

# Food & Function

Linking the chemistry and physics of food with health and nutrition

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1 **A randomised controlled study to investigate the cognitive, mood, metabolic and anti-**  
2 **inflammatory effects of chronic oyster mushroom intervention in healthy older adults**

3  
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13



## 14 Abstract

15 The *Pleurotus ostreatus* oyster species is a common edible mushroom, rich in ergothioneine, a  
16 bioactive that has previously shown preclinical benefits to cognition when administered in extract  
17 form. The OYSCOG study investigated the effects of a 12-week oyster mushroom intervention on  
18 cognition, mood, and serum markers in 80 healthy older adults aged 60-80 years. Participants  
19 consumed four portions per week of either oyster mushroom (OM) or placebo (PL). All measures  
20 were collected at baseline and 12-weeks post-intervention. EEG activity was recorded in a subset of  
21 participants (n=40) at rest and during a simple cognitive task. ANCOVA between baseline and 12-  
22 weeks revealed slower task switching reaction times, indicating decreased performance, in the PL  
23 group. Increases in negative mood, as indicated by PANAS-X ratings of fear, sadness, and shyness  
24 and DASS-21 anxiety ratings, were similarly observed in the PL group. Conversely, DASS-21  
25 anxiety ratings and RAVLT delayed word recall and delayed word recognition were improved for the  
26 OM group, while levels of inflammatory markers (cyclo-oxygenase 2 and NADPH oxidase 2) were  
27 reduced in an *in vitro* rodent microglial cell model. After 12-weeks supplementation, the OM group  
28 outperformed the PL group in RAVLT delayed word recall and delayed word recognition and  
29 displayed lower negative mood, as indicated by PANAS-X sadness, PANAS-X shyness and DASS-  
30 21 anxiety. Overall, the 12-week OM intervention, maintained mood and improved episodic memory  
31 in older adults compared to PL, alongside reducing markers of inflammation.

32 **Keywords:** Oyster mushroom, chronic intervention, older adults, cognition, mood.

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## 41 1. Introduction

42 Ageing is characterised by a decline in a number of physiological processes leading to decrements in  
43 many behavioural outputs, including impairments in cognitive function<sup>1</sup>. Scientific evidence suggests  
44 that consuming a diet rich in vegetables and fruits can act as an important mediator in the prevention  
45 of neurodegenerative diseases and depression. Edible fungi have been known to have health benefits  
46 due to their unique nutrient profile. Mushrooms contain essential nutrients such as vitamins, proteins,  
47 polysaccharides and dietary fibre and are rich in bioactives such as ergothioneine and terpenoids<sup>2, 3</sup>.  
48 These mushroom bioactives have been shown to beneficially affect cognition either by regulating  
49 neuronal signalling cascades or through their antioxidant and anti-inflammatory actions<sup>4, 5</sup>.

50 Oyster mushrooms (OM), scientifically known as *Pleurotus ostreatus*, are one of the most commonly  
51 cultivated, ranking as the second-largest cultivated mushroom type worldwide<sup>6</sup>. This species is rich  
52 in compounds such as proteins, dietary fibre, and ergothioneine<sup>7</sup>. In addition to these compounds,  
53 *Pleurotus* mushrooms contain a diverse range of bioactives including pleuran, terpenoids and fatty  
54 acids which have documented immunomodulatory properties<sup>8</sup>. In a recent review, we showed that  
55 epidemiological studies demonstrate a clear association between mushroom consumption and better  
56 mental well-being and cognitive outcomes, where mushrooms were included as part of a vegetable-  
57 rich diet<sup>9</sup>. However, it should be noted that there is no consistency in the literature as to what  
58 constitutes a serving size of mushrooms, therefore in our review of the literature here we have  
59 included details of the absolute weight of a mushroom serving specified in each study.  
60 Epidemiological studies, conducted in Asian populations, have shown that a weekly consumption of  
61 more than 2 large servings of mushrooms (each 150g) is sufficient to significantly lower dementia  
62 odds<sup>10</sup>, while a monthly intake of at least 1 small serving of mushrooms (30g) is significantly  
63 associated with a lower risk of depressive symptoms<sup>11</sup>. Additionally, findings from our recent UK-  
64 based epidemiological study suggested that regular mushroom consumption is beneficial for  
65 cognitive function during ageing. Using a population-based study of diet and chronic disease (EPIC-  
66 Norfolk) UK cohort, we showed that an intake of more than 1 serving (45g) of mushrooms per week  
67 was associated with better cognitive scores in the domains of episodic memory, executive function  
68 and processing speed<sup>12</sup>. Conversely, as detailed in the same review, mushroom intervention studies  
69 show mixed results with limited benefits for cognition<sup>9</sup>. Briefly, previous research by Uffelman and  
70 colleagues<sup>13</sup> failed to observe cognitive/mental well-being benefits following 8-weeks  
71 supplementation with mushrooms (84g per day), including OM (3 days per week), in healthy adults.  
72 However, it may be that the chosen methodology, particularly using an already healthy Mediterranean  
73 diet as the control group, may have reduced the ability of the study to observe the full benefits of OM.  
74 To date, no other studies have investigated the cognitive and mood benefits of OM in humans. It



75 should be noted that studies have investigated the effects of other mushrooms including Lion's Mane  
76 which have shown cognitive benefits following interventions of 8-16 weeks duration<sup>15, 16</sup>, but these  
77 studies only relate to speciality and medicinal mushrooms rather than commonly consumed culinary  
78 mushrooms. Therefore, the OYSCOG study provides novel evidence for the potential brain and mood  
79 benefits of OM, a bioactive-rich culinary mushroom that is widely consumed within Western diets.

80 We aimed to specifically examine the behavioural and neural/electrophysiological effects of a dried  
81 OM intervention for 12-weeks in an older adult population. Additionally, we assessed the effects of  
82 OM on various metabolic factors, and inflammatory markers to better understand its possible  
83 mechanism of action for any observed cognitive changes. Broadly, we hypothesised that participants  
84 who consumed dried OM for 12-weeks would score significantly higher on neurocognitive tests and  
85 would have better mood outcomes compared to those who consumed an energy-matched placebo,  
86 while maintaining their habitual (predominantly Western) diet. It was also hypothesised that the 12-  
87 week intake of OM intervention would significantly reduce metabolic and inflammatory markers,  
88 increase brain-derived neurotrophic factor (BDNF) and improve electroencephalography (EEG)  
89 measures of brain activity. This novel research aimed to ascertain the impact of regular consumption  
90 of OM on cognitive and mental health in an older adult population and to improve our understanding  
91 of potential mechanisms of action underlying any behavioural effects.

## 92 2. Materials and Methods

93 This study was given a favourable ethical opinion by the University of Reading Research Ethics  
94 Committee (approval code: UREC 23/23) and has been registered on ClinicalTrials.gov  
95 (NCT06846827).

### 96 Sample population

97 A power calculation using GPower 3.1, based on similar research investigating the chronic benefits  
98 of other mushroom species on cognitive function<sup>15-21</sup>, suggested that 72 participants should give  
99 sufficient statistical power (with alpha=0.05, power=0.80, Cohen's d=0.60). To allow for a 10%  
100 attrition rate, 80 healthy adults aged 60-80 years were recruited from the local area. Participants were  
101 randomised to receive either a placebo (PL; n=40) or an oyster mushroom intervention (OM; n=40).  
102 EEG effects were investigated in a subset of participants (n=20/intervention group).

