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## COMMUNICATION

## Narcissistic Assembly of Homochiral Covalent Organic Cages with Dehydrobenzo[12]Annulene (DBA) Panels

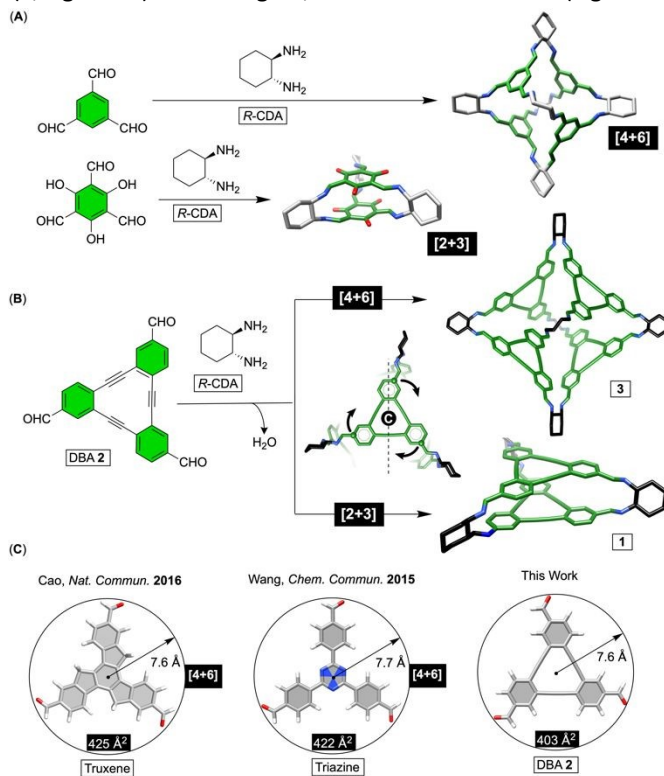
Carson E. Ward,<sup>a</sup> Ryan J. Maguire,<sup>a</sup> Nitesh Kumar,<sup>a</sup> Christopher M. Hadad,<sup>a</sup> and Jovica D. Badjić<sup>a\*</sup>Received 00th January 20xx,  
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**Dynamic imine condensations of a trivalent dehydrobenzo[12]annulene (DBA) with (1*R*,2*R*)- or (1*S*,2*S*)-cyclohexane-1,2-diamine (*R/S*-CDA) yield homochiral [2+3] organic cages through narcissistic self-sorting. This study represents the first successful incorporation of multiple dehydrobenzoannulenes into discrete and chiral supramolecular structures for potential application in catalysis, molecular storage, and optoelectronics.**

Shape-persistent organic cages<sup>1</sup> (Figure 1) are rigid, symmetric, and often hollow molecules assembled in a single reaction step using principles of dynamic covalent chemistry.<sup>2</sup> After two (or more) building units are subjected to reversible formation/breakage of covalent bonds (i.e., imine, boronate, disulfide, etc.),<sup>3</sup> discrete covalent cages may form as the primary thermodynamic product;<sup>4</sup> they are labeled [n+m], with n and m corresponding to the number of comprising units. Indeed, the notion of a rapid and efficient construction of sizeable, yet hollow, organic capsules in high yield<sup>5</sup> has been of great interest to supramolecular chemists.<sup>6</sup> So far, the cages have been probed for gas capture/storage,<sup>7</sup> sequestration of drugs,<sup>8</sup> anion recognition,<sup>9</sup> catalysis,<sup>10</sup> and separation.<sup>11</sup> Interestingly, predicting the outcome of dynamic covalent processes for obtaining targeted structures is still a challenging task with solvent,<sup>12</sup> temperature,<sup>13</sup> template,<sup>9a</sup> and shape of molecular building blocks<sup>14</sup> playing a role. For example, reversible imine-condensation of (1*R*,2*R*)-cyclohexane-1,2-diamine (*R*-CDA) and 1,3,5-triformylbenzene gave [4+6] cage (Figure 1A) as the major product.<sup>15</sup> On the other side, the condensation of *R*-CDA with 1,3,5-triformylphloroglucinol yielded<sup>16</sup> [2+3] cage (Figure 1A) despite the notion that trivalent 1,3,5-triformylbenzene and 1,3,5-triformylphloroglucinol are almost identical in size and shape. While Cooper and coworkers reported<sup>17</sup> that the number of carbon atoms (i.e., odd or even), separating two amino groups within diamino building blocks, could determine [2+3] versus [4+6] condensation outcome,

