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**Interaction of grain morphology and intergranular friction on grain packing**

We explore grain morphology and intergranular friction on the interface behaviors affecting grain packing in granular materials. The packing of grains at low pressures plays a critical role in the creation of granular assemblies of all stiffnesses. A correlating relationship between three commonly used grain morphological descriptors, intergranular friction and grain packing fraction is shown. The methods are similarly applied to experimental and computationally reconstructed grains and is the first study to systematically and quantitatively explore the interactions of grain morphology and intergranular friction on grain packing behavior.

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# Interaction of grain morphology and intergranular friction on grain packing†

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The bulk density of loosely packed grains is determined by grain morphology and the intergranular friction coefficient. Creating simulated grain packings with realistic packing densities is the first step in performing predictions of granular material behavior at higher compaction stresses. Our novel approach performs jamming simulations at near-zero pressure where the surface properties are decoupled from the elastic properties to explore the interaction between grain morphology and intergranular friction. We use bonded particle model (BPM) grain representations with different subparticle resolutions to vary their morphological properties. Our investigation uses both regular- and irregular-shaped BPM grains to develop a relationship between grain morphology, intergranular friction, and the jamming limit that applies to simulated and physical grains. The relationship prescribes a friction coefficient for use in simulations of grain packing that considers the effect of morphology.

## 1 Introduction

Energy transport occurs across the contact networks in granular systems and is critically influenced by intergranular phenomena. Contact networks form when ensembles of material grains are compressed or packed together under small forces (*e.g.* gravity)—transitioning from a flowing state to a rigid state with an irregular mesostructure and emergent bulk properties. In this disordered jammed state, the mesostructure of the material grains has a packing fraction that can only be increased by grain rearrangement with additional force application. The bulk properties emerge from the force chain structure<sup>1</sup> and characterize the mesostructure strength,<sup>2–4</sup> fracture behavior,<sup>5–9</sup> conductivity,<sup>10</sup> and many other phenomena of interest in granular systems.

The bulk density at which a disordered ensemble of material grains jam is sensitive only to their external surface characteristics. In this near-zero pressure regime, the intergranular forces and grain deformation are negligible and the grains act as rigid bodies. Laboratory experiments and computational simulations show numerous grain morphological properties influence the jamming limit, including grain size distribution,<sup>11</sup> grain shapes,<sup>12–16</sup> surface roughness,<sup>17–20</sup> and friction coefficients.<sup>15,21–23</sup> When seeking relationships of grain packing behavior it is common to employ concepts of hard-core excluded volume—the amount of space in

the vicinity of a grain inaccessible to other grains.<sup>24–28</sup> For an ensemble of grains, the excluded volume depends on their shape and arrangement resulting in an increasing excluded volume with decreasing grain sphericity.<sup>27</sup> However, while the concept of excluded volumes has enabled rigorous mathematical treatments of convex grain packings, and can be numerically applied to concave grains,<sup>29</sup> models that relate grain packing fraction to grain shape and the other two important factors in physical granular material systems—grain surface roughness and friction—remain an outstanding challenge.<sup>30</sup>

For convex grain shapes with friction, Yuan *et al.*<sup>24</sup> showed that in the limit of infinite friction, the jamming limit is a function of excluded volume, and for finite friction, the granular packing fraction can be normalized such that it is a function of friction only. While this relationship from Yuan *et al.*<sup>24</sup> results in accurate predictions of the jamming packing fraction, it is restricted to convex grains due to the use of a mean field approach relating packing fraction at infinite friction through a fitting function to a dimensionless, orientation-averaged excluded volume. This approach was not suitable for representing dimer grains with concave regions. For both physical and simulated grains, concave regions at the external boundary are common and inherently present in current granular materials research, *e.g.*, entangled granular media.<sup>31</sup>

Depending on the scale of the concave regions on a grain surface, characterizations of surface roughness or shape can become convolved. In general, our ability to fully characterize grain surface characteristics are limited by current imaging and X-ray technology capabilities—0.1–10 micron voxel or pixel resolution—which is insufficient to capture the full spectrum of self-affine surface irregularities. There are numerous

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methods of DEM grain discretization that seek to precisely recreate the grain geometry, such as spherical harmonic functions,<sup>32–36</sup> level set algorithms,<sup>37</sup> and sphere clumping.<sup>38,39</sup> Simulations using such methods can resolve small length scales at equivalent computational costs, which allows them to achieve much smaller differences in grain morphology. Recognizing the inherent discrepancies in reconstructing all scales of physical grains, Mollon *et al.*<sup>40</sup> considered the interplay of surface roughness and friction for simulating the triaxial compression of physical grains. They utilize Fourier shape descriptors based on 2D projections from high-resolution images of physical grains to reconstruct grains in a hybrid discrete element method (DEM) using polygons. By limiting the number of Fourier shape descriptors, the grains become smoother which—under certain conditions that were beyond the jamming limit—can be replaced by increasing friction.

In contrast, a common method to reconstruct physical grains is using clumps—which may be connected by finite strength bonds as in the case of bonded particle models (BPM). BPM clumps (representing a material grain) formed from an ensemble of non-overlapping spherical elements (referred to as subparticles) have a surface roughness based on the relative subparticle size and grain size. Several studies have shown BPM grains have lower jamming limits than laboratory experiments of the same material.<sup>7,9</sup> Clemmer *et al.*<sup>20</sup> demonstrated that the jamming limit of BPM spheres varies significantly with surface roughness. They found that spherical BPM grains containing about 5000 frictionless spherical subparticles jammed at packing fractions of 0.584 to 0.598, depending on subparticle packing methodology, compared to the expected value for spheres of 0.64.<sup>12</sup>

Our motivation stems from the current challenges that exist in modeling the compression of granular materials: (1) experimental methods to image physical grains are inherently linked to the resolution limits of the method (*e.g.*, scanning electron microscope, optical microscopes, particle size analyzer, micro-computed X-ray tomography), (2) computational grain reconstruction is subject to the typical tradeoffs between feature resolution, computational time, and numerical precision, and (3) no study has attempted to link the three critical grain characteristics of shape, surface roughness, friction to grain packing behavior. We hypothesize that a relationship exists between these three grain morphological descriptors which are best studied within the disordered jamming regime since the elastic behavior of the grains is decoupled from the intergranular behaviors. If a physical system can be simulated in the low-pressure regime with imperfect grain reconstructions and an effective friction parameter, it suggests a better initial condition for higher pressure simulations than current methods<sup>7</sup> that produce packings in the absence of friction.

To investigate the interaction of shape, surface roughness, and friction on disordered jamming packing fraction, BPM simulations using regular-shaped and irregular-shaped grains reconstructed from data collected by typical granular material characterization methods are performed. Laboratory measurements of the jamming limit demonstrate how experimental and

computational data can be considered similarly. First, Section 2 presents laboratory measurements of the jamming packing fraction of silica sand, which is selected as an exemplar material system having irregular grain shapes and is widely used in geotechnics literature.<sup>8,41–43</sup> Sections 3–5 describe the process to simulate grain jamming using reconstructions of different grain types. Section 3 discusses the creation of libraries of BPM grains with regular- and irregular-shaped grain envelopes. Two methods of subparticle packing are used for regular-shaped grains to obtain comparatively rough and smooth surfaces. The morphological properties of all BPM grains and our physical silica sand grains are computed in Section 4. Then jamming simulations are performed as described in Section 5 and the impact of grain morphological properties on the jamming limit is discussed in Section 6. All simulations were performed using LAMMPS 3 Nov 2022<sup>44</sup> on a local computer with an AMD Ryzen 3945WX processor running Ubuntu 22.04 as well as the Texas A&M High Performance Research Computing cluster Grace.

Finally, a statistical examination of the simulated and laboratory measurements is performed in Section 7 to correlate the jamming packing fraction with grain morphology and intergranular friction. A relationship is developed that accounts for the change in morphological properties of the BPM grain representation by decreasing the friction coefficient, thereby establishing a tool to improve computational outcomes when seeking a direct comparison to real material behaviors. Even the jamming behavior of experimental data can be correlated to physical grain morphology using this relationship.

## 2 Jamming in physical grains with irregular shapes

Laboratory experiments measured the jamming packing fraction of silica sand (CAS# 14808-60-7, purchased from GFS Chemicals). The particle size distributions (PSD) for two lots of silica sand (Fig. 1) were measured using a LA-960V2 Horiba Particle Size Analyzer (LA-960S, RRID: SCR\_022202). Both PSDs were equivalent within 1%, ranged in size from 152  $\mu\text{m}$  to 777  $\mu\text{m}$ , and had a mean size of 343  $\mu\text{m}$ .

The laboratory jamming experiments are compliant with ASTM D7481-18 Standard (Method A)<sup>45</sup> which included measuring a small mass of particles with a scale, pouring the particles into a container, measuring the height of the particle system, and calculating the volume of the jammed particle system. The experimental setup, illustrated in Fig. 2, included a 35.10 mm tall by 31.20 mm inner diameter borosilicate glass cylinder that was open at the top and closed at the bottom by a 3D printed polylactic acid (PLA) base mounted to an optical table. The diameter of the glass cylinder was measured using a Neiko 01417A caliper with an accuracy of  $\pm 0.2$  mm. The silica sand sample was weighed using a Mettler Toledo LA84E balance with an accuracy of  $\pm 0.0001$  g and poured into the glass container using a funnel. The funnel was maneuvered during pouring to ensure the top surface of the silica sand was as level as possible.





