

## PAPER

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Kinetic predictions for S<sub>N</sub>2 reactions using the BERT architecture: comparison and interpretationChloe Wilson,<sup>†\*a</sup> María Calvo,<sup>Id</sup><sup>a</sup> Stamatia Zavitsanou,<sup>Id</sup><sup>a</sup> James D. Somper,<sup>Id</sup><sup>a</sup> Ewa Wieczorek,<sup>Id</sup><sup>a</sup> Tom Watts,<sup>Id</sup><sup>a</sup> Jason Crain<sup>Id</sup><sup>b</sup> and Fernanda Duarte<sup>Id</sup><sup>\*a</sup>

The accurate prediction of reaction rates is an integral step in elucidating reaction mechanisms and designing synthetic pathways. Traditionally, kinetic parameters have been derived from activation energies obtained from quantum mechanical (QM) methods and, more recently, machine learning (ML) approaches. Among ML methods, Bidirectional Encoder Representations from Transformers (BERT), a type of transformer-based model, is the state-of-the-art method for both reaction classification and yield prediction. Despite its success, it has yet to be applied to kinetic prediction. In this work, we developed a BERT model to predict experimental log *k* values of bimolecular nucleophilic substitution (S<sub>N</sub>2) reactions and compared its performance to the top-performing Random Forest (RF) literature model in terms of accuracy, training time, and interpretability. Both BERT and RF models exhibit near-experimental accuracy (RMSE ≈ 1.1 log *k*) on similarity-split test data. Interpretation of the predictions from both models reveals that they successfully identify key reaction centres and reproduce known electronic and steric trends. This analysis also highlights the distinct limitations of each; RF outperformed BERT in identifying aromatic allylic effects, while BERT showed stronger extrapolation capabilities.

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## Introduction

Reaction rate prediction is crucial for understanding reaction mechanisms and optimising synthetic pathways towards desired target compounds. Transition state theory (TST) connects the experimental rate constant (*k*) to the Gibbs free energy of activation (Δ*G*<sup>‡</sup>) through the Eyring equation,

$$k = \frac{k_B T}{h} e^{-\frac{\Delta G^\ddagger}{RT}} \quad (1)$$

While quantum mechanical (QM) methods, such as Density Functional Theory (DFT), are commonly used to estimate Δ*G*<sup>‡</sup>, they often fail to provide the required chemical accuracy of 1 kcal mol<sup>−1</sup>, which roughly corresponds to a change in *k* of one order of magnitude.<sup>1–3</sup> This failure has been associated with the use of low-level electronic structure methods,<sup>4,5</sup> inaccurate description of entropic contributions,<sup>4–6</sup> and poor description of solvent effects by implicit solvent models.<sup>4,5,7</sup> Reactive Force Fields, such as ReaxFF<sup>8</sup> and the empirical valence bond (EVB)<sup>9</sup> method, can, in principle, address the challenge of describing

reactivity in explicit solvent; however, their parameterisation remains time-consuming.

In recent years, machine learning (ML) has emerged as a promising alternative for efficiently computing reaction kinetics. This includes the use of machine-learned interatomic potentials (MLIPs) that reduce the cost of modelling solvent explicitly,<sup>10</sup> as well as ML models that predict QM-computed activation barriers or experimental log *k* values.<sup>1–3</sup> Given the limited availability of experimental kinetic data, DFT has often been used for training these models despite its inherent limitations. Prominent QM-based ML models developed for activation energy predictions include the work of Green *et al.*,<sup>11</sup> who developed a graph-based deep learning model (directed message passing neural network: D-MPNN) to predict gas-phase activation energies for various reaction types. Grayson *et al.* employed transfer learning (TL) to adapt a pre-trained NN initially trained on Diels–Alder reactions to predict barriers for other pericyclic reactions, thus reducing the need for extensive datasets.<sup>12</sup> Recently, Li *et al.* systematically explored the use of TL, delta learning (aligning low-level QM data with CCSD(T)-F12a targets), and feature engineering (incorporating computed molecular properties) to improve activation energy predictions using the D-MPNN model, finding delta learning to be the most effective approach.<sup>13</sup>

Models trained on experimental log *k* values have been pioneered by Madzhidov *et al.*<sup>14</sup> However, due to the scarcity of experimental data, they have been limited to a handful of reaction types, including S<sub>N</sub>2,<sup>14–17</sup> E2,<sup>14,18</sup> and

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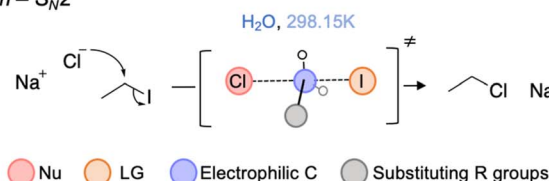
cycloadditions.<sup>14,19–22</sup> To predict the reaction rates for these types, the authors developed Random Forest (RF) models that use *in silico* Design and Data Analysis (ISIDA) fragments,<sup>23</sup> along with information about reaction conditions, including the solvent dielectric constant and temperature. The models achieved an  $\text{RMSE} \leq 1.0 \log k$  on validation data, with the  $\text{S}_{\text{N}}2$  model further evaluated on an external test set.<sup>14</sup> For cycloaddition reactions, they demonstrated that conjugated quantitative structure–property relationships (conjugated QSPR), which embed the Arrhenius equation into the ML architecture (in this case, a Ridge Regressor and a Neural Network), accurately predicted experimental values of  $\log k$ , pre-exponential factor  $\log A$ , and activation energy ( $E_{\text{a}}$ ). On the validation data,  $R^2$  values of 0.75, 0.57, and 0.90 for  $\log k$ ,  $\log A$ , and  $E_{\text{a}}$ , respectively, were achieved (RMSE not provided).<sup>22</sup>

In addition to reaching high accuracy, interpretability in ML models has become increasingly important.<sup>24</sup> Interpretability can help identify sources of prediction error,<sup>14,25</sup> identify influential features,<sup>12,26,27</sup> and verify whether predictions are chemically meaningful.<sup>11,22,28–30</sup> For example, in kinetics predictions, Green *et al.*<sup>11</sup> demonstrated how learned reaction representations from their D-MPNN model clustered in terms of reaction type and reactivity. Similarly, von Lilienfeld *et al.*<sup>28</sup> interpreted their Reactant-To-Barrier (R2B) model by plotting the difference between the predicted E2 and  $\text{S}_{\text{N}}2$  barriers based on LG,

