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A minimal mechanism for flocking in phoretically interacting active particles

Arvin Gopal Subramaniam,[†] Sagarika Adhikary^{id} [†] and Rajesh Singh^{id} ^{*}

Cohherent collective motion is a widely observed phenomenon in active matter systems. Here, we report a flocking transition mechanism in a system of chemically interacting active colloidal particles sustained purely by chemo-repulsive torques at low to medium densities. The basic requirements to maintain the global polar order are excluded volume repulsions and long-ranged repulsive torques. This mechanism requires that the time scale for individual colloids to move a unit length be dominant with respect to the time they deterministically respond to chemical gradients, or equivalently, pair colloids slide together a minimal unit length before deterministically rotating away from each other. Switching on the translational repulsive forces renders the flock a crystalline structure. Furthermore, liquid flocks are observed for a range of chemo-attractive inter-particle forces. Various properties of these two distinct flocking phases are contrasted and discussed. We complement these results with stability analysis of a hydrodynamic model, which reveals the transition corresponding to destabilization of the flocking state observed in particle-based simulations.

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1. Introduction

The collective swarming behaviour of interacting agents – also called flocking¹ – has been a topic of sustained interest in the field of non-equilibrium statistical physics. Flocking is also a ubiquitous phenomenon in the natural world, widely reported in groups of animals, such as birds, fish, and mammals.^{2–4} Paradigmatic models that incorporate local alignment interactions to study flocking have been extensively studied^{1,5–8} and continue to be investigated.^{9–12} Most notably, in the Vicsek model,⁵ local alignment interactions among the individual agents can lead to transition from a disordered state to one with large-scale, coordinated movement. The flocking transition in these models with short-range alignment interactions has been widely studied, along with extensive investigation of the nature of the order-to-disorder transition^{6,8,13,14} and universality of critical exponents.¹

The possibility of attaining an emergent global polar order (flocking) arising purely out of dynamical particle-based models, without any explicit alignment interaction, is a subject of recent interest and recently reviewed in ref. 15. Systems where such a phase has been observed are typically overdamped^{16–18} and include those with velocity alignment interactions,^{17,19–21} distance-dependent interactions,²² history

(trail) dependence^{23,24} and those with repulsive torques and forces between the particles without volume exclusion.^{25,26} A separate class of inertial models have also reported such a transition,^{27–30} including those with attractive interactions.³¹

Though various possible mechanisms deviating from the Vicsek-like rule have been established, the explicit relevance of the separate contributions of the long-ranged interparticle forces and torques between active particles in producing a global polar order has not been clearly established. For instance, migrating cells that display a global polarity have been known to display chemo-tactic attractive interactions in addition to (among others) either a contact “inhibited” or “attracted” locomotion – akin to a long-ranged torque of either repulsive or attractive nature.^{18,32,33} Studies on translational and rotational diffusiophoretic motion of active particles (with a self-generated chemical field) with attractive torques indicate collapse of particles into dense clusters.³⁴ In addition, extensive studies on repulsive torques in these systems are rare. Thus, open questions remain on the minimal ingredients and mechanism at play arising from the forces and torques of inter-particle phoretic interactions.

In this paper, we report a minimal mechanism for the formation of liquid flocks, which we herein denote as “chemo-repulsive liquid flocks” (CLF). The basic ingredients that are sufficient to produce such a flock are: (i) short-ranged excluded volume repulsive and (ii) long-ranged (chemo) repulsive torques. There is no need for any additional (chemo)repulsive translational forces between the particles, nor is there a requisite role for noise in destabilizing the flock. Furthermore, the

Department of Physics, Indian Institute of Technology Madras, Chennai 600036, India. E-mail: ph22d800@smail.iitm.ac.in, a.sagarika@physics.iitm.ac.in, rsingh@physics.iitm.ac.in

[†] Contributed equally.

incorporation of long-ranged translational chemo-repulsive forces between the particles induces instead crystalline flocks (with regular lattice spacing), which we denote this herein as “chemorepulsive crystalline flocks” (CCF). Our work thus adds insights into investigations on attaining a global polar order *via* dynamical mechanisms without any explicit alignment interaction, by providing a minimal mechanism, both for flock formation and destabilization, which, to our best knowledge, has not been reported elsewhere.

The remainder of the paper is organized as follows. In Section II, we describe our model to study phoretic (chemical) interactions of active particles and enumerate various important dimensionless parameters of our study. In Section III, we report various simulation results for the CLF, and likewise for the CCF in Section IV. A comparison of these two phases is done in Section V by studying the pair correlation, hexatic order, order-to-disorder transition, density fluctuations and finite-size effects. In Section VI, we demonstrate that flocking persists even for chemo-attractive forces between particles which turn away from each other. Further, we complement the results from particle-based simulation with a stability analysis of a continuum model of coupled density and polarization fields in Section VII. Finally, conclusions and a discussion of our work in relation to other findings are presented in Section VIII.

II. Model

The model consists of two dynamical parts: (a) the dynamics of particles and (b) the dynamics of the chemical concentration field; these are described in the following subsections.

A. Dynamics of the particles

We consider a set of N chemically interacting active colloids of radius b . We model the i th active particle as a colloid particle centered at $\mathbf{r}_i = (x_i, y_i)$, confined to move in two-dimensions, which self-propels with a speed v_0 , along the directions $\mathbf{e}_i = (\cos \theta_i, \sin \theta_i)$. Here $i = 1, 2, 3, \dots, N$ and θ_i is the angle made by the orientation \mathbf{e}_i with respect to the positive x -axis. The orientation \mathbf{e}_i of the i th particle, given in terms of the angle θ_i , changes due to coupling its dynamics to the dynamics of a phoretic (scalar) field c , as we describe below. The position \mathbf{r}_i and orientation \mathbf{e}_i of the i th particle are given as:

$$\dot{\mathbf{r}}_i = v_0 \mathbf{e}_i + \chi_t \mathbf{J}_i + \mu \mathbf{F}_i + \boldsymbol{\eta}_i^t, \quad (1a)$$

$$\dot{\mathbf{e}}_i = \chi_r [(\mathbf{e}_i \times \mathbf{J}_i) + \boldsymbol{\eta}_i^r] \times \mathbf{e}_i. \quad (1b)$$

Here, v_0 is the self-propulsion speed of an isolated active particle. The terms $\boldsymbol{\eta}_i^t$ and $\boldsymbol{\eta}_i^r$ are white noises with zero mean and no temporal correlation. The variance of noises $\boldsymbol{\eta}_i^t$ and $\boldsymbol{\eta}_i^r$ is, respectively, $2D_t$ and $2D_r$. These noise terms are included for the sake of completion and to demonstrate that the results are robust against weak fluctuations. The term \mathbf{J}_i is chemical flux on the location of the i th particle, which gives the inter-particle interaction (of chemical origin). The phoretic flux is given as:

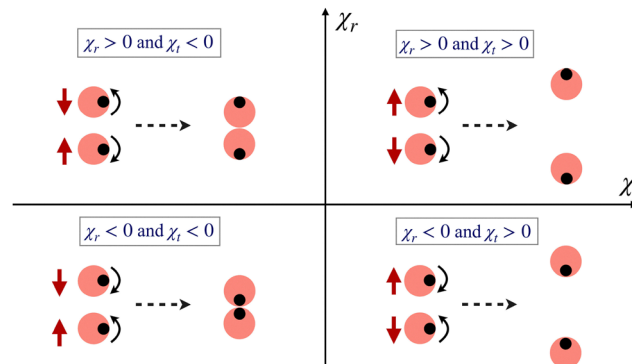


Fig. 1 Schematic description of the chemical interactions between the particles based on eqn (1). The black dot on the orange circles indicates the orientation of the particles. Black curved arrows show rotations from chemical interactions, while solid red arrows show translations from chemical interactions. The particles turn away from each other if $\chi_r > 0$, while they turn towards each other if $\chi_r < 0$. Similarly, particles translate away from each other if $\chi_t > 0$, while they translate towards each other if $\chi_t < 0$.