there is clearly more to the phenomenon. On that note, we wondered: would the imine condensation of dehydrobenzo[12]annulene **2** (DBA **2**, Figure 1B)<sup>18</sup> and *R*-CDA give rise to tetrahedral [4+6] (**3**, Figure 1B) or trigonal [2+3] cage (**1**, Figure 1B)? In this regard, truxene<sup>13</sup> and triazine<sup>19</sup> (Figure 1C)



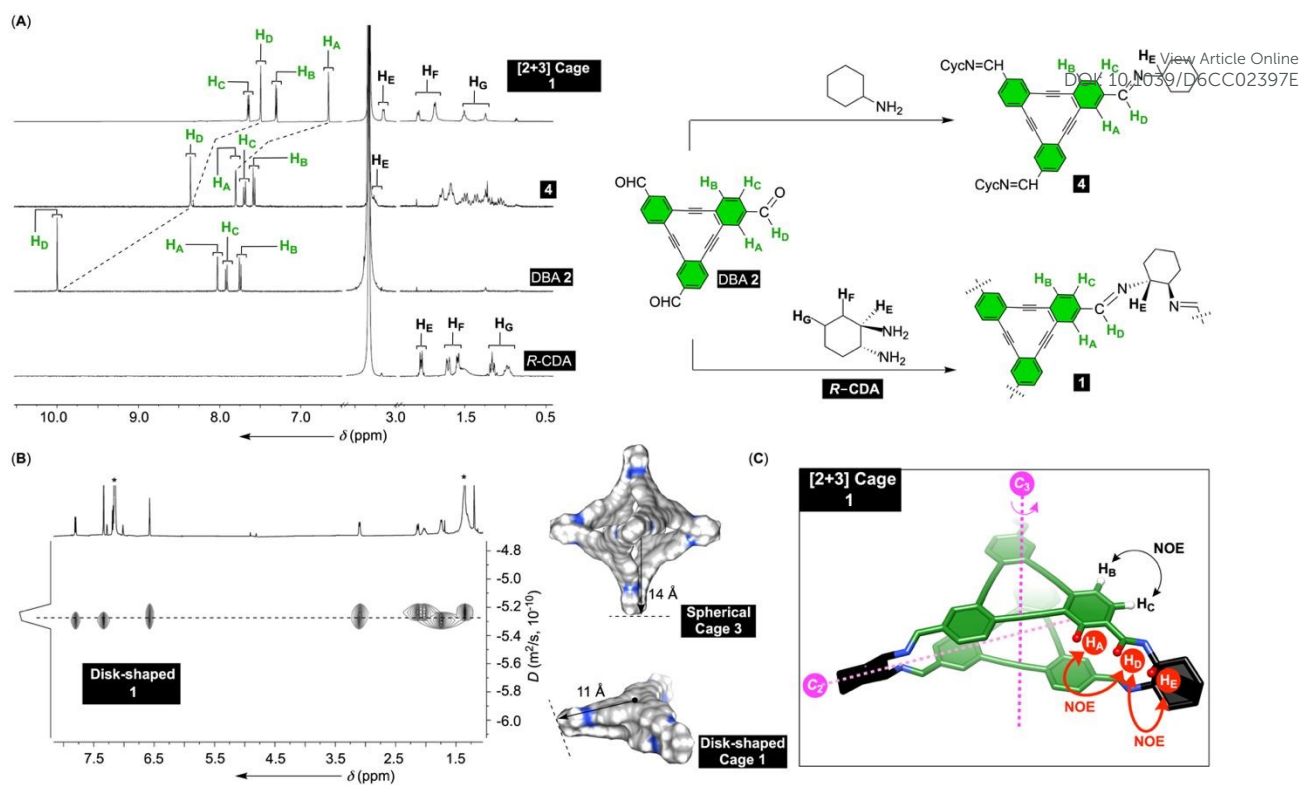
**Fig. 1** (A) X-ray structures (see ref. 15a and 16) of [4+6] and [2+3] organic cages obtained by reversible condensations of two benzene derivatives and *R*-CDA. (B) Dynamic imine condensation dehydrobenzo[12]annulene derivative DBA **2** with *R*-CDA could give [4+6] (OPLS 4, Maestro) or [2+3] (OPLS 4, Maestro) cages. (C) Stick representation of energy minimized (PM3, Spartan) truxene, triazine and DBA **2**.

