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Soft sensor based on pH for real-time monitoring of mRNA medicines production

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Real-time monitoring of *in vitro* transcription (IVT) reactions is critical for enabling continuous manufacturing of high-quality mRNA vaccines and therapeutics for a wide spectrum of diseases. Compared to traditional batch manufacturing, continuous IVT production offers higher throughput, improved consistency, and reduced costs, but requires timely process monitoring to detect deviations and maintain product quality. Since pH is routinely measured in bioreactors, it can serve as a convenient, non-invasive input for real-time monitoring. We present the first IVT soft sensor based on H⁺ release during NTP incorporation, using in-line pH data to infer up to 40 otherwise predominantly unobservable species in real time, without requiring additional sensors. Validated against a separate set of offline measurements (not used for model fitting), it delivers updates every 25 milliseconds via two complementary models. The first couples a mechanistic IVT model with an Unscented Kalman Filter (UKF) to dynamically infer ≈ 40 key indicators, including mRNA yield ($R^2 = 0.95$) and NTP depletion ($R^2 = 0.84$). The second applies the semi-empirical Henderson–Hasselbalch correlation to reconstruct mRNA yield ($R^2 = 0.93$) and NTP depletion ($R^2 = 0.76$) from buffer capac-



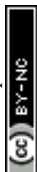
20 **ity and pH change alone. This soft sensor enables continuous, real-time process**
21 **monitoring by generating $\approx 1,600$ concentration estimates per second, supporting**
22 **Quality-by-Digital-Design and advanced control for continuous, disease-agnostic**
23 **mRNA medicines manufacturing.**

24 **1 Introduction**

25 The versatility of the messenger RNA (mRNA) platform technology resides in the unique ability
26 of mRNA to function as a transient, programmable genetic template that instructs the host cell's
27 translational machinery to produce virtually any protein encoded by the mRNA sequence (1,
28 2). The mRNA platform technology rose to prominence during the COVID-19 pandemic and is
29 now driving the rapid development of hundreds of vaccine and therapeutic candidates against a
30 broad range of diseases, including infectious diseases, cancers, autoimmune diseases, metabolic
31 diseases, rare genetic disorders, and cardiovascular conditions (3, 1, 4). This powerful platform is
32 also being harnessed for chimeric antigen receptor (CAR) T cell therapies, protein replacement and
33 supplementation, and genome engineering applications (1, 4, 5).

34 This rapid clinical expansion creates a pressing need for highly productive and scalable multi-
35 product manufacturing platforms capable of delivering high-quality mRNA drug substance in a
36 cost-effective manner (6, 7, 8). Achieving such manufacturing advancements requires: (1) multi-
37 product manufacturing capabilities; and (2) rapid, or ideally real-time, monitoring of both process
38 performance and product quality attributes, which is vital for continuous production.

39 *Quality-by-Design* (QbD) principles have the potential to enable multi-product manufacturing
40 capabilities by mapping the impact of critical material attributes (CMAs) and critical process
41 parameters (CPPs) onto product critical quality attributes (CQAs) and manufacturing key perfor-
42 mance indicators (KPIs). This can establish a multi-product design space defined by CMA and CPP
43 ranges, within which products can be manufactured with optimal KPIs (cost effectively and rapidly)
44 and with the desired CQAs (translating to patient safety and product efficacy) (6, 9, 10, 11). *Quality-*
45 *by-Digital-Design* (QbDD) extends this capability by using mechanistic, data-driven, or hybrid
46 models to guide manufacturing process development and automate the operation of the developed
47 manufacturing process. This involves defining and optimizing the QbDD design space *in silico*



48 before a manufacturing run is executed and performing real-time optimization, feed-back control
49 and feed-forward control (e.g. model-predictive control) during the operation of the manufacturing
50 process (6, 9, 11). The implementation of this QbDD-aided multi-product mRNA manufacturing is
51 currently constrained by the absence of real-time monitoring of manufacturing KPIs and product
52 CQAs. Several spectroscopy-based Process Analytical Technology (PAT) tools have been explored
53 for monitoring of the *in vitro* transcription (IVT) reaction. *In-situ* Raman spectroscopy, Fourier-
54 transform infrared (FTIR) spectroscopy, flow-nuclear magnetic resonance (flow-NMR, aka. online
55 NMR) spectroscopy, for example, resolve spectral signatures of NTPs, PPi and growing RNA
56 chains, enabling chemometric reconstruction of reaction progress (12, 13, 14). These spectroscopy
57 methods offer molecular specificity, but lack the required sensitivity and require expensive optics
58 and often labor-intensive calibration and data analysis.

59 A simpler, more accessible monitoring strategy capable of quantifying both KPIs and CQAs in
60 real-time would offer significant value by ensuring that CQAs and KPIs are kept within specification.
61 Real-time (or near real-time) monitoring is especially important for continuous IVT processes,
62 where raw materials are continuously fed and product is continuously generated. Without timely
63 monitoring, process faults may only be detected hours or days later, potentially resulting in large
64 quantities of off-specification products. Real-time monitoring improves process understanding and
65 enables early detection of deviations, thereby enhancing efficiency, reducing costs, and improving
66 consistency in product quality (15, 16, 17, 18). Since pH is already routinely measured in bioreactors,
67 it can serve as a convenient, non-invasive input for such a monitoring approach without requiring
68 additional sensors or measurements.

69 The IVT reaction is at the core of the mRNA manufacturing process. The optimal outcome of
70 the IVT reaction depends on carefully controlled reaction composition (e.g. NTP:Mg ratio, template
71 DNA concentration, T7 RNA polymerase, etc.), pH, buffer strength, heat and mass transfer (6, 19,
72 20, 21). During IVT, the mRNA is assembled from nucleotide building blocks by bacteriophage
73 enzymes (e.g. T7 RNA polymerase) based on a template DNA (22, 19). The T7 RNA polymerase
74 is a formidable molecular machine capable of incorporating 200-250 NTPs per second into the
75 nascent mRNA strand, each NTP incorporation consisting of 3 reversible and 1 irreversible sub-
76 step (22, 23, 24, 19). During each NTP addition cycle, the 3'-hydroxyl group of the primer terminus
77 is deprotonated to generate a 3'-O⁻ nucleophile. This nucleophile attacks the α -phosphorus atom



78 of the incoming NTP, generating a transient pentacoordinated phosphorane intermediate. In the
79 canonical two-metal-ion mechanism, Mg^{A2+} (which acts as the general base to deprotonate the
80 primer's 3'-OH) and Mg^{B2+} (which stabilizes the triphosphate moiety of the incoming NTP)
81 work together to facilitate catalysis (25, 26, 27). The breakdown of the resulting pentacoordinate
82 phosphorane intermediate yields a new phosphodiester bond (Figure S1). This reaction releases both
83 pyrophosphate (PP_i) and a proton into the solution (28, 29, 30). Since one proton is released for each
84 NTP incorporated, the cumulative proton release is stoichiometrically linked to NTP consumption.
85 In IVT reactions, which are moderately buffered, this proton release results in a measurable pH
86 change that can be detected with pH meters (29, 28, 30, 31). Because IVT models can account
87 for proton balance, the pH trajectory can be linked to reaction progress (32, 20). This creates an
88 opportunity for a low-cost IVT monitoring approach that relies on routine pH measurements and
89 requires minimal calibration of both the sensor hardware and the model used to estimate IVT
90 species concentrations.

91 The in-line, on-line, at-line or off-line measurement of pH in small volumes (e.g. 1 mL or less)
92 can be achieved using micro pH sensors, such as fiber optic pH sensors. These microsensors were
93 originally developed in the late 1970s (33) and have been used for rapid cell-culture monitoring
94 (34, 35, 36). However, they remain under-used in cell-free RNA production. Several IVT kinetic
95 models that account for pH and proton balance have been reported in the literature. Young *et al.* (37)
96 developed an early model of batch IVT that included proton release and buffer equilibria to
97 predict pH changes during transcription. Van de Berg *et al.* (32) extended this by building a QbD
98 IVT model that accounts for NTP-Mg complexation and buffer speciation, enabling design space
99 exploration. More recently, Ahmed *et al.* (20) developed a comprehensive mechanistic IVT model
100 that captures ≈ 40 reaction and buffer species, including detailed Mg^{2+} complexation, pyrophosphate
101 hydrolysis, and multiple buffer equilibria, while also accounting for enzyme kinetics and template-
102 specific nucleotide composition. The key advance of the present work is the integration of such a
103 mechanistic model into a real-time state estimation framework (Unscented Kalman Filter), enabling
104 reconstruction of a high-dimensional reaction state from in-line pH measurements.

105 Here, we embed an in-line pH microprobe directly in the IVT reaction mixture, streaming
106 frequent pH data to a soft sensor that computes real-time estimates of (i) individual NTP depletion
107 (consumption), (ii) mRNA titer (yield) (both validated against offline assays), (iii) reaction rate,



108 and (iv) additional state variables predicted by the mechanistic model (e.g., magnesium–nucleotide
109 complexes, pyrophosphate, orthophosphate and buffer species such as protonated and deprotonated
110 forms of HEPES or TRIS). Two complementary computational models are evaluated using this soft
111 sensor: (1) a mechanistic IVT model coupled to an Unscented Kalman Filter (UKF); (2) a semi-
112 empirical Henderson–Hasselbalch (H-H) correlation that exploits buffer capacity and pH alone
113 with no need for kinetic parameterization. These two approaches serve different but complemen-
114 tary purposes. The mechanistic IVT-UKF model can predict the future concentrations of a large
115 number of reaction species and is regularly updated during the IVT reaction using pH measure-
116 ments through the UKF. This enables estimation of otherwise unmeasurable reaction states and
117 provides a framework suitable for advanced monitoring and future model-based control. However,
118 it requires parameterization of kinetic models and higher computational effort. In contrast, the
119 H-H approach relies only on pH measurements and buffer chemistry, making it simple, requiring
120 minimal calibration, and easy to deploy with minimal computational requirements. However, it
121 captures a smaller number of IVT species, provides more limited mechanistic insight, and does not
122 enable forecasting of future concentration values. Using both models in parallel therefore provides a
123 balance between practicality and predictive capability: the H-H model offers a minimal-data, rapid-
124 deployment monitoring option, while the mechanistic IVT-UKF model provides deeper process
125 insight and improved state estimation.

126 We demonstrate the application of this soft sensor in IVT reactions producing enhanced green
127 fluorescent protein (eGFP) and SARS-CoV-2 spike protein (CSP) mRNA under two widely used
128 buffer conditions (HEPES and TRIS). Predictions from both models are benchmarked against
129 offline measurements of RNA yield and NTP depletion (hereafter used interchangeably with “NTP
130 consumption” and “RNA titer,” respectively), validating the utility of pH-driven soft sensing for
131 real-time IVT monitoring.

