

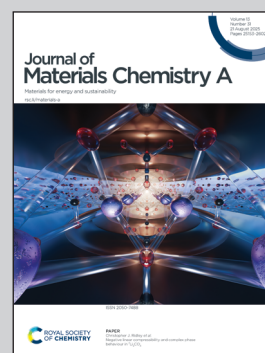
**The research was conducted at Argonne National Laboratory and Case Western Reserve University.**

Investigation of electrode passivation during oxidation of a nitroxide radical relevant for flow battery applications

Electrochemical studies of 4-hydroxy-TEMPO reveal electrode passivation at high concentrations needed for flow batteries, but a self-cleaning mechanism is observed at intermediate concentrations that could be leveraged for practical applications.

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# Investigation of electrode passivation during oxidation of a nitroxide radical relevant for flow battery applications†

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Nitroxide-radicals such as 2,2,6,6-tetramethylpiperidin-1-oxyl (TEMPO) and their derivatives have gained interest as redox-active organic molecules for applications in grid-scale energy storage. In particular, the higher solubility of 4-hydroxy-TEMPO in aqueous media greatly improves its energy density, but unusual kinetics associated with its surface-mediated electrooxidation have limited further development. Here, the apparent passivation behavior of species formed during 4-hydroxy-TEMPO electrooxidation in concentrated electrolytes is investigated. A combination of surface microscopy, X-ray photoelectron spectroscopy, and quartz-crystal gravimetry confirms the formation of a polymeric-type layer over the electrode surface composed of 4-hydroxy-TEMPO-like subunits, which is otherwise not observed with TEMPO. This study indicates that the design of high energy density and stable TEMPO-based redox molecules must also consider the reactivity that may occur due to the molecular characteristics of solubility-enhancing moieties. It is found that the extent of passivation is dependent on the voltage scan rate and 4-hydroxy-TEMPO concentration, underscoring the importance of studying materials at conditions relevant for their proposed applications. Evidence of incomplete passivation and an electrode self-cleaning process is presented, suggesting a materials design strategy to mitigate surface passivation from side reactions that may occur in redox active materials for energy storage applications.

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## 1. Introduction

The integration of low-cost, high-energy density storage solutions are critical for growing resilient and secure electrical power grids.<sup>1</sup> Aqueous-based inorganic redox flow batteries (RFBs) are promising for applications with long discharge duration requirements (>10 hours) given their energy scalability and long lifetimes, as well as the fact that they are less geographically constrained and more modular than pumped hydropower storage.<sup>1–3</sup> However, the state-of-the-art all-vanadium RFB (VRFB) has a high cost inherent from the non-abundant nature of the vanadium precursor as well as its relatively low cell voltage and corresponding lower energy density.<sup>4</sup> Organic RFBs (ORFBs) represent a promising alternative to the

VRFB because they are based on earth-abundant molecules and are tunable for critical factors that affect battery energy and capacity characteristics such as redox potential and solubility.<sup>3,5</sup> ORFBs can be aqueous or non-aqueous. Although non-aqueous ORFBs have expanded voltage windows because they are not limited by the electrochemical stability of water,<sup>4,6</sup> they can suffer from poor solubility, low power density, and safety concerns.<sup>3,5</sup> Aqueous ORFBs represent a superior alternative because they are non-combustible and tend to have higher solubility and ionic conductivity.<sup>3,5,6</sup>

Nitroxide radical-containing molecules like 2,2,6,6-tetramethylpiperidin-1-oxyl (TEMPO) and its derivatives are a promising class of RFB catholyte chemicals on account of their rapid kinetics and relatively high redox potential.<sup>7</sup> These TEMPO-based molecules have been explored extensively for use in RFBs in both non-aqueous<sup>8–15</sup> and aqueous<sup>16–41</sup> electrolytes. Many different functionalization reactions of TEMPO have been reported in an effort to improve its stability, solubility, redox potential, and reduce crossover, including the addition of an oxygen,<sup>12</sup> methoxy,<sup>10,41</sup> hydroxy (4-OH-TEMPO or HT),<sup>21–23</sup> carboxyl,<sup>20</sup> amine-based groups such as TMAP-TEMPO,<sup>19</sup> TEMPTMA or N<sup>Me</sup>-TEMPO,<sup>24,25,30,31</sup> (TPABPy)Cl<sub>3</sub>,<sup>37</sup> MIACNH-TEMPO,<sup>36</sup> and N<sub>2</sub>-TEMPO,<sup>26</sup> sulfonates,<sup>33</sup> Riboflavin,<sup>38</sup> and various polymerized TEMPO molecules.<sup>11,13–15,18,28,29,39,42</sup> HT has

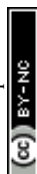
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been identified as an especially promising redox-active species for use in aqueous catholytes or posolytes due to its commercial availability, higher solubility in water compared to TEMPO (2.11 M vs. 0.07 M),<sup>22,40</sup> and comparable reaction durability.

Previous studies of HT have established it as a plausible aqueous RFB catholyte at modest concentrations (e.g., 0.05–0.5 M), however detailed kinetic studies by Shaheen *et al.*<sup>43,44</sup> observed an unusual electrochemical behavior that must be investigated further if HT is to be used in RFBs. The seminal investigation of HT for use as an RFB catholyte tested its performance at concentrations up to 0.5 M HT in 1.5 M NaCl (its solubility limit at this concentration of NaCl), coupled with methyl viologen as the anolyte, and found that a voltage of 1.25 V and capacity retention of 89% could be achieved after 100 cycles with a current density of 60 mA cm<sup>-2</sup>.<sup>22</sup> Linear sweep voltammetry (LSV) studies revealed that the oxidation of HT was mass transport controlled at a scan rate of 5 mV s<sup>-1</sup> at potentials greater than 0.9 V vs. NHE.<sup>22</sup> An additional study of HT in a full cell configuration coupled with a Zn anode reported a voltage of 1.42 V and coulombic efficiency of 86.1% for a system of 0.1 M HT in 1 M NaCl at 10 mA cm<sup>-2</sup> current density.<sup>21</sup> Cyclic voltammetry (CV) behavior of 0.1 M HT in 1 M NaClO<sub>4</sub> appeared reversible, and the reported kinetic rate constant suggested a quasi-reversible reaction at the conditions tested.<sup>21</sup> Shaheen *et al.* have previously conducted electrochemical studies of HT in both aqueous and non-aqueous electrolytes, however, that resulted in unusually large anodic charge transfer coefficients in both aqueous and non-aqueous electrolytes. Additionally, *in situ* electrochemical surface enhanced Raman spectroscopy showed the appearance of new C- and N-related bands during oxidation, and the open circuit voltage relaxation time following an oxidation event of HT was slower than expected for a purely diffusion-limited process.<sup>44</sup> Based on these observations, a two-step charge transfer mechanism was proposed wherein HT first undergoes a fast adsorption and electron transfer, followed by a rate-limiting desorption step.<sup>43</sup> In this study, however, we explore a new interpretation that would be equivalent with the previously proposed mechanism: passivation of the electrode surface. Irreversible passivation would be detrimental to the performance of an RFB, which requires repeated deep discharge and charge cycling. Importantly, limited experimental information based on concentrations of HT less than 0.5 M and scan rates greater than 5 mV s<sup>-1</sup>, as used throughout the literature, prevent a deeper understanding of the electrochemical behavior of HT in conditions that are more realistic for RFB applications. Therefore, it is important to work at concentrations close to the solubility limit to fully utilize the potential of the redox-active organic material (ROM), and to understand how the ROM behaves at scan rates that are close to long duration energy storage battery operation.

