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# Optical Properties of Metal-Ion-Mediated Au<sub>25</sub> Nanocluster-Based Assemblies

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Building cluster-assemblies from superatomic building blocks, where each cluster behaves analogously to an elemental atom, has attracted interest in the past decades due to their enhanced optical properties. Herein, we study the optical properties of recently discovered gold nanocluster assemblies mediated by Mg<sup>2+</sup>, Co<sup>2+</sup>, Ni<sup>2+</sup> and Cu<sup>2+</sup> metal ions [S. Kim et al., *J. Am. Chem. Soc.* 147(34):30803–30808, 2025] using time-dependent density functional theory. Depending on the coordinating metal ion, the assemblies exhibited different absorption spectra with a redshift of up to 51 nm. Surprisingly, our calculations revealed that the assemblies have chiroptical response, despite being assembled from achiral building blocks. The chirality emerges from the coordination complex formed between the coordinating metal ions the clusters' ligands, and is transferred to the achiral nanoclusters. These theoretical findings may motivate experiments to create enantiopure nanocluster assemblies where spin conductivity is controlled by chirality.

## 1 Introduction

Cluster-assembled materials (CAMs) constructed from atomically precise noble metal nanoclusters (NCs) are emerging as promising platforms for applications in nanoelectronics<sup>1–6</sup>, bioimaging and -sensing<sup>7</sup>, gas storage and -sensing<sup>8,9</sup> and cancer theranostics<sup>10</sup> and diagnosis<sup>11</sup>. Their electronic and optical properties can be finely tuned by modifying parameters such as intercluster distance, type of ligands or metal core<sup>12–14</sup>. These nanoclusters can exhibit superatomic behavior, in which they resemble the electronic structure and chemistry of individual atoms in the periodic table<sup>15</sup>, following an early concept of building crystals from superatom building blocks<sup>16,17</sup>. This concept has evolved significantly over the past decades due to a better understanding of the self-assembly of clusters<sup>18,19</sup>.

Self-assembly of metal NCs can be directed by various methods, including hydrogen-bonding, electrostatic interactions, C-H ···π/π ···π interactions, Van der Waals interactions and coordination-assisted assembly<sup>20</sup>. Introducing a coordinating metal ion into the cluster network enables tuning of intercluster interactions, which have been reported to influence both conductivity<sup>21</sup> and photophysical properties<sup>22–24</sup>. Zn<sup>2+</sup> assisted assembly of gold nanoclusters (AuNCs) has been extensively studied, and the complexation reaction with Zn has been found to significantly enhance photoluminescence, electrochemilumines-

cence and quantum yield (QY) of the NCs<sup>23,25–30</sup>. Other metal ions, such as Ca<sup>2+</sup> and Sn<sup>2+</sup>, have also been employed in the self-assembly of AuNCs, leading to similar improvements in optical properties and, in some cases, enhanced photocatalytic activity<sup>31,32</sup>.

These interesting and improved properties arise from the coordinating metal ion's influence on the ligand layer and, consequently, on the gold core. In coordination-assisted assemblies, the coordinating ion can enhance photoluminescence by restricting the motion of the surface ligands (inter- or intracluster motion), thereby suppressing nonradiative relaxation pathways<sup>33–35</sup>. Therefore, coordination assemblies can offer new properties not present in individual NCs<sup>36</sup>. For example, metal-ion-coordinated assemblies can exhibit circularly polarized luminescence (CPL) despite being composed of achiral components<sup>37</sup>. Moreover, it is possible to control the assembly and disassembly of metal-ion-coordinated AuNCs, offering a promising strategy for developing drug-delivery materials<sup>29</sup>. Coordination-assisted assembly provides an interesting way to create and study chiral materials, since AuNC chirality is typically either an intrinsic property or induced by chiral ligands<sup>38</sup>.