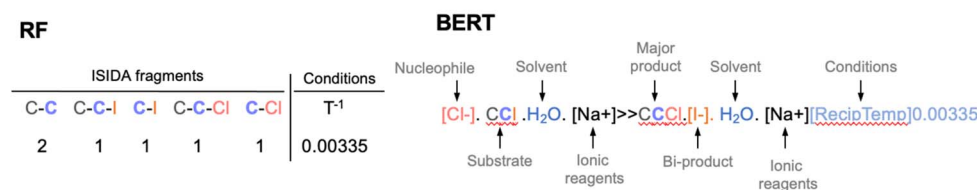
nucleophile, and R groups, demonstrating its predictions aligned with heuristic reactivity rules. Furthermore, Persson *et al.*<sup>30</sup> developed an equivariant graph neural network (GNN) that uses frontier molecular orbital coefficients of reactants and products as node features to predict QM activation barriers of  $\text{S}_{\text{N}}2$  reactions, as well as molecular orbital coefficients of the transition state, allowing for chemically intuitive interpretations. Madzhidov *et al.*<sup>14</sup> also analysed the importance of solvent descriptors in predicting reaction rates and showed that their conjugated QSPR model successfully replicated the Arrhenius relationship between  $\log k$  and temperature.<sup>22</sup> Here, we interpret Madzhidov's RF in the context of known reactivity rules and compare its performance to a Bidirectional Encoder Representations from Transformers (BERT) model.

Transformer-based models, particularly BERT, have gained popularity in chemistry as an alternative to shallow ML models, treating chemistry as a language task. These models have been applied to a range of (bio)chemical applications, including molecular discovery,<sup>31,32</sup> reaction classification,<sup>33</sup> and yield prediction.<sup>34</sup> We refer the reader to relevant reviews illustrating the use and extension of transformer models for chemical applications.<sup>35–37</sup> In kinetic prediction, learned reaction representations from a pretrained BERT model have been used as a descriptor for predicting activation free energies of  $\text{S}_{\text{N}}\text{Ar}$  reactions using Gaussian Process Regression (GPR), achieving

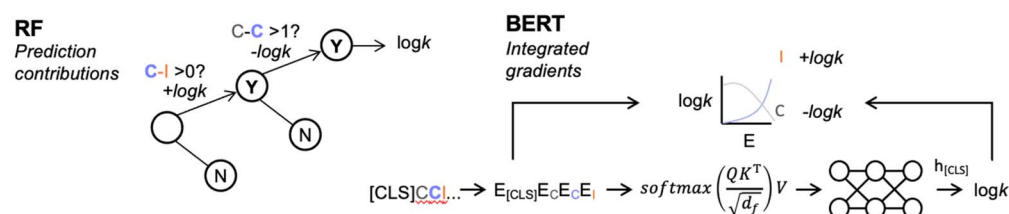
### (a) Chemical system – $\text{S}_{\text{N}}2$



### (b) Feature representation



### (c) ML architecture and Interpretation



**Fig. 1** Overview of the reaction under study and feature representation. (a) Pictorial representation of an  $\text{S}_{\text{N}}2$  reaction, highlighting the nucleophile (red), leaving group (LG, orange), electrophilic C (purple), and substituting R groups (grey). (b) In the RF model, features are represented using ISIDA fragments, reciprocal temperature, and solvent properties. In the BERT model, features are encoded from SMILES strings. Although not shown, ionic strength and mole fractions of each solvent component were appended to the SMILES, as shown here for reciprocal temperature. (c) The influence of a given feature on the predicted  $\log k$  is computed using Kuz'min prediction contributions<sup>56</sup> for RF and IGs<sup>54,55</sup> for BERT;  $Q$ ,  $K$ ,  $V$ , and  $d_f$  denote queries, keys, values, and feature dimension respectively, used to calculate self-attention scores in the BERT model,  $E$  denotes BERT token embeddings, and  $h_{[\text{CLS}]}$  denotes the hidden representation of the [CLS] token prepended to the SMILES input.



an RMSE of  $1.4 \pm 0.2$  kcal mol<sup>-1</sup> ( $1.0 \log k$ ) on validation data.<sup>26,38</sup> However, to our knowledge, no transformer-based models have been trained directly for kinetic prediction.

Here, we train a BERT model to predict rates for S<sub>N</sub>2 reactions and compare its performance against the RF model originally reported by Madzhidov *et al.*<sup>14</sup> To evaluate the ability of the models to learn the underlying reactivity rules, we conducted a feature importance analysis using Kuz'min prediction contributions<sup>39</sup> for RF and Integrated Gradients (IGs)<sup>40,41</sup> for BERT (Fig. 1). Our results show that both models achieve near-experimental accuracy on similarity-split test data (RMSE  $\approx 1.1 \log k$ ) and identify key reaction centres, as well as known electronic and steric effects. However, limitations were also identified: RF struggled with  $\log k$  extrapolation, while the BERT model had difficulty recognising aromatic effects.

## Results and discussion

### Dataset analysis

Before training the BERT model on the S<sub>N</sub>2 data compiled by Madzhidov *et al.*,<sup>17</sup> which was used to train their rate prediction RF model,<sup>14</sup> we performed a detailed analysis of this data set. This dataset initially comprised 4830 S<sub>N</sub>2 reactions and their corresponding experimental  $\log k$  values. After removing unbalanced reactions and duplicates, we reduced the dataset to 4666 entries. We then added 196 new S<sub>N</sub>2 reactions with experimental  $\log k$  values, bringing the total to 4862 reactions (Fig. 2). These additional reactions included phosphine nucleophiles (36 reactions), azide leaving groups (4 reactions), and electrolyte solutions (16 reactions), thus increasing chemical diversity. Reinforcing this idea, 83% of the new reactions had a Tanimoto similarity ( $S_T$ ) < 0.4 to the initial 4666 reactions (Fig. S2b). The range of  $\log k$  also expanded from 1.6 to -7.7 ( $\Delta G^\ddagger = 16.1$ –29.5) to 1.6 to -12.3 ( $\Delta G^\ddagger = 16.1$ –36.1). Throughout

this work,  $\log k_{\text{exp}}$  refers to the experimental  $\log k$  and  $\log k_{\text{pred}}$  refers to the values predicted by the RF and BERT models.

Despite diversifying the training data, the model's Root Mean Square Error (RMSE) on the test data from ref. 14 (referred to here as Test 1  $\equiv$  73 reactions), remained at  $1.0 \log k$ . However, for out-of-domain reactions (Test 2  $\equiv$  56 reactions, including phosphine nucleophiles (4 reactions), azide leaving groups (5 reactions), and electrolyte solutions (12 reactions), see Methods), the test RMSE improved from  $2.0 \pm 0.0 \log k$  (the baseline RMSE predicting the mean  $\log k_{\text{exp}}$  of the training data) to  $1.4 \pm 0.2 \log k$  (Fig. 3a). The greatest contribution to this improved RMSE came from the electrolyte-containing reactions, with a complete breakdown provided in Fig. S3. Consequently, this revised RF model was employed in this study. To ensure generalisability, reactions with  $S_T > 0.4$  to the diversified data set were excluded from all test sets (Fig. S2a).