Herein, we expand the concentration range of HT studied to be between 0.002 and 2 M, and we explore voltammetric behavior at scan rates between 0.5 and 1000 mV s<sup>-1</sup> across several dissimilar electrodes. We identify that HT passivation indeed occurs at high concentrations and low scan rates and is independent of electrode surface, and that the rate of passivation

increases as HT concentration increases or scan rate decreases. Additionally, we further characterize the species present on the electrode surface through electrochemical techniques coupled with X-ray photoelectron spectroscopy (XPS), quartz crystal gravimetry, and electron microscopy. These characterization efforts confirm the formation of a surface passivating film. Furthermore, the presence of the film uniquely forming with HT, but not TEMPO, suggests the significance of the hydroxyl moiety in mediating the passivation reaction. Finally, *via* rotating disk electrode (RDE), rotating ring disk electrode (RRDE), and *in situ* inductively coupled plasma mass spectrometry (ICP-MS), we reveal evidence of a possible self-cleaning mechanism of the electrode surface that can be achieved at intermediate HT concentrations which presents a possible path forward for using HT as an RFB catholyte. These findings related to the behavior and composition of the passivation layer have important implications for the use of HT as an active material in an RFB and highlight the importance of studying a promising active material at conditions consistent with the end-use application.

## 2. Experimental

### 2.1 Electrolyte preparation

Sodium chloride (NaCl, 99%, Sigma-Aldrich), TEMPO (C<sub>9</sub>H<sub>18</sub>NO, 99%, Sigma-Aldrich), 4-hydroxy-TEMPO (HT, C<sub>9</sub>H<sub>18</sub>NO<sub>2</sub>, >98% purity, Alfa Aesar) were used as received. Aqueous electrolytes of TEMPO (0.05 M) and HT (0.002 M–2 M) in 0.5 M NaCl were prepared using Millipore ultrapure (18.2 MΩ cm) deionized water and de-aerated by purging with Ar gas (Airgas, Research Plus grade).

### 2.2 Electrochemical measurements

All electrochemical measurements were performed in a standard three-electrode configuration. For rotating disk electrode (RDE) experiments, the working electrodes were glassy carbon (GC), Pt, or Au. For rotating ring disk electrode (RRDE) experiments, a platinum ring (7.5 mm inner diameter, 8.5 mm outer diameter) that is integrated into the collet that secures the disk electrode along with its PTFE sleeve (u-cup) was used. Prior to each experiment, the disk electrodes were polished sequentially using 1 μm alumina slurry, followed by 0.3 μm, and finally 0.05 μm slurry. After the electrodes were polished, they were ultrasonicated first in ethanol followed by deionized water. For RRDE experiments, the ring was polished with a 0.05 μm Al<sub>2</sub>O<sub>3</sub> slurry on a microfiber polishing pad, then sonicated in isopropanol (once for 5 minutes), followed by two sonication steps in DI water. The counter electrode was a graphite rod (Alfa Aesar, 99.9995% metals basis), and the reference electrode was Ag/AgCl (3 M KCl, Sigma-Aldrich). An AutoLAB PGSTAT302N was used for all electrochemical measurements. Voltammetry using the RDE configuration was performed at rotation rates varying between 0 and 1600 rpm. Appropriate *I*R<sub>Ω</sub>-correction was applied to steady state data using *R*<sub>Ω</sub> = 40 Ω for a fixed cell geometry and electrode placement. Due to the observed higher resistivity at concentrations at, or greater, than 0.2 M HT, CV





data was collected using live  $IR_{\Omega}$ -compensation, which accounts for 90% of total resistance.

For quantification of oxidation side-products ( $O_2$ ,  $Cl_2$ , and  $H_2O_2$ ) using RRDE measurements, the Pt ring electrode was scanned over a potential range of  $-0.2$  to  $1.7$  V vs. RHE under three distinct experimental conditions, each designed to isolate the current contribution from a specific species. In the first, the electrolyte ( $0.5$  M NaCl) was saturated with  $O_2$  (Airgas, 99.9999% Research Plus grade). In the second,  $0.001$  M of  $H_2O_2$  was added to the Ar-saturated (Airgas, 99.9999% Research Plus grade) electrolyte. In the third (for  $Cl_2$  detection), a mixed electrolyte of  $0.1$  M  $H_2SO_4 + 0.05$  M NaCl was employed. In this case,  $Cl^-$  was electrochemically oxidized to  $Cl_2$  using a  $6$  mm  $IrO_2$  disk electrode, which is known for its activity toward chlorine evolution.<sup>45</sup> The  $IrO_2$  electrode was held at anodic potentials sufficient to generate  $Cl_2$ , while the Pt ring was continuously scanned to detect the oxidation products.

The selectivity toward  $O_2$ ,  $Cl_2$ , and  $H_2O_2$  produced at the glassy carbon electrode in  $0.5$  M NaCl was evaluated using the RRDE configuration. The disk electrode was swept from  $0.2$  to  $1.55$  V vs. Ag/AgCl at a scan rate of  $0.5$  mV s<sup>-1</sup> under hydrodynamic conditions (900 rpm), while the Pt ring was held at three potentials chosen to enable the selective detection of each species. Partial currents corresponding to  $O_2$ ,  $Cl_2$ , and  $H_2O_2$  were deconvoluted using eqn (1)–(3), which describe the individual electrochemical responses at the ring. Ring currents were corrected using the theoretical collection efficiency based on the RRDE geometry ( $N = 0.24$ ), yielding the corresponding product selectivity.

$$FE_{Cl_2} = \left( \frac{|j_{0.9V}^{ring}|}{j_{disk}} \right) \times \frac{1}{N} \times 100\% \quad (1)$$

$$FE_{H_2O_2} = \left( \frac{|j_{1.5V}^{ring}|}{j_{disk}} \right) \times \frac{1}{N} \times 100\% \quad (2)$$

$$FE_{O_2} = \left[ \left( \frac{|j_{-0.1V}^{ring}|}{j_{disk}} \right) - \left( \frac{|j_{0.9V}^{ring}|}{j_{disk}} \right) - \left( \frac{|j_{1.5V}^{ring}|}{j_{disk}} \right) \right] \frac{1}{N} \times 100\% \quad (3)$$

### 2.3 Quartz crystal microbalance (QCM)

A QCM200 (Stanford Research Systems) was used to collect all *in situ* gravimetric data. A  $5$  MHz FilTech Pt quartz crystal was used as the working electrode. The counter and reference electrodes were graphite and saturated Ag/AgCl, respectively. The crystal was cleaned by first rinsing with ethanol followed by de-ionized water, and dried with Ar. The electrolyte was de-aerated with an Ar purge.

### 2.4 Scanning electron microscopy (SEM)

All SEM images were taken on a Zeiss Sigma 300 microscope and collected at  $5$  kV and  $10$  kV. Electrode samples were polished using the procedure outlined above. Following electrochemical treatment, the electrodes were rinsed with excess deionized water and dried with an Ar stream to ensure residual

electrolyte was removed. Samples were immediately mounted for imaging.

### 2.5 X-ray photoelectron spectroscopy (XPS) measurements

XPS measurements were performed using a Specs PHOIBOS 150 hemispherical energy analyzer using a monochromated Al K $\alpha$  X-ray source. Survey spectra were measured using a pass energy of  $40$  eV at a resolution of  $0.2$  eV per step and a total integration time of  $0.1$  s per point. Core level spectra were measured using a pass energy of  $20$  eV at a resolution of  $0.05$  eV per step and a total integration time of  $0.5$  s per point. Deconvolution was performed using CasaXPS software with a Shirley-type background and 70–30 Gaussian–Lorentzian peak shapes. Spectra were charge referenced using the deconvoluted position of sp<sup>3</sup> carbon at  $284.8$  eV. Samples were prepared using polycrystalline Pt electrodes and the same rinsing/drying procedure employed for SEM analysis.

### 2.6 Inductively coupled plasma mass spectrometry (ICP-MS)

*In situ* ICP-MS was coupled with a stationary probe rotating disc electrode (SPRDE) as described in a previous report<sup>46</sup> to measure Au ( $197$  amu) dissolution. The system operated with a flow rate of  $14$   $\mu$ L s<sup>-1</sup>, a  $6$  s delay time, and a working electrode rotation rate of  $100$  rpm which resulted in a probe collection efficiency of  $0.25$ .

