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# DMC matters: the role of dimethyl carbonate in SEI formation on oxygen functionalized anodes†

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Understanding the decomposition mechanisms of electrolyte components on functionalized graphite anodes is critical for optimizing solid electrolyte interphase (SEI) formation and enhancing Li-ion battery performance. This study employs first principles calculations and reactive force field (ReaxFF) simulations to examine the thermodynamic and kinetic feasibility of dimethyl carbonate (DMC) decomposition on four functionalized graphite surfaces (–CO, –COH, –CHO, and –COOH functional groups) during the early stages of battery operation. Our findings reveal that three distinct Hydrogen Atom Transfer (HAT) mechanisms play a key role in DMC decomposition. Among the studied functional groups, –COH exhibits the highest reactivity, followed by –COOH, enabling multiple favorable decomposition pathways. Besides the well-known SEI organic components such as CH<sub>3</sub>OLi and CH<sub>3</sub>OCOOli, we predict the formation of less-reported species, including CH<sub>4</sub>, CH<sub>3</sub>OC(OH)OLi, CH<sub>3</sub>OCHO, CH<sub>3</sub>OCH<sub>3</sub>, LiHCO<sub>3</sub>, and Li<sub>2</sub>C(OH)O<sub>2</sub>. Notably, we identify strong competition between DMC and ethylene carbonate/fluoroethylene carbonate decomposition, particularly on –COH and –COOH surfaces, which should profoundly impact SEI formation and evolution. ReaxFF simulations further reveal that inorganic species like LiHCO<sub>3</sub> and Li<sub>2</sub>C(OH)O<sub>2</sub> act as precursors for the formation of Li<sub>2</sub>CO<sub>3</sub>, a key inorganic SEI component. Moreover, organic decomposition products are found to detach and diffuse away from –COH, –CHO, and –COOH functionalized surfaces, supporting a bottom-up SEI formation mechanism. Conversely, –CO strongly binds organic species via Li<sup>+</sup> ions, potentially leading to surface poisoning over extended battery operation. These insights provide a comprehensive understanding of how functional groups influence DMC decomposition and general SEI evolution, offering valuable guidance for designing more stable and efficient anode materials for Li-ion batteries.

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## 1. Introduction

Lithium-ion batteries (LIBs) are one of the core technological components of today's society,<sup>1,2</sup> and much effort has been devoted to improving their cost, efficiency, and cycle life.<sup>3–5</sup> A critical success factor lies in the solid electrolyte interphase (SEI), which forms *in situ* on the negative electrode during the initial cycles of battery operation due to the decomposition of electrolyte components.<sup>6,7</sup> Once formed, the SEI blocks the electron transfer between the electrode and electrolyte while

allowing Li<sup>+</sup> ion transfer and preventing continuous electrolyte degradation, thereby ensuring the long-term electrochemical functionality of the battery.<sup>7–10</sup>

Upon initial charging, battery electrolytes undergo electrochemical reduction at potentials below ~1.0 V vs. Li/Li<sup>+</sup> on the negative electrode (anode).<sup>9,11</sup> The formation of the solid electrolyte interphase (SEI) has been described through both top-down and bottom-up mechanisms.<sup>12–15</sup> In the top-down approach, electrolyte reduction occurs in the bulk solution, generating SEI components that subsequently deposit onto the anode. Conversely, the bottom-up mechanism involves direct reduction of electrolyte molecules at the electrode surface, followed by diffusion of decomposition products away from the surface. Both mechanisms may operate during different stages of SEI growth: the bottom-up process is likely dominant during the initial stages of charging when anode surface reactions primarily contribute to SEI formation, whereas the top-down mechanism may become more relevant as the SEI layer develops.<sup>12,16–22</sup> The reduction of the electrolyte leads to the formation of inorganic species such as Li<sub>2</sub>CO<sub>3</sub> and LiF, along with organic components like lithium ethylene dicarbonate

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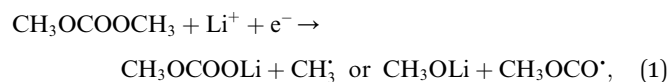
(LEDC), lithium methyl carbonate and alkoxides.<sup>7,9,10,23</sup> Several mechanistic pathways have been proposed for solvents like ethylene carbonate (EC), dimethyl carbonate (DMC), and additives such as fluoroethylene carbonate (FEC) and vinylene carbonate (VC), including one-electron and two-electron reduction mechanisms, often followed by radical recombination or further reduction steps.<sup>10,18,24–28</sup> In the one-electron reduction pathway, a single Li<sup>+</sup> ion from the electrolyte salt (e.g., lithium hexafluorophosphate (LiPF<sub>6</sub>)) participates, primarily contributing to the formation of organic SEI components. When the electron transfer is fast, a two-electron reduction process can occur yielding a CO<sub>3</sub><sup>2-</sup> (from EC or DMC) or simultaneously producing two CH<sub>3</sub>O<sup>-</sup> (from DMC) which can concurrently react with two Li<sup>+</sup> ions to form Li<sub>2</sub>CO<sub>3</sub> or two CH<sub>3</sub>OLi molecules.<sup>18,27,28</sup> Much of the SEI forms during the initial charging, though electrolyte decompositions continue to take place until the SEI is fully developed. These reactions result in a nanometer-thick, passivating SEI layer.<sup>9,10</sup>

In a typical LIB with a graphite anode, the electrolyte phase contains EC and a linear carbonate (or a mixture of linear carbonates), as well as lithium salts such as LiPF<sub>6</sub>. DMC has been the most commonly used linear carbonate in this regard.<sup>10,13,19,20,29–39</sup> DMC is reported to be the most prominent member of the Li<sup>+</sup> solvation shell after EC.<sup>20,37–39</sup> Furthermore, the presence of DMC in the electrolyte reportedly leads to higher ionic conductivity compared to other linear carbonates.<sup>36</sup> These favorable properties have established DMC as the most widely used linear carbonate in the LIB electrolyte. EC and DMC are generally present in the same volume ratio (vol%: 50 : 50), while LiPF<sub>6</sub> is dissolved at a concentration of 1–1.2 M.<sup>10,20,29–31,37,40</sup> The graphite surface is naturally enriched with oxygen-containing functional groups (FGs),<sup>2,4,6,8,9,41,42</sup> but their specific abundance can be fine-tuned through various modification techniques.<sup>9,41,42</sup> Typical SEI characterization involves *ex situ* conditions due to the challenges associated with probing SEI initiation *in operando* through standard experimental techniques.<sup>8,10,29,43</sup> Yet, such *ex situ* experiments have established that a fully formed SEI comprises two layers: one adjacent to the anode and composed of inorganic products (e.g., Li<sub>2</sub>CO<sub>3</sub>, Li<sub>2</sub>O, LiF), and a porous upper layer containing organic molecules (such as CH<sub>3</sub>OLi, CH<sub>3</sub>OCOOLi).<sup>7,9,10,23</sup> However, the mechanisms underlying the initiation and evolution of the SEI continue to be a hotly debated topic and could arguably be considered as “the most important, but least understood”<sup>6,8,12</sup> feature of LIBs due to the complexity of the SEI formation reactions.

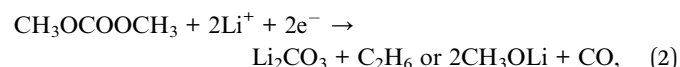
The formation of SEI components is initiated by the reduction of electrolyte species at the anode surface.<sup>9,12,16–22,32,39,42</sup> In addition to surface-driven processes, outer-sphere electron transfer reactions are also important, especially for species weakly interacting with the anode surface. Despite extensive research, the reduction mechanisms of electrolyte species at the graphite anode interface remain a subject of fundamental interest, as these processes both govern the initial formation and contribute to the long-term stability of the SEI. In this quest, considerable effort has been put into studying electrolyte decomposition, SEI growth and composition using atomistic

models.<sup>8,9,13,14,16–18,20,24,32,44–46</sup> For instance, classical molecular dynamics (CMD) simulations have offered insights into the first stages of SEI formation, suggesting that gases such as ethane (C<sub>2</sub>H<sub>6</sub>), carbon dioxide (CO<sub>2</sub>), carbon monoxide (CO), and methane (CH<sub>4</sub>) are spontaneously produced during the first few picoseconds.<sup>9,13,14</sup> These gas molecules could generate cracks in the SEI, leading to continuous electrolyte decomposition during the battery life. The adsorption and reduction of LiPF<sub>6</sub>, EC and DMC at the graphite surface have also been explored through *ab initio* molecular dynamics (AIMD). While EC has been established as the dominant contributor to SEI formation, DMC is postulated to be a significant source of several common SEI products, including Li<sub>2</sub>CO<sub>3</sub>, CH<sub>3</sub>OCOOLi, CH<sub>3</sub>OLi, and gas molecules like CO and CH<sub>4</sub>.<sup>9,10,13,19,26,32,44</sup>

For DMC, two types of reduction mechanisms, namely the one-electron pathway:



and the two-electron pathway:



have been proposed in the literature.<sup>10,26–28</sup> EC follows analogous pathways, and CMD simulations have indicated that the preferred mechanism depends on the FGs present on the graphite surface.<sup>8</sup> Intan *et al.*<sup>24</sup> analyzed the kinetics of EC and fluoroethylene carbonate (FEC) decomposition on ketonic (–CO), hydroxyl (–COH), carbonyl (–CHO) and carboxyl (–COOH) functionalized graphite using AIMD and density functional theory (DFT) optimization in vacuum. Their study highlighted the significant influence of graphite surface FGs on reaction pathways and the relative abundance of products. However, little is known about the preferred reaction pathways and spontaneity of the DMC reduction reactions, as well as the possible side products upon graphite functionalization with common functional groups. Moreover, a comprehensive analysis of the kinetics and thermodynamics of the DMC reduction process on the graphite anode and its complementarity to EC decomposition on SEI formation is yet to be performed.

This article aims to fill that gap by combining AIMD, DFT and the Climbing Image Nudged Elastic Band (CI-NEB) method to study the thermodynamics and kinetics of DMC decomposition in the presence of –CO, –COH, –CHO and –COOH functionalized graphite in vacuum. We focus on isolating the early-stage decomposition chemistry of DMC during SEI formation. To further examine the stability of the predicted products in the complexity of the electrolyte medium, implicit solvent DFT calculations and CMD simulations with a reactive force field were performed, offering the first complete picture of how the decomposition of DMC in the presence of common graphite surface functionalizations can fundamentally define the nature and evolution of the SEI.

This paper is organized as follows: first, we provide a detailed discussion of the computational methodology employed in this



study. Next, we present the thermodynamic analysis of DMC decomposition reactions on various FGs and examine the thermodynamics of DMC decomposition product formation, followed by a kinetic barrier analysis of the thermodynamically favorable reactions. We then compare the thermodynamic and kinetic spontaneity of the decomposition processes to identify the most reactive FGs and their corresponding DMC decomposition pathways. Finally, we comprehensively analyze the long-term stability of the DMC decomposition products in an explicit solvent environment.

## 2. Methods

### 2.1 Model system

The graphite anode was described by four layers of ABAB-stacked graphite with four facets oriented along the  $z$ -axis. The (11 $\bar{2}$ 0) plane, representing the graphite armchair edge, was selected for surface functionalization in all calculations (Fig. 1). This specific edge plane was chosen due to its high stability and spontaneous saturation of edge dangling bonds by oxygen-containing FGs.<sup>24,47</sup> A significant fraction of the SEI forms before the initiation of Li<sup>+</sup> intercalation within graphite during the initial charging cycle.<sup>6,7,9,12,48</sup> Consequently, we considered a model graphite anode without intercalated Li<sup>+</sup> ions. Four different types of oxygen-containing FGs (–CO, –COH, –CHO and –COOH) were used to functionalize the graphite edge (right panel in Fig. 1). H atoms are frequently found around these FGs, partially passivating graphite edges.<sup>41</sup> Thus, the FGs were only added to every alternate edge C atom (48 in total). Throughout this study, we use O<sub>C</sub> and O<sub>E</sub> to denote the carbonyl oxygen and the two ether oxygens in a DMC molecule, respectively, and C<sub>C</sub> to refer to the carbonyl carbon (see the inset in Fig. 1b).

The lattice parameters along the  $x$  and  $y$  directions of the simulation cell are 13.34 Å and 12.81 Å, respectively. To prevent

spurious interactions between periodic images, a 15 Å vacuum slab was introduced along the  $z$  direction. This specific thickness was established through careful convergence testing of the ground-state energy for the model systems (Fig. S1†).

We introduced one and two Li<sup>+</sup> ions in each simulation cell to investigate the decomposition of DMC *via* the one and two electron pathways (eqn (1) and (2)), respectively. In lithium-ion batteries, DMC constitutes a substantial fraction of the electrolyte components that are densely packed in the interfacial layer adjacent to the graphite anode surface.<sup>20,39</sup> During the charging process, solvated Li<sup>+</sup> ions migrate toward the anode and become partially desolvated near the electrode–electrolyte interface. DMC remains a key constituent in the partially formed solvation shell surrounding the Li<sup>+</sup> ions in this region.<sup>20,39</sup> Consequently, DMC molecules are expected to interact with the graphite anode *via* adsorption, often mediated by one or more Li<sup>+</sup> ions, and undergo subsequent electrochemical reduction to form SEI components and other decomposition by-products. Importantly, we did not consider the presence of EC and LiPF<sub>6</sub> during AIMD and DFT optimization calculations, as the primary objective of this work is isolating and characterizing the role of the graphite surface FGs in DMC decomposition and SEI product formation at the initial stage of battery charging. The inclusion of additional solvent and salt species would substantially increase the computational cost. However, potential additional insights into the decomposition mechanisms of DMC at the anode surface are uncertain, and remain beyond the scope of this work. Instead, after identifying the most favorable DMC decomposition products on each functionalized graphite surface, we investigated their stability in explicit solvent environments using reactive ReaxFF-CMD simulations.