Recently, Kim et al. reported the synthesis of four novel single crystals formed from [Au<sub>25</sub>(*p*-MBA)<sub>18</sub>]<sup>–</sup> (where *p*-MBA = *p*-mercaptobenzoic acid) in combination with Mg<sup>2+</sup>, Co<sup>2+</sup>, Ni<sup>2+</sup>, or Cu<sup>2+</sup> ions, named as Au<sub>25</sub>-Mg, Au<sub>25</sub>-Co, Au<sub>25</sub>-Ni and Au<sub>25</sub>-Cu<sup>24</sup>. The study revealed that shorter intercluster distances can enhance charge transport by up to 31-fold. While density functional theory (DFT) calculations reproduced the trends in the measured band gaps giving also insight into possible conduction mechanisms, the optical properties of these CAMs were not studied computationally.

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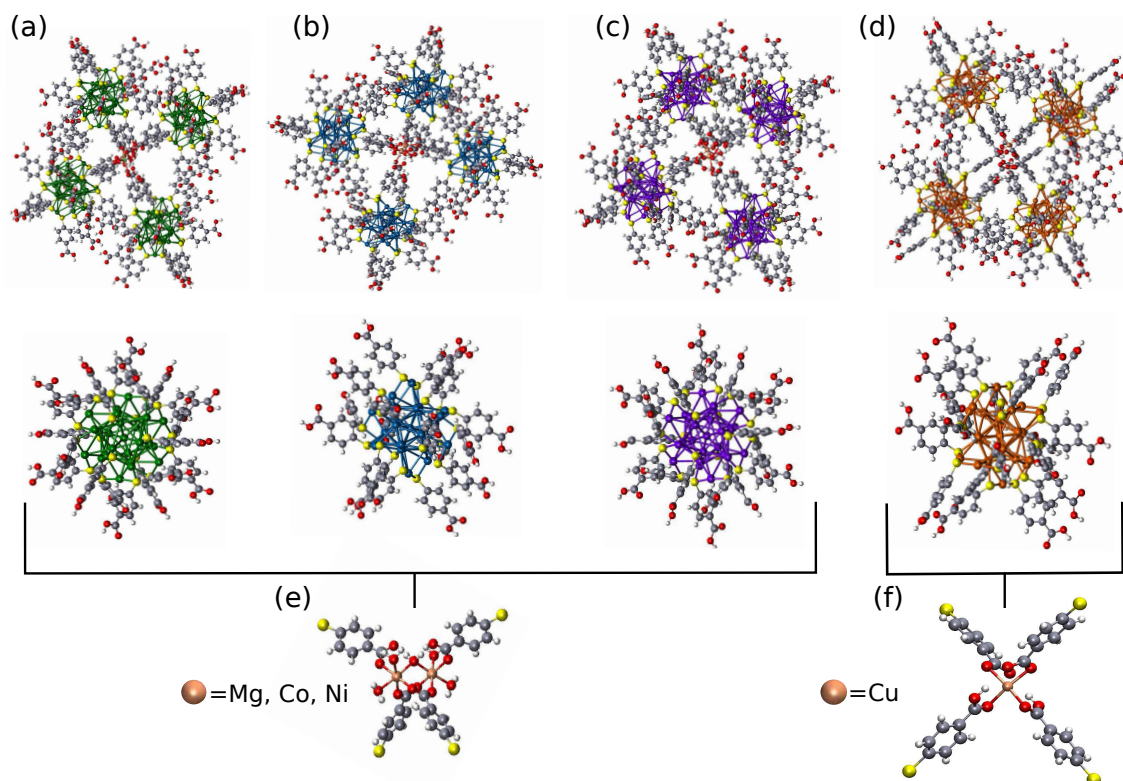


Fig. 1 Structures of (a) Mg, (b) Co, (c) Ni, and (d) Cu mediated  $[\text{Au}_{25}(\text{p-MBA})_{18}]^{-}$  assemblies, together with their isolated  $[\text{Au}_{25}(\text{p-MBA})_{18}]^{-}$  cluster, represented in green, blue, violet, and orange, respectively. (e) The connecting node for (a)–(c), and (f) the connecting node for (d). C, O, H and S atoms are shown in gray, red, white, and yellow, respectively.

ally in ref.<sup>24</sup>. Therefore, in this work, we investigate the optical properties of  $\text{Au}_{25}$  based CAMs reported in ref.<sup>24</sup>, focusing on how intercluster interactions and the structural organization influence their absorption and circular dichroism (CD) spectra. To this end, we performed ground-state DFT and linear-response time-dependent DFT (LR-TDDFT) calculations to study the optical properties. Interestingly, the assembly of achiral building blocks results in chiral structures, with CD intensities that depend strongly on the coordinating metal ion. Our calculations reveal that the chirality originates from the coordination complex and is transferred to the individual clusters. Moreover, the absorption spectra vary significantly with the coordinating metal ion, resulting in a maximum shift of 51 nm between the assemblies.