### Comparison of RF and BERT

We evaluated the performance of the RF and BERT models based on accuracy and training time using a test set of 129 reactions, which included 41 unique nucleophiles, 43 unique substrates, 10 unique solvents, and a  $\log k$  range of -8.2–1.2 (see Methods). Importantly, all test data had  $S_T < 0.4$  to the training data, so prediction accuracy reflects model performance on novel reactions (Fig. S2a).

Both models showed comparable accuracy (RMSE/ $\log k$ :  $1.2 \pm 0.1$  for RF and  $1.1 \pm 0.1$  for BERT) on the combined test data (129 reactions, Fig. S1b, with learning curves in Fig. S4a). However, the RF model significantly outperformed BERT in training speed, taking 256 seconds compared to BERT's 52.9 hours on CPUs. Although BERT's training time could be accelerated on GPUs, which are better suited for deep learning tasks (see Methods for details).

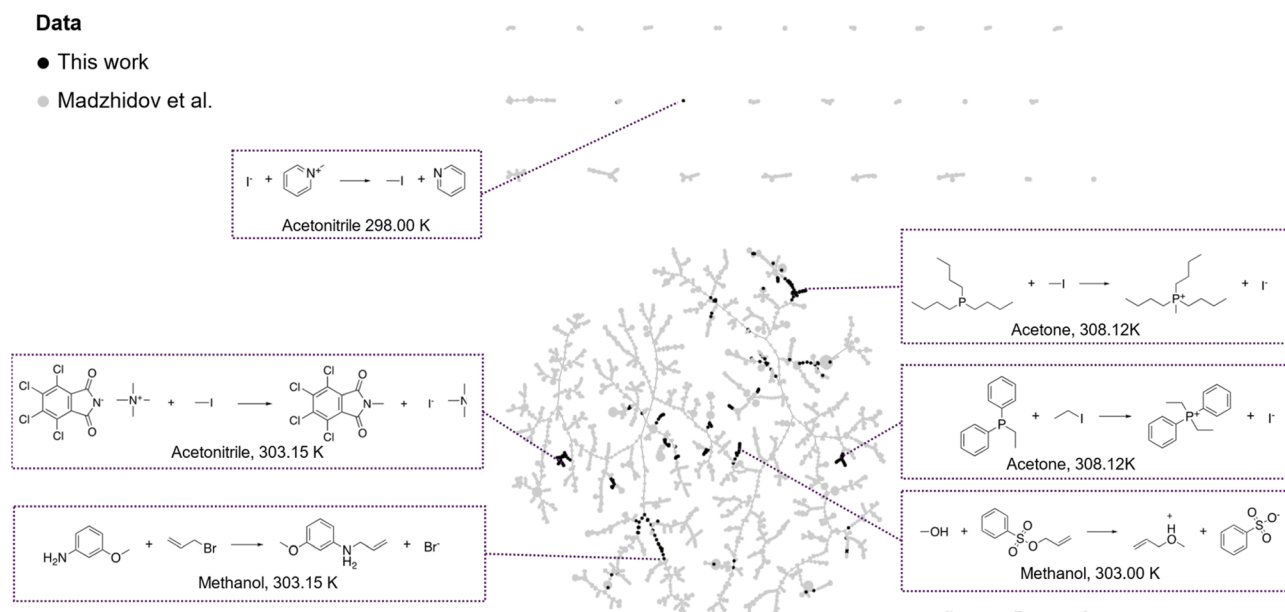
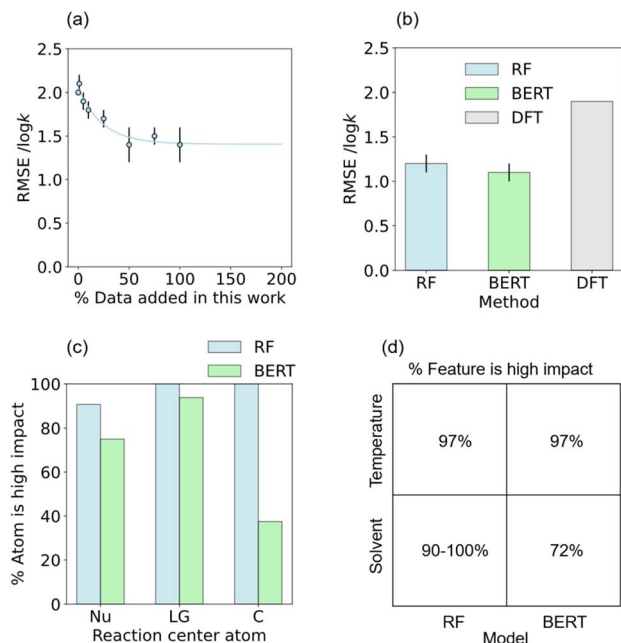


Fig. 2 TMAP of the total training set of 4862 S<sub>N</sub>2 reactions. 4666 of these were compiled by ref. 26 (shown in grey), and 196 were added in the current work to increase the chemical diversity of the training data (shown in black).



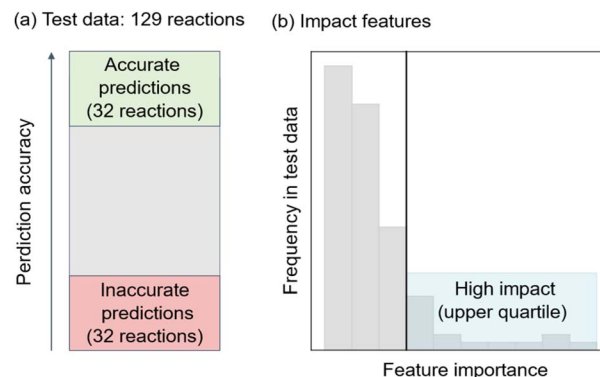


**Fig. 3** Evaluation of prediction accuracy and interpretability in RF and BERT models. (a) Learning curve showing the change in RMSE of the RF model from ref. 14 upon increasing the chemical diversity of the training data (evaluated using 56 out-of-domain reactions). (b) RMSE comparison between the RF and BERT models (evaluated using 129 external test reactions) and 30 DFT calculations carried out at the CPCM(solvent)CCSD(T)/def2-TZVP//PBE0-D3BJ/def2-SVP level of theory. (c) Percentage of accurate predictions where the nucleophilic (Nu), leaving group (LG) and electrophilic carbon (C) atoms were high impact features in the RF and BERT models. (d) Percentage of accurate predictions where temperature and solvent were high impact features in the RF and BERT models. A detailed breakdown of solvent property impact in RF is provided in Fig. S9a.