### 2.2 Computational procedure

To precisely determine the role of each FG on the DMC decomposition reaction routes, the most likely products and the associated kinetic barrier, we followed the steps highlighted in Fig. 2 and described in detail below:

Step 1 – AIMD simulations of adsorbed DMC: it is highly unlikely that a graphite – adsorbed DMC molecule spontaneously dissociates within a computationally affordable time span. Therefore, we initiated our AIMD simulations from the optimized adsorbed configurations of DMC and Li<sup>+</sup> on graphite in which the C–O bonds were pre-dissociated, following reaction (1) or (2). For each FG and reaction pathway, 10 to 15 different initial configurations were simulated for 5 ps at 450 K. AIMD simulations were conducted at an elevated temperature of 450 K solely to accelerate the bond cleavage and desorption processes, thereby facilitating the observation of relevant DMC decomposition products for subsequent analysis. The DFT calculations at 0 K will then help us discriminate which of the generated pathways are more likely to be thermodynamically and kinetically viable at room temperature (assuming that the entropic contribution to the reaction free energy,  $-T\Delta S$ , is negative). The most favorable reaction mechanisms were identified from the configurations corresponding to the lowest

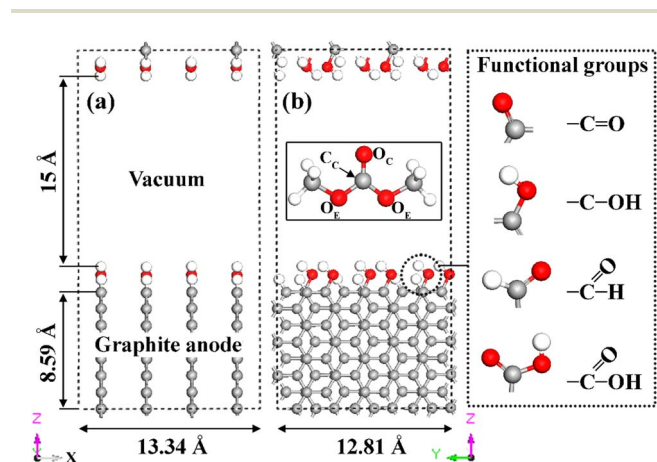


Fig. 1 Structure of the graphite anode models with –COH graphite functionalization from two perspectives: (a)  $xz$  plane, and (b)  $yz$  plane. The inset in (b) shows a DMC molecule with three types of atoms labeled: the carbonyl oxygen (O<sub>C</sub>), the ether oxygens (O<sub>E</sub>), and the carbonyl carbon (C<sub>C</sub>). Analogous systems to those in (a) and (b) were constructed for each analyzed functionalization (listed in the panel on the right). Red: oxygen, white: hydrogen, gray: carbon.



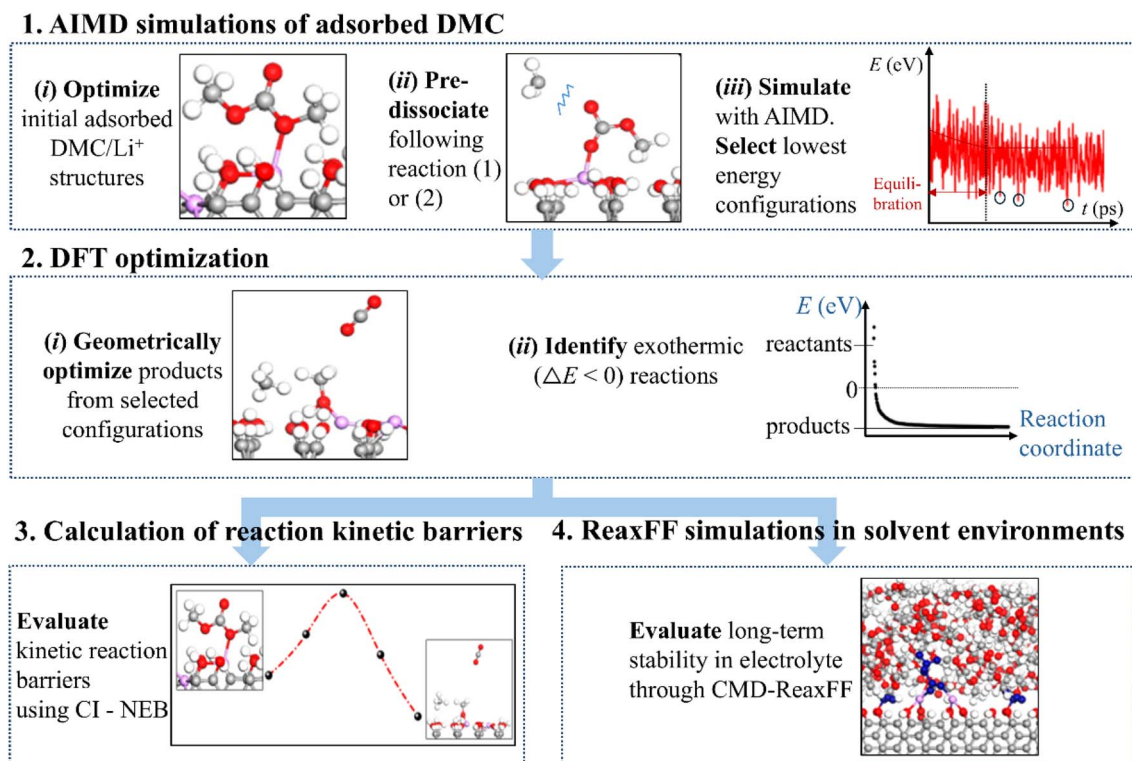


Fig. 2 Computational procedure followed in this work. In the schematic snapshots, red, white, gray, and purple spheres represent oxygen (O), hydrogen (H), carbon (C), and lithium (Li) atoms, respectively.

points in the total energy distribution across all the AIMD simulations after energy stabilization (step 1 in Fig. 2).

Step 2 – DFT optimization: the product configurations obtained from the favorable reaction routes were geometrically optimized. From the energy minimization calculations, products formed *via* an energetically favorable exothermic reaction were identified for subsequent analysis (step 2 in Fig. 2).

Step 3 – calculation of reaction kinetic barriers: the spontaneity of a reaction was further evaluated by calculating the activation energy barrier of the reaction using the CI-NEB<sup>49,50</sup> method. This approach identified the kinetically favorable DMC reduction reactions among the thermodynamically favorable ones for each edge-functionalized graphite anode system (step 3 in Fig. 2).

Step 4 – ReaxFF simulations in solvent environments: to assess the long-term stability of the thermodynamically favorable products identified in step 2, CMD simulations were carried out for 50 ps in an explicit solvent environment using the ReaxFF<sup>51,52</sup> (step 4 in Fig. 2). Furthermore, the formation of new compounds resulting from bond cleavage and/or formation through interactions between the thermodynamically stable DMC decomposition products and explicit electrolyte molecules was thoroughly analyzed.

## 2.3 Theoretical methods

**2.3.1 DFT calculations.** We used the projector augmented wave (PAW)<sup>53</sup> and the Perdew–Burke–Ernzerhof (PBE) exchange correlation functional<sup>54</sup> within the generalized gradient

approximation (GGA), as implemented in the Vienna *ab initio* simulation package (VASP).<sup>55–57</sup> Energy and force convergence thresholds of  $10^{-5}$  eV per atom and  $0.03$  eV  $\text{\AA}^{-1}$ , respectively, were set during structural optimization. A kinetic energy cut-off of 550 eV with a  $\Gamma$  centered  $k$ -point mesh of  $(3 \times 3 \times 1)$  was considered for Brillouin zone integration (convergence tests can be found in Fig. S2 and S3†). To consider the effect of dispersive forces, the DFT-D3 method with the Becke–Johnson damping function<sup>58</sup> was used. All atomic relaxations were performed by fixing the four central graphene layers in the graphite slab, while allowing the remaining atoms to relax. Bader charge analysis<sup>59</sup> was conducted to compute the charge transfer within different parts of the adsorbed configurations.

**2.3.2 AIMD simulations.** All AIMD runs were carried out at the  $\Gamma$  point in the canonical ensemble ( $NVT$ ), with a timestep of 1 fs. The Nosé–Hoover thermostat<sup>60,61</sup> was deployed to maintain an average temperature ( $T$ ) of 450 K to accelerate spontaneous desorption and bond breaking processes.

**2.3.3 CI-NEB calculations.** Each CI-NEB<sup>49,50</sup> run involved at least five to six intermediate images between the reactants and products. Additional refinement of intermediate states involving bond-breaking and atom transfer processes was carried out for selected cases by using the converged intermediate images from the preceding CI-NEB calculations as starting configurations. Although activation barrier calculations at the PBE-GGA level of theory are known to underestimate barrier heights for gas-phase reactions, this discrepancy is significantly reduced for surface reactions.<sup>62</sup> Moreover, the PBE-GGA method



has demonstrated high reliability in capturing the overall trends in activation barrier variations, and its application to similar surface reaction systems is well-established in the literature.<sup>24,33,63–69</sup> Employing a higher-level computational method such as HSE06 could yield more accurate estimates of activation barriers without significantly altering the observed trends. However, due to the high computational cost associated with applying such methods to a wide range of complex reaction pathways, this aspect was not addressed in the current study.

**2.3.4 ReaxFF-CMD simulations.** The ReaxFF parameters were derived from existing C/H/O/S/Li data by Castro-Marciano *et al.*,<sup>70</sup> whereas Bedrov *et al.*<sup>45</sup> further refined them to match the quantum chemistry results from Islam *et al.*,<sup>51</sup> focusing on bond dissociation and lithium-binding energies in electrolyte molecules. Moreover, the works by Bedrov *et al.*<sup>45</sup> and Islam *et al.*<sup>51</sup> validated the application of the ReaxFF force field for the prediction of the interactions between the elements present in our model systems. The ReaxFF reactive force field employed in this study has been extensively validated in previous works for its ability to accurately capture bond-breaking and bond-forming processes during electrolyte decomposition on electrode surfaces and throughout SEI formation and evolution.<sup>10,71–73</sup>

All simulations were carried out using the LAMMPS package.<sup>74</sup> Initial system configurations were generated with Packmol<sup>75</sup> based on DFT-optimized structures replicated into a  $2 \times 2 \times 1$  supercell, with the vacuum spaces filled with DMC molecules to achieve an equilibrium density of  $1.07 \text{ g cm}^{-3}$ . When a mixed explicit solvent is considered, the vacuum spaces were filled with EC and DMC in the same volume ratio along with  $1 \text{ M LiPF}_6$ . To prevent system drift, carbon atoms below the graphite subsurface were fixed. Simulations were run with a  $0.20 \text{ fs}$  timestep in the *NVT* ensemble at  $298 \text{ K}$ , with  $2 \text{ ps}$  of equilibration followed by  $50 \text{ ps}$  production runs. The same mixed solvent composition and simulation parameters were employed in additional ReaxFF-CMD simulations to examine the spatial distribution and prevalence of DMC molecules near various functionalized graphite anode surfaces prior to adsorption. In these simulations, the width of the electrolyte region varied between  $28 \text{ \AA}$  and  $30 \text{ \AA}$ , depending on the specific surface functional group. The considered temperature of  $298 \text{ K}$  for the ReaxFF-CMD simulations is most relevant for practical applications. While varying the ReaxFF-CMD simulation temperature could provide further insights into the temperature-dependent stability of DMC decomposition products, such analysis lies beyond the scope of this work. The graph theory-based reaction network integrator ReactNetGenerator software was used for post-processing trajectory analysis.<sup>76</sup>

## 3. Results and discussion

### 3.1 Binding of $\text{Li}^+$ and DMC on functionalized graphite

The adsorption energy,  $E_{\text{ads}}$ , of an atom or a molecule on the graphite anode surface is computed as:<sup>33,63</sup>

$$E_{\text{ads}} = E_{\text{Anode+M}} - E_{\text{Anode}} - E_{\text{M}}, \quad (3)$$

where  $E_{\text{Anode+M}}$ ,  $E_{\text{Anode}}$  and  $E_{\text{M}}$  are the ground state energies of the adsorbed configuration, graphite anode without the adsorbate, and isolated adsorbate atom or molecule, respectively. The values of  $E_{\text{M}}$  for a  $\text{Li}^+$  ion and a DMC molecule are determined by considering Li-metal in the *Im3m* space group and a single gas-phase DMC molecule, respectively.