103 Participants were healthy with normal vision and hearing, non-vegans/vegetarians, non-smokers, and  
104 with a body mass index (BMI) less than 30. A complete list of the inclusion and exclusion criteria for  
105 our study can be found in **Table S1**. Antihypertensive or statin medications for controlling blood  
106 pressure and cholesterol levels were the only medications permitted during the trial. No other



107 medications or supplements were permitted. Participants were also asked to not change their habitual  
108 diet while participating in the trial.

## 109 Interventions

110 Sachets of freeze-dried OM powder (Phillips Gourmet, Pennsylvania, USA) or an energy-matched  
111 placebo consisting of maltodextrin powder (Bulk Powders, UK) were supplied to participants to be  
112 consumed 4 days per week for 12-weeks in a randomised double-blind parallel design. Given previous  
113 epidemiological findings<sup>12</sup>, participants were requested to consume 1 serving of dried OM (equivalent  
114 to 80g fresh, a volume that is considered to be representative of a typical vegetable portion size in the  
115 UK) 4 times per week. An independent researcher, involved neither in data collection nor data  
116 analysis was responsible for blinding the interventions. **Table 1** summarises the micronutrient,  
117 macronutrient, ergothioneine and total phenolic content of the PL and OM sachets. One portion  
118 (9.39g) of OM powder was equivalent to 80g of fresh OM.

119 **Table 1 Ingredients and nutrient contents of each intervention.**

| 120 Nutrient contents           | 121 PL | 122 OM |
|---------------------------------|--------|--------|
| 123 Amount (g)                  | 6.67   | 9.39   |
| 124 Energy (kcal)               | 26.41  | 26.40  |
| 125 Protein (g)                 | -      | 2.65   |
| 126 Total fat (g)               | -      | 0.33   |
| 127 Saturated fat (g)           | -      | 0.05   |
| 128 Carbohydrates (g)           | 6.60   | 4.87   |
| 129 Sugars (g)                  | -      | 0.89   |
| 130 Fibre (g)                   | -      | 1.84   |
| 131 Total phenolic content (mg) | 1.02   | 5.25   |
| 132 Ergothioneine (mg)          | -      | 6.02   |

128 Abbreviations: PL (Placebo), OM (Oyster Mushroom).

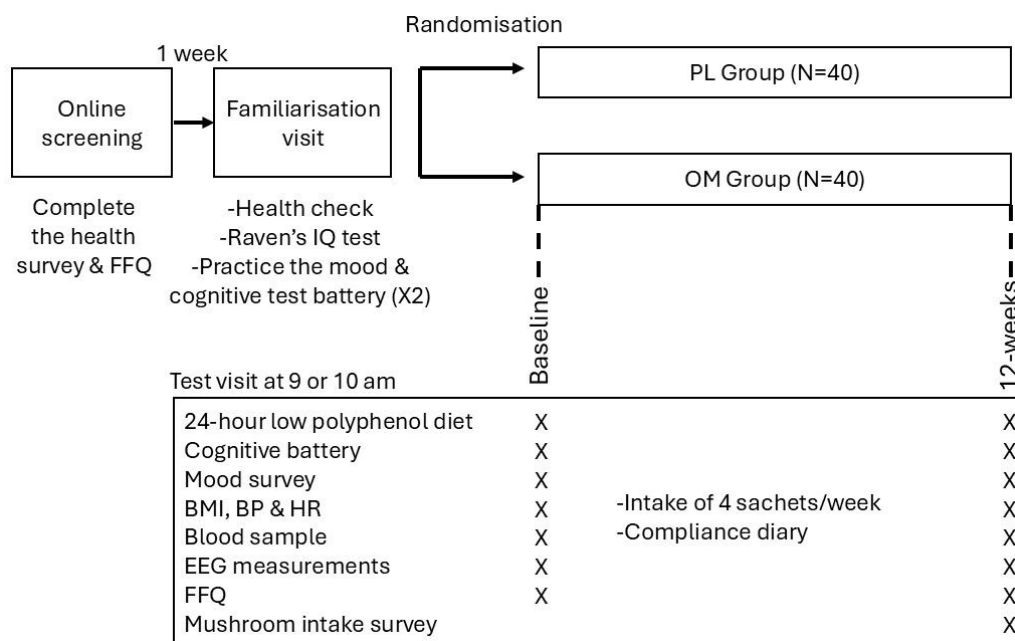
## 129 Procedure

130 The full study design is summarised in **Fig. 1**. Any participants who expressed an interest in taking  
131 part in the study were sent a link to complete a demographic and health questionnaire, and the EPIC-  
132 Norfolk Food Frequency Questionnaire (FFQ) to assess their habitual diet. Eligible participants were  
133 then randomised to intervention using a Latin square design and asked to attend a familiarisation  
134 session at the laboratory during which anthropometric measurements were recorded along with a  
135 finger-prick to check haemoglobin (Hb) levels (a requirement for blood sampling). Participants also  
136 completed the Raven's Progressive Matrices (RPM) as a measure of fluid intelligence (IQ) and were



137 given two full run throughs of the mood and cognitive battery to control for practice effects in  
 138 subsequent test sessions.

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 40 **Fig. 1 Study design of the 2-arm OYSCOG RCT.** Abbreviations: BMI (Body Mass Index), BP  
 41 (Blood Pressure), EEG (Electroencephalogram), FFQ (Food Frequency Questionnaire), HR (Heart  
 42 Rate), OM (Oyster Mushroom), PL (Placebo).

43 Participants were asked to attend two morning visits (at 9 or 10 am): a) a baseline test visit, a week  
 44 after the familiarisation visit, and b) a post-intervention visit, 12-weeks after the baseline visit. Prior  
 45 to the two test visits, participants were asked to follow a low polyphenol diet for 24-hours to minimise  
 46 background dietary influences from nutrient-rich foods such as berries or coffee. Participants were  
 47 also asked to consume a standardised breakfast of lightly buttered toast with a glass of water at home  
 48 before coming to the laboratory. On arrival at the baseline visit, BMI, systolic and diastolic blood  
 49 pressure (SBP and DBP) and heart rate (HR) were measured before completing the battery of  
 50 cognitive and mood tasks in an individual testing cubicle. For those participants who also volunteered  
 51 to complete the EEG component of the study, following completion of the task battery, an EEG cap  
 52 was fitted, and EEG measurements were recorded whilst performing a simple computerised N-back  
 53 task and while resting with eyes open and eyes closed. Finally, a 9ml blood sample was drawn.  
 54 Participants then received a 12-week supply of sachets containing either PL or OM, with instructions  
 55 to consume one sachet on any four days per week. Sachets could be consumed in a single sitting or  
 56 spread across multiple meals during the day, as preferred. They were asked to keep a record of the  
 57 days the intervention was consumed and which meals they added the intervention to, in order to  
 58 monitor compliance. After 12-weeks, participants returned to the laboratory and followed the same



159 test procedures as during the baseline visit, using matched versions of the cognitive tasks. Participants  
160 completed a further EPIC-Norfolk FFQ (to confirm no changes in habitual diet during the trial) and  
161 a questionnaire relating to their habitual mushroom intake. Participants received £100 payment after  
162 completing the study.

