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Bayesian Active Learning to Accelerate High Throughput Phase Diagram Exploration

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Abstract

Phase diagrams are fundamental for understanding phase stability and guiding the synthesis of new materials. However, constructing high-dimensional phase diagrams through exhaustive CALPHAD (CALculation of PHase Diagrams) computations remains costly. We introduce a **Bayesian Active Learning for Phase Diagram Discovery (BALPI)** framework that efficiently identifies phase stability regions by adaptively sampling the thermodynamic space using uncertainty-aware acquisition strategies. BALPI integrates Gaussian Process Classifiers and Regressors within two complementary formulations—classification and level-set estimation—and introduces non-myopic Bayesian acquisition functions, including the Soft Mean Objective Cost of Uncertainty (SMOCU) and an extended straddle (e-straddle) criterion. Using CALPHAD-based phase stability predictions as the ground-truth oracle, BALPI achieves accurate reconstruction of phase boundaries with significantly fewer queries than conventional label propagation and label spreading baselines. Results on SiO₂–Al₂O₃–MgO and Ni–Ti–Hf–Cu systems demonstrate that BALPI captures disconnected phase regions and achieves consistent reductions in Bayesian error and computational cost. More importantly, this work establishes BALPI as a general framework for uncertainty-guided phase diagram discovery and highlights the potential of Bayesian active learning to accelerate computational thermodynamics and materials design, through the efficient exploration of the phase stability landscape at much lower costs relative to competing strategies.

Keywords: Bayesian Active Learning, Gaussian Process, Phase Identification, CALPHAD

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1. Introduction

Phase stability underlies the design and optimization of all materials systems. The equilibrium phase constitution of a system depends on external or internal thermodynamic conditions—temperature, pressure, and composition—and is summarized in *phase diagrams*. From a thermodynamic standpoint, the equilibrium state corresponds to the global constrained minimum of the Gibbs free energy over all phases that may participate in equilibrium. The phase boundaries that comprise the phase diagram separate regions of thermodynamic space associated with distinct equilibrium phase assemblages. These diagrams of stability, in turn, serve as blueprints for understanding microstructural evolution and selecting processing routes that yield desired material properties [1, 2].

The **CALPHAD** (CALculation of PHase Diagrams) framework has become the *de facto* standard for constructing phase diagrams of complex alloy systems [3, 4]. By parameterizing the Gibbs free energies of all relevant phases and minimizing the total free energy under specified conditions, CALPHAD enables the rigorous prediction of equilibrium phase stability across a desired thermodynamic space. These free energy functions are constructed by combining experimental phase stability measurements, thermo-chemical data, and first-principles calculations [5]. While powerful, this process remains computationally and experimentally intensive—particularly for multi-component systems where exhaustive sampling of the compositional and thermal space is infeasible. Moreover, traditional grid-based exploration strategies fail to leverage the fact that certain compositions are disproportionately informative for thermodynamic model calibration and phase boundary discovery.

From grid-based sampling to active learning. Active Learning (AL) offers a principled approach to efficiently explore design spaces by sequentially selecting the most informative data points to label [6]. In materials discovery, AL has proven effective in accelerating experimental design and phase diagram mapping [7–11]. For instance, the Phase-Mapper project employed AL to enhance phase identification in combinatorial thin-film libraries through adaptive sampling guided by X-ray diffraction feedback [12, 13]. More recent studies applied label propagation and label spreading models to automate phase-diagram reconstruction [11]. However, these methods rely on heuristic uncertainty measures or KNN-based surrogates whose performance is highly sensitive to hyperparameter choices. In contrast, *Gaussian Processes* (GPs) provide a Bayesian, non-parametric alternative that enables closed-form uncertainty quantification and empirical Bayes hyperparameter optimization [14, 15]. Building on this foundation, recent work has demonstrated how embedding physics-informed priors into the mean function of GP classifiers can improve constraint-aware sampling and accelerate phase stability discovery under limited data conditions [16].

Bayesian Active Learning for CALPHAD-guided phase discovery. Here, we introduce a unified framework—**Bayesian Active Learning for Phase Identification (BALPI)**—that couples uncertainty-aware sampling with CALPHAD-based phase computations. Rather than re-parameterizing thermodynamic models, BALPI treats CALPHAD (implemented via Thermo-Calc) as a ground-truth oracle that returns either discrete phase labels or continuous phase-fraction scores. The learner iteratively queries new compositions in thermodynamic space to minimize global predictive uncertainty in the phase stability landscape. The use of a CALPHAD oracle mimics an experimental workflow in which phase stability is assessed composition-by-composition via synthesis, followed by characterization of the resulting phase constitution using microscopy, spectroscopy, or diffraction techniques.

We formulate phase discovery under two complementary views:

1. **Classification:** learning the stability domains of distinct phases as a multi-class decision problem using a Gaussian Process Classifier (GPC);
2. **Level-set estimation:** modeling a continuous latent function corresponding to phase-fraction or stability scores using a Gaussian Process Regressor (GPR).

Unlike prior active learning approaches for phase diagram construction that rely primarily on discrete phase labels and myopic uncertainty sampling [7–11, 17], BALPI unifies classification and level-set estimation formulations within



44 a single Bayesian perspective. In particular, BALPI supports queries of either binary phase indicators or continuous
45 phase-fraction or stability scores, enabling the use of both GPC and GPR models coupled with non-myopic acquisition
46 functions such as Soft Mean Objective Cost of Uncertainty (SMOCU) [18, 19] and extended straddle. Importantly,
47 BALPI is agnostic to the source of phase information: while CALPHAD equilibrium computations are used in this
48 work as a reproducible oracle for benchmarking, the framework is directly applicable to experimental workflows in
49 which phase stability is determined via synthesis and characterization.

50 For adaptive sampling, we evaluate a suite of Bayesian acquisition functions, including: (i) Maximum Entropy
51 Search (MES), which selects points with maximal expected information gain [20]; (ii) Bayesian Active Learning
52 by Disagreement (BALD), which targets epistemic uncertainty via disagreement in posterior predictive distribu-
53 tions [21]; (iii) a soft Mean Objective Cost of Uncertainty (SMOCU) criterion for non-myopic, outcome-aware ex-
54 ploration [18, 19]; and (iv) an extended straddle (e-straddle) function that balances phase-boundary refinement with
55 global uncertainty reduction [22].

56 *Contributions and impact.* Our contributions are threefold:

- 57 • We propose a modular Bayesian active learning platform (BALPI) for uncertainty-aware exploration of CALPHAD-
58 computed phase diagrams.
- 59 • We formulate phase discovery as either a classification or a level-set estimation problem, combining GPC/GPR
60 models with principled Bayesian acquisition functions.
- 61 • We empirically demonstrate that BALPI outperforms conventional label propagation and label spreading base-
62 lines on representative ternary and quaternary systems, achieving faster convergence and resolving disconnected
63 phase regions that are often missed by deterministic methods.

64 Importantly, and beyond CALPHAD-related applications, BALPI establishes a general framework for autonomous
65 phase diagram discovery where querying phase information—experimentally or via simulation—is costly. The combi-
66 nation of Gaussian process surrogates and Bayesian acquisition functions offers a robust pathway toward interpretable,
67 uncertainty-aware materials exploration.

68 2. Related Work

69 Active learning (AL) has emerged as a powerful strategy for accelerating the construction of phase diagrams and
70 phase mapping in both computational and experimental materials science. Early efforts such as the *Phase-Mapper*
71 *Project* [12, 13] demonstrated how machine learning can guide experimental synthesis and phase identification from
72 high-throughput X-ray diffraction data. Building upon these ideas, Terayama *et al.* [11] introduced an uncertainty-
73 sampling strategy for efficient phase diagram construction by integrating label propagation and label spreading models
74 with CALPHAD-based thermodynamic calculations.