First, we considered the adsorption of one and two isolated  $\text{Li}^+$  ions on functionalized graphite (Fig. 2 (step 1-left)), a necessary step for initiating DMC adsorption and subsequent decomposition on the graphite anode surface, *via* the one- and two-electron mechanisms in eqn (1) and (2). The  $E_{\text{ads}}$  values listed in Table 1 indicate that both single and double  $\text{Li}^+$  adsorptions are exothermic processes ( $E_{\text{ads}} < 0$ ) across all four FGs. Furthermore,  $\text{Li}^+$  ions exhibited two- or three-way coordination with oxygen atoms in the surface FGs (Fig. S4†). The strongest  $\text{Li}^+$  adsorption was observed on  $-\text{CO}$ , while the weakest occurred on  $-\text{COH}$ . In the latter case, structural relaxation resulted in near  $\text{Li}^+$  intercalation into the graphite bulk (see Fig. S4b and f†), in agreement with previous simulations predicting a low intercalation barrier through  $-\text{COH}$  FGs.<sup>29</sup>

The primary objective of this study is to investigate the reductive decomposition mechanisms of DMC on the graphite anode surface, with particular focus on the influence of surface functional groups and their role in initiating SEI formation during the early stages of battery operation. For reductive decomposition of weakly surface bound electrolyte molecules, the outer sphere electron transfer processes might be playing a crucial role. However, a detailed investigation of such mechanisms lies outside the scope of the present work and has been investigated elsewhere.<sup>23,25</sup>

DMC decomposition on the anode surface is expected to compete with other key electrolyte reduction processes, such as EC decomposition. The likelihood of DMC participating in surface reactions depends on its proximity to the anode surface and the availability of reactive sites. In previous studies, for a mixed EC, DMC, and  $\text{LiPF}_6$  electrolyte, DMC was reported to occupy a major portion of the electrolyte layer closest to the anode surface in both unbiased and biased simulations.<sup>20,39</sup> As  $\text{Li}^+$  ions migrate toward the graphite anode and their solvation shells become progressively disrupted, DMC remains a dominant component in the partially desolvated coordination environment of  $\text{Li}^+$  near the electrode surface.<sup>20,39</sup>

Table 1 Adsorption energies of one and two  $\text{Li}^+$  ions on functionalized graphite surfaces

Functional group	Number of adsorbed $\text{Li}^+$ ions	Adsorption energy, $E_{\text{ads}}$ (eV)
-CO	1	-4.25
	2	-7.19
-COH	1	-0.64
	2	-1.34
-CHO	1	-2.49
	2	-4.34
-COOH	1	-1.99
	2	-3.41

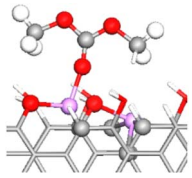


**Table 2** DMC adsorption energy, bond stretching upon adsorption, and snapshot of the most stable DMC adsorbed configurations on –CO and –COH functionalized graphite surfaces (red: O, white: H, gray: C, purple: Li). The  $O_E-C_C$  bond stretching percentage was calculated relative to its bond length in a gas-phase DMC molecule. The notation  $O_s-nLi-FG$ , where  $s = C$  or  $E$ , indicates the type of interacting DMC oxygen, the number ( $n$ ) of adsorbed  $Li^+$  ions, and the specific FGs involved

FG	DMC adsorbed configuration	$E_{ads}$ (eV)	$O_E-C_C$ bond lengths (Å) (% stretching)	$O_C-C_C$ bond length (Å) (% stretching)	Snapshot of the most stable configuration
–CO	$O_E-1Li-O$	–1.63	1.382 (3.13%) 1.382 (3.13%)	1.206 (–0.74%)	
	$O_C-1Li-O$	–2.38	1.319 (–1.57%) 1.323 (–1.27%)	1.234 (1.57%)	
	$O_E-2Li-O$	–1.20	1.387 (3.51%) 1.380 (2.98%)	1.206 (–0.74%)	
	$O_C-2Li-O$	–1.66	1.308 (–2.39%) 1.312 (–2.09%)	1.258 (3.54%)	
	$O_C/O_E-2Li-O$	–2.94	1.378 (2.84%) 1.311 (–2.16%)	1.232 (1.40%)	
	$O_E-1Li-OH$	–1.90	1.394 (4.03%) 1.346 (0.45%)	1.209 (–0.50%)	
–COH	$O_C-1Li-OH$	–1.61	1.327 (–0.97%) 1.320 (–1.49%)	1.231 (1.32%)	
	$O_E-2Li-OH$	–0.80	1.381 (3.05%) 1.353 (0.97%)	1.215 (0%)	



Table 2 (Contd.)

FG	DMC adsorbed configuration	$E_{\text{ads}}$ (eV)	$\text{O}_E\text{-C}_C$ bond lengths (Å) (% stretching)	$\text{O}_C\text{-C}_C$ bond length (Å) (% stretching)	Snapshot of the most stable configuration
	$\text{O}_C\text{-2Li-OH}$	-0.89	1.332 (-0.59%) 1.320 (-1.49%)	1.228 (1.07%)	

In the present work, ReaxFF-CMD simulations were also performed with a mixed electrolyte (EC : DMC = 1 : 1, 1 M  $\text{LiPF}_6$ ) to estimate the spatial distribution and prevalence of DMC molecules near various functionalized graphite anode surfaces prior to adsorption. The time-averaged number of DMC molecules, normalized by the anode surface area, found within 5 Å of the anode surface as determined from ReaxFF-CMD simulations of the electrolyte mixture is shown in Fig. S5.† Consistent with previous studies, DMC molecules were found to persist in significant quantities near the electrode–electrolyte interface. Accordingly, DMC is expected to contribute significantly to SEI formation through adsorption onto the graphite surface followed by reductive decomposition.

To this end, the adsorption of an isolated DMC molecule was investigated with and without the presence of  $\text{Li}^+$  on the anode surface. In the absence of  $\text{Li}^+$ , DMC adsorption on the functionalized surfaces is weak and primarily governed by van der Waals interactions (Table S1 and Fig. S6†). In contrast, the presence of one or two  $\text{Li}^+$  ions on the graphite surface strengthens the binding of DMC, as indicated by the negative  $E_{\text{ads}}$  values across all four types of functionalized graphite surfaces (see Table 2 for the  $-\text{CO}$  and  $-\text{COH}$  FGs, and Table S2† for the  $-\text{CHO}$  and  $-\text{COOH}$  FGs).

To simplify the discussion below, the notation  $\text{O}_s\text{-}n\text{Li-FG}$  is used to describe Li-mediated binding of DMC. Here,  $s = \text{C}$  or  $\text{E}$  indicates the type of interacting oxygen (see Fig. 1b),  $n$  is the number of  $\text{Li}^+$  ions present on the anode surface (1 or 2), and FG is the specific functional group. Moreover,  $\text{O}_C/\text{O}_E$  is used instead of  $\text{O}_s$  when two types of O atoms in the DMC molecule are simultaneously participating in the binding.

Tables 2 and S2† reveal that exothermicity increases with the fraction of O in the FG. For instance, the average  $|E_{\text{ads}}|$  is higher for DMC binding on  $-\text{CO}$  (50% oxygen) and  $-\text{COOH}$  (50% oxygen) than on  $-\text{CHO}$  and  $-\text{COH}$  (both 33% oxygen). DMC adsorption is especially promoted by the presence of  $-\text{COOH}$ , with  $-5.69 \text{ eV} \leq E_{\text{ads}} \leq -4.29 \text{ eV}$  (Table S2†). In contrast, the binding strength of DMC on  $-\text{COH}$  is relatively weak, ranging between  $-1.9 \text{ eV}$  ( $\text{O}_E\text{-1Li-OH}$ ) and  $-0.8 \text{ eV}$  ( $\text{O}_E\text{-2Li-OH}$ ). The large differences in binding strength may significantly influence the mechanism behind DMC decomposition: in a  $-\text{COH}$ -rich surface, top-down SEI formation (*i.e.*, decomposition

occurs in the bulk electrolyte and products subsequently deposit onto the anode surface) may play a greater role than in a  $-\text{COOH}$ -rich surface, in which the bottom-up mechanism (where surface binding precedes electrolyte decomposition) may be dominant.

### 3.2 Thermodynamics of DMC decomposition on functionalized graphite

We first followed the procedure described in Section 2.2 (Fig. 2, step 1 (mid and right panel)). For each type of functionalized graphite, AIMD simulations began with pre-dissociated DMC molecules, where the ester linkages had already been cleaved according to the reactions in eqn (1) and (2) (examples of these configurations are presented in Fig. S7 and S8†). During the high-temperature (450 K) AIMD simulations, the dissociated DMC fragments interacted with each other and with the graphite surface, yielding several low-energy products that included common organic and inorganic SEI components, as well as several uncommon species as detailed in Fig. S9.† Importantly, the presence of two  $\text{Li}^+$  ions can facilitate both one- and two-electron mechanisms in eqn (1) and (2), respectively, whereas a single  $\text{Li}^+$  ion can only lead to the one-electron mechanism.

Each resulting decomposition product was geometrically optimized using DFT (Fig. 2, step 2). The thermodynamic favorability of each decomposition process in a vacuum was assessed by comparing the ground-state energy of the DMC-adsorbed configuration to that of its corresponding decomposition products. The energy difference,  $\Delta E$ , indicates whether a reaction is exothermic ( $\Delta E < 0$ ), and thus expected to occur spontaneously, or endothermic ( $\Delta E > 0$ ), with the likelihood of occurrence approximated  $\sim e^{-\Delta E/kT}$ , where  $k$  is the Boltzmann constant and  $T$  is the temperature.<sup>77,78</sup>

The energy difference between reactants and products was also estimated considering an implicit solvent medium. We considered two different implicit solvent environments, characterized by the dielectric constant,  $\epsilon$ , of a solvent medium with an equimolar mixture of EC and DMC ( $\epsilon\text{-mixed} = 20.5$ )<sup>79</sup> and pure DMC – only ( $\epsilon\text{-DMC} = 3.1$ ).<sup>31</sup> The predicted values for  $\Delta E$ ,  $\Delta E_{\epsilon\text{-mixed}}$ , and  $\Delta E_{\epsilon\text{-DMC}}$  are represented in Fig. 3, while Fig. 4



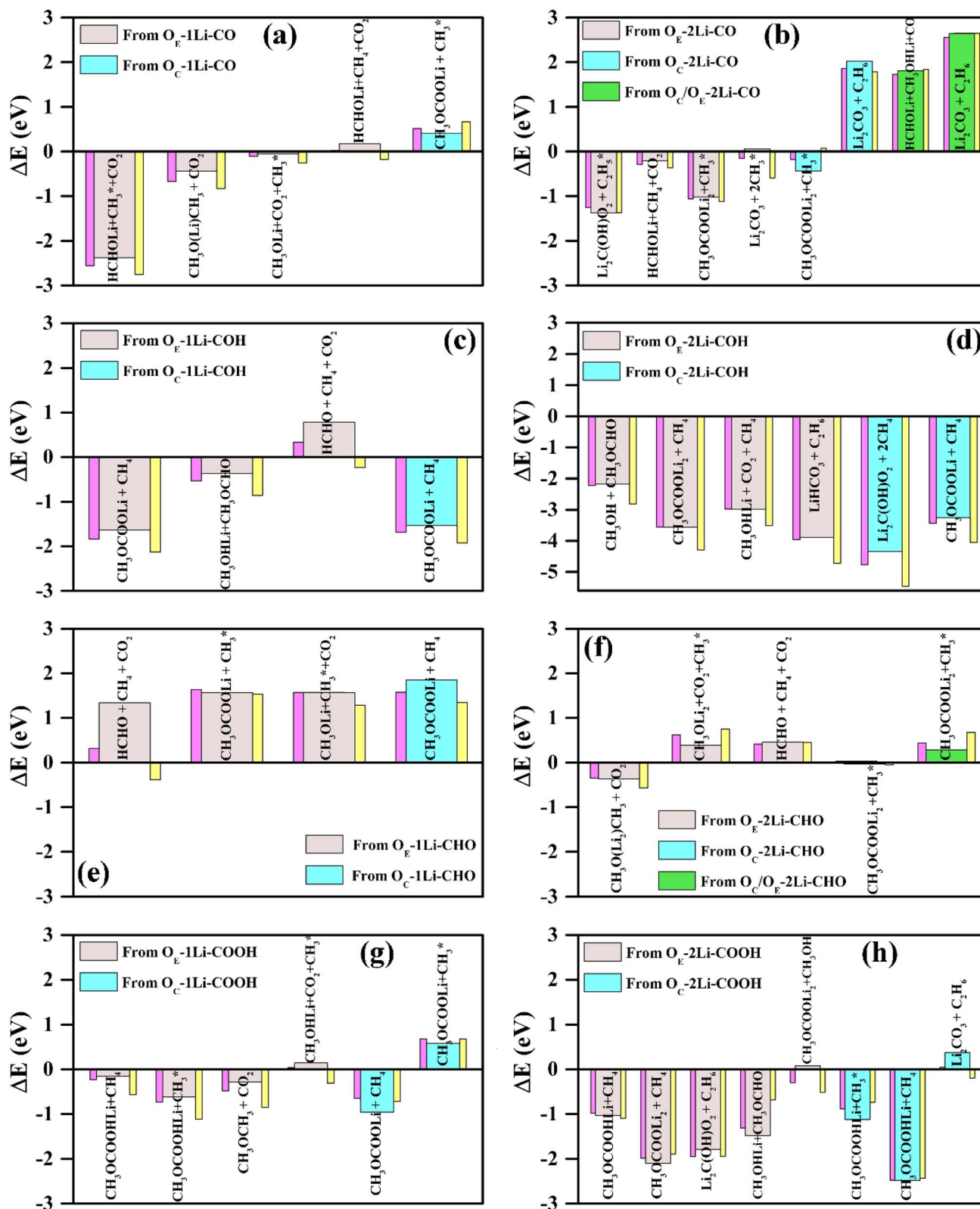


Fig. 3 Representation of  $\Delta E$  (vacuum),  $\Delta E_{\epsilon\text{-mixed}}$  (effective dielectric medium of 1 : 1 EC/DMC), and  $\Delta E_{\epsilon\text{-DMC}}$  (effective dielectric medium with pure DMC) for DMC decomposition reactions on (a and b) –CO, (c and d) –COH, (e and f) –CHO and (g and h) –COOH FGs with one and two  $\text{Li}^+$  ions. The wide bars containing the product names represent  $\Delta E$  in vacuum. The narrow pink and yellow bars represent the  $\Delta E_{\epsilon\text{-DMC}}$  and  $\Delta E_{\epsilon\text{-mixed}}$  values, respectively. In the product formulae, an adsorbed configuration of molecule X is denoted as X\*.