### 163 **Primary outcome measures**

164 *Cognitive & mood measurements:* The computerised cognitive-mood test battery was administered  
165 using E-Prime software (Psychology Software Tools, USA) and took approximately 50-60 minutes  
166 to complete. The tasks included: Positive and Negative Affect Schedule (PANAS-X)<sup>22</sup>; Depression,  
167 Anxiety and Stress Scale (DASS-21)<sup>23</sup>; Subjective mental fatigue (MF)<sup>24</sup>; Rey Auditory Verbal  
168 Learning Task (RAVLT)<sup>25</sup>; Task Switching Task (TST)<sup>26</sup>; Corsi Block Task (CBT)<sup>27</sup>; Finger Tapping  
169 Task (FTT)<sup>28</sup> and N-back task<sup>29</sup>. All tasks have been previously used in other nutrition intervention  
170 studies<sup>30, 31</sup> and cover the domains of mood, episodic memory, executive function, visuospatial  
171 working memory, manual dexterity, and sustained attention. Detailed description of the tasks used  
172 can be found in **Supplementary material S10**.

### 173 **Secondary outcome measures**

174 *Anthropometric measurements:* Triplicate readings of blood pressure (DBP, SBP and HR) were  
175 recorded at baseline and after 12-weeks. BMI was calculated at baseline and after 12-weeks.

176 *Biochemical measurements:* To assess general health status high-density lipoprotein cholesterol  
177 (HDL-c), low-density lipoprotein cholesterol (LDL-c), C-reactive protein (CRP) and creatinine were  
178 measured at baseline. All other biochemical measures including metabolic markers [glucose, total  
179 cholesterol (TC) and triglycerides (TAG)], interleukin-6 (IL-6) and peripheral BDNF were measured  
180 at baseline and 12-weeks. Inflammatory markers [nitrite, inducible nitric oxide synthase (iNOS),  
181 NADPH oxidase 2 (NOX2), and cyclo-oxygenase 2 (COX2)] were measured in activated, serum-  
182 treated rat microglia cells, *in vitro*. Circulating levels of polyphenol metabolites<sup>32</sup> and ergothioneine<sup>33</sup>  
183 were also assessed at baseline and 12-weeks. Polyphenol metabolites were measured to monitor for  
184 any potentially confounding changes in habitual dietary intake of fruits and vegetables during the  
185 study, while ergothioneine was measured as a specific biomarker of oyster mushroom intake. All  
186 quantification methods are detailed in **Supplementary material S11**.

187 *EEG measurements:* For the participants taking part in the EEG component of the study, the N-back  
188 task was performed while recording EEG activity<sup>34</sup>. Dependent variables for the EEG task were the  
189 amplitude and latency of N200 and P300 event related potentials (ERPs) following the appearance of  
190 visual target stimuli. In addition to ERP recording, power spectral density (PSD) of alpha (7.5-12.5  
191 Hz), beta (12.5-30 Hz), gamma (30-80 Hz), delta (0.5-3.5 Hz) and theta (3.5-7.5 Hz) activity, was



192 examined during the N-back task and while resting (eyes open/eyes closed). Full methodological  
193 details are given in **Supplementary material S12**.

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194 *Habitual dietary intake & intervention compliance:* At screening and at the end of the 12-week study,  
195 participants were asked to complete an online version of the EPIC-Norfolk FFQ to record their intake  
196 frequency for different foods including fruits, vegetables, pasta, bread, meat, fish, dairy, sweets,  
197 sauces and drinks. The FETA analytical tool<sup>35</sup> was used to estimate daily fruit and vegetable intake  
198 (g/day) from the raw FFQ scores before converting to portions per day (dividing by 80g).

199 To monitor compliance, participants were given a diary and were asked to record the date and time  
200 they consumed the intervention powder during the 12-week period. They were also asked to make a  
201 note of the foods that the intervention powder was added to. Compliance for each participant was  
202 calculated by dividing the number of days recorded by the maximum number of days they were to  
203 consume the sachets (in total 48 days). Poor compliance was defined as consuming less than 90% of  
204 the allocated intervention.

205 *Habitual Mushroom Intake:* At the end of the study, participants were also asked to complete a brief  
206 survey specifically relating to their habitual mushroom intake to explore which mushroom species  
207 were most likely to be consumed in our cohort, as well as the different ways in which participants  
208 chose to habitually consume mushrooms (e.g. raw or cooked, fresh or dried). Finally, participants  
209 were asked about their reasons for consuming mushrooms.

## 210 **Statistical analysis**

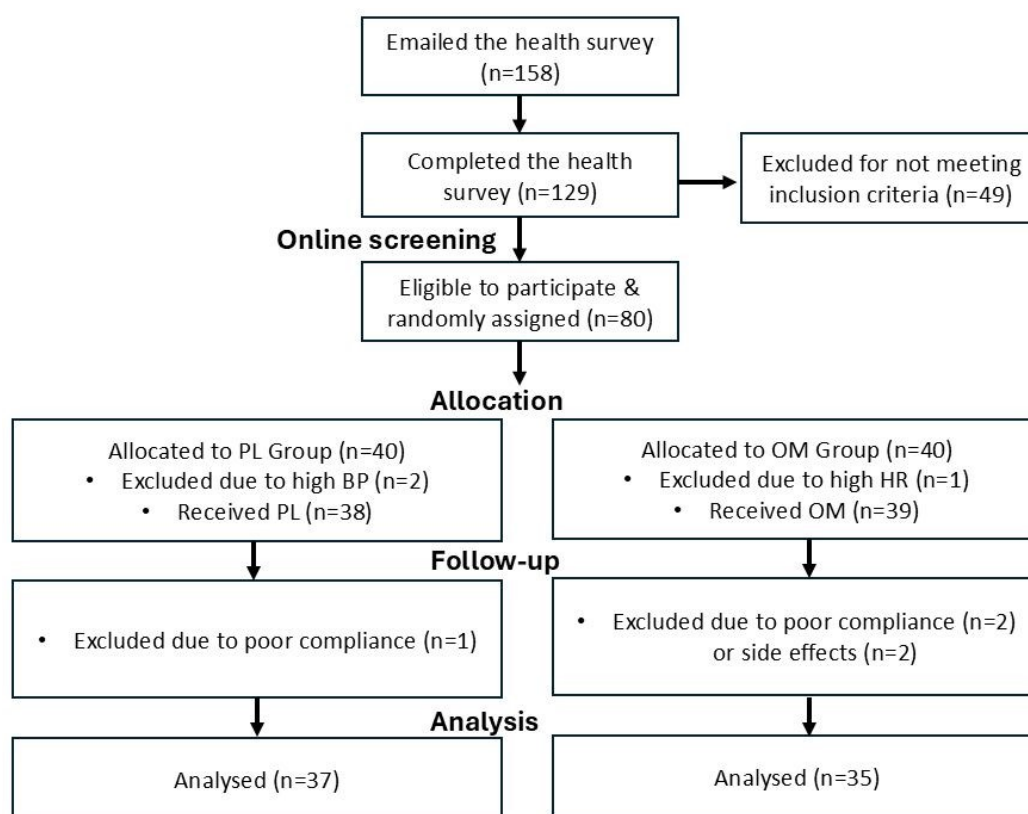
211 Data were analysed using IBM SPSS statistics, version 29. Initially, outliers were identified and  
212 excluded using boxplots (using 3\*IQR rule). For cognitive and mood outcomes, the main analysis  
213 was a mixed ANCOVA to investigate: a) the main effect of time (within subject factor; baseline vs  
214 after 12-weeks), b) the main effect of intervention (between subject factor; PL vs OM group), and c)  
215 the time\*intervention interaction, with Raven's IQ score as covariate (deemed necessary due to  
216 differences in Raven's IQ between the two groups at screening). For the Switching Task, additional  
217 fixed factors were added to examine switch trial type and any related interactions. For anthropometric,  
218 EEG and serum measures, Raven's IQ was not included as covariate. For any outcome measures that  
219 showed a significant difference at baseline, a 1-way ANCOVA was subsequently applied using  
220 baseline scores as an additional covariate to examine the main effect of intervention while accounting  
221 for differences in baseline scores. In all analyses, a Bonferroni correction was applied to post-hoc  
222 pairwise comparisons. All significant comparisons have been reported (\* $p \leq .05$ , \*\* $p \leq .01$ , \*\*\* $p \leq .001$ ).