132 Crucially, this work goes beyond conventional soft sensing and PAT approaches by demonstrat-
133 ing that a single, routinely measured variable (pH) can encode sufficient information to reconstruct a
134 high-dimensional biochemical reaction network in real time, establishing a new paradigm in process
135 analytics in which information is extracted from the underlying reaction stoichiometry rather than
136 from multiple or complex sensor inputs. While the framework infers ≈ 40 IVT and buffer species
137 through mechanistic relationships, only a subset (RNA yield and individual NTP concentrations)



138 is directly validated against independent experimental measurements.

139 **2 Materials and Methods**

140 **2.1 *In Vitro* transcription reactions**

141 IVT reactions were carried out to produce mRNA encoding either eGFP or CSP. Linearized plas-
142 mid DNA templates for both eGFP and CSP were supplied (by GenScript Biotech Corporation)
143 at a final concentration of $0.05 \mu\text{g } \mu\text{L}^{-1}$. Four reaction variants were obtained by pairing each of
144 the two templates with one of two buffer systems using either HEPES (pH adjusted with NaOH,
145 catalog number: Gibco™ 15630080) or TRIS (pH adjusted using acetic acid) in a total volume of
146 1 mL. Each 1 mL reaction contained 10 mM ATP, CTP, GTP and UTP (Roche Diagnostics GmbH);
147 T7 RNA polymerase at $330 \text{ U } \mu\text{L}^{-1}$ (Roche Diagnostics GmbH); inorganic pyrophosphatase at
148 $0.05 \text{ U } \mu\text{L}^{-1}$ (Roche Diagnostics GmbH) to suppress pyrophosphate precipitation; and RNase in-
149 hibitor at $1 \text{ U } \mu\text{L}^{-1}$ (Roche Diagnostics GmbH) to protect nascent mRNA. Reactions were prepared
150 in either a HEPES buffer (40 mM HEPES, pH 7.3; 42 mM magnesium acetate; 10 mM dithiothre-
151 itol; 2 mM spermidine; 0.01 % Triton X-100; 50 mM NaCl) or a TRIS buffer (40 mM TRIS, pH 7.9;
152 42 mM magnesium acetate; 10 mM dithiothreitol; 2 mM spermidine; 0.01 % Triton X-100; 50 mM
153 NaCl). Reactions were incubated at 37°C for up to 120 minutes.

154 **2.2 In-line pH measurements**

155 A fiber-optic pH system equipped with an IMP-HP5 fiber optic microsensor (World Precision
156 Instruments) featuring a $250 \mu\text{m}$ diameter tip was used for pH measurements. Calibration was
157 performed with standard buffers at pH 5.5, 6.5, and 7.1 according to the manufacturer's protocol.
158 For each IVT reaction, $950 \mu\text{L}$ of the transcription mixture (minus DNA) was equilibrated in
159 a 1.5 mL tube with the pH microsensor and temperature probe for 15 minutes. The remaining
160 $50 \mu\text{L}$ of DNA template (pre-incubated at 37°C) was then added to initiate the IVT reaction.
161 The pH and temperature were recorded every 30 seconds at 37°C via the vendor's software.
162 This interval was chosen to align with the sensor's response time (of up to 30 seconds), ensure
163 sufficiently frequent updates for the kinetic model, and prolong sensor lifespan relative to higher-



164 frequency measurements. The 30-second measurement interval is sufficiently frequent to capture all
165 meaningful changes in the IVT reaction dynamics, which occur on the order of minutes. Recorded
166 pH profiles, reflecting proton release from the 3'-OH of the ribose during NTP incorporation, were
167 fed into both the mechanistic IVT model and a H-H-based mass balance soft sensor (Section 2.9)
168 to estimate NTP consumption and RNA yield in real-time.

169 **2.3 Sampling for offline quantification of NTP and RNA concentrations**

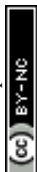
170 Aliquots (20 μL) were withdrawn at defined intervals (see Table S1) to monitor reaction progress
171 via offline anion-exchange high-performance liquid chromatography (AEX-HPLC), fluorometry,
172 and UV-vis spectroscopy, enabling simultaneous quantification of NTPs and RNA (31). Sampling
173 intervals were selected to correspond to approximately equal increments in pH decrease during the
174 reaction, ensuring representative coverage of transcription kinetics. Each aliquot was immediately
175 mixed with 20 μL of 100 mM EDTA to chelate Mg^{2+} and halt transcription. Samples were then
176 kept on ice or stored at $-20\text{ }^{\circ}\text{C}$ until analysis.

177 **2.4 RNA quantification by UV spectroscopy**

178 Samples were purified using silica-based spin columns with the Monarch[®] RNA Cleanup Kit
179 (NEB), diluting each 1:2.5 in RNase-free water before following manufacturer's instructions. Eluted
180 RNA was quantified on a NanoDrop[™] One^c (Thermo Fisher Scientific) at 260 nm, with A_{260}/A_{280}
181 and A_{260}/A_{230} ratios checked for purity.

182 **2.5 RNA quantification by fluorometry**

183 RNA concentration was quantified by fluorometry using the Qubit[™] RNA XR (Extended Range)
184 Assay Kit on a Qubit[™] 4 Fluorometer (Invitrogen, Thermo Fisher Scientific), according to the
185 manufacturer's instructions. A working solution was prepared by diluting the Qubit[™] RNA XR
186 Reagent 1:200 in the corresponding buffer. The instrument was calibrated using two standards,
187 prepared by mixing 10 μL of the kit-provided Standard #1 (0 ng/ μL in TE Buffer) and Standard #2
188 (1000 ng/ μL in TE Buffer) with 190 μL of the working solution. For sample quantification, 2 μL



189 of each RNA sample was added to 198 μL of the working solution. All tubes were briefly vortexed
190 and incubated at room temperature for 2 minutes prior to fluorescence measurement.

191 **2.6 RNA and NTP quantification by AEX-HPLC**

192 NTPs and RNA quantity were assessed on a Thermo Fisher U3000 system equipped with a DNAPac
193 PA200 column (50 mm x 2.1 mm i.d.), following Welbourne *et al.* (31). Crude IVT samples were
194 diluted 200–500 \times in RNase-free water and separated using 25 mM TRIS (pH 8.0) as Buffer A and
195 25 mM TRIS with 1 M NaCl (pH 8.0) as Buffer B, at a flow rate of 0.25 mL min⁻¹. UV detection
196 at 260 nm captured both NTP and RNA peaks.

197 **2.7 RNA size and integrity analysis**

198 The size and integrity (intactness) of RNA were measured by capillary gel electrophoresis (CGE)
199 using the 5200 Fragment Analyzer instrument (Agilent), together with the DNF-471 RNA Kit (15 nt)
200 (Agilent). The kit includes an RNA separation gel, dsDNA inlet buffer (DNF-930, Agilent), TE rinse
201 buffer, intercalating dye, RNA diluent marker (15 nt), RNA ladder (200–6,000 nt), and capillary
202 conditioning solution. A 33 cm FA 12-Capillary Array Short cassette (Agilent) was employed.
203 RNA was detected via fluorescence, with signal intensity reported in relative fluorescence units
204 (RFU), as the intercalating dye in the separation gel binds specifically to RNA (10).

205 **2.8 Materials and instrumentation**

206 All reagents were analytical grade or higher: NTPs, enzymes, and buffers (Roche Diagnostics);
207 linearized eGFP and CSP templates (GenScript); RNase-free water and cleanup kits (New England
208 Biolabs). pH was monitored in real-time using a fiber-optic microsensor and RNA concentration by
209 NanoDrop™ One^c UV-Vis Spectrophotometer. All reactions were held at 37 °C in a temperature-
210 controlled block (1.5 mL Eppendorf tubes), with the pH probe calibrated as per the manufacturer's
211 instructions.

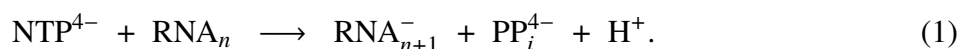


2.9 Soft Sensor based on the kinetic model updated by the Unscented Kalman Filter

We implement the full mechanistic IVT model of Ahmed *et al.* (20), including all reaction species and kinetic steps, and integrate it with pH data from a fiber-optic probe to realize a soft sensor for real-time reaction monitoring. For brevity, this manuscript details only the subset of rate equations governing proton release and buffering that directly inform the pH measurement; the complete model formulation and parameter values follow Ahmed *et al.* and is an improved version of our previously published IVT kinetic QbD model (32). We then embed this model in a UKF, using each incoming pH reading (at 30 second intervals) as an indirect observation of overall reaction progress to iteratively correct the full state vector (NTPs, RNA, enzyme–substrate complexes, etc.) and improve the accuracy of real-time predictions.

2.9.1 IVT Model

Each NTP (ATP, CTP, GTP, UTP) is incorporated into the growing RNA chain, transitioning from an n -mer (RNA_n) to an $(n + 1)$ -mer (RNA_{n+1}^-), with pyrophosphate (PP_i^{4-}) and a free proton (H^+) also released:



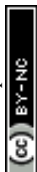
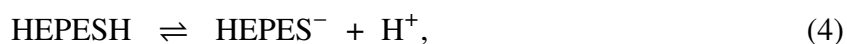
Accordingly, the net consumption of NTP and production of protons can be captured by

$$\frac{d[\text{NTP}]}{dt} = -V_{\text{tr}} \cdot N_{\text{all}}, \quad (2)$$

$$\frac{d[\text{H}^+]}{dt} = V_{\text{tr}} \cdot N_{\text{all}}, \quad (3)$$

where V_{tr} is the transcription rate and N_{all} is the total moles of NTP consumed per unit time, reflecting the specific template composition (Table S2).

The released protons are buffered by HEPES and other ionic complexes. For instance, the HEPES equilibrium is



232 while the total proton balance involves multiple equilibria:

$$H_{\text{tot}} = [H^+] + [HNTp^{3-}] + [HPPi^{3-}] + 2 [H_2PPi^{2-}] + [MgHNTp^-] + [MgHPPi^-] + [HHEPES] + [HPi^{2-}] + 2 [H_2Pi^-] + 3 [H_3Pi] + [MgHPi] + [HACET]. \quad (5)$$

233 All bound-species terms are expressed in free variables via dissociation constants; the complete set
234 of equilibrium constants, kinetic parameters, and initial conditions is provided in (20). For systems
235 with alternative buffering (e.g. TRIS), the corresponding equilibria can be trivially derived and
236 adapted to the model as needed.