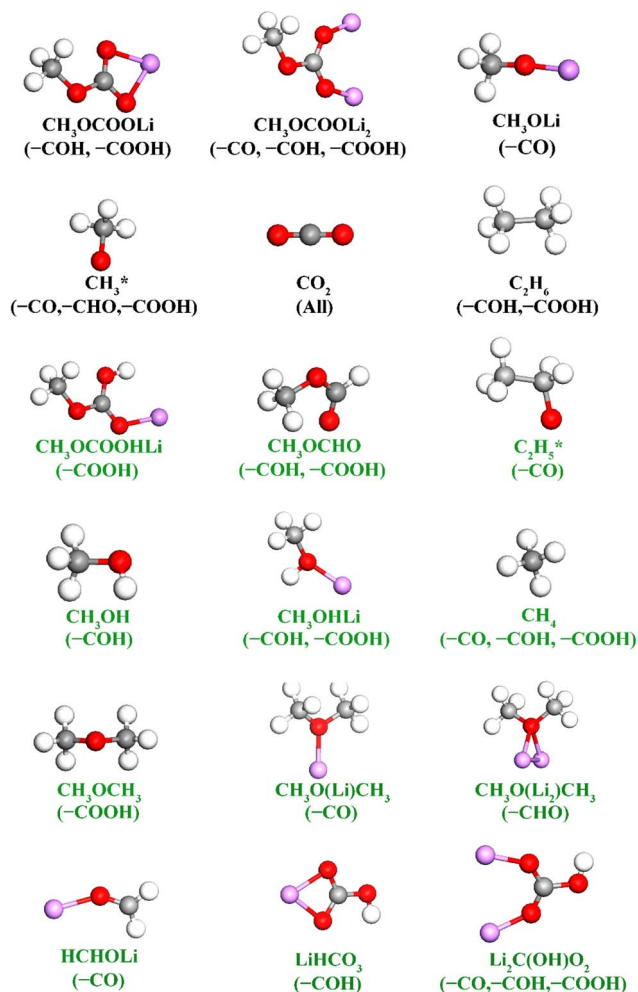


Fig. 4 Structure of the thermodynamically stable DMC decomposition products ( $\Delta E < 0$ ,  $\Delta E_{e\text{-mixed}} < 0$ , and  $\Delta E_{e\text{-DMC}} < 0$ ). The type of graphite surface functionalization on which these products were found is mentioned in parentheses. "All" indicates that the product was formed on all four types of functionalized graphite. Descriptions of previously unreported or rarely reported DMC decomposition products are highlighted in green. Adsorbed molecules are indicated with an asterisk (\*). (red: O, white: H, gray: C, purple: Li).

depicts the most likely thermodynamically favorable products (*i.e.*, those for which all  $\Delta E$ ,  $\Delta E_{e\text{-mixed}}$  and  $\Delta E_{e\text{-DMC}}$  are negative). The exo/endothermic nature of the formation of each of the DMC decomposed products, evaluated in a vacuum as well as in the implicit solvent models, across all four FGs is listed in Table S3.†

In all cases involving one  $\text{Li}^+$  ion ( $\text{O}_s\text{-1Li-FG}$ ), exothermic reactions observed in vacuum remained exothermic in implicit solvent environments (Fig. 3a, c and g). The degree of stabilization was greater for the mixed EC + DMC solvent compared to stand-alone DMC. For reactions involving two  $\text{Li}^+$  ions, the exothermic nature of the reactions was largely maintained, with two exceptions: the formation of  $\text{CH}_3\text{OCOOLi}_2 + \text{CH}_3^*$  (Fig. 3b and f), where the reaction became slightly endothermic by less than 0.07 eV.  $\text{CH}_3^*$  represents an adsorbed  $\text{CH}_3^*$ . Following standard notations, an adsorbed configuration of molecule X

will be denoted as  $\text{X}^*$ . In addition, a few reactions that were endothermic in vacuum became exothermic in the implicit solvent (in both the mixed and DMC media):  $\text{Li}_2\text{CO}_3 + 2\text{CH}_3^*$  (Fig. 3b) and  $\text{CH}_3\text{OCOOLi}_2 + \text{CH}_3\text{OH}$  (Fig. 3h). These reactions arise from the -CO and -COOH FGs, respectively, with differences between  $\Delta E$  and  $\Delta E_{e\text{-mixed}}/\Delta E_{e\text{-DMC}}$  of approximately 0.6 eV. While this difference is significant, it is worth noting that  $\Delta E$  in vacuum was less than 0.1 eV, indicating only mild endothermicity. Among all the reactions inspected, the change of the reaction type (from endothermic to exothermic) by a considerable amount of  $\Delta E$  ( $>0.15$  eV) was consistently observed with both types of implicit solvents in only those two cases. This indicates that vacuum calculations can, in general, predict the correct thermodynamic directionality for a large portion of the reaction landscape. Therefore, vacuum estimates can be considered a reasonable predictor of the thermodynamic driving force for DMC decomposition reactions in a solvent environment. Nonetheless, for slightly endothermic reactions in the vacuum, the implicit solvent can lead to significant differences in  $\Delta E$ .

**3.2.1 Organic products.** Several organic molecules experimentally found in the SEI were predicted to be thermodynamically favorable products of DMC decomposition on functionalized graphite (see the summary in Fig. 4, black-labeled molecules). Simulations with one and two  $\text{Li}^+$  ions predicted the favorable formation of lithium methyl carbonate ( $\text{CH}_3\text{OCOOLi}$ ), a well-known organic component of the SEI.<sup>10,26–28</sup> However, thermodynamically stable routes were only achieved from -COH (Fig. 3c and d) and -COOH (Fig. 3g) through the decomposition of DMC *via* the one-electron pathway. In some instances, the methyl carbonate radicals bound to two  $\text{Li}^+$  ions on the anode surface, forming stable dilithium methyl carbonate ( $\text{CH}_3\text{OCOOLi}_2$ ) (Fig. 3b, d, f and h). The formation of another key organic SEI component, lithium methoxide ( $\text{CH}_3\text{OLi}$ ), was detected to be thermodynamically favorable only in the presence of -CO (Fig. 3a). Notably, the methyl carbonate radical ( $\text{CH}_3\text{OCO}^*$ ), proposed in the literature as an expected product of the one-electron reduction of DMC,<sup>10,26–28</sup> was never detected to be stable in our simulations and was found to be either dissociated into  $\text{CH}_3 + \text{CO}_2$  or converted into  $\text{CH}_3\text{OCHO}$ .

$\text{CH}_3\text{OCOOLi}$  and  $\text{CH}_3\text{OLi}$  are among the most prominent organic components of the SEI, typically comprising the outer organic layer.  $\text{CH}_3\text{OCOOLi}$  can further react with an additional  $\text{Li}^+$  ion from the electrolyte to form  $\text{Li}_2\text{CO}_3$  and more  $\text{CH}_3^*$  radicals.<sup>10,25</sup> In electrolytes containing a mixture of linear carbonates,  $\text{CH}_3\text{OLi}$  has been reported to facilitate transesterification reactions, such as the conversion of ethyl methyl carbonate to DMC.<sup>80</sup> In the present study, the formation of  $\text{CH}_3\text{OCOOLi}$  is accompanied by the simultaneous formation of a  $\text{CH}_3^*$ . The radical is either adsorbed on the graphite anode surface or converted into  $\text{CH}_4$  through hydrogen atom transfer. In our simulations, subsequent  $\text{CH}_3^*$  radical interactions are limited to the graphite surface or dissociation fragments of DMC. As reported by Weddle *et al.*, the  $\text{CH}_3^*$  radicals may also undergo reactions between themselves or other electrolyte



elements (such as EC, FEC) to produce gas molecules such as  $\text{CH}_4$ .<sup>25</sup>

In addition to the well-established organic SEI components, several unique DMC decomposition products were predicted to be favorable (Fig. 4, green-labeled molecules). These include: (i) methanol (as a free molecule detached from the surface, *i.e.*,  $\text{CH}_3\text{OH}$ ), on the  $-\text{COH}$  FG (Fig. 3d) or attached to the surface *via* a  $\text{Li}^+$  ion (*i.e.*,  $\text{CH}_3\text{OHLi}$ ) on the  $-\text{COH}$  (Fig. 3c and d) and  $-\text{COOH}$  (Fig. 3h) FGs; (ii) methyl formate ( $\text{CH}_3\text{OCHO}$ ) on the  $-\text{COH}$  and  $-\text{COOH}$  FGs (Fig. 3c, d and h); and (iii) lithium methoxy acetate ( $\text{CH}_3\text{OCOOLi}$ ) on the  $-\text{COOH}$  FG (Fig. 3g and h). In particular, methanol is one of the major products in the pyrolysis and photolysis of DMC,<sup>30,81,82</sup> but formate and acetate products were only observed in trace amounts during the course of photolysis and high temperature thermal decomposition of DMC, respectively.<sup>30,81</sup>

**3.2.2 Gas products.** Along with carbon dioxide ( $\text{CO}_2$ ) and ( $\text{CH}_4$ ), formation of other gaseous products such as ethane ( $\text{C}_2\text{H}_6$ ), formaldehyde ( $\text{HCHO}$ ) and dimethyl ether ( $\text{CH}_3\text{OCH}_3$ ) was also found to be energetically favorable.  $\text{CH}_4$  and  $\text{CO}_2$  were identified to evolve in a thermodynamically favorable way on all types of functionalized graphite surfaces. While  $\text{CO}_2$  is a well-known gaseous byproduct of SEI formation,<sup>7,9,13,23,30</sup> reports of  $\text{CH}_4$  evolution are scarce and typically detect only trace amounts.<sup>34,44,83</sup> The thermodynamically favorable evolution of  $\text{CH}_4$  *via* DMC decomposition reaction on all types of FGs suggests that DMC may serve as the main source of  $\text{CH}_4$  during SEI formation, supporting a similar claim by Ota *et al.*<sup>84</sup>

The formation of  $\text{C}_2\text{H}_6$ , which is reported to be unique to the two-electron reduction of DMC,<sup>10,26–28</sup> was observed only on  $-\text{COH}$  and  $-\text{COOH}$  functionalized surfaces (Fig. 3d and h). Interestingly, the evolution of  $\text{C}_2\text{H}_6$  on the  $-\text{COH}$  FG was observed in systems with two  $\text{Li}^+$  but *via* an effectively one-electron pathway involving only one  $\text{Li}^+$  ion in the reaction. Another gaseous product,  $\text{CO}$ , unique to the two-electron DMC reduction, was never found among the thermodynamically favorable reactions. This supports the observation by Leising *et al.*<sup>83</sup> that the main source of  $\text{CO}$  during SEI formation is EC, instead of DMC.

The prediction of formaldehyde ( $\text{HCHO}$ ) and dimethyl ether ( $\text{CH}_3\text{OCH}_3$ ) formation was unexpected, as there are few reports of their detection.<sup>27</sup> In particular,  $\text{CH}_3\text{OCH}_3$  is one of the main products of the high temperature pyrolysis and photolysis of DMC.<sup>30,81</sup> A reason for their absence in SEI characterization experiments may be that, although both compounds are gases at room temperature, they are moderately soluble in carbonate solutions.<sup>85,86</sup> Notably,  $\text{HCHO}$  remained bound to the anode *via* one  $\text{Li}^+$  ion on the  $-\text{CO}$  decorated surface (shown as  $\text{HCHOLi}$  in Fig. 3a, b and 4. See the schematic in Fig. S10a†). In contrast,  $\text{CH}_3\text{OCH}_3$  was bound to one and two  $\text{Li}^+$  ions when produced in the presence of  $-\text{CO}$  and  $-\text{CHO}$ , respectively (shown as  $\text{CH}_3\text{O}(\text{Li})\text{CH}_3$  and  $\text{CH}_3\text{O}(\text{Li})_2\text{CH}_3$  in Fig. 3a and f, respectively and Fig. S10b and c†), but released from the surface when produced in the presence of  $-\text{COOH}$  (Fig. S10d†). These results imply that not only do the products promoted by each FG may differ, but the abundance of common products in the electrolyte and the interface may also vary depending on the relative population of

these FGs. Therefore, the detection of unusual products like  $\text{HCHO}$  and  $\text{CH}_3\text{OCH}_3$  may be strongly tied to the specific oxygen containing groups on the graphite anode.