$\mathbf{J}_i(t) = -[\nabla c(\mathbf{r}, t)]_{\mathbf{r}=\mathbf{r}_i}$, where $c(\mathbf{r}, t)$ is the concentration of the chemicals (e.g. filled micelles in an oil-emulsion system^{23,35,36}).

The term proportional to χ_r in eqn (1) drives orientational changes (turning particles away from each other if $\chi_r > 0$) through interparticle chemical interactions. In this paper, we only consider the case of $\chi_r > 0$ when particles turn away from each other due to chemical interactions.^{23,24} Similarly, the term proportional to χ_t ensures repulsion in the positional dynamics if $\chi_t > 0$. If both χ_r and χ_t are positive, then the system is said to be chemo-repulsive. A schematic representation of the dynamics due to the model is given in Fig. 1 to describe the physical meaning of parameters χ_r (which controls rotation) and χ_t (which controls translation) for chemical interactions between the particles.

In eqn (1), to preclude overlap of the particles, there is a short-ranged purely repulsive body force \mathbf{F}_i on the particles. The expression of the body force on the i th particle is given as:

$$\mathbf{F}_i = -\frac{\partial U}{\partial \mathbf{r}_i}, \quad U = \sum_{i < j} \mathcal{U}^c(\mathbf{r}_i, \mathbf{r}_j). \quad (2)$$

With b as the colloidal radius and $r_{ij} = |\mathbf{r}_i - \mathbf{r}_j|$, we choose \mathcal{U}^c to be of the form:

$$\mathcal{U}^c = \begin{cases} \kappa(r_{ij} - 2b)^2, & \text{if } r_{ij} < 2b, \\ 0, & \text{otherwise.} \end{cases}$$

Here, κ is a constant, which determines the strength of the excluded volume repulsion.

B. Dynamics of the chemical field

Though the focus of this paper is on chemical interactions, we note that our analysis is general and maybe applied to other phoretic fields as well. The chemical concentration field $c(\mathbf{r}, t)$

around the particles located at \mathbf{r}_i is obtained by solving:

$$D_c \nabla^2 c(\mathbf{r}, t) + \sum_{i=1}^N c_0 \delta(\mathbf{r} - \mathbf{r}_i) = 0. \quad (3)$$

Here, D_c is the diffusion coefficient and c_0 is the emission constant of the chemical. In the above, we have assumed that the chemical interaction between the particles is instantaneous.^{24,34,37–42} In addition, we assume that each particle is a point source of the chemical field. Finally, the chemical flux \mathbf{J}_i on the i th colloid, in the point particle limit, can be written from the solution of eqn (3) as:

$$\mathbf{J}_i = \frac{c_0}{4\pi D_c} \sum_{\substack{j=1 \\ i \neq j}}^N \frac{\mathbf{r}_{ij}}{r_{ij}^3}. \quad (4)$$

Here, $r_{ij} = |\mathbf{r}_{ij}|$, with $\mathbf{r}_{ij} = \mathbf{r}_i - \mathbf{r}_j$. It is worthwhile to note that in our model, the chemical field diffuses in an infinite three-dimensional half-space, and thus, the chemical field decays as $1/r$. Assuming a no-flux boundary condition at the bounding surface, the $1/r$ dependence of the chemical field remains valid. On the other hand, the particles are confined to move in two-dimensions, such that they move in the plane of the bounding surface. Consequently, the dimensions of chemical concentration are $[c] = [\text{L}^{-3}]$ and chemical flux are $[\mathbf{J}] = [\text{L}^{-4}]$. So, the dimensions of parameters are $[\chi_r] = [\text{L}^4 \text{T}^{-1}]$ and $[\chi_t] = [\text{L}^5 \text{T}^{-1}]$.

C. Important dimensionless parameters

We note that $\tau = b/v_0$ is the propulsion time scale. In addition, we define $\tau_r = b^4/\chi_r$, which sets the time scale for deterministic rotation of the particle due to phoretic interactions. The phenomenology of the CLF can also be understood by studying the dynamics as per the dimensionless numbers of the problem that arise out of the equations of motion (1). They are:

$$A_r = \frac{\tau}{\tau_r} = \frac{\chi_r}{b^3 v_0}, \quad A_t = \frac{\chi_t}{b^4 v_0}. \quad (5)$$

Here, A_r is the ratio of propulsion time scale τ and time scale τ_r which controls rotations of the particle orientations from chemical interactions between particles. On the other hand, A_t is the ratio of translation due to chemical interactions between particles and one-body propulsion. The key parameters of this

model are the dimensionless numbers A_r , A_t and ϕ . Here, ϕ is the area fraction. ϕ is related to the number density ρ , as $\phi = (N\pi b^2)/L^2 = \rho\pi b^2$. The simulation results are henceforth presented as a function of these three parameters.

We note that in principle, there are other relevant dimensionless numbers; e.g. $\text{Pe}_1 = \frac{v_0 b}{D_t}$ and $\text{Pe}_2 = \frac{v_0}{b D_r}$, which would alter the flocking transition. Our results are presented for effectively noiseless systems; thus, the aforementioned $\text{Pe}_{1,2} \rightarrow \infty$. Indeed, the novelty of the mechanism presented here, as we will show below, is its purely deterministic destabilization of the polar phase, independent of any noise contributions.

D. Simulation details

The position and orientations of the particles are updated using a forward Euler–Maruyama method from the dynamical equations given in eqn (1). Initially particles are distributed randomly over the two-dimensional space. The initial orientations are also randomly distributed over the range of angles $[-\pi, +\pi]$ (angles are computed with respect to the positive x -axis). Periodic boundary conditions are applied on both the x -axis and y -axis. To compute chemical interactions using the expression of \mathbf{J}_i , we need to sum over periodic images. We have used a regular summation convention (using minimum image convention) for all results reported here, and checked these with the full Ewald summation convention for selected parameter values. In this paper, the fluctuations (noise terms) are set to be negligibly small to emphasize that flocks can be formed and destroyed by deterministic causes. Indeed, the polar order is expected to be destroyed at larger values of fluctuations.⁵ But we do not explore the role of the noise in the positional and rotational sectors in this paper. Instead, we choose their strengths to be sub-dominant compared to deterministic effects due to chemical interactions and self-propulsion. We have taken particle radius $b = 1$, and the total number of particles varies in the range $N = [239, 20\,000]$. The steady-state time averages of the observables are taken over 2×10^5 time steps. The time-stepping of the Euler–Maruyama integrator dt is taken as 0.01. A summary of all of the values of parameters used for the simulation is given in Table 1 in the Appendix.