## 2 Computational Details

The assemblies shown in Figure 1a–d, named as  $\text{Au}_{25}\text{-Mg}$ ,  $\text{Au}_{25}\text{-Co}$ ,  $\text{Au}_{25}\text{-Ni}$  and  $\text{Au}_{25}\text{-Cu}$ , are simplified models based on the crystal structures reported by Kim et al.<sup>24</sup> (Figure S1). A connecting node (Figure 1e,f) together with its four attached clusters was extracted from the original crystal structures to reduce computational cost, since performing LR-TDDFT on the full periodic crystal unit cell is not feasible. To see the extent of the collective effects in the cluster assemblies, one  $[\text{Au}_{25}(\text{p-MBA})_{18}]^{-}$  cluster was isolated from each system, and the same calculations with the same level of theory were repeated, with more details in the SI section 1. Cobalt, nickel, and copper have partially filled 3d orbitals, which result in unpaired spins and thus require spin to

be explicitly considered in calculations.

We employed the grid-based projector-augmented wave (GPAW)<sup>39</sup> code for all the DFT calculations. We used the Gritsenko–van Leeuwen–van Lenthe–Baerends solid-correlation functional (GLLB-SC)<sup>40</sup> for single-point ground state calculations with structures taken directly from the reported crystal structures<sup>24</sup>, and the Perdew–Burke–Ernzerhof (PBE) functional<sup>41</sup> as the exchange–correlation kernel for the LR-TDDFT calculation. Oscillator and rotatory strengths obtained from the LR-TDDFT calculations were broadened using 0.1 eV Gaussians. All calculations were performed in a non-periodic unit cell with a 6.0 Å buffer between the cell surface and the surface of the cluster assembly. All numerical calculations were done in a real-space grid with spacing of 0.25 Å. The total charge of the cluster assemblies was set to  $-4|e|$ , originating from the four  $[\text{Au}_{25}(\text{p-MBA})_{18}]^{-}$  clusters, which have a closed shell 8 electron superatom configuration<sup>24</sup>. For the assembly with Mg metal ions, the calculations were performed as spin-paired, and for Co, Ni and Cu containing assemblies, spin-polarized. An energy cut-off of 2.5 eV was used for the assemblies and individual clusters in LR-TDDFT calculations. This ensures convergence of the spectra at and close to the optical gap (Figure S2). To study the origins of the absorption peaks obtained from the LR-TDDFT calculations, we performed the transition contribution map (TCM) analysis<sup>42</sup>. More details on the computational methods can be found in the SI section 2.



### 3 Results and Discussion

#### Structural changes

We start by discussing the small variations in the clusters' structure in the four cluster assemblies, induced by packing and small variations from the coordinating ion. We concentrate here on the details of the S-Au-S surface motifs around the metal cluster cores. Figure 2a shows that Au<sub>25</sub>-Mg has a standard deviation of 0.23° for the S-Au-S motifs, while Au<sub>25</sub>-Cu has 4.57°. The differences between these two endpoints are shown in Figure 2b for [Au<sub>25</sub>(p-MBA)<sub>18</sub>]<sup>-</sup> clusters taken from Au<sub>25</sub>-Mg and Au<sub>25</sub>-Cu assemblies. This indicates that the Cu<sup>2+</sup> ion has influenced the ligand layer most, thereby possibly altering its optical response and electronic band structure more than in the other systems. The differences in the Cu assembly's properties are likely to arise from the different coordination complex compared to other systems. However, the Mg, Co and Ni assemblies are otherwise identical, except for the metal ion. Mg belongs to the s-block elements, while Co and Ni belong to the d-block and have open-shell electronic structures. Therefore, the transition metal coordinating ions might be more reactive than Mg atoms with a full valence shell, causing the observed changes.