**3.2.3 Inorganic products.** Surprisingly, the main inorganic product of the two-electron reduction reaction and a well-known SEI component,  $\text{Li}_2\text{CO}_3$ , was found to be endothermic (Fig. 3b and h). It is accepted that at the beginning of the charging process, the production of organic SEI components dominates,<sup>7,9,12–14</sup> and that the formation of inorganic  $\text{Li}_2\text{CO}_3$  is likely to be more prominent once the anode is partially or fully intercalated with  $\text{Li}^+$  ions.<sup>9,12,13</sup> This hypothesis correlates well with the endothermicity of  $\text{Li}_2\text{CO}_3$  production in our model. In contrast, (as of yet undetected) inorganic products such as  $\text{Li}_2\text{C}(\text{OH})\text{O}_2$  on  $-\text{CO}$  (Fig. 3b),  $-\text{COH}$  (Fig. 3d), and  $-\text{COOH}$  (Fig. 3h), as well as  $\text{LiHCO}_3$  on  $-\text{COH}$  (Fig. 3d), were determined to be thermodynamically spontaneous. Notably, the decomposition of DMC into  $\text{LiHCO}_3$  occurred in the presence of two  $\text{Li}^+$  ions through the one-electron pathway (involving only one  $\text{Li}^+$  in the reaction). Indeed, even in the presence of two  $\text{Li}^+$  ions the one-electron reduction process was highly represented among the thermodynamically favorable products. Such dominance may reflect that our model is representative of the early stages of the LIB charging process, before significant intercalation of  $\text{Li}^+$  ions into the graphite anode takes place. The thermodynamically favorable production of  $\text{LiHCO}_3$  from DMC decomposition on the graphite surface is of particular importance as it may further undergo secondary reactions with  $\text{POF}_3$  (generated through the interaction of  $\text{Li}_2\text{CO}_3$  with fluoride-containing salt anions in the electrolyte) to form  $\text{PF}_2\text{OOH}$ , a species known to compromise the stability of the SEI.<sup>87</sup>

**3.2.4 Impact of the initial adsorbed configuration.** As shown in Fig. 3,  $\text{O}_E-n\text{Li}$ -FG adsorbed configurations ( $n = 1$  or  $2$  and FG = functional group) lead to a greater number of thermodynamically favorable DMC decomposition routes than  $\text{O}_C-n\text{Li}$ -FG configurations. This may be related to the degree of stretching in the  $\text{C}_C-\text{O}_E$  linkage bonds: in configurations where  $\text{O}_E-\text{Li}^+$  interactions are present, at least one of the  $\text{C}_C-\text{O}_E$  bonds is stretched between 2.7% and 3.7% (Tables 2 and S2†). In contrast, for  $\text{O}_C-n\text{Li}$ -FG adsorbed configurations, the  $\text{O}_E-\text{C}_C$  bonds are only slightly compressed (1% to 2.5%, see Tables 2 and S2†). The  $\text{C}_C-\text{O}_E$  bonds are generally broken at some point in the reaction (except when  $\text{CH}_3\text{OCOOLi}$  and  $\text{CH}_3^*/\text{CH}_4$  are the final products). In configurations involving  $\text{O}_E-\text{Li}^+$  interactions, these  $\text{C}_C-\text{O}_E$  bonds are likely to relax upon the formation of low-energy products, creating a greater  $\Delta E$  between reactants and products in the  $\text{O}_E-n\text{Li}$ -FG case. In other words, the stretched  $\text{C}_C-\text{O}_E$  bonds may be considered to be in an “activated” state for  $\text{O}_E-n\text{Li}$ -FG adsorbed configurations and are likely to be easily broken during the decomposition process resulting in various different end products.

Interestingly, all the DMC decomposition processes departing from an  $\text{O}_S-1\text{Li}-\text{CHO}$  adsorbed configuration ( $s = \text{C}$  or  $\text{E}$ ) are endothermic (Fig. 3e). Moreover, only two such reactions are exothermic for  $\text{O}_S-2\text{Li}-\text{CHO}$  (Fig. 3f). Thus,  $-\text{CHO}$  is the least effective FG at promoting DMC decomposition on the graphite anode surface. Similar endothermic reaction energies were also observed for EC and FEC decomposition on the  $-\text{CHO}$  FG.<sup>24</sup> In



contrast, the  $-\text{COH}$  FG was found to promote the largest number of exothermic decomposition reactions (Fig. 3c and d). In particular, DMC decomposition reactions departing from  $\text{O}_\text{E}-2\text{Li}-\text{COH}$  configurations were found to be highly spontaneous ( $\Delta E < -2.0$  eV, Fig. 3d).

### 3.3 Kinetic barrier analysis of the DMC decomposition reactions

Thermodynamic drive is not the sole indicator of product abundance. Large activation energy barriers ( $E_A$ ) may lead to some exothermic reactions to never occur within experimental timespans. This section aims to elucidate the kinetic barriers for the thermodynamically favorable products ( $\Delta E < 0$ ) using CI-NEB, as described in step 3 of Section 2. Further refinement using additional CI-NEB calculations was performed near the

reaction steps involving bond cleavage or atom transfer. In the majority of cases, supplementary CI-NEB calculations did not identify any transition states with higher energy, thereby confirming the accuracy of the transition states and activation barriers obtained from the initial CI-NEB calculations. The resulting predictions of  $E_A$  are provided in Fig. 5 and S11† for reactions with two and one  $\text{Li}^+$  ions on the FGs, respectively. The thermodynamic analysis revealed that two slightly endothermic reactions in the vacuum become highly exothermic in the presence of implicit solvents (formation of  $\text{Li}_2\text{CO}_3 + 2\text{CH}_3^*$  on  $-\text{CO}$  (Fig. 3b) and  $\text{CH}_3\text{OCOOLi}_2 + \text{CH}_3\text{OH}$  on  $-\text{COH}$  (Fig. 3h)). The activation barriers for these two DMC decomposition reactions were also computed and are presented in Fig. S12.†

We observed that reactions with a lower  $\Delta E$  (more exothermic) tended to have a lower  $E_A$ . In particular, the lowest

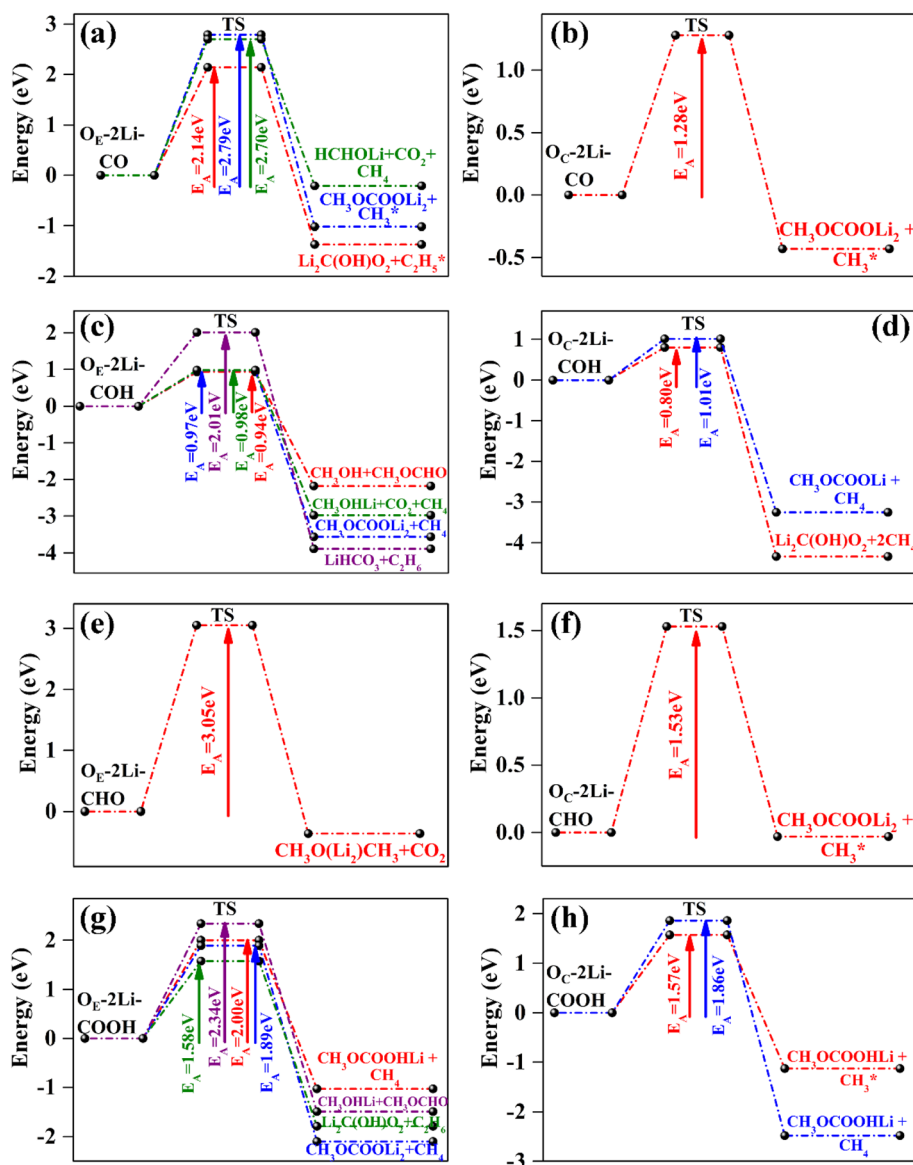


Fig. 5 Activation energy barriers ( $E_A$ ) for exothermic reactions following DMC adsorbed configurations (a)  $\text{O}_\text{E}-2\text{Li}-\text{CO}$ , (b)  $\text{O}_\text{C}-2\text{Li}-\text{CO}$ , (c)  $\text{O}_\text{E}-2\text{Li}-\text{COH}$ , (d)  $\text{O}_\text{C}-2\text{Li}-\text{COH}$ , (e)  $\text{O}_\text{E}-2\text{Li}-\text{CHO}$ , (f)  $\text{O}_\text{C}-2\text{Li}-\text{CHO}$ , (g)  $\text{O}_\text{E}-2\text{Li}-\text{COOH}$ , and (h)  $\text{O}_\text{C}-2\text{Li}-\text{COOH}$ .



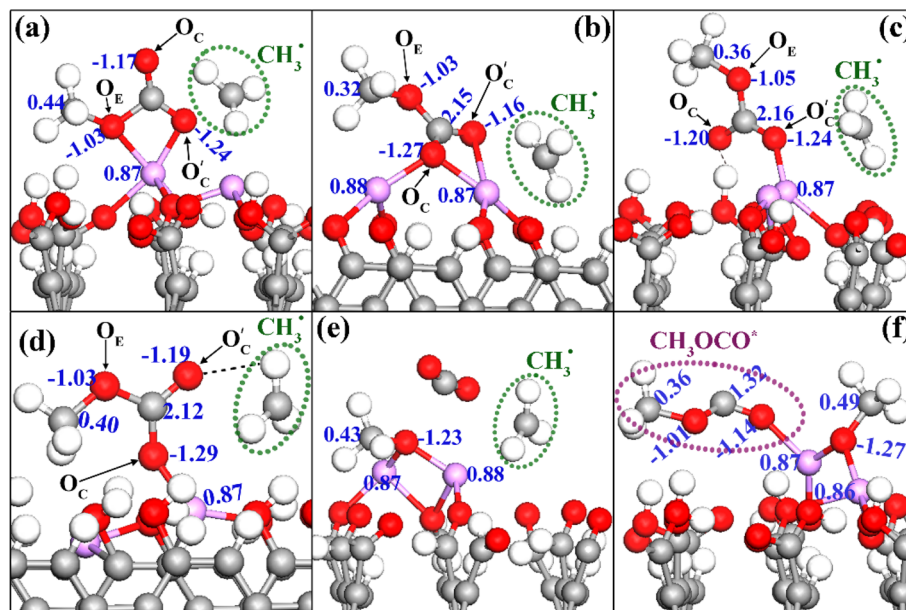


Fig. 6 TS configurations and Bader charge distribution for reactions (a)  $O_E-2Li-COOH \rightarrow CH_3OCOOLi + CH_4$  ( $E_A = 2.00$  eV), (b)  $O_C-2Li-CO \rightarrow CH_3OCOOLi_2 + CH_3^*$  ( $E_A = 1.28$  eV), (c)  $O_C-2Li-COOH \rightarrow CH_3OCOOLi + CH_3^*$  ( $E_A = 1.57$  eV), (d)  $O_C-2Li-COH \rightarrow Li_2C(OH)O_2 + 2CH_4$  ( $E_A = 0.80$  eV), (e)  $O_E-2Li-CHO \rightarrow CH_3O(Li_2)CH_3 + CO_2$  ( $E_A = 3.05$  eV), and (f)  $O_E-2Li-COOH \rightarrow CH_3OCHO + CH_3OHLi$  ( $E_A = 2.34$  eV). The Bader charge values are in the unit of an electronic charge. (red: O, white: H, gray: C, purple: Li).

$E_A$  values ( $\leq 1.01$  eV) were identified for the highly stable products formed on the  $-COH$  FG with two  $Li^+$  ions (Fig. 5c and d), except for the formation of  $LiHCO_3 + C_2H_6$ , which exhibited  $E_A$  of 2.01 eV (Fig. 5c).