237 We collect the system's *differential* states in $\mathbf{x}(t)$ and the *algebraic* states in $\mathbf{z}(t)$. The model
238 thus forms a set of differential-algebraic equations (DAEs),

$$\frac{dx}{dt} = f(\mathbf{x}, \mathbf{z}, \boldsymbol{\theta}), \quad (6)$$

$$\mathbf{0} = g(\mathbf{x}, \mathbf{z}, \boldsymbol{\theta}), \quad (7)$$

239 where $\boldsymbol{\theta}$ denotes the kinetic and equilibrium parameters. The UKF updates all model states in
240 real-time (at 25 millisecond intervals) based on pH measurements recorded every 30 seconds, thus
241 enabling a soft sensor for IVT progress. The list of state variables included in the IVT kinetic model
242 (encompassing both IVT species) is shown in Table S3.

243 2.9.2 Unscented Kalman Filter

244 The UKF is particularly suited for the IVT model as it exhibits highly non-linear behavior that
245 can be difficult to capture via simple linearization (as in an Extended Kalman Filter). The UKF
246 estimates the joint distribution of (\mathbf{x}, \mathbf{z}) by generating *sigma points*, which are chosen vectors in
247 state space that capture the mean and covariance of the current estimate. m refers to the *dimension*
248 of the state, meaning that the filter produces $2m + 1$ sigma points for m state variables.

249 2.9.3 Sigma-point generation and prediction

250 At time t_k , the UKF forms $2m + 1$ sigma points around the mean state $(\hat{\mathbf{x}}_{k|k}, \hat{\mathbf{z}}_{k|k})$ and the covariance
251 $\mathbf{P}_{k|k}$. Each sigma point is advanced to t_{k+1} by integrating the system. The resulting predicted sigma
252 points are then averaged to obtain $\hat{\mathbf{s}}_{k+1|k}$ and $\mathbf{P}_{k+1|k}$.



2.9.4 Updating the model with pH measurements

A fiber optic pH probe reports the measured pH at discrete time intervals t_k . Denoting these measurements as

$$\text{pH}_{\text{meas},k} = -\log_{10}([\text{H}^+]) + v_k, \quad (8)$$

we assume that v_k is Gaussian measurement noise with mean zero and variance R . Since $[\text{H}^+]$ depends on both \mathbf{x} and \mathbf{z} , we view pH as a one-dimensional observation $h(\mathbf{x}, \mathbf{z})$. When a new pH value, $\text{pH}_{\text{meas},k+1}$, is received, each predicted sigma point is mapped through the measurement function

$$\text{pH}_{k+1|k}^{(i)} = -\log_{10}([\text{H}^+](\mathbf{x}_{k+1|k}^{(i)}, \mathbf{z}_{k+1|k}^{(i)})). \quad (9)$$

From the ensemble $\{\text{pH}_{k+1|k}^{(i)}\}$, the UKF computes the updated mean and covariance in measurement space, calculates the cross-covariance with the state, and applies the Kalman gain to refine $(\hat{\mathbf{x}}_{k+1|k+1}, \hat{\mathbf{z}}_{k+1|k+1})$ and $\mathbf{P}_{k+1|k+1}$. Figure S2 depicts the overall algorithmic flow.

In a Kalman filtering framework, “process noise” (\mathbf{Q}) represents uncertainty in the underlying model. Although the IVT reactions are deterministic in principle, real-world operation always deviates from an idealized model, so the instantaneous differential states (e.g., NTP, RNA) will not follow the nominal dynamics exactly. In an ODE-based filter one can freely perturb all states; in a DAE system, however, arbitrary noise in the algebraic variables $\mathbf{z}(t)$ risks violating

$$g(\mathbf{x}, \mathbf{z}, \boldsymbol{\theta}) = 0.$$

We inject process noise only into the differential states $x(t)$ and selected kinetic parameters in $\boldsymbol{\theta}$. To ensure the noise scales with each state’s order of magnitude using a single tuning parameter, we define

$$\mathbf{Q} = \varepsilon^2 \text{diag}(x_{\text{nom}})^2,$$

where x_{nom} is the vector of nominal state magnitudes and ε is a relative noise coefficient. We then fine-tune ε empirically by comparing short-interval model predictions to experimental pH measurements, balancing trust between the model dynamics and the sensor data.

The UKF is implemented in Python using FilterPy, with IDA/Assimulo solving the underlying DAE and a 30 second measurement interval for real-time tracking. Calibration is performed by iteratively adjusting four species to align the UKF’s predicted distributions with offline pH analytics:



277 process noise (\mathbf{Q}) assigned to key differential states or kinetic constants; measurement noise (\mathbf{R})
 278 inferred from the fiber-optic probe's empirical precision; unscented transform parameters (α, β, κ)
 279 tuned to control sigma-point spread; and the initial covariance (\mathbf{P}_0) set from prior state uncertainty.
 280 The kinetic model uses fixed kinetic parameters, calibrated against experimental data prior to
 281 runtime (these parameters need re-fitting when a new RNA molecule is produced based on a new
 282 template DNA); only state variables are updated by the UKF during operation. A summary of the
 283 final UKF parameter values appears in Table S4.

284 2.10 Soft sensor based on the semi-empirical Henderson–Hasselbalch cor- 285 relation

286 The semi-empirical H–H equation was used to calculate NTP consumption in IVT reactions by
 287 converting pH changes to proton release, knowing that one H^+ is released per incorporated NTP.
 288 The list of IVT species computed by the H–H model is shown in Table S3.

289 At discrete times t_k , let

$$pH_k = -\log_{10}(c_{H,k}), \quad c_{H,k} = 10^{-pH_k}, \quad c_{H,0} = 10^{-pH_0}.$$

290 The change in proton concentration relative to t_0 is

$$\Delta c_{H,k} = c_{H,k} - c_{H,0}. \quad (10)$$

291 We relate pH_k to the HEPES buffer equilibrium via the H–H equation:

$$pH_k = pK_a(T) + \log_{10}([A^-]_k/[HA]_k), \quad (11)$$

292 Knowing that each NTP incorporation liberates one proton, the cumulative NTP count at step
 293 k is

$$n_{\text{NTP},k} = V_{\text{rxn}} \Delta c_{H,k}, \quad (12)$$

294 where V_{rxn} is the constant reaction volume.

295 The H–H correlation was also used to calculate the conjugate-base concentration $[A^-]$ as
 296 a function of pH for commonly used IVT buffers (HEPES, TRIS–acetate, TRIS–HCl, HEPES
 297 titrated with NaOH, and TRIS–EDTA) as well as for various HEPES and TRIS–acetate buffer



298 concentrations (20-160 mM). For this, the pH range was discretized into fine intervals (0.001 pH
299 units), and $[A^-]$ was computed within each interval. Then the sum of $[A^-]$ within each range was
300 plotted as function of pH.

301 **3 Results**

302 **3.1 Concept and architecture of the pH-driven soft sensor for real-time IVT** 303 **monitoring**

304 To enable real-time monitoring of IVT reactions, we developed a soft sensor that converts a simple,
305 non-invasive pH signal into dynamic estimates of critical IVT and buffer species concentrations.
306 The concept is illustrated in Figure 1 and comprises three integrated modules: (i) frequent pH
307 measurement in the IVT reactor, (ii) digital processing via two complementary models, and (iii)
308 output generation of key state variables. In the bioprocess setup, a fiber-optic pH microsensor is
309 embedded directly into the IVT reactor, to record pH every 30 seconds. This pH signal reflects the
310 stoichiometric release of protons from the 3'-OH of the ribose during each NTP incorporation into
311 the growing RNA strand (cf. Figure S1), at a rate of up to 250 protons released per second (22, 23, 24,
312 19). Therefore, the temporal trajectory of pH serves as a real-time proxy for RNA synthesis and NTP
313 depletion. In the soft sensor engine, the recorded pH values are processed using two complementary
314 approaches. The first is a mechanistic kinetic IVT model, embedded within a UKF, which assimilates
315 each incoming pH value to iteratively update the predicted concentrations of ≈ 40 species involved
316 in the IVT reaction, including RNA, individual NTPs, Mg^{2+} :NTP complexes, pyrophosphate,
317 orthophosphate, and buffer species. Model predictions are updated every 25 milliseconds, allowing
318 high-frequency state estimation despite slower pH measurement rates (30 second frequency). The
319 second approach is based on the semi-empirical H-H correlation, which calculates RNA yield
320 and NTP consumption from the buffer equilibrium and pH trajectory, without requiring kinetic
321 parameterization. This can enable rapid estimation of ≈ 15 IVT species. The output layer of the soft
322 sensor delivers continuous, real-time predictions of KPIs, including RNA yield, NTP consumption,
323 reaction rate, as well as IVT and buffer component dynamics, accounting for a total of ≈ 15
324 (H-H model) and ≈ 40 (kinetic model) IVT and buffer species, respectively, which are inferred



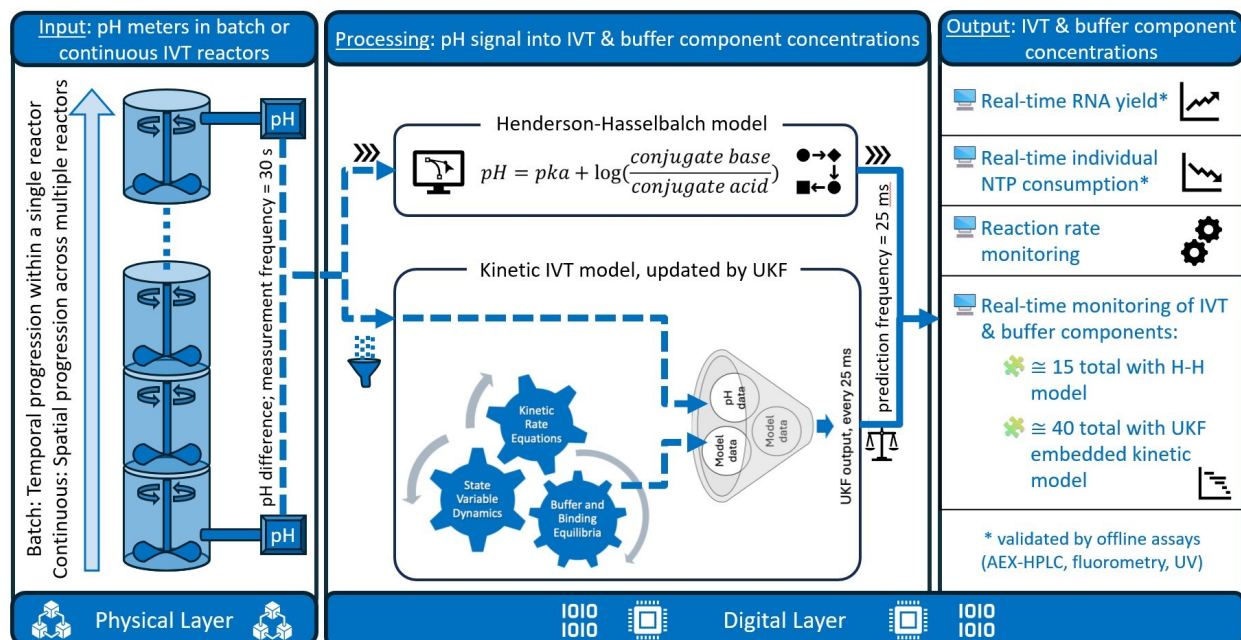
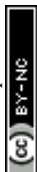