We also compared both models to a dummy model that always predicted the mean  $\log k_{\text{exp}}$  of the training data, which resulted in an RMSE of  $2.0 \pm 0.0 \log k$ . Additionally, we benchmarked both models against  $\log k$  values calculated using DFT at the CPCM(solvent)CCSD(T)/def2-TZVP//PBE0-D3BJ/def2-SVP level of theory. The DFT predictions yielded an RMSE of  $2.5 \text{ kcal mol}^{-1} \equiv 1.9 \log k$  (Fig. 3b) and required 6.8 hours (using 4 CPU cores and allocated up to 4 GB each) for 30 reactant complexes and TS geometry optimisation and frequency calculations, contrasting with the prediction time of less than 1 second for both RF and BERT models.

In our analysis of test reactions, we categorised predictions into accurate (upper quartile  $\equiv 32$  reactions) and inaccurate (lower quartile  $\equiv 32$  reactions, Fig. 4a and S5). Of the accurate predictions made by RF, 44% were also accurately predicted by BERT. Conversely, 56% of RF's inaccurate predictions overlap with those from BERT.

This analysis highlights that while both models achieved similar overall accuracy, they differed in the specific reactions they accurately or inaccurately predicted, suggesting they have learned different underlying relationships. RF offers a more practical solution for rapid deployment and retraining, while BERT may be better suited for large datasets, where its richer representations



**Fig. 4** Pictorial representation of (a) accurate and inaccurate predictions and (b) high impact features. (a) Accurate and inaccurate predictions are defined as those with the 25% lowest and highest prediction error, respectively. (b) High impact features are defined as those with an importance  $>75\%$  of test features.

and interpretability tools can be fully leveraged. Further improvements in predictive performance are likely to depend more on data quality than on the choice of the model architectures.

### Interpreting the models

We then examined the ability of RF and BERT models to identify key features influencing reactivity. Our analysis included evaluating the contributions from each reaction centre: nucleophilic (Nu) atom, leaving group (LG) atom, and electrophilic carbon (C) atoms (Fig. 1a), as well as temperature (represented as  $T^{-1}$ ), solvent polarity and proticity. To quantify feature importances, values were calculated relative to a dummy model that predicts the mean  $\log k_{\text{exp}}$  of the training data for each test reaction, where the feature importance is thus set to zero. Features considered high impact were defined as those falling within the upper quartile of importance in the test data (Fig. 4b).

Both the RF and BERT models agreed on the importance of reaction centres and conditions. For example, in accurate predictions, the LG atom emerged as a high impact feature in over 90% of cases, while the Nu atom was significant in 75% of accurate predictions for both RF and BERT (Fig. 3c); however, BERT occasionally underestimated the importance of the Nu atom for inaccurate predictions (SI 5.3). In contrast, the electrophilic C atom was consistently identified as high impact in all of RF's accurate predictions, but only in 38% of those made by BERT. This discrepancy arises from the differences in how features are represented. RF considers the electrophilic carbon as part of a larger molecular fragment that includes its surrounding environment, allowing it to directly capture steric effects. In contrast, BERT represents the electrophilic carbon as a single token representation, which may overlook these environmental influences.

Temperature also emerged as a high-impact feature in 97% of accurate predictions for both models, demonstrating their ability to recognise key physical features (Fig. 3d). In the RF model, the solvent is represented by 13 distinct properties,<sup>17</sup> with each property being high impact in 90–100% of accurate





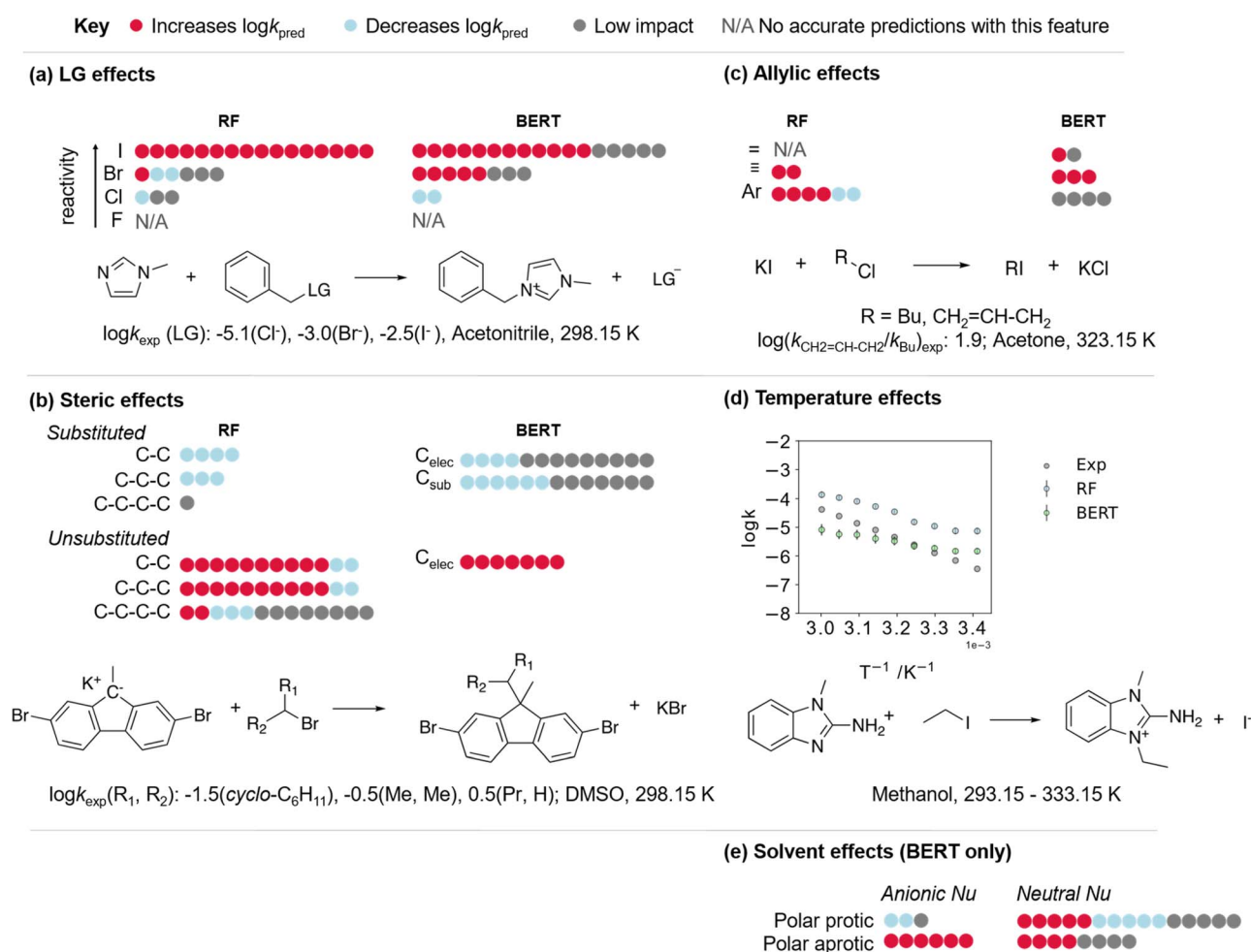
predictions (full breakdown provided in Fig. S9a), in line with the original analysis using RF in ref. 14. Conversely, in BERT, where solvent is represented by SMILES strings, its importance as a high impact feature was found in 72% of accurate predictions; however, its importance was not observed for inaccurate predictions (SI 5.3). These results show that both models identify chemically meaningful features as relevant for the prediction task, particularly RF.

