in 84% isolated yield with 95% ee and >20:1 dr (Table 2, entry 16). Notably, in the absence of acidic additive, the transformation still proceeded, albeit with reduced yield and

enantioselectivity (Table 2, entry 17), underscoring the critical role of the acidic additive in this reaction. The absolute configuration of **3aa** was confirmed by X-ray diffraction and those of others were assigned by analogy.

Substrate Scope in Asymmetric Transformation. Having determined the optimal conditions, we then investigated the substrate scope of 3-hydroxyindoles. As illustrated in Table 3, a preliminary examination of ester groups at C2-position was initially performed. Switching methyl group to other alkyl groups, such as ethyl and benzyl, this reaction could proceed smoothly as well, and delivered **3ba** and **3ca** in 74% and 73% yields, respectively. Bulkier tertiary butyl group was also compatible with this catalytic system, yielding product **3da** in 63% yield with 91% ee and 14:1 dr. However, amide-substituted product **3ea** could be only isolated in 43% yield even under 60 °C for 5 days, with 50% starting material recovered.

Table 3. Substrate Scope of 3-Hydroxyindoles^{a,c}

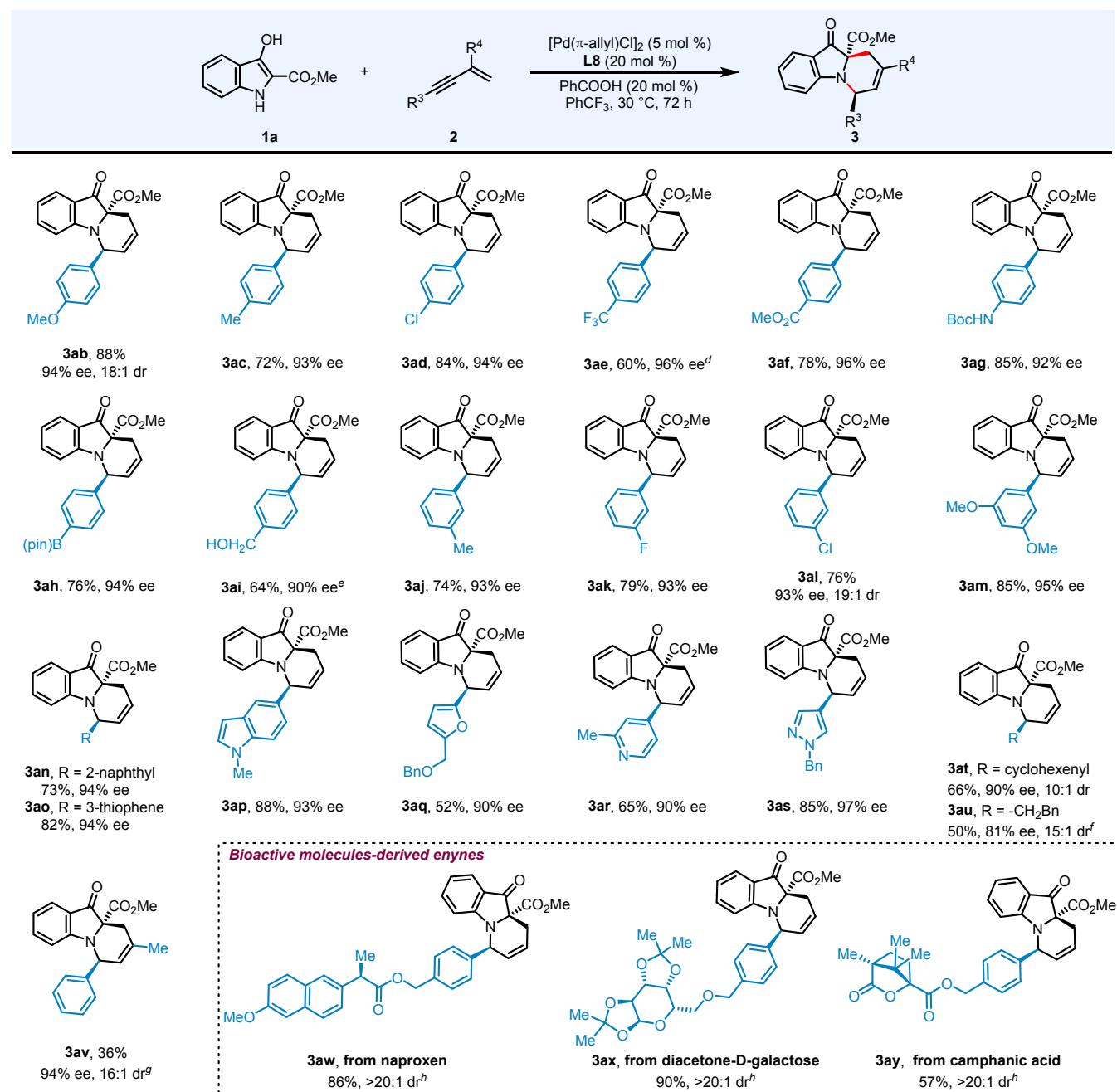
Reaction conditions: **1** (0.2 mmol), **2a** (0.4 mmol), **[Pd(π-allyl)Cl]₂** (5 mol %), **L8** (20 mol %) and **PhCOOH** (20 mol %) in **PhCF₃** (1.0 mL), 30 °C, 72 h, under argon atmosphere. All products were obtained with >20:1 dr, unless otherwise stated.

^bIsolated yield. ^cThe dr and ee values were determined by chiral HPLC analysis. ^d60 °C, 5 d. ^e96 h.

Both electron-donating (-Me, -OMe) and electron-withdrawing (-F, Cl, -CO₂Me) substituents at various positions of the aromatic rings were well tolerated, generating products **3fa-n** in 61-88% yields with 93-96% ee and >20:1 dr. In addition, polyaromatic fused rings, such as substrate **1oa** underwent this sequential hydroalkylation and hydroamination reaction smoothly, furnishing **3oa** in 84% yield with 94% ee.