#### Absorption spectra

Figure 3a-d shows the absorption spectra of each assembly together with their corresponding individual [Au<sub>25</sub>(p-MBA)<sub>18</sub>]<sup>-</sup> cluster's spectra. As the intercluster distance decreases, the optical band-gap decreases (Table 1), indicating stronger electronic coupling between the NCs. This result is consistent with the experimental results on the optical gaps reported by Kim et al.<sup>24</sup>, also shown in Table 1. We also note that the intensity of the lowest-energy absorption peak of each assembly is roughly four times larger than the one of the individual cluster. This indicates a rather linear increase of the transition dipole moment for this transition in the assembly.

Table 1 Intercluster distances, measured optical gaps,<sup>24</sup> calculated optical gaps and HOMO-LUMO gaps for the cluster assemblies. For spin-polarized calculations, both spin up and spin down HOMO-LUMO gaps are shown.

System	Intercluster distance	Exp. optical gap <sup>24</sup>	Calc. optical gap	HOMO-LUMO gap
Au <sub>25</sub> -Mg	22.151 Å	1.37 eV	1.43 eV	1.24 eV (up) 1.27 eV (down) 1.25 eV
Au <sub>25</sub> -Co	22.142 Å	1.32 eV	1.40 eV	1.13 eV (up) 1.10 eV (down) 0.96 eV
Au <sub>25</sub> -Ni	22.058 Å	1.30 eV	1.31 eV	0.96 eV (up) 0.96 eV (down) 0.43 eV
Au <sub>25</sub> -Cu	21.524 Å	1.23 eV	1.29 eV	

Figure S3 presents the absorption spectra of the assemblies in the energy axis, along with the individual oscillator strengths for the electronic transitions. Au<sub>25</sub>-Mg shows a few strong and well-defined electronic transitions, whereas the other systems have many transitions close to the same wavelength. Consequently, their spectra are broader and less intense compared to that of Au<sub>25</sub>-Mg. In the case of Au<sub>25</sub>-Cu, a second peak appears around 650 nm. Surprisingly, the individual [Au<sub>25</sub>(p-MBA)<sub>18</sub>]<sup>-</sup> cluster