Figure 1: Overview of the pH-driven soft sensor for continuous, real-time monitoring of IVT reactions. This soft sensor integrates frequent in-line pH measurements with two computational models to enable continuous, real-time estimation of IVT and buffer species concentrations. The left panel (Physical Layer, bioprocess setup) consists of batch or continuous IVT reactors equipped with a fiber-optic pH microsensor. pH is measured every 30 seconds and serves as a proxy for proton release, which is stoichiometrically linked to NTP consumption and RNA synthesis. In the middle panel (Digital Layer, soft sensor engine), pH data are processed by either a Henderson–Hasselbalch model, which estimates buffer species concentrations and RNA yield based on acid–base equilibria, or a mechanistic IVT model embedded within an Unscented Kalman Filter, which dynamically updates the predicted states of ≈ 40 molecular species (NTPs, RNA, Mg-complexes, and buffer species) every 25 milliseconds. Please note that the estimated species are inferred through the mechanistic model constrained by pH measurements; only a subset (RNA yield and NTP consumption) is directly validated experimentally. The right panel (Digital Layer, output) includes continuous, real-time predictions of RNA yield, individual NTP depletion, reaction rate, and IVT and buffer component concentrations. Key predictions from both models are validated against offline assays (AEX-HPLC, UV spectrophotometry, fluorometry). This soft sensing framework supports advanced continuous process monitoring and control in mRNA, self-amplifying RNA (saRNA), and circular RNA (circRNA) manufacturing.



325 through the mechanistic framework constrained by pH measurements. Among these, RNA yield
326 and NTP consumption are directly validated experimentally, using offline assays (AEX-HPLC, UV
327 absorbance, and fluorometry). Together, these three modules form a model-based digital sensing
328 framework that enables real-time tracking and prediction of IVT reaction progression, supporting
329 QbDD, process development, and advanced control strategies.

330 **3.2 Measured and model-predicted real-time pH as a proxy for IVT progress**

331 The inherently low buffering capacity of IVT reactions (see Figure S3), arising from the use of weak
332 buffers such as HEPES or TRIS at modest concentrations (e.g., 40 mM), results in a measurable pH
333 drop as protons are released during the IVT. Figure 2 shows the in-line pH traces recorded every
334 30 seconds during IVT of eGFP (930 nt) and CSP (4283 nt) mRNA in HEPES and TRIS buffers.
335 In the same figure, the overlaid dashed lines represent 25 millisecond interval predictions from the
336 kinetic model tuned by the UKF, which closely track the measured pH trajectories while effectively
337 filtering out sensor noise. The individual and averaged pH measurements, alongside the recorded
338 temperature and RNA yield (measured by both UV spectrophotometry and fluorometry) are shown
339 in Figure S4.

340 In every case, the pH drops sharply upon initiation, mirroring the NTP uptake and RNA
341 produced. This indicates that pH provides a direct, non-invasive proxy for transcriptional progress.
342 The period of maximum catalytic activity is clear in the first 30 minutes, where all four curves fall
343 steeply. As NTPs become limiting, the slope flattens. Notably, larger net pH drop (especially in the
344 eGFP–TRIS run) is associated with increased raw sensor noise. This is likely due to the measured
345 pH values approaching the lower measurement limit ($\text{pH} \approx 5.5$) specified by the manufacturer.

346 Buffer choice dictates the magnitude of the drop. TRIS ($pK_a \approx 7.8$ at 37°C) lies farther from
347 the initial reaction pH (≈ 6.67) than HEPES ($pK_a \approx 7.3$), so its buffering capacity is lower. RNA
348 length modulates curve shape: the longer CSP mRNA transcript maintains an almost linear decline,
349 indicative of either initiation, elongation or termination limitation when transcribing CSP mRNA,
350 whereas eGFP mRNA shows a more pronounced initial fall. Based on H-H modeling results, a pH
351 drop is expected in all commonly used IVT buffers (see Figure S3) and even at increased buffer
352 concentrations (see Figure S5).



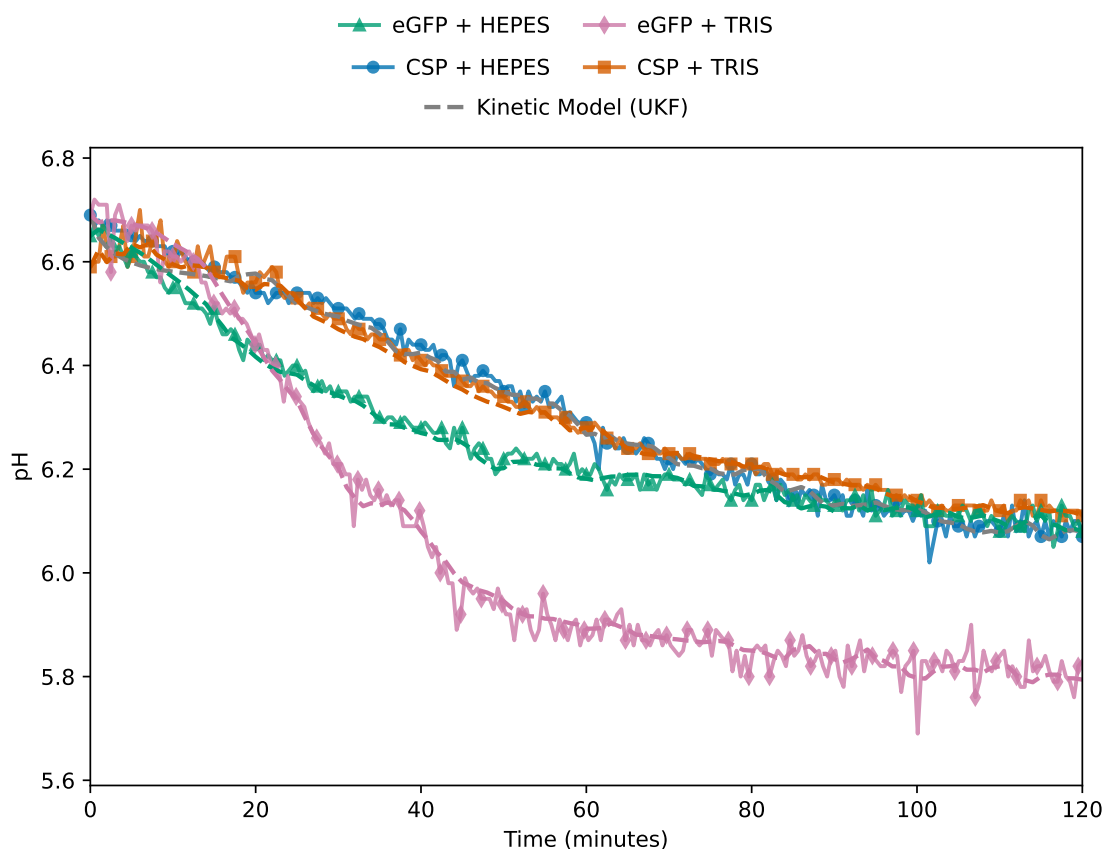


Figure 2: Time-course of pH profiles during IVT reactions. Solid lines with markers show measured pH every 30 seconds: blue circles for eGFP mRNA in HEPES buffer, orange squares for eGFP mRNA in TRIS buffer, green triangles for CSP mRNA in HEPES buffer, and pink diamonds for CSP mRNA in TRIS buffer. Overlaid dashed lines are pH trajectories predicted by the kinetic model and dynamically updated using a UKF, which assimilates experimental measurements to account for process noise and parameter uncertainty, improving prediction accuracy.



3.3 Real-time RNA quantification by the soft sensors based on the kinetic-UKF and H-H models

The principal goal of this work was to move beyond qualitative pH trends toward a quantitative, real-time soft sensor for monitoring RNA yield, NTP consumption, reaction rate, as well as IVT and buffer component dynamics, accounting for a total of ≈ 15 and ≈ 40 species with the H-H and kinetic-UKF models, respectively. To accomplish this, we integrated the IVT model with a UKF. This framework uses pH measurements (recorded every 30 seconds) to update and refine real-time model predictions (generated every 25 milliseconds) of key molecular species, such as RNA and individual NTP concentrations, among all other species modeled in the reaction.

As illustrated in Figure 3, the UKF-predicted RNA concentrations closely paralleled experimental measurements, with $R^2 > 0.90$ in every case. Comparing the two models across the four conditions, the H-H model generally tracks the experimental data well and in some cases (e.g., Figure 3A) appears to follow the experimental trend more closely than the UKF during mid-reaction; however, the UKF achieves a higher overall R^2 because it can dynamically correct its trajectory as new pH measurements arrive. The kinetic-UKF model is particularly advantageous in conditions where the reaction deviates from simple proton-balance assumptions (e.g., Figure 3D, CSP-TRIS), where the UKF's adaptive correction yields $R^2 = 0.983$ compared to 0.966 for the H-H model.

This confirms that a single, frequent pH measurement can reliably track overall reaction progression, substantially reducing the need for frequent, labor-intensive offline sampling that gives time-lagged readings and is subject to cumulative manual errors from aliquot withdrawal, EDTA quenching, spin-column purification, and instrument calibration. Indeed, the RMSE values for UKF-predicted RNA yield ($0.40\text{--}1.15\text{ g L}^{-1}$, Table 1) are comparable to or smaller than the standard deviations of the offline UV absorbance measurements themselves, suggesting that a significant fraction of the apparent prediction "error" may originate from variability in the offline reference assay rather than from the soft sensor. Because the soft sensor derives its estimates from a single, continuous pH signal that bypasses all sample-handling steps, it can provide not only higher-frequency monitoring but also potentially more consistent estimates of reaction progress.