were shown to undergo imine condensation with *R*-CDA and give [4+6] cages. With conformational dynamics, radius, and surface area of DBA **2** similar to the studied aromatic systems (Figure 1C), we reasoned that the reaction of DBA **2** with *R*-CDA would likely yield [4+6] cage **3** (Figure 1B). Furthermore, DBA **2** is a C<sub>3h</sub> symmetric molecule with σ<sub>h</sub> plane of symmetry. Upon

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**Fig. 2** (A) <sup>1</sup>H NMR spectra (600 MHz, 298 K) of *R*-CDA, DBA 2, model *tris*-imine 4 and [2+3] cage 1 in DMSO-*d*<sub>6</sub>. (Right) Chemical structures of 1 and 4 along with their preparation from DBA 2. (B) <sup>1</sup>H NMR DOSY spectrum (600 MHz, 298 K) of cage 1 in C<sub>6</sub>D<sub>6</sub>. (Right) van der Waals surfaces of [4+6] cage 3 and [2+3] cage 1. (C) Ball and stick representation of cage 1 showing its elements of symmetry in addition to experimentally observed NOE cross signals (Figure S15).

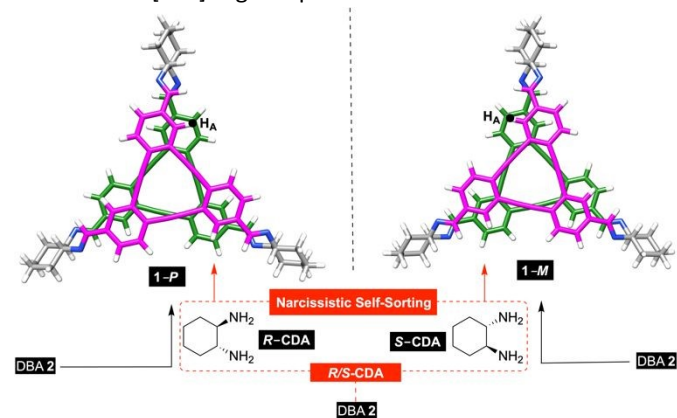
its incorporation into cage 3 (or 1), it loses  $\sigma_h$  element of symmetry with four DBA panels assuming clockwise (C, Figure 1B), counterclockwise (A) or both C and A orientations.<sup>13</sup> As for C<sub>3h</sub> truxene (Figure 1C), the reaction with *R*-CDA was stereoselective,<sup>13</sup> leading to the formation of homochiral [4+6] product with all four truxenes assuming anticlockwise (A) orientation. Will we observe chirality transfer and stereoselectivity in dynamic covalent synthesis of cages 1 or 3 (Figure 1B)? Furthermore, dynamic imine condensation of a racemic mixture of *R*-CDA and *S*-CDA with truxene led to the sole formation of (*R*)-CDA<sub>6</sub>(AAAA) and (*S*)-CDA<sub>6</sub>(CCCC) products and no cages having both *R*- and *S*-CDA were incorporated in the framework.<sup>20</sup> The observed stereoselectivity in which only chiral molecules of the same type (i.e., *R*-CDAs with DBAs of A orientations) are included in the product is clearly narcissistic self-sorting.<sup>21</sup> On the contrary, *D*<sub>3h</sub> symmetric triazine<sup>20</sup> or 1,2,5-triformylbenzene<sup>22</sup> (Figure 1A) reacted with racemic *R/S*-CDA to give a mixture of stereoisomeric [4+6] cages including both *R* and *S* cyclohexane enantiomers. This begged the question: how will DBA 2 react with racemic *R/S*-CDA? So far, DBA macrocycles<sup>18</sup> have been of a great interest for creating optoelectronic, storage and catalytic materials.<sup>23</sup> In particular, DBAs coordinate Ni(0) using three alkynes to promote catalytic desulfurization of aromatics.<sup>24</sup> Would [4+6] cage 3 with Ni(0) at its panels catalyze desulfurization reactions inside its spacious cavity?

