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## Enhanced P-type conductivity in $Sb_2Se_3$ through alkali and alkaline earth metal doping †

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Antimony selenide ( $Sb_2Se_3$ ) has recently received much attention as a potential candidate for light absorbers in thin-film photovoltaic technologies because of its earth abundance, nontoxicity, and promising electrical and optical properties. Treatments with alkali and alkaline earth elements have been shown to enhance the performance of conventional thin-film solar cells. In this study, we employ hybrid density functional theory to investigate the electronic structures and defect properties of  $Sb_2Se_3$  doped with alkali and alkaline earth elements in comparison to those of native undoped  $Sb_2Se_3$ . Our results indicate that undoped  $Sb_2Se_3$  exhibits slight p-type conductivity and semi-insulating property under Se-rich and Se-poor conditions, respectively, consistent with experimental observations. The calculations further reveal that potassium, magnesium, and calcium act as acceptor dopants in a Se-rich environment, improving the p-type conductivity by preferentially forming antisite defects, whereas sodium has a negligible impact. Notably, calcium substitution at Sb site shows the lowest formation energy and a shallow transition energy level, significantly enhancing p-type conductivity. Given its earth abundance, eco-friendliness, and nontoxicity, thus, calcium presents a promising p-type dopant for improving the conductivity and efficiency of  $Sb_2Se_3$ -based devices.

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## Introduction

Antimony selenide ( $Sb_2Se_3$ ) has recently received a great deal of attention as a potential light-absorbing material for thin-film photovoltaic technologies due to its earth abundance, non-toxicity, and favorable electrical and optical properties, including an appropriate bandgap (1.1–1.3 eV) and high absorption coefficient ( $>10^5\text{ cm}^{-1}$  in the visible region).<sup>1–4</sup> These characteristics position  $Sb_2Se_3$  as a sustainable alternative to conventional photovoltaic materials and a promising candidate for efficient solar cells.  $Sb_2Se_3$  features a unique one-dimensional (1D) nanoribbon structure with an orthorhombic unit cell, where the ribbons covalently connected along the *c*-axis are held together by weak van der Waals interactions. This structure results in large spacing between the nanoribbon chains and multiple nonequivalent atomic sites, leading to complicated intrinsic defect properties.<sup>5–12</sup> In addition, these nanoribbons provide anisotropic charge transport properties, where carrier mobility depends on their preferential orientations. When the nanoribbons are well-oriented along the *c*-axis,  $Sb_2Se_3$  demonstrates intrinsically inert grain boundaries and

favorable charge transport properties.<sup>5,13</sup> These attributes are critical for photovoltaic applications, as they minimize recombination losses at grain boundaries. Recently, the power conversion efficiency of  $Sb_2Se_3$ -based solar cells has exceeded 10% through vapor deposition or chemical bath deposition techniques,<sup>14,15</sup> yet this is still far below the theoretical limit of 26%.<sup>16,17</sup> This indicates the significant potential for further improvements through the development of effective doping strategies, minimization of intrinsic defects, and/or optimization of device structures and crystal growth conditions.

Density functional theory (DFT) calculations are extensively employed to study the electronic, optical, and structural properties of crystalline semiconductors used in solar cell applications.<sup>18,19</sup> Hybrid functionals incorporating nonlocal Hartree-Fock (HF) exchange are particularly effective in accurately reproducing the bandgap of materials, making them a preferred choice for describing the electronic structures and defect chemistry of such materials.<sup>11,20,21</sup> Zhou *et al.* demonstrated through DFT calculations that  $Sb_2Se_3$  ribbons with well-oriented grain boundaries exhibit no significant surface reconstruction.<sup>5</sup> Additionally, DFT approaches have been employed to investigate the properties of intrinsic defects in  $Sb_2Se_3$  supercells<sup>6–8,10</sup> and evaluate the effects of extrinsic doping to identify suitable p-type and n-type dopants for  $Sb_2Se_3$ .<sup>9,22,23</sup>

Introducing alkali elements, such as sodium and potassium, has been shown to significantly enhance the power conversion

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efficiency of CZTS<sup>24,25</sup> and CIGS<sup>26–28</sup> solar cells by improving surface and interface properties. Similarly, alkaline earth metal treatment ( $\text{MgF}_2$  or  $\text{CaF}_2$ ) have been reported to improve the conductivity and device performance of CIGS solar cells.<sup>29–31</sup> Since commercial soda-lime silicate glass contains Na, Mg, and Ca,<sup>32</sup> these elements can diffuse into the active layers of solar cells during high-temperature growth, potentially affecting the device performance.

Considerable efforts have been made to improve the efficiency of  $\text{Sb}_2\text{Se}_3$  solar cells through effective doping strategies.<sup>22,23,33–36</sup> However, it is important to note that no systematic experimental or theoretical studies have yet addressed the impact of alkali and alkaline earth elements on  $\text{Sb}_2\text{Se}_3$ . Previous studies suggest that sodium and magnesium have negligible effects on device performance,<sup>36,37</sup> while potassium has been shown to enhance the solar cell efficiency.<sup>38</sup> Therefore, comprehensive investigation into the influence of these elements on the electrical conductivity of  $\text{Sb}_2\text{Se}_3$  using DFT calculations is imperative.

In this study, we present computational results based on hybrid functional approaches to explore the effects of alkali and alkaline earth elements on the electronic properties of  $\text{Sb}_2\text{Se}_3$  solar cells. We evaluate the electronic structures and intrinsic defect properties of defect-free  $\text{Sb}_2\text{Se}_3$  (see Fig. 1) and subsequently analyze the extrinsic doping properties by incorporating Na and K as alkali metals and Mg and Ca as alkaline earth metals.

## Computational methodology

We performed DFT calculations for antimony selenide with and without dopants using the generalized gradient approximation (GGA) within the framework of the projector-augmented wave (PAW) scheme, as implemented in the Vienna *Ab Initio* Simulation Package (VASP).<sup>39–41</sup> The crystal structure of  $\text{Sb}_2\text{Se}_3$  was obtained from experimental data:  $a = 11.62 \text{ \AA}$ ,  $b = 11.77 \text{ \AA}$ ,  $c = 3.962 \text{ \AA}$ , and  $\alpha = \beta = \gamma = 90^\circ$ .<sup>42</sup> The structure of a  $1 \times 1 \times 3$  supercell containing 60 atoms was optimized using the Perdew–

Burke–Ernzerhof (PBE) functional with a  $4 \times 4 \times 4$  Monkhorst–Pack  $k$ -point grid, ensuring convergence of the total energy to less than  $10^{-6} \text{ eV}$  per atom and residual force to below  $0.01 \text{ eV \AA}^{-1}$ . The plane-wave cutoff energy was set to 520 eV, and GD3BJ van der Waals corrections<sup>43</sup> were applied to account for interactions between  $\text{Sb}_2\text{Se}_3$  nanoribbons. The hybrid Heyd–Scuseria–Ernzerhof (HSE) functional,<sup>44</sup> incorporating 25% HF exchange, was employed to evaluate total energies, band structures, and density of states (DOS). Band structure calculations used high-symmetry  $k$ -points:  $\Gamma$  (0.0, 0.0, 0.0),  $X$  (0.5, 0.0, 0.0),  $Y$  (0.0, 0.5, 0.0), and  $Z$  (0.0, 0.0, 0.5).