Occasional deviations of the UKF mean from individual experimental points align with regions of higher sensor noise or offline assay variability rather than a systematic model error. In addition,



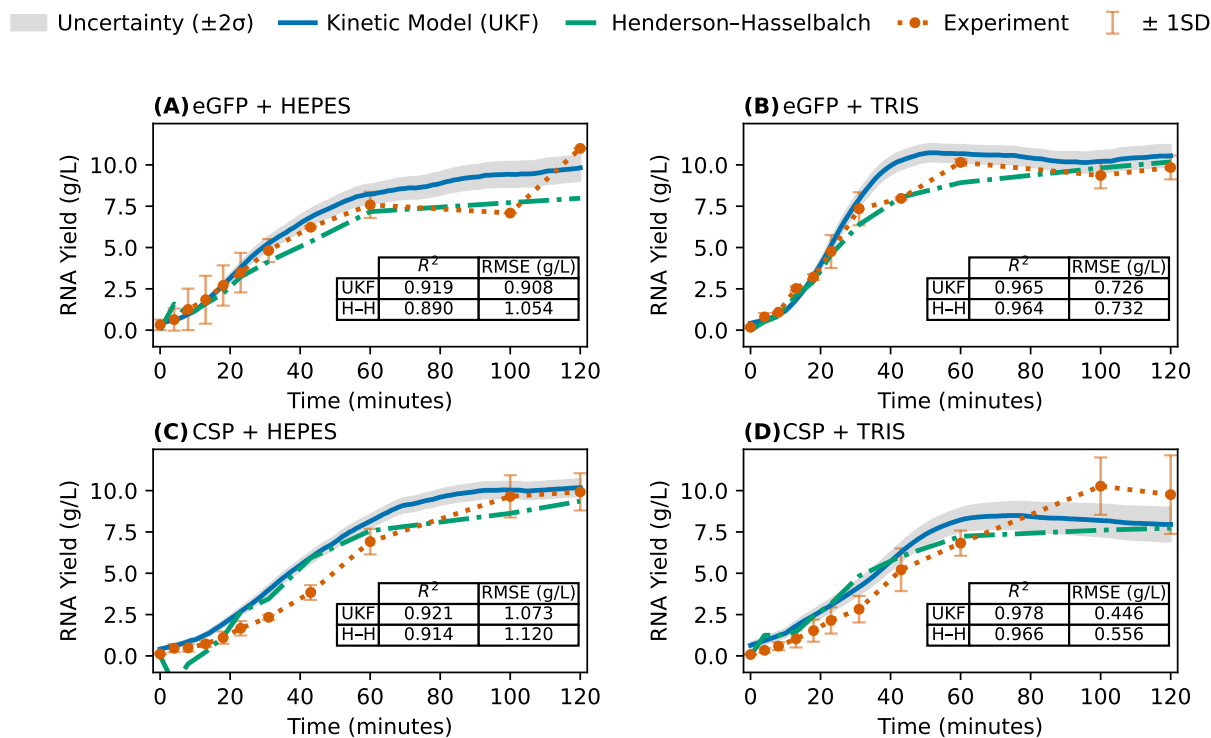
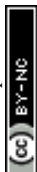


Figure 3: Continuous, real-time RNA yield under four conditions (A) eGFP mRNA in HEPES buffer, (B) eGFP mRNA in TRIS buffer, (C) CSP mRNA in HEPES buffer, (D) CSP mRNA in TRIS buffer. RNA yields are shown from 0 to 120 minutes following IVT initiation by the addition of template DNA. Red circles indicate experimental measurements obtained from RNA purified via silica spin columns and quantified by UV absorbance at 260 nm; error bars represent $\pm 1SD$ from biological replicates ($n = 2$ for eGFP, $n = 3$ for CSP). The solid blue line shows the continuous pH prediction by the kinetic model, dynamically updated by the UKF, and the gray shaded band denotes $\pm 2\sigma$ confidence intervals. The green dash-dotted line shows the H-H estimates. Inset tables report the coefficient of determination (R^2) and root-mean-square error (RMSE) for each method in each condition.



382 some data points (e.g. at 100 and 120 minutes in Figure 3A) may be affected by experimental or
383 analytical bias. Despite low standard deviation, this does not exclude systematic error (e.g. dilution
384 or sample handling error), which would not necessarily be reflected in the error bars. The limited
385 number of replicates further restricts definitive interpretation. The $\pm 2\sigma$ uncertainty bands around
386 the UKF traces (largest in the eGFP–TRIS) are drawn directly from the filter’s state-covariance
387 update and mirror increases in pH measurement noise when the reaction drifts outside the sensor’s
388 optimal range. Notably, in the CSP–TRIS run the final RNA yield fell below the mechanistic model’s
389 initial forecast; the UKF responded by gradually adjusting its prediction downward from 60 to 120
390 minutes as the real-time pH began to diverge from the model’s expected trajectory, illustrating
391 the filter’s ability to detect and correct systematic deviations. For additional details on the filter’s
392 adaptive weighting (process covariance trace and Kalman gain), see SI Figure S6A&B. Both the
393 eGFP and CSP mRNA produced in the two different IVT buffers were of high integrity (intactness),
394 as evidenced by CGE measurements, see Figure S7.

395 We also employed a H–H approach to estimate RNA yield solely based on proton balance and
396 buffer equilibrium. As shown in Figure 3, overlaying the H–H predictions on the experimental data
397 yields an average coefficient of determination of $R^2 > 0.90$ across all conditions, despite the absence
398 of any fitted parameters or adjustments to the data by a Kalman filter. Under the assumption of
399 perfectly accurate pH measurements, the H–H curve can be regarded as an internal “ground truth,”
400 such that any systematic deviation of the experimental RNA yields from this curve may reflect assay
401 noise or bias. This interpretation is reinforced in Figure 3C: during the 20–60 minute window, which
402 coincides with a large pH drop, both the H–H and UKF models overestimate the measured RNA,
403 whereas the raw data remain comparatively flat, suggesting sampling artifacts rather than model
404 failure. Of course, pH itself is challenging to measure with absolute accuracy and precision, and
405 neither model captures every possible side reaction or ionic interaction. Nonetheless, the high R^2
406 values between the RNA yield predicted by the model and that measured by offline analytical
407 assays indicate that the pH change reflects the proton balance associated with RNA formation in
408 both models (kinetic-UKF and H-H). This shows that pH captures the dominant proton-release
409 chemistry and supports its use as a robust, non-invasive input for the soft sensor.



3.4 Real-time NTP quantification by the kinetic-UKF soft sensor

Given the UKF's strong performance in predicting RNA yield, it is reasonable to expect similarly accurate estimates for the depletion of the four NTPs (ATP, CTP, GTP, and UTP), which serve as the substrates for RNA synthesis. Figure 4 confirms this: the trajectories for ATP, CTP, GTP, and UTP predicted by the kinetic model and dynamically updated by the UKF closely track the offline AEX-HPLC measurements, yielding an average $R^2 \approx 0.84$. Although this R^2 is lower than that for RNA (see Figure 3), the discrepancy arises almost entirely from higher experimental error in the AEX-HPLC assay, as robust quantification of NTPs is notoriously challenging. In principle, given the known template sequence and proton stoichiometry, the exact mass-balance relationships should allow NTP predictions to match RNA predictions; hence the reduced R^2 can be ascribed to assay noise. For example, in Figure 4C, the overall NTP depletion pattern closely matches expectations despite noise in the experimental data. In contrast, Figure 4D shows apparent near-complete CTP depletion at late reaction times, which contradicts the observed RNA yield (lower than the expected 11 gL^{-1} based on the full consumption of the limiting NTP). This discrepancy likely arises from sample dilution or assay calibration errors rather than true variability in the IVT reaction or model inaccuracy. Similarly, in Figure 4B, C and D, the initial NTP concentrations vary between 8–11 mM (versus the nominal 10 mM), causing an apparent shift in the depletion slope that would vanish if the experimental assay data matched the model assumptions. Although stable enzyme:NTP complexes could theoretically hold up NTPs, their concentrations are expected to be negligible.

We also applied the H–H approach to infer the consumption of individual NTPs across the four template–buffer conditions, using only proton balance and buffer equilibria. As shown in Figure 4E–H, the H–H model predicted NTP consumption with an overall $R^2 > 0.76$ relative to offline AEX–HPLC measurements, without fitting parameters or Kalman filter updates. The lowest average R^2 (0.64) was obtained for eGFP mRNA production in TRIS buffer, while the highest average R^2 (0.91) was observed for eGFP mRNA production in HEPES buffer.

Overall, the kinetic model embedded in the UKF, and to a lesser extent the H–H model, capture the expected NTP consumption patterns and rates, and the modest drop in R^2 highlights the limits of offline assays. A full summary of the UKF's and H–H model's fit to the NTP data, including RMSE values, is provided in Table 1.



— Model (solid) - - Experimental interpolation (dashed)