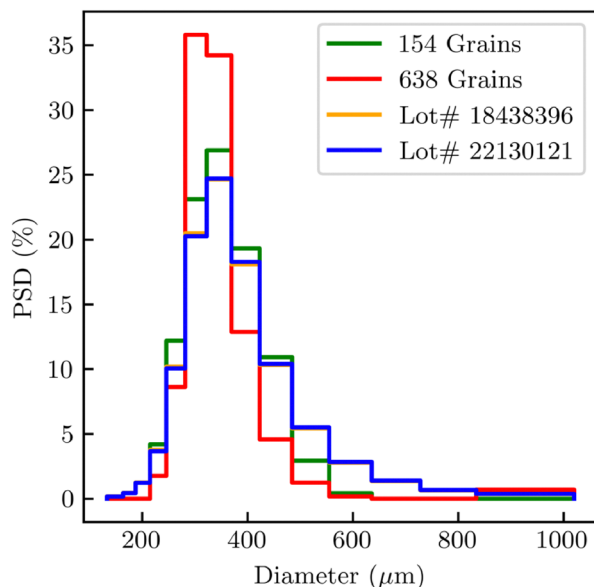


Fig. 1 Particle size distribution (PSD) of physical silica sand grains: (blue line) particles used in laboratory experiments of jamming packing fraction (Lot# 22130121) (blue line), (orange line) particles imaged by micro-CT and used to generate BPM grain envelopes (Lot# 18438396), (red line) 638 irregular-shaped BPM grains extracted from micro-CT imaging, (green line) reduced set of 154 irregular-shaped grains extracted from micro-CT imaging and used in grain jamming simulations.

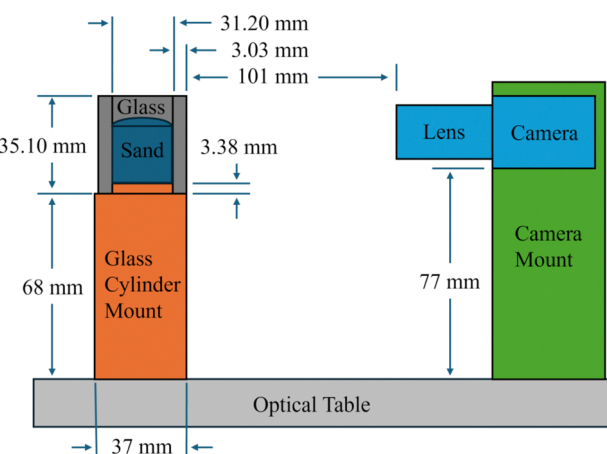


Fig. 2 Laboratory experiment for measurements of jamming packing fraction,  $\phi_j$ .

Images of the sample perpendicular to the axis of the cylinder were captured with a Basler acA2440-75um camera and a Basler C23-2518-5M lens with a 3.18 mm lens tube as shown in Fig. 3. The camera has an image size of  $2048 \times 2448$  pixels, resulting in a field of view of 31.36 mm by 37.49 mm with an optical density of 64.9 px per mm at 104 mm from the end of the lens. The bed height is calculated by post-processing images of the poured silica sand using the Canny operation from the OpenCV Python library.<sup>46</sup> The found edges are annotated in Fig. 3. The height of the top surface was computed by

averaging the height of the lower and upper edges of the uneven top surface. This optical measurement of particle bed height resulted in a volume measurement precision (less than  $\pm 0.005 \text{ cm}^3$ ) which exceeded the ASTM standard recommended measurement precision ( $\pm 0.6 \text{ cm}^3$ ).

Five replicates were performed (Table 1) with an average measured density of  $1.567 \pm 0.033 \text{ g cm}^{-3}$ . Contributions to uncertainty include the identification of the top particle surface, vessel diameter, and sample mass. The average jamming packing fraction of  $\phi_j = 0.591$  was calculated assuming a solid density of  $2.65 \text{ g cm}^{-3}$  for silica.<sup>47</sup> This measured value is used again in Section 6.

### 3 Assembling BPM grain libraries

The following sections describe the process to create four different types of computationally reconstructed grains. Reconstructing BPM grains begins by defining their overall shape with regular- and irregular-shaped envelopes. The grain envelopes are then filled with subparticles that are bonded together to represent a continuum material. Section 3.1 describes the process of defining the bounding grain envelopes. Section 3.2 defines the subparticle contact behavior which applies to the subparticle packing of the grain envelopes and later, the subparticle interactions between contacting grains. Section 3.3 packs and bonds the subparticles within the grain envelope. Finally, libraries for the regular- and irregular-shaped grains are defined in Section 3.5.

#### 3.1 Defining BPM grain shape

The process of creating BPM grains begins by establishing their grain envelopes. Spheres were selected as the regular-shaped grain envelope as they have been extensively studied in the literature.<sup>11,12,20,48</sup> The regular-shaped grain envelope is produced using the geometric equation for a sphere with the desired radius.

The irregular-shaped envelopes are extracted from micro-CT images of silica sand using a North Star Imaging X50  $\mu\text{CT}$  with a voxel resolution of  $13 \mu\text{m}$  for an nominal imaging resolution of 26.4 pixels per mean grain diameter (Fig. 1). The micro-CT image stack included 250 images of an ensemble of silica sand grains confined in a 0.64-cm diameter Kapton<sup>®</sup> tube. A single image from the image stack is shown in Fig. 4a. Grain envelopes are extracted in 3D from the entire 250-image micro-CT tiff stack but 2D images are provided here for method description. First, individual grains are identified and extracted from the image stack using the scikit-image library in Python.<sup>49</sup> The extraction process began by thresholding the images to identify pixels representing material, Fig. 4b and then applying a distance transformation to label individual grains, Fig. 4c. A minimum separation distance of 9 pixels— $117 \mu\text{m}$  which is slightly smaller than the minimum grain diameter—between peaks avoided assigning multiple labels to highly irregular grains. Finally, a watershed operation assigned each remaining pixel of material to the corresponding grain label, Fig. 4d. The pixels within individual grain envelopes are isolated and saved





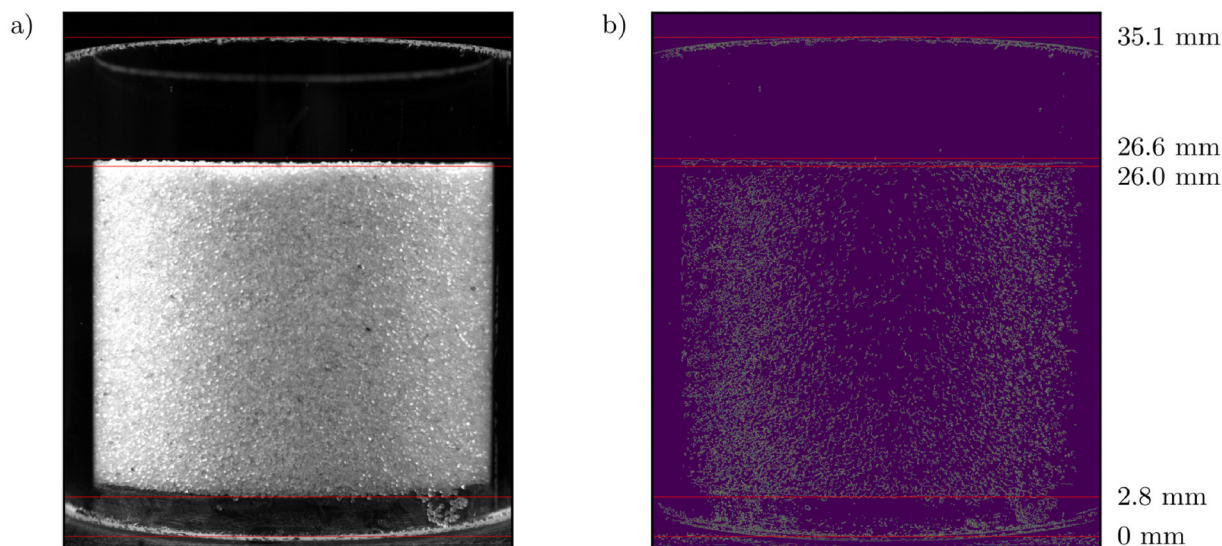


Fig. 3 (a) Representative image from experiments of Table 1. (b) Results of edge finding. Red lines correspond to the glass and sample edges and are labeled with their corresponding distance from the bottom of the glass container. The images have an optical density of 64.9 px mm<sup>-1</sup>.

Table 1 Laboratory measurements of as-poured silica sand density

Trial	Mass (g)	Density (g cm <sup>-3</sup> )
1	29.2085	1.532 ± 0.032
2	30.2597	1.577 ± 0.053
3	29.4492	1.586 ± 0.033
4	25.9499	1.588 ± 0.030
5	27.8265	1.551 ± 0.019
Average	28.5388	1.567 ± 0.033

to data files. Analysis of the micro-CT tiff stack resulted in 638 unique grain envelopes for the irregular-shaped grains.

