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# Tailoring aggregation behavior of shamrock-shaped non-fullerene acceptors *via* an isomerisation strategy enables high-performance organic solar cells

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The end-group halogenation strategy in banana-shaped Y-series non-fullerene acceptors (NFAs) plays a crucial role in the development of organic solar cells (OSCs). Compared to fluorinated end-groups, chlorinated end-groups offer advantages such as simpler synthesis, lower cost, and higher open-circuit voltage ( $V_{OC}$ ). In this study, we replaced the benzothiadiazole (BT) unit in Y-series molecules with an acenaphtho[1,2-*b*]quinoxaline imide (AQI) structure and utilized IC-*p*2Cl and IC-*o*2Cl isomers as end-groups, respectively, to synthesize two shamrock-shaped NFAs, AQI16 and AQI17. Further investigations revealed that the substitution position of chlorine atoms plays a critical role in modulating the  $\pi$ - $\pi$  stacking and crystallinity of the materials. Besides, end-group isomerization significantly influences the photo-physical and photovoltaic properties of the materials. The D18:AQI16 combination achieved a power conversion efficiency (PCE) of 17.90%, which is obviously higher than that of the AQI17 based device (15.14%). This work highlights the impact of isomerization caused by halogen substitution positions on the photovoltaic properties of shamrock-shaped NFAs.

## 1. Introduction

Organic solar cells (OSCs), as an emerging photovoltaic technology, have demonstrated tremendous application potential in wearable electronics and building-integrated photovoltaics (BIPV) owing to their unique advantages including low-cost manufacturing, mechanical flexibility, solution processability, and semi-transparency.<sup>1-4</sup> The core component features a bulk heterojunction (BHJ) active layer architecture, where nanoscale

blending of donor and acceptor materials forms an interpenetrating network that facilitates efficient exciton dissociation and charge transport.<sup>5-7</sup> In recent years, through synergistic innovations encompassing the development of novel photo-active materials, device engineering optimizations, and fundamental investigations into photophysical mechanisms, this technology has achieved a landmark power conversion efficiency (PCE) exceeding 20%.<sup>8-12</sup> This transformative advancement is largely driven by the development of non-fullerene acceptors (NFAs).<sup>13-16</sup> However, collaboratively optimizing the open-circuit voltage ( $V_{OC}$ ), short-circuit current density ( $J_{SC}$ ), and fill factor (FF) continues to pose a formidable challenge for the scientific community.

Molecular configuration differences can significantly alter molecular packing patterns, and this structure-packing-property relationship directly affects active layer morphology formation and ultimate photovoltaic performance.<sup>17-19</sup> In 2015, Zhan *et al.* first synthesized an S-shaped molecule, ITIC, with a donor-acceptor-donor (A-D-A) type NFA.<sup>20</sup> The state-of-the-art ITIC-based acceptor materials typically demonstrate a PCE of around 15%. The performance is primarily limited by two critical factors. First, their absorption edges generally fall below 800 nm, resulting in insufficient utilization of near-infrared solar photons and consequently limiting photogenerated exciton production. Second, the two aromatic side chains attached to the  $sp^3$ -hybridized carbon atom in ITIC-based NFAs create substantial steric hindrance, which significantly impedes intermolecular interactions between the central cores and ultimately limits further improvements in device performance. Building upon this foundation, Zou *et al.* achieved another milestone in 2019 by developing Y6, a banana-shaped NFA with an A-DA'D-A structure.<sup>21</sup> Benefiting from superior intramolecular charge-transfer (ICT) effects and favorable 3D molecular packing of these Y-series acceptors, combined with synergistic optimization of molecular structure and device engineering, the PCE of Y-type materials has now surpassed 20%, with an impressive  $J_{SC}$  over 28 mA cm<sup>-2</sup> and an FF of 0.8. However, the  $V_{OC}$  remains relatively modest.<sup>22-24</sup> The study