## 3. Results and discussion

### 3.1 Electrochemical evidence of passivation

We begin by exploring the effect of scan rate on the behavior of the glassy carbon (GC) RDE in the presence of HT in an aqueous solution. CVs were collected in  $0.2$  M HT/ $0.5$  M NaCl as a function of scan rate at  $900$  rpm, see Fig. 1. As seen in Fig. 1a and b, at scan rates of  $1000$  mV s<sup>-1</sup> and  $100$  mV s<sup>-1</sup>, the CVs are

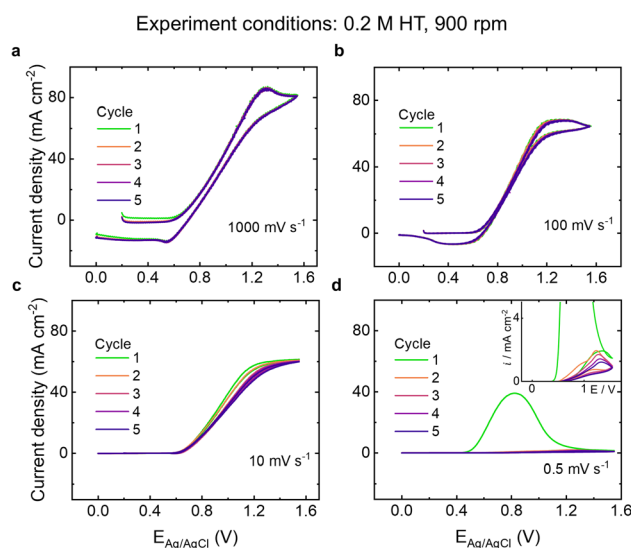


Fig. 1 Series of CVs of GC RDE in  $0.2$  M HT/ $0.5$  M NaCl solution collected at  $900$  rpm for the following scan rates: (a)  $1000$  mV s<sup>-1</sup>, (b)  $100$  mV s<sup>-1</sup>, (c)  $10$  mV s<sup>-1</sup>, and (d)  $0.5$  mV s<sup>-1</sup>.



consistent cycle after cycle. There are clear oxidation and reduction peaks, however the reduction peak is not equal in magnitude to the oxidation peak. This difference could be because the use of rotation results in a thinner diffusion layer than there would be without rotation, which means there is less time required for the oxidized product to diffuse away from the surface before rotation convection transports the ions away, which could result in smaller reduction activity. This rotation effect in which the local ion concentration affects the CV shape is a known phenomenon that has been observed for other electrochemical systems.<sup>47,48</sup> For the first CV cycle at  $10 \text{ mV s}^{-1}$  (Fig. 1c), the current plateaus at potentials greater than  $1.2 \text{ V vs. Ag/AgCl}$ , indicating mass transport control, and the reduction peak disappears almost entirely. The loss of the reduction peak as a function of scan rate could be due to the effect of rotation limiting the amount of oxidized HT available for reduction or it could suggest the existence of a two-step mechanism in which the oxidation (E) is coupled with a slow chemical step (C).<sup>49</sup> Importantly, the overpotential required to reach the current plateau in successive CV cycles increases in Fig. 1c, suggesting that the GC electrode surface is altered with each CV cycle at  $10 \text{ mV s}^{-1}$ . To further probe this effect, a significantly slower scan rate of  $0.5 \text{ mV s}^{-1}$  was explored (Fig. 1d).

In the first CV cycle of Fig. 1d, an oxidation peak is observed on the forward sweep, and there is no obvious reduction peak observed on the reverse sweep, similar to Fig. 1c. A peak current of  $39 \text{ mA cm}^{-2}$  is observed at  $0.82 \text{ V vs. Ag/AgCl}$ . Based on the Levich equation,<sup>50</sup> which indicates that the diffusion-limited current,  $i_L$ , will depend on the rotation rate (but not the scan rate),  $i_L$  is expected to be  $76 \text{ mA cm}^{-2}$  in  $0.5 \text{ M NaCl}$ , meaning that the observed current is only 51% of the expected  $i_L$  (see Table S1 in ESI for more details†). In successive CV cycles (see inset of Fig. 1d), the oxidation peaks continuously decrease in magnitude and are significantly diminished relative to the first cycle. A similar observation was reported in a 2018 study on phenol oxidation,<sup>51</sup> and was attributed to the formation of a blocking film. As a consequence of such a film passivating the electrode, we also observe an increase in the overpotential required to drive  $1 \text{ mA cm}^{-2}$ . Between cycles 1 and 2, the overpotential increased by over  $400 \text{ mV}$ . Passivation clearly becomes more prevalent at lower scan rates. Given that passivation is already a significant problem at  $0.5 \text{ mV s}^{-1}$ , we did not study even lower scan rates despite their relevance for long discharge duration applications in order to strike a balance between experimentally practical and realistic conditions.

To determine the type of mechanism that is occurring with HT present in solution, we collected electrochemical behavior of  $0.2 \text{ M HT}$  on two other, dissimilar electrodes: Pt and Au. As shown in Fig. S1,† the electrochemical behavior across CV scan rates is consistent. At both  $0.5 \text{ mV s}^{-1}$  and  $10 \text{ mV s}^{-1}$ , the potential at which activity begins is the same for all three surfaces, after accounting for differences in  $iR_\Omega$  correction. This suggests that the electron transfer that occurs when HT is electrochemically oxidized in  $0.5 \text{ M NaCl}$  is the same for all surfaces, indicative of an outer-sphere electron transfer (ET). At  $0.5 \text{ mV s}^{-1}$  for each of the electrodes (Fig. S1b†), some amount

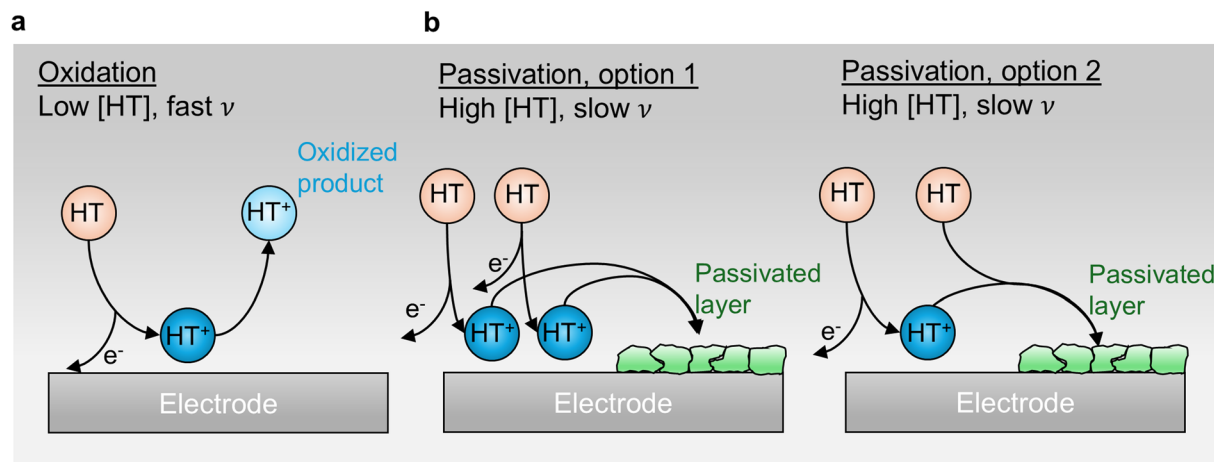
of passivation occurs for each of the electrodes based on the peak in current that is less than the expected  $i_L$ .