Table 1 Parameter values used for respective figures of the paper. Here L is the system size, b is the radius of the particle, N is the number of particles, dt is the time-stepping of the Euler–Maruyama integrator. The remaining parameters are defined after eqn (1). We have kept $\frac{c_0}{4\pi D_c} = 1$ in all the simulations. Note that we consider noise to be less dominant to deterministic effects. Indeed, for the results in this paper, the Péclet number $\text{Pe} = v_0/(bD_r)$ is around 10^9

Figure no.	L	dt	b	v_0	κ	N	ϕ	χ_t	A_t	χ_r	A_r	D_r	D_t
Fig. 2	100	0.01	1	50	175	(319, 2578)	(0.1, 0.8)	0	0	(0, 7)	(0.0, 0.14)	10^{-6}	10^{-8}
Fig. 3(a)	100	0.01	1	50	175	1024	0.32	(0, 5)	(0.0, 0.1)	(0, 10)	(0.0, 0.2)	10^{-6}	10^{-8}
Fig. 4(a) and (c)	186	0.01	1	50	175	4000	0.36	0	0	0.75	0.015	10^{-6}	10^{-8}
Fig. 4(b) and (c)	186	0.01	1	50	175	4000	0.36	3	0.06	6	0.12	10^{-6}	10^{-8}
Fig. 5(a)	(77, 354)	0.01	1	50	175	1600	(0.04, 0.84)	0	0	(0, 9)	(0, 0.18)	10^{-6}	10^{-8}
Fig. 5(b)	118	0.01	1	50	175	1600	0.36	(0, 12)	(0, 0.6)	(0, 32)	(0, 0.64)	10^{-6}	10^{-8}
Fig. 6(a)	100	0.01	1	50	175	(637, 1592)	(0.2, 0.5)	0	0	(0, 8)	(0.0, 0.16)	10^{-6}	10^{-8}
Fig. 6(c)	100	0.01	1	50	175	(637, 1592)	(0.2, 0.5)	(0, 10)	(0.0, 0.2)	27	0.54	10^{-6}	10^{-8}
Fig. 7(a)	100	0.01	1	50	175	1024	0.32	(-1, 0)	(-0.02, 0.0)	(0, 10)	(0.0, 0.2)	10^{-6}	10^{-8}

III. CLF *via* chemo-repulsive torques

We first consider the case of $\Lambda_t = 0$ (or, equivalently, $\chi_t = 0$). Thus, repulsions are exclusively short-ranged *via* eqn (2) which prevents overlap of particles. First, we vary Λ_r and ϕ and determine the polar order of the system. The phase diagram delimiting the CLF phases (polar flocks, bands, and disordered states) is shown in Fig. 2(a). The states are delimited *via* the mean global polarization of the system at the steady state, $P_{ss} = \langle |\mathbf{P}| \rangle_{ss}$, where the polarization \mathbf{P} is defined as the average of sum of the orientation of all the particles:

$$\mathbf{P} = \frac{1}{N} \sum_{i=1}^N \mathbf{e}_i. \quad (6)$$

The different phases shown in the phase diagram are distinguished by different values of the magnitude of P_{ss} : flock ($P_{ss} > 0.9$), bands ($0.1 < P_{ss} < 0.9$) and disordered ($P_{ss} < 0.1$). The snapshots of these three phases are presented in Fig. 2(b)–(d)

respectively. The dynamics of $|\mathbf{P}|$ of the respective cases is shown in Fig. 2(e). On the (ϕ, Λ_r) plane (Fig. 2(a)), we see that low-density flocks are sustained, which are eventually destabilized at sufficiently high repulsion and densities. These flocks have evidently a liquid-like spatial structure (Fig. 2(b)).^{25,43,44} The density field ρ is thus not homogeneous across the system (see Fig. 2(b)). Density bands often occur in these systems, where particles move perpendicular to the length of these bands and travel, Fig. 2(c)). For larger systems, many of these dense bands are observed over the space. See Movie I⁴⁵ for dynamics to obtain CLF starting with a disordered state.

A. Mechanism for the formation of CLF

The CLF flock forming region on Fig. 2(a) can be understood by consideration of competing effects between various length (time) scales of the problem. To this end, it is useful to consider the idealization of pair colloidal collision, where the pair collides move along a co-moving frame a distance l_{sl} within a

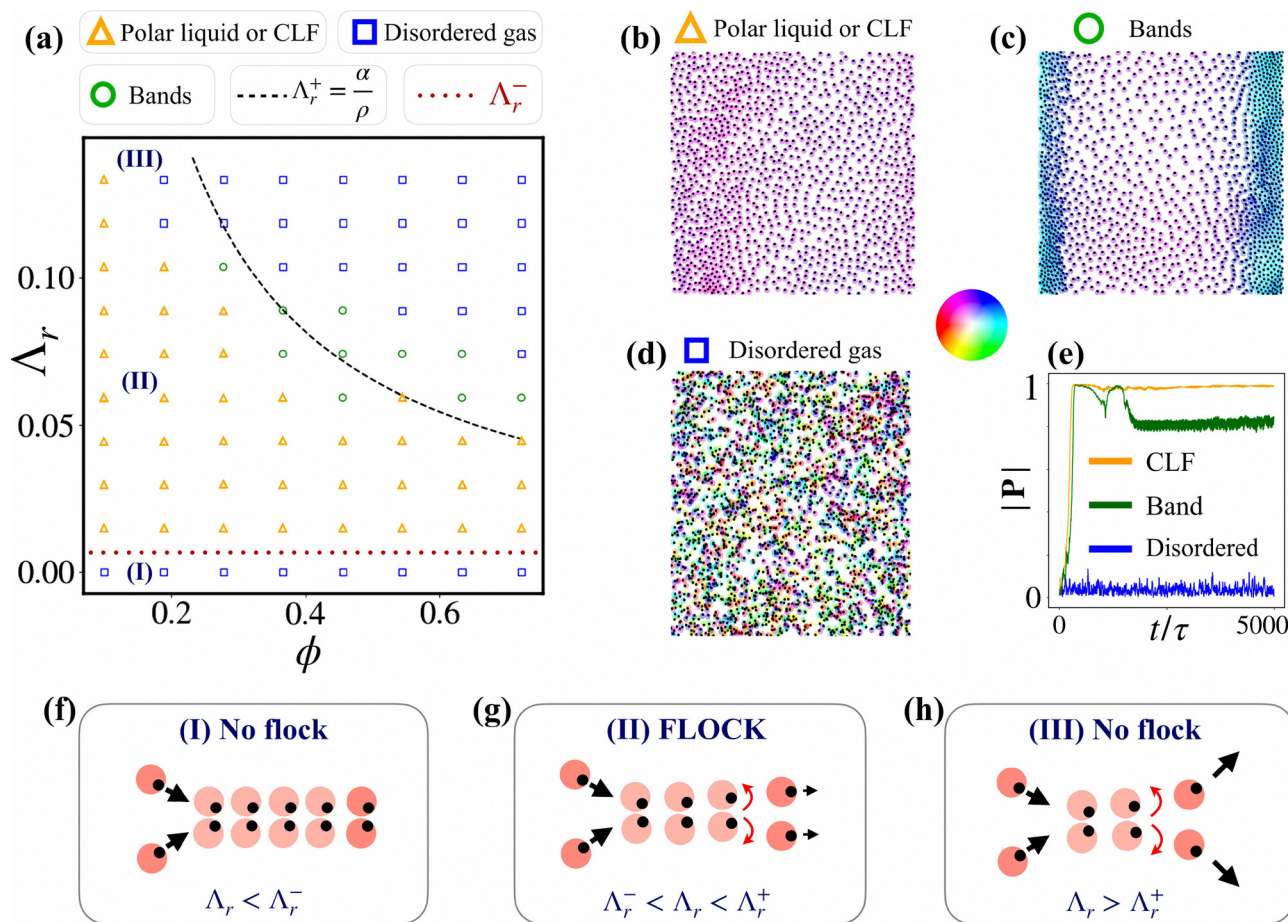


Fig. 2 CLF for $\Lambda_t = 0$. (a) Phase diagram in the (ϕ, Λ_r) plane, where ϕ is the area fraction of particles. Here, Λ_r^- is annotated as a dotted red line, while the density dependent Λ_r^+ as a dashed black line. Symbols here and throughout the paper denote a flock (yellow triangle), bands (green circles), and disordered states (blue squares). Marked (I)–(III) scenario and transition lines (black and red) are described in panels (f)–(h). Snapshots of the respective phases are shown in (b)–(d) respectively (color coding for orientation of each particle is in the middle panel). (e) The evolution of the polarization is displayed for the corresponding states (similarly colored). Panels (f)–(h) are a series of scenarios depicted corresponding to parameter choices labelled in panel (a). Scenarios (I) and (III) have chemical response that are either too slow or too quick, such that a stable flock cannot be formed. Panel (g) – scenario (II) – shows the regime in which the chemical response is in an optimal range such that the pair collision mechanism would produce a flock. See Section III A for details of the three distinct cases and mechanism of flocking.