To further assess whether RF and BERT effectively learned key structural and physical effects, we evaluate the feature importance of high impact features, including LG, steric, allylic, temperature, and solvent effects, on either increasing (positive sign) or decreasing (negative sign)  $\log k_{\text{pred}}$  (Fig. 5).

**LG effects.** The effects of leaving groups (LGs) on reactivity was evaluated in the test set, which included 53 instances of iodide (I), 18 of bromide (Br), 16 of chloride (Cl), and 4 of fluoride (F). For accurate predictions, this distribution is: 16  $\times$  I, 6  $\times$  Br, 3  $\times$  Cl and 0  $\times$  F for the RF model; and 17  $\times$  I, 8  $\times$  Br, 2  $\times$  Cl and 0  $\times$  F for the BERT model (Fig. 5a).

Both models show a positive correlation between halide size and reactivity (rates:  $\text{Cl} < \text{Br} < \text{I}$ ). In the RF model, where LG atoms were represented by C-I, C-Br, C-Cl and C-F fragments, C-I increased  $\log k_{\text{pred}}$  across all examples. The presence of Br showed mixed effects on  $\log k_{\text{pred}}$ , while Cl had no significant effect in two reactions and decreased  $\log k_{\text{pred}}$  in one reaction. In the BERT model, where LG was represented by I, Br, Cl and F tokens, I and Br increased  $\log k_{\text{pred}}$  in all cases where they were high impact (12 and 5 reactions, respectively), while Cl decreased  $\log k_{\text{pred}}$  in the two examples involving this LG. These results show that both models recognise the importance of LG size in determining reactivity, with iodide demonstrating the most pronounced positive effect across both models.

**Steric effects.** The influence of steric effects on the predicted rates was evaluated by considering the distribution of alkyl-substituted and unsubstituted centres in the test set, which comprised 41 alkyl-substituted and 24 unsubstituted. For accurate predictions, this distribution is 4 alkyl-substituted and 13 unsubstituted centres for the RF model and 13 alkyl-



**Fig. 5** (a–c and e) Feature importances for RF and BERT models of accurate predictions, defined as the upper quartile of the test data. Red and blue circles denote predictions where the feature increased (positive feature importance) or decreased (negative feature importance)  $\log k_{\text{pred}}$ . Grey circles denote predictions where the feature was low impact, or the feature importance was zero within error. N/A denotes that no accurate predictions contained this feature.  $\text{C}_{\text{elec}}$  and  $\text{C}_{\text{sub}}$  in (b) correspond to electrophilic and substituent carbons, respectively. One representative example is given for each of LG, steric and allylic effects. (d)  $\log k_{\text{exp}}$  and  $\log k_{\text{pred}}$  (by RF and BERT) for the given example reaction observed at multiple temperatures.

substituted and 7 unsubstituted for the BERT model (Fig. 5b). In the RF model, alkyl-substituted centres are represented by C–C, C–C–C, and C–C–C–C fragments, where, *e.g.*, C–C–C–C could represent one propyl substitution or one methyl and one ethyl substitution. We also considered similar fragments located away from electrophilic centres as a control. In the BERT model, alkyl-substituted centres are represented by the electrophilic carbon centre and its substituents.

Our analysis shows that both models recognised that steric hindrance decreases  $S_N2$  reactivity. In the RF model, substituted centres consistently decreased  $\log k_{\text{pred}}$  in the four reactions where they were high impact, while these features increased  $\log k_{\text{pred}}$  in 10 reactions with unsubstituted centres. For the two reactions where C–C and C–C–C fragments decreased  $\log k_{\text{pred}}$ , this was attributed to a spurious correlation (see discussion in SI. 5.2.1). Similarly, a spurious correlation was observed in three reactions with unsubstituted centres where the C–C–C–C fragment decreased  $\log k_{\text{pred}}$  (see discussion in SI. 5.2.1). In the BERT model, we found that substituted centres decreased  $\log k_{\text{pred}}$  in all reactions where they were high impact (6 reactions), while unsubstituted centres increased it.

**Allylic effects.** The rates of  $S_N2$  reactions are often enhanced when an allylic group is present at the  $\beta$  position adjacent to the reaction centre, with the origin of this effect still being actively debated.<sup>42,43</sup> We analysed this effect on our test set where the distribution of allyl-substituted centres was 2 alkene, 4 alkyne and 42 aromatic-substituted centres. For accurate predictions, this distribution was 0 alkene, 2 alkynes and 6 aromatics for the RF model, while for the BERT model, it was 2 alkene, 3 alkynes and 4 aromatics (Fig. 5c). In the RF model, alkene, alkyne, and aromatic bonds at the electrophilic centre were represented by C–C=C, C–C≡C, and C–C:C fragments, respectively (where ‘:’ is an aromatic bond), while in the BERT model, these groups were described using tokens ‘=’, ‘≡’, and ‘c’, with ‘c’ representing an aromatic carbon bonded to the centre.

Overall, both models recognised that allylic groups increase  $S_N2$  reactivity. However, BERT was limited in identifying this effect on reactivity with aromatic groups. In the RF model, alkyne bonds increased  $\log k_{\text{pred}}$  in both instances where they appeared in the accurate predictions subset. Furthermore, aromatic groups also increased  $\log k_{\text{pred}}$  in 4 out of 6 reactions; the two reactions where aromatic groups decreased  $\log k_{\text{pred}}$  were attributed to their presence in the nucleophile (see Fig. S8). In the BERT model, alkenes increased  $\log k_{\text{pred}}$  in one reaction and were low impact in the other, while alkynes increased  $\log k_{\text{pred}}$  in the 4 reactions considered. Aromatic groups, however, had a negligible effect in the BERT model. Feature engineering by adding physical descriptors may improve the learning of these effects.<sup>26</sup>

**Temperature effects.** In our BERT model, we introduced reciprocal temperature as a feature by appending it to the end of the reaction SMILES. This feature was also treated as a continuous-valued feature in the RF model. We analysed four reactions from the test data that have rates reported at multiple temperatures (each at nine temperatures ranging from 293.15–333.15 K). Note that these reactions were selected from the total test data and weren't necessarily predicted accurately or inaccurately. Both the RF and BERT models correctly predicted the linear decrease in  $\log k$

with increasing  $T^{-1}$  (Pearson's correlation coefficient  $r_p \geq -0.97$  for both models). The correlation was seen for both the predicted  $\log k$  values and feature importances of  $T^{-1}$  (Fig. S6, predictions shown for one representative example in Fig. 5d). Hence, both models successfully captured the mathematical relationship between  $\log k$  and temperature.