To explain our observations, we suggest the occurrence of a surface passivation mechanism. At conditions where passivation will be sluggish, the electron transfer occurs such that HT is oxidized to the product  $\text{HT}^+$  via an outer-sphere mechanism (Scheme 1a). We propose that a competing surface reaction of passivation becomes more prevalent at slower scan rates. Under such conditions, the oxidized  $\text{HT}^+$  either self-reacts or reacts with HT (Scheme 1b) to form a blocking film. The rate of this passivation reaction is also expected to be dependent on the amount of HT present in solution. At high applied overpotentials, i.e., high current, the amount of  $\text{HT}^+$  produced is large and passivation is facile. At low applied overpotentials, and low current, the amount of  $\text{HT}^+$  produced is small and passivation is sluggish. At low overpotentials ( $0.65 \text{ V vs. Ag/AgCl}$  in Fig. S2†),  $\text{HT}^+$  self-reaction and surface passivation may still occur. However, because the amount of  $\text{HT}^+$  produced is still very small, the electrode behaves as a clean electrode. The competitive nature of HT oxidation, proposed to be dependent on both scan rate and HT concentration, highlights the importance of studying electrochemical processes at conditions relevant for their end application, e.g., slow charging rates and high ROM concentrations for RFBs.

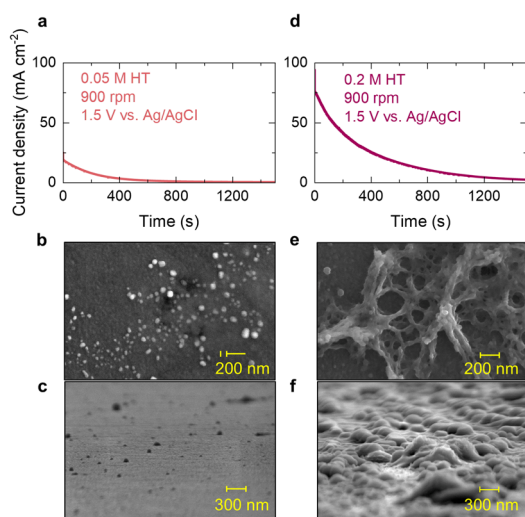
### 3.2 Characterizing the passivated layer

Given the influence of HT concentration on the rate of passivation proposed in Scheme 1, *ex situ* scanning electron micrographs (SEM) were collected to physically characterize the electrode surface following the oxidation of HT at two different concentrations,  $0.05 \text{ M}$  (Fig. 2a–c) and  $0.2 \text{ M}$  (Fig. 2d–f). The current transient responses to an applied potential of  $1.5 \text{ V vs. Ag/AgCl}$  (within the diffusion limited region) are shown in Fig. 2a and d for  $0.05 \text{ M}$  and  $0.2 \text{ M HT}$ , respectively. In both cases, the current transient rapidly decays and a heterogeneous organic layer is clearly prevalent on the electrode surface, albeit to different extents. Fig. 2b and c show that at  $0.05 \text{ M HT}$ , isolated islands of an oxidized product appear on the electrode surface. The SEM of a bare GC electrode is included in the ESI† for comparison, and only the polishing grooves of the pristine electrode are visible (Fig. S3†). At  $0.2 \text{ M HT}$ , the oxidized product has significantly more coverage over the GC electrode (Fig. 2e and f), highlighting that HT concentration plays a role in extent of passivation. A cross-sectional view of the GC oxidized at  $0.2 \text{ M HT}$  (Fig. S4†) reveals that the passivated product formed on the GC surface has radial dimensions, potentially indicating that the unpassivated portions of the covered electrode act as a series of microelectrodes experiencing radial diffusion during passivation at these concentration and potential conditions.<sup>52</sup> We find that a disperse passivation film also forms for the  $0.2 \text{ M HT}$  solution at lower potentials (Fig. S5†), highlighting the interplay between HT concentration and potential on the passivation mechanism. Additionally, the effect of the hydroxyl moiety was investigated by comparing HT to TEMPO (Fig. S6†), and the electrochemical and microscopic





**Scheme 1** Proposed oxidation mechanisms for HT in 0.5 M NaCl for the following limiting cases: (a) low HT concentration ([HT]), fast scan rates ( $\nu$ ), or (b) high [HT], slow  $\nu$ .

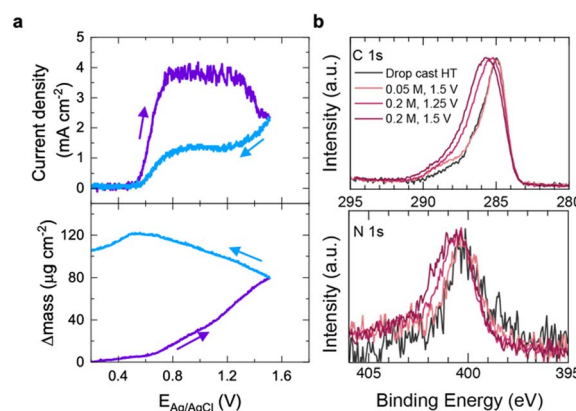


**Fig. 2** For a 0.05 M HT/0.5 M NaCl solution and a GC RDE oxidized for 1500 s at 1.5 V vs. Ag/AgCl: (a) current transient response to the applied potential step, and corresponding SEM images with a (b) top-down view and (c) cross sectional view at an 86.5° angle. For a 0.2 M HT/0.5 M NaCl solution and a GC RDE oxidized for 1500 s at 1.5 V vs. Ag/AgCl: (d) current transient response to the applied potential step, and corresponding SEM images with a (e) top-down view and (f) cross sectional view at an 86.5° angle.

results suggest that the hydroxyl moiety participates in mediating the surface passivation.

In addition to SEM imaging, *in situ* gravimetry and *ex situ* X-ray photoelectron spectroscopy (XPS) were used to confirm the presence of a passivated film in solutions with HT. Fig. 3a shows the gravimetric response to the electrooxidation of HT in an aqueous electrolyte collected on an electrochemical quartz crystal microbalance (eQCM) using a Pt electrode, which is more commonly used for eQCM measurements than GC. As discussed previously, we have shown that the electrochemical behavior of Pt, GC, and Au RDEs are all similar in 0.2 M HT/0.5 M NaCl (Fig. S1†), suggesting the passivation mechanism is

still relevant and that findings for the Pt electrode are transferable to the GC electrode.<sup>53</sup> In order to increase the amount of mass changes due to the passivation film formation we employed a slower sweep rate of 0.1 mV s<sup>-1</sup>. As the voltamogram is scanned anodically in Fig. 3a (upper panel), the onset potential is 0.55 V vs. Ag/AgCl. At this potential in Fig. 3a (lower panel), the relative mass of the Pt electrode also increases. As the current density decreases on the reverse scan in Fig. 3a, the relative mass does not return to zero, however. While Pt oxide could be forming at these potentials, we show that at these pH and electrolyte conditions, this does not result in significant changes in mass (see Fig. S7†). On the reverse sweep, there is in fact a mass loss, which has also been reported in previous reports of Pt oxidation and reduction,<sup>54,55</sup> and is not what we observe in Fig. 3a. Based on the fact that we observe a significant mass gain in the presence of HT oxidation that is not



**Fig. 3** For a 0.2 M HT/0.5 M NaCl solution: (a) slow-scan (0.1 mV s<sup>-1</sup>) voltammetry collected on a Pt eQCM, and the corresponding gravimetric response. Peak-to-peak normalized, high-resolution XPS spectra for (b) C 1s (upper panel) and N 1s (lower panel) collected on a Pt electrode for the following conditions: drop cast HT (dark gray), oxidized for 30 s at 0.05 M HT and 1.5 V vs. Ag/AgCl (light pink line), oxidized for 30 s at 0.2 M HT and 1.25 V vs. Ag/AgCl (pink line), and oxidized for 30 s at 0.2 M HT and 1.5 V vs. Ag/AgCl (dark pink line).





replicated when HT is not present, we conclude that Pt oxidation is not significantly contributing to our eQCM results and instead, it further confirms the formation of a species blocking the electrode surface. To be consistent with the eQCM study, and because any C 1s core level XPS features would be masked on GC, XPS of an oxidized Pt electrode was explored next.