### 3.2 Subparticle contact model

In preparation for packing the grain envelopes with subparticles and (later) packing a volume with grains, the contact model governing BPM grain subparticle interactions is defined.<sup>50–52</sup> In this work, the Hertzian contact model (eqn (1) and (2)) determines the Hertz contact force  $F_{\text{hz}}$  from the normal and tangential forces that arise from the relative motion of contacting subparticles.<sup>11,17,21</sup>

$$F_{\text{hz}} = \sqrt{\delta} \sqrt{\frac{r_i r_j}{r_i + r_j}} \left[ \left( \tilde{k}_n \delta \mathbf{n}_{ij} - m_{\text{sp}} \gamma \mathbf{v}_n \right) - \left( \tilde{k}_t \Delta \mathbf{s}_t + m_{\text{sp}} \frac{\gamma}{2} \mathbf{v}_t \right) \right] \quad (1)$$

$$F_{\text{hz}}^t \leq \mu F_{\text{hz}}^n \quad (2)$$

The resulting normal force  $F_{\text{hz}}^n$  between two contacting subparticles with radii  $r_i$  and  $r_j$  depends on the effective normal stiffness  $\tilde{k}_n$  and their overlap  $\delta$  along unit vector  $\mathbf{n}_{ij}$ ; whereas the resulting tangential force  $F_{\text{hz}}^t$  depends on the effective tangential stiffness  $\tilde{k}_t$  and the tangential displacement vector  $\Delta \mathbf{s}_t$ .<sup>52,53</sup> The normal and tangential stiffnesses are related to the macro-scale material properties  $E$  and  $\nu$ .<sup>52</sup> Additionally, this model limits the tangential force between subparticles to less than or

equal to the product of the normal force between subparticles and the coefficient of friction,  $\mu$ . Damping forces are determined from a global viscous damping coefficient of  $\gamma = 0.001 \text{ N m s}^{-1}$ , the effective mass between two subparticles (sp)—equal to  $m_{\text{sp}}$  for our monodisperse subparticles with volume and diameter  $V_{\text{sp}} = \pi d_{\text{sp}}^3/6$ , and density  $\rho_{\text{sp}} = 0.001 \text{ g cm}^{-3}$ —and their normal and tangential velocity vectors,  $\mathbf{v}_n$  and  $\mathbf{v}_t$  respectively.

### 3.3 Constructing a BPM grain

Each regular- and irregular-shaped BPM grain is constructed by deleting subparticles within a large initial subparticle packing that are external to the superimposed grain envelope.<sup>54</sup> First, large packings of frictionless monodisperse spherical subparticles are created.<sup>11</sup> The subparticles are randomly placed in a periodic domain and isostatically compressed (nominally  $10^{-4}\%$  strain per increment) under low pressure until the particles jam which occurred within a specified maximum number of time steps (Fig. 5a and b). Pressures along the three coordinate directions are monitored every 5 increments of strain and used to guide the incremental domain compression in each coordinate direction. Once the system jams, the slight overlapping of particles push the others away and we observe small pressure oscillations about the final value that decay in response to the global damping. This process is consistent with a slow compression from a dilute state (similar to Method I<sup>55</sup>). The compressive pressure is  $p/E = 10^{-5}$  where  $E = 10 \text{ GPa}$  represents an appropriate order of magnitude elastic modulus for the physical material.<sup>56</sup> Subparticle interactions are controlled by the contact model (Section 3.2 with  $\mu = 0$ ).

Once packed, subparticles with their centroid external to a superimposed grain envelope are deleted as illustrated in Fig. 5c for a regular-shaped grain. Fig. 6 illustrates the process for an irregular-shaped grain where the grain envelope retains a



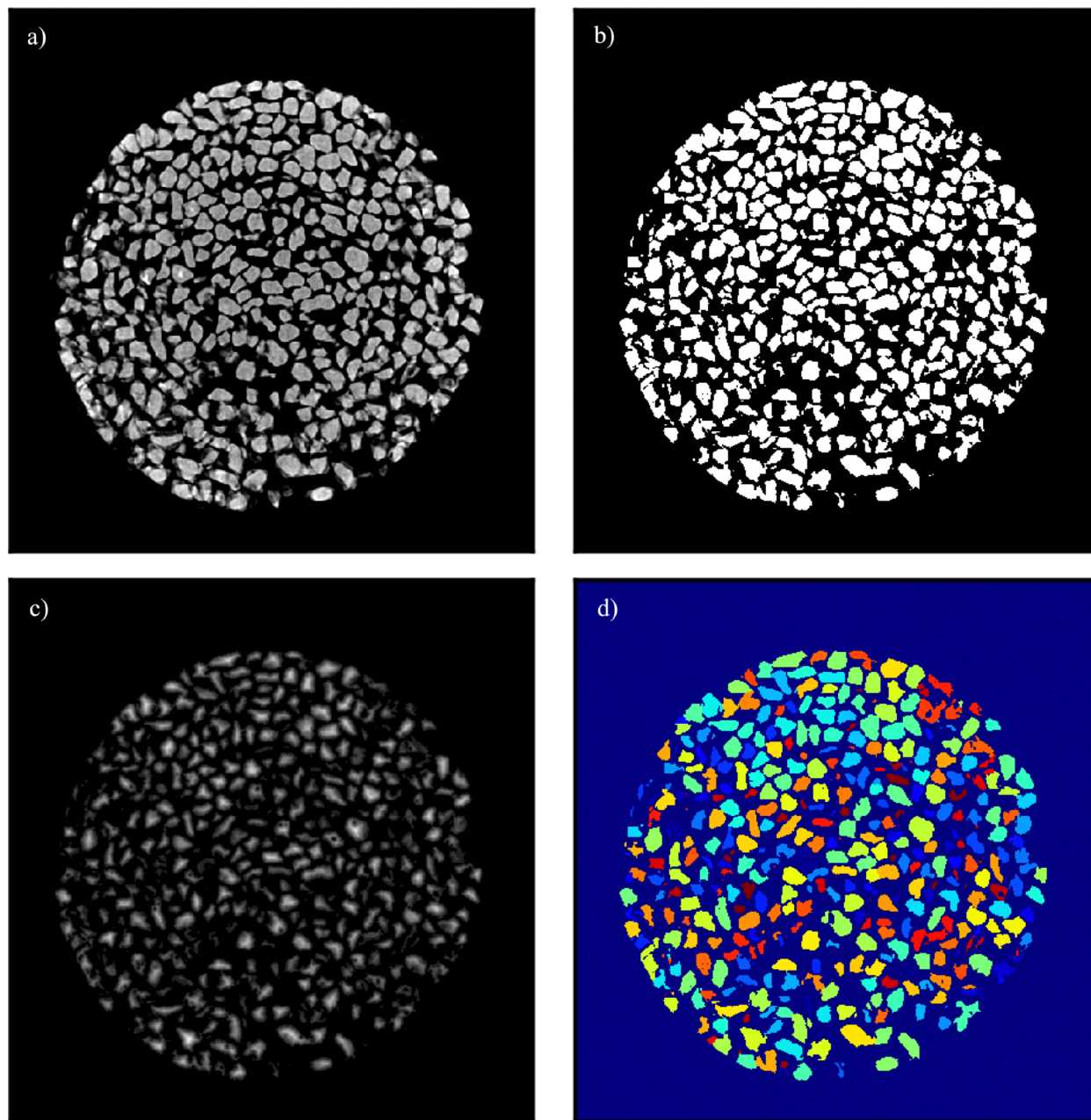


Fig. 4 Micro-CT image segmentation process. (a) Raw greyscale micro-CT image of silica sand. (b) A threshold operation is performed. (c) A distance transformation is applied. Pixel intensity corresponds with the distance to the nearest empty pixel. (d) A watershed algorithm is used to assign pixels to individual grains and labeled by color.

pixelated shape from the micro-CT segmentation whereas the final BPM grain resembles a clump of monodisperse subparticles. The grain solid volume fraction  $\phi_G$  is estimated from the mean-field subparticle packing (spp) solid volume fraction—the volume filled by  $n_{\text{spp}}$  subparticles each with volume  $V_{\text{sp}}$  divided by the domain volume  $V_{\text{spp}}$  (eqn (3)).

$$\phi_G = \frac{n_{\text{spp}} V_{\text{sp}}}{V_{\text{spp}}} = \rho_{\text{spp}} V_{\text{sp}} \quad (3)$$

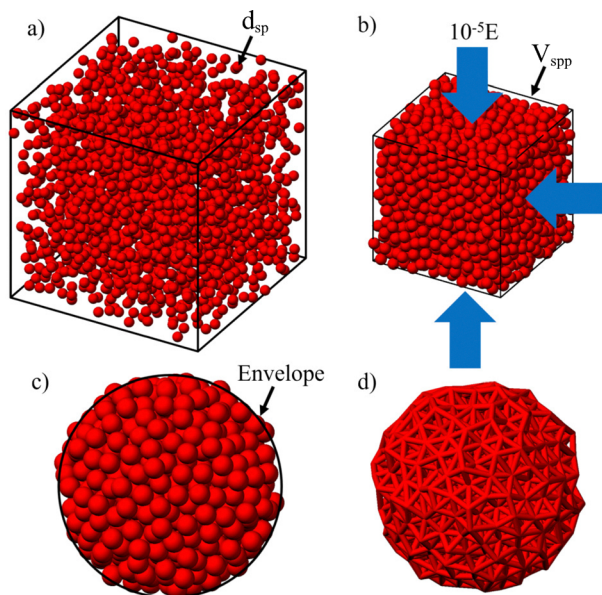
For the regular-shaped grains, the external boundary was further smoothed by the damped oscillation of a spherical wall

of stiffness  $E$  around the packed subparticles.<sup>20</sup> This smoothing step rearranges the subparticles from the rough external boundary shown in Fig. 7a to the smoother external boundary shown in Fig. 7b. Since the subparticle packing is modified in this smoothing process, eqn (3) is no longer appropriate and a (new) maximum value of  $\phi_G$  is calculated by Monte Carlo integration using  $10^6$  randomly placed integration points within a spherical region.