**3.3.1 Characterization of the transition state (TS) and Bader charge analysis.** TS configurations and Bader charge distributions are depicted in Fig. 6 and S13<sup>†</sup> for selected reactions involving two and one  $Li^+$  ions, respectively. The remaining reactions (not shown in Fig. 6 or S13<sup>†</sup>) exhibit TS configurations or Bader charge distributions similar to those presented in Fig. 6 and S13<sup>†</sup>. In most cases, the TS contained  $CH_3^*$  free radicals. The presence of  $CH_3OCO^*$  free radicals or adsorbed  $CH_3OCO^*$  at the TS led to significantly high  $E_A$  (e.g., Fig. 6f and S13e<sup>†</sup>), rendering these reactions kinetically restricted on the graphite anode surface. The formation of the  $CH_3OCO^*$  radical *via* DMC decomposition in the bulk electrolyte is expected to occur more frequently, owing to the reported lower reaction barrier compared to that on the graphite surface.<sup>25</sup>

The Bader charge analysis showed that the  $O_C$  and the carbonyl oxygen atoms that emerged from the breakage of  $O_E-CH_3$  bonds (denoted as  $O'_C$ ) held more negative charge than  $O_E$  atoms, irrespective of whether the carbonyl oxygens were free or made part of a chemical or hydrogen bond (e.g., Fig. 6c). For instance, the charge differences within the  $O_C-Li^+$  bond in Fig. 6a and S13a<sup>†</sup> are 2.11e and 2.07e, whereas the differences within the  $O_E-Li^+$  bond in Fig. 6a and S13a<sup>†</sup> are 1.90e and 1.93e. Thus, the  $O_C-Li^+$  or  $O'_C-Li^+$  interactions are more polar in nature than the  $O_E-Li^+$  interactions, stabilizing the TS and reducing the overall  $E_A$  for the reaction.

### 3.4 DMC decomposition reaction mechanisms

**3.4.1 Hydrogen atom transfer (HAT) processes.** HAT processes, in which a hydrogen free radical is removed from a substrate,<sup>88</sup> were a key mechanistic aspect during DMC

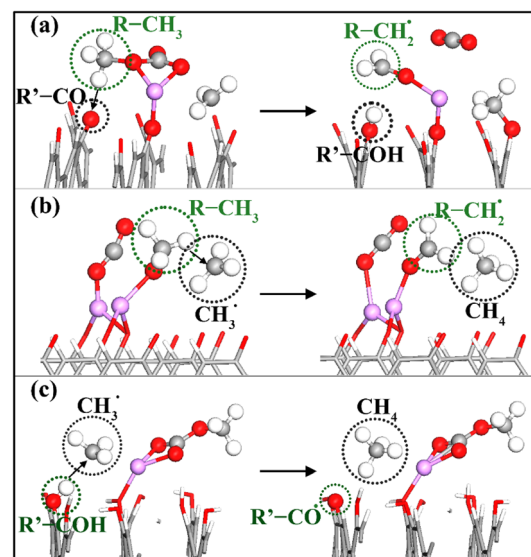


Fig. 7 Examples of type A, B and C Hydrogen Atom Transfer (HAT) processes. (a) Type A:  $R-CH_3 + R'-CO \rightarrow R-CH_2 + R'-COH$ , (b) type B:  $R-CH_3 + CH_3^* \rightarrow R-CH_2 + CH_4$  and (c) type C:  $R'-COH + CH_3^* \rightarrow R'-CO + CH_4$ . The green and black encircled areas show the specific hydrogen donor and acceptor fragments involved in the HAT process, while  $R-R'$  denote the "rest of the molecule" for the participating molecules/free radicals (red: O, white: H, gray: C, purple: Li).



dissociation on the functionalized graphite anodes. In particular, the formation of the thermodynamically favorable (but rarely reported) products  $\text{CH}_3\text{OH}$ ,  $\text{CH}_3\text{OCHO}$ ,  $\text{CH}_3\text{OCOOHLi}$ ,  $\text{CH}_4$ ,  $\text{HCHO}$ ,  $\text{LiHCO}_3$ , and  $\text{Li}_2\text{C}(\text{OH})\text{O}_2$  involves a HAT process. From our simulations, three distinct types of HAT instances were identified (Fig. 7):

(A) HAT from the methyl group of DMC to the surface FGs: this process was only observed on  $-\text{CO}$  and  $-\text{CHO}$  FG surfaces (see Fig. 7a for an example). This HAT mechanism is a key pathway leading to the formation of  $\text{HCHO}$ .

(B) HAT within different parts of the decomposed DMC molecule: this process was only observed on  $-\text{CO}$ ,  $-\text{COH}$ , and  $-\text{CHO}$  FGs, resulting in the simultaneous production of  $\text{CH}_4$  and  $\text{HCHO}$  (see Fig. 7b for an example). On  $-\text{CO}$  graphite with two  $\text{Li}^+$  ions, this process may also lead to the formation of  $\text{Li}_2\text{C}(\text{OH})\text{O}_2$  and  $\text{C}_2\text{H}_5$ .

(C) HAT to the DMC molecule from the surface FGs: this process was only observed on  $-\text{COH}$  and  $-\text{COOH}$  FGs, producing  $\text{CH}_3\text{OH}$ ,  $\text{CH}_3\text{OCHO}$ ,  $\text{CH}_3\text{OCOOHLi}$ ,  $\text{LiHCO}_3$ ,  $\text{Li}_2\text{C}(\text{OH})\text{O}_2$ , and  $\text{CH}_4$ . An example of such a HAT process producing  $\text{CH}_4$  is presented in Fig. 7c.

The formation of  $\text{CH}_4$  predominantly occurs *via* the type-C HAT process, with occasional contributions from the type-B HAT pathway. All observed HAT processes proceed without significant energy barriers. In contrast,  $\text{CH}_4$  formation *via* HAT between  $\text{CH}_3$  radicals and EC/FEC molecules within the bulk electrolyte involves comparatively higher reaction barriers, as reported by Weddle *et al.*<sup>25</sup> Therefore,  $\text{CH}_4$  generation during SEI formation and evolution is expected to occur primarily through surface-mediated HAT mechanisms.

Similar H-loss reactions were reported for DMC in high voltage decomposition reactions on a  $\text{LiCoO}_2$  cathode.<sup>33</sup> Only for the formation of  $\text{CH}_3\text{OH}$  on the  $-\text{COOH}$  FG (Fig. 3h) did we observe the transfer of  $\text{OH}^-$  from the surface FG to the free  $\text{CH}_3$  radical ( $\text{CH}_3 + -\text{COOH} \rightarrow \text{CH}_3\text{OH} + -\text{CO}$ , see Fig. S14† for further details). This reaction is slightly endothermic in a vacuum ( $\Delta E \sim 0.1$  eV), but exothermic in implicit solvent environments.

**3.4.2 Detailed reaction mechanisms.** Formation of the final products during DMC decomposition involves multiple bond-breaking events and occasional inter- or intra-molecular HAT. These processes may occur either simultaneously or sequentially within a very short timescale. The detailed pathways on various functionalized surfaces are summarized in Fig. 8. Except for the initial ester bond cleavage that generates a free  $\text{CH}_3$  radical, all subsequent processes, including the bending of adsorbed species, additional bond cleavages, and HAT, were found to proceed without a significant energy barrier. The reaction routes involving two  $\text{Li}^+$  ions in the formation of the final products (*i.e.*, following a two-electron pathway) are highlighted in blue color.

Reaction mechanisms involving type (A) and type (B) HAT processes on the  $-\text{CO}$  FG are outlined in reactions R1 and R4/R5, respectively. DMC decomposition routes on the  $-\text{COH}$  FG (R8 to R16) and on the  $-\text{COOH}$  FG (R19 to R28, except for R21) involve the type (C) HAT process. Neither of the

thermodynamically favorable reactions on  $-\text{CHO}$  FG (reactions R17 and R18) involves the HAT process.

**3.4.3 Likelihood of the DMC decomposition reaction routes.** Whether a reaction is practically viable or not depends on the combination of thermodynamic drive (characterized by  $\Delta E$ ) and the kinetic barrier to be overcome (characterized by  $E_A$ ). Fig. 9 evaluates the likelihood of the reactions in Fig. 8 by depicting the variation of  $E_A$  with  $\Delta E$ . We compare these values with those from the oligomerization decomposition of other common electrolyte components on functionalized graphite: EC, *via* the S1 mechanism (yields polyethylene carbonate (PEC)), and FEC *via* both the S1 (yields PFEC) and S2 mechanisms (yields polyfluoroethylene oxide (PFEO) and  $\text{CO}_2$ ), as previously reported (for details of the reactions, see ESI,† page 22).<sup>24</sup> Additionally, Fig. S15, presented in the ESI,† illustrates the relationship between  $E_A$  and  $\Delta E$  for the DMC decomposition reactions, indicating the specific FG involved in the reaction and the initial DMC adsorption configuration.

The TS is expected to be more stable in a solvent environment, similar to the reduction in  $\Delta E$  observed in the presence of implicit solvents (Fig. 3). Moreover, external electric fields and variations in FG coverage may further reduce activation energy barriers.<sup>89,90</sup> Even with these attenuating factors at play, reactions with estimated  $E_A$  values residing in the darker regions of Fig. 9 are likely to require prohibitively high energy to proceed. For all the exothermic reactions with  $\Delta E < 0$  eV, spontaneity is expected. However, reactions that are only mildly to moderately exothermic ( $\Delta E > -1.0$  eV) may display a greater tendency towards reversibility.<sup>78</sup> Considering these factors, a dividing vertical line was drawn at  $\Delta E = -1$  eV in the exothermic region in Fig. 9 to distinguish between reactions with higher and lower propensities for reversibility.

Conversely, the vertical color gradient in Fig. 9 represents variations in activation barriers, with reactions in the lighter regions being kinetically more favorable than those in the darker regions. The reactions in the darker region with  $-1$  eV  $< \Delta E < 0$  can theoretically occur, but constitute the rarest type of DMC reduction process. Thus, the unique products from these reactions are likely difficult to detect. In contrast, reactions in the lighter region with  $\Delta E < -1$  eV are the most spontaneous reactions. These reactions are essentially irreversible.

**3.4.4 Likelihood of DMC decomposition products.** Fig. 8 and 9 reveal the most thermodynamically and kinetically favorable products of the DMC decomposition reactions summarized in Table 3 (*i.e.*, those located in the lighter region of Fig. 9 with  $\Delta E < -1$  eV and  $\Delta E_A < 1.6$  eV).

Products in the lighter region for  $-1$  eV  $< \Delta E < 0$  are also likely, but their abundance is impacted by the reversibility of the decomposition reactions.

Nonetheless, the spontaneity of a reaction is highly sensitive to the surface functionalization of graphite. For identical end products, completely different kinetic barriers have been calculated depending on the surface FG. For instance, we identified the formation of one of the least reported products,  $\text{CH}_3\text{OCH}_3$ , to be thermodynamically favorable on the graphite anode. However, its formation requires a relatively lower activation barrier to be overcome on the  $-\text{COOH}$  FG ( $E_A = 1.92$  eV,



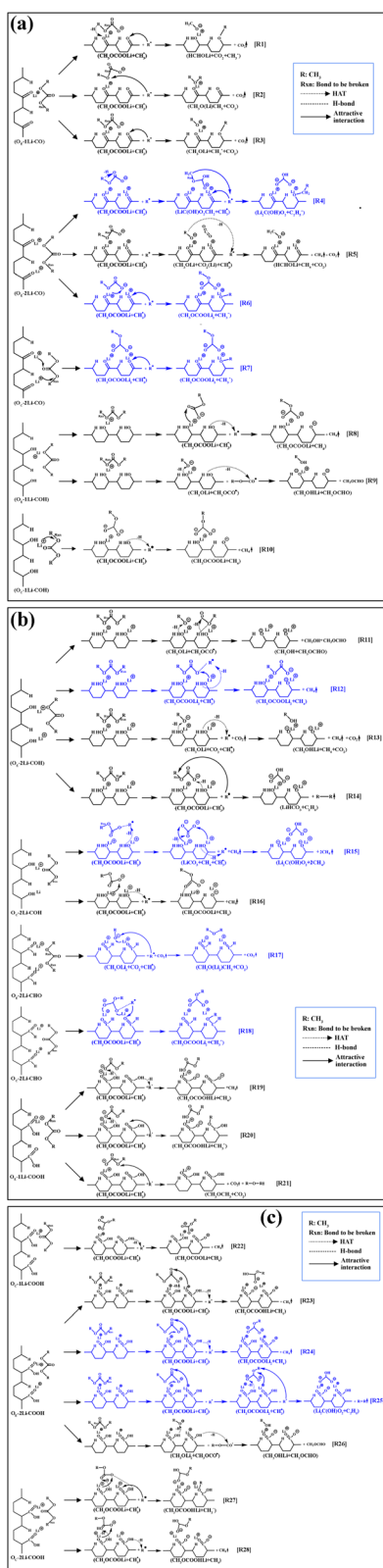


Fig. 8 Overview of the DMC reduction reaction mechanisms on the investigated FGs following  $O_E/O_C-nLi-FG$  DMC adsorbed configurations. Reactions producing products involving two  $Li^+$  ions (two-electron pathway) are represented in blue color. Panel (a) represents reactions R1–R3 from  $O_E-1Li-CO$ , R4–R6 from  $O_E-2Li-CO$ , R7 from  $O_C-2Li-CO$ , R8 and R9 from  $O_E-1Li-COH$ , and R10 from  $O_C-1Li-COH$ . Panel (b) represents reactions R11–R14 from  $O_E-2Li-COH$ , R15

reaction route R21), whereas much higher activation energy barriers (exceeding 3 eV) were observed for the  $-CO$  and  $-CHO$  FGs (reaction routes R2 and R17). A similarly large  $E_A$  had been reported previously for the gas-phase decomposition of DMC into  $CH_3OCH_3$  and  $CO_2$ .<sup>81</sup> Another example of this scenario is  $CH_3OCHO$ , which is formed exothermically on the  $-COH$  and  $-COOH$  FGs (reaction routes R11 and R26), but is only kinetically favorable on the  $-COH$  FG. These findings underscore that the DMC decomposition on graphite anodes is highly dependent on the relative abundance of the different FGs on the surface. In particular,  $-COH$  (promoting both the one- and two-electron pathways) is the most reactive surface FG followed by the  $-COOH$  FG.