Subsequently, we directed our focus towards exploring the substrate scope of conjugated enynes (Table 4). The reaction involving enynes with electron-donating and electron-withdrawing substituents at the para-position of the phenyl ring generated chiral polycyclic indolines **3ab-ad** and **3af** in 72-88% yields with 93-96% ee. The introduction of a trifluoromethyl group was also tolerated, delivering product **3ae** in 50% yield, albeit with concomitant formation of a diene byproduct. Functional groups such as NHBOC (**3ag**), Bpin (**3ah**) and unprotected hydroxyl (**3ai**) were all well accommodated, maintaining the good yields (64-85%) and stereoselectivities (90-94% ee, >20:1 dr). Excellent outcomes were also observed when *meta*-methyl, fluoride, chlorine and 3,5-dimethoxy were incorporated on the phenyl ring. In addition to aryl moieties, other fused arenes and heterocycles, such as naphthalene (**2n**), thiophene (**2o**), indole (**2p**), furan (**2q**), pyridine (**2r**) and parazole (**2s**) were all compatible with this transformation, delivering the desired products **3an-as** in 52-88% yields with 90-97% ee. Switching the aromatic rings to cyclohexene motif, this reaction performed smoothly as well and compound **3at** was isolated in 66% yield with 90% ee. Efforts to broaden the diversity of enyne substrates, such as alkyl enynes and those with substituents at R⁴, proved unsuccessful under the standard conditions. Under slightly modified conditions (with **L9** as the chiral ligand), **3au** was obtained in 50% yield and 81% ee, while the diene byproduct was also formed. In addition, utilizing **L2** as the chiral ligand enabled the reaction of **2v** to generate **3av** with good stereoselectivity, although in lower yield at the expense of concurrent diene byproduct formation. The practicality of this protocol was assessed in the late stage sequential hydrofunctionalization of enynes derived from diverse biologically active molecules. Specifically, the reaction proceeded smoothly with enynes tethered to pharmaceuticals such as naproxen (**2w**), diacetone-D-galactose (**2x**), and camphanic acid (**2y**) to produce the target adducts in 57-90% yields with >20:1 dr.

Mechanistic Studies. Based on previous reports,^{7f-h,9,11} we hypothesized that the intermolecular hydroalkylation of 3-hydroxyindole **1** and enyne **2** should form an allene intermediate. Subsequent intramolecular hydroamination of the allene was expected to produce the corresponding polycyclic indoline **3**. To verify this hypothesis, reaction of **1a** with enyne **2a** were carried out under the standard conditions and quenched after 12 h. Then, the product **3aa** was isolated in 23% yield, with considerable amounts **1a** remaining. However, the allene intermediate **4** was not detected (Scheme 2a). Furthermore, we attempted the synthesis of allene intermediate under the condition of **Pd(acac)₂** and **Xantphos**. When the reaction was performed at 50 °C, *rac*-**3aa** was formed in 20% yield, along with diene *rac*-**5** in 55% yield. Again, allene *rac*-**4** was not detected (Scheme 2b). To gain insight into the reaction process, we prepared enantioenriched allene **4** via palladium-catalyzed allylic reaction of allenyl carbonate (see ESI for more details).¹⁵ Treatment

Table 4. Substrate Scope of 1,3-Enynes.^{a-c}

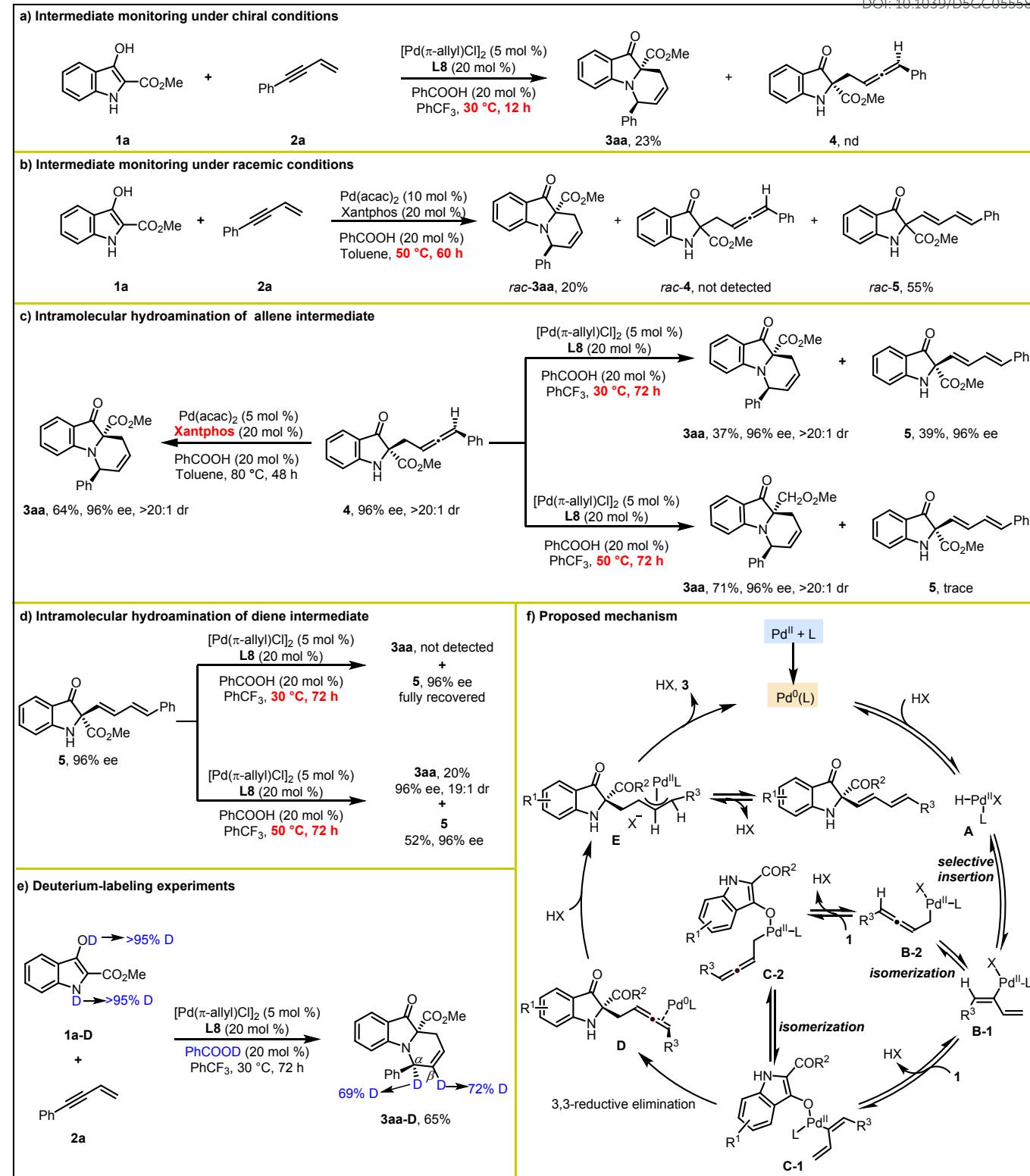
^aReaction conditions: **1a** (0.2 mmol), **2** (0.4 mmol), **[Pd(π-allyl)Cl]₂** (5 mol %), **L8** (20 mol %) and PhCOOH (20 mol %) in PhCF₃ (1.0 mL), 30 °C, 72 h, under argon atmosphere. All products were obtained with >20:1 dr, unless otherwise stated. ^bIsolated yield. ^cThe dr and ee values were determined by chiral HPLC analysis. ^d40 °C. ^e50 °C, 96 h. ^fL9, 50 °C, 72 h. ^gL2 (20 mol %), 80 °C, 48 h. ^hThe dr values were determined by ¹H NMR.