time τ_{sl} , whilst rotating away (chemorepulsive). This can be interpreted as a sliding length and time scales respectively, and can be written as:

$$\tau_{sl} = \frac{b}{v_0 A_r}, \quad l_{sl} = v_0 \tau_{sl} = \frac{b}{A_r}. \quad (7)$$

Let us denote A_r^- as the smallest value of A_r to sustain the CLF (corresponding to the lowest transition line in Fig. 2a), and A_r^+ as the largest value of A_r above which the CLF is not sustained. A_r^+ is density dependent, as will be described below. The mechanism for the CLF can then be understood as a competition between A_r and A_r^+ , A_r^- ; this is illustrated in Fig. 2(f)–(h) (annotated accordingly in the phase diagram of Fig. 2(a)). From (7), the mechanism can be understood in terms of sliding time scale τ_{sl} along with τ_{sl}^- and τ_{sl}^+ . There are three distinct cases (see the corresponding label on the phase diagram in Fig. 2(a)):

Case I: when $A_r < A_r^-$ (corresponding to $\tau_{sl} > \tau_{sl}^-$), the orientational changes of the colloids are too slow compared to the free movement time and pair colloids slide excessively long; hence, local polar order cannot be sustained (Fig. 2(f)).

Case II: in the regime of $A_r^- < A_r < A_r^+$ (equivalently $\tau_{sl}^+ < \tau_{sl} < \tau_{sl}^-$), we have that the chemical response time is fast enough such that local polar order is sustained, but still sufficiently slow such that the forward-backward symmetry at the pair collision level is broken (Fig. 2(g)).

Case III: finally, for $A_r > A_r^+$ (equivalently $\tau_{sl} < \tau_{sl}^+$), the chemical response is sufficiently quick such that there is no symmetry breaking at the pair collision level, and hence no local alignment (see Fig. 2(h)).

B. Flocking by sliding an optimal distance while turning away

Using eqn (7), we may also describe the mechanism for CLF in terms of sliding length scale l_{sl} , corresponding to τ_{sl}^+ and τ_{sl}^- . These are:

$$l_{sl}^+ = v_0 \tau_{sl}^+, \quad l_{sl}^- = v_0 \tau_{sl}^-.$$

Note that in this choice of notations, $l_{sl}^- > l_{sl}^+$ as the time scale $\tau_{sl}^- > \tau_{sl}^+$. From simulations at $\phi \approx 0.31$, we find these values to be $l_{sl}^+ \sim 8.3b$ ($A_r^+ \sim 0.12$) and $l_{sl}^- \sim 100b$ ($A_r^- \sim 0.01$), and thus for low densities l_{sl}^+ of a few times the colloidal radius is feasible, whereas the upper limit spans the box size, which prohibits any flock formation. As we will show (and derive) below, l_{sl}^+ is directly proportional to density (with l_{sl}^- fixed by the system size), thus CLF formation can be equivalently understood by the competing effects of density-dependent inter-particle (pair) collisions and sliding. We see that the phase boundary in Fig. 2(a) takes the functional form $A_r^+ = \alpha/\rho$. Here, α is a constant that we will later quantify and derive. For densities above a critical density $\rho_c = \left(\frac{\alpha}{b}\right)^{2/3} \approx 0.05$, l_{sl}^+ always exceeds the mean free path $\frac{1}{\sqrt{\rho}}$ so that flock formation can happen uninhibited. Indeed for very dilute systems ($\rho < 0.05$, smaller than the densities displayed in Fig. 2), there is no flock formation as there is a large free path movement and thus

pair sliding is infrequent (not shown here). For high densities, though pair collisions are more frequent, $l_{sl} > l_{sl}^+$ cannot be satisfied. Indeed, at these densities, l_{sl}^+ and l_{sl}^- converge and flock formation is prohibited. Thus, for a finite range of densities the CLF can form and stably persist. Equivalently, there is no CLF if the pair colloid slide is too short ($l_{sl} < l_{sl}^+$) or too long ($l_{sl} > l_{sl}^-$).

We clarify at this juncture that such a pair collision mechanism is constructed as an idealization; in actual simulation, pair colloids may not slide an entire l_{sl} before leaving a given collision radius. However, such an abstraction helps us understand the polar alignment mechanism at the pair colloidal level, and in addition agrees fully with simulation results at the collective level. A key feature of this mechanism to be emphasized is the requirement of short-ranged repulsion (provided here *via* excluded volume interactions), without which CLF formation at $\chi_t = 0$ would not occur; this instead would have to be supplemented with $\chi_t > 0$.²⁶ Thus, (i) short-ranged translational repulsion and (ii) long-range orientational torques are the minimal requisite ingredients required for the formation of CLF.

IV. CCF *via* chemo-repulsive forces and torques

We now switch on χ_t and ask how the properties of the flock differ. On the (A_r, A_t) plane, we find that there is a clear region of flocking for non-zero χ_t (see Fig. 3(a)). We further find that the flocks have crystalline order (see Fig. 3(b)). Such crystalline flocks in repulsive systems have been recently reported.²⁶ Similarly to the CLF, the CCF is destabilized by sufficiently high rotational torques. In addition, A_r^+ now is linear in A_t . A_r^+ is larger for a positive A_t , thus rendering a shorter sliding length for pair colloids during the CCF formation. The net result of a shorter sliding length compared to the CLF is hence attributable to the difference between the crystalline and liquid structures. See Movie II⁴⁵ for dynamics to obtain CCF starting with a disordered state. We note that if this repulsion is too strong, the CCF is destabilized to a disordered gas (not shown in Fig. 3).

The phase diagrams for the collective dynamics of the system are presented in Fig. 2(a) and 3(a) in terms of the three key dimensionless parameters: A_t , A_r and ϕ . The most generic form of the transition lines from the phase diagrams can be written as:

$$A_r < A_r^+, \quad A_r^+ = \frac{\alpha}{\rho} + \beta A_t. \quad (8)$$

We obtain β and α by first fitting the boundary line in Fig. 3(a). The slope gives $\beta \approx 0.167$, whilst the x -intercept gives $\alpha \approx 0.013/b^3$. This fit is obtained by first applying determining points of greatest variance of the order parameter (susceptibility) on the phase plane and then applying least-squares regression on those points. The fitted α thus independently fixes the boundary on Fig. 2(a). The term α/ρ inhibits sliding at high densities. The second term due to the presence of