## 223 **3. Results**



## 224 Cohort characteristics

225 As shown in **Fig. 2**, 158 participants expressed an interest in participating in the study, 129 completed  
 226 the questionnaires, and 49 of these were excluded for either health reasons or for not fully completing  
 227 the questionnaires. Eighty participants were asked to attend the familiarisation visit and complete the  
 228 trial. Over the course of the study, 8 people dropped out. Three people were excluded at the  
 229 familiarisation visit due to health reasons, a further three were excluded due to poor compliance with  
 230 trial instructions (e.g. not consuming the intervention) and finally, two OM participants withdrew  
 231 after the baseline visit due to mild side effects (bloating, nausea or diarrhoea).



233 **Fig. 2 OYSCOG study consort diagram.** Abbreviations: BP (Blood Pressure), HR (Heart Rate),  
 234 OM (Oyster mushroom), PL (Placebo).

235 The data from 72 participants (n=36 females) were included in the analysis; mean ( $\pm$ SE) age 68.1 $\pm$ 1.0  
 236 years, 97.2% British ethnic origin. Data from the mushroom survey as shown in **Table S3**, revealed  
 237 that most participants in the cohort (72.2%) habitually consumed at least 1 (or more) portion (~45g)  
 238 of mushrooms per week, with the most popular mushroom species being white button, chestnut and  
 239 portobello mushrooms. There were no significant differences in habitual mushroom intake between  
 240 the OM and PL groups. **Table 2** provides a summary of the cohort sample characteristics.

241 **Table 2 OYSCOG study cohort demographic characteristics.**



| <sup>1</sup> Demographic characteristics | PL group<br>(n=37) | OM group<br>(n=35) | Significance<br>(p≤.05) |
|--|--------------------|--------------------|-------------------------|
| Age (years)                              | 68.1 (1.0)         | 68.1 (0.9)         | .986                    |
| <b>Gender</b>                            |                    |                    | .817                    |
| Female                                   | 19 (51.4%)         | 17 (48.6%)         |                         |
| Male                                     | 18 (48.6%)         | 18 (51.4%)         |                         |
| <b>Nationality</b>                       |                    |                    | NA                      |
| British/Irish                            | 36 (97.3%)         | 34 (97.1%)         |                         |
| International                            | 1 (2.7%)           | 1 (2.9%)           |                         |
| <b>Exercise intensity (hours/week)</b>   |                    |                    | NA                      |
| Never/rarely                             | 12 (32.4%)         | 12 (34.3%)         |                         |
| 1-2 hours                                | 13 (35.1%)         | 11 (31.4%)         |                         |
| 3-4 hours                                | 6 (16.2%)          | 9 (25.7%)          |                         |
| >5 hours                                 | 6 (16.2%)          | 3 (8.6%)           |                         |
| <b>BMI (kg/m<sup>2</sup>)</b>            | 25.0 (0.4)         | 24.4 (0.6)         | .371                    |
| <b>Raven's IQ Score (/60)</b>            | 51.7 (0.8)         | 49.2 (1.0)         | .045*                   |
| <b>HR (beats/minute)</b>                 | 67.0 (1.7)         | 66.5 (1.3)         | .800                    |
| <b>SBP (mmHg)</b>                        | 123.8 (2.2)        | 123.6 (2.5)        | .943                    |
| <b>DBP (mmHg)</b>                        | 75.9 (1.1)         | 76.6 (1.3)         | .650                    |
| <b>Haemoglobin (g/L)</b>                 | 143.1 (1.7)        | 143.4 (2.0)        | .893                    |
| <sup>2</sup> <b>Glucose (mmol/L)</b>     | 5.2 (0.1)          | 5.2 (0.1)          | .782                    |
| <sup>2</sup> <b>TC (mmol/L)</b>          | 5.7 (0.2)          | 5.7 (0.2)          | .991                    |
| <sup>2</sup> <b>HDL-c (mmol/L)</b>       | 1.8 (0.1)          | 1.8 (0.1)          | .862                    |
| <sup>2</sup> <b>LDL-c (mmol/L)</b>       | 3.2 (0.2)          | 3.2 (0.2)          | .917                    |
| <sup>2</sup> <b>TAG (mmol/L)</b>         | 1.3 (0.1)          | 1.4 (0.1)          | .528                    |
| <sup>2</sup> <b>Creatinine (umol/L)</b>  | 80.4 (2.5)         | 85.3 (1.9)         | .126                    |
| <sup>2</sup> <b>CRP (mg/L)</b>           | 1.8 (0.3)          | 1.4 (0.2)          | .277                    |

<sup>1</sup> n(%) or Mean (SE)  
<sup>2</sup>Data from PL group (n=35)

242 Differences between interventions are indicated using \*(p≤.05). Abbreviations: BMI (Body Mass  
 243 Index), CRP (C-Reactive Protein), DBP (Diastolic Blood Pressure), HDL-c (High-Density  
 244 Lipoprotein-cholesterol), HR (Heart Rate), LDL-c (Low-Density Lipoprotein-cholesterol), OM  
 245 (Oyster Mushroom), PL (Placebo), SBP (Systolic Blood Pressure), TAG (Triglycerides), TC (Total  
 246 Cholesterol).



248 All anthropometric and biochemical measures at baseline were within healthy reference ranges for  
 249 this population. Dietary habits over the course of the trial, assessed by EPIC-Norfolk FFQ (data  
 250 available in **Table S2**), revealed that participants did not change their diet beyond including the  
 251 intervention into their meals with mean compliance rates of 100% and 99% for the PL and OM  
 252 groups, respectively. Examination of food diaries showed that most PL-treated participants consumed  
 253 their powder at breakfast, typically mixing it with cereals, porridge, omelette, yoghurt and beverages,  
 254 whilst the OM powder was typically used during both lunch and dinner meals being added to sauces,  
 255 stir-fry, gravy, and soups.

### 256 **Mood & Cognitive function Outcomes**

257 Estimated marginal means and standard errors for all measures and time points are available in **Tables**  
 258 **S4-9**. Only significant main effect of intervention and time\*intervention interactions, and relevant  
 259 post hoc comparisons are presented here.