75 More recent studies have incorporated explicit Bayesian or thermodynamics-informed models to further improve
76 sampling efficiency. Ament *et al.* [7] proposed a hierarchical Bayesian active learning framework capable of au-
77 tonomously discovering nonequilibrium phase diagrams from experimental data. Abranches *et al.* [9] developed a
78 thermodynamics-informed Gaussian process (GP) active learning approach to estimate activity coefficients and build
79 phase diagrams, emphasizing the integration of domain-specific priors. Dai *et al.* [8] formulated phase diagram explo-
80 ration as an active learning problem with adaptive sampling driven by model uncertainty, while Deffrennes *et al.* [10]
81 combined supervised machine learning with CALPHAD computations to directly predict phase boundaries. In paral-
82 lel, Lookman *et al.* [17] demonstrated that Gaussian process regression and Bayesian inference provide a flexible and
83 interpretable foundation for modeling phase diagrams and efficiently sampling phase boundaries.



Table 1: Summary of notation used in the Bayesian Active Learning for Phase Diagram Discovery (BALPI) framework.

| Symbol | Description |
|---|--|
| $\mathbf{x} \in \mathcal{X} \subset \mathbb{R}^d$ | Composition vector in d -dimensional design space |
| T, P | Temperature and pressure defining thermodynamic conditions |
| $y \in \{0, 1\}$ | Binary phase indicator (1: phase of interest, 0: otherwise) |
| $s \in [0, 1]$ | Continuous stability score or phase fraction (e.g., CALPHAD output) |
| $f(\mathbf{x})$ | Latent phase-stability function modeled by the GP surrogate |
| \mathcal{D}_t | Dataset at iteration t : $\{(\mathbf{x}_i, y_i)\}_{i=1}^{N_t}$ |
| $K(\mathbf{x}, \mathbf{x}')$ | Covariance (kernel) function defining GP prior correlation |
| a, l | Kernel hyperparameters: amplitude and length scale |
| $\mu(\mathbf{x}), \sigma^2(\mathbf{x})$ | GP posterior mean and variance at \mathbf{x} |
| $U(\mathbf{x})$ | Bayesian acquisition (utility) function guiding query selection |
| $U_{\text{SMOCU}}(\mathbf{x})$ | Soft Mean Objective Cost of Uncertainty (decision-theoretic utility) |
| $U_{\text{e-straddle}}(\mathbf{x})$ | Extended straddle utility (heuristic boundary-based utility) |
| t | Active learning iteration. |
| $g(\cdot)$ | Transformation in e-straddle reward term ($g(r) = r, r^2, \text{ or } \sqrt{r}$) |
| β | Hyperparameter balancing exploration and exploitation (e-straddle) |
| k | Softness parameter in S-MOCU controlling LogSumExp smoothness |
| \mathcal{D}_T | Final dataset after T active learning iterations |
| $p(y \mathbf{x}, \mathcal{D})$ | Predictive phase probability from GP surrogate |
| $\text{Var}(f(\mathbf{x}) \mathcal{D})$ | Posterior variance of latent function (epistemic uncertainty) |
| \mathbf{x}_{t+1} | Next query selected by maximizing $U(\mathbf{x})$ |
| τ | Decision threshold for phase boundary (typically $t = 0.5$) |

84 Despite these advances, most existing frameworks rely on myopic acquisition strategies or a single modeling
85 formulation (typically classification), limiting their ability to identify disconnected or multimodal phase-stability re-
86 gions. Our proposed **Bayesian Active Learning for Phase Identification (BALPI)** framework extends this line
87 of research by (i) unifying classification and level-set estimation formulations under a single Bayesian perspective,
88 (ii) introducing non-myopic and differentiable acquisition functions such as the *Soft Mean Objective Cost of Uncer-
89 tainty (SMOCU)* and *extended straddle (e-straddle)*, and (iii) demonstrating superior convergence and robustness on
90 both glass-ceramic and shape-memory alloy systems. BALPI thus generalizes prior AL paradigms into a modular,
91 uncertainty-aware framework for the autonomous exploration of CALPHAD-derived thermodynamic spaces.



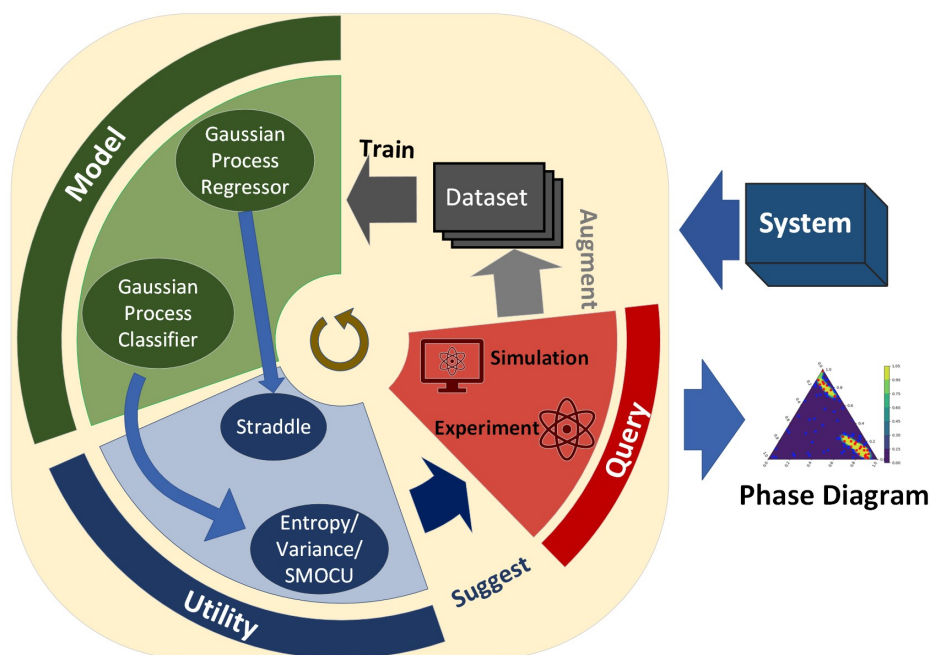


Figure 1: Schematic of the **BALPI** (Bayesian Active Learning for Phase Diagram Discovery) workflow. The framework integrates *Model*, *Utility*, and *Query* modules: a Gaussian Process Classifier or Regressor serves as the surrogate model; Bayesian utilities such as Straddle, Entropy, Variance, or SMOCU guide sampling; and CALPHAD simulations or experiments provide new phase observations to augment the dataset, iteratively refining the phase diagram.

3. Methods

The proposed **Bayesian Active Learning for Phase Diagram Discovery (BALPI)** framework provides a unified workflow for automated phase diagram exploration by combining CALPHAD-based thermodynamic modeling with Gaussian Process (GP)–based surrogate learning and Bayesian decision-making. As illustrated in Figure 1, BALPI operates as a closed-loop system consisting of three modules: *Model*, *Utility*, and *Query*. In each iteration, the GP surrogate (either a classifier or a regressor) is trained on existing CALPHAD data—serving as a proxy for a fully experimental or computational campaign. The Bayesian acquisition function is then used to compute the expected information gain across all candidate compositions, and the most informative sample is selected for evaluation—either by querying the CALPHAD oracle or by performing a phase-stability experiment. The resulting phase label or stability score is appended to the dataset, and the loop continues until convergence in uncertainty or exhaustion of the sampling budget. This design allows BALPI to systematically map phase stability regions with minimal computational cost, serving as a general-purpose platform for uncertainty-guided exploration of thermodynamic systems.

From a technical perspective, the BALPI workflow can be interpreted as a Bayesian decision-making process built on Gaussian Process (GP) surrogates. Each module in Figure 1 corresponds to a distinct mathematical component: the *Model* stage defines a GP prior over the latent thermodynamic function $f(\mathbf{x})$, the *Utility* stage evaluates an acquisition function $U(\mathbf{x})$ that quantifies the expected benefit—such as information gain, uncertainty reduction, or decision-quality improvement—from evaluating a new query, and the *Query* stage provides ground-truth phase data from CALPHAD or experiments to update the dataset. This modular decomposition allows the active learning process to be formalized within a unified probabilistic framework, where the objective is to minimize the epistemic uncertainty of the surrogate model while efficiently identifying phase boundaries. The following subsections provide the mathematical formulation of this framework, including (i) the problem setup, (ii) the Gaussian Process surrogate models for phase prediction, (iii) the Bayesian acquisition functions used to guide exploration, and (iv) the complete



114 active learning loop that defines the BALPI algorithm.