● ATP ■ UTP ▲ GTP ◆ CTP

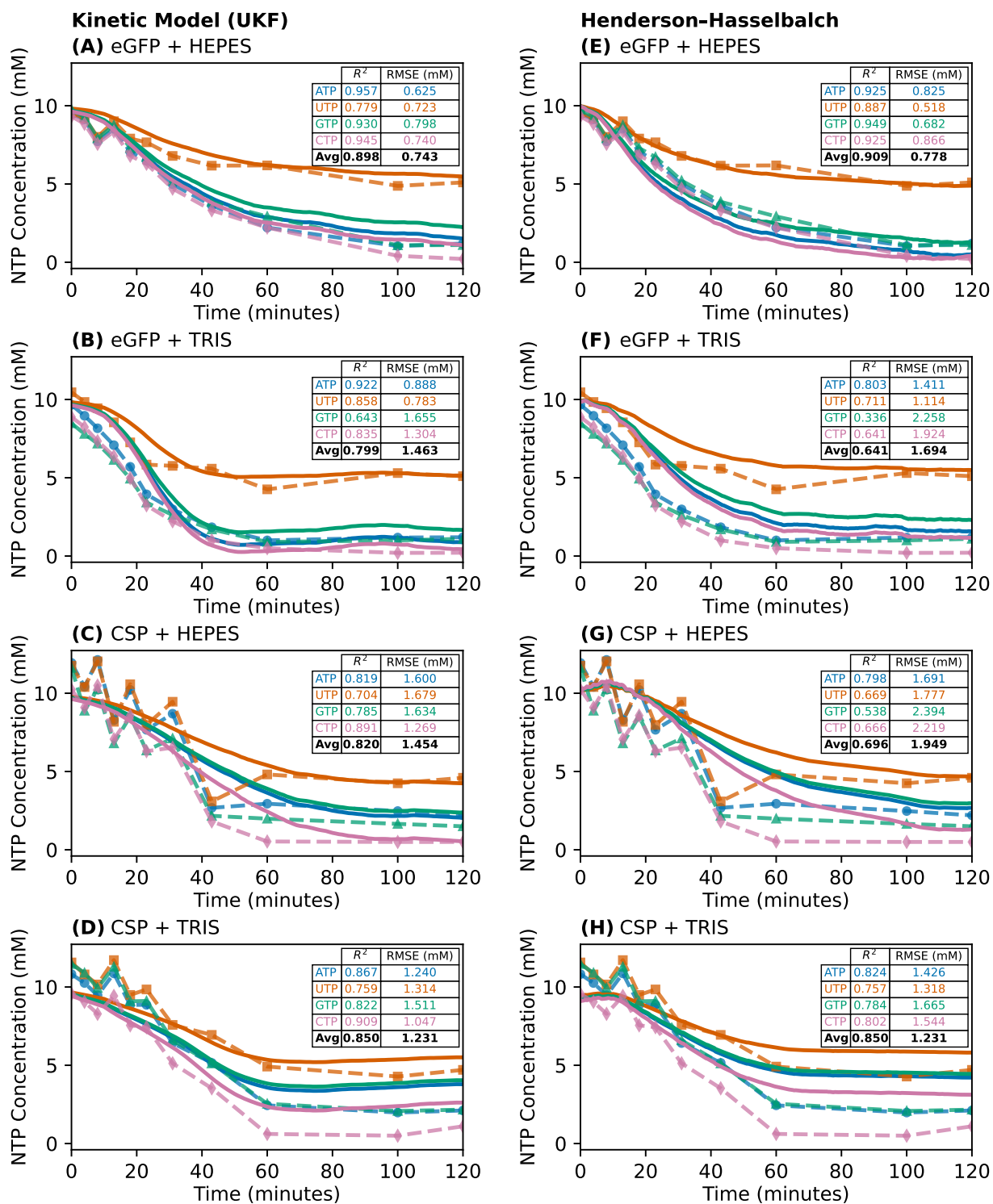


Figure 4: Simulated versus measured NTP depletion in IVT reactions. Time-courses from 0–120 minutes after initiating IVT (template DNA addition) are shown for four buffer–template combinations. Panels A–D show the *UKF model* (solid) versus offline AEX-HPLC measurements (dashed). Panels E–H show the *H–H model* (solid) versus the same experimental data. Colors and markers distinguish nucleotides: ATP (blue circles), UTP (orange squares), GTP (green triangles), and CTP (pink diamonds). Each data point represents a single AEX-HPLC measurement ($n = 1$). Inset tables report per-nucleotide goodness-of-fit (coefficient of determination, R^2 , and root-mean-square error, RMSE) and the panel average.

Table 1: RMSE and R^2 values for NTP and RNA predictions. Comparison of UKF and H–H predictions against offline assays under four template and buffer conditions. *RMSE units: RNA in gL^{-1} ; NTP in mM.*

Analyte	HEPES + eGFP		HEPES + CSP		TRIS + eGFP		TRIS + CSP	
	RMSE	R^2	RMSE	R^2	RMSE	R^2	RMSE	R^2
RNA (H–H)	1.054	0.890	1.120	0.914	0.732	0.964	0.556	0.966
RNA (UKF)	0.890	0.911	1.152	0.909	0.944	0.915	0.396	0.983
NTP (H–H)	0.778	0.909	1.949	0.696	1.694	0.641	1.231	0.850
NTP (UKF)	0.743	0.898	1.454	0.820	1.463	0.799	1.231	0.850



3.5 Real-time quantification of IVT and buffer species by the kinetic-UKF and H-H soft sensor

The UKF updates the kinetic model with frequent pH measurements (e.g. every 30 seconds). Between updates the kinetic model-based soft sensor is propagated to generate dynamic estimates of all IVT species at 25 millisecond intervals. While RNA yield and NTP concentrations are validated against independent experimental measurements, the remaining species should be interpreted as model-inferred quantities, whose accuracy depends on the validity of the underlying model structure and parameters. The complete kinetic model can compute ≈ 40 IVT species, whereas the H-H model can account for ≈ 15 of these (Table S3). By solving the time-dependent mass balances of the ≈ 40 IVT species at 25 millisecond intervals, the model generates approximately 1,600 concentration estimates per second. For a standard 2 hour IVT reaction this gives 11.5 million IVT and buffer species concentration estimates.

Such rapid and abundant measurements are otherwise not possible for these IVT species. This enables unique real-time, model-aided insights into the chemical speciation and progression of the IVT reaction. As an example, Figure 5 shows the time-course concentrations of pyrophosphate (PPi), orthophosphate (Pi), and magnesium–nucleotide complexes ($\text{Mg}^{2+}:\text{ATP}$, $\text{Mg}^{2+}:\text{CTP}$, $\text{Mg}^{2+}:\text{GTP}$, $\text{Mg}^{2+}:\text{UTP}$), as predicted by the kinetic model and dynamically updated using the UKF. The model predicts low and progressively decreasing concentrations of PPi, consistent with its enzymatic hydrolysis to Pi by pyrophosphatase, in accordance with the IVT experimental setup. As a result, Pi concentrations (in the 0-70 mM range) increase over time, as expected. The concentrations of Mg:NTP complexes remain low (in the μM range) and decline gradually as the four NTPs are consumed through incorporation into the growing RNA chain. The time-course concentration changes of the free and total H^+ alongside buffering species (namely, the protonated (acid) and deprotonated (base) forms of TRIS, HEPES, and acetate), predicted by both the H-H and UKF-embedded kinetic models are shown in Figures S8 and S9. As expected, the concentrations of the acid forms increase over time, while those of the base forms decrease, due to proton release during the IVT reaction. Among the conditions tested, the HEPES-buffered reactions (blue and green curves) exhibit the smallest variation in free H^+ , indicating that HEPES provides stronger pH buffering compared to TRIS under these IVT conditions. This aligns with the pK_a of HEPES



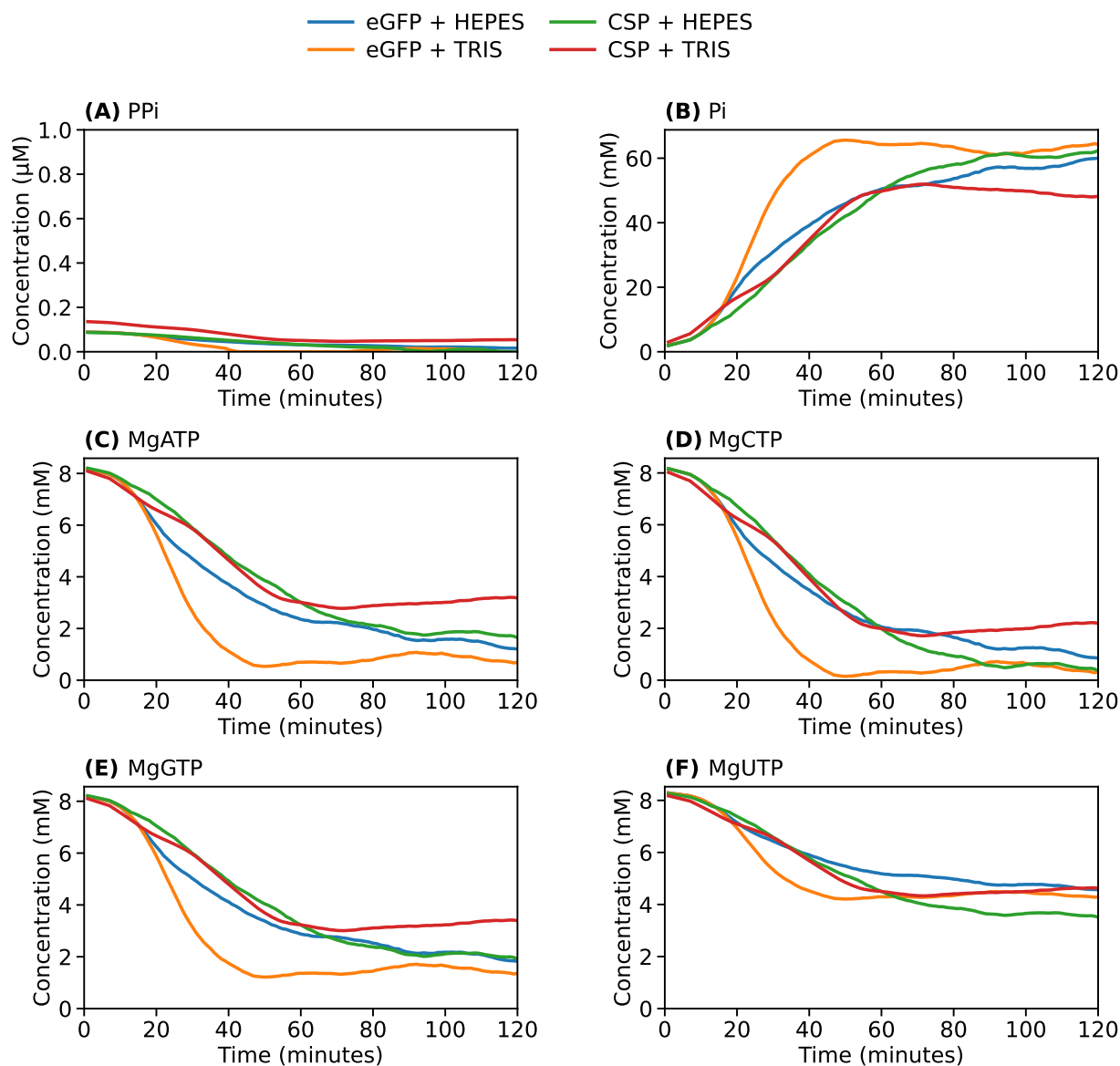


Figure 5: Time-course profiles of Mg–nucleotide complexes, inorganic phosphate, and pyrophosphate predicted by a UKF-updated kinetic model across four IVT reactions varying template and buffer. Blue = eGFP in HEPES, Orange = eGFP in TRIS, Green = CSP in HEPES, Red = CSP in TRIS. (A) PP_i from NTP polymerization, subsequently hydrolyzed by pyrophosphatase. (B) P_i released from PP_i. (C–F) MgATP, MgCTP, MgGTP, and MgUTP—the active Mg–NTPs consumed during transcription. Each plot spans 120 minutes and shows effects of template (eGFP vs. CSP) and buffer (HEPES vs. TRIS) on substrate depletion and by-product formation.