of allene **4** with Xantphos as the ligand afforded product **3aa** in 64% yield with 96% ee and >20:1 dr. Subsequently, allene **4** was subjected to the asymmetric conditions with **L8** as the ligand, resulting in the intramolecular hydroamination product **3aa** in 37% yield, along with 39% yield of diene **5** in 96% ee. Compound **3aa** was obtained in 71% yield with trace diene detected when the reaction was carried out at 50 °C (Scheme 2c). These data indicated that chiral allene **4** could efficiently transform into the enantiopure **3aa** via axial-to-center chirality transfer. Moreover,

the diene product might generate via Pd-H migratory insertion of allene followed by β-H elimination.^{6m,16} These observations prompted us to speculate that diene might also serve as an intermediate in this Pd-catalyzed asymmetric sequential hydrofunctionalization reaction. To corroborate this hypothesis, chiral diene **5** was subjected to the conditions with **L8** as the ligand, but diene was fully recovered (Scheme 2d, top panel). When the reaction was performed at 50 °C, product **3aa** was isolated in 20% yield with 96% ee (Scheme 2d, bottom panel). Collectively, these



Scheme 2. Mechanistic Studies.

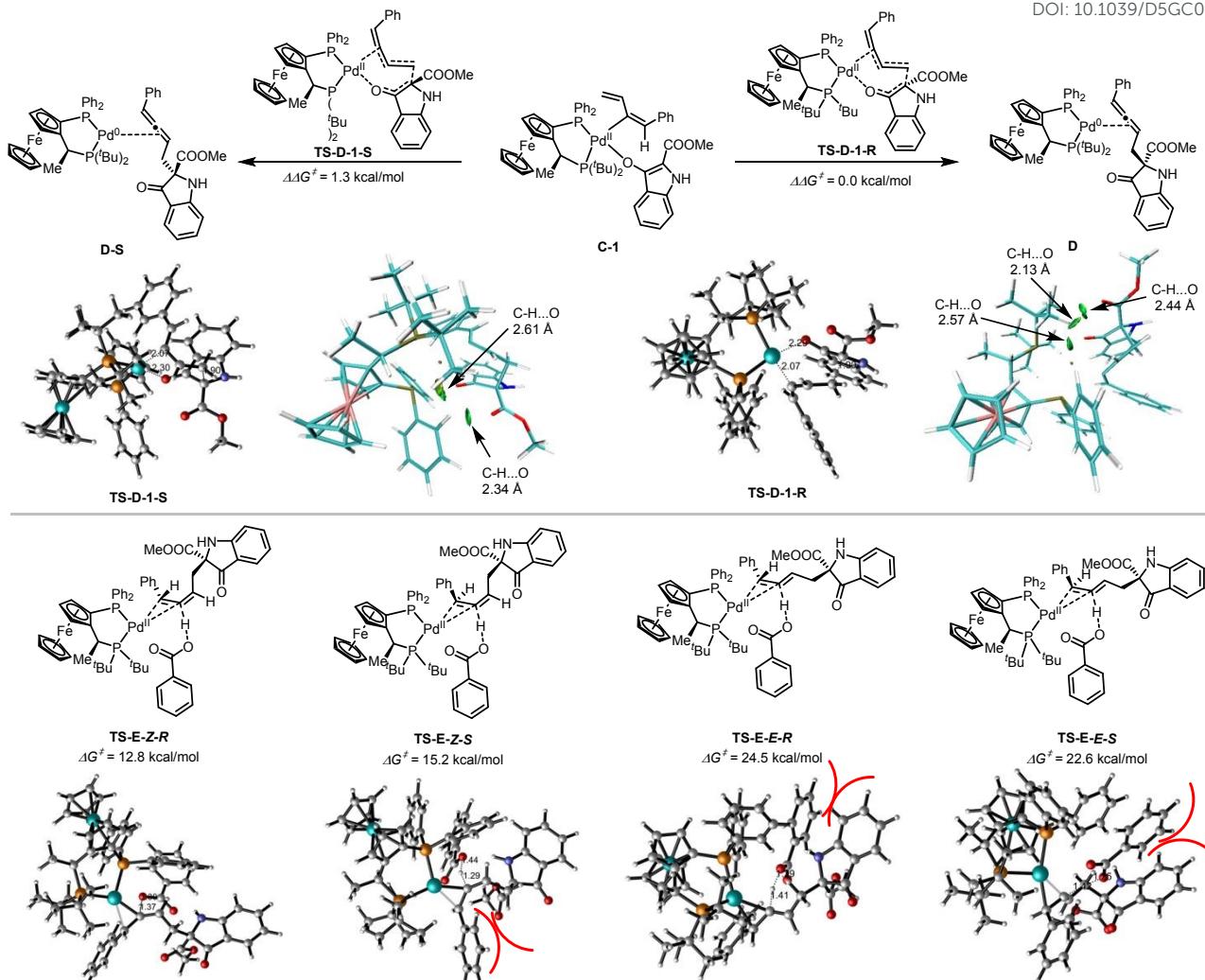
View Article Online
DOI: 10.1039/D5CC05559J