XPS analysis of Pt electrodes exposed to HT under a variety of conditions, including drop casting and oxidation for 30 seconds in the presence of HT at varying concentrations (0.05 and 0.2 M) and oxidation potentials (1.25 and 1.5 V vs. Ag/AgCl) reveal systematic changes in the chemistry of the adsorbed film (Fig. 3b). We note that HT is relatively volatile, and pump-down to ultrahigh vacuum conditions for XPS measurements resulted in low signals related to the HT layer, particularly for drop-cast and 0.05 M HT samples; nonetheless, clear trends in surface chemistry and layer thickness were still distinguishable. In particular, the surface chemistry of electrodes oxidized in the presence of 0.05 M HT is essentially indistinguishable from that of an electrode with pristine HT drop cast onto it, consistent with the relatively thin and discontinuous passivation layers observed in Fig. 2b and c. In contrast, electrodes oxidized in the presence of 0.2 M HT at 1.25 V vs. Ag/AgCl reveal clear shifts to higher binding energy (BE) in the peak envelopes for both the C 1s and N 1s (Fig. 3b) core levels, consistent with the oxidation of HT *via* the mechanism discussed above. Increasing the oxidation potential to 1.5 V vs. Ag/AgCl yielded increased shifts in these peak envelopes to higher BE, as well as a thicker film as determined by the greater extent of signal attenuation from the underlying Pt electrode (Fig. S8, S9 and Table S2†). The observed changes in the C 1s core level spectrum indicate the formation of a greater fraction of oxidized C–O, C=O and O–C=O species (Fig. S10, Tables S3 and S4†), consistent with increasing oxidation of the HT molecule at higher potentials and/or concentration. Although the N 1s signal is relatively weak, there is also a clear shift to higher BE that is attributed to electron density being drawn away from the nitrogen atom in the HT molecule as it is oxidized. Scheme S1 in the ESI† demonstrates a possible mechanism that aligns with these XPS findings as well as SEM evidence of a polymer and the passivation mechanism laid out in Scheme 1. Overall, these results are consistent with increased oxidation of HT at higher potentials, as well as with the thicker passivating films observed in Fig. 2e and f for 0.2 M solutions relative to 0.05 M solutions. The difference in passivated films observed for a 0.05 M and 0.2 M HT solutions observed through SEM and XPS further aligns with our proposed passivation mechanism in Scheme 1 that HT concentration affects the extent of passivation.

### 3.3 Effect of HT concentration of passivated layer

Given the evidence from SEM and XPS that in addition to scan rate, HT concentration affects passivation, we studied the effect of HT concentration on the electrochemical behavior. We find that passivation of the GC does not begin until concentrations are greater than 0.01 M HT and that passivation happens faster as concentration increases. Fig. 4a shows CVs of a GC collected at various concentrations of HT in 0.5 M NaCl, ranging from

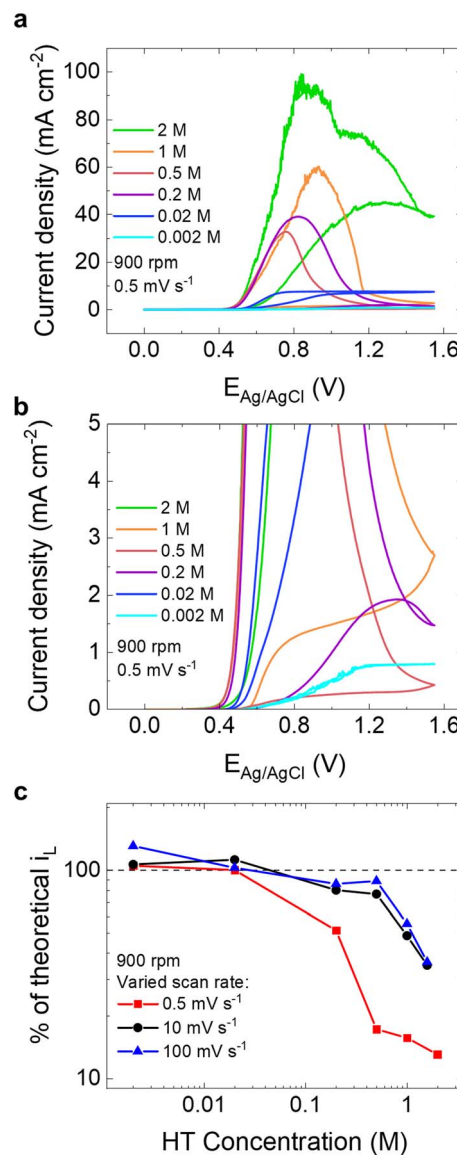


Fig. 4 (a) CVs of GC RDE in 0.5 M NaCl collected at a rotation rate of 900 rpm and a scan rate of 0.5 mV s⁻¹ at a variety of HT concentrations ranging from 0.002 M to 2 M. (b) Zoomed in inset of CVs collected at 900 rpm, 0.5 mV s⁻¹, and 0.002–2 M HT. (c) Percentage of theoretical limiting current density,  $i_L$ , as a function of HT concentration at 900 rpm and varied scan rates (0.5 mV s⁻¹, red squares; 10 mV s⁻¹, black circles; 100 mV s⁻¹, blue triangles).

0.002 M to 2 M HT. These CVs were collected using a rotation rate of 900 rpm and a scan rate of 0.5 mV s⁻¹, which were conditions previously established to result in passivation at 0.2 M HT. At concentrations greater than 0.2 M HT, there is a clear peak in the anodic sweep, consistent with the passivation behavior observed at 0.2 M HT. Successive cycles of each of these samples demonstrated significantly lower current density magnitudes (Fig. S11†), corroborating our conclusion that passivation is occurring at these HT concentrations. The noise incurred in the CV at 1 M and 2 M HT samples is due to current range limitations of the potentiostat. The effect of HT concentration of Au's and Pt's behavior was also explored (Fig. S12†),



and it was found that the electrochemical behavior remains the same for all electrodes up to 0.2 M HT and a wide range of scan rates, further supporting the proposed outer-sphere electron transfer behavior. From Fig. 4a and b, it is evident that the oxidation current is mass transport-controlled at HT concentrations equal to or less than 0.02 M HT. While there is no evidence of passivation for the 0.002 M HT solution, the 0.02 M HT solution reveals irreversible behavior given the decrease in activity on the negative scan that could still suggest passivation is occurring, albeit at slower rates.

To better understand the extent of passivation as a function of concentration and scan rate, the peak current achieved for each HT concentration is compared to the expected theoretical diffusion-limited current,  $i_L$ , in Fig. 4c at several scan rates. Details regarding the theoretical  $i_L$  are included in Table S1.† The percentage of observed current relative to  $i_L$  are most likely greater than 100% at 0.002 M and 0.02 M HT because the diffusion coefficient ( $D$ ) and viscosity ( $\mu$ ) values used in the Levich equation were based on a more concentrated HT solution, where  $D$  is expected to be larger and  $\mu$  is expected to be smaller (see ESI for more details†). At 0.5 mV s<sup>-1</sup>, there is a significant drop in observed current relative to  $i_L$  from 100% at 0.02 M HT to 51% at 0.2 M HT. The observed current drops even more dramatically at 0.5 M HT, meeting only 17% of the expected limiting current. As the HT concentration is further increased, the percentage of theoretical  $i_L$  met continues to decrease, although at a slower rate. It is evident that at a scan rate of 0.5 mV s<sup>-1</sup>, passivation becomes more prevalent as HT concentration increases. Compared to the electrochemical behavior at 0.5 mV s<sup>-1</sup>, passivation proceeds more slowly at 10 mV s<sup>-1</sup> and 100 mV s<sup>-1</sup>, with more than 75% of  $i_L$  still being achieved at 0.5 M HT for both scan rates. At concentrations greater than 0.5 M HT, however, the drop off in observed current relative to  $i_L$  becomes sharper, suggesting that the rate of passivation is controlled by an interplay of scan rate and concentration. Clearly, when assessing active materials for RFB applications, it is critical to study the electrochemical behavior of the material at realistic operating conditions, *e.g.*, slow discharge or charge rates and high concentrations. If HT is to be considered as a ROM for a RFB, the reality of its passivation behavior must be contended with.