### 3.4 Assigning BPM grain bond parameters

Next, the subparticles are bonded with an average coordination number of 12 using the LAMMPS bpm\_rotational bond style



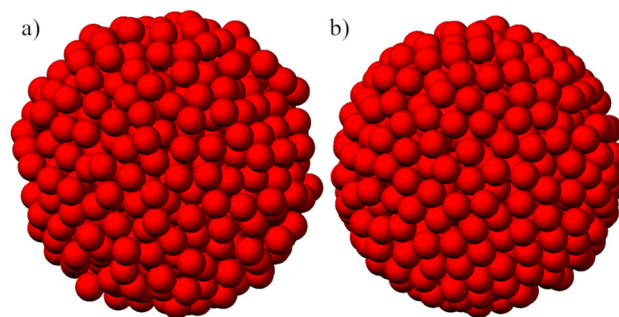


**Fig. 5** Subparticle packing and grain construction process. (a) Subparticles are inserted into a large periodic domain. (b) The domain is isostatically compressed until the subparticles jam. (c) Subparticles outside of the grain envelope are deleted. (d) 3D rendering of the bonds of the BPM grain.

and saved to a molecule file. Fig. 5d shows a representative bond network which was assigned microscale bond properties using the relationships published by Martin and Cooper<sup>54</sup> and the desired macroscale properties  $E$  and  $\nu$ . In this work, the BPM grains are effectively rigid and therefore the macroscale values of  $E$  and  $\nu$  represent an appropriate order of magnitude representing the physical material.<sup>56</sup>

### 3.5 Assembling a library of BPM grains

Individual BPM grains are constructed with varying parameters of subparticle diameter  $d_{sp}$  and grain shape as listed in Table 2 forming a library of each BPM grain type. The regular-shaped (rough) and regular-shaped (smooth) libraries at each value of  $d_{sp}$  contained a single BPM grain. The range of  $d_{sp}$  is intended



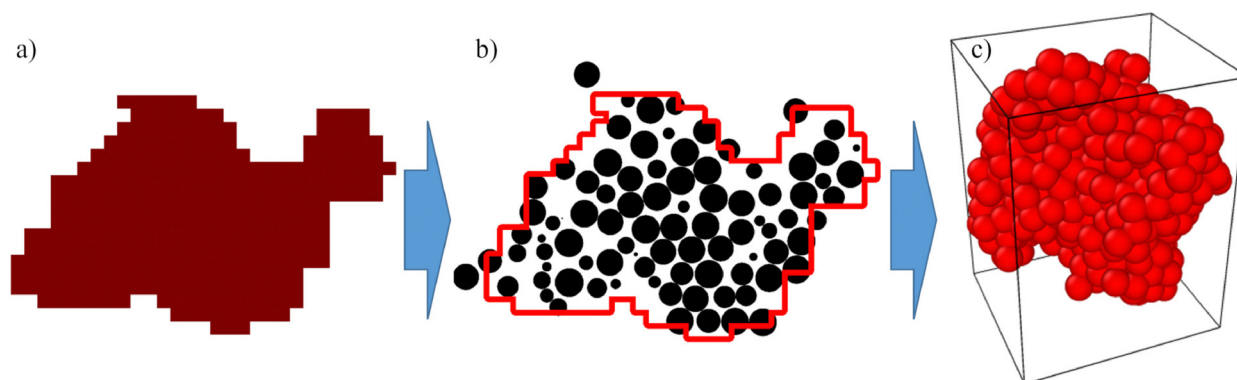
**Fig. 7** (a) Image of a regular-shaped (rough) BPM grain. (b) Image of a regular-shaped (rough) BPM grain.

to capture a range of grain resolutions while keeping computational times reasonable. The irregular-shaped libraries at each value of  $d_{sp}$  initially contained 638 unique BPM grains (Section 3.1) which were only created with the two highest resolutions studied,  $d_{sp} \leq 0.100$ .

The subparticle diameter  $d_{sp}$  is a unitless value that is proportional to the mean grain diameter as illustrated in Fig. 8a for a regular-shaped spherical grain and in Fig. 8b for an irregular-shaped grain. Using the dump image command in LAMMPS, the mean diameter of the BPM grains is determined from equivalent circle diameter  $D_{2D}$  for a 2D projection at the maximum cross-sectional area.  $D_{2D}$  (with units of subparticle diameter) is scaled<sup>57</sup> by the ratio of the physical grain Feret diameter as determined from the micro-CT image stack (units of  $\mu\text{m}$ ) and the reconstructed grain Feret diameter (units of subparticle diameter) resulting in a BPM grain mean diameter  $D_G$  in physical units ready for comparison to the experimental particle size distribution.

$$D_G = D_{2D}(L_G/L_{2D}) \quad (4)$$

The PSD is calculated from the 683 BPM grains ( $d_{sp} = 0.100$ ) of Section 3.1 and is plotted with the experimentally measured PSD of the physical silica sand grains in Fig. 1. The narrower PSD of the irregular-shaped BPM grains compared to the physical silica sand grains may be caused by accidental mergers



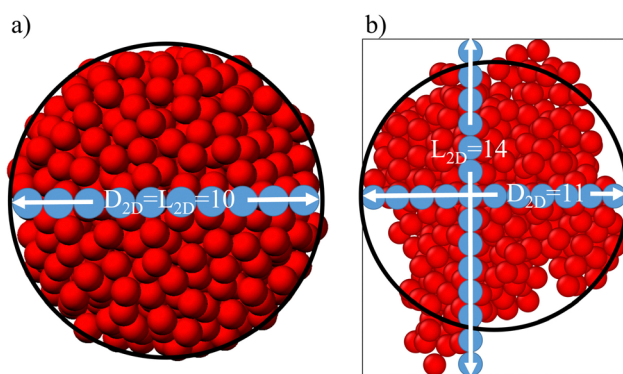
**Fig. 6** Illustration of the process to create an irregular-shaped BPM grain. The 3D process is illustrated with 2D projections. (a) A 2D cross-section of an irregular-shaped grain envelope extracted from the labeled envelopes in Fig. 4d. (b) Cross-section of packed subparticles of  $d_{sp} = 0.100$  within the envelope. The boundaries of the envelope are shown in red. Subparticles that appear to be outside of the envelope are centered in the grain at a different slice located either into or out of the page. (c) 3D rendering of the entire irregular-shaped BPM grain.





**Table 2** Matrix of BPM grain types varying shape and subparticle diameter  $d_{sp}$  for jamming simulations. ■ indicates simulation parameter of  $\mu \in \{0, 0.2, 0.4\}$  and □ indicates simulation parameter of  $\mu \in \{0\}$  as discussed in Section 5

$d_{sp}$	BPM grain types		
	Irregular	Regular (rough)	Regular (smooth)
0.083	■	■	■
0.100	■	■	■
0.125		■	□
0.143		■	□
0.167		■	□
0.200		■	■



**Fig. 8** (a) Illustration of subparticle size for a regular-shaped BPM grain with  $d_{sp} = 0.100$ ,  $D_{2D} = 10$ , and  $L_{2D} = 10$ . (b) Illustration of subparticle size for an irregular-shaped BPM grain with  $d_{sp} = 0.083$ ,  $D_{2D} = 11$ , and  $L_{2D} = 14$ . These  $d_{sp}$  are visualized by the blue circles, showing the sphere's diameter is 10 times that of the subparticles for the regular-shaped grain and 11 times for the irregular-shaped grain. Black circles illustrate the equivalent circle diameter.

of some small grains and splitting of very large grains in the segmentation process (Fig. 4). To better represent the experimental PSD, BPM grains were removed from the irregular-shaped library until the root mean squared error (RMSE) between the irregular-shaped BPM grain library and experimental PSDs was less than 3%. This cut-off was selected to allow for a larger number of grains in irregular-shaped library despite the limited number in the tails of the PSD. The resulting library of irregular-shaped BPM grains contains 154 unique grains (*i.e.*, unique shapes associated with the corresponding grain envelopes) with good agreement to the silica sand PSD in Fig. 1. Furthermore, a random sampling (duplicates were allowed) of as few as 30 BPM grains consistently matched the experimental PSD with an RMSE of less than 5%.

In the following sections, the jamming simulations are performed using 50 grains from the 154 unique grain envelopes (having a subparticle diameter of  $d_{sp}$ ). Despite the relatively small number of grains, the corresponding number of total subparticles in the simulation domain is approximately 55 000 subparticles for 50 regular-shaped (smooth) grains with  $d_{sp} = 0.083$  and approximately 32 000 subparticles for 50 regular-shaped (rough) grains with  $d_{sp} = 0.10$ .