In order to obtain DBA 2, we slightly modified the reported 9-step synthesis of this compound (Scheme S1A).<sup>25</sup> Next, we used mass spectrometry (Figure S8) to screen the outcome of dynamic imine condensations of *R*-CDA and DBA 2 in six different solvents. In benzene, chloroform, *N,N*-dimethylformamide and toluene, there were two signals suggesting the formation of [2+3] and/or [4+6] cages (Figure S8). After, the two reactants were added to benzene (C<sub>6</sub>D<sub>6</sub>), containing a catalytic quantity of trifluoroacetic acid (TFA), and the condensation was monitored with <sup>1</sup>H NMR spectroscopy (Figure S9). A change in the signal appearance over time corroborated reversible imine exchange taking place, and then after 300 min, the process resulted in the formation of a single set of signals: the integration ratio of resonances from two building blocks was 1:1.5 while the newly formed imine C–H<sub>D</sub> appeared as singlet at 7.8 ppm (Figure 2A; Figure S10). After isolation of the main product (Scheme S4), we completed high resolution electrospray ionization (ESI) measurements (Figure S12). The data from trapped ion mobility (TIMS) coupled with time-of-flight (TOF-ESI) mass spectrometry revealed the most intense peak corresponding to a singly charged cation at *m/z* = 1003.4476 Da. The observed ion corresponds to [2+3] condensate (1003.4482 Da) corroborating the exclusive formation of cage 1 from *R*-CDA and DBA 2 (Figure 1B). This certainly came as a surprise since the imine condensation of similarly sized and shaped aromatic systems,<sup>13, 19</sup> such as truxene and triazine (Figure 1C), with *R*-CDA led to the formation of [4+6] products. <sup>1</sup>H NMR DOSY of 1 (Figure 2B; Figure S13) showed that all of its signals are part of one molecule moving at identical rate with the diffusion coefficient  $D = 5.36 \cdot 10^{-10}$  m<sup>2</sup>/s. The radius of energy-minimized and spherical [4+6] cage 3 is  $r = 1.4$  nm while disk-shaped 1 is smaller with  $r = 1.1$  nm (Figure 2B). From the Stokes-Einstein



formula for spherical particles ( $D = k_B \cdot T / 6\pi \cdot \eta \cdot r$ ), the apparent radius of our cage was from the experimental diffusion coefficient computed to be 0.7 nm therefore smaller than expected for both **1** and **3**. However, using the Stokes-Einstein disk approximation formula ( $D = k_B \cdot T / 12\pi \cdot \eta \cdot r$ ; omnicalculator.com) gave for the experimental  $r = 1.1$  nm, thereby consistent with the computed size of **1**.