468 (7.3 at 37 °C) being closer to the IVT operating pH range (5.5–7.0) compared to that of TRIS
469 (7.8 at 37 °C). The soft sensor, which operates by integrating the kinetic model within the UKF
470 framework, supports real-time monitoring of numerous chemical species during the IVT reaction,
471 providing mechanistic insight to aid the development, real-time optimization, and automation of
472 the IVT process.

473 4 Discussion

474 This study demonstrates that a simple pH signal, when interpreted through a soft sensing framework,
475 provides abundant and quantitative real-time insight into the dynamics of the IVT reaction. By
476 interpreting the pH trajectory through either a mechanistic kinetic model coupled with a UKF or a
477 H-H framework, it is possible to infer key IVT reaction states such as RNA yield, NTP depletion,
478 and intermediate species concentrations. Although pH lacks the molecular specificity of direct
479 assays like HPLC or spectrophotometry, it is low-cost, requiring minimal calibration, non-invasive,
480 and already widely monitored in bioreactors. The mechanism underlying the pH decrease during
481 IVT, caused by proton release, is well understood (cf. Figure S1) (28, 26, 29, 37). When combined
482 with appropriate modeling, pH becomes a practical tool for real-time monitoring of IVT processes.
483 This represents a shift from sensor-rich PAT strategies toward information-rich modeling of simple
484 measurements, where reaction stoichiometry and physicochemical coupling are leveraged to extract
485 latent process information.

486 The observed pH trajectories closely mirrored the reaction's progression across all four tested
487 conditions. In each case, a rapid initial pH drop (Figure 2) corresponded to high transcriptional
488 activity, RNA yield increase (Figure 3), and NTP consumption (Figure 4). The extent and shape
489 of the pH curve were governed by both buffer capacity and RNA yield. For example, the eGFP-
490 TRIS reaction showed the steepest pH drop, driven by a higher RNA yield ($\sim 11 \text{ gL}^{-1}$) and the
491 use of TRIS buffer ($pK_a \approx 7.8$ at 37 °C), which is farther from the reaction pH ($\sim 5.5\text{--}7$) than
492 HEPES ($pK_a \approx 7.3$ at 37 °C). In contrast, CSP-TRIS yielded only $\sim 8 \text{ gL}^{-1}$ RNA, resulting
493 in a more modest pH decline despite the same buffer system. Meanwhile, both HEPES-buffered
494 reactions (CSP-HEPES and eGFP-HEPES) achieved $\sim 11 \text{ gL}^{-1}$ yields and similar final pH values.
495 The initial pH of the IVT reactions was lower than that of the buffer, and this difference can be



496 attributed to the addition of NTPs to the reaction (37). Importantly, CGE confirmed that the mRNA
497 products were of high integrity across all conditions (see Figure S7). These results support the use of
498 pH as a robust indicator of IVT productivity, provided that buffer conditions are well characterized.

499 We developed a soft sensor that integrates pH measurements into a kinetic IVT model embedded
500 within a UKF. The kinetic model continuously generates model predictions at 25 millisecond
501 intervals and compares them to experimental pH measurements acquired at every 30 seconds,
502 therefore state updates occur at a frequency of 30 seconds. This comparison allows the UKF to
503 update the model state periodically, correcting for noise, uncertainty, and process variability. As a
504 result, the soft sensor provides more accurate and robust live estimates of reaction progress. This soft
505 sensor generates approximately 1,600 model predictions of IVT and buffer species concentrations;
506 equivalent to 40 sets of time-resolved predictions per second. Importantly, the kinetic IVT model
507 uses fixed kinetic parameters that were calibrated against experimental data prior to runtime; only
508 state variables are updated by the UKF during operation. A separate set of experimental data (not
509 used for model fitting) was used to validate both the IVT model (embedded into the UKF) and the
510 semi-empirical H–H model, and the R^2 and RMSE values reported in this work were calculated
511 against this separate validation dataset. A sensitivity analysis for the underlying kinetic IVT model
512 parameters is provided in (20).

513 The UKF-based soft sensor achieved strong agreement with offline RNA measurements ($R^2 >$
514 0.90), demonstrating that pH input can be effectively used to infer RNA yield in real-time. The soft
515 sensor provides a more continuous and potentially more accurate readout than intermittent offline
516 assays, which are subject to manual sampling errors, dilution errors, and instrument calibration
517 variability. By eliminating the need for repeated manual sampling, the soft sensor reduces the risk of
518 such errors and provides high-frequency monitoring that captures transient process dynamics that
519 would be missed by periodic offline measurements. Similarly, NTP depletion profiles predicted by
520 the UKF showed a robust correlation with offline AEX-HPLC data (overall $R^2 \approx 0.84$), although
521 deviations were more pronounced due to greater experimental noise in NTP assays (Figure 4).
522 The H–H model also reproduced the overall trends in NTP concentration profiles, albeit with
523 lower accuracy (overall $R^2 \approx 0.76$) relative to offline AEX-HPLC measurements. Nevertheless,
524 this performance can be considered satisfactory given the model's simplicity, the absence of
525 parameter fitting to experimental data, and the lack of state updates via the UKF. Notably, model



526 predictions reflected the known nucleotide composition of each template (Table S2): NTPs with
527 higher fractional abundance in the RNA were consumed more rapidly, and the onset of substrate
528 limitation was clearly mirrored in the flattening of the pH trajectory.

529 While the overall trends matched well, some discrepancies were observed. Initial NTP con-
530 centrations measured by AEX-HPLC varied between 8–11 mM despite nominally starting at 10
531 mM, suggesting pipetting, sampling or AEX-HPLC calibration errors. In CSP–TRIS, for example,
532 offline data suggested near-complete CTP depletion, which was inconsistent with the final RNA
533 yield. Variability in the experimental data (e.g. fluctuations in the AEX-HPLC measurements dur-
534 ing the first 30–50 minutes in Figures 4C&D) may arise from several sources, including pipetting
535 errors during IVT assembly, sampling inaccuracies (e.g., non-representative aliquots due to local
536 heterogeneities in the reaction mixture), dilution errors during sample preparation for AEX-HPLC
537 analysis, and calibration or operational issues related to the AEX-HPLC instrument. Overall, these
538 deviations were attributed to experimental noise rather than model inaccuracy, further supporting
539 the reliability of the soft sensor in noisy environments. The soft sensor thus provides a more contin-
540 uous and potentially more reliable readout than intermittent offline assays, especially in the context
541 of noisy or resource-intensive analytical workflows.

542 Beyond RNA and NTP quantification, the underlying kinetic IVT model can track the time-
543 dependent concentrations of ≈ 40 IVT species (see Table S3). This results in around 1,600 con-
544 centration estimates per second and over 11.5 million model predictions across a 2-hour IVT
545 reaction. This provides a rich dataset for IVT optimization and mechanistic insight into buffering
546 dynamics, proton flux, and enzyme performance. For example, as concentrations and charge states
547 evolve during the reaction, the IVT model-based soft sensor can identify which species contribute
548 to buffering the released H^+ . For instance, Mg^{2+} :NTP complexes ($pK_a \approx 6.5$) increasingly bind
549 protons as the pH drops below 6.5 (38). However, by the time such low pH values are reached,
550 Mg^{2+} :NTP concentrations have also declined (as NTPs are consumed to produce RNA), therefore
551 there will be fewer Mg^{2+} :NTP complexes present to bind protons. The concentration of a subset
552 of IVT species was plotted over time (cf. Figures 5, S8 and S9). These predictions offer real-time
553 insight into the evolving chemical environment of the IVT reaction, useful for optimizing buffer
554 formulations, magnesium usage, and enzyme loading. For example, the model correctly predicted
555 near-complete degradation of PPi into Pi by pyrophosphatase, as well as micromolar-level depletion

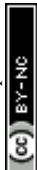


556 of Mg:NTP complexes in line with nucleotide consumption (see Figure 5).

557 Importantly, buffer dynamics were also captured: HEPES-buffered reactions exhibited the small-
558 est variation in free H^+ concentration, consistent with its $pK_a \approx 7.3$ at $37^\circ C$ being closer to the IVT
559 operating pH range than that of TRIS ($pK_a \approx 7.8$ at $37^\circ C$). These mechanistic insights highlight
560 the soft sensor's utility not just for monitoring, but also for IVT process development and design
561 space exploration.

562 It is important, however, to distinguish between directly validated outputs and model-inferred
563 quantities: RNA yield was validated using orthogonal analytical methods (UV-Vis spectroscopy
564 and fluorometry) and individual NTP concentrations using AEX-HPLC, whereas the remaining
565 IVT and buffer species are inferred through the mechanistic framework, which relies on mass
566 balances, equilibrium constants, and fixed kinetic parameters (Table S3). These inferred states
567 are therefore contingent on the validity of the model structure and parameterization, and should
568 not be interpreted as directly measured quantities. The associated uncertainty arises from model
569 assumptions, parameter uncertainty, and potential unmodeled effects (e.g., side reactions or devi-
570 ations from equilibrium); nonetheless, inference through literature-reported equilibrium constants
571 represents the most accurate quantification currently achievable for these species, which cannot be
572 directly measured in real-time during IVT reactions. Future work could validate additional species
573 through orthogonal assays, complementary sensors or targeted experimental measurements, and
574 further reduce uncertainty through parameter estimation.

575 The lower R^2 values observed for NTP predictions (compared to RNA yield) are largely
576 attributable to higher experimental variability in the offline AEX-HPLC assay, as evidenced by the
577 standard deviations of these measurements, rather than systematic model inaccuracy. On a related
578 note, pH is not coupled directly to each species in the IVT model, therefore there are observability
579 limitations. To minimize pH drift and maintain optimal enzyme activity, the IVT reaction could be
580 initiated at a higher pH (e.g., pH 8–8.5), allowing the pH to decrease *into* the pK_a range of HEPES
581 or TRIS during the reaction and thereby making more effective use of the buffer's capacity (39, 37).
582 This would also provide a larger measurable pH window for the soft sensor. Additionally, the NTP
583 counterion formulation may influence the sensor's performance: the sodium-salt NTPs used in
584 this work do not contribute to buffering, whereas TRIS-salt NTPs would add buffering capacity,
585 potentially reducing the initial pH dip and the magnitude of the subsequent pH signal.



586 Both the UKF and H–H models demonstrated robustness against measurement noise and offline
587 assay variability. The UKF's ability to repeatedly reconcile model predictions with frequent pH
588 measurements allows it to detect and correct for systematic deviations over time.