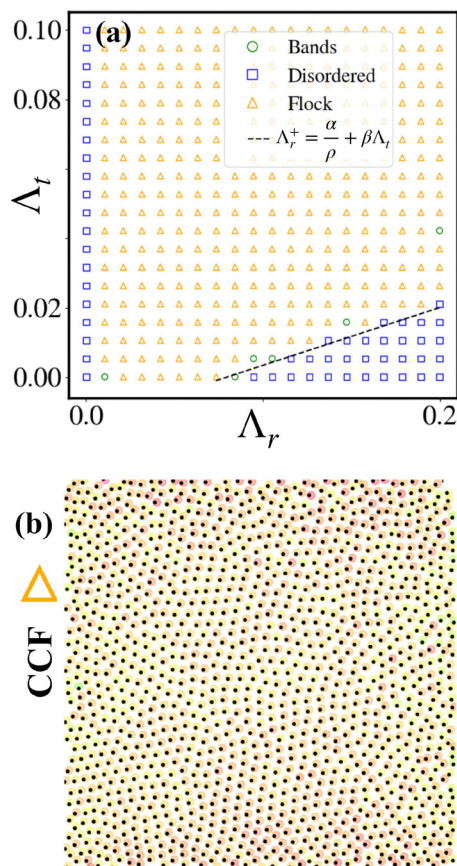


Fig. 3 (a) Phase diagram in the (Λ_r, Λ_t) plane for the case of $\chi_t > 0$. The dashed line refers to lower bound on χ_r , the solid line for lower bound on χ_t from eqn (8). (b) A snapshot of the CCF phase (the same color scheme to indicate the orientation of the particles as in Fig. 2).

long-ranged translational repulsion suppresses the sliding length and contributes to the crystalline structure. The delimiting lines of Λ_r^- on the left (vertical) of Fig. 3(a) and bottom (horizontal) of Fig. 2(a) are equal. Thus, the CCF mechanism may be summarized by being an extension of the CLF mechanism, with the additional long-ranged repulsive forces between the particles rendering the crystalline structure.

V. Differences between CCF and CLF

A. Pair correlation function

The difference in spatial structure between the CLF and CCF is readily distinguished by the pair correlation function, which is given by:

$$g(r) = \frac{1}{N} \sum_{i,j} \langle \delta(|\mathbf{r}_i - \mathbf{r}_j| - r) \rangle \quad (9)$$

This is plotted in Fig. 4(c), distinguishing the solid and liquid signatures.²⁵ A closely related quantity is the polar pair correlation $g(r, \varphi)$, which explicitly depends on the orientations of the colloids. Here, $\cos(\varphi_{ij}) = \mathbf{e}_i \cdot \hat{\mathbf{r}}_{ij}$, where $\hat{\mathbf{r}}_{ij} = (\mathbf{r}_j - \mathbf{r}_i) / |\mathbf{r}_j - \mathbf{r}_i|$.⁴⁶ For the CLF, we see that there are quadrants where neighbours are more likely to be found (Fig. 4(a)), as opposed to the hexatic

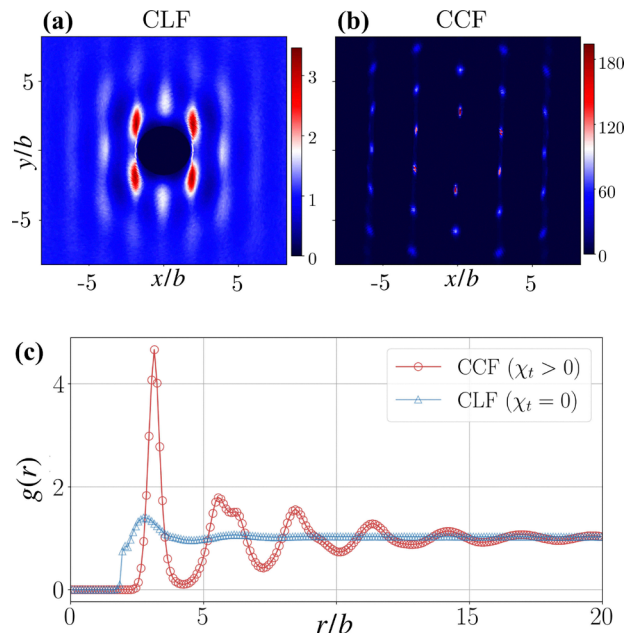


Fig. 4 Panels (a) and (b) compare $g(r, \varphi)$ for the case of the CLF and the CCF. In (c), a comparison of the flocking phase, for CLF (red) and CCF (blue), is shown for the pair correlation $g(r)$.

order of the CCF (Fig. 4(b)). In the case of CCF, the intensity (proportional to the probability of finding the particle from the reference – see Appendix A) in the well-localized hexagonal positions of the crystal is substantially higher than that in the CLF, which are instead less intense with a greater relative spread. The four-lobe distribution is indicative of a highly anisotropic liquid phase where there is a decreased probability of finding a neighboring particle either at the front or back of the reference particle due to mutual repulsion.

B. Hexatic order and density variance

We define a measure of local hexatic order ψ_i for the i th particle to quantify the hexatic order globally in terms of ψ_6 . They are given as:⁴⁷

$$\psi_6 = \frac{1}{N} \sum_i \psi_i, \quad \psi_i = \frac{1}{N_i^n} \sum_j e^{i6\theta_{ij}}. \quad (10)$$

Here, θ_{ij} is the angle between particle i and particle j and N_i^n is the number of neighbors for particle i ($N_i^n \approx 6$ for all data points). The observable ψ_6 is then averaged over 1000 different particle configurations at the steady-state. We plot ψ_6 for the two cases ($\chi_t = 0$ and $\chi_t > 0$) in the left panel of Fig. 5. The transition lines from the phase diagrams in Fig. 2(a) and 3(a) are also shown as dotted lines for guiding the eye. In the case of $\chi_t = 0$ (Fig. 5(a) left), the hexatic order exists in the flocking phase, only for a very high density (area fraction $\phi = \rho \pi b^2 > 0.6$). On the other hand, in the case of $\chi_t > 0$ (Fig. 5(b) left), with $\phi = \rho \pi b^2 = 0.36$, the system becomes highly hexatic in the flocking phase. However, near the transition line, where density bands are prone to occur, we find that the hexatic order gradually decreases.

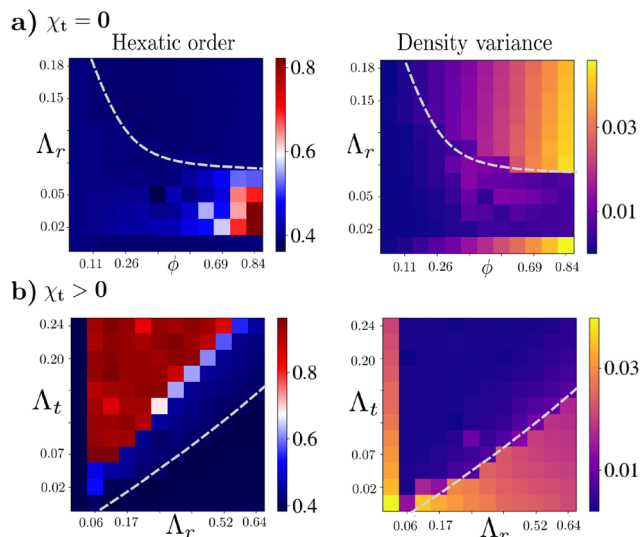


Fig. 5 Phase diagrams in terms of (left) global hexatic order (ψ_6) and (right) density variance. The top panel (a) shows these for the CLF, whereas the bottom panel (b) for the CCF. Dashed curves in each plot indicate the order–disorder (polarization) transition line as in Fig. 2(a) and 3(a).