observations suggest that allene acts as the crucial intermediate of this sequential hydrofunctionalization, whereas diene was the by-product as it cannot be converted into the target product under standard conditions. Furthermore, deuterium-labeling experiments were conducted. As shown in Scheme 2e, the reaction of 3-hydroxyindole **1a-D** with enyne **2a** in PhCF_3 in the presence of

PhCOOD provided **3aa-D** in 65% yield, exhibiting 69% and 72% deuterium incorporation at the α - and β -positions, respectively. This result indicated that this reaction might involve two successive Pd-H migratory insertions.

Based on the above mechanistic studies and detailed density functional theory (DFT) calculations (See ESI for details), a plausible

Scheme 3. Enantioselective model.

View Article Online
DOI: 10.1039/D5GC05558J

catalytic cycle for this reaction of conjugated enynes and 3-hydroxyindoles is proposed in Scheme 2f.^{9,11} Oxidative addition of Pd(0) with the acid affords PdH species **A**. Regioselective alkyne insertion to the PdH species **A** leads to the formation of η^1 -butadienyl-Pd intermediate **B-1**, which could be reversibly converted to the allenyl-Pd intermediate **B-2** via isomerization. Ligand exchange between 3-hydroxyindole **1** and intermediate **B-1** or **B-2** affords intermediates **C-1** or **C-2**, respectively. Due to the considerable energy barrier derived from computational studies, the pathway involving direct 1,1'-reductive elimination from intermediate **C-2** is energetically disfavored and can be effectively precluded. The chiral carbon center of the indole skeleton is mainly established via enantioselective 3,3-reductive elimination from intermediate **C-1** (Scheme 3). The reaction proceeds preferentially through transition state **TS-D-1-R** via a 3,3-elimination pathway, which features an energy barrier 1.3 kcal·mol⁻¹ lower than that of the competing pathway via **TS-D-1-S**, thereby kinetically favoring the formation of the chiral allene intermediate **4** with *R*-configuration. To elucidate the origin of enantioselectivity, non-covalent interactions between the substrate and the chiral ligand moiety in transition states **TS-D-1-R** and **TS-D-1-S** were analyzed using the Independent Gradient Model based on Hirshfeld partition (IGMH).¹⁷

Structural analyses indicate that the more favorable transition state **TS-D-1-R** is stabilized by enhanced hydrogen-bonding interactions between C–H bonds of the chiral ligand and the oxygen atom of the indole framework. These interactions contribute significantly to the reduced activation energy barrier of **TS-D-1-R**, making it 1.3 kcal·mol⁻¹ lower than that of **TS-D-1-S**. The direct electrophilic attack by the proton of benzoic acid on the palladium-activated allene intermediate **D** governs the enantioselectivity of the second stereocenter in the product. The pathway proceeding through transition state **TS-E-Z-R** to form intermediate **E** exhibits an energy barrier of 12.8 kcal/mol, representing the kinetically most favorable route. Compared to the analogous pathway through transition state **TS-E-Z-S**, the formation of intermediate **E** proceeds with the lowest energy barrier (12.8 kcal/mol for **TS-E-Z-R** vs 15.2 kcal/mol for **TS-E-Z-S**). This distinct energy disparity substantiates the high enantioselectivity observed in the desired product **3aa**. The activation energies for forming the olefin architecture with an *E* geometry via transition states **TS-E-E-R** and **TS-E-E-S** are 24.5 and 22.6 kcal/mol, respectively. The disparity primarily stems from steric constraints imposed by the indole framework during the trajectory of electrophilic attack by benzoic acid. These values considerably exceed those required for the generation of the *Z*-olefin architecture.