The defect formation energy of a specific defect  $\alpha$  in charge state  $q$ , and the charge transition energy level ( $q/q'$ ), were calculated as:

$$\Delta E_f(\alpha, q) = E(\alpha, q) - E(\text{host}) - \sum_i n_i(E_i + \mu_i) + qE_f + \Delta E_{\text{corr}} \quad (1)$$

$$\left(q/q'\right) = \frac{\Delta E_f(\alpha, q) - \Delta E_f(\alpha, q')}{q' - q} \quad (2)$$

where  $E(\alpha, q)$  and  $E(\text{host})$  are the total energies of the supercell with and without the defect, respectively.  $n_i$  denotes the number of atoms of species  $i$  added to or removed from the supercell, corresponding to negative and positive values, respectively.  $\mu_i$  is the elemental chemical potential and  $E_i$  is the energy of pure elemental phase of species  $i$ .  $E_f$  is the Fermi level referenced to the valence band maximum (VBM), which varies within the bandgap between the VBM and the conduction band minimum (CBM).  $\Delta E_{\text{corr}}$  represents correction terms related to the supercell simulation that account for potential alignments and image charge effects, implemented in the sxdefectalign code.<sup>45</sup>

We considered both interstitial and substitutional configurations for potential doping sites. For interstitial defects, five possible configurations were examined within the  $\text{Sb}_2\text{Se}_3$  crystal structure. Following structural optimization, the most stable configuration corresponding to the lowest total energy was selected as the thermodynamically favored defect site.

Under equilibrium growth conditions, there are a series of thermodynamic limits on the chemical potential.<sup>46</sup> To avoid precipitation of elemental dopant (X) and host elements (Sb and Se), first, their chemical potentials  $\mu_i$  should satisfy:

$$\mu_i \leq 0 \quad (3)$$

Second, the host material  $\text{Sb}_2\text{Se}_3$  should remain stable, *i.e.*,

$$2\mu_{\text{Sb}} + 3\mu_{\text{Se}} = \Delta H_f(\text{Sb}_2\text{Se}_3) = -1.39 \text{ eV} \quad (4)$$

where  $\Delta H_f(\text{Sb}_2\text{Se}_3)$  denotes the formation energy of  $\text{Sb}_2\text{Se}_3$  obtained at the HSE06-GD3DJ level of theory. To define Se-rich and Se-poor conditions, the chemical potentials of Se and Sb were adjusted. Under Se-rich conditions,  $\mu_{\text{Se}} = 0 \text{ eV}$  and  $\mu_{\text{Sb}} = -0.7 \text{ eV}$ , while  $\mu_{\text{Se}} = -0.46 \text{ eV}$  and  $\mu_{\text{Sb}} = 0 \text{ eV}$  under Se-poor conditions.

Finally, the formation of secondary phases between dopant and host elements should be prevented by satisfying:

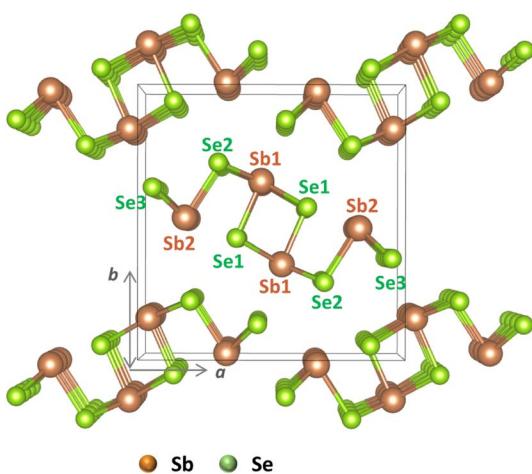


Fig. 1 Crystal structure of  $\text{Sb}_2\text{Se}_3$  with labeled nonequivalent atomic sites:  $\text{Sb}_1$  and  $\text{Sb}_2$  for antimony and  $\text{Se}_1$ ,  $\text{Se}_2$ , and  $\text{Se}_3$  for selenium.



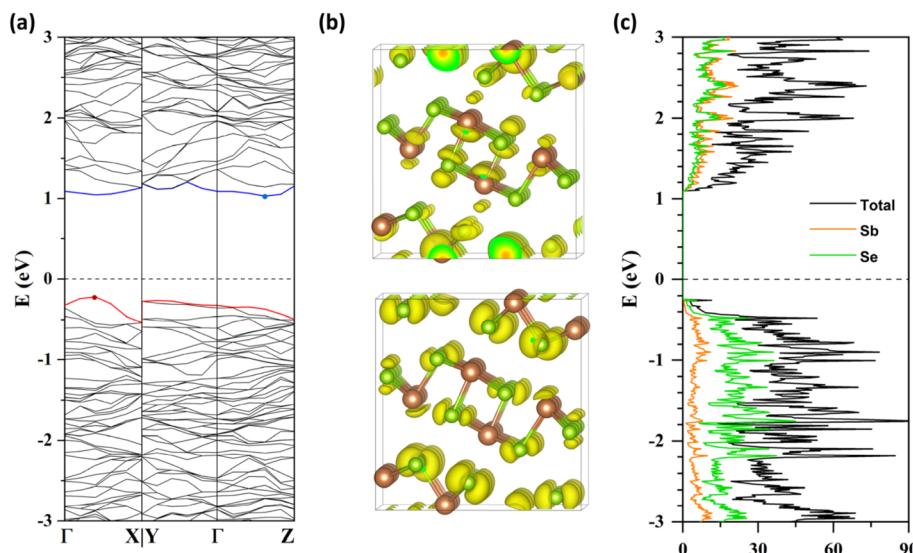


Fig. 2 (a) Band structure, (b) partial charge densities of the CBM (top) and VBM (bottom), and (c) DOS of the  $\text{Sb}_2\text{Se}_3$  supercell. In panel (a), the red and blue lines represent the VBM and CBM, respectively, with the Fermi level shifted to zero.

$$a\mu_X + b\mu_{\text{Se}} < \Delta H_f(\text{X}_a\text{Se}_b) \text{ or } a\mu_{\text{Sb}} + b\mu_X < \Delta H_f(\text{Sb}_aX_b) \quad (5)$$

The formation energies of potential secondary phases were also calculated at the HSE06-GD3DJ level of theory, and the corresponding maximum chemical potential values of dopants under the two growth conditions of  $\text{Sb}_2\text{Se}_3$  were determined (see Tables S1 and S2<sup>†</sup>).