260 *Effect of the Raven's IQ covariate:* Given the significant differences in Raven's IQ score between the  
 261 two groups at screening, we subsequently included Raven's IQ as a covariate in the mood and  
 262 cognitive data analysis. Indeed, Raven's IQ was a significant predictor for RAVLT-Recall 3 (R3)  
 263 [F(1,68)=4.287, p=.042], RAVLT-R7 [F(1,67)=7.040, p=.01], RAVLT-R8 [F(1,69)=6.763, p=.011],  
 264 RAVLT delayed word recognition (Recog) [F(1,66)=4.793, p=.032], TST accuracy all trials (S1-S4)  
 265 [F(1,497)=20.867, p<.001], TST accuracy (S1 only) [F(1,107)=5.990, p=.016], TST RT all trials (S1-  
 266 S4) [F(1,535)=63.988, p<.001], TST RT (S1 only) [F(1,115)=6.664, p=.011], accuracy score of the  
 267 sequence of blocks in CBT [F(1,67)=26.338, p<.001], number of taps in SFT [F(1,63)=4.788,  
 268 p=.032], 0-Back RT [F(1,65)=4.147, p=.046] and 1-Back RT [F(1,63)=4.066, p=.048].

269 *Mood outcomes:* No significant main effects of intervention or time\*intervention interactions were  
 270 shown for Positive affect (PA) or Negative affect (NA). Analysis of additional PANAS-X constructs  
 271 showed a significant time\*intervention interaction for NA-related ratings of fear [F(1,67)=5.353,  
 272 p=.024] and sadness [F(1,66)=5.864, p=.018]. Pairwise comparisons from these interactions, revealed  
 273 significantly increased levels of fear (p=.001, **Fig. 3A**) and sadness (p<.001, **Fig. 3B**) in the PL group  
 274 at 12-weeks compared to baseline. After 12-weeks supplementation, intervention-related differences  
 275 were evident for sadness, with the OM group displaying significantly lower levels of sadness than the  
 276 PL group (p=.022). Significant intervention related-differences were shown for shyness  
 277 [F(1,63)=12.912, p<.001] and fatigue [F(1,64)=5.844, p=.018], with the PL group overall being  
 278 significantly more shy (p<.001, **Fig. 3C**) and fatigued (p=.018) compared to the OM group; it should  
 279 be noted however that significant differences existed between the two groups at baseline on both these  
 280 measures. Subsequently, when baseline was included as a covariate in a one-way ANCOVA, shyness

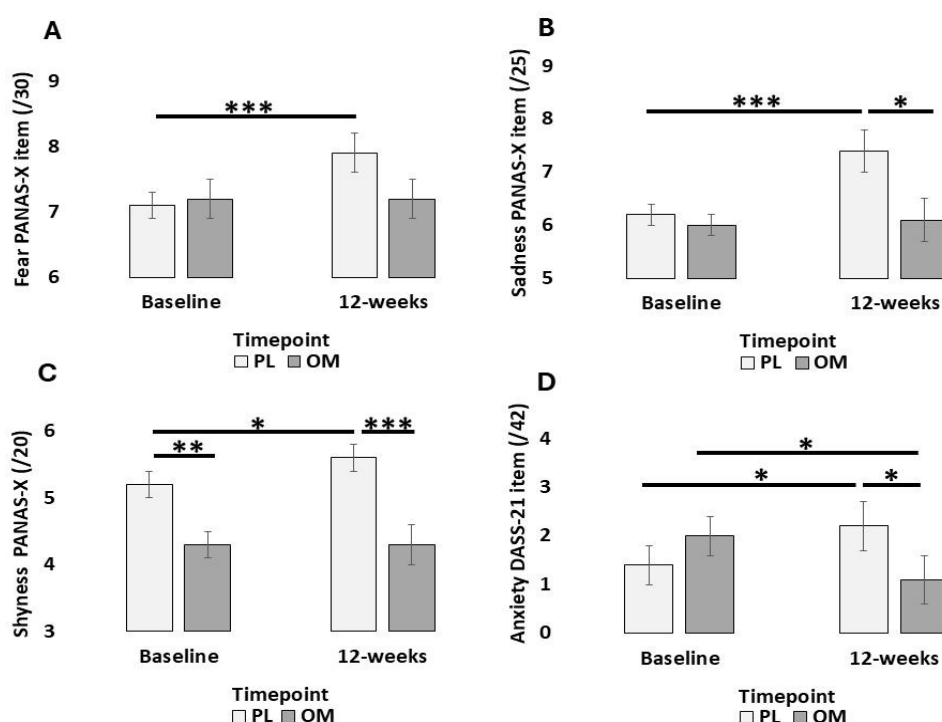


281 ratings at 12-weeks were significantly higher in the PL group compared to the OM group  
 282 [F(1,62)=4.962, p=.030] while no significant differences in fatigue were observed.

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283 Analysis of DASS-21 survey data revealed a significant time\*intervention interaction for the anxiety  
 284 subscale [F(1,63)=8.835, p=.004]. Pairwise comparisons as shown in **Fig. 3D**, revealed that the PL  
 285 group was significantly more anxious at 12-weeks compared to baseline (p=.040). However, for the  
 286 OM group over the same period, DASS-21 anxiety ratings were significantly improved (p=.037),  
 287 resulting in the OM group being significantly less anxious compared to the PL group at 12-weeks  
 288 (p=.022).

289 *Mental Fatigue*: No significant differences were found for MF.

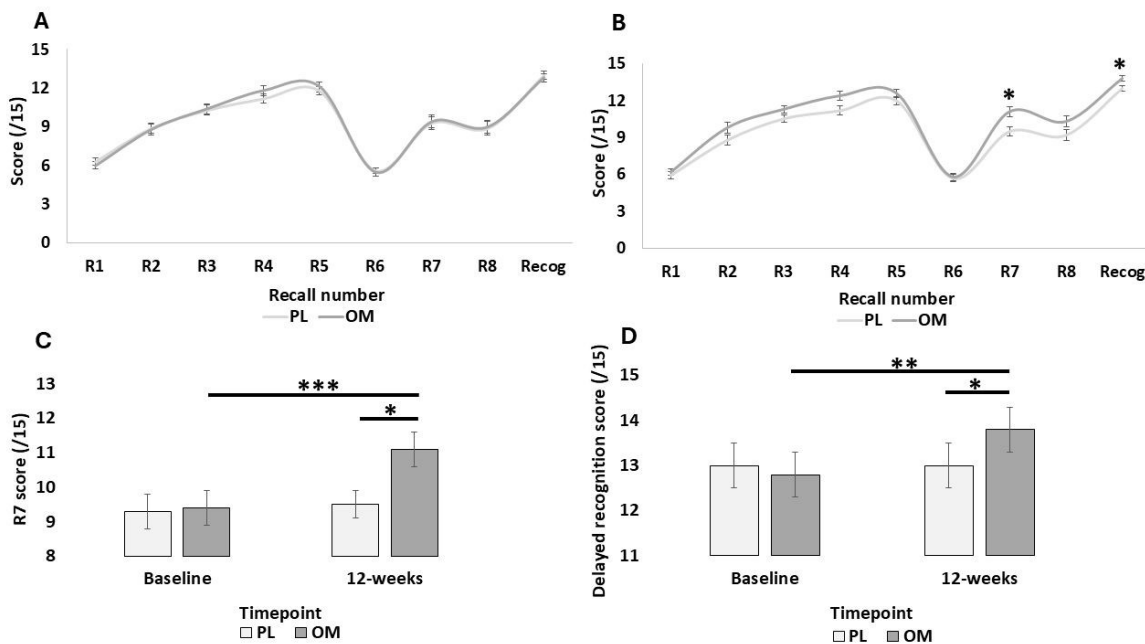


291 **Fig. 3 PANAS-X Fear (Panel A), Sadness (Panel B) and Shyness (Panel C) and DASS-21 Anxiety**  
 292 **(Panel D) scores.** Reported values are estimated marginal means with Raven's IQ measure as  
 293 covariate (mean±SE). Differences between interventions are indicated using \*(p≤.05); \*\*\*(p≤.001). Abbreviations: DASS-21 (Depression, Anxiety and Stress Scale-21-item), OM (Oyster  
 294 mushroom), PANAS-X (Positive and Negative Affect Schedule-X), PL (Placebo).  
 295