**Solvent effects.** To account for solvent effects in our BERT model, we included solvent SMILES in the input. Meanwhile, solvent was described using 13 properties that characterised polarity and proticity in RF. As no correlation was observed between these solvent properties and the experimental  $\log k$ , solvent effects were not analysed for the RF model (Fig. S10). In the BERT model, solvent effects were evaluated by analysing the contribution of polar ( $\epsilon > 15$ ) protic and aprotic solvent SMILES in accurate predictions with anionic and neutral nucleophiles. The distribution of solvents was as follows: 3 polar protic and 6 polar aprotic for anionic nucleophiles, and 15 polar protic and 8 polar aprotic for neutral nucleophiles (Fig. 5e). No accurate predictions with non-polar solvent ( $\epsilon < 15$ ) were obtained.

The BERT model consistently predicted that polar protic solvents decrease  $\log k$ , while polar aprotic solvents increase  $\log k$  with anionic nucleophiles (two reactions for protic and six reactions for aprotic solvent). For neutral nucleophiles, polar solvents generally increased  $\log k_{\text{pred}}$  where solvent was high impact (five reactions for protic, four for aprotic). An exception was 2-amino-1-methylbenzimidazole reacting with ethyl iodide in methanol (5 reactions), which displayed a spurious correlation.

In summary, both BERT and RF models recognised LG, temperature, steric, and allylic effects to varying extents. Analysis of inaccurate predictions showed similar trends to accurate ones, reinforcing the reliability of these assessments. This consistency indicates that inaccurate predictions were not due to the inability of the models to capture key effects.

### Exploring model limitations ( $\log k$ extrapolation)

To evaluate the ability of each model to extrapolate to  $\log k$  values outside the range of the training data, we analysed the relationship between distance in  $\log k_{\text{exp}}$  from the training median (training median =  $-3.4 \log k$ ), and prediction error for each reaction in the test data (Fig. 6). Here, the  $x$ -axes were divided into positive and negative distance to capture extrapolation to  $\log k$  values greater than the training median and those less than the training median. For the BERT model, a low correlation between distance from the training median and prediction error was observed (Spearman's correlation coefficient  $r_s = 0.29$ ). This result suggests that BERT extrapolates well to  $\log k$  values far from the training median. Contrarily, the RF model exhibited a modest correlation ( $r_s = 0.40$ ) between distance from the training median and prediction error for  $\log k >$  the training median and a strong positive correlation ( $r_s = 0.65$ ) for  $\log k <$  the training median. This implies that RF is limited in its ability to extrapolate to  $\log k$  below the training median.

In conclusion, both models can extrapolate to  $\log k >$  the training median but BERT proved more reliable in extrapolating to  $\log k <$  the training median. However, this is to be expected



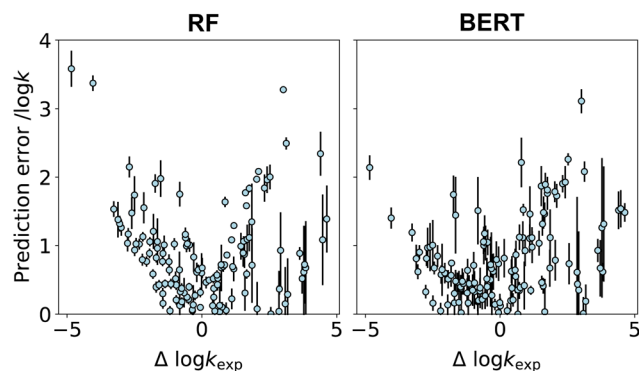


Fig. 6 Prediction error vs. distance in  $\log k_{\text{exp}}$  from the training median ( $\Delta \log k_{\text{exp}}$ ) for each reaction in the test data, for the RF and BERT models.

given that BERT has a linear prediction layer, while RF bases its predictions on training averages.

## Conclusions

In this work, we trained a BERT model to predict the experimental  $\log k$  values of  $S_N2$  reactions and compared its performance to the RF literature model<sup>14</sup> in terms of accuracy, training time, and ability to capture known reactivity rules.

In addition, we diversified the dataset of  $S_N2$  reactions curated by Madzhidov *et al.*<sup>17</sup> used to train their RF,<sup>14</sup> by introducing 196 new reactions curated from literature. We show that increasing the chemical diversity of the training data broadens the applicability of the model to new areas of chemical space, in particular for reactions in electrolyte solutions.

When comparing both the RF and BERT models trained on this diversified data, we observed that while both models achieved similar prediction accuracy ( $\text{RMSE} \approx 1.1 \log k$ ), the RF model showed a clear advantage in training speed. Additionally, both models identified key reaction centres as important for accurate predictions, along with known factors that influence the reaction rate, such as the nature of the LG, sterics, allylic groups, temperature, and solvent (BERT only). However, each model exhibited specific limitations: RF had difficulties with extrapolating  $\log k$  values, and BERT failed to recognise aromatic effects. Despite these limitations, each model compensates for the other's weaknesses, confirming that both RF and BERT are effective models for rate prediction and capable of capturing fundamental chemical principles in their predictions.

Future work should focus on expanding the applicability of these models to a wider range of chemical reactions *via* fine-tuning. We recognise that a key challenge will be the availability of experimental kinetic data beyond E2,  $S_N2$ , cycloadditions, and  $S_NAr$  reactions. Although promising initiatives such as the Open Reaction Database<sup>44</sup> and data mining strategies offer potential solutions to improve the generalisation of available models, these could be used alongside QM-generated data through multi-fidelity approaches. For success, diversity rather than quantity alone will be essential to enhance model generalisation.

## Methodology

### Data

The training and test data used in this work can be visualised in the TMAPs shown in Fig. 2 and S1b, respectively (interactive versions provided on Github, see Data availability). The training data contained 4862 reactions with 449 unique nucleophiles, 298 unique substrates, 155 unique solvent systems, had a temperature range of 232.15–425.15 K, and a  $\log k$  range of  $-12.3$ – $1.6$ . The test data contained 129 reactions with 41 unique nucleophiles, 43 unique substrates, 10 unique solvent systems, had a temperature range of 252.15–461.00 K, and  $\log k$  range of  $-8.2$ – $1.2$ . All test data had a Tanimoto similarity ( $S_T$ )  $< 0.4$  to the training data, so prediction accuracy reflects model performance on novel reactions (Fig. S2a). Here, reaction A was said to have an  $S_T > X$  to reaction B if the nucleophile and substrate of A respectively had an  $S_T > X$  to the nucleophile and substrate of B, otherwise, reaction A is said to have an  $S_T < X$  to reaction B. Note that 0.4 is a standard  $S_T$  threshold<sup>41</sup> and imposed a similarity constraint effectively without a significant reduction in test set size.