## Results and discussion

### Undoped $\text{Sb}_2\text{Se}_3$

We evaluated the electronic structure of a defect-free  $\text{Sb}_2\text{Se}_3$  supercell at the HSE06-GD3DJ level of theory. The calculated

band structure (Fig. 2a) reveals that the VBM is located between  $\Gamma$  and  $X$  in reciprocal space along the  $a^*$  axis, while the CBM is positioned between  $\Gamma$  and  $Z$  along the  $c^*$  axis. This results in an indirect bandgap of 1.25 eV, consistent with experimental values of 1.2–1.3 eV.<sup>14,47,48</sup> The partial charge densities (Fig. 2b) indicate that the VBM is predominantly localized on Se atoms, while the CBM is distributed across both Sb and Se atoms. Furthermore, the projected DOS (Fig. 2c) shows that the VB primarily originates from selenium orbitals, while the CB exhibits mixed contributions from both antimony and selenium. Overall, these results align well with previous theoretical results.<sup>7,9</sup>

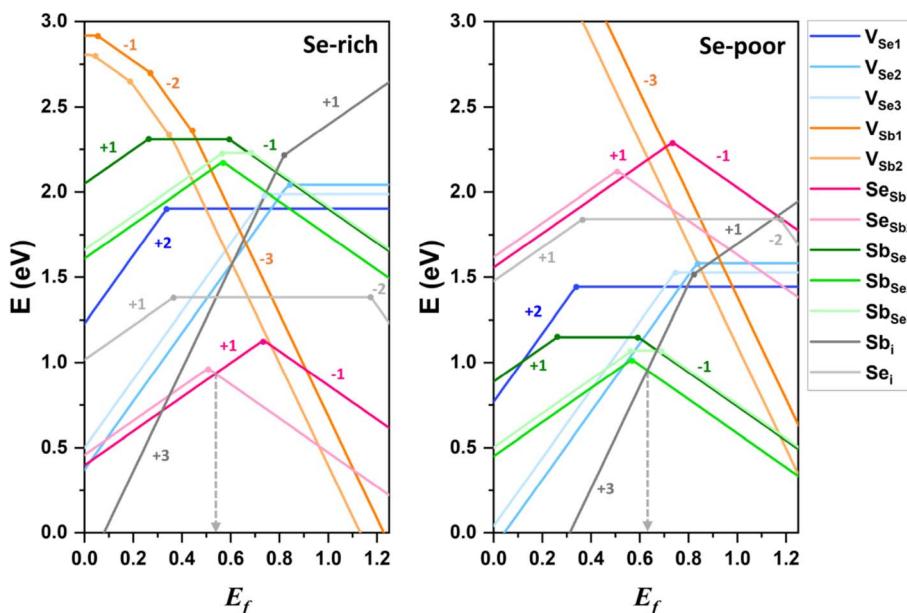


Fig. 3 Formation energies of intrinsic defects in  $\text{Sb}_2\text{Se}_3$  under Se-rich (left) and Se-poor (right) conditions as a function of the Fermi level ( $E_f$ ). The dashed gray arrow indicates the Fermi level expected in  $\text{Sb}_2\text{Se}_3$ .

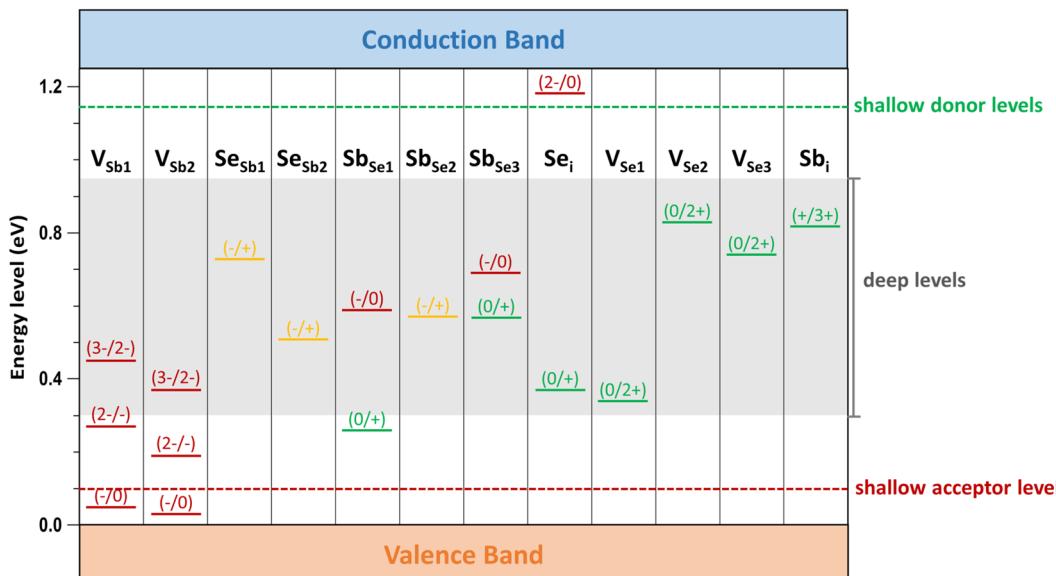


Fig. 4 Calculated transition energy levels of intrinsic defects within the bandgap of  $\text{Sb}_2\text{Se}_3$ . Red, green, and yellow bars represent acceptor, donor, and amphoteric defect levels, respectively. The dashed red and green lines, along with the gray box, indicate ionization levels within the bandgap, distinguishing shallow defect levels from deep defect levels.

Intrinsic defect properties of  $\text{Sb}_2\text{Se}_3$  were examined by calculating the formation energies and transition energy levels under Se-rich and Se-poor conditions. Three types of intrinsic defects were considered: vacancies ( $\text{V}_{\text{Sb}}$  and  $\text{V}_{\text{Se}}$ ), antisites ( $\text{Se}_{\text{Sb}}$  and  $\text{Sb}_{\text{Se}}$ ), and interstitials ( $\text{Sb}_i$  and  $\text{Se}_i$ ) at all nonequivalent atomic sites. The Fermi level ( $E_f$ ) was varied from zero (VBM) to the bandgap value of 1.25 eV (CBM). For each defect, the formation energies in its lowest-energy charge states were plotted with kinks indicating charge transition energy levels ( $q/q'$ ), which correspond to ionization levels within the bandgap. Our calculation results show that the same defect at different atomic sites can have distinct formation energies and transition energy levels (see Fig. 3 and 4), consistent with previous

theoretical studies.<sup>7,8,10</sup> Additionally, Table 1 provides a summary of our results for the intrinsic defect properties of  $\text{Sb}_2\text{Se}_3$  and compares them with findings from previous theoretical studies.