115 3.1. Problem formulations

116 The goal of BALPI is to efficiently discover the phase stability landscape of a multi-component material system
117 within a specified thermodynamic space. Let the system be parameterized by composition $\mathbf{x} \in \mathcal{X} \subset \mathbb{R}^d$, temperature
118 T , and pressure P . Each query to the thermodynamic model or experiment returns a phase indicator, which can be
119 represented as either a discrete label

$$y \in \{0, 1\},$$

120 where $y = 1$ indicates that the queried design \mathbf{x} belongs to the phase of interest and $y = 0$ otherwise, or a continuous
121 score

$$s \in [0, 1],$$

122 such as the predicted phase fraction or stability probability obtained from CALPHAD-based simulations (e.g., Thermo-
123 Calc). The dataset at iteration t is thus denoted as

$$\mathcal{D}_t = \{(\mathbf{x}_i, y_i)\}_{i=1}^{N_t} \quad \text{or} \quad \mathcal{D}_t = \{(\mathbf{x}_i, s_i)\}_{i=1}^{N_t},$$

124 depending on the availability of discrete or continuous outputs.

125 The objective of BALPI is to construct a surrogate model that accurately approximates the phase stability function
126 across \mathcal{X} while minimizing the number of expensive CALPHAD evaluations or experimental measurements. This
127 objective can be formalized as minimizing the total model uncertainty over the domain:

$$\min_{\mathcal{D}_T} \mathbb{E}_{\mathbf{x} \in \mathcal{X}} [\text{Var}(f(\mathbf{x}) \mid \mathcal{D}_T)],$$

128 where $f(\mathbf{x})$ denotes the latent function representing the thermodynamic state (e.g., the phase indicator score).

129 At each iteration, BALPI actively selects the next query \mathbf{x}_{t+1} according to a Bayesian acquisition function $U(\mathbf{x})$:

$$\mathbf{x}_{t+1} = \arg \max_{\mathbf{x} \in \mathcal{X}} U(\mathbf{x}),$$

130 where $U(\mathbf{x})$ quantifies the expected information gain or uncertainty reduction that would result from evaluating the
131 phase at \mathbf{x} . This active querying strategy enables targeted sampling near phase boundaries, where uncertainty in phase
132 stability is typically the highest, thereby accelerating convergence of the phase diagram.

133 In this study, we focus on the ternary system $\text{SiO}_2\text{-Al}_2\text{O}_3\text{-MgO}$ as a representative case for demonstrating the
134 efficiency of the proposed framework. However, the formulation is general and applicable to arbitrary multicomponent
135 systems and thermodynamic conditions.

137 *Feature preprocessing and compositional constraints.* In all experiments, the model inputs are composition fractions,
138 so the feasible design space is a compositional simplex rather than an unconstrained hypercube. We therefore param-
139 eterize the surrogate directly in terms of independent composition coordinates and enforce the sum-to-one constraint
140 analytically. For the ternary $\text{SiO}_2\text{-Al}_2\text{O}_3\text{-MgO}$ case for example, the independent inputs include (x, y) and the third
141 coordinate is recovered as $z = 1 - x - y$, with only feasible points satisfying $x, y, z \in [0, 1]$ retained. For the quaternary
142 NiTiHfCu case, compositions are parameterized as $\text{Cu}_x\text{Hf}_y\text{Ni}_{z/2}\text{Ti}_{z/2}$ with $x + y + z = 1$, so the independent variables
143 again lie on a simplex that uniquely determines the full composition. Because these variables are already expressed as
144 normalized mole fractions on $[0, 1]$, we do not introduce an additional z-score or min-max feature scaling step beyond
145 enforcing the compositional feasibility constraint.



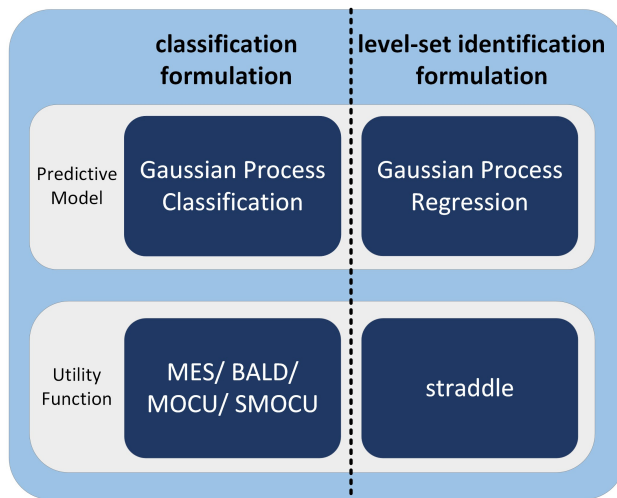


Figure 2: Predictive models and Bayesian utility functions used in the two phase identification formulations of the BALPI framework. The classification formulation employs Gaussian Process Classification with MES, BALD, MOCU, or SMOCU utilities, while the level-set formulation uses Gaussian Process Regression with the straddle utility.

Due to differences in problem formulation, we employ Gaussian Process Classification (GPC) and Gaussian Process Regression (GPR) as predictive models for the classification and regression-based versions of BALPI, respectively. Each formulation is paired with its own set of Bayesian utility functions. The predictive models and associated utility functions are summarized in Figure 2, and the full BALPI workflow is illustrated in Figure 1. We describe both components in more detail below.

3.2. Gaussian Process Surrogates

In Bayesian Active Learning, the predictive surrogate plays a central role by providing both mean estimates and principled uncertainty quantification required to compute the acquisition utility. Gaussian Processes (GPs) are a natural choice for this purpose due to their analytical tractability, interpretability, and inherent ability to model predictive uncertainty. They have been widely adopted as surrogate models in Bayesian optimization and Bayesian experimental design [23], particularly in problems with moderate input dimensionality such as the phase identification tasks considered in this work. We employ two GP variants: the Gaussian Process Classifier (GPC) for phase classification and the Gaussian Process Regressor (GPR) for level-set estimation. Both models share a common prior formulation, but differ in their likelihood models.

Gaussian Process Classifier (GPC). For classification-based phase identification, we define a data set $\mathcal{D} = \{(\mathbf{x}_i, y_i)\}_{i=1}^N$ with labels $y_i \in \{0, 1\}$ that indicate the presence or absence of a specific phase. The GPC assumes a latent function $f(\mathbf{x})$ drawn from a Gaussian process prior:

$$p(f) = \mathcal{GP}(0, K(\mathbf{x}, \mathbf{x}')), \quad (1)$$

where $K(\cdot, \cdot)$ is a positive-definite kernel function encoding the smoothness of f . Each label y_i follows a Bernoulli likelihood with a sigmoid link function:

$$p(y_i | f(\mathbf{x}_i)) = \sigma(f(\mathbf{x}_i))^{y_i} [1 - \sigma(f(\mathbf{x}_i))]^{1-y_i}, \quad (2)$$

where $\sigma(\cdot)$ denotes the logistic sigmoid. Because this likelihood is non-Gaussian, the posterior $p(f | \mathcal{D})$ is analytically intractable. Approximate inference methods such as Laplace Approximation (LA) [24] and Expectation Propagation (EP) [25] are therefore employed. We adopt EP in this work for its superior accuracy in approximating the latent



168 function posterior through iterative local Gaussian refinement. The resulting predictive distribution $p(y_* | \mathbf{x}_*, \mathcal{D})$
169 provides class probabilities and corresponding predictive uncertainty, which serve as the foundation for evaluating
170 uncertainty-aware acquisition functions such as SMOCU.