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demonstrates that replacing the benzothiadiazole (BT) unit in the central core with quinoxaline (Qx) structures and their derivatives can enhance the quinoidal resonance effect, promote intermolecular  $\pi$ - $\pi$  stacking, improve charge transport properties, and reduce reorganization energy, thereby increasing both  $J_{SC}$  and FF.<sup>17,25</sup> Furthermore, extending the Qx structure into two dimensions (2D) can strengthen molecular packing and enhance material crystallinity. As  $\pi$ -conjugated systems, imide derivatives exhibit excellent planarity, rigidity, and thermal stability, making them a class of organic optoelectronic materials with unique photophysical and chemical properties.<sup>15,26</sup> Based on core unit regulation, which plays a decisive role in the ICT effect, adjusting molecular orbital energy levels and modifying molecular packing, shamrock-shaped NFAs were developed. In our previous work, two shamrock-shaped NFAs, AQI2 and AQI4 were developed by

incorporating acenaphtho[1,2-*b*]quinoxaline imide (AQI) as a bulky A'-unit to replace the BT unit in Y6.<sup>27-29</sup> It has been proven that NFAs containing the AQI unit exhibit enhanced luminescence, reduced Stokes shift, and lower energy loss. The molecular design strategy of these shamrock-shaped NFAs unveils a promising pathway toward high-efficiency OSCs with superior  $V_{OC}$ . Furthermore, this category of acceptors warrants in-depth investigation in combination with other optimization approaches to elucidate the structure-property correlations.

Precise structural modulation of AQI-based materials and in-depth investigation of their structure-property relationships are of paramount importance for developing high-performance photovoltaic materials. End-group modification can effectively modulate materials properties such as molecular energy levels, intermolecular interactions and molecular packing behavior. Remarkably, halogen atoms particularly fluorine (F) and