As shown in Fig. 3,  $\text{V}_{\text{Sb}_1}$  and  $\text{V}_{\text{Sb}_2}$  has higher formation energies than other defects, indicating that  $\text{V}_{\text{Sb}}$  defects are not easily formed and occur at low concentrations within the lattice. The formation energy of  $\text{V}_{\text{Sb}}$  decreases as transitioning from the neutral state to the anionic state, confirming that  $\text{V}_{\text{Sb}}$  acts as an acceptor defect. Conversely,  $\text{V}_{\text{Se}}$  behaves as a donor defect, losing electrons as it changes from the neutral state to the doubly positively charged state. The formation energies of  $\text{V}_{\text{Sb}}$  and  $\text{V}_{\text{Se}}$  vary with growth conditions, increasing and decreasing,

Table 1 Summary of calculated intrinsic defects in  $\text{Sb}_2\text{Se}_3$  under Se-rich and Se-poor conditions in comparison with previous DFT studies

Defect	Defect type	Activation $E$ (eV)	$\Delta E_f$ (eV)	Ref.	Activation $E$ (eV)	$\Delta E_f$ (eV)
<b>Se-rich</b>		<b>Our work</b>			<b>Other DFT results</b>	
$\text{Se}_{\text{Sb}}$	Amphoteric	$\text{Se}_{\text{Sb}_1}$ : VBM + 0.73	1.1	8	$\text{Se}_{\text{Sb}_1}$ : VBM + 0.70	~1.0
		$\text{Se}_{\text{Sb}_2}$ : VBM + 0.51	1.2		$\text{Se}_{\text{Sb}_2}$ : VBM + 0.62	
$\text{Se}_i$	Acceptor			7	$\text{Se}_{\text{Sb}_1}$ : VBM + 0.55	~1.0
$\text{V}_{\text{Se}}$	Neutral		1.4			
	Donor	$\text{V}_{\text{Se}_1}$ : VBM + 0.34	1.9	7 and 10	$\text{V}_{\text{Se}_1}$ : VBM + 0.20	~2.0
		$\text{V}_{\text{Se}_2}$ : VBM + 0.83	2.0		$\text{V}_{\text{Se}_2}$ : VBM + 0.80	
		$\text{V}_{\text{Se}_3}$ : VBM + 0.74	2.0		$\text{V}_{\text{Se}_3}$ : VBM + 0.65	
<b>0.5 Se-poor</b>		<b>Our work</b>			<b>Other DFT results</b>	
$\text{V}_{\text{Se}}$	Donor	$\text{V}_{\text{Se}_1}$ : VBM + 0.34	1.4	7	$\text{V}_{\text{Se}_1}$ : VBM + 0.80	~1.0
		$\text{V}_{\text{Se}_2}$ : VBM + 0.83	1.6		$\text{V}_{\text{Se}_2}$ : VBM + 0.81	~1.4
		$\text{V}_{\text{Se}_3}$ : VBM + 0.74	1.5	8	$\text{V}_{\text{Se}_3}$ : VBM + 0.18	~1.0
$\text{Sb}_{\text{Se}}$	Donor	$\text{Sb}_{\text{Se}_1}$ : VBM + 0.26	1.2	7	$\text{Sb}_{\text{Se}_1}$ : VBM + 0.52	~1.1
	Amphoteric	$\text{Sb}_{\text{Se}_2}$ : VBM + 0.57	1.0	8		
	Donor	$\text{Sb}_{\text{Se}_3}$ : VBM + 0.57	1.1			
$\text{Sb}_i$	Donor	VBM + 0.82	<2.0	8		<1.5



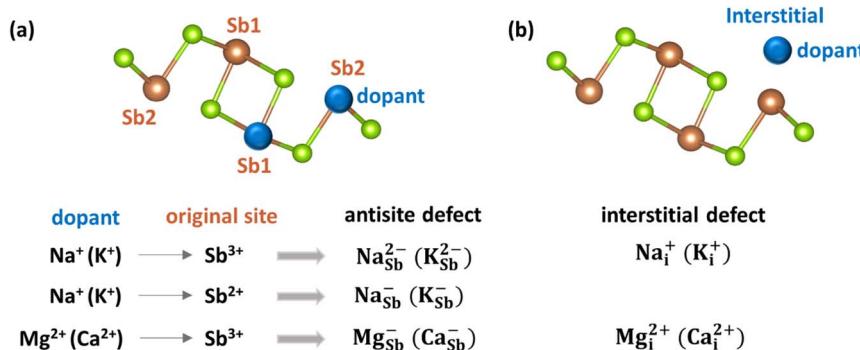


Fig. 5 Illustrations of (a) antisite defects and (b) interstitial defects in  $\text{Sb}_2\text{Se}_3$ .

respectively, when the environment shifts from Se-rich to Se-poor conditions.

Antisite defects, such as  $\text{Sb}_{\text{Se}}$  and  $\text{Se}_{\text{Sb}}$ , are amphoteric, acting as either donor or acceptor defects depending on the position of the Fermi level. This behavior is consistent with previous theoretical findings.<sup>8,10</sup> The calculated ( $-/+$ ) transition energy levels of  $\text{Se}_{\text{Sb}_1}$  and  $\text{Sb}_{\text{Se}_2}$  are 0.73 eV and 0.51 eV above the VBM, respectively (see Fig. 3 and Table 1). Among the interstitial defects, the anion interstitial defect ( $\text{Se}_i$ ) has a lower neutral formation energy than the cation interstitial defect ( $\text{Sb}_i$ ).  $\text{Se}_i$  remains electrically neutral across most of  $E_f$  range, contributing minimally to conductivity, as it does not significantly alter the charge carrier concentration. In contrast,  $\text{Sb}_i$  acts as a donor defect but has a relatively high formation energy, limiting its concentration.

Low-energy defects result in higher defect concentrations within the material. To ensure that these defects generate free carriers contributing to electrical conductivity, their transition levels should be shallow, *i.e.*, close to either the VBM for acceptor defects or the CBM for donor defects. The calculated transition energy levels of intrinsic defects in  $\text{Sb}_2\text{Se}_3$  indicate that most donor and acceptor defects are deep (see Fig. 4). This explains why native antimony selenide exhibits intrinsic-like conductivity behavior. While the ( $-/0$ ) transition energy level of  $\text{V}_{\text{Sb}}$  is shallow, its multiple transition levels suggest that it may act as a recombination centers, detrimental to solar cell performance.

Under Se-rich conditions, the dominant defects appear to be  $\text{Se}_{\text{Sb}_1}$  and  $\text{Se}_{\text{Sb}_2}$ , whereas  $\text{Sb}_{\text{Se}_2}$  and  $\text{Sb}_{\text{Se}_3}$  are prevalent under Se-poor conditions (see Fig. 3 and Table 1). Note that under thermal equilibrium conditions,  $E_f$  is pinned at the intersection of the lowest acceptor and donor formation energies.<sup>49</sup> Under Se-rich conditions, the acceptor and donor defects with the lowest formation energies are  $\text{Se}_{\text{Sb}_2}^-$  and  $\text{Se}_{\text{Sb}_1}^+$ , respectively, whereas  $\text{Sb}_{\text{Se}_1}^-$  and  $\text{Sb}_i^{3+}$  are the acceptor and donor defects with the lowest formation energies under Se-poor conditions, respectively. As a result, the expected  $E_f$  positions are 0.54 eV under Se-rich conditions and 0.63 eV under Se-poor conditions (see Fig. 3), which aligns closely with the experimental values of 0.52 eV and 0.60 eV, respectively.<sup>50</sup>

These results suggest that undoped  $\text{Sb}_2\text{Se}_3$  material is intrinsically weakly p-type under Se-rich conditions, whereas it

is semi-insulating under Se-poor conditions. Thus, additional treatments, such as doping with alkali or alkaline earth elements, are able to further increase the conductivity and improve the solar cell performance of  $\text{Sb}_2\text{Se}_3$ . Both interstitial ( $\text{X}_i$ ) and cationic substitutional ( $\text{X}_{\text{Sb}}$ ) configurations for these dopants were considered (see Fig. 5).