589 The H–H approach, while simpler, also achieved RNA yield predictions with $R^2 > 0.90$,
590 and an overall NTP consumption prediction with $R^2 > 0.76$, despite using no kinetic parameters or
591 experimental fitting. Accounting for ≈ 15 IVT species (Table S3), it provides a rapid, low-complexity
592 benchmark or internal reference, particularly valuable when high-precision pH measurements are
593 available and kinetic parameterization is not feasible. Consequently, the H–H quantification could
594 serve to validate the kinetic-UKF model outputs within a model-based predictive control strategy,
595 as it is independent of kinetic parameterization. Future improvements could focus on refining the
596 process noise covariance to further improve UKF performance, particularly during early reaction
597 phases. Additionally, while the current kinetic model reflects standard T7 polymerase behavior,
598 adapting it to other polymerases or buffer systems might require reparameterization and revalidation.

599 This pH-based soft sensor is expected to enable real-time monitoring of RNA yield, NTP
600 consumption, and buffering dynamics across all commonly used IVT buffers. This is supported by
601 H–H modeling results (Figure S3), which shows that IVT buffers such as HEPES, TRIS–acetate,
602 TRIS–HCl, and HEPES titrated with NaOH exhibit limited buffering capacity. Notably, even at
603 elevated buffer concentrations, most likely suboptimal for IVT performance, a measurable pH drop
604 should persist (Figure S5), indicating that the soft sensing approach remains applicable under a
605 broad range of conditions. However, the sensor's sensitivity depends on the formulation producing
606 a sufficient pH signal; formulations with substantially higher buffer concentrations or TRIS–salt
607 NTPs could reduce this signal and should be validated experimentally. The H–H predictions in
608 Figure S5 are theoretical and may not capture all interactions present in an assembled IVT reaction.

609 This soft sensing framework has direct implications for real-time bioprocess control. Because
610 RNA yield and NTP depletion can be inferred continuously with low (25 millisecond) latency, it is
611 feasible to implement closed-loop control strategies in which pH signals trigger automated feeding
612 of NTPs, enzymes, or buffer, preventing premature substrate depletion or suboptimal reaction
613 conditions. If deviations are detected from current measurements, feedback control can be applied
614 to adjust and optimize the process in real time. Moreover, the kinetic model can forecast future
615 trajectories of KPIs (e.g., mRNA yield and NTP concentrations over the next 5–10 minutes). If the



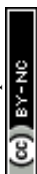
616 model predicts a potential decrease in RNA yield or other KPI deviation, corrective actions can be
617 implemented through feed-forward control (e.g., model-predictive control) to prevent deviations
618 before they occur. Such digital twin-based control approaches could be vital for maximizing yields
619 and productivity while minimizing reagent costs and reaction times, especially in a continuous
620 manufacturing setting.

621 The H-H model effectively represents a reduced-complexity alternative to the full mechanistic
622 IVT-UKF model. Despite tracking only ≈ 15 species (compared to ≈ 40 for the kinetic model),
623 the H-H model achieved comparable RNA yield predictions ($R^2 > 0.90$) without kinetic param-
624 eterization. This demonstrates that a simplified proton-balance approach can serve as a practical
625 monitoring tool when kinetic parameterization is not feasible. However, the mechanistic IVT-UKF
626 model provides additional value by explicitly representing reaction species and equilibria, enabling
627 estimation of internal states (e.g., Mg:NTP complexes, PPI, Pi) and forecasting future reaction
628 behavior—capabilities that are essential for advanced monitoring and potential model-based con-
629 trol. Additionally, the minimal, non-intrusive sampling requirements of the pH-driven soft sensor
630 make it attractive for scale-up, as repeated offline assays can be resource-intensive. Furthermore,
631 the approach aligns with the principles of QbDD, enabling *in silico* scenario analysis, real-time
632 decision-making, and advanced process automation.

633 Finally, because proton release from the 3'-OH of the ribose is a universal feature of IVT
634 for mRNA, self-amplifying RNA (saRNA), and circular RNA (circRNA) (4, 40), and IVT buffers
635 have limited buffering capacity (cf. Figure S3), this pH-based soft sensing framework offers a
636 broadly applicable tool for monitoring and optimizing the manufacturing of any mRNA, saRNA,
637 and circRNA vaccines and therapeutics. It can also be extended to monitor DNA polymerization
638 or other bioprocesses in which measurable pH changes correlate with substrate depletion, product
639 formation, or reaction progress—such as enzymatic conversions or metabolic pathways in cell-free
640 systems.

641 5 Conclusion

642 This work demonstrates that pH monitoring, implemented here with an *in-line* fiber-optic probe
643 and coupled to either a detailed kinetic/UKF model or a H-H correlation, functions as an effective



644 soft sensing strategy for IVT reactions. The kinetic-UKF model achieved $R^2 = 0.95$ for mRNA
645 yield and $R^2 = 0.84$ for per-NTP depletion, while the H-H model achieved $R^2 = 0.93$ for RNA yield
646 and $R^2 = 0.76$ for NTPs, both validated against a separate set of offline measurements not used for
647 model fitting.

648 Since pH is routinely logged in bioreactors, this soft sensor has strong potential as a widely
649 applicable PAT, offering a convenient, non-invasive, single-variable route to continuously monitor
650 KPIs in real-time, while reducing the need for offline assays. The pH-driven soft sensor was
651 implemented here in a batch IVT reaction; however, in principle, it can also be deployed in
652 continuous flow IVT reactors, where two or more pH sensors would feed into the models to monitor
653 reaction progression along the reactor.

654 Future work will evaluate the suitability of this soft sensor across additional IVT buffers (e.g.,
655 TRIS, TRIS base, HEPES-NaOH), varied buffer concentrations, NTP counterion formulations (e.g.,
656 TRIS-salt NTPs), varying starting pH values, and RNA formats including saRNA and circRNA.
657 H-H simulations could be used to systematically map the sensor's operating envelope across this
658 formulation space, with targeted experimental validation of representative conditions. The pH
659 measurement hardware will also be expanded to include microelectrode probes and pH flow cells,
660 which may offer greater robustness for longer-duration and larger-scale continuous production runs.
661 Overall, pH-driven soft sensing converts an already-monitored variable into actionable insight,
662 enabling real-time control to improve throughput, quality and reproducibility in mRNA medicines
663 manufacturing.

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675 **6 Author contributions**

676 Author contributions are listed using the CRediT (Contributor Roles Taxonomy) framework. **Con-**
677 **ceptualization:** ZK, MA, SH, RC, CK. **Methodology:** MA, SH, RC, CK, MP, MD, MM, JC, ZK.
678 **Software:** MA, SH, RC. **Validation:** MA, SH, RC, CK, ZK. **Formal analysis:** MA, SH, RC, CK,
679 ZK. **Investigation:** MA, SH, RC, CK, ZK. **Data curation:** MA, SH, RC, CK. **Visualization:** MA,
680 SH, RC, CK, MP, ZK. **Supervision:** ZK, MP, MM, MD, JC. **Project administration:** ZK. **Funding**
681 **acquisition:** ZK, MD, MM, JC. **Writing—original draft:** MA, SH, RC, CK. **Writing—review &**
682 **editing:** MA, SH, RC, CK, MP, MD, MM, JC, ZK.

683 **7 Conflicts of Interest**

684 ZK and MD are co-founders of RNA Forge Ltd. (UK company number: 16612680). All other
685 authors declare no conflict of interest.

686 **8 Data availability**

687 **8.1 Data**

688 All data underlying the findings of this study are publicly available via the GitHub repository
689 at: <https://github.com/mahdi1190/ph-ivt-soft-sensor> and via a preserved snapshot on
690 Zenodo. The concept DOI (always resolving to the latest version) is [https://doi.org/10.](https://doi.org/10.5281/zenodo.19629299)
691 [5281/zenodo.19629299](https://doi.org/10.5281/zenodo.19629299), and the specific version used for this paper is archived at [https:](https://doi.org/10.5281/zenodo.19629300)
692 [//doi.org/10.5281/zenodo.19629300](https://doi.org/10.5281/zenodo.19629300) (v1.0.0). The archive contains: (i) raw input datasets,
693 (ii) processed data required to recreate the figures and tables in the manuscript. See DATA.md for
694 a complete inventory and licenses.



695 **8.2 Code**

696 The Python models, analysis code and scripts to regenerate all results are available at [https://](https://github.com/mahdi1190/ph-ivt-soft-sensor)
697 github.com/mahdi1190/ph-ivt-soft-sensor under the Academic and Research Use License
698 (ARUL); see the LICENSE file in the repository for full terms. The version used for the paper
699 is archived at Zenodo with concept DOI <https://doi.org/10.5281/zenodo.19629299> and
700 version DOI <https://doi.org/10.5281/zenodo.19629300>, and is tagged as v1.0.0 in the
701 repository.

702 **8.3 Software and dependencies**

703 A reproducible environment is specified in `environment.yml` and `requirements.txt`. Instructions to
704 recreate the environment and reproduce the main figures are provided in the repository `README.md`.

705 **8.4 Restrictions**

706 If any dataset cannot be publicly shared (e.g., due to third-party licensing), `DATA.md` specifies the
707 legal basis and provides a concrete route for qualified access (e.g., direct request to rightsholder, or
708 controlled-access repository).

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807 [2<210::AID-BIT10>3.0.CO;2-K](https://onlinelibrary.wiley.com/doi/abs/10.1002/(SICI)1097-0290(19971020)56:2<210::AID-BIT10>3.0.CO;2-K).
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8 Data availability

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8.1 Data

All data underlying the findings of this study are publicly available via the GitHub repository at: <https://github.com/mahdi1190/ph-ivt-soft-sensor> and via a preserved snapshot on Zenodo. The concept DOI (always resolving to the latest version) is <https://doi.org/10.5281/zenodo.19629299>, and the specific version used for this paper is archived at <https://doi.org/10.5281/zenodo.19629300> (v1.0.0). The archive contains: (i) raw input datasets, (ii) processed data required to recreate the figures and tables in the manuscript. See DATA.md for a complete inventory and licenses.

8.2 Code

The Python models, analysis code and scripts to regenerate all results are available at <https://github.com/mahdi1190/ph-ivt-soft-sensor> under the Academic and Research Use License (ARUL); see the LICENSE file in the repository for full terms. The version used for the paper is archived at Zenodo with concept DOI <https://doi.org/10.5281/zenodo.19629299> and version DOI <https://doi.org/10.5281/zenodo.19629300>, and is tagged as v1.0.0 in therepository.

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