171 *Gaussian Process Regressor (GPR)*. For level-set estimation and continuous phase-score modeling, we employ a
172 Gaussian Process Regressor defined on the dataset $\mathcal{D} = \{(\mathbf{x}_i, y_i^c)\}_{i=1}^N$, where each observation follows

$$y_i^c = f(\mathbf{x}_i) + \epsilon, \quad \epsilon \sim \mathcal{N}(0, \sigma_n^2),$$

173 with σ_n^2 representing Gaussian observation noise. Assuming the same prior as in Eq. (1), the posterior predictive
174 distribution at any new input \mathbf{x}_* is Gaussian:

$$p(f_* | \mathbf{x}_*, \mathbf{X}, \mathbf{y}) = \mathcal{N}(\mu(\mathbf{x}_*), \sigma^2(\mathbf{x}_*)), \quad (3)$$

175 with closed-form expressions for the mean and variance:

$$\mu(\mathbf{x}_*) = \mathbf{k}_*^\top (\mathbf{K} + \sigma_n^2 \mathbf{I})^{-1} \mathbf{y}, \quad (4)$$

$$\sigma^2(\mathbf{x}_*) = K(\mathbf{x}_*, \mathbf{x}_*) - \mathbf{k}_*^\top (\mathbf{K} + \sigma_n^2 \mathbf{I})^{-1} \mathbf{k}_*, \quad (5)$$

176 where $\mathbf{X} = [\mathbf{x}_1, \dots, \mathbf{x}_N]$, $\mathbf{y} = [y_1^c, \dots, y_N^c]^\top$, and the kernel matrix $\mathbf{K} = [\mathbf{k}_1, \dots, \mathbf{k}_N]$, $\mathbf{k}_j = [K(\mathbf{x}_1, \mathbf{x}_j), \dots, K(\mathbf{x}_N, \mathbf{x}_j)]^\top$,
177 $\mathbf{k}_* = [K(\mathbf{x}_1, \mathbf{x}_*), \dots, K(\mathbf{x}_N, \mathbf{x}_*)]^\top$. The predictive variance $\sigma^2(\mathbf{x}_*)$ naturally captures the epistemic uncertainty of the
178 model and provides the quantitative basis for active sampling in level-set estimation tasks.

179 *Kernel Function and Hyperparameter Estimation*. The covariance kernel $K(\mathbf{x}, \mathbf{x}')$ specifies the correlation structure of
180 the GP prior and governs the smoothness of the learned phase landscape. Among standard choices such as the Radial
181 Basis Function (RBF), Matérn, and linear kernels, we employ the RBF kernel for its interpretability and smooth
182 generalization:

$$K_{a,l}(\mathbf{x}, \mathbf{x}') = a \exp\left(-\frac{\|\mathbf{x} - \mathbf{x}'\|^2}{l}\right), \quad (6)$$

183 where a and l denote the kernel amplitude and length-scale hyperparameters, respectively. These hyperparameters are
184 estimated using empirical Bayes by maximizing the marginal likelihood:

$$p(\mathbf{y} | \mathbf{X}) = \frac{1}{(2\pi)^{\frac{N}{2}} |\mathbf{K}_{a,l}|^{\frac{1}{2}}} \exp\left(-\frac{1}{2} \mathbf{y}^\top \mathbf{K}_{a,l}^{-1} \mathbf{y}\right), \quad (7)$$

185 where $\mathbf{K}_{a,l}$ is the kernel matrix constructed from $K_{a,l}(\mathbf{x}, \mathbf{x}')$. The resulting GP surrogate thus provides both mean
186 predictions and calibrated uncertainty estimates, forming the probabilistic foundation for the acquisition functions
187 described in the following section.

188 3.3. Bayesian Acquisition Functions

189 The acquisition function determines the sampling policy in Bayesian Active Learning (BAL) by quantifying the
190 expected information gain or uncertainty reduction from evaluating a new point. In this work, we focus on two com-
191plementary acquisition strategies: a decision-theoretic utility based on the *Soft Mean Objective Cost of Uncertainty*
192 (S-MOCU) and a heuristic, uncertainty-driven criterion termed *extended straddle* (e-straddle). Both approaches rely
193 on the predictive uncertainty provided by the Gaussian Process surrogates described in Section 3.2.



194 *Soft Mean Objective Cost of Uncertainty (S-MOCU)*. The Mean Objective Cost of Uncertainty (MOCU) frame-
195 work [18, 19] quantifies the expected degradation in performance caused by model uncertainty. In the context of
196 phase identification, MOCU measures the expected loss in decision quality that arises from uncertainty in the phase
197 stability classifier across the design space. To minimize this loss, the MOCU-based acquisition policy selects the next
198 query that maximally reduces global uncertainty in a one-step look-ahead manner.

199 We adopt a **Soft MOCU (S-MOCU)** formulation that relaxes the original non-differentiable MOCU objective
200 by replacing the max operator with a smooth LogSumExp approximation. This results in a strictly concave and
201 differentiable utility function, enabling efficient gradient-based optimization over the design space.

202 The S-MOCU utility is defined as

$$U_{\text{SMOCU}}(\mathbf{x}) = \mathbb{E}_{\mathbf{x}'} \left[\mathbb{E}_{y|\mathbf{x}} \left[\frac{1}{k} \text{LogSumExp}(k p(y | \mathbf{x}', \mathcal{D}, \mathbf{x}, y)) \right. \right. \\ \left. \left. - \frac{1}{k} \text{LogSumExp}(k p(y | \mathbf{x}', \mathcal{D})) \right) \right] \quad (8)$$

203 where \mathbf{x} is the candidate composition to query, \mathbf{x}' spans all points in the design space, and $p(y|\mathbf{x}', \mathcal{D}, \mathbf{x}, y)$ denotes
204 the predictive distribution after a hypothetical observation y at \mathbf{x} . The parameter $k > 0$ controls the smoothness
205 of the LogSumExp relaxation: as $k \rightarrow \infty$, the expression converges to the original MOCU objective, while smaller
206 k yields smoother gradients. By softening the discontinuous maximum, S-MOCU preserves the decision-theoretic
207 interpretation of MOCU while offering improved numerical stability and more efficient optimization. Its one-step
208 look-ahead nature ensures globally informed exploration that adaptively focuses sampling in regions of high phase
209 uncertainty.

210 *Extended Straddle (e-straddle)*. While S-MOCU provides a principled decision-theoretic approach, the straddle cri-
211 terion [26] offers a computationally efficient, heuristic alternative particularly suited for level-set estimation tasks.
212 The classical *straddle* utility,

$$U_{\text{straddle}}(\mathbf{x}) = -|\mu(\mathbf{x})| + 1.96 \sigma(\mathbf{x}), \quad (9)$$

213 can be interpreted as an upper-confidence-bound (UCB) rule that balances proximity to the decision boundary (ex-
214 ploitation) and prediction uncertainty (exploration).

215 We generalize this formulation and propose the **extended straddle (e-straddle)** utility:

$$U_{\text{e-straddle}}(\mathbf{x}) = -g(|\mu(\mathbf{x}) - \tau|) + \beta \sigma(\mathbf{x}), \quad (10)$$

216 where τ denotes the decision threshold (typically $\tau = 0.5$), β is a tunable coefficient controlling the exploration–exploitation
217 balance, and $g(\cdot)$ is a transformation function applied to the reward term. The transformation modulates the sampling
218 preference with respect to the distance from the phase boundary. Specifically:

- 219 • $g(r) = r$ recovers the standard straddle criterion;
- 220 • $g(r) = r^2$ increases the penalty for points farther from the boundary, encouraging broader exploration;
- 221 • $g(r) = \sqrt{r}$ reduces this penalty, refining sampling near the boundary.

222 By adjusting $g(\cdot)$, the e-straddle framework flexibly interpolates between exploratory and exploitative behaviors, al-
223 lowing efficient and interpretable control of the active sampling dynamics. While heuristic in nature, e-straddle
224 remains computationally lightweight and performs competitively against more complex Bayesian criteria such as
225 S-MOCU.



226 *Comparison and Complementarity.* The two acquisition functions are conceptually complementary: S-MOCU ex-
227 plicitly minimizes the expected global cost of uncertainty and thus offers a theoretically grounded approach to phase-
228 space exploration, whereas e-straddle provides a simpler yet effective heuristic for rapidly refining phase boundaries.
229 Together, these two strategies enable both globally aware and locally adaptive sampling within the proposed BAL
230 framework.