## 4 Morphological characterization of grains

The process to characterize the morphological properties of the BPM grains and the physical silica sand grains is now presented. Characterizing grain morphology across scales is complex—especially if also considering the diagnostic resolution limitations when observing physical grains and the numerical costs associated with computational grain reconstruction. While excluded volumes have been shown to correlate grain shape and friction with packing behavior, the concept of excluded volumes generally does not apply to small-scale surface roughness and the calculation of excluded volume becomes increasingly difficult thereby requiring numerical methods for moderately complex particle shapes with random orientations.<sup>25,26</sup> Alternatively, Fourier descriptors can capture features across a wide spectrum of scales and have provided important insights on the scale of grain features needed to capture interactions between roughness and friction—but the technique has not yet been applied to 3D images of grains (*e.g.*, micro-CT image stacks).<sup>40,58</sup> In our work, developing an optimum set of morphological descriptors is outside the scope as we investigate the interaction of shape and friction using both physical and computationally reconstructed grains. The chosen descriptors should be readily accessible by general practitioners for practical application to both simulated and physical grains. Thus, it is straightforward to employ three commonly used morphological properties which are computed using 2D projections of 3D grains:<sup>23,59–64</sup> surface roughness,  $\chi_{RH}$ , sphericity,  $\chi_S$ , and roundness,  $\chi_{RD}$ .

### 4.1 2D projections for analysis

Each BPM grain in the libraries of Table 2 and the 20 physical silica sand grains are characterized from their 2D projected images (Fig. 9a and b). Images of the BPM grain 2D projections of Section 3.5 (at maximum cross-sectional area) are exported from LAMMPS with a resolution of 1920 pixels  $\times$  1080 pixels and a zoom factor of 2 for an average resolution of 900 pixels per grain diameter (or alternatively reported by the corresponding scaling of pixels to subparticle diameter  $px/d_{sp}$ ). Images of the physical silica sand grains (Fig. 9b) were captured on an Olympus DXS500 optical microscope (DXS500, RRID: SCR\_022202) with bright field illumination. Since the micro-CT-imaged grains had a relatively low resolution (26.4 pixels per mean grain diameter), higher resolution microscope images enabled equivalent image resolution with the BPM grain analysis—however, the collected 2D projection may not correspond to the grain orientation with maximum cross sectional area. The microscope image projections were 1194  $\times$  1194 pixels and used 416–693 $\times$  magnifications for a nominal resolution of 700 pixels per grain diameter.

The next sections describe the steps similarly performed on the BPM grain and physical grain projected images (both in units of image pixels) to quantify roughness, sphericity, and roundness using the automated approach of Zheng *et al.*<sup>64</sup> (Fig. 10). The process starts by calculating the grain centroid



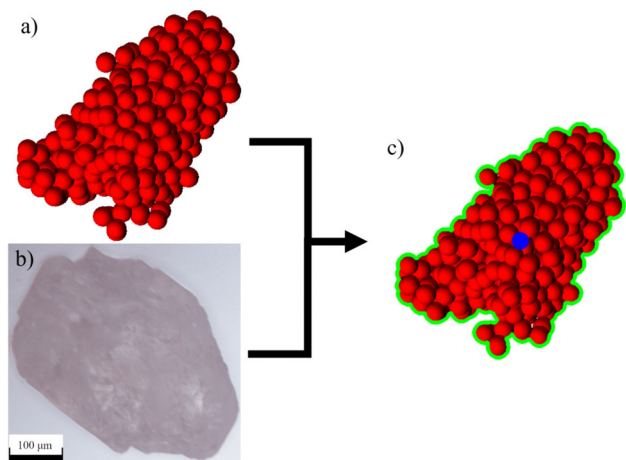


Fig. 9 Determining the morphological properties begins with 2D projections of (a) BPM grains and (b) physical silica sand grains. (c) The grain surface (green) and the centroid (blue dot) are determined. This is illustrated on the BPM grain shown in (a) but similarly determined from the microscope image of the physical silica sand grain shown in (b).

and grain surface contour using the Canny command in OpenCV<sup>46</sup> (Fig. 9c).

## 4.2 Roughness

The surface roughness is calculated with eqn (5) and applies to the smallest length scale of our examined morphological properties.<sup>64</sup> It depends on the distance  $y$  from the centroid to point  $k$ , which lies on the grain surface  $s$  (green contour of Fig. 10a) or the fitted grain surface  $f$  (blue contour of Fig. 10a), for all  $k$  points along the surfaces.

$$\chi_{RH} = \sqrt{\frac{1}{k} \sum_{k \in \{1,k\}} (y_k^s - y_k^f)^2} \quad (5)$$

The surface contours were determined using the lowess function (a non-parametric locally weighted scatter plot smoother) from Statsmodels<sup>65</sup> in Python. The smoothing function fitted a surface to the radial distance from the centroid as a function of angle  $\theta$  using an averaging distance of  $D_{2D}/2$ .

This averaging distance produced contours that preserved the features of the irregular-shaped grains while preventing individual subparticles from being treated as corners in the regular-shaped grains when  $d_{sp} \leq 0.100$ . This step is necessary as otherwise  $\chi_{RH}$  would be zero and each subparticle along the grain surface would be added later to the calculation of roundness.

## 4.3 Sphericity

While numerous definitions of sphericity have been proposed over the past century,<sup>59,66–68</sup> sphericity simply describes the shape on the length scale of the entire grain and refers to how closely the grain resembles a sphere.<sup>59</sup> The definition of sphericity proposed by Kuo *et al.*<sup>69</sup> is given in eqn (6) and depends on the equivalent circle diameter  $D_{2D}$  (eqn (4)), and the diameter of the minimum circumscribing circle  $D_{2D,c}$  (red circle of Fig. 10b).

$$\chi_S = \left( \frac{D_{2D}}{D_{2D,c}} \right)^2 \quad (6)$$

## 4.4 Roundness

Roundness characterizes the shape of the grain corners and describes the shape at an intermediate length scale. The formula proposed by Wadell<sup>59</sup> appears in eqn (7) and is the ratio of the average corner radius,  $\bar{C}$ , to the radius of the maximum inscribing circle,  $D_{2D,i}/2$  (green circle of Fig. 10b).

$$\chi_{RD} = \frac{\bar{C}}{D_{2D,i}/2} \quad (7)$$

where  $\bar{C}$  is given by eqn (8) and involves a summation over all corner radii  $C_k$  observed in the grain projection.

$$\bar{C} = \frac{1}{k} \sum_{k \in \{1,k\}} C_k \quad (8)$$

Using the previously determined maximum inscribing circle, roundness circles are fit<sup>64</sup> to the corners of the fitted surface using a threshold of 0.5 pixels for corner identification (Fig. 10c). Due to the numerical nature of this fitting process, there is an error tolerance of 2% for fitting roundness circles, as recommended in Zheng *et al.*<sup>64</sup> This error tolerance allows  $\chi_{RD}$

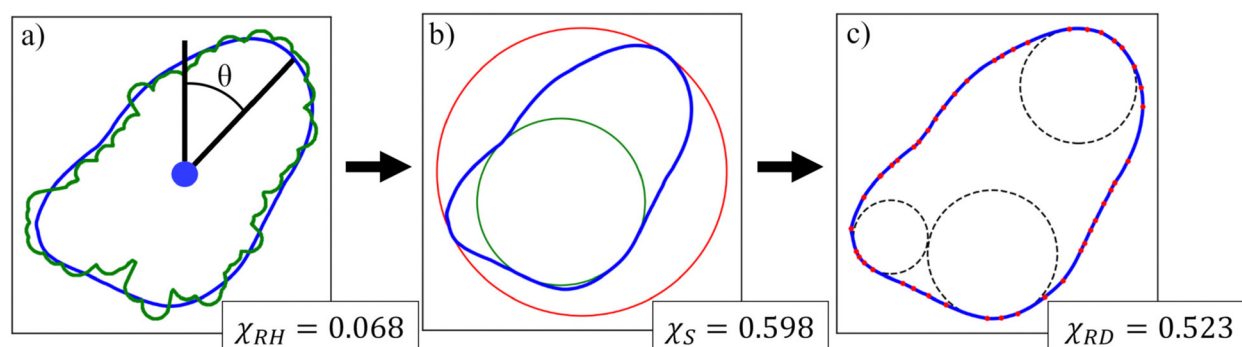


Fig. 10 Process to determine grain roughness,  $\chi_{RH}$ , sphericity,  $\chi_S$ , and roundness,  $\chi_{RD}$  from a 2D grain projection. (a) Roughness  $\chi_{RH}$  is calculated from the grain surface (green) and the fitted grain surface (blue). (b) The minimum circumscribing circle with diameter  $D_{2D,c}$  (red) and maximum inscribing circle with diameter  $D_{2D,i}$  (green) are calculated. Sphericity  $\chi_S$  is calculated by considering the minimum circumscribing circle (red). (c) Roundness  $\chi_{RD}$  is calculated by considering roundness points (red) and roundness circles of radius  $C_k$  (dashed black).



values slightly greater than 1 to be computed (but they are rounded to 1).