Curiously, studying the CV cycles at the intermediate concentration of 0.02 M HT revealed an interesting electrochemical behavior that could be exploited to address the issue of HT passivation through a self-cleaning strategy. In Fig. 5a, a series of sequential CV cycles completed on one GC RDE in 0.02 M HT/0.5 M NaCl and a fresh GC RDE in 0.5 M NaCl without HT are included. The oxidation on the first cycle with HT is mass-transport controlled and achieves the expected  $i_L$ , however, there is a reduced level of electrochemical activity on the return sweep, unlike the steady state behavior observed at 0.002 M HT. It is notable that on the second CV cycle in Fig. 5a, the oxidation current density for the 0.02 M HT solution is reduced and the overpotential required to oxidize the material is increased relative to the first cycle (see Fig. S13† for overlapping CV cycles), both of which suggest that the GC is already partially passivated. Surprisingly, there is an uptick in activity at

approximately 1.20 V *vs.* Ag/AgCl, which aligns with the potential at which the GC surface appears to undergo an oxidation reaction on the second cycle even without HT present (lighter colored traces in Fig. 5a). Note that the dashed vertical lines in Fig. 5a were identified simply based on when the uptick in activity occurs for the system with HT present and then compared to the bare GC potential profile. While we refer to it as the onset potential for carbon oxidation, it is important to emphasize that our choice reflects the correlation between the carbon oxidation voltammetry traces observed for bare GC surface and the recovery of the HT oxidation activity approaching the expected diffusion limit. It is understood that the choice of onset potential does not have a standard accepted definition,<sup>56</sup> and the correlation observed here serves to give context to the processes underlying the sudden changes in activity observed during oxidation of lower concentrations of HT. On the reverse sweep, the activity for the solution with HT present is higher than in the forward, anodic scan direction. In the third CV cycle, the oxidation current activity with 0.02 M HT is still lower, but the uptick that aligns with oxidation of the GC surface without HT present occurs at an earlier potential, 1.12 V *vs.* Ag/AgCl. The current density on the return sweep is once again elevated and is comparable to the current observed on the return sweep in cycle 2. Although this shift of onset potential to lower voltages might seem counterintuitive given that more GC is being blocked by passivated product, there are other factors at play such as roughening of the GC surface or the ease of carbon oxidation increasing once it is activated, which we in fact observed (see lighter colored traces in Fig. 5a). This unexpected electrochemical behavior suggests that the passivation mechanism can be mitigated *via* removal of a surface layer that effectively cleans the passivating film, as we discuss next.

To align with the observed electrochemical behavior at 0.02 M HT, we propose an addition to our mechanism outlined in Scheme 1 that is relevant at intermediate HT concentrations on GC, referred to as “self-cleaning”. We propose that at intermediate HT concentrations like 0.02 M, incomplete passivation of the GC surface occurs, which is corroborated by the fact that oxidation activity is still observed on the reverse sweep in the first cycle of Fig. 5a. The incomplete passivation results in exposed areas of GC that are capable of being oxidized, which has been observed previously for GC in neutral media.<sup>57</sup> An alternative mechanism could be that oxygen evolution is occurring, however, it would not be thermodynamically favorable in neutral media.<sup>57</sup> Additionally, as described in more detail below, our rotating ring disk electrode (RRDE) measurements indicate that a process like carbon corrosion could be occurring at these early electrode potential oxidation conditions.

To further probe the possible products formed during oxidation and identify possible mechanisms that happen during the observed self-cleaning, we used RRDE measurements since it can be used to quantify products formed at the disk electrode surface by reacting them at the ring.<sup>58</sup> As demonstrated by Fig. 5b, a Pt ring was used to quantify the production of O<sub>2</sub>, H<sub>2</sub>O<sub>2</sub>, and Cl<sub>2</sub> at the GC disk surface (see methods section and Fig. S14† for protocol used to establish the





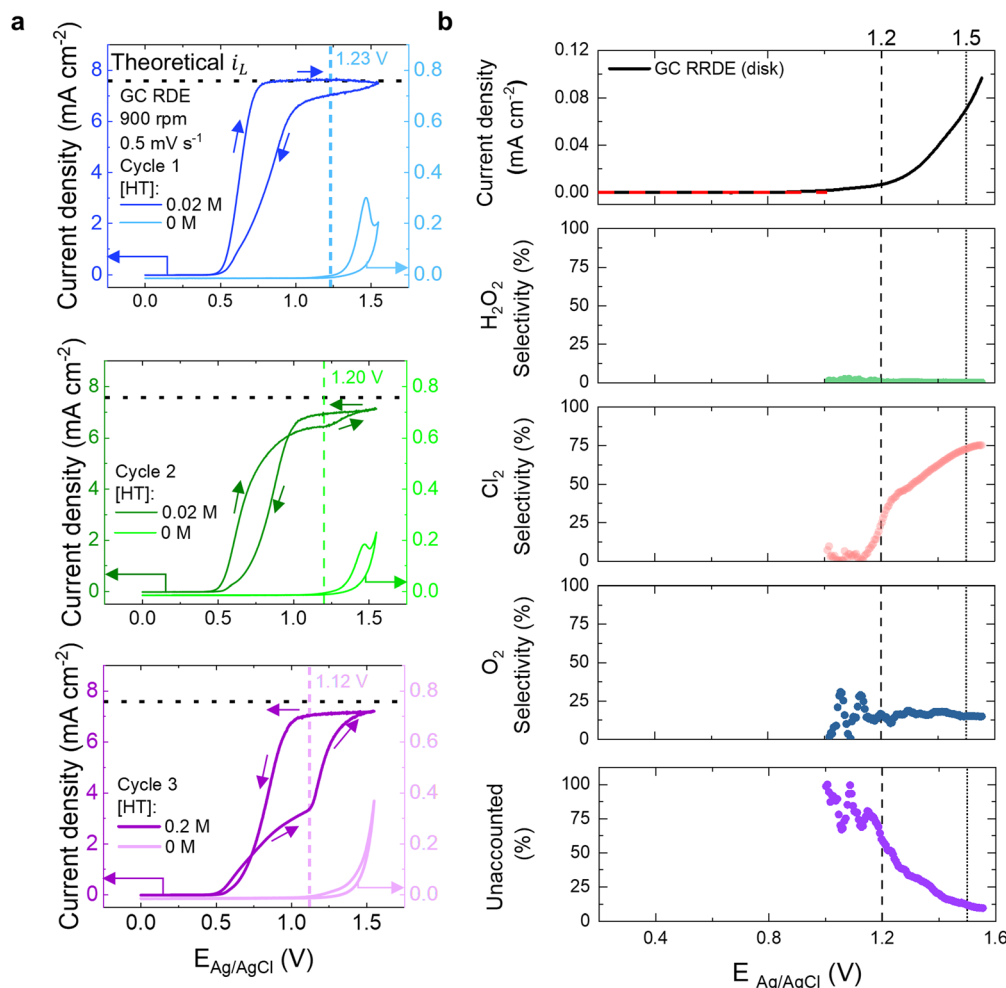


Fig. 5 (a) Comparison of a series of CVs of GC RDE in 0.5 M NaCl with and without 0.02 M HT collected at a rotation rate of 900 rpm and a scan rate of  $0.5 \text{ mV s}^{-1}$ . (b) Simultaneous monitoring of GC electrode current (top, black) (the red dotted lines indicate the potential range excluded from the calculations),  $\text{H}_2\text{O}_2$  selectivity (second plot from the top),  $\text{Cl}_2$  selectivity (third plot from the top),  $\text{O}_2$  selectivity (second plot from the bottom), and amount of activity unaccounted for from ring (bottom plot) during a CV experiment on GC in 0.5 M NaCl electrolyte. The scan rate for RRDE was  $0.5 \text{ mV s}^{-1}$  and the rotation rate was 900 rpm.