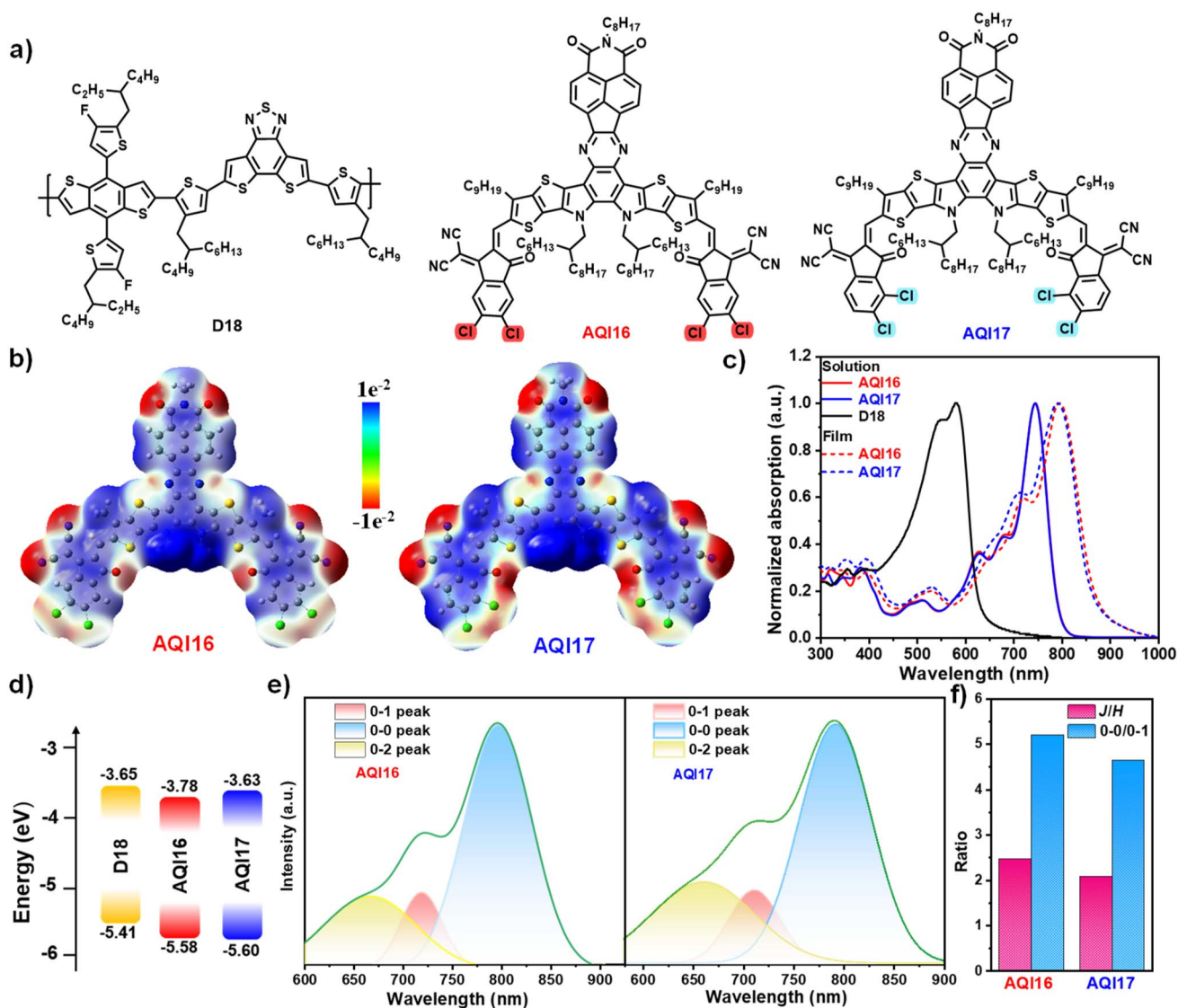


Fig. 1 (a) Molecular structures of D18, AQI16, and AQI17. (b) Electrostatic surface potential distribution of AQI16 and AQI17. (c) UV-Vis absorption spectra of D18, AQI16 and AQI17. (d) Energy levels of D18, AQI16, and AQI17. (e) Energy alignment diagram of AQI16 and AQI17. (f) The statistic  $J/H$  and 0-0/0-1 intensity ratios.



chlorine (Cl) constitute the predominant choice in molecular design.<sup>30–40</sup> Notably, Cl substitution demonstrates distinctive advantages over its F counterpart: (1) it is more chemically reactive and cost-effective, (2) it offers superior polarizability (Cl:  $2.18 \text{ \AA}^3$  vs. F:  $0.56 \text{ \AA}^3$ ) enabling dynamic induction effects that boost intramolecular charge separation efficiency, (3) it has balanced electronegativity that prevents excessive open-circuit voltage loss, and (4) it strengthens intramolecular charge transfer effects inducing bathochromic shifts in absorption spectra with concomitant increases in the extinction coefficient.<sup>41–44</sup> In addition, the positional arrangement of Cl substituents plays a critical role in governing material performance.<sup>26,45–51</sup> Hence, the structural evolution not only fine-tunes optoelectronic properties but also opens new avenues for optimizing charge transport and morphological stability in high-performance OSCs.

To sum up, further optimization of AQI-type NFAs through end-group engineering has been achieved to realize enhanced photovoltaic performance. We successfully synthesized two novel NFAs, AQI16 and AQI17, with two isomeric units 2-(4,5-dichloro-3-oxo-2,3-dihydro-1*H*-inden-1-ylidene)malononitrile (IC-*o*2Cl) and 2-(5,6-dichloro-3-oxo-2,3-dihydro-1*H*-inden-1-ylidene)malononitrile (IC-*p*2Cl). Studies revealed that the variation in the substitution position of chlorine atoms significantly influenced the photophysical properties and photovoltaic performance of the AQI-based acceptor. Compared to AQI16, AQI17 exhibited a higher lowest unoccupied molecular orbital (LUMO) energy level, which, when paired with the donor material D18, resulted in a high  $V_{OC}$  of 0.975 V. On the other

hand, AQI16 demonstrated stronger  $\pi$ - $\pi$  stacking in the aggregated state, leading to a broader absorption range and superior crystallinity. The device based on D18:AQI16 achieved a PCE of 17.9%, with a  $J_{SC}$  of  $25.2 \text{ mA cm}^{-2}$  and an FF of 74.4%.

## 2. Results and discussion

The molecular structures of D18, AQI16 and AQI17 are displayed in Fig. 1a, and the elaborated synthetic pathways of AQI16 and AQI17 are shown in Scheme S1 in the ESI. The isomeric AQI16 and AQI17 share an identical central core but differ in the substitution positions of chlorine atoms on their terminal groups. To unravel the influence of chlorine substitution patterns on molecular properties, density functional theory (DFT) calculations were conducted at the B3LYP/6-31G(d) level. As shown in Fig. S1 AQI16 and AQI17 display overall dipole moments of 1.27 D and 2.39 D, respectively. The data confirm that both NFAs adopt planar configurations, conducive to robust  $\pi$ - $\pi$  stacking and high crystallinity. Fig. 2b maps the electrostatic surface potential (ESP) of AQI16 and AQI17, revealing distinct ESP redistributions driven by chlorine substitution. The terminal region of AQI16 exhibits a more positive ESP relative to AQI17.