#### 4.5 Summary

The morphological properties for each BPM grain type of Table 2 and the physical silica sand grains appear in Tables 3–5. The morphological properties reported for the regularly shaped grain types are from the single BPM grain in the libraries at each  $d_{sp}$ . The morphological properties reported for the irregularly-shaped grain types are an average over all 154 unique BPM grains in the libraries at each  $d_{sp}$ . For both regular- and irregular-shaped BPM grains, increasing  $d_{sp}$  (decreasing subgrain resolution) increases roughness. Additionally, increasing  $d_{sp}$  (and increasing roughness) correlates with a decrease in roundness—except for the irregular-shaped BPM grains where roundness appears nominally constant over the limited range of  $d_{sp}$ . Sphericity exhibits a non-monotonic but nominally decreasing trend with increasing  $d_{sp}$ . The decrease in both  $\chi_S$  and  $\chi_{RD}$  for the regular-shaped (rough) BPM grains indicates they are deviating from an ellipsoid shape with unity aspect ratio.<sup>59</sup>

## 5 Simulating BPM grain jamming

Grain jamming simulations were performed using 50 grains extracted from the BPM grain libraries and considering intergranular friction  $\mu$  in the contact model of Section 3.2 as indicated in Table 2. Fig. 11 illustrates a representative initial

and jammed configuration of irregular-shaped BPM grains. The grains are packed as described in Section 3.3 except with a different stopping criteria. Jamming is achieved when the total kinetic energy of the grain packing (gp) is less than  $n_{gp}\rho_{sp}V_{sp} \times 10^{-18}$  J, where  $n_{gp}$  is the total number of subparticles in all the grains within the grain packing domain  $V_{gp}$ . This criteria ensured the total system kinetic energy contributed negligible pressure in comparison to the bond and subparticle compression. No statistically significant variations in  $\phi_J$  were observed with a stopping criteria of  $10^{-13}$  J or less.

The jamming packing fraction  $\phi_J$  relates the density of the grain packing  $\rho_{gp}$  to the maximum density of the subparticle packing  $\rho_{spp}$  from eqn (3).

$$\phi_J = \frac{\rho_{gp}}{\rho_{spp}} = \frac{n_{gp}V_{sp}}{V_{gp}\phi_G} \quad (9)$$

This method does not require the explicit calculation of the grain volume from the original grain envelope as the effect of  $d_{sp}$  is studied and the possibility of double counting subparticles between contacting grains is eliminated. The rigid subparticle arrangements and relative subparticle size assigned to each BPM grain affect the resulting morphological parameters, that along with the subparticle friction parameter, determine the resulting grain packing fraction.

Prior literature has shown that periodic boundary conditions with as few as 64<sup>70</sup> and 50<sup>71</sup> grains constitute a representative element volume for elastic property determination. Since elastic properties are dependent on the particle arrangement, our simulation domains using 50 grains can be considered suitable. To minimize the possibility that jammed packing fraction correlates to the initial subparticle packing or is the

**Table 3** Tabulated data of regular-shaped (rough) BPM grain type. Columns correspond to subparticle diameter  $d_{sp}$ , morphological properties of sphericity  $\chi_S$ , roundness  $\chi_{RD}$ , and roughness  $\chi_{RH}$ , friction during grain jamming  $\mu$ , and simulated jamming limit  $\phi_J$ . Morphological properties are from the single BPM grain in the regular-shaped (rough) BPM grain library. Jamming values are reported as the average and one standard deviation from 5 realizations

$d_{sp}$	$\chi_S$	$\chi_{RD}$	$\chi_{RH}$	$\mu$	$\phi_J$
0.200	0.878	0.617	0.055	0.00	$0.624 \pm 0.007$
				0.20	$0.551 \pm 0.014$
				0.40	$0.518 \pm 0.010$
0.167	0.856	0.793	0.046	0.00	$0.600 \pm 0.009$
				0.20	$0.528 \pm 0.016$
				0.40	$0.518 \pm 0.016$
0.143	0.913	0.799	0.043	0.00	$0.596 \pm 0.009$
				0.20	$0.522 \pm 0.009$
				0.40	$0.505 \pm 0.006$
0.125	0.959	0.832	0.033	0.00	$0.548 \pm 0.015$
				0.20	$0.485 \pm 0.018$
				0.40	$0.488 \pm 0.010$
0.100	0.966	0.923	0.030	0.00	$0.571 \pm 0.007$
				0.20	$0.537 \pm 0.014$
				0.40	$0.516 \pm 0.011$
0.083	0.979	1.000	0.020	0.00	$0.570 \pm 0.007$
				0.20	$0.534 \pm 0.017$
				0.40	$0.513 \pm 0.007$
0.050 <sup>a</sup>	0.993	1.000	0.007	0.00	0.584

<sup>a</sup> Data for  $d_{sp} = 0.050$  is from Clemmer *et al.*<sup>20</sup>

**Table 4** Tabulated data of regular-shaped (smooth) BPM grain type. Columns correspond to subparticle diameter  $d_{sp}$ , morphological properties of sphericity  $\chi_S$ , roundness  $\chi_{RD}$ , and roughness  $\chi_{RH}$ , friction during grain jamming  $\mu$ , and simulated jamming limit  $\phi_J$ . Morphological properties are from the single BPM grain in the regular-shaped (smooth) BPM grain library. Jamming values are reported as the average and one standard deviation from 5 realizations

$d_{sp}$	$\chi_S$	$\chi_{RD}$	$\chi_{RH}$	$\mu$	$\phi_J$
0.200	0.968	0.829	0.036	0.00	$0.620 \pm 0.005$
				0.20	$0.550 \pm 0.009$
				0.40	$0.526 \pm 0.015$
0.167	0.955	0.941	0.036	0.00	$0.618 \pm 0.001$
0.143	0.982	1.000	0.028	0.00	$0.601 \pm 0.005$
0.125	0.962	1.000	0.022	0.00	$0.597 \pm 0.004$
0.100	0.993	1.000	0.016	0.00	$0.596 \pm 0.009$
				0.20	$0.553 \pm 0.007$
				0.40	$0.548 \pm 0.014$
0.083	0.991	1.000	0.013	0.00	$0.619 \pm 0.009$
				0.20	$0.580 \pm 0.007$
				0.40	$0.565 \pm 0.010$
0.050 <sup>a</sup>	0.999	1.000	0.003	0.00	0.599

<sup>a</sup> Data for  $d_{sp} = 0.050$  is from Clemmer *et al.*<sup>20</sup>





**Table 5** Tabulated data of irregular-shaped BPM grain type and physical silica sand grains. Columns correspond to subparticle diameter  $d_{sp}$ , morphological properties of sphericity  $\chi_s$ , roundness  $\chi_{RD}$ , and roughness  $\chi_{RH}$ , friction during grain jamming  $\mu$ , and simulated jamming packing fraction  $\phi_j$ . Morphological properties are the average for the 154 BPM grains in the irregular-shaped BPM grain library. Simulated jamming values reported as the average and one standard deviation from 10 realizations. The final row reports the calculated morphological properties from the optical images and experimental jamming values from Section 2 with a friction value ( $\ddagger$ ) for silica sand from Senetakis *et al.*<sup>73</sup>

$d_{sp}$	$\chi_s$	$\chi_{RD}$	$\chi_{RH}$	$\mu$	$\phi_j$
0.100	0.688	0.642	0.069	0.00	$0.509 \pm 0.010$
				0.20	$0.461 \pm 0.011$
				0.40	$0.435 \pm 0.010$
0.083	0.684	0.641	0.060	0.00	$0.504 \pm 0.012$
				0.20	$0.445 \pm 0.005$
				0.40	$0.422 \pm 0.013$
Exp.	0.723	0.652	0.033	$0.138^\ddagger$	$0.591 \pm 0.012$

result of a small simulation domain, the results are presented as averages and standard deviations of multiple realizations each with a unique selection of grains—5 realizations for the regular-shaped BPM grain jamming simulations varying rotational orientation and 10 realizations for the irregular-shaped BPM grain jamming simulations.

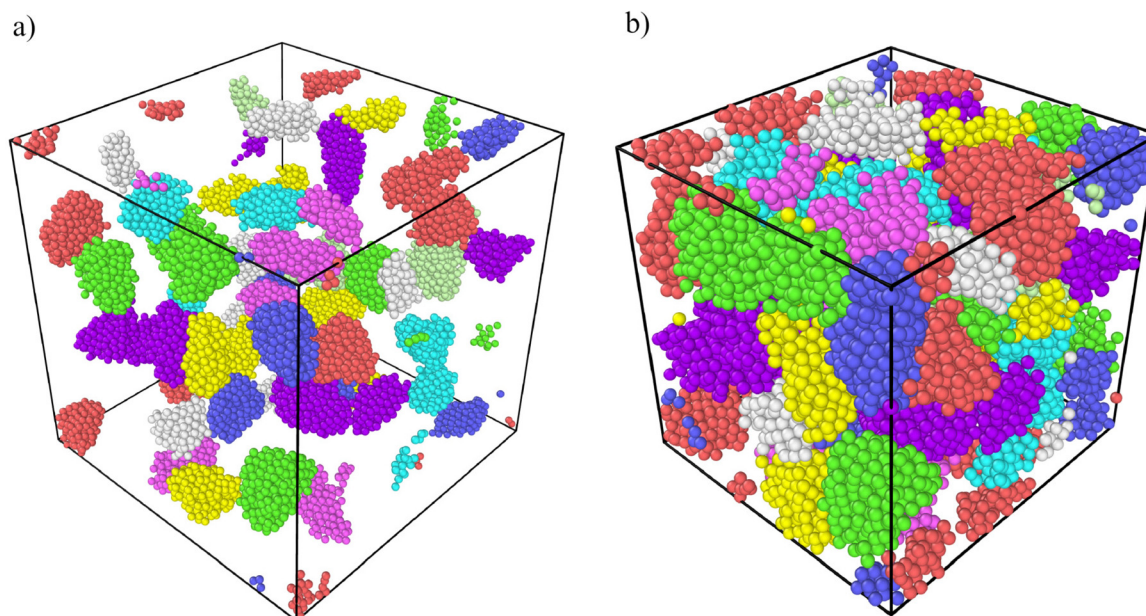
## 6 Results of jamming simulations and comparison to experiments

The jamming densities are now presented in the context of BPM grain resolution  $d_{sp}$ , intergranular friction  $\mu$ , and the

calculated grain morphological parameters. The results for regular-shaped BPM grains are discussed, followed by those for irregular-shaped BPM grains. Then, the discussion is extended to consider the irregular-shaped BPM grain simulations with the laboratory experiments.