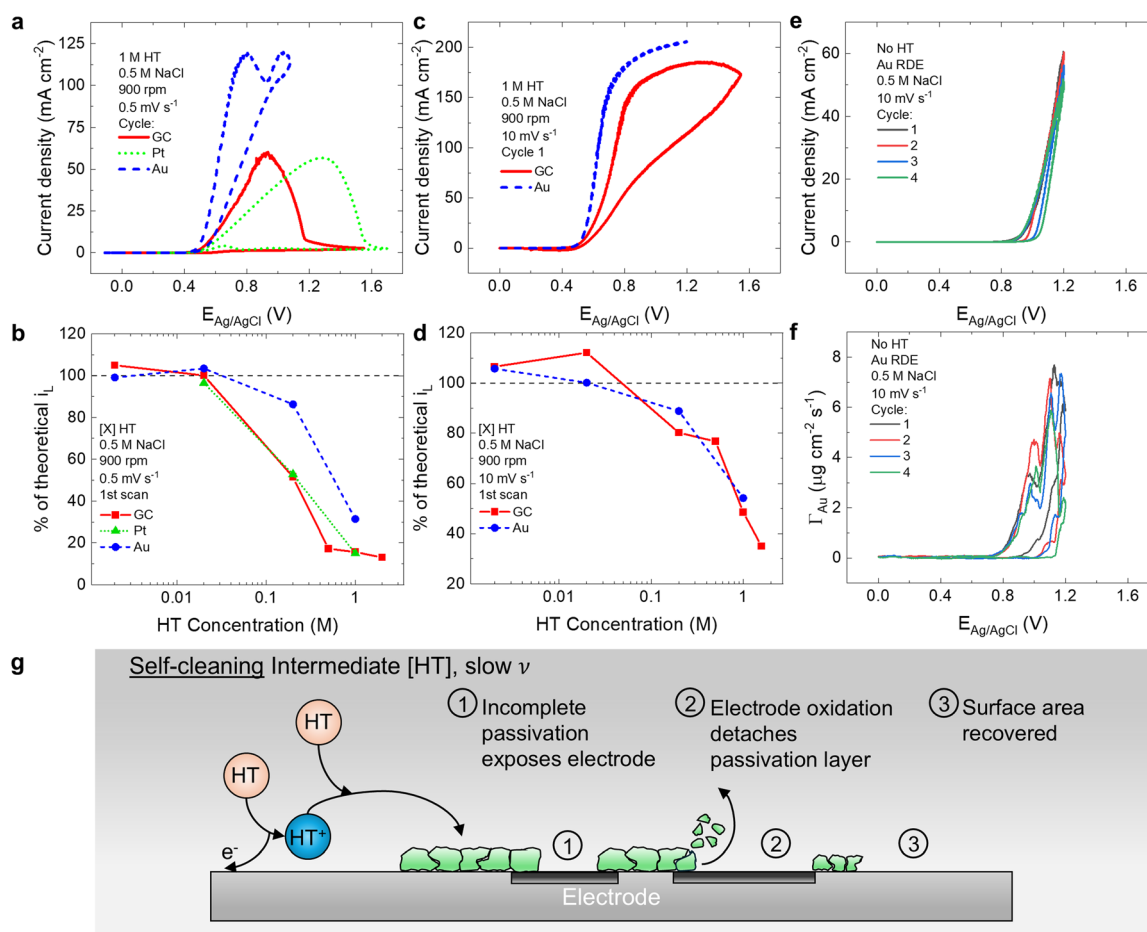
relevant potentials that the ring should be held at). At negative ring potentials ( $-0.1 \text{ V vs. RHE}$ , see ESI†), where all three species are electrochemically reducible, the total faradaic efficiency (FE) reaches a maximum of  $\sim 90\%$ . This suggests that a portion of the anodic current measured at the GC disk does not correspond to detectable species at the Pt ring, likely side reactions such as carbon corrosion (see “Unaccounted” panel in Fig. 5b). To isolate the contribution from  $\text{Cl}_2$ , the ring potential was held at  $0.9 \text{ V vs. RHE}$ , where only  $\text{Cl}_2$  reduction occurs. Under these conditions, a FE of about 25% towards  $\text{Cl}_2$  was observed at  $1.2 \text{ V vs. Ag/AgCl}$ , which is where the onset of the self-cleaning begins in the first cycle, and the FE for  $\text{H}_2\text{O}_2$  was negligible at below 1%. Additionally, 16% of the activity was attributed to  $\text{O}_2$ . Thus, at  $1.2 \text{ V vs. Ag/AgCl}$ , 58% of the activity goes towards an unknown product, which could be assigned to carbon corrosion. At  $1.5 \text{ V vs. Ag/AgCl}$ , a FE towards  $\text{Cl}_2$  of approximately 73% was observed, indicating that  $\text{Cl}_2$  is the primary product of electrolyte oxidation at high voltages. Again, the FE for  $\text{H}_2\text{O}_2$  was negligible, and  $\text{O}_2$  has an FE of 15%. At

$1.5 \text{ V vs. Ag/AgCl}$ , 12% remains for an unknown process such as carbon corrosion. Based on the data presented in Fig. 5b, it is reasonable to assume that carbon corrosion occurs to varying degrees at the potentials relevant to self-cleaning between 1.0 and  $1.5 \text{ V vs. Ag/AgCl}$  and could be driving the self-cleaning mechanism. It is also plausible that the self-cleaning mechanism involves oxidation of the passive layer by the formation of reactive oxidation species such as  $\text{H}_2\text{O}_2$  or  $\text{Cl}_2$ , which ultimately lead to removal of the passivation layer formed during HT oxidation.

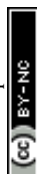
From Fig. 5a, the potential required to oxidize the GC without HT present decreases with each successive cycle. While the product being formed through GC corrosion could not be directly measured through RRDE, we expect it would be a standard carbon oxidation product such as CO and  $\text{CO}_2$ .<sup>59</sup> We propose that the oxidized carbon interferes with the passivated layer, for instance by preventing adhesion of the passivated layer to the carbon surface. As a result, the amount of passivated area is lessened, and there is carbon surface area available for

additional HT oxidation and re-passivation on the reverse sweep, as shown in the second and third CV cycles of Fig. 5a. The high passivation activity on the second scan could be due to the fact that only small amounts of GC are exposed and available for oxidation after the first cycle, but the amount gradually increases. The reduction in oxidation capacity on the forward scan observed from cycle 1 to 3 could indicate that the passivated HT layer that is removed from the electrode surface following the self-cleaning mechanism is destroyed or otherwise altered so that it no longer contributes to the oxidation reaction. Despite this loss in capacity, however, cycles beyond CV number 3 show that the oxidation activity on the anodic sweep eventually stabilize in the presence of 0.02 M HT (Fig. S15†), suggesting that oxidation of the underlying, exposed carbon surface is an effective method of removing the passivated material. By cycle 3, a steady state has been reached in which the GC is partially passivated, cleaned, and then partially re-passivated on the reverse sweep.