The training data utilised for this study builds upon the  $S_N2$  data compiled by Madzhidov *et al.*,<sup>17</sup> which they used to train their rate prediction RF model.<sup>14</sup> The original dataset consists of 4830  $S_N2$  reactions and their experimental  $\log k$  values, which were cleaned in the current work to remove unbalanced reactions (109 reactions), duplicates (46 reactions), and known CV outliers<sup>17</sup> (9 reactions), resulting in 4666 reactions from ref. 14. The SMILES used to generate the ISIDA fragments were also canonicalised in the current work to improve interpretability (*i.e.*, so each molecular fragment is only represented by 1 ISIDA fragment). The chemical diversity in the training data was increased by including 196  $S_N2$  reactions manually curated in the current work.<sup>45–56</sup> Specifically, 83% of the reactions curated in this work have an  $S_T < 0.4$  to the reactions from ref. 14, and therefore add structural diversity (Fig. S2b). Furthermore, the reactions curated in this work introduce an additional nucleophile type into the data: phosphines (36 reactions), as well as an additional LG: azide (4 reactions), and an additional solvent type: electrolyte solutions (16 reactions). The  $\log k$  range was also increased from  $-7.7$ – $1.6$  to  $-12.3$ – $1.6$ .

The test data is comprised of 73 test reactions compiled by Madzhidov *et al.*<sup>17</sup> used to evaluate their rate prediction RF model<sup>14</sup> (those with  $S_T < 0.4$  to the training data, Test 1), and 56 reactions manually curated in the current work (Test 2).<sup>45,47–55</sup> The latter represent an area of chemical space outside the applicability domain of ref. 14's training data. Firstly, Test 2 has a low chemical similarity to ref. 14's training data, in comparison to Test 1. This was quantified by the percentage of reactions with  $S_T < 0.2$  to ref. 14's training data: 46% and 12% for Test 2 and Test 1, respectively (Fig. S2c and d).  $S_T < 0.2$  is used here as all test data have an  $S_T < 0.4$  to the training data. Secondly, Test 2 contains species not included in ref. 14's training data: 12 reactions in electrolyte, 5 reactions with azide LGs, and 4 reactions with phosphine nucleophiles.



## Machine-learning & DFT

**RF.** The transformation-out RF model from ref. 14 was adapted in this work to explicitly describe the secondary solvent component (using the same properties as for the primary component), as well as solvent ionic strength. This is to account for non-aqueous solvent mixtures and electrolyte solutions in training reactions added in this work. Additionally, model performance in this work was calculated by taking the mean Root Mean Square Error (RMSE) over the five transformation-out cross-validation (CV) folds, allowing the standard error of the mean ( $\sigma_M$ ) to be used as an uncertainty estimate. All other features and settings were kept consistent with ref. 14.

**BERT.** The fine-tuned BERT model from ref. 34 was further fine-tuned for rate prediction in this work. Five estimators were trained, corresponding to the five transformation-out CV folds used in the RF model. For each estimator, the learning rate and hidden dropout probability were optimised between their usual bounds of  $10^{-6}$  and  $10^{-4}$ , and 0.05 and 0.8 (respectively) using Bayesian optimisation implemented in BoTorch v.0.2.1 (ref. 57) interfaced to Ax v.0.1.9 (ref. 58) (optimised values provided in Table S1). Only the learning rate and hidden dropout probability were optimised during fine-tuning as BERT is typically most sensitive to these two hyperparameters.<sup>34</sup> The number of training epochs was fixed at 10 (consistent with ref. 34) as this allowed the validation RMSE to converge without leading to overfitting (Fig. S4b). The following tokens were added to the model tokeniser to facilitate the description of reaction conditions: [RecipTemp], [IonStr], [Solv1R], [Solv2R], 0. The grammar used to construct the SMILES input is depicted in Fig. 1b.

**DFT.** DFT calculations were carried out using ORCA v.4.2.1,<sup>59</sup> interfaced to autodE v.1.1.1,<sup>60</sup> on 30 of the  $S_N2$  reactions manually curated in the current work with a single solvent component and total reactant molecular weight  $\leq 235.9$  Da (provided in Table S2). Geometry optimisations and frequency calculations were carried out at the CPCM(solvent)PBE0-D3BJ/def2-SVP level of theory. Single point energies were then computed at the CPCM(solvent)CCSD(T)/def2-TZVP level on the optimised geometries. Free energies ( $G_{\text{CCSD(T)}}$ ) were estimated using eqn (2), where  $E_{\text{CCSD(T)}}$  is the high-level single point energy and the term ( $G_{\text{PBE0}} - E_{\text{PBE0}}$ ) accounts for the thermal/entropic contributions to the free energy evaluated at the lower level of theory.

$$G_{\text{CCSD(T)}} \approx E_{\text{CCSD(T)}} + G_{\text{PBE0}} - E_{\text{PBE0}} \quad (2)$$

To obtain the DFT RMSE in  $\log k$ , the DFT  $\Delta G^\ddagger$  values (in  $\text{kcal mol}^{-1}$ ) were converted to  $\log k$  using the Eyring equation (eqn (1)). Further DFT details are provided in SI 3.

## Calculation times

BERT and RF training times were estimated as the time taken for RMSE convergence over five CV folds (optimisation curves provided in Fig. S4). This corresponds to 256 s for RF and 190 305 s (52.9 h) for BERT on 8 vCPUs of Intel® x86-64 CPU (64 GiB RAM). The BERT training time was 13 311 s (3.7 h) on GPU (1 NVIDIA V100 PCIe 16 GB GPU), which is incompatible with the

Scikit-learn implementation of RF. Even on GPU, BERT is significantly slower than RF.

BERT and RF prediction times were calculated for five random samples of 30 test reactions (one sample per CV fold) and averaged. DFT times correspond to 30 reactant complexes and TS geometry optimisation and frequency calculations. The averaging over samples for the ML prediction time is to account for the fact that different samples were used to calculate the ML and DFT prediction times, due to some of the lower-molecular weight reactions required for DFT calculations failing to meet the  $S_T < 0.4$  requirement for the ML test data.

## Accurate and inaccurate predictions

Accurate and inaccurate predictions are defined as those with the 25% smallest and 25% largest prediction errors (averaged over the five CV folds), respectively.