Cyclic voltammetry (CV) measurements were conducted to evaluate the differences in photoelectron characteristics of the two acceptors. As depicted in Fig. 1b, the HOMO/LUMO levels of AQI16 and AQI17 are  $-5.58/-3.78 \text{ eV}$  and  $-5.60/-3.74 \text{ eV}$ , respectively. The higher LUMO energy of AQI17 contributes to enhanced  $V_{OC}$ . And the HOMO/LUMO levels of D18 are located at  $-5.41/-3.65 \text{ eV}$ . The energy offset between the donor and

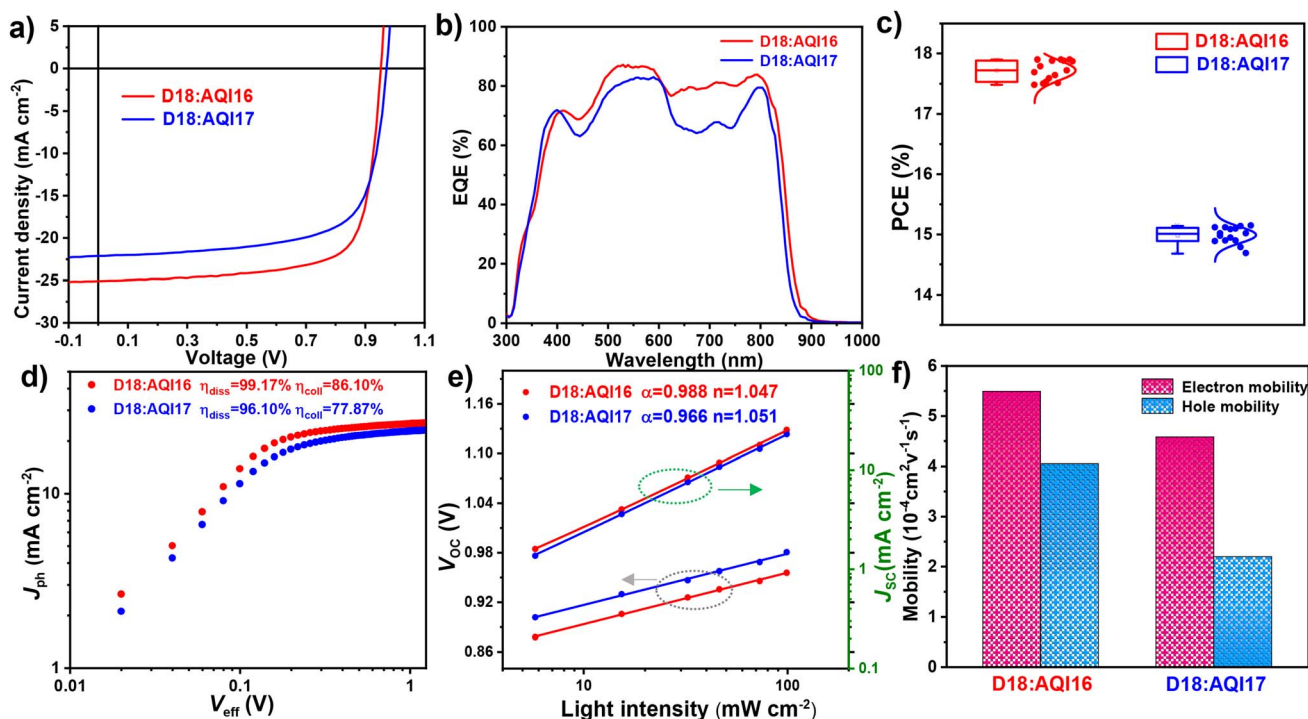


Fig. 2 (a)  $J$ - $V$  characteristics. (b) EQE spectra. (c) The average PCE devices. (d)  $J_{ph}$  versus  $V_{eff}$  plots. (e) Dependence of  $J_{sc}$  and  $V_{oc}$  on light intensity. (f) Electron and hole mobility.