Next, density variance for the two cases ($\chi_t = 0$ and $\chi_t > 0$) is shown in the right panel of Fig. 5. The density variance is calculated from the density distribution for a specific parameter set shown in the phase diagram. For local density measurements, the Voronoi tessellation method has been implemented for a spatial configuration of particles. We calculate local density $\rho_l = \pi b^2 / A_l$, where A_l is the local area assigned to each particle. Then we compute the density variance as $\langle (\Delta\rho)^2 \rangle = \langle \rho_l^2 \rangle - \langle \rho_l \rangle^2$ (spatial average) and finally averaged over 1000 configurations. In both cases, the density variance is much lower in the flocking state. However, it shows an increase near the transition region. The density variance is high for the high area fraction ($\phi = \rho\pi b^2$) and in the disordered phase, for the case $\chi_t = 0$ (Fig. 5(a) right panel). For the $\chi_t > 0$ case, with low χ_t values, the variance is more prominent, as shown in Fig. 5(b) (right panel).

C. Phase transition and finite size effects

We now present how polar order parameter $|\mathbf{P}|$ changes with variation of the control parameter A_r and A_t , shown in Fig. 6. First, for CLF ($A_t = 0$), phase transitions are shown for different area fractions $\phi = [0.2, 0.5]$ with the system of size $L = 100$ (Fig. 6(a)). With zero A_r , $|\mathbf{P}| \approx 0$ and there is no flocking (lower bound of Fig. 2(a)). As we increase A_r , particles flock into a polar ordered state. On the other hand, with a further increase in A_r , disordered phases are observed (see Fig. 2(a)). Transition points shift towards lower A_r values with increase of density. Further, we present the finite size effects with different systems of sizes $L = [50, 400]$ ($N = [239, 15279]$) with a constant area fraction $\phi = 0.3$ (Fig. 6(b)). The effects of a finite size on the flocking transition can be clearly seen for systems of smaller size, whereas the effect is minor for a larger system size. Then, for the CCF (with fixed $A_r = 0.55$), the polar order parameter $|\mathbf{P}|$ versus A_t is plotted for different area fractions (for reference,

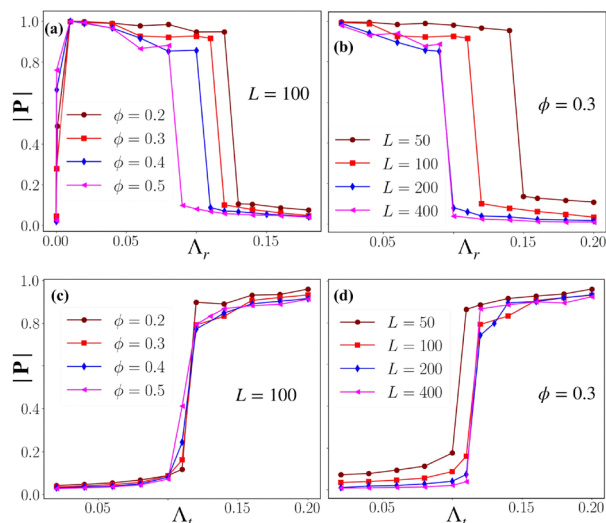


Fig. 6 Finite-size effects of phase transition. Top panel: (a) shows the magnitude of the polar order parameter $|\mathbf{P}|$ versus A_r for the CLF ($A_t = 0$) for different area fractions ϕ , whilst (b) displays these for different systems of size L . Bottom panel: similarly plotted for the CCF, with the variation with respect to A_t instead for different ϕ (c) and L (d) respectively. Here, we have fixed $A_r = 0.55$. The total number of particles N corresponding to the L are given as: ($L = 50$, $N = 239$), ($L = 100$, $N = 955$), ($L = 200$, $N = 3820$) and ($L = 400$, $N = 15279$).

see Fig. 5(b), where the area fraction is fixed at $\phi = 0.36$). In Fig. 6(d), we studied finite-size effects for this case and found that the effect on the phase transition is negligible for larger system sizes. It needs to be noted that our model includes long-range interactions, and it is thus computationally expensive to probe sizes beyond those reported here.

VI. Effect of long-ranged translational chemo-attraction

To further support the robustness of the mechanism, we report flocks arising from repulsive torques with the addition of long-ranged attractive inter-particle forces, thus $\chi_t < 0$. We find collective motion as flocks are maintained for $A_t \in [0, -0.01]$, before transitioning to a disordered state for larger attractive translational interactions; this is shown in Fig. 7(a). In this regime, the clumping tendency is balanced by the excluded volume repulsions. This sets the y-intercept of the theoretical prediction in Fig. 7(a) to be of the same order of magnitude to the lower horizontal line in Fig. 2. These are hence liquid flocks (CLF, see Fig. 7(b)). The band formation is also similar to that of the CLF. During density band formation, often less denser waves form in the system, and they move perpendicular to the direction of the density bands. Similar cross-sea phases are also observed in Vicsek-like models.⁴⁸

VII. Continuum description

To explain the above mechanism, we write top-down hydrodynamic equations for the density $\rho(\mathbf{r}, t)$ and polarization $\mathbf{p}(\mathbf{r}, t)$

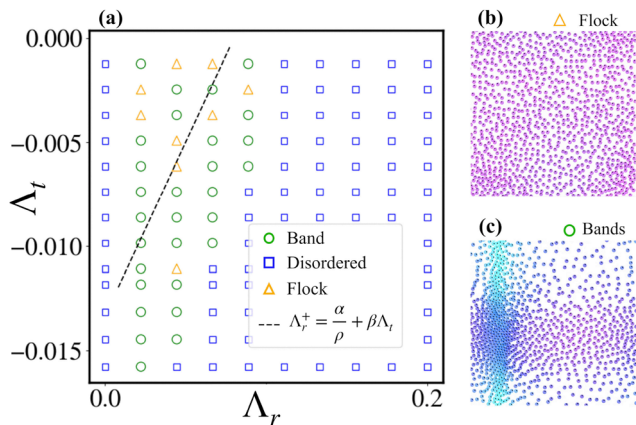


Fig. 7 (a) Phase diagram in the (Λ_r, Λ_t) plane for the case of $\chi_t < 0$. The transition line is extrapolated from Fig. 3(a). (b) Liquid flock, (c) density band representing the phases of the phase diagram (the same color scheme as in Fig. 2). Total number of particles $N = 1024$.

fields by appealing to the symmetries and conservation laws of the system.⁴⁷ The continuum equations for our system can be written as:

$$\partial_t \rho = -\nabla \cdot (v_{\text{tr}}[\rho] \mathbf{p} - D_{\text{tr}}[\rho] \nabla \rho) \quad (11a)$$

$$\partial_t \mathbf{p} = A[\rho] \frac{\nabla \rho}{2} + \frac{D_{\text{tr}}[\rho]}{\rho} [\nabla \cdot (\mathbf{p} \nabla \rho)]. \quad (11b)$$

Here, we have defined:

$$v_{\text{tr}}[\rho] = v_0 - \chi_t \xi_0 \rho, \quad D_{\text{tr}}[\rho] = \xi_t \rho \chi_t,$$

$$A[\rho] = (\xi_r \chi_r + \xi_0 \chi_t) \rho - v_{\text{tr}}[\rho].$$

The term v_{tr} (a coarse-grained velocity) appears in both the cross-coupling terms between density and polarization fields, stabilizing the density field at larger χ_t . This effect is in turn countered by D_{tr} (coarse-grained diffusivity), which randomizes the fields at large χ_t . The χ_r and χ_t contributions *via* the A term act to destabilize the polarization field with respect to density gradients. Given that the transition lines observed are dependent on the area fraction simulated, each of these terms have an explicit density dependence *via* the constants ξ_0 , ξ_t , and ξ_r , which are obtained by fitting the transition line predicted by the particle-based simulation in Fig. 3(a). A route to these equations *via* a systematic coarse-grained procedure is well established,^{17,46} though it is not pursued in this work. We note that there are no stochastic contributions appearing in the dynamics of the fields ρ and \mathbf{p} in eqn (11). We have assumed noise to be negligibly small as explained in the previous section. In effect, the presence of these stochastic terms in the particle-based model is merely necessitated for the formal coarse-graining procedure, but does not play any further role in explaining the instability of the flocking state.