231 3.4. Active Learning Workflow Implementation

232 The proposed Bayesian Active Learning for Phase Identification (BALPI) framework integrates Gaussian Process
233 surrogates with Bayesian acquisition strategies to adaptively construct phase diagrams with minimal thermodynamic
234 evaluations. Figure 1 provides an overview of the iterative workflow, and Algorithm 1 summarizes the full procedure.

235 *Workflow Overview.* The BALPI workflow consists of four main stages:

- 236 1. **Initialization.** A small set of initial sampling points $\{\mathbf{x}_i\}_{i=1}^{N_0}$ is selected using a low-discrepancy design (e.g.,
237 Latin hypercube sampling) or uniformly over the design space \mathcal{X} . Phase labels or scores $\{y_i\}$ are obtained via
238 CALPHAD calculations or experiments, forming the initial dataset \mathcal{D}_0 .
- 239 2. **Surrogate Model Training.** A Gaussian Process surrogate (GPC or GPR, depending on whether the task
240 involves classification or level-set estimation) is trained on \mathcal{D}_t . The surrogate provides predictive mean $\mu(\mathbf{x})$
241 and uncertainty $\sigma^2(\mathbf{x})$ for all candidate compositions.
- 242 3. **Acquisition and Query Selection.** The acquisition function $U(\mathbf{x})$ is evaluated across the design space us-
243 ing either the S-MOCU utility for global uncertainty reduction or the e-straddle utility for efficient boundary
244 refinement. The next query point is chosen as

$$\mathbf{x}_{t+1} = \arg \max_{\mathbf{x} \in \mathcal{X}} U(\mathbf{x}).$$

245 The new phase observation y_{t+1} is then obtained and appended to the dataset: $\mathcal{D}_{t+1} = \mathcal{D}_t \cup \{(\mathbf{x}_{t+1}, y_{t+1})\}$.

- 246 4. **Update and Convergence.** The surrogate is retrained with the updated dataset \mathcal{D}_{t+1} , and the process repeats un-
247 til a predefined stopping criterion is met, such as (i) the maximum predictive uncertainty falls below a tolerance,
248 or (ii) the number of allowed evaluations T is reached.

249 This iterative loop efficiently allocates computational or experimental resources to the most informative regions of
250 the thermodynamic space—typically near multi-phase boundaries—resulting in a rapidly convergent and uncertainty-
251 aware phase mapping.

Algorithm 1 Bayesian Active Learning for Phase Identification (BALPI)

Require: Design space \mathcal{X} , initial dataset \mathcal{D}_0 , surrogate model (GPC or GPR), acquisition function $U(\mathbf{x})$, maximum iterations T

- 1: **for** $t = 0$ to $T - 1$ **do**
 - 2: Train GP surrogate on \mathcal{D}_t
 - 3: Evaluate acquisition function $U(\mathbf{x})$ across \mathcal{X}
 - 4: Select next query: $\mathbf{x}_{t+1} = \arg \max_{\mathbf{x} \in \mathcal{X}} U(\mathbf{x})$
 - 5: Obtain observation y_{t+1} from CALPHAD or experiment
 - 6: Update dataset: $\mathcal{D}_{t+1} = \mathcal{D}_t \cup \{(\mathbf{x}_{t+1}, y_{t+1})\}$
 - 7: **end for**
 - 8: **return** Final surrogate model and predicted phase map
-



252 *Implementation and Integration with CALPHAD.* In this work, the BALPI framework interfaces directly with the
253 Thermo-Calc software package to obtain CALPHAD-based phase stability data. The surrogate and acquisition func-
254 tions are implemented in Python using NumPy, allowing efficient training, batched evaluation, and uncertainty propa-
255 gation. The modular design of the platform enables future extensions to higher-dimensional or multi-fidelity settings,
256 as well as integration with experimental automation systems.

257 4. Results and Discussion

258 We evaluate the proposed Bayesian Active Learning for Phase Identification (BALPI) framework on two represen-
259 tative material systems: (1) the $\text{SiO}_2\text{-Al}_2\text{O}_3\text{-MgO}$ glass-ceramic glazes system, and (2) the NiTiHfCu shape memory
260 alloy system. These systems highlight different aspects of the framework: the former involves the discovery of well-
261 separated phase stability regions (Spinel and Mullite phases) within a ternary diagram, whereas the latter features
262 multiple disconnected stability domains for the BCC-B2 phase within a more complex composition space. Across
263 both studies, we compare BALPI with prior non-Bayesian approaches based on Label Propagation (LP) and Label
264 Spreading (LS) [11], as well as with other Bayesian active learning utilities including Maximum Entropy Search
265 (MES) [20], Bayesian Active Learning by Disagreement (BALD) [21], and Mean Objective Cost of Uncertainty
266 (MOCU) [18, 19]. Performance is evaluated using the *Bayesian error* metric, which measures the expected phase
267 identification uncertainty over the design space.

268 4.1. Evaluation Metric

269 To compare methods consistently, we report the *Bayesian error*, which measures the expected misclassification
270 probability under the surrogate’s predictive distribution:

$$\begin{aligned} \text{BayesErr} = \mathbb{E}_{\mathbf{x} \in \mathcal{X}} & \left[p_{\mathcal{D}}(y = 0 \mid \mathbf{x}) \mathbb{I}(y_{\text{true}} = 1) \right. \\ & \left. + p_{\mathcal{D}}(y = 1 \mid \mathbf{x}) \mathbb{I}(y_{\text{true}} = 0) \right]. \end{aligned} \quad (11)$$

271 In practice, we evaluate (11) as an empirical average over a dense composition grid.

272 *Level-set evaluation.* For the level-set formulation with GPR, the surrogate models a latent continuous field $f(\mathbf{x}) \sim$
273 $\mathcal{N}(\mu(\mathbf{x}), \sigma^2(\mathbf{x}))$ and a phase boundary threshold τ . We convert the regression output to phase probabilities via

$$p_{\mathcal{D}}(y = 1 \mid \mathbf{x}) = \mathbb{P}(f(\mathbf{x}) \geq \tau), \quad (12)$$

$$p_{\mathcal{D}}(y = 0 \mid \mathbf{x}) = 1 - p_{\mathcal{D}}(y = 1 \mid \mathbf{x}), \quad (13)$$

274 These probabilities are then used in (11) for a fair comparison with classification-based methods.

275 4.2. Phase Identification for the $\text{SiO}_2\text{-Al}_2\text{O}_3\text{-MgO}$ Glass-Ceramic System

276 We first test the performance of BALPI on the $\text{SiO}_2\text{-Al}_2\text{O}_3\text{-MgO}$ ternary system, where the objective is to iden-
277 tify the regions of the Spinel and Mullite phases. Both phases are of technological importance due to their high-
278 temperature stability and chemical resistance [27, 28]. The composition space is parameterized as $(\text{SiO}_2)_x\text{-(Al}_2\text{O}_3)_y\text{-(MgO)}_z$
279 with the compositional constraint $x + y + z = 1$. Each query returns a binary phase label, where $y = 1$ corresponds to
280 the phase of interest (Spinel or Mullite) and $y = 0$ otherwise. The ground-truth phase diagram used for validation is
281 shown in Fig. 3a.



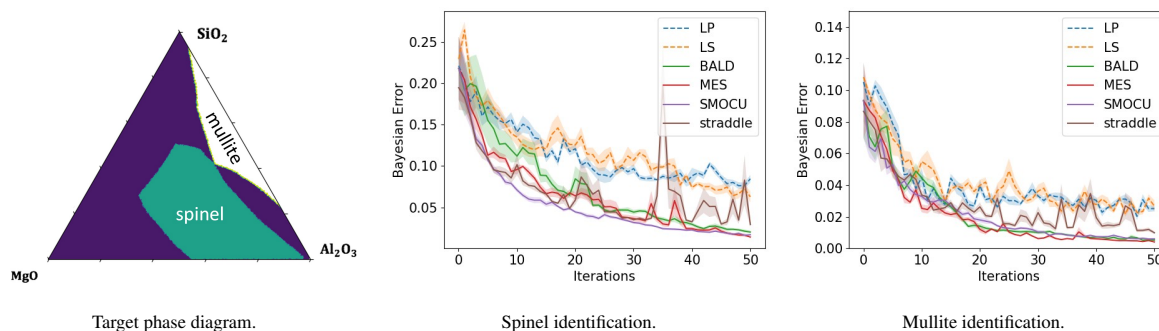


Figure 3: (a) Ground-truth phase diagram of $(\text{SiO}_2)_x-(\text{Al}_2\text{O}_3)_y-(\text{MgO})_z$ system [28]. (b–c) Bayesian error over active learning iterations for Spinel and Mullite phase identification. The proposed BALPI methods achieve significantly lower Bayesian errors than the benchmark LP and LS approaches.