Table 1 Summary of the photophysical and electrochemical properties of AQI16 and AQI17

Acceptor	$E_{\text{HOMO}}$ [eV]	$E_{\text{LUMO}}$ [eV]	$\lambda_{\text{max,sol}}$ [nm]	$\lambda_{\text{max, film}}$ [nm]	$\lambda_{\text{onset, film}}$ [nm]	$E_{\text{g}}^{\text{opt } a}$ [eV]
AQI16	-5.58	-3.78	745	796	887	1.40
AQI17	-5.60	-3.63	745	790	877	1.41

<sup>a</sup> Calculation:  $E_{\text{g}}^{\text{opt}} = 1240/\lambda_{\text{onset, film}}$  (eV).

acceptor enables efficient exciton dissociation. The UV-Vis absorption spectra of the NFAs, AQI16 and AQI17, are shown in Fig. 1c and the detailed parameters are displayed in Table 1. In solution, both AQI16 and AQI17 display identical maximum absorption peaks at 745 nm. From solution to the film state, enhanced  $\pi$ - $\pi$  interactions induce a pronounced redshift in the maximum absorption peak. The thin-film absorption peaks for AQI16 and AQI17 are located at 796 nm and 790 nm, respectively. In addition, the 0-0, 0-1, and 0-2 peaks are fitted as shown in Fig. 2e. The  $J/H$  aggregate ratios (determined by the 0-0/0-2 transition area ratio) of AQI16 and AQI17 are 2.45 and 2.07, respectively. The higher value for AQI16 indicates enhanced  $J$ -aggregation compared to AQI17. Besides, the 0-0/0-1 intensity ratios of AQI16 and AQI17 are 5.19 and 4.64, respectively, suggesting increased intermolecular interaction in AQI16.

To gain a deeper understanding of the photovoltaic performance of the two NFAs, OSC devices were fabricated using a conventional structure: ITO/PEDOT:PSS/active layer/PNDIT-F3N/Ag. Polymer D18 was selected as the electron donor in the active layer due to its suitable energy levels and complementary absorption properties. The weight ratio of D18 to the acceptor was 1:1.2, and the mixture was dissolved in chloroform. The specific parameters are listed in Table 2. From these results, it can be observed that the device based on AQI17 exhibits a higher  $V_{\text{OC}}$  of 0.975 eV compared to the device based on AQI16, which is primarily attributed to the higher LUMO energy level of AQI17. While, AQI16 exhibits superior photon utilization efficiency, leading to a higher photocurrent density when combined with D18 compared to AQI17. And the  $J_{\text{SC}}$  values of the D18:AQI16 and D18:AQI17 devices are measured at 25.2 and 21.70 mA cm<sup>-2</sup>, respectively. As a result, D18:AQI16 based devices achieve an excellent PCE of 17.90% with an FF of 74.40%, which is higher than that of the D18:AQI17 based device (PCE of 15.14% and FF of 69.95%). The external quantum efficiency (EQE) spectra of the D18:AQI16 and D18:AQI17 devices are illustrated in Fig. 2b. The calculated  $J_{\text{SC}}$  values are in good agreement with the  $J_{\text{SC}}$  values measured from  $J$ - $V$  curves depicted in Fig. 2a. Specifically, the D18:AQI16 device achieves a maximum EQE of 88% at 525 nm, significantly surpassing the 81% EQE at 590 nm for D18:AQI17. The enhanced  $J$ -aggregation characteristics and intensified intermolecular interactions of AQI16 within the 600-800 nm spectral region are predominantly driven by halogen substitution position-dependent modulation of aggregation behavior. These EQE results validate the trends observed in the  $J$ - $V$  measurements. Fig. 2c shows the efficiency histograms of both types of devices,

Table 2 Photovoltaic parameters of the optimized D18:AQI16 and D18:AQI17 based devices under AM 1.5G illumination, 100 mW cm<sup>-2</sup>

Active layer	$V_{\text{OC}}$ (V)	$J_{\text{SC}}/J_{\text{cal}}^a$ (mA cm <sup>-2</sup> )	FF (%)	PCE <sup>b</sup> (%)
D18:AQI16	0.955	25.20/23.94	74.40	17.90 (17.69 ± 0.20)
D18:AQI17	0.975	22.20/21.70	69.95	15.14 (14.91 ± 0.21)

<sup>a</sup> Integrated from EQE curves. <sup>b</sup> Average data from over 15 independent devices.

showing the differences in device efficiency and demonstrating the excellent reproducibility of the D18:AQI16 and D18:AQI17-based devices. Devices fabricated with the PM6 donor exhibited identical trends, with the corresponding data presented in Fig. S4 and Table S1.