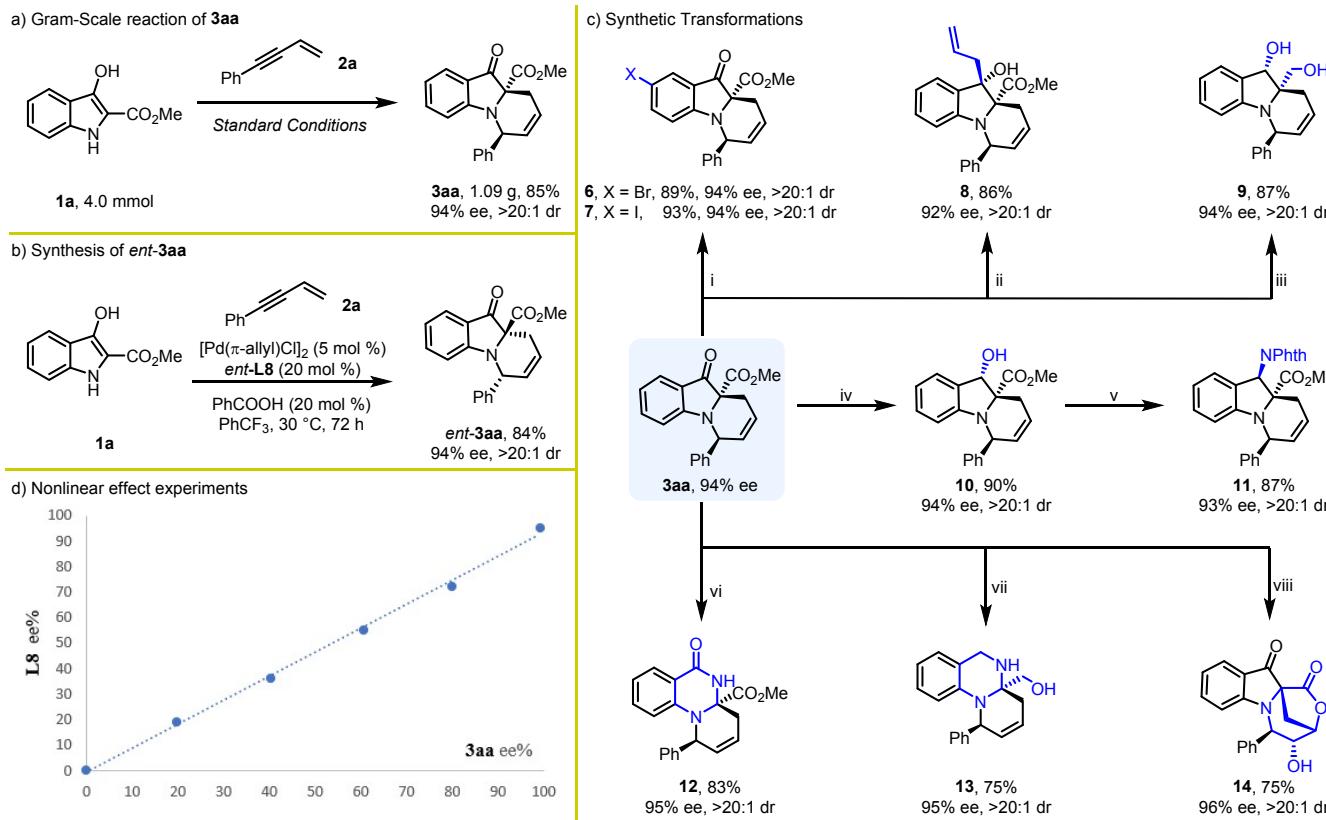


Given the computationally derived energy barriers, which are markedly elevated, this pathway can be confidently excluded.

Subsequently, the carboxylate anion in intermediate **E** can selectively abstract a hydrogen atom from either the indole nitrogen atom or the β -carbon atom of the ester group, leading to the formation of desired product **3aa** or the conjugated diene byproduct **5**, respectively. Overall, the formation of the conjugated diene

byproduct proceeds reversibly via a lower energy barrier, enabling its generation under relatively mild reaction conditions and establishing it as the kinetically controlled product. In contrast, the pathway affording the desired product **3aa** involves a moderately higher activation barrier, suggesting its dominance under thermodynamic control. These computational insights align consistently with the experimental outcomes (Scheme 2c).

Scheme 4. Further Study.



Reagents and conditions: (i) for the synthesis of **6**: NBS (1.2 equiv), THF/H₂O (9:1), r.t., 6 h; for the synthesis of **7**: AuCl₃ (5 mol %), NIS (1.5 equiv), DCE, rt, 12 h; (ii) allylMgBr (2.0 equiv), THF, 0 °C-r.t., 12 h; (iii) LiAlH₄ (LAH, 4.0 equiv), THF, 40 °C, 6 h; (iv) NaBH₄, MeOH, 0.5 h; (v) Phthalimide (2.0 equiv), PPh₃ (2.0 equiv), DIAD (2.0 equiv), THF, 0 °C-r.t., 24 h; (vi) (1) NH₂OH-HCl (4.0 equiv), pyridine (8.0 equiv), EtOH, reflux, 24 h; (2) PPh₃ (20 mol %), CBr₄ (20 mol %), toluene, 80 °C, 24 h; (vii) (1) NH₂OH-HCl (4.0 equiv), pyridine (8.0 equiv), EtOH, reflux, 24 h; (2) DIBAL-H (5.0 equiv), DCM, -78 °C-r.t., 12 h; (viii) K₂OsO₂(OH)₄ (20 mol %), NMO (4.2 equiv), THF/H₂O, r.t., 72 h.

Further Study. To exemplify the synthetic utility of current protocol, a gram-scale reaction of **1a** and **2a** were performed, and product **3aa** was achieved in 85% yield with 94% ee and >20:1 dr (Scheme 4a). *Ent-3aa* was obtained in 84% yield and 94% ee by employing *ent-L8* as the chiral ligand (Scheme 4b). Several transformations with respect to **3aa** were then executed (Scheme 4c). Bromination and iodination of **3aa** were efficiently achieved using N-bromosuccinimide (NBS) and N-iodosuccinimide (NIS), producing compounds **6** and **7** with yields of 89% and 93%, respectively. Allyl Grignard reagent could selectively attack the ketone moiety to form tertiary alcohol **8** in 86% yield. In addition, the treatment of **3aa** with LiAlH₄ resulted in the isolation of diol **9** in 87% yield with excellent diastereoselectivity. Selective reduction of the carbonyl group in **3aa** to an alcohol **10** by NaBH₄ allowed for subsequent Mitsunobu reaction to form the corresponding amide derivative **11**. Compounds

12 and **13** were easily constructed via the Beckman rearrangement and DIBAL-H mediated ring-expansion rearrangement.¹⁸ In the presence of K₂OsO₂(OH)₄ and NMO, bridged compound **14** was formed via dihydroxylation and intramolecular esterification. The absolute configuration of **8** and **9** were confirmed by X-ray diffraction. Moreover, a linear relationship between the ee values of **L8** and the product **3aa** was observed, which indicated that one molecule of the chiral ligand participated in controlling the stereoselectivity of the reaction (Scheme 4d).