282 Figures 3b and 3c report the Bayesian error of the competing methods for Spinel and Mullite identification,
 283 respectively. In both cases, the proposed BALPI methods achieve substantially lower Bayesian error than LP and
 284 LS throughout the active learning iterations. Among all acquisition strategies, the SMOCU-guided BAL exhibits
 285 the fastest error decay, consistently outperforming MES and other utilities. This advantage stems from SMOCU's
 286 one-step-look-ahead formulation, which explicitly accounts for the global uncertainty reduction effect of each query.
 287 Although the *e-straddle* utilities do not always achieve the best performance, they maintain a steady improvement
 288 trend and perform markedly better than the non-Bayesian LP and LS baselines. These results demonstrate that the
 289 BALPI framework effectively balances exploration and exploitation, even in relatively simple ternary systems.

290 4.3. BCC–B2 Phase Identification for the NiTiHfCu Shape Memory Alloy System

291 We next evaluate BALPI on the quaternary NiTiHfCu shape memory alloy (SMA) system, a material of increas-
 292 ing interest for high temperature actuation applications [29, 30]. Recent work has shown that NiTiHfCu alloys offer
 293 a promising route to achieve high transformation temperatures ($T_{\text{trans}} > 250$ °C) while reducing the large thermal
 294 hysteresis that historically has limited the cyclic stability and actuator efficiency of conventional NiTiHf systems [31].
 295 In particular, Cu additions of 5–15 at.% have been shown to promote the formation of B19' orthorhombic marten-
 296 site in place of B19, enhancing crystallographic compatibility and dramatically reducing hysteresis. However, this
 297 benefit is counterbalanced by the strong sensitivity of transformation temperatures to both Cu and Ni content, as
 298 well as the potential for brittle precipitate formation in Hf-rich regimes. As demonstrated in our recent constrained
 299 Bayesian optimization campaign [31], the thermodynamic design space of NiTiHfCu is extremely sparse, with only
 300 a narrow and disconnected manifold yielding compositions that simultaneously meet target martensite start tempera-
 301 tures (250–350 °C) and hysteresis constraints (≤ 50 °C). The BCC–B2 austenite phase is of particular importance, as
 302 it is the only parent phase from which a reversible martensitic transformation can occur. Accordingly, the goal is to
 303 localize and characterize regions of high BCC–B2 phase fraction at 800 K with minimal queries to CALPHAD (or,
 304 more critically, experimental) oracles. This presents a stringent test for BALPI, which must balance global exploration
 305 and local refinement to recover small, topologically fragmented stability domains embedded in a high-dimensional
 306 composition-processing space.

307 The composition space in this system is parameterized as $\text{Cu}_x\text{Hf}_y\text{Ni}_{z/2}\text{Ti}_{z/2}$, subject to $x + y + z = 1$. As mentioned
 308 above, this system is particularly challenging because the BCC–B2 phase domains are disjoint and separated by multi-
 309 phase regions [32–34]. In this work, we perform two sets of experiments: (i) a **binary phase classification**, where
 310 compositions with BCC–B2 fraction > 0.8 are labeled as $y = 1$, and (ii) a **continuous level-set estimation**, where
 311 the phase stability score itself is directly queried. The corresponding ground-truth phase maps are shown in Figs. 4a
 312 and 4b.



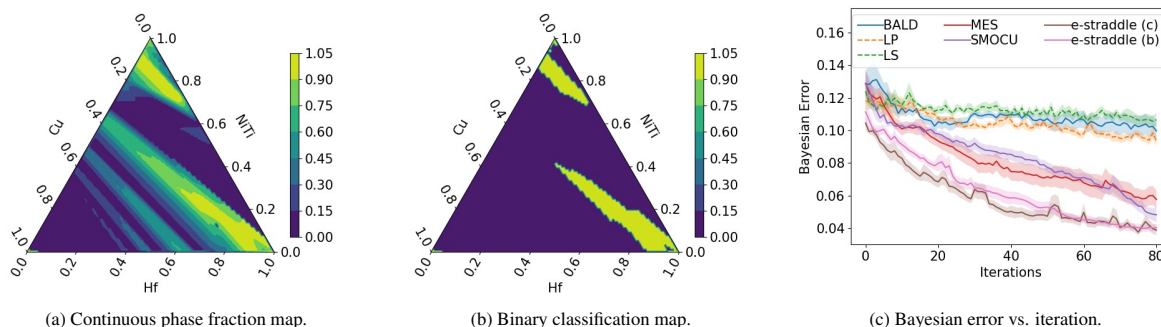


Figure 4: (a–b) Target BCC–B2 phase maps for the NiTiHfCu system at 800 K. (c) Bayesian error over active learning iterations showing that all BALPI-based methods outperform LP and LS baselines. The continuous-query *e-straddle(c)* achieves the fastest convergence.

| Transformation | <i>e-straddle(b)</i> | | | <i>e-straddle(c)</i> | | |
|----------------|-----------------------|---------------|-------------------|----------------------|---------------|-----------------------|
| | $g(r) = r$ | $g(r) = r^2$ | $g(r) = \sqrt{r}$ | $g(r) = r$ | $g(r) = r^2$ | $g(r) = \sqrt{r}$ |
| $\beta = 0.5$ | 0.0492±0.0218 | 0.0493±0.0143 | 0.0794±0.0419 | 0.0479±0.0224 | 0.0442±0.0106 | 0.0510±0.0157 |
| $\beta = 1$ | 0.0403 ±0.0067 | 0.0542±0.0218 | 0.0660±0.0408 | 0.0452±0.0134 | 0.0526±0.0167 | 0.0396±0.0134 |
| $\beta = 2$ | 0.0482±0.0100 | 0.0524±0.0073 | 0.0423±0.0096 | 0.0561±0.0137 | 0.0515±0.0134 | 0.0388 ±0.0094 |

Table 2: Final Bayesian error of the *e-straddle* utility with different transformation functions $g(\cdot)$ and hyperparameters β . Bold values denote the best performance for binary and continuous query functions, respectively.

As illustrated in Fig. 4c, all methods under the BALPI framework achieve lower Bayesian errors than LS and LP for the same query budget. Notably, the *e-straddle* utilities outperform SMOCU and MES in this task, especially in the early iterations where phase boundary localization is critical. Among the two *e-straddle* formulations, the continuous-query version (*e-straddle(c)*) achieves faster convergence than its binary counterpart (*e-straddle(b)*).

Figure 5 visualizes the queried compositions after 80 iterations for representative methods. Benchmark approaches such as label propagation (LP) tend to oversample large regions of low uncertainty, often missing smaller—and practically critical—pockets of BCC–B2 stability. In contrast, BALPI variants guided by SMOCU and *e-straddle* successfully identify multiple disconnected phase regions within the sparse stability landscape. This improved sampling efficiency arises from: (i) SMOCU’s global, non-myopic utility formulation, which accounts for the expected uncertainty reduction impact of each query; and (ii) *e-straddle*’s adaptive balancing of exploration and exploitation, modulated by the transformation function $g(\cdot)$.