### Sodium doping

The first alkali element investigated in this study is sodium. Sodium in interstitial positions ( $\text{Na}_i$ ) acts as a donor defect, donating an electron when it transitions from the neutral state to the singly positively charged state. As a result, the formation energy of  $\text{Na}_i$  decreases as  $E_f$  shifts downward toward the VBM (see Fig. 6a). The  $+1$  charged state of  $\text{Na}_i$  is energetically favorable across the entire range of  $E_f$ . In contrast, sodium substitution at cationic sites ( $\text{Na}_{\text{Sb}}$ ) behaves as an acceptor defect, as substitution at Sb sites creates an electronic hole. The formation energy of  $\text{Na}_{\text{Sb}}$  decreases as  $E_f$  shifts upward toward the CBM. The  $-2$  charged state of  $\text{Na}_{\text{Sb}_2}$  is energetically stable for most values of  $E_f$ . Notably,  $\text{Na}_{\text{Sb}_2}$  has a lower formation energy than  $\text{Na}_{\text{Sb}_1}$ , indicating that the defect's formation energy depends on the specific atomic site. Overall, the lower formation energy of  $\text{Na}_i$  in the neutral state suggests that sodium preferentially occupies interstitial spaces between  $\text{Sb}_2\text{Se}_3$  ribbons, consistent with prior experimental observations.<sup>37</sup>

Under Se-rich conditions, the singly ionized donor defect  $\text{Na}_i^+$  has the lowest formation energy when  $E_f$  is less than 0.53 eV, whereas the doubly ionized acceptor defect  $\text{Na}_{\text{Sb}_2}^{2-}$  dominates when  $E_f$  is greater than 0.53 eV (see the left side of Fig. 6a). Due to charge neutrality, the Fermi level is pinned near 0.53 eV, aligning closely with the Fermi level (0.54 eV) in undoped  $\text{Sb}_2\text{Se}_3$ . Thus, sodium doping has a negligible effect on conductivity under Se-rich conditions, leaving the weak p-type conductivity unchanged. These calculation results are consistent with experimental findings,<sup>37</sup> which support sodium doping minimally affects conductivity and device performance of  $\text{Sb}_2\text{Se}_3$ .

Under Se-poor conditions, the ( $0/+$ ) transition energy level of  $\text{Na}_i$  lies above the CBM, classifying it as a shallow defect. Thus,  $\text{Na}_i$  is easily ionized, contributing to n-type conductivity. The competition between the lowest donor defect  $\text{Na}_i^+$  and the lowest acceptor defect  $\text{Na}_{\text{Sb}_2}^{2-}$  positions Fermi level at



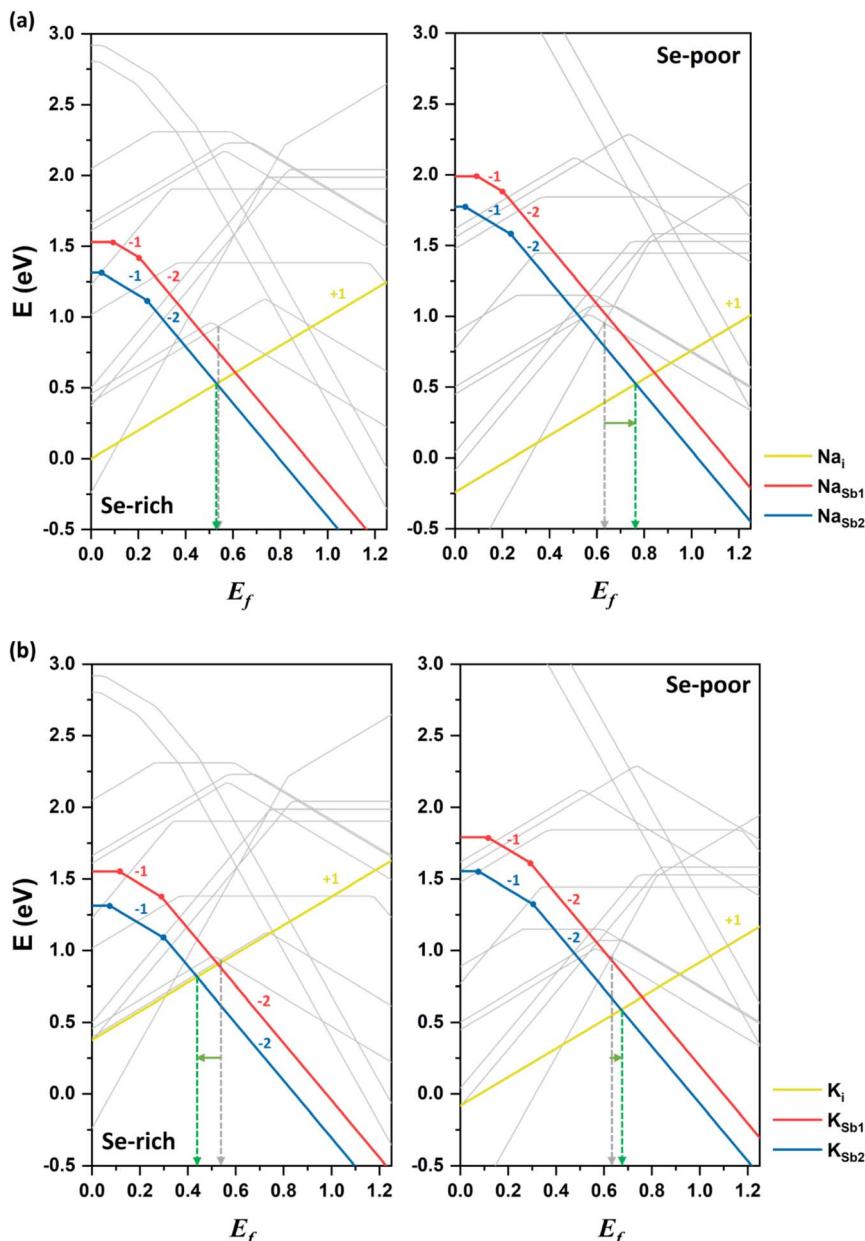


Fig. 6 Formation energies of alkali dopants, (a) Na and (b) K, in  $\text{Sb}_2\text{Se}_3$  under Se-rich (left) and Se-poor (right) conditions as a function of the Fermi level ( $E_f$ ) compared with the formation energies of the intrinsic defects represented by gray lines. The dashed gray and green arrows indicate the Fermi levels expected in the undoped and doped systems, respectively.

approximately 0.76 eV, representing an upward shift of more than 0.1 eV toward the CBM relative to undoped  $\text{Sb}_2\text{Se}_3$  (see the right side of Fig. 6a). This upward shift indicates that Na-doped  $\text{Sb}_2\text{Se}_3$  under Se-poor conditions exhibits weak n-type conductivity. Moreover, calculated band structure confirms the absence of localized Na-related defect states within the bandgap of  $\text{Sb}_2\text{Se}_3$  (see Fig. S1†). Therefore, sodium doping slightly enhances the n-type conductivity under Se-poor conditions.