To further explore the differences in  $J_{\text{SC}}$ ,  $V_{\text{OC}}$ , and PCE between D18:AQI16 and D18:AQI17 devices, we studied exciton dissociation, recombination, and charge carrier dynamics. As shown in Fig. 2d, the photocurrent density ( $J_{\text{ph}}$ ) was calculated as the difference between the current density under illumination ( $J_{\text{L}}$ ) and in the dark ( $J_{\text{D}}$ ):  $J_{\text{ph}} = J_{\text{L}} - J_{\text{D}}$ . By plotting  $J_{\text{ph}}$  against the effective voltage ( $V_{\text{eff}}$ ), defined as  $V_{\text{eff}} = V_0 - V_{\text{A}}$  (where  $V_0$  is the voltage at  $J_{\text{ph}} = 0$  and  $V_{\text{A}}$  is the applied external bias), we evaluated the charge generation and extraction efficiency. Assuming an external bias of 1.24 V, at which all excitons are dissociated and collected, the saturated  $J_{\text{ph}}$  was obtained. Using the equation  $\eta_{\text{diss}} = \frac{J_{\text{sc}}}{J_{\text{ph}}}$ , the exciton dissociation probabilities for the D18:AQI16 and D18:AQI17 devices were calculated to be 99.17% and 96.10%, respectively. Furthermore, under short-circuit and maximum power output conditions, the exciton dissociation efficiencies for the D18:AQI16 and D18:AQI17 devices were calculated to be 86.57% and 77.78%, respectively, using the equation  $\eta_{\text{coll}} = \frac{J_{\text{max power}}}{J_{\text{ph}}}$ . Therefore, D18:AQI16 outperforms D18:AQI17 in terms of photon capture capability and exciton dissociation/collection efficiency, which is one of the primary reasons for the higher  $J_{\text{SC}}$  observed in the D18:AQI16-based device.

To further explore the influence of end-group isomerization on device performance, dark  $J$ - $V$  characteristics were analyzed, and rectification ratios were computed under forward and reverse bias conditions. Within the voltage range of -0.5 to 0 V, the D18:AQI16 device exhibits a notably lower reverse current compared to D18:AQI17, signifying reduced leakage current, as shown in Fig. S5. The rectification ratios for D18:AQI16 and



D18:AQI17 are determined to be 29709 and 3616, respectively. The higher rectification ratio of D18:AQI16 underscores its enhanced charge selectivity and superior interfacial contact properties.

The charge recombination behavior in the two devices was studied by measuring the curves of  $J_{SC}$  and  $V_{OC}$  versus light intensity ( $P_{light}$ ). The relationship between  $J_{SC}$  and  $P_{light}$  can be evaluated using the formula  $J_{SC} \propto P_{light}^\alpha$ , where  $\alpha$  represents the exponential factor. A value of  $\alpha$  approaching 1 indicates weaker bimolecular recombination effects in the blended film. As shown in the Fig. 2e, the  $\alpha$  value for D18:AQI16 is 0.988, significantly closer to 1 compared to 0.966 for D18:AQI17. The relationship between  $V_{OC}$  and  $P_{light}$  follows the formula  $V_{OC} \propto \frac{nkT}{q} \ln P_{light}$ , where  $k$ ,  $T$ , and  $q$  are the Boltzmann constant, Kelvin temperature, and elementary charge, respectively. When the slope of the line approaches  $kT/q$ , trap-assisted recombination in the blended film is suppressed. The slopes for devices based on D18:AQI16 and D18:AQI17 are  $1.047 kT/q$  and  $1.051 kT/q$ , respectively, indicating weaker trap-assisted recombination in the D18:AQI16 based device. In addition, the charge carrier transport properties of the D18:AQI16 and D18:AQI17 devices were investigated using the space-charge-limited current (SCLC) method, as shown in Fig. S6. The corresponding parameters are displayed in Fig. 2f; the electron and hole mobilities of D18:AQI16 are  $5.49 \times 10^{-4}$  and  $4.15 \times 10^{-4}$   $\text{cm}^2 \text{V}^{-1} \text{s}^{-1}$ , respectively. In comparison the electron and hole mobilities of D18:AQI17 are  $4.58 \times 10^{-4}$  and  $2.19 \times 10^{-4}$   $\text{cm}^2 \text{V}^{-1} \text{s}^{-1}$ ; the  $\frac{\mu_h}{\mu_e}$  ratio of D18:AQI16 is closer to 1. Consequently, the more suppressed recombination behavior, improved charge transport and more balanced charge mobilities contribute to the higher  $J_{SC}$  and FF observed in the D18:AQI16 based OSCs.