Quantitative Comparison. Table 2 reports the final Bayesian error after 80 iterations for different transformation functions $g(\cdot)$ and exploration weights β in the *e-straddle* utility. For the continuous-query formulation, all transformations outperform the LP and LS baselines, with the best performance achieved by $g(r) = \sqrt{r}$ when $\beta = 2$. This result highlights the benefit of flexible reward shaping in modulating exploration–exploitation behavior. Table 3 summarizes the final Bayesian error for different initial sample sizes. In all configurations, the BALPI variants, particularly SMOCU and *e-straddle(c)*, consistently achieve the lowest errors, demonstrating the robustness of the framework with respect to initialization. Importantly, Table 3 also provides a direct quantitative comparison against the representative Bayesian baselines BALD and MES. Across all three initialization settings, SMOCU and *e-straddle(c)* achieve lower final Bayesian error than both BALD and MES, showing that the gains of BALPI are not limited to comparisons with LP/LS alone.

Summary of Findings. Across both material systems used as demonstration problems, the BALPI framework consistently achieves faster convergence and lower Bayesian error than existing active learning and non-Bayesian phase identification methods. SMOCU provides globally informed sampling and robust recovery of sparse phase regions,



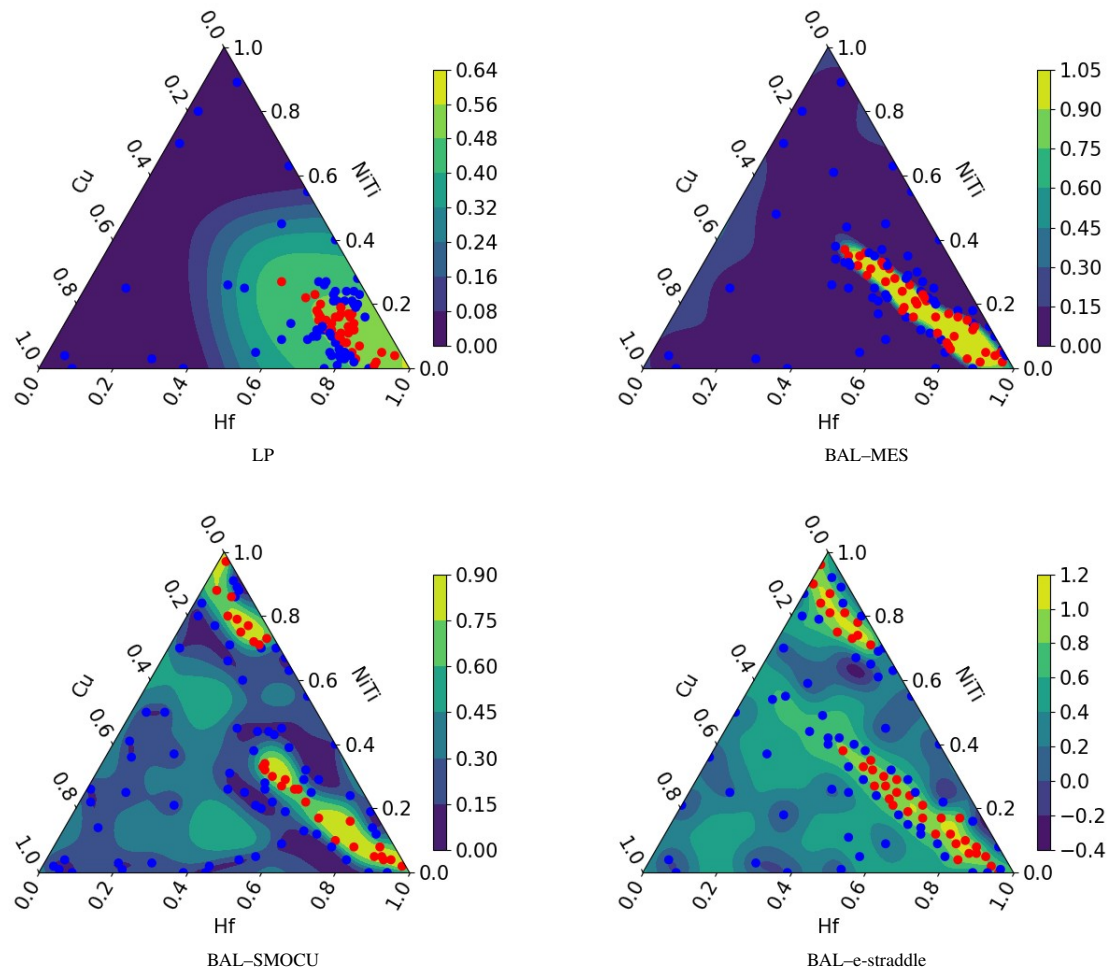


Figure 5: Final queried compositions and predicted BCC–B2 phase distributions after 80 iterations. Benchmark methods such as LP and MES tend to oversample low-uncertainty regions, missing smaller, disconnected BCC–B2 stability domains. In contrast, SMOCU- and e-straddle-guided BALPI efficiently identify multiple sparse, non-contiguous BCC–B2 regions, highlighting their ability to navigate complex phase landscapes with higher sampling efficiency.

337 while e-straddle offers an interpretable and computationally efficient alternative that performs especially well near
 338 complex phase boundaries. Together, these results demonstrate that BALPI constitutes a unified, uncertainty-aware,
 339 and computationally efficient approach for adaptive phase mapping in both discrete and continuous formulations.

340 4.4. Discussion

341 *Interpretation of the Bayesian error metric.* In this work, we adopt the Bayesian error as a unified performance
 342 metric for both classification and level-set formulations. Unlike standard accuracy or mean squared error, which
 343 quantify deviation from ground truth labels in a deterministic sense, the Bayesian error reflects *expected probability of*
 344 *misclassification* under the predictive posterior of the model. Formally, it is defined as the integral over the input space
 345 of the uncertainty-weighted misprediction of the model, as shown in Equation (11). This probabilistic interpretation
 346 is particularly well suited for Bayesian active learning, where the objective is to minimize predictive uncertainty as
 347 efficiently as possible—rather than to simply maximize accuracy on a fixed test set. A lower Bayesian error thus
 348 indicates that the model achieves not only greater predictive accuracy but also higher calibrated confidence with



| | LP | LS | BALD | MES | SMOCU | e-straddle(b) | e-straddle(c) |
|------------|-------------|-------------|-------------|-------------|--------------------|--------------------|--------------------|
| 4 samples | 0.107±0.008 | 0.108±0.010 | 0.107±0.006 | 0.060±0.009 | 0.058±0.022 | 0.050±0.010 | 0.045±0.014 |
| 9 samples | 0.097±0.010 | 0.096±0.009 | 0.103±0.009 | 0.060±0.013 | 0.048±0.007 | 0.056±0.010 | 0.041±0.004 |
| 16 samples | 0.098±0.013 | 0.098±0.005 | 0.103±0.013 | 0.045±0.007 | 0.041±0.003 | 0.046±0.015 | 0.038±0.010 |

Table 3: Final Bayesian error after 80 iterations with varying numbers of randomly selected initial samples. SMOCU and *e-straddle(c)* achieve the lowest errors across all configurations.

349 respect to the ground-truth phase distribution. Importantly, the Bayesian error can be computed directly from the
350 model's predictive distributions without requiring a separate validation set, making it an interpretable, uncertainty-
351 aware, and model-agnostic evaluation criterion.

352 *Practical benefits of Bayesian active learning for CALPHAD-guided discovery.* A central motivation of this work
353 is to demonstrate that uncertainty-aware sampling can dramatically reduce the computational effort required to map
354 phase stability regions from CALPHAD simulations. Exhaustive CALPHAD computations over dense ternary or
355 quaternary grids are typically infeasible due to the combinatorial growth of sampling points and the time cost of
356 each equilibrium calculation. For instance, generating a full pseudo-ternary grid with 100×100 points may require
357 several hours to days of computation, even when parallelized. By contrast, our BALPI framework converges to a
358 comparable or superior phase boundary description using only tens to a few hundred adaptively selected samples—
359 when considering an experimental campaign, the sample efficiency of BALPI relative to competing strategies is much
360 more significant. BALPI's sample efficiency arises from the ability of the Bayesian utility functions—such as SMOCU
361 and *e-straddle*—to automatically focus new queries near high-uncertainty regions, effectively balancing exploration of
362 poorly sampled areas with exploitation near phase boundaries. The resulting acceleration makes BALPI well-suited
363 not only for CALPHAD-based studies but also for integration into experimental design loops where querying the
364 phase stability domain of target materials involves significant time or material cost.