Conclusions

In summary, we have developed an unprecedented Pd-catalyzed sequential hydroalkylation and hydroamination of 1,3-enynes with 3-hydroxyindoles. This redox-neutral protocol



offers an efficient approach for the synthesis of optically pure pyrido[1,2- α]indole and derivatives bearing one tertiary and one quaternary stereocenters. This reaction proceeds in a high atom- and step-economic manner with good functional group compatibility. Preliminary mechanistic investigations suggest that the transformation proceeds via an intermolecular enyne hydroalkylation pathway to form an allene intermediate. Subsequent intramolecular hydroamination of the allene intermediate occurs via an axial-to-center chirality transfer process. We believe this research will inspire and stimulate efforts for designing more innovative sequential hydrofunctionalization cyclization reactions, thereby providing a toolkit for chiral complex molecules synthesis, and driving the advancement of organic green chemistry. Computational studies were conducted to probe the origins of the enantioselectivities. Further investigations on other catalytic asymmetric sequential hydrofunctionalization are currently underway in our laboratory.

Author contributions

Q. L., R. W. and T. W. contributed equally to this work.

Conflicts of interest

There are no conflicts to declare.

Data availability

The data supporting this article have been included as part of the ESI, including detailed experimental procedures and characterization data for new compounds. Crystallographic data have been deposited with the CCDC with deposition numbers: CCDC 2268989 (3aa), 2321444 (8), and 2321211 (9).

Acknowledgements

The authors acknowledge generous financial support from the National Natural Science Foundation of China (22371299), the Natural Science Foundation of Jiangsu Province (BK20242068 and BK20251564), the China Postdoctoral Science Foundation (2024M763657), the Postdoctoral Fellowship Program of CPSF (GZB20250246), the Project Program of State Key Laboratory of Natural Medicines (SKLMZ202211), the Fundamental Research Funds for the Central Universities (2632024ZD09 and 2632025PY03), the Jiangsu Funding Program for Excellent Postdoctoral Talent (2025ZB316), and Innovation and Entrepreneurship (Shuangchuang) Program of Jiangsu Province (2024). We are also grateful to the High-Performance Computing Center of Nanjing University for performing the numerical calculations in this paper on its blade cluster system.