In addition to studying the self-cleaning mechanism on GC, Au was used to further probe the possibility of using electrode corrosion to our advantage. In Fig. 6a, the electrochemical behavior of GC and Au (along with Pt) in 1 M HT and a scan rate of  $0.5 \text{ mV s}^{-1}$  are shown. While onset potential is similar between all three electrodes, and differences in  $iR_{\Omega}$  correction are assumed to account for the difference in the slope of the current density increase (see Fig. S12† discussion), the maximum current density achieved by Au is significantly higher than what is achieved by either GC or Pt. Fig. S6b† shows that the trend in percentage of theoretical  $i_L$  met for Pt is very similar to GC at  $0.5 \text{ mV s}^{-1}$ , corroborating our conclusion that the passivation is independent of electrode material and is a product of HT itself. For Au, however, the passivation does not become significant at  $0.5 \text{ mV s}^{-1}$  until 1 M. We attribute this to a difference in electrode dissolution rates between the electrode surfaces, with Au dissolving more readily. Although the differences in extent of passivation are less pronounced at faster scan



**Fig. 6** (a) First CV cycle of GC RDE (solid, red), Pt RDE (dotted, green), and Au RDE (dashed, blue) at 900 rpm, 1 M HT/0.5 M NaCl, and  $0.5 \text{ mV s}^{-1}$ . (b) Percentage of theoretical limiting current density,  $i_L$ , as a function of HT concentration at 900 rpm and  $0.5 \text{ mV s}^{-1}$  for GC (red squares, solid line), Pt (green triangles, dotted line), and Au (blue circles, dashed line) electrodes. (c) First CV cycle of GC RDE (solid, red), Pt RDE (dotted, green), and Au RDE (dashed, blue) at 900 rpm, 1 M HT/0.5 M NaCl, and  $10 \text{ mV s}^{-1}$ . (d) Percentage of theoretical  $i_L$  as a function of HT concentration at 900 rpm and  $10 \text{ mV s}^{-1}$  for GC (red squares, solid line), Pt (green triangles, dotted line), and Au (blue circles, dashed line) electrodes. For *in situ* ICP-MS, (e) current density and (f) Au dissolution rate as a function of CV cycle and potential. Measurements collected in 0.5 M NaCl at  $10 \text{ mV s}^{-1}$  scan rate. (g) Schematic diagram of the proposed self-cleaning mechanism that occurs at intermediate HT concentrations ([HT]) on GC and higher concentrations on Au and slow scan rate ( $\nu$ ) conditions.



rates ( $10 \text{ mV s}^{-1}$ , Fig. 6c and d), Au still has a higher current density, again suggesting dissolution is leading to differences in passivation. *In situ* Inductively Coupled Plasma Mass Spectrometry (ICP-MS) of the Au electrode (Fig. 6e and f) demonstrates that Au dissolution occurs significantly at potentials greater than  $0.72 \text{ V vs. Ag/AgCl}$  in  $0.5 \text{ M NaCl}$ . Over 500 monolayers (!) of the working electrode were dissolved into the electrolyte with each CV scan. The amount of dissolution in the first scan of Fig. 6f corresponded to  $0.31 \text{ C cm}^{-2}$ , which accounts for 22% of the observed coulombs passed in the first CV of Fig. 6e. We attribute the remainder of the current observed in Fig. 6e to other oxidation processes, such as OER or  $\text{Cl}_2$  production. Based on this evidence, we can conclude that Au dissolution will occur when HT is oxidized on Au. Given the high rate of Au monolayer dissolution in NaCl observed we would expect the self-cleaning mechanism to be even more prevalent for an Au electrode compared to the other electrodes considered (GC, Pt).

From our investigation of electrochemical behavior of GC at intermediate HT concentrations and Au at higher HT concentrations (afforded by the faster rate of dissolution), we conclude that a self-cleaning mechanism is occurring in which electrode surface dissolution allows for regained activity by detaching the passivation layer, as we show in Fig. 6b. It is important to note that the electrode oxidation is irreversible and eventually will lead to degradation of the current collector. Additionally, given the cost and low abundance of Au, it should not be considered a practical alternative to carbon, despite its higher resistance to passivation driven by the higher rate of solution. However, this finding is still crucial for the advancement of HT as an active material in RFB applications. It suggests that if we can design an oxidizing layer to promote self-cleaning and it can be remade during the battery open circuit or discharge cycles, we can control and perhaps eliminate HT passivation issues. Achieving this control would thereby allow for reversible, repeated charging and discharging of a battery that contains HT as the cathode material.

## 4. Conclusions

HT is a promising redox-active organic material for RFBs given its high solubility compared to other TEMPO derivatives, but its electrochemical behavior at realistic RFB operating conditions, *e.g.*, slow discharge and charge rates and high concentrations, had not been investigated previously. Herein, we used cyclic voltammetry to show evidence of the formation of a surface passivating film at slow scan rates ( $0.5 \text{ mV s}^{-1}$ ). Gravimetric eQCM data showed a mass increase at potentials corresponding to a current increase, suggesting the presence of an irreversible blocking film. Additionally, micrographs collected immediately following electrochemical measurements show the presence of a polymer-like film on the electrode surface that was present in reactions with HT but not TEMPO. This suggests the hydroxyl moiety plays an important role in passivating the electrode. XPS analysis is consistent with the deposition of an oxidized HT film whose degree of oxidation and thickness increases with oxidation potential and HT concentration. From CVs, the percentage of theoretical diffusion-limited current observed was dependent

on scan rate and concentration, with passivation occurring to a larger extent at slower rates and higher HT concentrations. Similar electrochemical behavior is found for GC, Pt, and Au, suggesting a charge transfer that is independent of electrode material. Our findings are consistent with a passivation mechanism. Investigation of the electrochemical behavior at intermediate HT concentrations on GC and higher concentrations of HT on Au revealed the possibility of a self-cleaning mechanism driven by the relative rate of dissolution of the electrode material.

The discovery that HT passivates electrode surfaces like GC at high concentrations and slow scan rates during oxidation underscores the importance of conducting experimental investigations at conditions that are consistent with the intended end-use applications. The use of HT at the necessary high concentrations in an RFB will be limited if the passivation mechanism pathway is not addressed, as it will prevent the ability to charge and discharge the battery multiple times. Herein, we identified a possible solution to the passivation of HT through the proposed self-cleaning mechanism observed. We found that incomplete passivation allows for oxidation of the carbon electrode itself, which could lead to the removal of the passivated layer. We further showed that the extent of passivation can be limited by moving to a surface like gold that dissolves more readily at high voltages in chloride containing electrolytes. Future work should investigate this effect further to confirm that electrode dissolution is indeed the source of the changing oxidation activity rather than OER and focus on methods of engineering an oxidizing layer of the electrode material itself to allow for self-cleaning of the passivated layer, particularly at higher HT concentrations. Carbon oxidation may lead to a degraded electrode material with repeated cycling, and so efforts to create a surface layer that can be made *in situ* and removed during charging will be needed to successfully implement this self-cleaning mechanism. Other avenues of modifying the electrode surface to prevent passivation should also be considered in the future, such as changing the geometry by moving to high surface area systems. Additionally, although this work presents evidence for electrode passivation during electrooxidation of HT, more efforts are needed to fully understand the passivation product and the role of the side functional groups that are often engineered with a focus on solubility and not on side reactions. Developing a holistic understanding of the passivation pathway and product will inform routes towards synthesizing highly soluble and stable redox-active organic molecules for redox flow batteries.

## Data availability

All data to support this study is present in this manuscript and related ESI documents.† Any additional request for data or materials should be addressed to the corresponding author.

## Author contributions

Cailin Buchanan: writing – review & editing, writing – original draft, validation, methodology, formal analysis, data curation.





Nora A. Shaheen: writing – review & editing, writing – original draft, methodology, formal analysis, data curation. Caroline Williams: data curation. Igor Messias: data curation. Bethany Kersten: data curation. Taewoo Kim: data curation. Justin Connell: data curation. Venkat Srinivasan: supervision. Rohan Akolkar: supervision, funding acquisition, conceptualization. Pietro Papa Lopes: writing – review & editing, writing – original draft, visualization, supervision, project administration, methodology, funding acquisition, formal analysis, conceptualization.

## Conflicts of interest

There are no conflicts to declare.

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