A. Case of $\chi_t = 0$

First, we may consider the case of only rotational torques. See Fig. 2(a) for phenomenology of this case with $\chi_t = 0$ from

the particle-based model. From (11) – on setting $\chi_t = 0$ – we obtain an enslaved dynamics of \mathbf{p} with respect to the density gradients:

$$\partial_t \mathbf{p} = \frac{\nabla \rho}{2} [(\chi_r \xi_r \rho - v_0)]. \quad (12)$$

From the above, we see that for the temporal evolution of \mathbf{p} to change the nature of stability with respect to the density gradients (feedback response), we require that ρ^* is less than:

$$\rho^* = \frac{v_0}{\chi_r \xi_r}. \quad (13)$$

We see that the fluctuations in both ρ and \mathbf{p} are strongly coupled and that any fluctuations are stabilized in the long-time limit.

B. General case with $\chi_t \neq 0$

For the generic case of both $\chi_r \neq 0$ and $\chi_t \neq 0$, we may note that solving for $\mathbf{p} = 0$ in (11), whilst ignoring fluctuations, we obtain:

$$\rho^* = \frac{v_0}{\xi_r \chi_r + 2 \xi_0 \chi_t},$$

thus generalizing (13) for $\chi_t \neq 0$. In the following, we study the stability of the system about these steady-state values for the density field ρ^* and a steady-state value of polar order \mathbf{p}^* .

C. Stability of the flocking state

To study the stability of the flocking state, we linearize eqn (11) for the steady-state density and polarizations (ρ^*, \mathbf{p}^*) , defining $(\delta \rho, \delta \mathbf{p}) = (\rho - \rho^*, \mathbf{p} - \mathbf{p}^*)$. We use the following choice of Fourier basis expansion

$$\delta \tilde{\rho}(\mathbf{q}, \omega) = \int e^{i\mathbf{q}\cdot\mathbf{r}} e^{i\omega t} \delta \rho(\mathbf{r}, t) d^2 \mathbf{r} \quad (14a)$$

$$\delta \tilde{\theta}(\mathbf{q}, \omega) = \int e^{i\mathbf{q}\cdot\mathbf{r}} e^{i\omega t} (\nabla \cdot \delta \mathbf{p}) d^2 \mathbf{r} \quad (14b)$$

For a phase with uniform global polarization, the following conditions will hold: $\nabla \rho^* \rightarrow 0$ and $\nabla \cdot \mathbf{p}^* \rightarrow 0$.

Eqn (11) can then be linearized on the basis of (14) to obtain the stability condition for the flocking state and subsequently derive the boundary line of eqn (8), for a generic χ_t . The full linearization in Fourier space yields the following:

$$\frac{d}{dt} \begin{pmatrix} \delta \tilde{\rho} \\ \delta \tilde{\theta} \end{pmatrix} = \begin{pmatrix} -q^2 \xi_t \chi_t \rho^* & -v_{\text{tr}}[\rho^*] \\ -q^2 A[\rho^*]/2 & 0 \end{pmatrix} \begin{pmatrix} \delta \tilde{\rho} \\ \delta \tilde{\theta} \end{pmatrix} \quad (15)$$

Here $A[\rho^*] = (2 \xi_0 \chi_t + \xi_r \chi_r) \rho^* - v_0$. The dispersion relation for $\omega(q)$ then reads

$$\omega = \frac{iq^2 \xi_r \chi_r \rho^*}{2} \left[1 \pm \sqrt{1 + \frac{2v_{\text{tr}} q^2 A}{q^4 \xi_t^2 \chi_t^2 \rho^{*2}}} \right] \quad (16)$$

with the condition for linear instability given by:

$$2 \xi_0^2 \rho^{*2} \chi_t^2 - \xi_0 \rho^* (2v_0 - \xi_r \chi_r \rho^*) \chi_t - v_0 (\xi_r \chi_r \rho^* - v_0) < 0$$

Using the above, we can solve for χ_t . For the value:

$$\chi_t^\pm = \frac{v_0 - \frac{\xi_r \chi_r \rho^*}{2}}{2\xi_0 \rho^*} \left[1 \pm \sqrt{1 + \frac{2\left(\frac{\xi_r \chi_r \rho^*}{v_0} - 1\right)}{\left(1 - \frac{\xi_r \chi_r \rho^*}{2v_0}\right)^2}} \right] \quad (17)$$

we can consider the solution $\chi_t > \chi_t^-$. Let us further study the limit $\frac{\chi_r}{v_0} \ll 1$, which corresponds to parameter ranges used in our simulations (see Table 1).

Using the definitions for A_r and A_t – see eqn (5) – the condition for instability of the modes $\omega(q)$ is given by

$$A_r^* \approx \frac{2}{3b^3 \xi_r \rho^*} - \frac{1.67b\xi_0}{\xi_r} A_t \quad (18)$$

We can identify this to have the same functional form as eqn (8) defining the transition line. Equating with eqn (8), we have that

$$\alpha = \frac{2}{3b^3 \xi_r}, \quad \beta = -\frac{1.67b\xi_0}{\xi_r} \quad (19)$$

We can thus infer the values of $\xi_r \approx 2/(3b^3\alpha) \approx 51.3$ and $\xi_0 \approx -5.13/b$. The hydrodynamic instability of the flocking phase thus captures the transition lines between ordered and disordered phases in Fig. 2(a) and 3(a). Though eqn (18) captures the transition for a generic crystalline flock (generic χ_c), we note that there is no explicit spatial-structure instability encoded in the hydrodynamic model,⁴⁴ and thus if one simulates eqn (11) one obtains effectively fluidic flocks at a continuum level. Nevertheless, we conclude that the noiseless limit of a minimal hydrodynamic model captures the destabilization transition of the flock, with exact details of the spatial structure of the system likely requiring to go beyond the conventional molecular chaos hypothesis.^{16,17}

VIII. Summary and discussion

To conclude, we present a minimal mechanism for formation of fluid flocks that only requires long-ranged (net) repulsive torques and short-ranged repulsive forces from steric interactions. Although the roles of excluded volume interactions,^{16,20,22,31} repulsive torques – either short²² or long²⁶ ranged – and long-ranged repulsive forces^{26,31} have been studied separately, we have specified here the individual contributions of each of those components and argued that the former two are the minimal requisite components. The mechanism can be summarized as follows: the pair colloids slide together for at least a unit length before deterministically rotating away from each other. This is required to break the forward-backward symmetry at the pair-collision level. Destabilization of the flock arises due to symmetric collisions at the pair colloidal level, wherein they slide exceedingly short before rotating away upon collision. We show that these results are consistent with the noiseless limit of a minimal hydrodynamic theory. One may ask whether such a sliding mechanism alone added to cognitive based (agent based) models^{13,14} could reproduce the flocking transition; indeed we will leave this to future work.