### Potassium doping

The second alkali metal we studied is potassium. The formation energies of K-related defects in K-doped  $\text{Sb}_2\text{Se}_3$  are presented in

Fig. 6b alongside the formation energies of native defects in the undoped system. Similar to sodium, the doubly ionized acceptor defect  $\text{K}_{\text{Sb}_2}^{2-}$  and singly ionized donor defect  $\text{K}_i^+$  determine the doping effect of potassium under both Se-rich and Se-poor conditions. However, the impact of the K-doping on electrical conductivity depends on the growth conditions.

Under Se-rich conditions, the acceptor defect  $\text{K}_{\text{Sb}_2}^{2-}$  has a lower formation energy than the donor  $\text{K}_i^+$  in the neutral state, indicating that potassium doping introduces electronic holes and increases the hole carrier concentration.  $\text{K}_{\text{Sb}_2}^{2-}$  is the most stable defect when  $E_f$  is greater than 0.44 eV, while  $\text{K}_i^+$  is the most stable defect when  $E_f$  is below 0.44 eV. This places  $E_f$  at



0.44 eV, approximately 0.1 eV closer to the VBM compared with the undoped system. These results suggest that potassium doping enhances p-type conductivity. This finding is in good agreement with the experimental observations, where KOH treatment of  $\text{Sb}_2\text{Se}_3$  films increased hole concentrations and shifted the VBM close to  $E_f$ .

Under Se-poor conditions, the neutral formation energy of K is lower than that of  $\text{K}_{\text{Sb}_2}$ , meaning that potassium primarily acts as a donor defect by preferentially forming  $\text{K}_i$ . The (0/+) transition energy level of  $\text{K}_i$  lies above the CBM, confirming its classification as a shallow defect.  $\text{K}_i$  produces additional free

electrons, influencing n-type conductivity and positioning  $E_f$  at 0.67 eV above the VBM. Band structure calculations also reveal that potassium hybridizes well with host material without introducing localized defect levels (see Fig. S1†). Thus, K-doped  $\text{Sb}_2\text{Se}_3$  exhibits slight n-type conductivity under Se-poor conditions.

### Magnesium doping

Next, we discuss the impact of alkaline earth elements on the conductivity of  $\text{Sb}_2\text{Se}_3$ . Magnesium is examined as the first

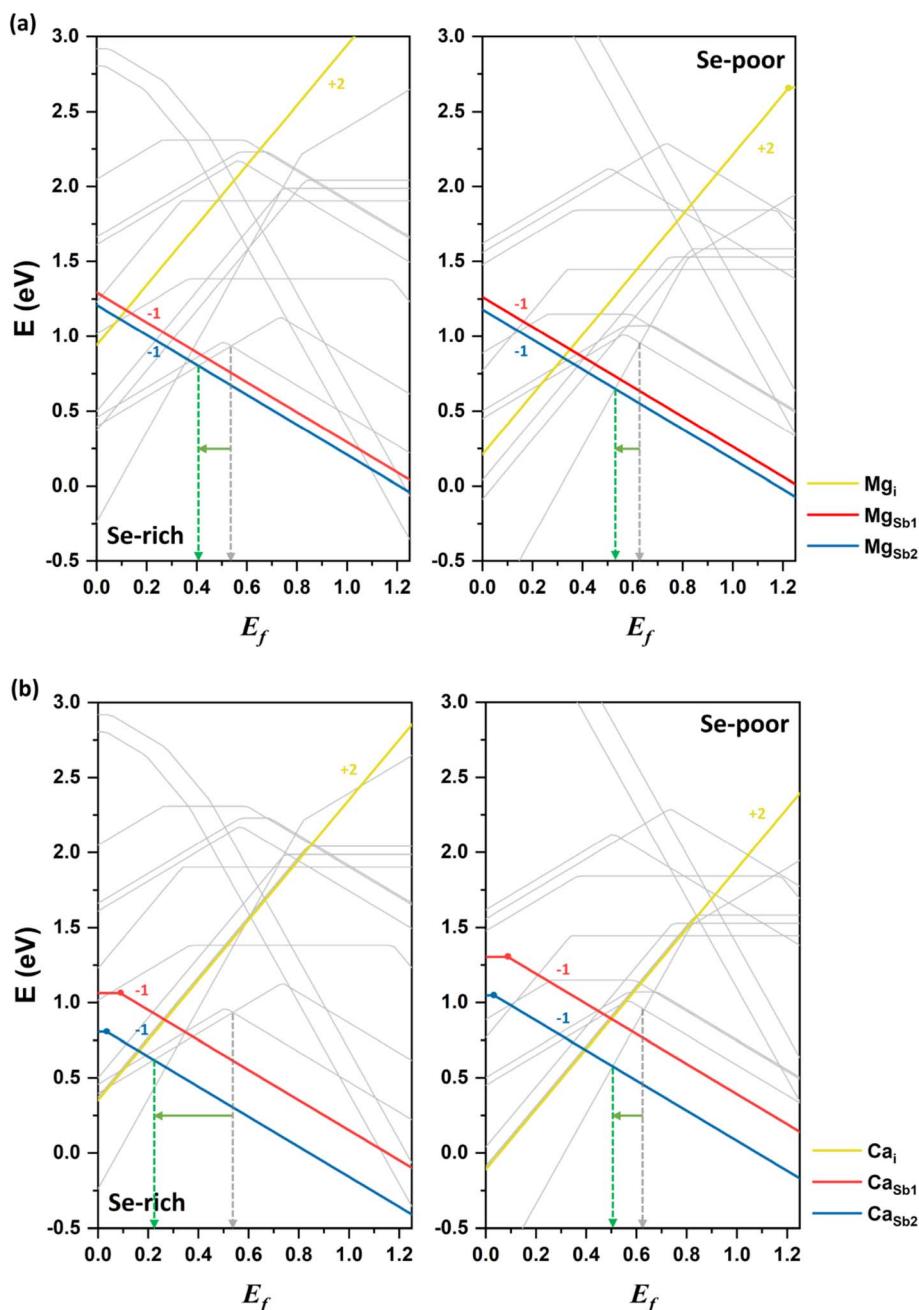


Fig. 7 Formation energies of alkaline earth dopants, (a) Mg and (b) Ca, in  $\text{Sb}_2\text{Se}_3$  under Se-rich (left) and Se-poor (right) conditions as a function of the Fermi level ( $E_f$ ) compared with the formation energies of the intrinsic defects represented by gray lines. The dashed gray and green arrows indicate the Fermi levels expected in the undoped and doped systems, respectively.