Fig. 12 presents the simulation results of  $\phi_j$  for regular-shaped BPM grains with  $\mu = 0$  and varying  $d_{sp}$  where the solid symbols correspond to the regular-shaped (rough) BPM grains and the open symbols correspond to the regular-shaped (smooth) BPM grains. The data also appear in Tables 3 and 4, respectively. The results for the regular-shaped (smooth) grains demonstrate that as  $d_{sp}$  increases,  $\phi_j$  initially decreases slightly and then begins to increase. A similar trend occurs for the regular-shaped (rough) grains, however the initial decrease in  $\phi_j$  is significantly larger. At small  $d_{sp}$ , the initial behavior of decreasing  $\phi_j$  with increasing  $d_{sp}$  corresponds to relatively small changes in  $\chi_s$  and  $\chi_{RD}$  near unity while  $\chi_{RH}$  increases significantly. In this region, the use of subparticles to construct the BPM grains introduces roughness but with a limited effect on grain shape. Additionally,  $\chi_{RH}$  is the only quantity that is varying significantly in this region, suggesting it is the primary reason for the BPM spheres to deviate from the theoretical limit of  $\phi_j = 0.64$  for perfectly smooth spheres.

For  $d_{sp} \geq 0.125$  in Fig. 12,  $\phi_j$  increases as the regular-shaped BPM grains become less spherical. Prior works<sup>12,14,72</sup> have shown  $\phi_j$  increases when the aspect ratio of an ellipsoid diverges from 1. For both rough and smooth grains,  $\chi_{RD}$  markedly decreases with increasing  $d_{sp}$  while  $\chi_{RH}$  continues to increase by a comparatively greater amount. The inflection in  $\phi_j$  for regular-shaped (rough, smooth) grains occurs between  $0.083 \leq d_{sp} \leq 0.143$  and appears to correlate with the relative importance of decreasing  $\chi_{RD}$  with increasing  $\chi_{RH}$ . The lower



**Fig. 11** (a) The initial arrangement of 50 irregular-shaped BPM grains with  $d_{sp} = 0.100$  for one realization. (b) Final arrangement of irregular-shaped BPM grains for the realization. Individual grains are colored for visibility. The total number of subparticles across all grains in the domain is  $n_{gp}$  and the volume  $V_{gp}$  of the grain packing domain is shown by the black lines of the boundaries. The simulation domain is periodic.



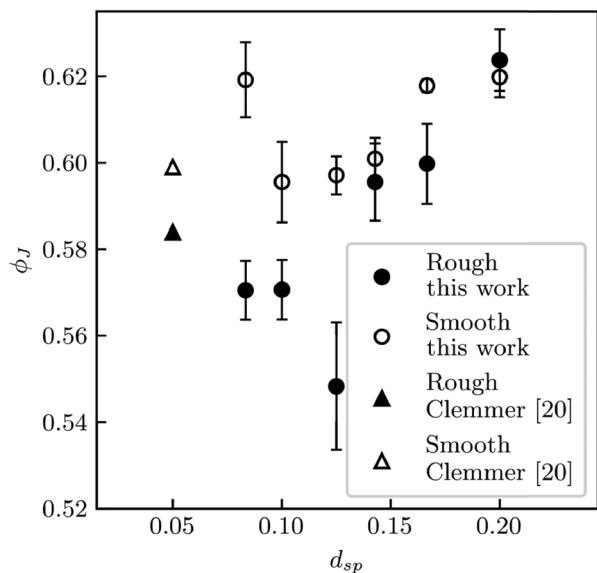


Fig. 12 Plot of  $\phi_J$  for regular-shaped BPM grains with  $\mu = 0$  and varying  $d_{sp}$ . Solid symbols correspond to regular-shaped (rough) BPM grains. Open symbols correspond to regular-shaped (smooth) BPM grains. Error bars show one standard deviation of  $\phi_J$ . Data for  $d_{sp} = 0.050$  is from Clemmer *et al.*<sup>20</sup> Data tabulated in Tables 3 and 4.

mean value and increased standard deviation for the regular-shaped (rough) data at  $d_{sp} = 0.125$  suggests that the minimum in jamming packing fraction may be due to the discretization itself. We sought to mitigate any effects of repeated samplings of the regular-shaped BPM grain libraries (which contained a single grain) by randomly setting its rotation when included in the jamming simulations. However, perhaps the  $d_{sp} = 0.125$  regular-shaped (rough) grain had specific subparticle arrangements or features that allowed them to jam more readily.

Additional simulations with  $0.083 \leq d_{sp} \leq 0.143$  could further refine the effect on  $\phi_J$ .

Fig. 12 also contains data (triangle markers) from Clemmer *et al.*<sup>20</sup> as it is the only other study that also investigated the jamming of rougher and smoother BPM spheres, to the best of our knowledge. Their data fits with the observed trend for rougher grains but is found to jam at a lower density than our work for the smoother grains which may be due to a difference in packing methodologies. Clemmer *et al.* began by packing frictionless spherical particles to a density of 0.57 at a pressure one order of magnitude lower than that used in this work. Then, that arrangement was used as the initial configuration for their jamming simulations, which may have caused the BPM grains to arrange differently than when compressed from a lower initial density. In contrast, our method begins from a lower initial density which allows many opportunities for clusters of grains to form before the system jams, enhancing the effect of grain morphology. These opportunities for BPM grain collisions better mimic the pouring process of real granular materials and are expected to provide a more realistic initial arrangement than beginning from a nearly jammed configuration.

The effects of  $\mu$  on  $\phi_J$  are presented in Fig. 13a for the regular-shaped (rough) grains and in Fig. 13b for the regular-shaped (smooth) BPM grains. The results of the jamming simulations appear in Tables 3 and 4 and show nominal trends of decreasing  $\phi_J$  with increasing  $\mu$  at constant  $d_{sp}$ . This finding is consistent with the literature.<sup>21–23</sup> Additionally, increasing  $\mu$  results in a larger decrease in  $\phi_J$  when  $d_{sp}$  is large. This results in the  $\phi_J$  of regular-shaped (rough) grains seeming to converge towards a single value whereas the  $\phi_J$  of regular-shaped (smooth) grains appears to diverge. The increased effect of  $\mu$  on grains with larger  $d_{sp}$  suggests that there is an interaction between  $\chi_{RH}$  and  $\mu$ .

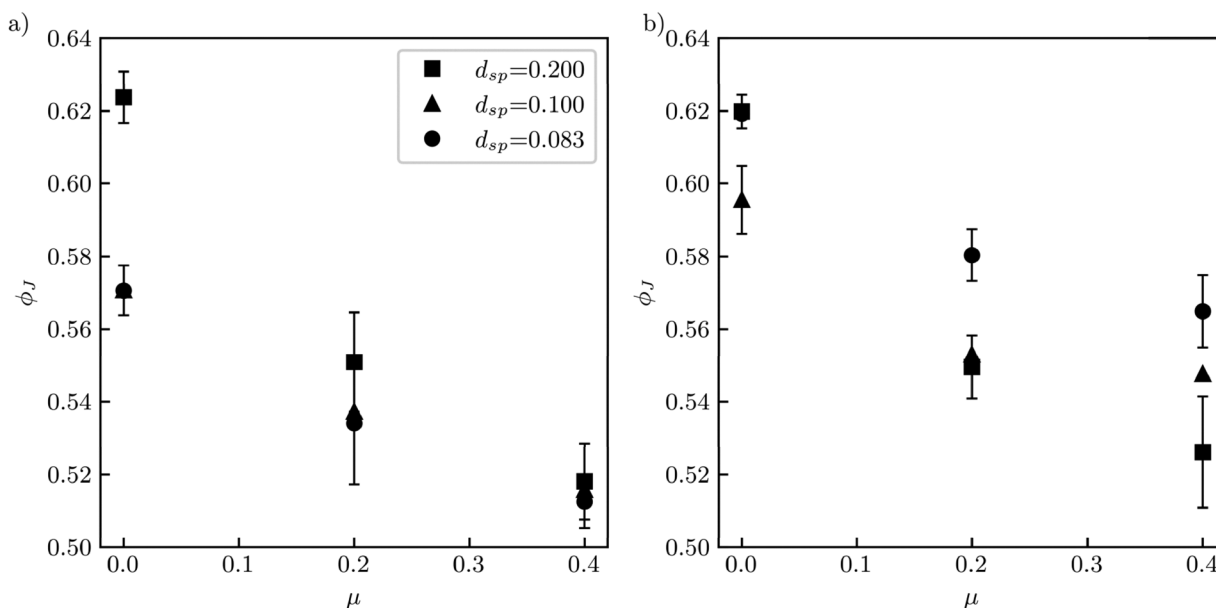


Fig. 13 Plot of jamming packing fraction versus  $\mu$  for (a) regular-shaped (rough) grains and (b) regular-shaped (smooth) grains. Error bars show one standard deviation for  $d_{sp} = 0.083$  and  $0.200$  with 5 replicates each.