References

- (a) J. H. Clark, *Green Chem.* 2006, **8**, 17. (b) I. T. Horváth and P. T. Anastas, *Chem. Rev.*, 2007, **107**, 2169. (c) R. Noyori, *Nat. Chem.* 2009, **1**, 5. (d) P. Anastas and N. Eghbali, *Chem. Soc. Rev.*, 2010, **39**, 301. (e) P. J. Dunn, *Chem. Soc. Rev.*, 2012, **41**, 1452. View Article Online
DOI: 10.1039/D5GC0555J
- 2 For selected reviews, see: (a) L. Huang, M. Arndt, K. Gooßen, H. Heydt and L. J. Gooßen, *Chem. Rev.*, 2015, **115**, 2596. (b) P. Koschker and B. Breit, *Acc. Chem. Res.*, 2016, **49**, 1524. (c) J. Chen, J. Guo and Z. Lu, *Chin. J. Chem.*, 2018, **36**, 1075. (d) G. Li, X. Huo, X. Jiang and W. Zhang, *Chem. Soc. Rev.*, 2020, **49**, 2060. (e) J. Li and Y. Shi, *Chem. Soc. Rev.*, 2022, **51**, 6757. (f) Y. Li, X. Lu and Y. Fu, *CCS Chem.*, 2024, **6**, 1130.
- 3 For selected reviews, see: (a) J. Chen and Z. Lu, *Org. Chem. Front.*, 2018, **5**, 260. (b) Y. He, J. Chen, X. Jiang and S. Zhu, *Chin. J. Chem.*, 2022, **40**, 651. (c) X.-Y. Sun, B.-Y. Yao, B. Xuan, L.-J. Xiao and Q.-L. Zhou, *Chem. Catal.*, 2022, **2**, 3140. (d) W. Zhao, H.-X. Lu, W.-W. Zhang and B.-J. Li, *Acc. Chem. Res.*, 2023, **56**, 308. For selected examples, see: (e) A. L. Rez-nichenko, H. N. Nguyen and K. C. Hultsch, *Angew. Chem., Int. Ed.*, 2010, **49**, 8984. (f) K. Manna, S. Xu and A. D. Sadow, *Angew. Chem., Int. Ed.*, 2011, **50**, 1865. (g) H.-L. Teng, Y. Luo, B. Wang, L. Zhang, M. Nishiura and Z. Hou, *Angew. Chem., Int. Ed.*, 2016, **55**, 15406. (h) Y. Xi and J. F. Hartwig, *J. Am. Chem. Soc.*, 2016, **138**, 6703. (i) Y. Xi, S. Ma and J. F. Hartwig, *Nature*, 2020, **588**, 254. (j) F. Zhou, Y. Zhang, X. Xu and S. Zhu, *Angew. Chem. Int. Ed.*, 2019, **58**, 1754. (k) Y. He, C. Liu, L. Yu and S. Zhu, *Angew. Chem., Int. Ed.*, 2020, **59**, 9186. (l) X. Ren, Z. Wang, C. Shen, X. Tian, L. Tang, X. Ji and K. Dong, *Angew. Chem., Int. Ed.*, 2021, **60**, 17693. (m) T. Qin, G. Lv, H. Miao, M. Guan, C. Xu, G. Zhang, T. Xiong and Q. Zhang, *Angew. Chem., Int. Ed.*, 2022, **61**, e202201967. (n) H. S. Slocumb, S. Nie, V. M. Dong and X.-H. Yang, *J. Am. Chem. Soc.*, 2022, **144**, 18246. (o) W. Zhao, K.-Z. Chen, A.-Z. Li and B.-J. Li, *J. Am. Chem. Soc.*, 2022, **144**, 13071.
- 4 For selected reviews, see: (a) A. M. Haydl, B. Breit, T. Liang and M. J. Krische, *Angew. Chem., Int. Ed.*, 2017, **56**, 11312. (b) J. Chen, W.-T. Wei, Z. Li and Z. Lu, *Chem. Soc. Rev.*, 2024, **53**, 7566. For selected examples, see: (c) Q.-A. Chen, Z. Chen and V. M. Dong, *J. Am. Chem. Soc.*, 2015, **137**, 8392. (d) P. Koschker, M. Kähny and B. Breit, *J. Am. Chem. Soc.*, 2015, **137**, 3131. (e) F. A. Cruz and V. M. Dong, *J. Am. Chem. Soc.*, 2017, **139**, 1029. (f) F.-T. Sheng, S.-C. Wang, J. Zhou, C. Chen, Y. Wang and S. Zhu, *ACS Catal.*, 2023, **13**, 3841. (g) A. Huang, H.-R. Xu, Z.-J. Yang, X.-S. Xue and Z.-T. He, *Nat. Synth.*, 2025, doi: 10.1038/s44160-025-00870-z.
- 5 For selected reviews, see: (a) R. Blieck, M. Taillefer and F. Monnier, *Chem. Rev.*, 2020, **120**, 13545. (b) S. V. Sieger, I. Lubins and B. Breit *ACS Catal.*, 2022, **12**, 11301. For selected examples, see: (c) K. L. Butler, M. Tragni and R. A. Widenhoefer, *Angew. Chem., Int. Ed.*, 2012, **51**, 5175. (d) M. L. Cooke, K. Xu, B. Breit, *Angew. Chem., Int. Ed.*, 2012, **51**, 10876. (e) K. Xu, N. Thieme and B. Breit, *Angew. Chem., Int. Ed.*, 2014, **53**, 2162. (f) C. Li, M. Kähny and B. Breit, *Angew. Chem., Int. Ed.*, 2014, **53**, 13780. (g) A. M. Haydl, K. Xu and B. Breit, *Angew. Chem., Int. Ed.*, 2015, **54**, 7149. (h) Z. Yang and J. Wang, *Angew. Chem., Int. Ed.*, 2021, **60**, 27288. (i) M.-Q. Tang, Z.-J. Yang, A.-J. Han and Z.-T. He, *Angew. Chem., Int. Ed.*, 2025, **64**, e202413428.
- 6 For selected reviews, see: (a) G. J. P. Perry, T. Jia and D. J. Procter, *ACS Catal.*, 2020, **10**, 1485. (b) N. J. Adamson and S. J. Malcolmson, *ACS Catal.*, 2020, **10**, 1060. (c) A. Flaget, C. Zhang and C. Mazet, *ACS Catal.*, 2022, **12**, 15638. (d) Y. C. Wang, J.-B. Liu and Z.-T. He, *Chin. J. Org. Chem.*, 2023, **43**, 2614. For selected examples, see: (e) S.-Z. Nie, R. T. Davison and V. M. Dong *J. Am. Chem. Soc.*, 2018, **140**, 16450. (f) N. J. Adamson, K. C. E. Wilbur and S. J. Malcolmson, *J. Am. Chem. Soc.*, 2018, **140**, 2761. (g) Q. Zhang, H. Yu, L. Shen, T. Tang, D. Dong, W. Chai and W. Zi, *J. Am. Chem. Soc.*, 2019, **141**, 14554. (h) Q. Zhang, D. Dong and W. Zi, *J. Am. Chem. Soc.*, 2020, **142**, 15860. (i) A. Y. Jiu, H. S. Slocumb, C. S. Yeung, X.-H. Yang and V. M. Dong, *Angew. Chem., Int. Ed.*, 2021, **60**, 19660. (j) Q. Li, Z. Wang, V. M. Dong and X.-H. Yang, *J. Am. Chem. Soc.*, 2023,

145, 3909. (k) X.-X. Chen, H. Luo, Y.-W. Chen, Y. Liu and Z.-T. He, *Angew. Chem., Int. Ed.*, 2023, **62**, e202307628. (l) S.-Q. Yang, A.-J. Han, Y. Liu, X.-Y. Tang, G.-Q. Lin and Z.-T. He, *J. Am. Chem. Soc.*, 2023, **145**, 3915. (m) M.-Q. Tang, Z.-J. Yang and Z.-T. He, *Nat. Commun.*, 2023, **14**, 6303. (n) Y.-C. Wang, Z.-X. Xiao, M. Wang, S.-Q. Yang, J.-B. Liu and Z.-T. He, *Angew. Chem., Int. Ed.*, 2023, **62**, e202215568.

7 For review, see: (a) L. Li, S. Wang, A. Jakhar and Z. Shao, *Green Synth. Catal.*, 2023, **4**, 124. For examples, see: (b) Y. Yang, I. B. Perry, G. Lu, P. Liu and S. L. Buchwald, *Science*, 2016, **353**, 144. (c) Y. Huang, J. del Pozo, S. Torker and A. H. Hoveyda, *J. Am. Chem. Soc.*, 2018, **140**, 2643. (d) L. Bayeh-Romero and S. L. Buchwald, *J. Am. Chem. Soc.*, 2019, **141**, 13788. (e) D.-W. Gao, Y. Xiao, M. Liu, Z. Liu, M. K. Karunananda, J. S. Chen and K. M. Engle, *ACS Catal.*, 2018, **8**, 3650. (f) H. Tsukamoto, T. Konno, K. Ito and T. Doi, *Org. Lett.*, 2019, **21**, 6811. (g) N. J. Adamson, H. Jedd and S. J. Malcolmson, *J. Am. Chem. Soc.*, 2019, **141**, 8574. (h) S.-Q. Yang, Y.-F. Wang, W.-C. Zhao, G.-Q. Lin and Z.-T. He, *J. Am. Chem. Soc.*, 2021, **143**, 7285. (i) C. You, M. Shi, X. Mi and S. Luo, *Nat. Commun.*, 2023, **14**, 2911.