As anticipated, reactions predominantly lead to the formation of organic SEI components such as  $CH_3OCOOLi$ ,  $CH_3OCOOLi$ ,  $CH_3$ , and  $CH_3^*$  in the early stages of battery charging without  $Li^+$  intercalation. However, the formation of inorganic products, including  $Li_2C(OH)O_2$  (on  $-COH$  and  $-COOH$ ) and  $LiHCO_3$  (on  $-COH$ ), is also observed, which may represent the initial steps towards the formation of well-established inorganic SEI products like  $Li_2CO_3$  from DMC. Interestingly, scarcely reported products such as  $CH_4$ ,  $CH_3OCOOLi$ ,  $CH_3OCHO$  and  $Li_2C(OH)O_2$  are produced in highly spontaneous DMC decomposition reaction routes, which should compete alongside the reactions producing organic SEI components.

**3.4.5 Competition with EC and FEC decomposition reactions on the FGs.** While Intan *et al.*<sup>24</sup> found that EC decomposition *via* the S2 mechanism (yielding polyethylene oxide (PEO) and  $CO_2$ ) occurs without an energy barrier on functionalized graphite anodes, Fig. 9 shows that DMC reactions can strongly compete with EC decomposition *via* the S1 mechanism and FEC decomposition *via* both S1 and S2 mechanisms, especially on  $-COH$  and  $-COOH$  FGs. Both the present study and that conducted by Intan *et al.*<sup>24</sup> employ calculations at the same level of theory (PBE-GGA), enabling a direct comparison of EC and DMC decomposition pathways on functionalized graphite anodes. A comparative analysis of DMC decomposition reactions with EC decomposition pathways yielding smaller SEI components, such as lithium ethylene dicarbonate, lithium ethylene monocarbonate, or lithium carbonate, would be highly valuable. However, despite an extensive literature review, no prior studies were found that provide such comparative data for reference.

Notably, only EC decomposition on the  $-CO$  FG falls within the region of the most spontaneous reactions (lighter region of Fig. 9 with  $\Delta E < -1$  eV). Although FEC reduction *via* both the S1 and S2 mechanisms on  $-CO$  and  $-COH$  FGs exhibits a low  $E_A$ , these reactions may be limited by their tendency toward reversibility, as indicated by the mild exothermicity ( $-1.0$  eV  $< \Delta E < 0$  eV). In contrast to EC and FEC decomposition, the  $-COOH$  FG demonstrates high selectivity for promoting DMC decomposition reactions.

and R16 from  $O_C-2Li-COH$ , R17 from  $O_E-2Li-CHO$ , R18 from  $O_C-2Li-CHO$ , and R19–R21 from  $O_E-1Li-COOH$ . Panel (c) represents reactions R22 from  $O_C-1Li-COOH$ , R23–R26 from  $O_E-2Li-COOH$ , and R27 and R28 from  $O_C-2Li-COOH$ .



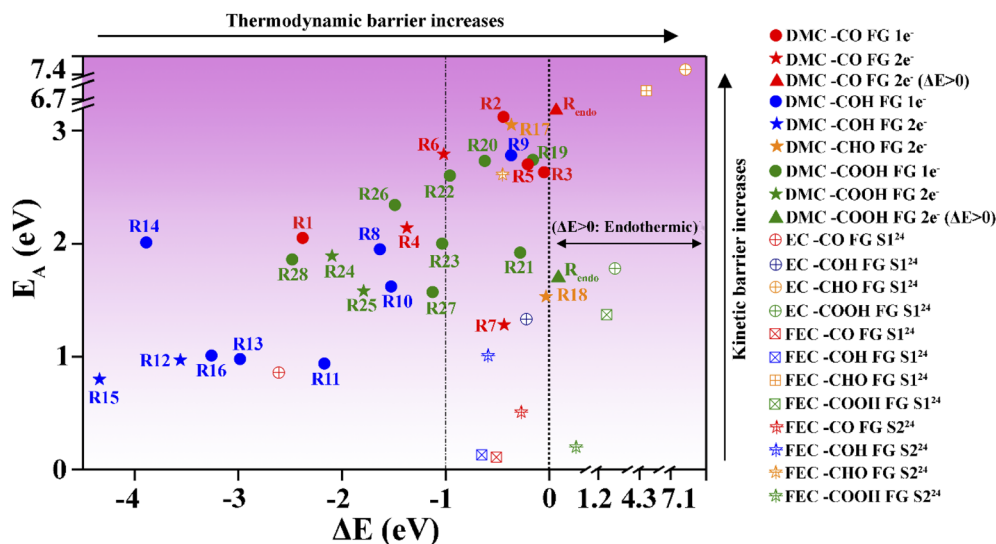


Fig. 9 Activation energy barrier ( $E_A$ ) vs. reaction energy ( $\Delta E$ ) for the reactions illustrated in Fig. 8. DMC decomposition reactions *via* the one and two electron pathways are represented with "●" and "★" symbols, respectively. The same applies for the slightly endothermic reactions ( $R_{\text{endo}}$ ) on -CO and -COOH FG which are represented by the "▲" symbol. The  $E_A$  and  $\Delta E$  values from ref. 24 for the most favorable EC oligomerization decomposition pathway *via* the S1 mechanism ( $\oplus$ ), and the FEC oligomerization decomposition pathway *via* the S1 ( $\boxplus$ ) and S2 ( $\boxtimes$ ) mechanisms on functionalized graphite are also shown. Data points for reactions on -CO, -COH, -CHO and -COOH FGs are displayed with red, blue, orange and green colored symbols respectively. To accommodate the EC decomposition reactions exhibiting very high  $E_A$  and  $\Delta E$  values, axis breaks were introduced along the vertical axis in the ranges of 3.20 to 6.70 eV and 6.85 to 7.4 eV, and along the horizontal axis in the ranges of 0.35 to 1.20 eV, 1.55 to 4.30 eV and 4.55 to 7.1 eV.

### 3.5 Long-term stability analysis of the DMC decomposition products in explicit solvent

In the previous sections, we identified the thermodynamically and kinetically favorable decomposition pathways of DMC through both one- and two-electron reduction mechanisms.

However, the long-term fate of the predicted products remains uncertain, as conducting AIMD simulations over extended timescales with explicit solvent molecules is

computationally prohibitive. To address this shortcoming, we assessed the long-term stability of the thermodynamically favorable products using CMD simulations with a reactive force field, ReaxFF, in the presence of *explicit* solvent molecules (Section 2.2, step 4). A ( $2 \times 2 \times 1$ ) supercell of the original model system from Fig. 1 was considered, with the vacuum region filled with solvent DMC molecules until the desired density ( $1.07 \text{ g cm}^{-3}$ ) was reached (see simulation details in Section 2.3). Thus, the simulation cells contained four times the number of each product found in the DFT calculations. A snapshot of the ReaxFF-CMD simulation cell for  $\text{CH}_3\text{OCOOLi}_2 + \text{CH}_3^*$  products on the -CO FG is shown in Fig. 10.

The fraction of product  $i$  that did not undergo secondary reactions till the end of the simulation run is given by  $N_{50}^i/N_0^i$ , where  $N_0^i$  is the initial number of product  $i$  molecules (free or adsorbed), and  $N_{50}^i$  the corresponding number of  $i$  molecules after 50 ps. The values of  $N_{50}^i/N_0^i$  for different products on each FG, involving one or two  $\text{Li}^+$  ions, are presented in Fig. 11 (top panel). Clearly, as the products continue to react during the simulation, additional by-products are generated, making it valuable to track their formation. To this end, the bottom panel of Fig. 11 depicts the fraction of by-product  $j$  produced from product  $i$  relative to the total number of by-product molecules generated from this same product at the end of the simulation run,  $N_{i,50}^j / \sum_m N_{i,50}^m$ . Each column of by-products is placed under the product  $i$  (top panel) it originates from. From the results in Fig. 11, the following observations can be extracted regarding

Table 3 The most thermodynamically and kinetically favorable products of DMC decomposition reactions (*i.e.*, those located in the lighter region of Fig. 9 with  $\Delta E < -1 \text{ eV}$  and  $\Delta E_A < 1.6 \text{ eV}$ , reaction routes; R11, R12, R13, R15, R16, R25, R27), FGs on which they form, and the corresponding DMC decomposition pathways (one-electron or two-electron) are indicated. The rarely found/less reported products are denoted with "(\*)" at the end of their chemical formula

Formula of the product	FG	Decomposition pathway
$\text{CH}_3\text{OCOOLi}$	-COH	One-electron
$\text{CH}_3\text{OCOOLi}$ (*)	-COOH	One-electron
$\text{CH}_3\text{OH}$	-COH	One-electron
$\text{CH}_3\text{OHLi}$	-COH	One-electron
$\text{CH}_3\text{OCHO}$ (*)	-COH	One-electron
$\text{CH}_3^*$	-COOH	One-electron
$\text{CH}_4$ (*)	-COH	One-, two-electron
$\text{CO}_2$	-COH	One-electron
$\text{C}_2\text{H}_6$	-COOH	Two-electron
$\text{CH}_3\text{OCOOLi}_2$	-COH	Two-electron
$\text{Li}_2\text{C}(\text{OH})_2$ (*)	-COH, -COOH	Two-electron



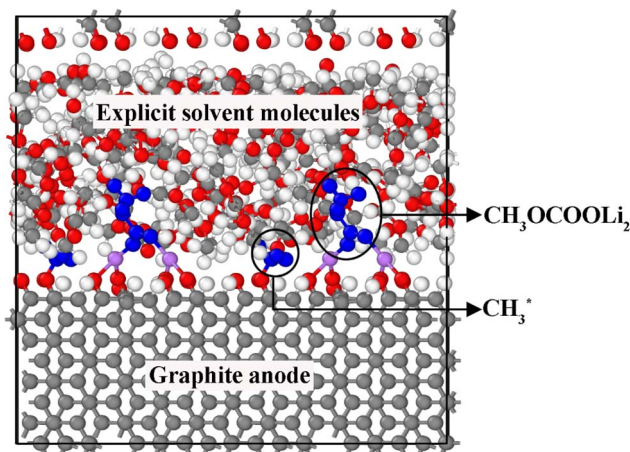


Fig. 10 An example of the ReaxFF-CMD simulation cell featuring the product  $\text{CH}_3\text{OCOOLi}_2 + \text{CH}_3^*$  on  $-\text{CO}$  FG. The supercell dimensions are twice those of Fig. 1 in the lateral directions and identical in the vertical direction. The vacuum gap is filled with explicit solvent molecules (red: O, white: H, gray: C, purple: Li, blue: product molecules).

the long-term stability of different classes of DMC decomposition products:

#### (A) Gaseous products

• Gaseous species such as  $\text{CO}_2$ ,  $\text{CH}_4$ , and  $\text{C}_2\text{H}_6$  remained intact throughout the ReaxFF-CMD simulations. Additionally, new  $\text{CO}_2$  molecules were formed *via* the dissociation of

$\text{Li}_2\text{C}(\text{OH})\text{O}_2$ ,  $\text{CH}_3\text{OCOOLi}$ , and  $\text{CH}_3\text{OCOOLi}$  on  $-\text{CO}$ ,  $-\text{COH}$ , and  $-\text{COOH}$ .

•  $\text{CH}_3\text{OCH}_3$  remains largely intact when detached from the anode surface in  $1\text{Li}-\text{COOH}$  and  $1\text{Li}-\text{CO}$ . However, the  $\text{CH}_3\text{OCH}_3$  formed from  $1\text{Li}-\text{CHO}$  (see Fig. S10† for details) quickly dissociates into  $\text{CH}_3\text{OLi}$  and  $\text{CH}_3^*$ . As the stability of  $\text{CH}_3\text{OCH}_3$  depends on the number of coordinating  $\text{Li}^+$  ions, its presence in the LIB electrolyte is expected to be limited due to the abundant presence of  $\text{Li}^+$  ions.

•  $\text{HCHOLi}$ , only found on the  $-\text{CO}$  FG *via* reaction routes R1 and R5, remained stable in 50% of the cases, while the remainder underwent polymerization reactions.

#### (B) Adsorbed radicals

• The adsorbed radicals  $\text{CH}_3^*$  and  $\text{C}_2\text{H}_5^*$  remained stable throughout the ReaxFF-CMD simulations. Moreover, additional  $\text{CH}_3^*$  was formed through the dissociation of  $\text{CH}_3\text{OCH}_3$  (on  $-\text{CO}$  and  $-\text{CHO}$ ),  $\text{CH}_3\text{OCOOLi}$  (on  $-\text{COH}$ ) and  $\text{CH}_3\text{OCOOLi}$  (on  $-\text{COOH}$ ) in the ReaxFF-CMD runs.

#### (C) Organic products

•  $\text{CH}_3\text{OCHO}$ , produced *via* reaction routes R9, R11, and R26 on the  $-\text{COH}$  FG, was stable throughout the ReaxFF-CMD simulations. However,  $\text{CH}_3\text{OCHO}$  was quickly transformed into  $\text{CH}_3\text{OCHOH}$  through a type (C) HAT process on the  $-\text{COOH}$  FG or underwent a polymerization process. When stable, the  $\text{CH}_3\text{OCHO}$  molecules remained in close proximity to the surface.