To fully assign  $^1\text{H}$  NMR resonances from **1** (Figure 2A), we recorded its  $^1\text{H}$ - $^1\text{H}$  COSY (Figure S14) and  $^1\text{H}$ - $^1\text{H}$  ROESY NMR (Figure S15) spectra. From the cross peaks of  $J$ -coupled protons, we assigned all of  $^1\text{H}$  NMR signals in **1**. After  $^1\text{H}$  NMR spectrum of **1** is compared to DBA **2** and *R*-CDA (Figure 2A), a magnetic shielding of the aromatic  $\text{H}_A$ - $\text{H}_C$  resonances is apparent with  $\Delta\delta = -1.4$  ppm for  $\text{H}_A$  (Figure 2A). Since [2+3] cage **1** must include two DBA aromatics on top of one another, the observed diamagnetic shielding of  $\text{H}_A$ - $\text{H}_C$  is in line with the structure. On the other side, two sets of 2D cross-peaks from  $^1\text{H}$ - $^1\text{H}$  ROESY (Figure 2C; Figure S15) revealed that  $\text{H}_E/\text{H}_D$  and  $\text{H}_D/\text{H}_A$  protons are close in space. Accordingly,  $\text{H}_E$  from the cyclohexane ring was deduced to be in plane with the *trans* imine<sup>16</sup> bond and eclipsed with the imine  $\text{H}_D$  for reducing the 1,3-allylic strain.<sup>26</sup> In addition,  $\text{H}_D$  is coplanar with  $\text{H}_A$  from DBA and thus in its vicinity while  $\text{H}_B/\text{H}_C$  stay on the opposite side (Figure 2C). Importantly, the coplanarity of  $\text{H}_E/\text{H}_D/\text{H}_A$  protons can also be seen from X-ray structures of [4+6] cages reported in the literature.<sup>13, 15a, 19</sup>



**Fig. 3** Stick representations of energy minimized (OPLS 4, Maestro) **1-P** and **1-M** cages, each with a distinct helical chirality of two stacked DBA rings. Imine condensations of DBA **2** with *R*-CDA, *S*-CDA (black), or racemic *R/S*-CDA (red) give **1-P**, **1-M**, and racemic **1-P/M** products, respectively.

Furthermore, a single set of  $^1\text{H}$  NMR resonances from two DBAs and three *R*-CDAs within **1** (Figure 2A) indicated (a) the existence of a  $C_3$  rotation axis for making three *R*-CDAs equivalent (Figure 2C) and (b) three perpendicular  $C_2$  symmetry axis running through each of *R*-CDA groups (Figure 2C) for making top and bottom DBA aromatic rings to be chemically equivalent. With the available structural information, we built  $D_3$  symmetric **1** *in silico* and after energy-minimization (OPLS4, Figure 3)<sup>17</sup> noted that two aromatic rings are twisted clockwise<sup>16</sup> (C, see also Figure 1B): with the right-handed sense of helical chirality in **1**, we herein label this molecule as **1-P**.<sup>27</sup> From the computed structure of **1-P**, one can easily note that  $\text{H}_A$  protons from each DBA reside in diamagnetic shielding area of the opposite macrocycle (Figure 3). This is in line with the large