296 *Rey Auditory Verbal Learning Task (RAVLT)*: A summary of the RAVLT mean word recall and  
 297 recognition scores for both groups at baseline and at 12-weeks are presented in **Fig. 4A-B**. A  
 298 significant time\*intervention interaction was shown for RAVLT-R7 [F(1,67)=6.809, p=.011] (short-  
 299 term delay following the presentation of an interfering list) and for RAVLT delayed word recognition  
 300 (Recog) [F(1,66)=4.446, p=.039]. Pairwise comparisons revealed a significant improvement in



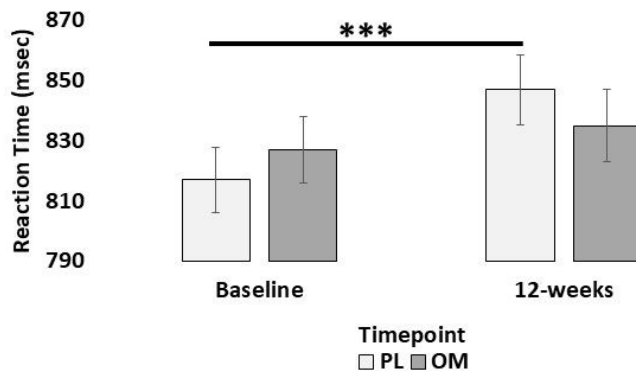
301 RAVLT-R7 ( $p < .001$ , **Fig. 4C**) and delayed word recognition ( $p = .006$ , **Fig. 4D**) scores in the OM  
 302 group at 12-weeks compared to baseline, that resulted in the OM group significantly outperforming  
 303 the PL group in both R7 ( $p = .013$ ) and delayed word recognition ( $p = .025$ ) at the end of the study.



304  
 305 **Fig. 4** RAVLT scores for all word recall and recognition points at baseline (Panel A) and at 12-  
 306 weeks (Panel B). RAVLT scores for word recall following a short-term delay (R7; Panel C), and  
 307 for delayed word recognition (Panel D). Reported values are estimated marginal means with  
 308 Raven's IQ measure as covariate (mean $\pm$ SE). Differences between interventions are indicated using  
 309 \* ( $p \leq .05$ ); \*\* ( $p \leq .01$ ); \*\*\* ( $p \leq .001$ ). Abbreviations: OM (Oyster mushroom), PL (Placebo), RAVLT  
 310 (Rey Auditory Verbal Learning Task), R (Recall), Recog (Recognition).

311 *Task Switching Task (TST)*: TST accuracy scores in both groups were high, [PL group 98% (SE 0.1);  
 312 OM group 97.9% (SE 0.1)] suggesting a possible ceiling effect in performance. No significant  
 313 intervention effects or time\*intervention interactions were observed. However, the main outcome of  
 314 this task is reaction time, and high accuracy scores are needed for meaningful analysis of RT, where  
 315 only correct trials are included in the analysis. Indeed, a significant time\*intervention interaction was  
 316 shown for TST Reaction time (RT) [ $F(1,535) = 4.777$ ,  $p = .029$ ], with pairwise comparisons as shown  
 317 in **Fig. 5**, revealing slower RT in the PL group at 12-weeks compared to baseline ( $p < .001$ ); this  
 318 decrease in performance was not seen in the OM group.





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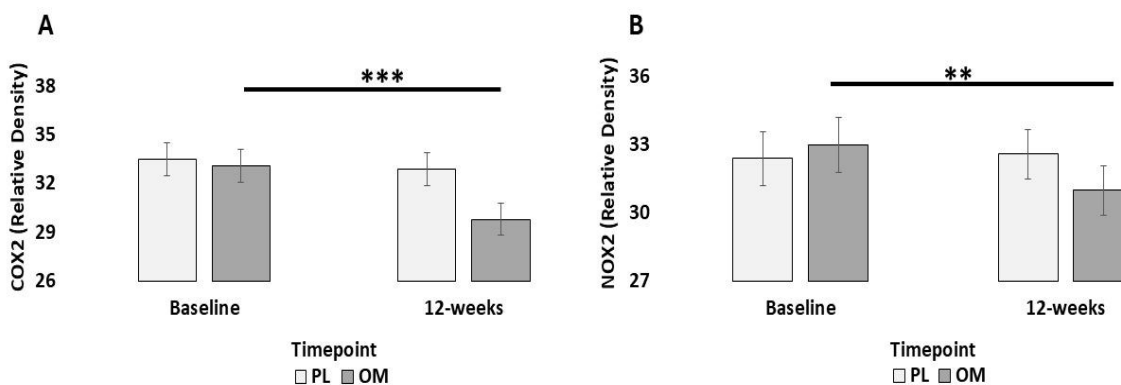
**Fig. 5 TST RT scores.** Reported values are estimated marginal means with Raven's IQ measure as covariate (mean±SE). Differences between interventions are indicated using \*\*\*( $p \leq .001$ ). Abbreviations: OM (Oyster mushroom), PL (Placebo), TST (Task Switching Task).

The were no significant intervention-related main effects or time\*intervention interactions on the Corsi Block Task, Simple and Complex Finger Tapping Tasks (SFT, CFT) and N-Back tasks.

### Anthropometric, biochemical & electrophysiological outcomes

*Anthropometric markers:* No significant findings were observed for BMI, DBP, SBP and HR.

*Biochemical Measures:* Data analysis of the inflammatory markers from a HAPI cell model showed a significant time\*intervention interaction for COX2 [ $F(1,62)=6.463$ ,  $p=.014$ ], iNOS [ $F(1,62)=3.997$ ,  $p=.050$ ] and NOX2 [ $F(1,62)=4.878$ ,  $p=.031$ ]. Pairwise comparisons revealed significantly decreased levels of COX2 ( $p<.001$ , **Fig. 6A**) and NOX2 ( $p=.005$ , **Fig. 6B**) in the cell model following OM treatment at 12-weeks compared to baseline. Pairwise comparisons did not reveal any significant differences in iNOS between the two groups. No significant intervention-related effects or time\*intervention interactions were shown for glucose, TAG, TC, nitrite, BDNF and IL-6 markers.