alkaline earth atom. The neutral donor defect  $Mg_i$  has a significantly higher formation energy than the acceptor defects  $Mg_{Sb_1}$  and  $Mg_{Sb_2}$  under both Se-rich and Se-poor conditions (see Fig. 7a). This suggests that magnesium more likely substitutes at cationic antimony sites rather than occupying interstitial positions between atomic nanoribbons. Among the acceptor defects,  $Mg_{Sb_2}$  has a lower energy than  $Mg_{Sb_1}$ , making it the more stable defect. Mg-related acceptor defects are located below the VBM, generating free hole carriers and potentially enhancing p-type conductivity. Band structure calculations confirm that Mg doping does not introduce defect states within the bandgap (see Fig. S1†), consistent with experimental reports.<sup>36</sup>

Experimental data indicates that Mg doping in  $Sb_2Se_3$  films results in only minor changes in the mobility and device performance, leading to its characterization as an inert dopant.<sup>36</sup> However, our calculations show that Mg preferentially forms the acceptor defect  $Mg_{Sb_2}$ . Under Se-rich conditions,  $E_f$  is positioned at approximately 0.41 eV, while under Se-poor conditions, it lies at 0.53 eV. These values represent a downshift of approximately 0.1 eV compared undoped  $Sb_2Se_3$  system under both conditions. This shift suggests that Mg doping at Sb sites could enhance p-type conductivity by increasing the free hole concentration, particularly under Se-rich conditions. The discrepancy with experimental results is attributed to the possibility that Mg may not diffuse sufficiently into the active material to substitute for Sb sites. This limited substitution could explain the observed inert behavior of Mg doping in enhancing carrier mobility and device performance. Note that further experimental investigations are warranted to better understand the precise role of Mg doping in  $Sb_2Se_3$ .

## Calcium doping

Finally, we consider calcium as the second alkaline earth metal. Given the lack of experimental studies on Ca doping, exploring the effects of calcium treatment of  $Sb_2Se_3$  via computational approaches is valuable. The formation energy of the acceptor defect  $Ca_{Sb_2}$  is lower than that of the acceptor defect  $Ca_{Sb_1}$ , reflecting the characteristic behavior of 1D semiconductor with nonequivalent atom environments (see Fig. 7b). Similar to magnesium,  $Ca_{Sb_2}$  has a much lower formation energy than a donor defect  $Ca_i$  under both Se-rich and Se-poor conditions, indicating that cationic substitution at Sb sites is the dominant doping type for calcium. Consequently, Ca doping is expected to increase the concentration free hole carriers, enhancing the p-type conductivity of  $Sb_2Se_3$ .

The Fermi level in Ca-doped system is predicted to be located at approximately 0.22 eV and 0.51 eV under Se-rich and Se-poor conditions, respectively (see Fig. 7b). These positions are determined by the dominance of the acceptor defect  $Ca_{Sb_2}$  under both conditions, while  $Se_{Sb_1}^{+}$  and  $Sb_i^{3+}$  are the lowest-energy donor defects for Se-rich and Se-poor conditions, respectively. Calcium doping downshifts  $E_f$  toward the VBM by approximately 0.3 eV under Se-rich conditions and 0.1 eV under Se-poor conditions, supporting an increase in p-type conductivity. Moreover, the  $(-/-)$  transition energy levels for  $Ca_{Sb}$  are shallow, with values of 0.09 eV and 0.03 eV for  $Ca_{Sb_1}$  and  $Ca_{Sb_2}$ , respectively (see Fig. 8). These shallow transition levels indicate that  $Ca_{Sb}$  defects are easily ionized, promoting a higher concentration of free hole carriers while reducing carrier recombination rates. Overall, our calculations identify calcium as a highly promising p-type dopant for  $Sb_2Se_3$ .

Recent theoretical and experimental studies have proposed lead (Pb) as an effective p-type dopant in  $Sb_2Se_3$ .<sup>22,33</sup> For

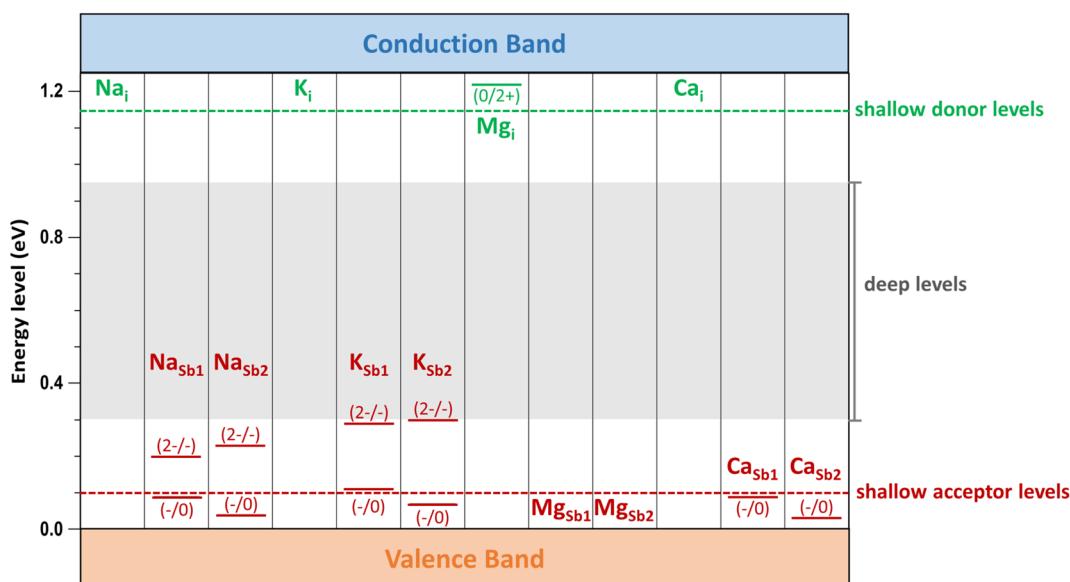


Fig. 8 Calculated transition energy levels of alkali and alkaline earth dopants at different atomic sites within the bandgap of  $Sb_2Se_3$ . The red and green bars represent acceptor and donor defect levels, respectively. The dashed red and green lines, along with the gray box, indicate ionization levels within the bandgap, distinguishing shallow defects from deep defects. The  $Mg_{Sb_1}$   $(-/-)$  and  $Mg_{Sb_2}$   $(-/-)$  levels are shallow acceptor states in the VB, whereas  $Na_i$   $(0/+)$ ,  $K_i$   $(0/+)$ , and  $Ca_i$   $(0/2+)$  are shallow donor states in the CB.