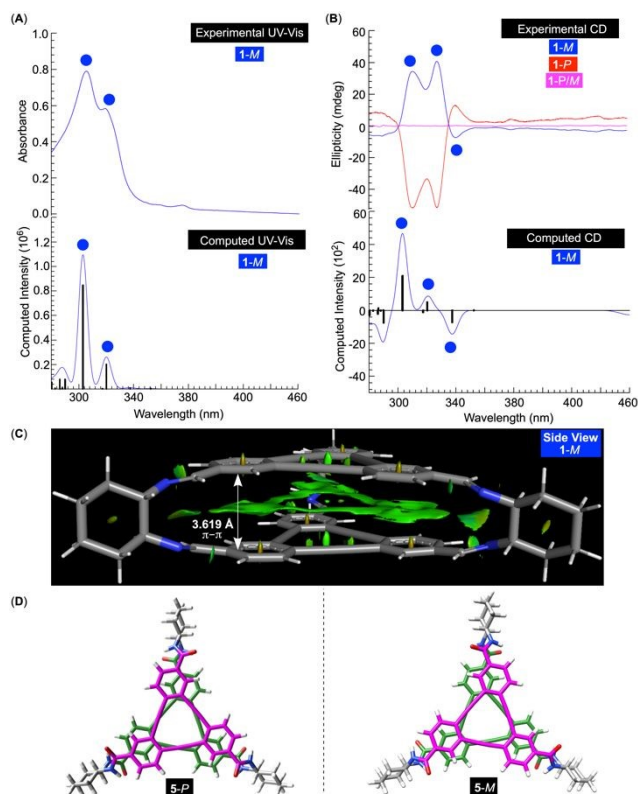
shielding of  $\text{H}_A$  from **1-P** in regard to DBA **2** ( $\Delta\delta_{\text{H}_A} = -1.4$  ppm, Figure 2A) and *tris*-imine model compound **4** ( $\Delta\delta_{\text{H}_A} = -1.1$  ppm, Figure 2A).

While *R*-CDA directed two DBA units within cage **1** to assume right-handed *P* helicity, we presumed that the condensation of *S*-CDA with DBA **2** ought to give its enantiomer **1-M** (Figure 3). Indeed,  $^1\text{H}$  NMR spectrum the imine product of the latter was equivalent to **1-P** (Figure S16). On the other side, using a racemic mixture of *R*-CDA and *S*-CDA in dynamic imine condensation with DBA **2** resulted in the sole formation of **1-M** and **1-P** cages (Figure 3). The evidence came from  $^1\text{H}$  NMR spectrum of the isolated product (Figure S16) (a) matching those of **1-P/1-M** molecules and (b) lacking any resonances that would imply the presence of diastereomeric cages. We posit that the narcissistic self-sorting in the formation of homochiral **1-P** and/or **1-M** is in part arising from intramolecular  $\pi$ - $\pi$  contacts of stacked DBA rings within each cage (Figure 4C). Indeed, truxene possessing alkyl chains (not shown in Figure 1C) guided the formation of its homochiral [4+6] cage in the narcissistic manner by using intramolecular interactions of these groups.<sup>20</sup> On the contrary, triazene (Figure 1C) and 1,3,5-triformylbenzene (Figure 1A) showed no narcissistic self-sorting in the formation of their [4+6] cages since there was no intramolecular noncovalent contacts between the aromatic panels.<sup>19</sup>

To confirm the computed helical chirality of **1-P** and **1-M** (Figure 3),<sup>28</sup> we collected their UV-Vis (Figure 4A; Figure S17) and circular dichroism (CD, Figure 4B) spectra. Two broad absorption bands of each homochiral cage at 305 and 310 nm depict electronic transitions within planar and  $\pi$ -conjugated dehydrobenzo[12]annulene aromatics.<sup>29</sup> Concurrently, CD spectra of **1-P** and **1-M** are the mirror image of one another with three main transitions at 310, 325, and 338 nm: while **1-M** shows two bigger positive and one smaller negative Cotton effects, its enantiomer **1-P** is the opposite. CD spectrum of the product from racemic *R/S*-CDA reacting with DBA **2**, showed no features (pink, Figure 4B) therefore corroborating the presence of racemic **1-M/1-P** which in line with the proposed narcissistic self-sorting operating in the assembly. Next, we went on to compute UV-Vis and CD spectra of **1-M** using density functional theory (DFT).<sup>30</sup> Geometry optimization of **1-M** was completed at B3LYP-D3/6-31+G\* level of theory with the inclusion of D3 dispersion correction<sup>16</sup> to account for van der Waals and aromatic interactions of two DBAs.<sup>31</sup> In this way, the DBAs were found to reside at 3.6 Å distance, thereby forming attractive  $\pi$ - $\pi$  contacts (Figure 4C; Figure S29).<sup>32</sup> To compute UV-Vis/CD spectra of **1-M** (Figure 4A), we used time-dependent<sup>33</sup> DFT method (wb97XD/6-31+G\*) with wb97XD functional to account for intramolecular dispersion and noncovalent interactions within the cage.<sup>34</sup> While the computed UV-Vis of **1-M** matched the experimental one, the computed CD spectrum predicted all three Cotton effects along with their sign! The left-handed helical chirality within **1-M** predicted by  $^1\text{H}$  NMR spectroscopy in combination with molecular mechanics is thus confirmed by CD spectroscopy and quantum mechanical calculations. A Pinnick oxidation (i.e., imine-to-amide conversion; Scheme S5)<sup>35</sup> of dynamic **1-P** and **1-M** into robust **5-P** and **5-M** cages (Figure