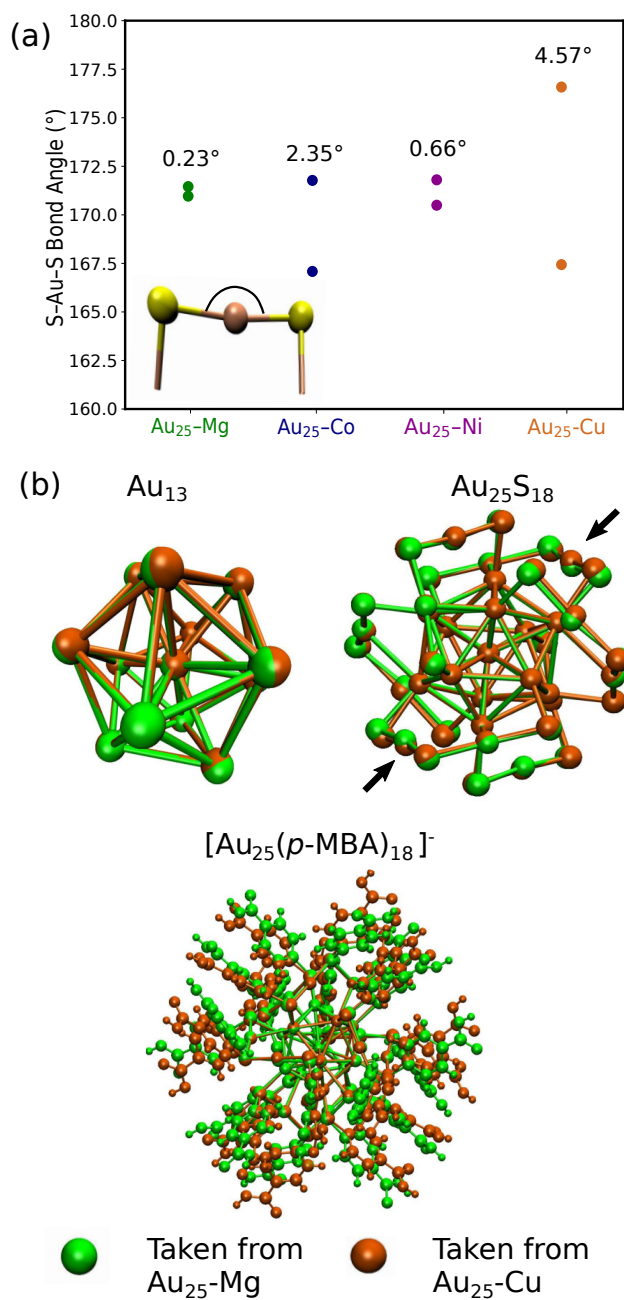


Fig. 2 (a) Distribution of the S-Au-S bond angles along with their standard deviation. (b) Aligned Au<sub>25</sub> clusters taken from Au<sub>25</sub>-Mg and Au<sub>25</sub>-Cu assemblies, highlighting differences in the S-Au-S motifs bond angles and the resulting variations in ligand positions. On the left is the icosahedral core, on the right is the gold core with the Au-S interface and on the bottom is the whole cluster with the ligand layer as well.

taken from the assembly also has a second absorption peak, which is not prominent in any of the other systems or in their individual clusters. A possible explanation for these observations comes from the different coordination environments of the assemblies. In Au<sub>25</sub>-Mg, Au<sub>25</sub>-Co, and Au<sub>25</sub>-Ni, two coordinating metal ions and five water molecules connect the clusters, while in Au<sub>25</sub>-Cu, only one such ion is present without water molecules. Conse-