The morphology of the active layer was subsequently analyzed using atomic force microscopy (AFM) as shown in Fig. 3a. Both films exhibit fine nanoscale morphology, which is highly favorable for efficient charge separation and transport. The root mean square (RMS) roughness of the D18:AQI16 blend film is 2.056 nm, significantly higher than that of the D18:AQI17 blend film (0.286 nm), which may be attributed to the superior crystallinity of AQI16. To further investigate the influence of halogen substitution positions on molecular stacking in BHJ films, the two-dimensional grazing-incidence wide-angle X-ray scattering (GIWAXS) patterns and corresponding line-cut intensity profiles for D18:AQI16 and D18:AQI17 are presented in Fig. 3b and c. Prominent (010) diffraction peaks are observed in the out-of-plane (OOP) direction for both blend films, indicating strong  $\pi$ - $\pi$  stacking in the face-on direction, which enhances charge transport and collection. In addition, the (010) diffraction peaks along the OOP direction for D18:AQI16 and D18:AQI17 are located at  $1.706$  and  $1.703 \text{ \AA}^{-1}$ , respectively. The corresponding  $\pi$ - $\pi$  stacking distances ( $d_{\pi-\pi}$ ) were calculated to be  $3.681$  and  $3.688 \text{ \AA}$ . The tighter molecular packing in D18:AQI16 enables more efficient charge transport. The crystallite coherence lengths (CCLs) were calculated using the Scherrer equation ( $\text{CCL} = \frac{2\pi}{\text{FWHM}}$ , where FWHM is the full

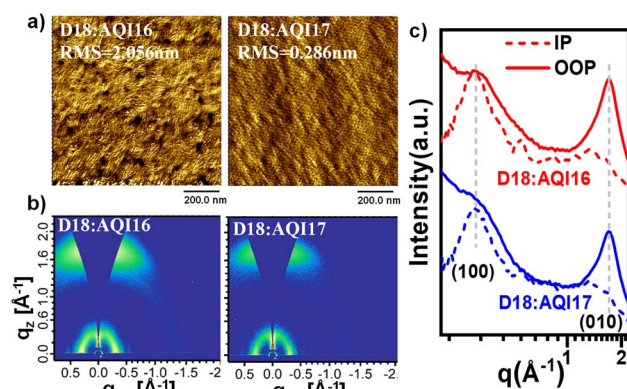


Fig. 3 (a) AFM phase image. (b) 2D GIWAXS patterns and (c) intensity profiles along the IP and OOP directions.

width at half maximum of the peak). For the (100) diffraction peaks in the in-plane (IP) direction, the CCL values of D18:AQI16 and D18:AQI17 are  $64.180$  and  $62.800 \text{ \AA}$ , respectively, indicating that D18:AQI16 exhibits superior phase separation. Additional detailed parameters are provided in Table S4.

To investigate the impact of end-group isomerization on carrier dynamics in OSCs, femtosecond transient absorption (fs-TA) spectroscopy was employed to probe exciton dissociation, transport, and recombination. A  $750 \text{ nm}$  pump pulse was selected to selectively excite the acceptor materials. Fig. S8 presents the TA colormaps of the D18:AQI16 and D18:AQI17 blend films, with the corresponding kinetic traces shown in Fig. 4a and b. In both blend films, ground-state bleaching (GSB) signals emerge in the  $650$ – $850 \text{ nm}$  range upon photoexcitation, followed by hole transfer from the acceptor to the donor (D18) within a  $1 \text{ ns}$  timescale. Concurrently, similar GSB signals observed in the  $540$ – $610 \text{ nm}$  region are attributed to the absorption of the polymer donor D18. Additionally, positive signals in the  $870$ – $1000 \text{ nm}$  range arise from the excited-state absorption (ESA) of the small-molecule acceptors. The exciton dissociation dynamics at  $594 \text{ nm}$  were analyzed by fitting the kinetic traces with a biexponential function to extract lifetimes  $\tau_1$  and  $\tau_2$ , as shown in Fig. 4c and Table S5. The  $\tau_1$  and  $\tau_2$  indicate ultrafast exciton dissociation at the interface and exciton diffusion in the domains, respectively. D18:AQI16 exhibited  $\tau_1 = 0.241 \text{ ps}$  and  $\tau_2 = 1.406 \text{ ps}$ , while D18:AQI17 showed  $\tau_1 = 0.472 \text{ ps}$  and  $\tau_2 = 1.607 \text{ ps}$ . The data reveal that the D18:AQI16 film presents faster exciton dissociation and diffusion, which is primarily attributed to its superior crystallization and ordered packing structure. Besides, longer charge transfer state lifetimes can be observed by the slower attenuation of the donor GSB peak in D18:AQI16 based films, which indicates less charge recombination, leading to the higher  $J_{SC}$  and FF in the corresponding device. Besides, Fig. 4d suggest that the rate of exciton recombination is faster in the D18:AQI17 mixed film. These results highlight the critical role of end-group isomerization in modulating exciton dynamics and interfacial charge separation efficiency in OSCs.