•  $\text{CH}_3\text{OLi}$  molecules detached from the anode surface and moved into the electrolyte bulk when arising from the  $-\text{COH}$  and  $-\text{COOH}$  FGs. In contrast, they remained attached to the

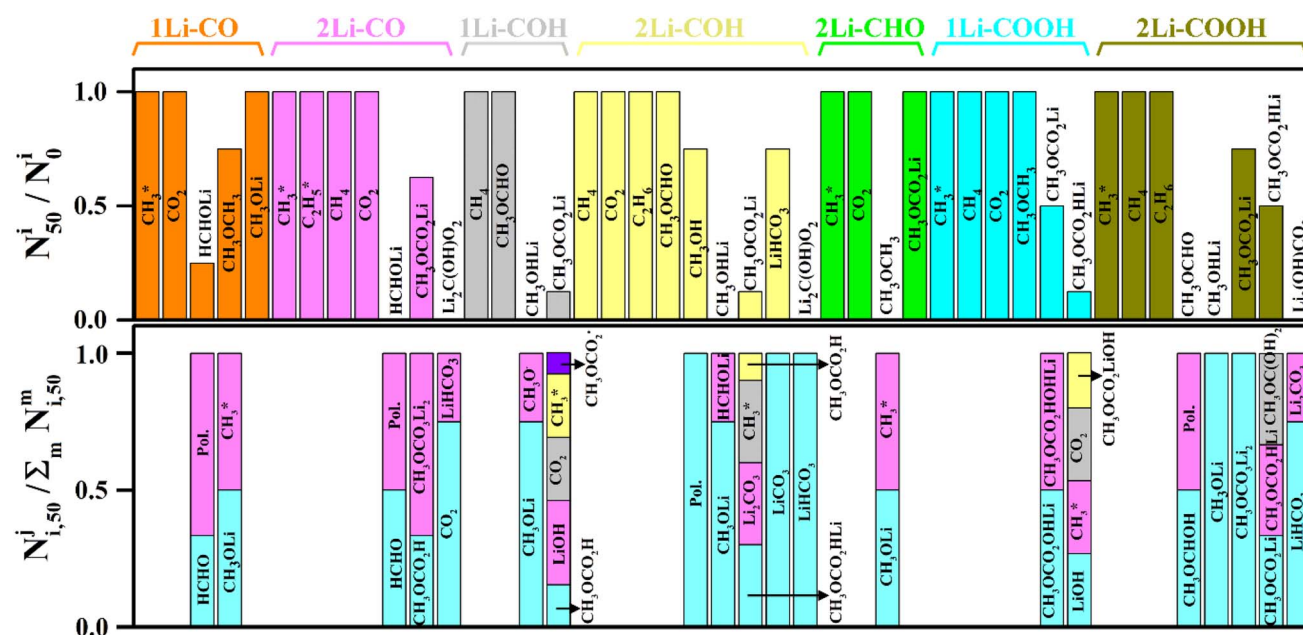


Fig. 11 The top panel shows  $N_{50}^i/N_0^i$  values obtained from ReaxFF-CMD simulations for each thermodynamically favorable product identified in the DFT calculations. The initial adsorbed configuration,  $n\text{Li}-\text{FG}$ , is indicated above, with the binding DMC oxygen ( $\text{O}_2$ ) omitted for clarity. The bottom panel depicts the fractional amounts of side products  $(N_{i,50}^j/\sum_m N_{i,50}^m)$  formed during the ReaxFF-CMD simulations (different side products originating from the same initial state are shown in different colors within the same bar). "Pol" indicates polymerization reaction products.



anode surface on the  $-CO$  and  $-CHO$  FGs. Interestingly,  $CH_3OLi$  formation was abundant through side reactions on each type of functionalized graphite during the ReaxFF-CMD simulations.

- $CH_3OHLi$ , formed *via* thermodynamically favorable reactions on the  $-COH$  and  $-COOH$  FGs (reaction routes R9, R13, and R26), was found to be unstable and transformed into  $CH_3OLi$  *via* a type (B) HAT process very quickly.

- $CH_3OCOOLi$  and  $CH_3OCOOLi_2$  were identified as thermodynamically stable products *via* reaction routes R6–R8, R10, R12, R16, R18, R22, and R24. However, they were found to be the least stable compounds during the ReaxFF-CMD simulations on the  $-COH$  FG, and quickly transformed or dissociated into products such as  $CH_3OCOOH$ ,  $CH_3OCOOLi$ ,  $LiOH$ ,  $CH_3^*$ ,  $CO_2$ , and  $Li_2CO_3$ . In contrast,  $CH_3OCOOLi$  was found to be relatively more stable when originating from  $-CO$ ,  $-CHO$ , and  $-COOH$ . At the end of the ReaxFF-CMD simulations,  $CH_3OCOOLi$  remained attached to the anode surface only in the presence of the  $-CO$  FG.

#### (D) Inorganic products

- $Li_2C(OH)O_2$ , which emerged from the  $-CO$ ,  $-COH$ , and  $-COOH$  FGs *via* reaction routes R4, R15, and R25, transformed into inorganic SEI components  $Li_2CO_3$  or  $LiHCO_3$  during the ReaxFF-CMD simulations. Transformation to  $LiHCO_3$  was abundant on all FGs, whereas transformation to  $Li_2CO_3$  was only observed on the  $-COOH$  FG. These inorganic carbonates remained close to the anode surface.

- $LiHCO_3$ , which formed on the  $-COH$  FG through reaction route R14, remained stable during the ReaxFF-CMD simulations. Only a small fraction transformed into  $LiCO_3$  *via* a (B) HAT process. On the other hand,  $LiHCO_3$  was produced abundantly from  $Li_2C(OH)O_2$  on the  $-CO$ ,  $-COH$ , and  $-COOH$  FGs.

As shown in Fig. 9, the DMC decomposition pathways R11, R12, R13, R15, and R16 exhibit the most favorable energetics, characterized by both lower  $\Delta E$  and  $E_A$  values compared to other decomposition reaction routes. All these reactions take place on the  $-COH$  functionalized graphite surface. The stability of the products formed *via* these pathways was further assessed using ReaxFF-CMD simulations in an explicit solvent environment containing EC and DMC in the same volume ratio, and 1 M  $LiPF_6$  molecules. The fraction of products remaining stable after 50 ps of simulation, along with any by-products formed, is presented in Fig. S16.† The observed trends are generally consistent with those in Fig. 11, with a few notable exceptions. Products that detached from the anode surface, such as gaseous species ( $CH_4$  and  $CO_2$ ) and organic molecules ( $CH_3OH$  and  $CH_3OCHO$ ), remained stable throughout the ReaxFF-CMD simulations.  $Li_2C(OH)O_2$ , formed *via* reaction route R15, primarily converted to  $LiHCO_3$ . However, in contrast to the DMC-only solvent environment, a small fraction was further decomposed into  $CO_2$  and  $LiOH$ . Additionally, all the  $CH_3OHLi$  (formed *via* reaction route R13) converted into  $CH_3OLi$  *via* a type-A HAT process. The intermediate species  $HCHOLi$ , previously observed under DMC-only solvent conditions, was not detected in the mixed-solvent simulations.

The transformation of products observed in the classical simulations was completed within a short timescale of 2 ps. Notably, the longest transformation time, approximately 8 to 15

ps, was associated with the conversion of  $CH_3OCOOLi_2/Li_2C(OH)O_2$  into  $Li_2CO_3$ . This likely signals the onset of inner inorganic layer formation during the initial charging process of the LIB. The estimated transformation time is defined as the duration required for the initial DMC decomposition product to undergo bond cleavage and/or formation resulting in the emergence of a chemically distinct molecule. As expected, the thermodynamically favorable products  $Li_2C(OH)O_2$  and  $LiHCO_3$  act as precursors to the formation of known inorganic SEI components, as seen from their transformation to  $LiHCO_3$ ,  $Li_2CO_3$ , and  $LiCO_3$  during the course of the ReaxFF-CMD simulations.

Organic products such as  $CH_3OCHO$  and  $CH_3OCOOH$  were found to detach from the anode surface. Notably, organic SEI products like  $CH_3OLi$  and  $CH_3OCOOLi$  tended to move away from the surface, except on the  $-CO$  FG, supporting a bottom-up SEI formation mechanism. The strong attachment of these organic SEI products to the  $-CO$  FG populated graphite surface is likely due to stronger O–Li interactions, as indicated in Table 1.

Our results indicate that higher surface coverage of the graphite anode with  $-CO$  FGs leads to surface poisoning through the adsorption of free radicals, which reduces the number of active sites. The  $-COH$  and  $-COOH$  FGs are the ones that favor the formation of inorganic SEI components during the ReaxFF-CMD simulations. In the long-term, these FGs, especially the  $-COH$  FG, will be the most active ones to promote DMC decomposition reactions on the graphite anode surface producing both organic and inorganic SEI components following the bottom-up mechanism.

## 4. Conclusions

In this study, we explored the decomposition mechanisms of dimethyl carbonate (DMC) on functionalized graphite anode surfaces during the early stages of Li-ion battery (LIB) operation. Using a combined first principles and classical reactive force field (ReaxFF) approach, we identified key processes and reaction pathways that contribute to SEI formation and long-term battery performance.

Our findings highlight the critical role of  $Li^+$  ions in initiating DMC chemisorption and facilitating charge transfer, both of which are essential for subsequent surface mediated decomposition reactions. During the early stages of battery operation, DMC decomposition predominantly leads to the formation of organic products, regardless of the number of  $Li^+$  ions present on the functionalized graphite anode surfaces. Notably, Hydrogen Atom Transfer (HAT) mechanisms, classified into three distinct types, emerge as pivotal drivers of these processes.

$-COH$  exhibited the highest reactivity among the investigated FGs, with  $-COOH$  following closely. This enables both one- and two-electron DMC decomposition pathways, whereas  $-CHO$  demonstrated the lowest activity. Our simulations predicted the formation of well-known SEI components such as  $CH_3OCOOLi$  and  $CH_3OLi$ , as well as several less commonly reported products, including  $CH_4$ ,  $CH_3OCOOLi$ ,  $CH_3OCHO$ ,



$\text{CH}_3\text{OCH}_3$ ,  $\text{LiHCO}_3$ , and  $\text{Li}_2\text{C}(\text{OH})\text{O}_2$ . Furthermore, competitive decomposition of other key electrolyte components, such as ethylene carbonate (EC) and fluoroethylene carbonate (FEC), was particularly pronounced on graphite surfaces enriched with  $-\text{COH}$  and  $-\text{COOH}$  groups.

Long-term stability analysis using ReaxFF-CMD revealed that the predicted gas-phase decomposition products remain stable after detaching from the anode surface. However, the facile C–H transfer processes occurring on OH-rich surfaces, which generate  $\text{CH}_4$ , could compromise long-term performance by inducing microcracks within the SEI layer. Additionally, organic DMC decomposition products were observed to detach and diffuse away from  $-\text{COH}$  and  $-\text{COOH}$  surfaces, supporting a bottom-up SEI formation mechanism. In contrast, the stronger attachment of these products to  $-\text{CO}$  functionalized surfaces may lead to surface poisoning, reducing battery efficiency over prolonged cycling. The onset of  $\text{Li}_2\text{CO}_3$  formation, a key SEI inorganic component, was detected within around 8 to 15 ps on  $-\text{COH}$  and  $-\text{COOH}$  functionalized surfaces, underscoring their sustained reactivity and contribution to SEI growth throughout battery operation.

The insights gained from this study provide a deeper understanding of the intricate interplay between functional groups,  $\text{Li}^+$  ions, and electrolyte decomposition. This knowledge offers valuable guidance for the rational design of more stable and efficient LIB anodes with tailored surface functionalization to optimize SEI composition, enhance long-term stability, and mitigate side reactions. In particular, controlling the density and distribution of  $-\text{COH}$  and  $-\text{COOH}$  groups could help balance the benefits of efficient SEI formation against the risks of gas evolution and surface degradation.

Future research should explore: (i) the decomposition mechanisms of other key electrolyte components, such as ethylene carbonate, ethyl methyl carbonate, and diethyl carbonate and their mixtures on the negative electrode surface, following the methodology established in this study; (ii) the influence of partially and fully  $\text{Li}^+$ -intercalated graphite anodes on the pathways and energetics of electrolyte decomposition; (iii) the synergistic effects of mixed functional groups on electrolyte decomposition and SEI growth; (iv) the impact of electrolyte additives designed to suppress detrimental side reactions while promoting stable SEI formation; (v) the influence of extended simulation timescales and larger system sizes to capture long-term SEI evolution and morphological changes; and (vi) experimental validation of the predicted reaction pathways and by-products through well-defined *in situ* spectroscopy and advanced microscopy techniques. By addressing these avenues, it will be possible to develop advanced electrode-electrolyte interfaces that support higher energy densities, longer cycle life, and improved safety for next-generation LIBs.

## Data availability

The data supporting this article have been included as part of the main text and ESI.†

## Author contributions

Bikram Kumar Das: conceptualization, data curation, formal analysis, investigation, methodology, software, validation, visualization, writing – original draft. Henry A. Cortés: formal analysis, methodology, software, validation, writing – review & editing. Mauricio Rincón Bonilla: conceptualization, project administration, resources, supervision, visualization, writing – review & editing. Menghao Yang: writing – review & editing. Javier Carrasco: project administration, supervision, writing – review & editing. Elena Akhmatskaya: funding acquisition, project administration, resources, supervision, writing – review & editing.

## Conflicts of interest

There are no conflicts to declare.

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