**Table 2** Comparison of the conductivity, Fermi level ( $E_f$ ), and defect properties of  $\text{Sb}_2\text{Se}_3$  under Se-rich conditions with respect to alkali and alkaline earth dopants

Se-rich	$\text{Sb}_2\text{Se}_3$	$\text{Na}-\text{SbSe}_2$	$\text{K}-\text{Sb}_2\text{Se}_3$	$\text{Mg}-\text{Sb}_2\text{Se}_3$	$\text{Ca}-\text{Sb}_2\text{Se}_3$
Conductivity	p-Type	p-Type	p-Type	p-Type	p-Type
$E_f$ expected (eV)	0.54	0.53	0.44	0.41	0.22
Hole-generating acceptors	$\text{Se}_{\text{Sb}_2}^-$	$\text{Na}_{\text{Sb}_2}^{2-}$	$\text{K}_{\text{Sb}_2}^{2-}$	$\text{Mg}_{\text{Sb}_2}^-$	$\text{Ca}_{\text{Sb}_2}^-$
High-population deep donors	$\text{V}_{\text{Se}_2}^{2+}$ , $\text{Se}_{\text{Sb}_1}^+$				

comparison, the formation energy of  $\text{Pb}_{\text{Sb}_2}$  under Se-rich conditions is 0.7 eV, comparable to that of  $\text{Ca}_{\text{Sb}_2}$  (0.8 eV). Both defects have lower formation energies than the intrinsic defects in  $\text{Sb}_2\text{Se}_3$ , making them likely to form. As a result, Ca potentially passivates the deep-level defects in  $\text{Sb}_2\text{Se}_3$  that are detrimental to device performance. In addition, the ( $-/0$ ) transition energy level of  $\text{Pb}_{\text{Sb}_2}$  under Se-rich conditions (0.15 eV) is deeper than that of  $\text{Ca}_{\text{Sb}_2}$  (0.03 eV). The lower formation energy of the  $\text{Ca}_{\text{Sb}_2}$  suggests a higher concentration of free carriers, and its shallower defect level promotes ionization and reduces recombination of free carriers. The band structure of the Ca-doped system shows no Ca-related defect levels within the bandgap; instead, defect states are hybridized with the VB and CB of the bulk material (see Fig. S1†). These findings highlight the effectiveness of Ca doping in enhancing the p-type conductivity of  $\text{Sb}_2\text{Se}_3$ .

The transition energy levels of alkali and alkaline earth dopants within the bandgap of  $\text{Sb}_2\text{Se}_3$  are shown in Fig. 8. Compared with intrinsic defects, these dopants produce shallow donor defects ( $\text{Na}_i$ ,  $\text{K}_i$ ,  $\text{Mg}_i$ , and  $\text{Ca}_i$ ) and shallow acceptor defects ( $\text{Mg}_{\text{Sb}}$  and  $\text{Ca}_{\text{Sb}}$ ), although  $\text{Na}_{\text{Sb}}$  and  $\text{K}_{\text{Sb}}$  exhibit relatively deep levels. These results demonstrate that alkali and alkaline earth metal doping can introduce shallow, low-energy defects into  $\text{Sb}_2\text{Se}_3$ , thereby increasing the concentration of free carriers. Furthermore, band structures calculations confirm that these dopants do not introduce localized defect states within the bandgap (see Fig. S1†). This feature ensures easier ionization of these dopants, contributing positively to the electrical conductivity of  $\text{Sb}_2\text{Se}_3$ .

Finally, we summarize the defect properties of doped  $\text{Sb}_2\text{Se}_3$  systems in comparison to those of the undoped material (see Table 2). Notably, under Se-rich conditions, systems doped with alkaline earth metals exhibit enhanced p-type conductivity compared with the undoped system. This improvement is primarily attributed to the presence of shallow, low-energy, and hole-generating acceptor defects, such as  $\text{Mg}_{\text{Sb}_2}$  and  $\text{Ca}_{\text{Sb}_2}$ . Experimental investigations of calcium and magnesium doping in  $\text{Sb}_2\text{Se}_3$  by our research team are currently underway.

## Conclusions

Using hybrid DFT calculations, we investigated the impacts of alkali and alkaline earth elements on the electronic structure and defect properties of  $\text{Sb}_2\text{Se}_3$ . In line with recent experimental and theoretical studies,<sup>10,50</sup> our calculations confirm that the expected Fermi level for undoped  $\text{Sb}_2\text{Se}_3$  are 0.52 eV and 0.63 eV under Se-rich and Se-poor conditions, respectively. These values

indicate that the undoped  $\text{Sb}_2\text{Se}_3$  has weak p-type conductivity under Se-rich conditions and semi-insulating behavior under Se-poor conditions, with a calculated indirect bandgap of 1.25 eV. The dominant intrinsic defects are  $\text{Se}_{\text{Sb}_1}^-$  and  $\text{Se}_{\text{Sb}_2}$  under Se-rich conditions and  $\text{Sb}_{\text{Se}_2}^{2-}$  and  $\text{Sb}_{\text{Se}_3}^{2-}$  under Se-poor conditions.

Our findings demonstrate that alkali (Na and K) and alkaline earth (Mg and Ca) elements introduce shallow defects in  $\text{Sb}_2\text{Se}_3$  without creating harmful localized defect states within the bandgap. Sodium preferentially occupies interstitial positions, behaving as a donor defect. This enhances n-type conductivity under Se-poor conditions but has minimal effects under Se-rich conditions. Potassium doping varies with crystal growth conditions. K acts as an acceptor dopant by forming  $\text{K}_{\text{Sb}_2}$  under Se-rich conditions, while under Se-poor conditions, it behaves as a donor dopant by forming  $\text{K}_i$ .

Alkaline earth atoms Mg and Ca preferentially substitute at Sb sites, forming acceptor defects that significantly increase hole conductivity. Calcium, in particular, has the lowest formation energy and shallow ( $-/0$ ) transition levels, comparable to those of Pb, a recently proposed p-type dopant. These results suggest that calcium, as a nontoxic and eco-friendly alternative, could be a potential p-type dopant that enhance hole carrier concentration in  $\text{Sb}_2\text{Se}_3$ .

Our comprehensive theoretical study provides valuable insights into the intrinsic and extrinsic defect properties of  $\text{Sb}_2\text{Se}_3$  and highlights the role of alkali and alkaline earth metal doping in improving its conductivity and solar cell performance. Furthermore, the findings offer broader implications for understanding material properties of  $\text{Sb}_2\text{Se}_3$  in applications beyond photovoltaics, including electrochemical cells and photocatalysis.

## Data availability

The data supporting this article have been included as part of the ESI.†

## Conflicts of interest

The authors declare that they have no conflicts of interest.

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