It is important to note that the CLF and CCF phases are both generated by, and further destabilized by, the deterministic torques themselves, independent of the contribution of noise. Though we have explicit noise terms in the updates in eqn (1) for the sake of completion, they are set to be negligibly small (see Table 1 in the Appendix). We note that we have repeated all the simulations presented in Fig. 2 with $D_r = D_t = 0$ and have found the results to be identical. Thus, our results on flocking of phoretically interacting active particles and their destabilization are distinct from conventional picture of noise-induced inhibition of flock formation.^{6,13,26,31} We note that in the absence of short-ranged excluded volume repulsions, our results on the formation of CLF would converge to that recently studied in ref. 26 where the need for (long-ranged) repulsive forces is more imminent. Translational repulsion merely renders the flock to acquire a crystalline structure. We also find flocks for (a narrow range of) long-ranged attractive forces, if of the order of less than the short-ranged repulsion. We have not studied here the role of particle shape anisotropy,^{17,49} which would add additional competing length scales to our analysis. We have also not studied the role of hydrodynamic interactions between the particles.^{3,50,51} We expect our results here to be directly relevant to a variety of experimental systems. For example, migrating cell collections have been widely reported to exhibit spatial organization resembling a polar fluid,^{18,33} while their interaction mechanism has been known to include (among others) long-ranged chemotaxis and avoidance torques.³² The presented mechanism being very generic, we expect it to also be relevant for interacting colloids with non-diffusiophoretic fields,^{25,44,46} where the underlying mechanism should also hold.

Conflicts of interest

There are no conflicts to declare.

Data availability

Supplementary information (SI) is available. See DOI: <https://doi.org/10.1039/d5sm01005e>.

The datasets are generated from simulation. They are available from the corresponding authors upon reasonable request.

Appendices

1. Table of parameters

A table of all the parameters used for the generated figures is presented in Table 1.

A Pair correlation functions

The pair distribution function $g(r, \varphi, \theta)$ implies correlations and provides the probability density of finding a pair of particles at a distance r with self-propulsion angle θ . Here, φ is the angle between the relative position r and the self-propulsion direction of the tagged particle, $\hat{\mathbf{e}}_i \cdot \hat{\mathbf{r}}_{ij} = \cos \varphi$ and θ is the angle between

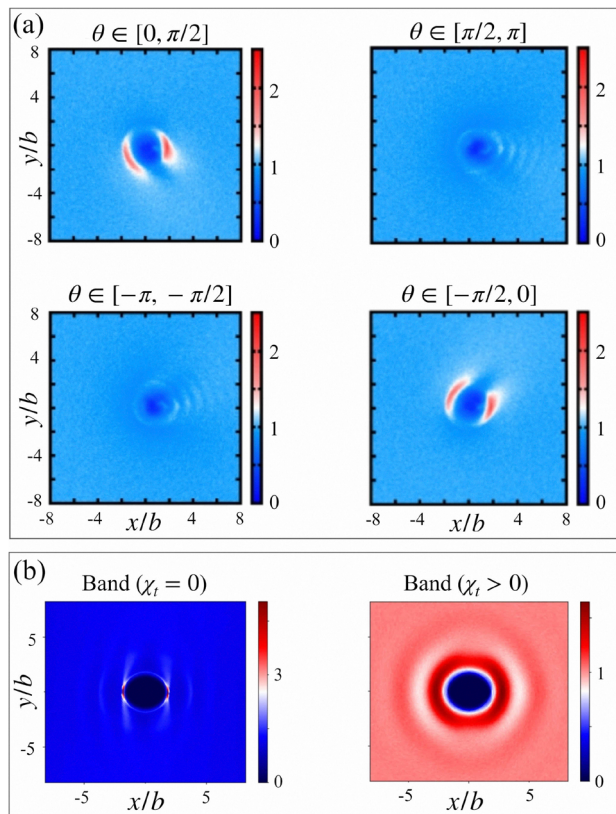


Fig. 8 Pair correlation function $g(r, \varphi, \theta)$ for the isotropic phase for four different θ ranges in panel (a). In panel (b), we show pair correlation function $g(r, \varphi)$ in the band phase for $\chi_t = 0$ and $\chi_t > 0$.

the propulsion directions of the particle pair. To obtain this pair distribution function, histograms are created with the number of particles $N_h(r, \varphi, \theta)$ at distance r , positional angle φ and angle θ . The bins are chosen as $0.1b$ for r , $\pi/150$ for φ and $\pi/2$ for θ . Then we need to normalize $N_h(r, \varphi, \theta)$, to obtain the pair distribution function $g(r, \varphi, \theta)$. The number of configurations is t_c (10 000 snapshots), over which histograms are made. The area of the annular segment of radial width dr and angular width $d\varphi$ is given by $A_r = r dr d\varphi$. The number density is $\rho = N/L^2$ and the size of the relative orientation bin is $d\theta$. Then the normalization factor is $N_r = \frac{A_r \rho N t_c d\theta}{2\pi}$ and the pair distribution function is given by $g(r, \varphi, \theta) = N_h(r, \varphi, \theta)/N_r$.

An example of this for the isotropic phase is given in Fig. 8(a). The pair distribution functions are determined for the four different θ ranges as: $\theta: [0, \pi/2]$, $\theta: [\pi/2, \pi]$, $\theta: [-\pi, -\pi/2]$ and $\theta: [-\pi/2, 0]$. We see that in the isotropic phase, the probability of finding a neighboring particle is different in the front relative to the back of the direction of motion (orientation), indicative of the symmetry breaking in an active disordered gas.^{17,26,46} In the main text, we show that $g(r, \varphi)$ also distinguishes CLFs from CCFs, the former having a four-lobe structure compared to the latter's hexatic structure. In addition, we compare the structure of $g(r, \varphi)$ for the band phases in Fig. 8(b), for the CLF and CCF density bands. We see that the CLF and CCF bands are distinguished strongly *via*

this measure: in the former there is a strong likelihood of finding neighbouring colloids in either the front or back of the propulsion direction, whilst in the latter the aggregate structure resembles that of a (non-polar) liquid due to the presence of cross bands. Note that the band structure is more dense in the case of $\chi_t = 0$, resulting in a more intense correlation in $g(r, \varphi)$. A related quantity that we compute is the radial $g(r)$. This is plotted in Fig. 4, distinguishing the CLF and CCF.

B Description of the supplementary movies

The time evolution of the CCF and CLF structures for a larger system with the total number of particles $N = 20\,000$, is attached as supplementary movies.⁴⁵ We note that our results are robust as we change the number of particles in the simulations. For both cases, we started with random initial conditions (positions and orientations) and updated the equation of motion following eqn (1). In both movies, the particles are colored by their orientations (given in terms of the angle θ their orientation vector makes with the positive x -axis). The color bar is the same as the one used in Fig. 2. Two particles are intentionally colored black throughout the simulation to simply trace them during the dynamics. Polar order or the flocking state collectively emerges in this model with both the cases. Parameters used for the two movies are below.

- Movie I: in the case of $\chi_t = 0$ (CLF), the liquid flock gradually forms throughout the system and moves in a particular direction. The fixed parameter values are: $L = 418$, $b = 1$, $dt = 0.01$, $D_r = 10^{-3}$, $D_t = 10^{-3}$, $\kappa = 175$, $\nu_0 = 50$, $\chi_t = 0$, $\chi_r = 0.75$.

- Movie II: in the case of $\chi_t > 0$ (CCF), the particles first start to form local polar flocks (colored patch), then merge and pick a particular direction of motion entirely. The fixed parameter values are: $L = 418$, $b = 1$, $dt = 0.01$, $D_r = 10^{-3}$, $D_t = 10^{-3}$, $\kappa = 175$, $\nu_0 = 50$, $\chi_t = 3$, $\chi_r = 6$.

- Movie III: in the case of $\chi_t = 0$ (CLF), particles form several density bands throughout the space. Additionally, less dense waves (which move perpendicular to the direction of density bands) are also seen to form with these density bands. The fixed parameter values are: $L = 418$, $b = 1$, $dt = 0.01$, $D_r = 10^{-3}$, $D_t = 10^{-3}$, $\kappa = 175$, $\nu_0 = 50$, $\chi_t = 0$, $\chi_r = 2.0$.

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