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**Fig. 6 COX2 (Panel A) and NOX2 (Panel B) markers in a cell model.** Reported values are estimated marginal means (mean±SE). Differences between interventions are indicated using

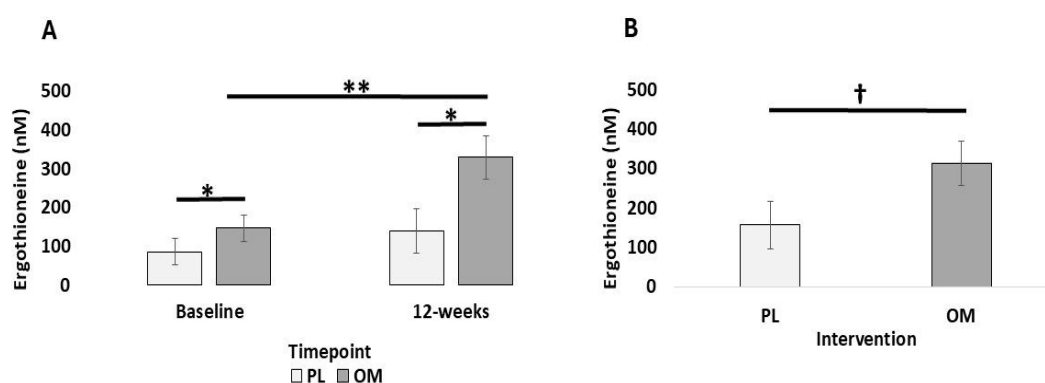


337 **\*\***( $p \leq .01$ ); **\*\*\***( $p \leq .001$ ). Abbreviations: COX2 (Cyclo-Oxygenase 2), NOX2 (NADPH Oxidase 2),  
 338 OM (Oyster Mushroom), PL (Placebo).

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339 *Polyphenol and ergothioneine measurements:* Data analysis of the total polyphenol metabolites  
 340 measured in serum revealed a significant main effect of intervention [ $F(1,58)=6.420$ ,  $p=.014$ ],  
 341 however this was mainly driven by significant polyphenol metabolite differences between the two  
 342 groups at baseline ( $p=.011$ ). One-way ANCOVA with baseline as covariate, did not reveal any  
 343 significant intervention-related differences for total polyphenol metabolites.

344 Analysis of the ergothioneine metabolite measured in serum showed a significant main effect of  
 345 intervention [ $F(1,52)=7.694$ ,  $p=.008$ ]. Pairwise comparisons as shown in **Fig. 7A**, revealed  
 346 significantly increased ergothioneine concentrations in the OM group at 12-weeks compared to  
 347 baseline ( $p=.002$ ). The OM group also showed significantly higher ergothioneine concentrations  
 348 compared to the PL group at baseline ( $p=.029$ ) and following the 12-week intervention period  
 349 ( $p=.023$ ). One-way ANCOVA with baseline as covariate, revealed a trend towards higher  
 350 ergothioneine concentrations in the OM group compared to the PL group ( $p=.071$ , **Fig. 7B**).



51

52 **Fig. 7 Ergothioneine levels measured in serum.** Reported values are estimated marginal means  
 353 (mean±SE) for ANOVA (Panel A), and 1-way ANCOVA with baseline measure as covariate (Panel  
 354 B). Differences between interventions are indicated using †( $p \leq .1$ ), \*( $p \leq .05$ ); **\*\***( $p \leq .01$ ).  
 355 Abbreviations used OM (Oyster mushroom), PL (Placebo).

356 *EEG measurements:* Data from the 1-back and 0-back task were combined as the behavioural  
 357 analyses showed no differences in cognitive performance on either task. Analyses were conducted  
 358 using target trials only. For ERP analysis, no significant intervention-related main effects or  
 359 time\*intervention interactions were observed for P300 or N200 amplitudes or latencies.

360 For PSD analysis, no significant intervention-related main effects or time\*intervention interactions  
 361 were observed during the N-back task. However, analysis of the PSD data for the eyes open condition  
 362 revealed a significant time\*intervention interaction for delta activity in the parietal region



363 [F(1,26)=5.106, p=.032], with pairwise comparisons showing a significant decrease in delta in the PL  
364 group at 12-weeks compared to baseline (p=.042). PSD data analysis for the eyes closed condition  
365 revealed a significant main effect of intervention for theta and gamma activity in the frontal region,  
366 and for theta activity in the parietal region, (all p<.05). It should be noted that for all these measures,  
367 a significant difference existed between the two groups at baseline. One-way ANCOVA with baseline  
368 as covariate, revealed no significant between intervention-related effects.

#### 369 4. Discussion

370 This study examined the cognitive, mood, metabolic and anti-inflammatory effects of OM in healthy  
371 older adults. Findings revealed that the OM intervention generally showed a stabilising effect on  
372 cognitive performance and mood, in contrast to the PL group where slower reaction times on the  
373 switch task, accompanied by increases in negative mood as indicated by PANAS-X ratings of fear,  
374 sadness and shyness and DASS-21 anxiety ratings, were seen between baseline and 12-weeks.  
375 However, for the OM group over the 12-week period, DASS-21 anxiety ratings and RAVLT R7  
376 delayed word recall and delayed word recognition scores were improved, and the levels of  
377 inflammatory markers (COX2, NOX2) were reduced in serum-treated rat microglia cells *in vitro*. At  
378 the end of the intervention period, the OM group displayed lower sadness, shyness and anxiety scores,  
379 and higher R7 delayed word recall and delayed word recognition scores compared to the PL group.

380 Mood related findings appear largely driven by minor changes in the PL group rather than marked  
381 improvement in the OM group. Given the subjective nature of self-reported mood and the use of only  
382 two assessment points, these findings are most appropriately interpreted as a potential mood-  
383 stabilising effect of the OM intervention, rather than robust mood enhancement. Natural mood  
384 fluctuations over time may also have contributed to observed changes and should be considered when  
385 interpreting these outcomes. Interestingly, the beneficial cognitive effect was mostly shown within  
386 the domain of episodic memory rather than on other cognitive domains. Studies examining the effects  
387 of medicinal mushrooms, such as Lion's Mane mushroom, in both healthy and mild cognitive  
388 impaired (MCI) older adults, have observed similar benefits to memory, general cognition, and mood.  
389 Specifically, when older adults with cognitive decline consumed either 3g fruiting body Lion's Mane  
390 daily for 16-weeks<sup>15</sup> or 1g mycelium Lion's Mane for 49-weeks<sup>17</sup>, they exhibited a significant post-  
391 intervention improvement in the Hasegawa's Dementia Scale (HDS-R) and Mini-Mental State Exam  
392 (MMSE) scores. Regarding mood outcomes, Vigna and colleagues showed significant reduction in  
393 anxiety scores (measured by the Zung's scale) in obese middle-aged adults that followed a low-calorie  
394 diet with 1.5g Lion's Mane daily for 2-months compared to baseline<sup>14</sup>. However, studies that  
395 employed other common edible mushrooms in older adults, such as those administering white button  
396 mushrooms<sup>21</sup> or Reishi mushroom extract<sup>20</sup>, did not observe any significant benefits on mood, or



397 aspects of cognitive improvement, so the species of mushroom and its unique bioactives may be an  
398 important factor to understand mushrooms' mechanism of action.

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399 The cognitive and mood benefits observed in the current study were also accompanied by anti-  
400 inflammatory effects. We showed that serum from OM-supplemented older adults can significantly  
401 reduce the production of inflammatory stress signals, at 12-weeks compared to baseline, in LPS-  
402 stressed HAPI rat microglial cells, *in vitro*. This result indicates that the OM might indirectly benefit  
403 cognition by being involved in the nitric oxide (NO) signalling cascade. Evidence suggests that nitric  
404 oxide synthase (NOS) and NO play vital roles in the pathogenesis of vascular dementia and  
405 endothelial dysfunction, but further investigation is needed to discern the role OM play in this  
406 signalling cascade<sup>36</sup>. Previous research has demonstrated that ergothioneine exerts antioxidant  
407 effects, by upregulating glutathione (GSH) levels, which are often reduced in psychiatric and  
408 neurodegenerative diseases<sup>37</sup>. Consistent with these effects, ergothioneine levels were significantly  
409 higher in serum from the OM group compared to the PL group following the 12-week  
410 supplementation. The increase in ergothioneine observed here may have contributed to the anti-  
411 inflammatory and mood-enhancing effects of OM. Emerging clinical trials are investigating the direct  
412 effects of ergothioneine on cognition, including studies in middle-aged and older adults<sup>38</sup> and pilot  
413 trials in individuals with mild cognitive impairment<sup>39</sup>, supporting its potential neuroprotective role.  
414 Importantly, OM contains a complex mix of bioactive compounds beyond ergothioneine, which may  
415 also contribute to the observed cognitive, mood and anti-inflammatory effects. Therefore, while  
416 ergothioneine likely plays a role, the benefits of OM are probably due to multiple interacting  
417 bioactives.