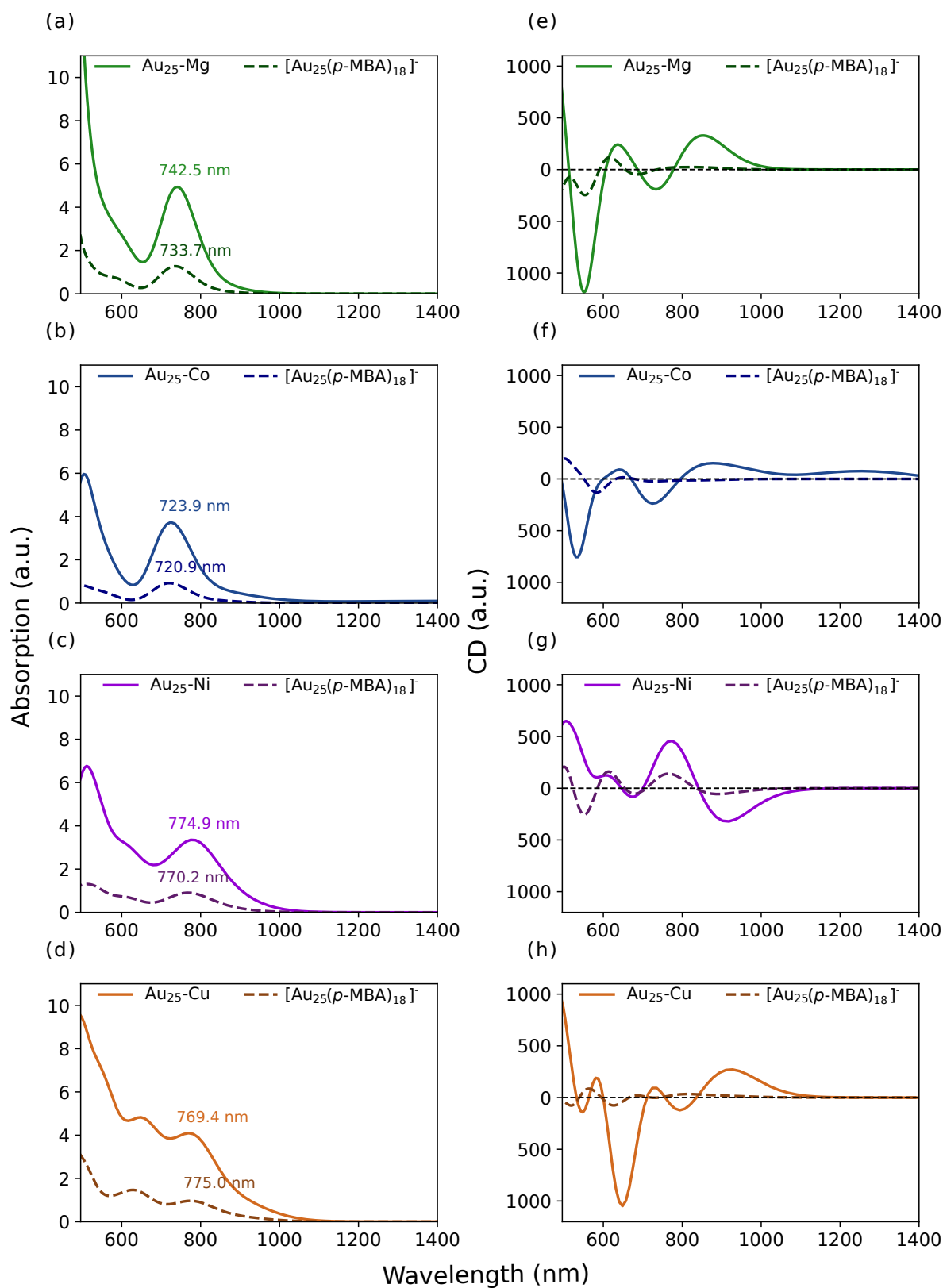


Fig. 3 Calculated (a)-(d) absorption spectra and (e)-(h) CD spectra of all four Au<sub>25</sub> cluster assemblies (solid lines) and their corresponding isolated [Au<sub>25</sub>(p-MBA)<sub>18</sub>]<sup>-</sup> nanoclusters (dashed lines), shown in order of decreasing intercluster distance.



quently, the former assemblies are slightly more structurally constrained, whereas the latter may allow greater freedom of motion and flexibility in ligand arrangement. Although DFT calculations cannot capture the dynamics of the ligands, it is possible that restricted ligand motion also influences the photophysical properties of these assemblies, as has previously been observed for many CAMs<sup>23,28,32,34,35</sup>.

To understand the absorption peaks, we performed transition contribution (TCM) analysis along with the projected density of states (PDOS) resolved by atom type. This analysis allows us to identify which atoms or parts of the structures are responsible for the observed electronic transitions. For the Au<sub>25</sub>-Co, Au<sub>25</sub>-Ni, and Au<sub>25</sub>-Cu assemblies, the TCMs are shown separately for spin up and spin down channels. The first absorption peak of Au<sub>25</sub>-Mg and Au<sub>25</sub>-Co originates mainly from Au to Au transitions (HOMO to LUMO), shown in Figure S4 and Figure S5, respectively. In the case of Au<sub>25</sub>-Ni, spin down channel has a strong Au to Au transition, while spin up channel has an Au to Au as well as Au to Au-S and Au to ligand transitions (Figure S6). The TCM analysis of the first absorption peak of Au<sub>25</sub>-Cu (Figure S7a,b) also indicates Au to Au transitions for both spin channels, with the spin down channel exhibiting a slightly higher energy transition. The second absorption peak shows Au to ligand transitions for both spins, shown in Figure S7c,d.

In all these assemblies, the first absorption peak is mainly caused by the Au to Au transitions (HOMO to LUMO), verified by the TCM analysis. The superatomic nature of these assemblies has been discussed before<sup>24</sup>, where it was confirmed that the nanoclusters retain their eight-electron closed-shell superatomic behavior. It consists of three occupied P-symmetric states and empty D-symmetric states near the Fermi level. Therefore, the first peak is caused by the superatomic P to D transitions, which then determines the optical and HOMO-LUMO gaps.