4D) was, in each case, an effective process; both **5-P** and **5-M** were characterized by  $^1\text{H}$  NMR (Figures S18-S21), mass spectrometry (Figure S22), UV-Vis (Figure S23) and CD spectra (Figure S24). With [3+2] cage **5-P**, comprising six stable amide linkages, we attempted its coordination to Ni(0) (Scheme S6).<sup>24</sup> Preliminary results suggested the formation of  $[\text{Ni}(0)\text{-}5\text{-P}]$  (ESI, Figure S25) although the poor solubility of this product prevented its characterization (with standard methods) and further catalytic studies about which we plan to report in the near future.



**Fig. 4** (A) UV-Vis spectrum of **1-M** (40  $\mu\text{M}$  in tetrahydrofuran) on top of its computed (DFT: B3LYP-D3/6-31+G\* and TD-DFT: wB97XD/6-31+G\*) electronic spectrum. (B) Circular dichroism (CD) spectra of **1-M** (40  $\mu\text{M}$  in tetrahydrofuran), **1-P** (53  $\mu\text{M}$  in tetrahydrofuran) and **1-P/M** (50  $\mu\text{M}$  in tetrahydrofuran) on top of computed CD spectrum (DFT: B3LYP-D3/6-31+G\* and TD-DFT: wB97XD/6-31+G\*) of **1-M**. (C) Noncovalent interaction plot (NCI; Figure S29) of **1-M** (DFT: B3LYP-D3/6-31+G\*) showing  $\pi$ - $\pi$  binding region (green gradient isosurface, PyMOL) between two DBA rings along with the centroid-to-centroid distance. (D) A stick representation of enantiomeric [2+3] amide cages **5-P** and **5-M** (OPLS4, Maestro).

In conclusion, we employed dynamic imine chemistry to incorporate two dehydrobenzo[12]annulenes (DBA) into [2+3] covalent organic cages. While aromatic systems comparable in size and shape to DBA assemble into [4+6] cages,<sup>13, 19</sup> here described and unexpected formation of [2+3] structures remains to be explained.<sup>17</sup> Moreover, the assembly occurs through a narcissistic self-sorting mechanism:<sup>21</sup> racemic *R* and *S*-CDAs react with DBAs to give two enantiomeric products in which three *R*-CDAs direct two DBA panels to assume *P* helical orientation and vice versa. In retrospect, the first successful incorporation of versatile dehydrobenzoannulenes<sup>36</sup> into discrete organic cages offers a potential for discovering novel catalytic,<sup>37</sup> optoelectronic,<sup>23a</sup> and storage<sup>6a</sup> materials about which we plan to report in the future.

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## Conflicts of interest

There are no conflicts to declare.

## Data availability

All research data reported here is available by e-mail from the corresponding author.

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## Data availability

All research data reported here is available by e-mail from the corresponding author.