365 *Strengths and limitations.* The results on the glass-ceramic ($\text{SiO}_2\text{--Al}_2\text{O}_3\text{--MgO}$) and shape-memory alloy (Ni–Ti–
366 Hf–Cu) systems demonstrate that BALPI can capture disconnected phase stability regions and avoid overconfidence
367 in sparsely sampled domains. The modularity of the framework enables flexible combinations of predictive models
368 (GPC or GPR) and acquisition functions (MES, BALD, SMOCU, *e-straddle*), making it extensible to a wide range of
369 materials systems. However, several limitations should be noted. First, Gaussian processes are most computationally
370 efficient in low-dimensional spaces; direct scaling to systems beyond quaternary composition spaces may require
371 sparse GP or deep kernel learning variants. Second, the current implementation assumes that CALPHAD serves
372 as a reliable oracle and does not explicitly handle uncertainties in the thermodynamic database itself. Extending
373 BALPI to jointly account for CALPHAD parameter uncertainty or experimental measurement noise would provide a
374 more comprehensive uncertainty model. Finally, while SMOCU and *e-straddle* balance exploration and exploitation
375 effectively, their optimization relies on empirical hyperparameters (k , β), and adaptive tuning of these parameters
376 could further improve robustness across different systems.

377 *Outlook.* Despite these limitations, the present study establishes BALPI as a general and interpretable Bayesian
378 active learning framework for phase diagram discovery. By unifying classification and level-set formulations under
379 a probabilistic surrogate model, BALPI provides a natural interface between physics-based CALPHAD models and
380 data-driven exploration strategies.

381 Looking ahead, the methodology presented here can be seamlessly extended to experimental workflows, enabling
382 closed-loop autonomous materials design in which each new experiment is selected based on quantified uncertainty.
383 In such settings, experimentally determined phase constitution states could be used not only for exploration, but also to
384 refine existing CALPHAD models [35], including those constructed from computational approaches such as machine-
385 learned interatomic potentials [36, 37]. Future work will focus on coupling BALPI with high-throughput synthesis
386 and characterization platforms to enable such closed-loop integration.



387 While the present study focuses on binary phase identification to efficiently localize the stability region of a
388 target phase, the BALPI framework is not intrinsically limited to two-phase settings. Extension to multi-phase
389 phase-diagram construction is straightforward. For example, multi-class Gaussian process classification can be employed to
390 directly model multiple phase labels, or, alternatively, parallel level-set estimation of individual phase-fraction fields
391 can be used to track several phase boundaries simultaneously. In either formulation, BALPI retains its core objective:
392 efficient localization of decision boundaries in thermodynamic state space.

393 Beyond identifying complete phase fields, one may instead target the zero phase fraction (ZPF) hyperplane [38] for
394 phase i , which separates regions of state space where phase i is present from those where it is absent [2]. This formu-
395 lation reduces the problem to a one-versus-all classification task, allowing BALPI to operate exactly as implemented
396 here, without modifying the original algorithm in any way.

397 Importantly, the collection of ZPF hyperplane projections for all phases constitutes the two-dimensional projection
398 of a phase diagram in a system of arbitrary dimensionality [38, 39]. Consequently, systematic identification of ZPF
399 manifolds provides a scalable pathway toward high-dimensional phase-diagram reconstruction.

400 From a scalability perspective, extending BALPI to higher-dimensional composition or processing spaces will
401 require surrogate models that can efficiently accommodate larger query budgets. While the present work employs
402 standard Gaussian processes, future extensions will explore sparse and inducing-point GP formulations, batch acqui-
403 sition strategies, and multi-fidelity active learning to balance computational tractability with uncertainty-aware ex-
404 ploration. These developments will enable BALPI to remain effective in quaternary and higher-order systems, where
405 phase stability regions are often sparse, disconnected, and embedded in high-dimensional thermodynamic spaces.

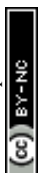
406 5. Conclusion

407 In this work, we have introduced **BALPI**, a Bayesian active learning framework for adaptive phase diagram dis-
408 covery grounded in CALPHAD thermodynamics. By unifying Gaussian Process Classification and Regression under
409 a common probabilistic surrogate model, BALPI enables uncertainty-aware exploration through multiple acquisition
410 strategies, including non-myopic formulations such as SMOCU and boundary-aware alternatives such as extended
411 straddle. This dual capability—of supporting both discrete and continuous phase representations—makes BALPI a
412 flexible and interpretable tool for phase mapping across a wide range of materials systems.

413 Demonstrated on two distinct thermodynamic systems—a ternary glass-ceramic and a quaternary shape memory
414 alloy—BALPI consistently outperforms conventional sampling strategies, achieving faster convergence and lower
415 Bayesian error while using a fraction of the CALPHAD query budget. Crucially, the framework succeeds even in
416 scenarios with sparse and topologically fragmented stability regions, such as disconnected BCC-B2 pockets critical
417 for the design and optimization of novel multi-component shape memory alloys. These results constitute strong
418 evidence for the power of active, uncertainty-guided sampling grounded in Bayesian principles—not merely as a
419 tool for efficient model training, but as an engine for discovery in systems where the ground truth occupies sparse,
420 fragmented, or otherwise non-intuitive regions of chemical space.

421 Looking ahead, BALPI opens several promising research directions. First, its integration with high-throughput
422 experimental workflows could enable closed-loop, autonomous discovery of phase boundaries, where surrogate un-
423 certainty guides physical synthesis and characterization. Second, BALPI provides a natural interface for model re-
424 finement: experimentally observed phase constitutions could be used not just for exploration, but to iteratively update
425 CALPHAD assessments—particularly those constructed from high-throughput DFT or MLIP data [37]. Third, em-
426 bedding thermodynamic priors into the GP kernel—such as convexity constraints, symmetry relations, known limiting
427 behaviors or topological rules of thermodynamic spaces—could improve sample efficiency and enhance generaliza-
428 tion to unobserved regions of composition-temperature space.

429 Beyond phase diagrams, the BALPI paradigm is extensible to broader materials-informatics tasks involving phase



430 selection, stability prediction, and structural motif classification. In systems governed by sharp transitions or highly
431 constrained free energy landscapes, the combination of interpretable surrogate models and targeted information ac-
432 quisition offers a principled foundation for self-driving materials platforms. As phase mapping increasingly becomes
433 a bottleneck in alloy design and microstructure engineering, frameworks like BALPI will be central to accelerating
434 both understanding and deployment of next-generation materials.

435 Declaration of Competing Interest

436 The authors declare no competing interests.

437 Acknowledgements

438 The authors would like to acknowledge support from National Science Foundation (NSF) Grants No. DMREF-
439 2119103, DMREF-1905325, CCF-1553281, SHF-2215573, and IIS-2212419. Research was also partly sponsored by
440 the Army Research Laboratory and was accomplished under Cooperative Agreement Number W911NF-22-2-0106
441 (HTMDEC-BIRDSHOT program). Computing resources at Texas A&M University were used for the calculations.

442 Authors Contributions

443 **Fan:** Conceptualization, Investigation, Formal Analysis, Methodology, Writing - Original Draft. **Wang:** Inves-
444 tigation, Formal Analysis, Writing - Review & Editing. **Vazquez:** Investigation, Writing - Original Draft. **Zhou:**
445 Investigation, Formal Analysis, Methodology. **Karaman:** Conceptualization, Funding acquisition. **Arróyave:** Con-
446 ceptualization, Supervision, Writing - Review & Editing, Funding acquisition. **Qian:** Conceptualization, Supervision,
447 Writing - Review & Editing, Funding acquisition.

448 Data Availability

449 The code developed in this paper is made open accessible at the following GitHub repository: <https://github.com/MingzhouFan97/BALPI>. The associated dataset is publicly archived on Zenodo at <https://doi.org/10.5281/zenodo.19896908>.

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Data availability

The code developed in this paper is made open accessible at the following GitHub repository: <https://github.com/MingzhouFan97/BALPI>. The associated dataset is publicly archived on Zenodo at <https://doi.org/10.5281/zenodo.19896908>.