## Uncertainty estimation

Predictions and feature importances in this work are quoted as the mean over the five CV folds, with the standard error of the mean ( $\sigma_M$ ) providing an uncertainty estimate. When summing over feature importances, the uncertainties were propagated using eqn (3). Here,  $\sigma_{M,\text{prop}}$  is the propagated uncertainty and  $N$  is the total number of uncertainties being propagated over.

$$\sigma_{M,\text{prop}} = \sqrt{\sum_i^N \sigma_{M,i}^2} \quad (3)$$

## Calculating feature importances

Feature importances were calculated using the state-of-the-art method for each model: Kuz'min prediction contributions<sup>39</sup> for RF and Integrated Gradients (IGs)<sup>40,41</sup> for BERT. Prediction contributions were calculated using Treeinterpreter v.0.2.3,<sup>61</sup> and IGs using LayerIntegratedGradients from Captum v.0.4.0.<sup>62</sup> The magnitude of a feature's importance corresponds to the feature's influence on  $\log k_{\text{pred}}$ , while the sign corresponds to whether the feature increased (positive) or decreased (negative)  $\log k_{\text{pred}}$ .

## High impact features

Features were defined as "high impact" for a prediction if their mean feature importance over the five CV folds was  $>75\%$  of mean importances assigned to the test data, corresponding to a prediction contribution with magnitude  $\geq 0.001$  in RF, and IG with magnitude  $\geq 0.03$  in BERT. Features that were not high impact are referred to as "low impact". In RF, reaction centre atoms (Nu, LG, electrophilic C) were considered important if any ISIDA fragment with a substructure match to the atom was high impact, while temperature and solvent properties were said to be important if their numerical value was high impact. Meanwhile in the BERT model, reaction centre atoms were said to be important if the SMILES token representing the atom (or any one of the tokens representing the atom for symmetrical





molecules with equivalent Nu, LG, or C atoms) was high impact, while temperature was considered important if the [RecipTemp] token or any token corresponding to significant figures of the reciprocal temperature was high impact. Regarding solvent, this was considered a high impact feature of the BERT model if  $\geq 50\%$  of solvent tokens on the reactants side were high impact. A threshold of  $\geq 50\%$  was employed (as opposed to 100%) to account for the fact that some solvent moieties (such as hydrogen bond donors) are expected to be more relevant to reactivity prediction than others.

It is noted that each reaction centre atom also has a mapped atom in the products. The importance of these mappings is discussed in SI 5.4.

### Analysing structural and physical effects

To assess whether RF and BERT effectively learned key structural and physical effects, we evaluated whether high impact features align with known reactivity rules including LG, steric, allylic, temperature, and solvent effects. To analyse structural and physical effects, the feature importances (positive sign  $\equiv$  increase  $\log k_{\text{pred}}$ , or negative sign  $\equiv$  decrease  $\log k_{\text{pred}}$ ) of key reaction centre atoms (and bonds) were evaluated. Here, features with an importance of zero within the associated error were categorised as low impact. The importance of each feature is relative to that of a dummy model that predicts the mean  $\log k_{\text{exp}}$  of the training data for each test reaction. By definition, all features of the dummy model have an importance of zero.

To analyse LG effects, reactions with I, Br, Cl, and F LGs were considered, represented by C-I, C-Br, C-Cl and C-F fragments in RF and I, Br, Cl, and F tokens in BERT. Steric effects were analysed using reactions with alkyl-substituted centres are modelled by C-C, C-C-C, and C-C-C-C fragments in the RF model, and tokens of electrophilic and substituting C atoms in BERT. Here, the importance of these features in reactions with unsubstituted centres was used as a control. Meanwhile, allylic effects were assessed using reactions with alkene, alkyne, and aromatic groups bound to the electrophilic centre, which were represented by C-C=C, C-C $\equiv$ C, and C-C:C fragments in RF (where ':' is an aromatic bond), and =,  $\equiv$  and c tokens in BERT (where c is an aromatic carbon bonded to the centre). For centres with multiple substituents, the feature importances were summed over the corresponding high impact C, =,  $\equiv$  or c tokens in the BERT model (this is not relevant to RF where features are represented by counts of molecular fragments).

When evaluating temperature effects, feature importances correspond to the importance of the reciprocal temperature feature in RF, and the sum over importances of SMILES tokens representing temperature (the "[RecipTemp]" token or any token of the numerical value) in BERT. Regarding solvent effects in the BERT model (solvent effects were not evaluated for RF, see discussion in SI 5.2.3), the standard threshold of  $\epsilon = 15$  was used to define polar ( $>15$ ), and non-polar ( $<15$ ) solvents,<sup>63</sup> while protic and aprotic solvents were defined as those with (protic) or without (aprotic) a proton bonded to a heteroatom. The importance of the solvent was taken as the sum over importances of high impact solvent tokens. Here, the solvent was said

to be low impact if none of its tokens were high impact. Note that feature importances were summed over all temperature tokens, but only high impact solvent tokens. This is because solvent effects were analysed by categorising the feature importances into positive (increase  $\log k_{\text{pred}}$ ), negative (decrease  $\log k_{\text{pred}}$ ), or low impact (negligible effect on  $\log k_{\text{pred}}$ ), while temperature effects were evaluated by observing the correlation between feature importance and temperature.

In RF, fragments containing I, Br, Cl or F LGs, or alkene, alkyne, or aromatic groups that aren't mentioned above were excluded from analysis to avoid confounding effects from other atoms and bonds. Additionally, reactions where C-C, C-C-C, or C-C-C-C fragments contain the product atom mapping of a nucleophilic C<sup>-</sup> atom were omitted from the analysis of steric effects in RF, to avoid confounding nucleophilic effects, as were reactions where the solvent acted as a nucleophile in the analysis of solvent effects in the BERT model. Similarly, reactions containing substituent groups other than alkyl were excluded from the analysis of steric effects in both models, as were reactions containing substituent groups other than alkene/alkyne/aromatic and alkyl in the analysis of allylic effects.

### Author contributions

Study conception and design: Chloe Wilson and Fernanda Duarte; data collection: Chloe Wilson and Maria Calvo; analysis and interpretation of results: Chloe Wilson, Fernanda Duarte, Stamatia Zavitsanou, James D. Somper, Ewa Wiecezorek, and Tom Watts; draft manuscript preparation: all authors; supervision: Fernanda Duarte and Jason Crain.

### Conflicts of interest

There are no conflicts to declare.

### Data availability

All custom code and associated datasets referenced in the manuscript are available at the following GitHub repository: <https://github.com/duartegroup/InterpretingMLKinetics> (initial release v1.0.0, DOI: <https://doi.org/10.5281/zenodo.17980697>).

Supplementary information (SI): detailed settings for model training, data sets used, and DFT calculations. It also provides further analyses on the interpretation of the model and inaccurate predictions. See DOI: <https://doi.org/10.1039/d5dd00192g>.

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