8 For selected reviews, see: (a) X. Zeng, *Chem. Rev.*, 2013, **113**, 6864. (b) Z. Cheng, J. Guo and Z. Lu, *Chem. Commun.*, 2020, **56**, 2229. For selected examples, see: (c) A. Heutling, F. Pohlki, I. Bytschkov and S. Doye, *Angew. Chem., Int. Ed.*, 2005, **44**, 2951. (d) Y. Lee, H. Jang and A. H. Hoveyda, *J. Am. Chem. Soc.*, 2009, **131**, 18234. (e) S.-L. Shi and S. L. Buchwald, *Nat. Chem.*, 2015, **7**, 38. (f) Z. Zuo, J. Yang and Z. Huang, *Angew. Chem., Int. Ed.*, 2016, **55**, 10839. (g) J. Guo, X. Shen and Z. Lu, *Angew. Chem., Int. Ed.*, 2017, **56**, 615. (h) J. Guo, B. Cheng, X. Shen and Z. Lu, *J. Am. Chem. Soc.*, 2017, **139**, 15316. (i) J. Guo, H. Wang, S. Xing, X. Hong and Z. Lu, *Chem.*, 2019, **5**, 881. (j) M. Hu and S. Ge, *Nat. Commun.*, 2020, **11**, 765. (k) D.-W. Gao, Y. Gao, H. Shao, T.-Z. Qiao, X. Wang, B. B. Sanchez, J. S. Chen, P. Liu and K. M. Engle, *Nat. Catal.*, 2020, **3**, 23. (l) J. Chen, X. Shen and Z. Lu, *J. Am. Chem. Soc.*, 2020, **142**, 14455. (m) S. Jin, K. Liu, S. Wang and Q. Song, *J. Am. Chem. Soc.*, 2021, **143**, 13124. (n) S. Jin, J. Li, K. Liu, W.-Y. Ding, S. Wang, X. Huang, X. Li, P. Yu and Q. Song, *Nat. Commun.*, 2022, **13**, 3524. (o) S. Wang, K. Chen, J. Niu, X. Guo, X. Yuan, J. Yin, B. Zhu, D. Shi, W. Guan, T. Xiong and Q. Zhang, *Angew. Chem., Int. Ed.*, 2024, **63**, e202410833. (p) H. Wang, X. Jie, Q. Chong and F. Meng, *Nat. Commun.*, 2024, **15**, 3427.

9 L. Li, S. Wang, P. Luo, R. Wang, Z. Wang, X. Li, Y. Deng, F. Peng and Z. Shao, *Nat. Commun.*, 2021, **12**, 5667.

10 W. Lu, Y. Zhao and F. Meng, *J. Am. Chem. Soc.*, 2022, **144**, 5233.

11 Q. Li, X. Fang, R. Pan, H. Yao and A. Lin, *J. Am. Chem. Soc.*, 2022, **144**, 11364.

12 B.-Y. Xie and Z.-T. He, *ACS Catal.*, 2024, **14**, 9742.

13 (a) D. Zhang, M. Li, J. Li, A. Lin, H. Yao, *Nat. Commun.*, 2021, **12**, 6627. (b) Q. Li, J. Li, J. Zhang, S. Wu, Y. Zhang, A. Lin and H. Yao, *Angew. Chem., Int. Ed.*, 2023, **62**, e202313404. (c) Q. Wu, Q. Zhang, S. Yin, A. Lin, S. Gao and H. Yao, *Angew. Chem., Int. Ed.*, 2023, **62**, e202305518. (d) M. Xu, Q. Lu, B. Gong, W. Ti, A. Lin, H. Yao and S. Gao, *Angew. Chem., Int. Ed.*, 2023, **62**, e202311540.

14 (a) G. Bartoli, G. Bencivenni and R. Dalpozzo, *Chem. Soc. Rev.*, 2010, **39**, 4449. (b) B. P. Pritchett and B. M. Stoltz, *Nat. Prod. Rep.*, 2018, **35**, 559. (c) A. E. Nugroho, W. Zhang, Y. Hirasawa, Y. Tang, C. P. Wong, K. Kaneda, A. H. A. Hadi and H. Morita, *J. Nat. Prod.*, 2018, **81**, 2600. (d) Y. Hong, Y. Y. Zhu, Q. He and S.-X. Gu, *Bioorg. Med. Chem.*, 2022, **55**, 116597.

15 S. Song and S. Ma, *Chin. J. Chem.*, 2020, **38**, 1233.

16 (a) I. Crouch, T. Dreier and D. Frantz, *Angew. Chem., Int. Ed.*, 2011, **50**, 6128. (b) G. Cera, M. Lanzi, F. Bigi, R. Maggi, M. Malacriab and G. Maestri, *Chem. Commun.*, 2018, **54**, 14021.

17 T. Lu and Q. Chen, *J. Comput. Chem.*, 2022, **43**, 539.



Data Availability Statement

[View Article Online](#)
DOI: 10.1039/D5GC05558J

The data supporting this article have been included as part of the ESI, including detailed experimental procedures and characterization data for new compounds. Crystallographic data have been deposited with the CCDC with deposition numbers: CCDC 2268989 (**3aa**), 2321444 (**8**), and 2321211 (**9**).