418 With regards to metabolic markers, Uffelmann and colleagues<sup>40</sup> have previously reported that adopting  
419 a Mediterranean diet with white button and OM for 8-weeks can significantly reduce fasting serum  
420 glucose levels (but in the absence of changes to inflammatory markers). Other chronic studies  
421 employing a mushroom intervention including ours did not observe any significant changes to  
422 metabolic or anthropometric markers<sup>41</sup>. Further research is needed to fully explore any potential  
423 metabolic benefits of OM, either chronically or in the immediate postprandial period. Regarding  
424 neurotrophic effects, in our study we showed no significant differences in serum BDNF levels  
425 following a 12-week OM intervention. It might be that a longer period of supplementation is needed  
426 for neuroprotective effects to occur. Our findings are supported by a recent review that also showed  
427 inconsistent findings regarding increases in BDNF following the intake of flavonoid-rich  
428 interventions, such as green tea and dark chocolate<sup>42</sup>. This could be explained by differences in the  
429 bioavailability of the many active compounds present in the different dietary interventions. It has  
430 been postulated that certain bioactives in mushrooms can significantly increase the expression of



431 neurotrophic markers. For instance, the hericenones and erinacines present in Lion's Mane mushroom  
432 can increase the expression of neurotrophic factors in animal models<sup>43</sup>. Human studies have also  
433 shown that daily consumption of Lion's Mane mushroom for up to 8-weeks can significantly increase  
434 circulating BDNF levels<sup>14, 44</sup>. However, Lion's Mane is much richer in erinacine and hericenone  
435 compounds than OM<sup>45</sup>, providing a possible explanation for the lack of findings in the current study.

436 A further outcome examined in our study was to assess brain activity by using EEG. We focused on  
437 P300, and N200 ERP components observed in response to presentation of stimuli because they are  
438 associated with various cognitive processes such as attention, working memory and executive  
439 function<sup>46</sup>. Studies suggest that there is usually a higher activation in parietal brain regions, compared  
440 to the frontal regions, when completing an attention-working memory task<sup>34</sup>. This was shown in our  
441 study by higher peak amplitudes observed parietally. However, following the 12-week intervention  
442 period, there were no significant differences between the OM or PL groups in any ERP measures.  
443 The absence of detectable EEG effects should be interpreted with caution given the limited sample  
444 size, the relatively low cognitive load of the task used, and the inter-individual variability of ERP and  
445 PSD responses. These factors likely limited sensitivity to detect any subtle neural changes, and may  
446 account for the null findings rather than indicating a true lack of neural impact of the intervention.  
447 This interpretation is supported by the positive findings of other researchers. For example,  
448 Muchimapura and colleagues employed a functional cone mushroom intervention for 6-weeks in  
449 middle-aged adults and found significant increases in N100 and P300 amplitudes in the frontal (Fz)  
450 region after completing an oddball auditory paradigm task<sup>47</sup>. However, differences in the age of  
451 participants, mushroom type and modality of stimulus presentation (auditory versus visual) may also  
452 help explain the differences between our findings and those reported in previous research.

453 A particular strength of our study is that it is the first to specifically examine the chronic effects of  
454 ergothioneine-rich OM in a UK population. Also, a variety of cognitive tasks were employed covering  
455 a wide range of neurocognitive domains and our study is one of the few in nutritional psychology  
456 research that has collected concurrent electrophysiological data to examine brain activity. Regarding  
457 the sample size used in our study, there were no published studies that specifically used an OM  
458 intervention and thus, we based our calculation on studies that employed other mushroom species.

459 Habitual dietary intake, including mushroom intake was assessed using FFQ. This measure revealed  
460 no baseline differences in mushroom intake between groups, however we acknowledge that this  
461 frequency based measure lacks precision regarding intake quantity and mushroom species. The  
462 observed baseline variability in ergothioneine and total polyphenol concentrations measured in serum  
463 likely reflects a combination of long-term dietary habits and inter-individual variability in the  
464 absorption, metabolism, and retention of these micronutrients from multiple sources, not just



465 mushrooms. While these baseline differences appeared to account for post-intervention differences  
466 in polyphenol metabolites, higher post-intervention ergothioneine concentrations in the OM group  
467 are consistent with the increased OM intake during the intervention period. Similarly, baseline  
468 differences in habitual energy intake were evident from the FFQ data. In order to try and minimise  
469 potential confounds from habitual diet, we implemented a controlled dietary protocol (low polyphenol  
470 dietary restrictions) and consumption of a standardised breakfast prior to both testing sessions, but  
471 future trials may benefit from analysing both serum and FFQ data at baseline before allocation of  
472 participants in order to further minimise potential confounds (although this is challenging in rolling  
473 recruitment designs such as adopted here). Another caveat is that accuracy scores on the executive  
474 function task (TST) used here were close to ceiling. While this limited the ability to detect  
475 improvements in accuracy, the main outcome of this task pertains to reaction time. Indeed, high  
476 accuracy is needed in order for meaningful analysis of RT where only correct trials are included.  
477 However, future studies may benefit from using more cognitively demanding tasks that might allow  
478 us to examine the chronic effects of OM more comprehensively including relationships between  
479 neurotrophic and inflammatory markers and specific domains of behavioural effects. Nevertheless,  
480 preliminary findings relating to episodic memory, reaction times, aspects of mood, and inflammation  
481 appear promising and warrant further investigation.

## 482 5. Conclusion

483 The findings of the OYSCOG study have shown that the 12-week OM intervention, maintained mood  
484 and improved episodic memory in healthy older adults compared to PL, alongside reducing markers  
485 of inflammation *in vitro*. Neurocognitive, metabolic and electrophysiological effects were more  
486 equivocal and warrant further investigation to better understand these potential underlying  
487 mechanisms of action following consumption of OM. Nevertheless, these findings highlight the  
488 potential benefits of including OM in the diet during ageing to maintain cognitive performance and  
489 mood.

490 **Author contributions:** C.M.W., B.S.-H. and L.B. designed the study. S.C., L.B. and J.E. conducted  
491 the study. B.S.-H., D.F., Z.Z. and A.R.-M. analysed the blood samples. S.C. performed all statistical  
492 analyses. S.C., L.B. and C.M.W. drafted the paper. All authors approved the final version of the  
493 manuscript.

494 **Conflict of interest:** None of the authors declared any conflicts of interest.

495 **Ethical approval and informed consent:** This study has been approved by the University of Reading  
496 ethics committee and has, therefore, been performed in accordance with the ethical standards laid



497 down in the 1964 Declaration of Helsinki and its later amendments. Informed consent was obtained  
498 from each participant prior to attending the study visits.

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**Data availability:** The data supporting this article have been included as part of the View Article Online  
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