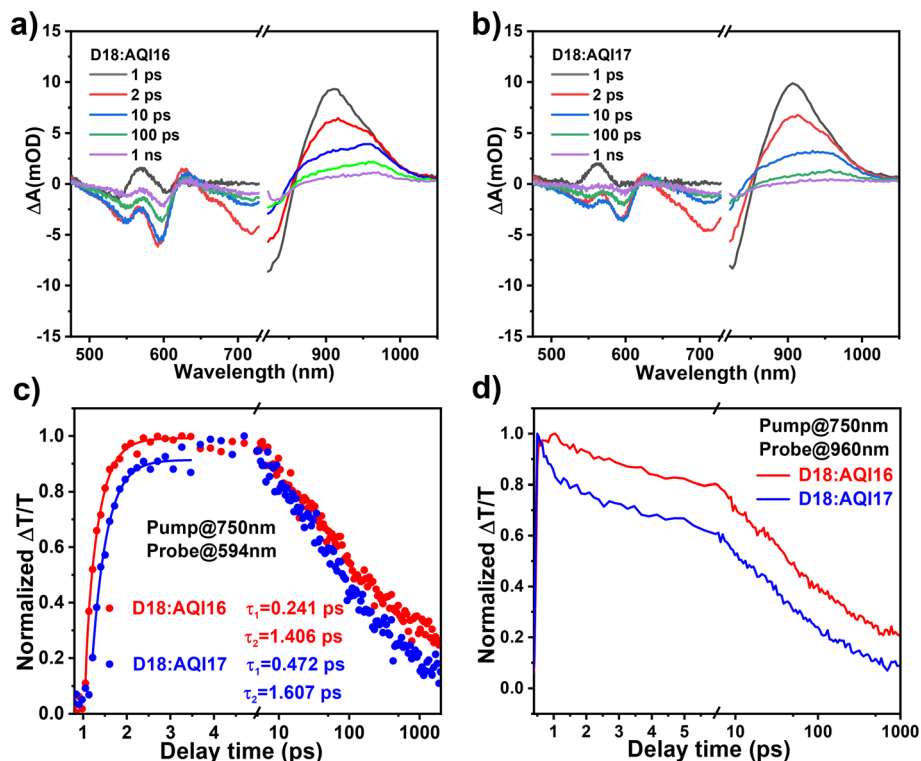


Fig. 4 (a) and (b) TA spectra recorded at different time delays. (c) Decay profiles probed. (d) Exciton dynamics probed.

### 3. Conclusion

In summary, we initially substituted the BT unit in the A'-unit of Y-series acceptor materials with an AQI unit and synthesized two novel small-molecule acceptors, AQI16 and AQI17, differing in the substitution positions of chlorine atoms on their end groups. The substitution position of chlorine atoms plays a critical role in modulating the  $\pi$ - $\pi$  stacking and crystallinity of the materials. When paired with the donor material D18, the D18:AQI16-based OSC achieved a superior PCE of 17.9% with a  $J_{SC}$  of 25.2 mA cm<sup>-2</sup> and an FF of 74.4%. While, the D18:AQI17-based device exhibited a relatively lower PCE of 15.14%. The superior photovoltaic performance of D18:AQI16 can be attributed to its enhanced exciton dissociation kinetics, improved charge transport properties, and favorable active layer morphology. These results underscore the critical role of end-group isomerization engineering in tailoring the optoelectronic and aggregation behaviors of NFAs, providing a strategic pathway for high-performance OSCs.

### Conflicts of interest

There are no conflicts to declare.

### Data availability

All relevant data are within the main text and the ESI of this article.

Materials, synthesis routes of acceptors, DFT calculations, electrochemical properties, cyclic voltammograms,  $J$ - $V$  curves based on the hole-only and electron-only devices, photovoltaic performance, transient absorption spectra, AFM images, detailed GIWAXS data. See DOI: <https://doi.org/10.1039/d5ta05058h>.

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