Figures S8, S9 and S10 display the frontier molecular orbitals

of Au<sub>25</sub>-Mg, Co and Ni assemblies, along with their projected density of states into atom types. From there, it can be observed that the four highest occupied molecular orbitals are mostly located in the clusters and slightly on the ligands. The lowest unoccupied molecular orbitals are also located mainly in the clusters and ligands. The orbitals are slightly more spread toward the ligand ends in Co and Ni assemblies. Au<sub>25</sub>-Ni exhibits a noticeable DOS contribution from the coordinating metal ion, although not near the Fermi level. Au<sub>25</sub>-Mg and Au<sub>25</sub>-Co do not show any significant contributions from the metal ion. Furthermore, Au<sub>25</sub>-Cu differs from the other systems, since its HOMO-LUMO transition for spin down channel is from the cluster to the coordinating metal center, shown in Figure S11. However, the TCM analysis shows that the first absorption peak is not due to this transition but rather due to the Au to Au transition.

An interesting question is whether these assemblies have a plasmonic nature or not. It is well known that Au<sub>25</sub> has molecule-like optical transitions. However, when many of these clusters are brought together, collective electronic excitations could, in principle, emerge. Nevertheless, we observe quite sharp absorption peaks (Figure 3), which, according to the TCM analysis, arise from HOMO to LUMO transitions localized on the clusters. The results suggest the presence of four independent excitations associated with the individual clusters rather than a single collective excitation (see Figure S12). The induced transition density in Figure S12 also shows formation of parallel transition dipoles in the assembly which may straightforwardly explain the approximate linear increase of the absorption intensity in the assembly as compared to isolated clusters (Figure 3).

### CD spectra and chirality of the coordination complex

CD spectra are presented in Figure 3e-h. All four assemblies exhibit CD activity, but the peak positions and intensities vary. Interestingly, the first CD peak of Au<sub>25</sub>-Cu appears at a longer

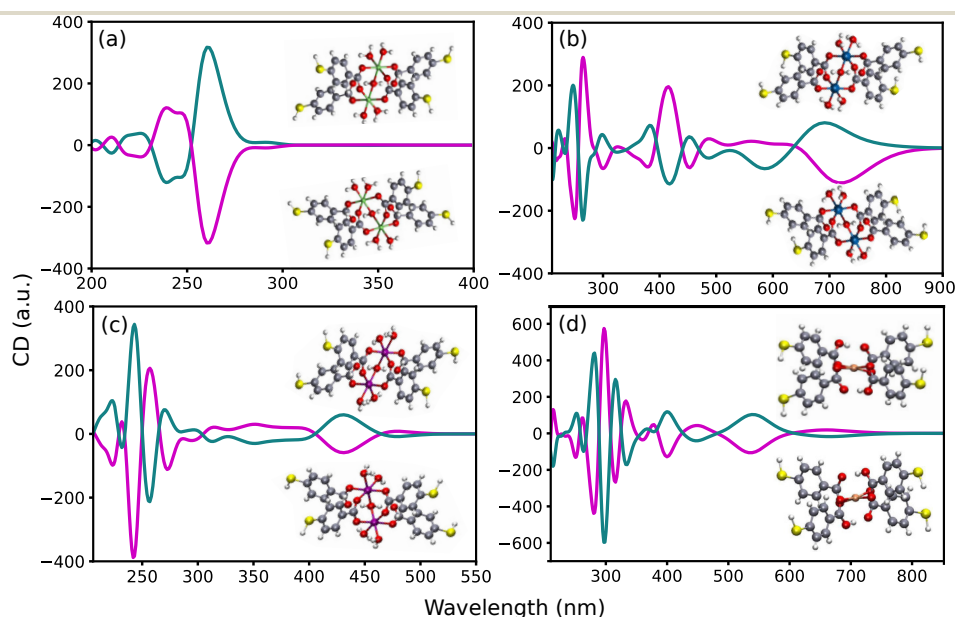


Fig. 4 CD spectra of both enantiomers of (a) Mg, (b) Co, (c) Ni, and (d) Cu complexes. The insets show the corresponding enantiomers.



wavelength compared to Au<sub>25</sub>-Mg, suggesting more prominent changes in the Au-S interface, likely associated with the decreased intercluster distance. In all cases, the most intense peaks appear at shorter wavelengths, originating primarily from the ligand layer, confirmed by the rotational transition contribution map analysis for Au<sub>25</sub>-Mg (Figure S13). The low intensity peak of Au<sub>25</sub>-Co at longer wavelengths is explained in the SI section 2.

In the crystal structures, both left- and right-handed coordination complexes exist, which determine the handedness of the cluster assembly. In the case of Au<sub>25</sub>-Ni, an opposite handed assembly was chosen for the ground state and linear response calculations, resulting in an opposite CD signal to the other assemblies. Moreover, Au<sub>25</sub>-Mg assemblies were identified and calculated in both handedness and the CD spectra (Figure S14) were confirmed to have perfectly mirrored CD signals.

In order to understand the unexpected chirality in the assemblies, we extracted the coordination center from each assembly, shown in Figure 1e,f, and calculated CD spectra for both left- and right-handed enantiomers (Figure 4), and compared those to the assemblies' CD spectra. The spectra of the individual coordination centers differ, which helps to explain the origin of chirality in the corresponding cluster assemblies. For example, the first CD peak of the magnesium coordination complex appears around 260 nm (Figure 4a), while Au<sub>25</sub>-Mg assembly has a first peak at a longer wavelength, around 855 nm. This clearly indicates that the chirality is transferred from the coordination center to the clusters. For Ni, Co and Cu coordination complexes (Figure 4b-d), the first peaks occur at longer wavelengths, although the more intense peaks remain in the same region as for the Mg coordination complex. Among the four, Cu coordination complex has the strongest CD signal around 300 nm. Consequently, the Au<sub>25</sub>-Cu assembly has the first CD peak at longer wavelengths compared to the other assemblies, suggesting that the chirality transfer is most pronounced in this system. Possible explanation for this is the different coordination environment between the systems. In the case of Au<sub>25</sub>-Mg, Co and Ni assemblies, the 2 metal ions bridged together with a water molecule could be considered as the chiral center. Interestingly, Cu assembly has only one metal ion, where the four ligands are connected to it in a chiral manner, distinguishing it from the other assemblies.

Based on the CD spectra of the coordination complexes, we did not repeat calculations for the other enantiomers of the full cluster assemblies Au<sub>25</sub>-Co, Au<sub>25</sub>-Ni and Au<sub>25</sub>-Cu since we expect them to behave similarly. Upon further investigation, we found that the crystal structures have repeated "layers" of both enantiomers, visualized in Figure S15 for Au<sub>25</sub>-Mg and Au<sub>25</sub>-Cu.

## Conclusions

Time-dependent density functional theory calculations were performed for four Au<sub>25</sub> cluster-assembled materials, Au<sub>25</sub>-Mg, Au<sub>25</sub>-Co, Au<sub>25</sub>-Ni and Au<sub>25</sub>-Cu to study their optical properties. The structures exhibited different absorption intensities at different wavelengths, depending on the coordinating metal ion in the coordination bonds between carboxylic acids. Remarkably, the assemblies exhibited circular dichroism (CD) signals at various wavelengths and with varying intensities. For each coordination

complex, both left- and right-handed enantiomers were present in the crystal structures. The enantiomers formed layers of right- and left-handed structures. This information may motivate efforts to experimentally guide the assembly process towards enantiopure assemblies with a chosen handedness. Coupled with the fact that assemblies with Co<sup>2+</sup>, Ni<sup>2+</sup>, and Cu<sup>2+</sup> ions have two spin channels, these 2D or meta-2D materials might have intriguing conductance properties due to chirality-induced spin selectivity (CISS) effects<sup>43,44</sup>.

## Author contributions

H.J.: conceptualization, investigation, formal analysis, writing original draft. S.M.: conceptualization, editing and reviewing the manuscript draft. H.H.: conceptualization, supervision, editing and reviewing the manuscript draft, funding acquisition.

## Conflicts of interest

There are no conflicts to declare.

## Data availability

The data supporting this article have been included as part of the Supplementary Information.

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## Data availability

The data supporting this article have been included as part of the Supplementary Information.