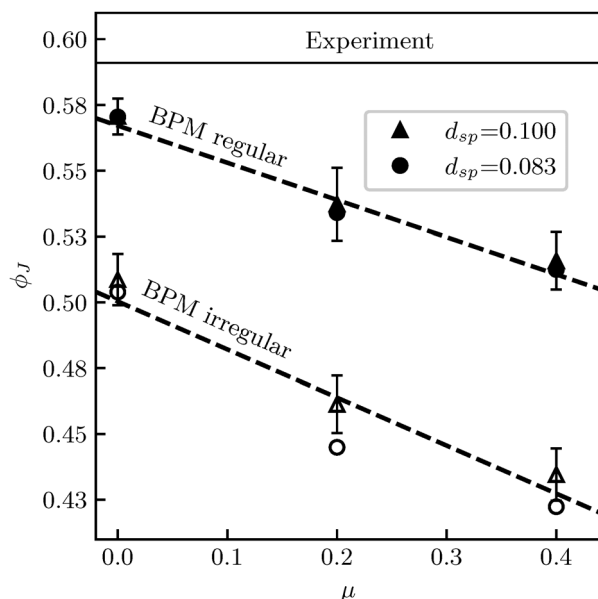


Fig. 14 Plot of jamming packing fraction versus  $\mu$  with regular-shaped (rough) BPM grains shown as solid symbols and irregular-shaped BPM grains shown as open symbols. Error bars show one standard deviation for  $d_{sp} = 0.100$  from the 5 replicates for regular-shaped and 10 replicates for irregular-shaped grains. The horizontal black line plotted at  $\phi_J = 0.591$  represents the laboratory experiments of Section 2.

Finally, Fig. 14 plots  $\phi_J$  with  $\mu$  for the smallest two sizes of  $d_{sp}$  for the irregular-shaped BPM grains as open symbols and regular-shaped (rough) BPM grains as solid symbols. Data for the irregular-shaped BPM grains appear in Table 5. As with the regular-shaped (rough, smooth) grains, the irregular-shaped grains also exhibit a decreasing  $\phi_J$  with increasing  $\mu$  or decreasing  $d_{sp}$ . The increase in  $\phi_J$  with increasing  $d_{sp}$  is accompanied by increases in  $\chi_{RH}$  although the effect is small given the small difference in  $d_{sp}$  values.

The results for the silica sand laboratory experiments appear in Table 5 and appear as a horizontal line in Fig. 14 due to the range of values reported in the literature for the  $\mu$  of silica sand.<sup>73–75</sup> The physical silica sand grains achieve a higher  $\phi_J$  than the irregular-shaped BPM grains. While the mean difference between the physical silica sand and irregular-shaped BPM grain PSDs was 3%, the physical silica sand grains had a higher percentage of grains in both tails of the PSD while the irregular-shaped BPM grains had a higher percentage of grains in the middle of the PSD. This broader size distribution of the physical silica sand grains compared to the irregular-shaped BPM grain likely contributed to their higher  $\phi_J$ . Additionally, based on the lower  $\chi_S$  and  $\chi_{RD}$  of the physical grains compared to the irregular-shaped BPM grains, we conclude that even with  $d_{sp} = 0.083$  the irregular-shaped BPM grains are not adequately resolved. Further decreases in  $d_{sp}$  are expected to produce morphological properties that approach those of the physical silica sand grains resulting in  $\phi_J$  for the irregular-shaped BPM grains approaching  $\phi_J$  of the laboratory experiments. Despite being under-resolved for direct comparison to the experimental data, the BPM grains all showed a dependency of  $\phi_J$  on the

quantified morphological parameters and intergranular friction which suggests they can be correlated. Based on literature,<sup>73</sup> a  $\mu$  of 0.138 was assigned for the physical grains in Table 5 in order to use this data point in our linear regression analysis of Section 7.

## 7 Relationship between jamming limit, grain morphological properties, and friction

We now study whether a single relationship can correlate the disordered grain packing behavior of frictional grains with a range of morphological properties. The BPM grain jamming simulations (Tables 3–5) provide a unique dataset given the parametric variation in grain resolution ( $d_{sp}$ ), varying roughness on a nominally similar shaped grains (e.g., regular-shaped (rough/smooth) BPM grains), and the comparatively large library of irregular-shaped BPM grains. Additionally, each BPM grain type was used in repeated jamming realizations to obtain summary data describing mean system behavior. For extended applicability, our data is combined with literature<sup>12,20,23,76</sup> shown in Table 6 to form an augmented data set incorporating 5 independent studies and 71 unique data points. These literature data were selected as their grains could also be characterized in terms of sphericity, roundness, and roughness by: (1) using eqn (6) and (7) with the definitions for ellipse cross-section area and mean radius of curvature for the smooth ellipses used by Donev *et al.*,<sup>12</sup> (2) using the methods of Section 4 for the BPM grain images published by Clemmer *et al.*,<sup>20</sup> or (3) using the reported values for the frictional grains in the experiments of Farrell *et al.*<sup>23</sup> and the smooth frictional spheres in the DEM simulations of Silbert *et al.*<sup>76</sup>

A series of linear regressions using the ordinary least squares (OLS) function in Statsmodels<sup>65</sup> are performed on the augmented dataset. In each linear regression study, specific terms were evaluated for their ability—as measured by the coefficient of determination,  $R^2$ —to correlate with the jamming limit. To begin, data from Donev *et al.*<sup>12</sup> for smooth, frictionless ellipsoids are used to study the effect of  $\chi_S$  on  $\phi_J$ . A quadratic polynomial (eqn (10)) using  $\chi_S$  to model  $\phi_J$  yielded good agreement ( $R^2 = 0.975$ ) with the reported  $\phi_J$  data. Fig. 15a plots the regression and the inset plot shows the fitted relation of eqn (10) with the data.

$$\phi_{J,\text{pred}} = -0.542\chi_S^2 + 0.684\chi_S + 0.498 \quad (10)$$

Second, the data from Donev *et al.*<sup>12</sup> is used to study the effect of  $\chi_{RD}$  on  $\phi_J$ . A quartic polynomial (eqn (11)) using  $\chi_{RD}$  to model  $\phi_J$  yielded moderate agreement ( $R^2 = 0.752$ ) with the reported  $\phi_J$  data. Fig. 15b plots the regression and the inset plot shows the fitted relation of eqn (11) with the data.

$$\phi_{J,\text{pred}} = -11.614\chi_{RD}^4 + 24.704\chi_{RD}^3 - 17.668\chi_{RD}^2 + 4.994\chi_{RD} + 0.225 \quad (11)$$

Thus,  $\phi_J$  depends more on  $\chi_S$  than  $\chi_{RD}$  simply based on the number of model terms in eqn (10) and (11). Alternatively,  $\chi_S$





**Table 6** Literature data<sup>12,20,23,76</sup> included in an augmented dataset of jamming packing fraction, grain morphological parameters, and friction. Columns correspond to the literature source, the morphological properties of sphericity, roundness, and roughness, and the reported  $\mu$  and  $\phi_J$ . Morphological properties were calculated by the methods of Section 4 except for Farrel *et al.*<sup>23</sup> and Silbert *et al.*<sup>76</sup>

Source	$\chi_s$	$\chi_{RD}$	$\chi_{RH}$	$\mu$	$\phi_J$
Donev <sup>12</sup>	1.000	1.000	0.000	0.00	0.640
	0.667	0.333	0.000	0.00	0.640
	0.500	0.250	0.000	0.00	0.715
	0.400	0.200	0.000	0.00	0.690
	0.333	0.167	0.000	0.00	0.670
	0.286	0.143	0.000	0.00	0.650
	0.952	0.476	0.000	0.00	0.655
	0.909	0.455	0.000	0.00	0.670
	0.800	0.400	0.000	0.00	0.700
	0.556	0.278	0.000	0.00	0.710
	0.444	0.222	0.000	0.00	0.695
	0.500	0.579	0.000	0.00	0.700
	0.600	0.630	0.000	0.00	0.710
	0.800	0.634	0.000	0.00	0.700
	0.950	0.546	0.000	0.00	0.660
	0.900	0.584	0.000	0.00	0.675
	0.650	0.644	0.000	0.00	0.710
	0.550	0.608	0.000	0.00	0.705
	0.400	0.499	0.000	0.00	0.680
	0.340	0.440	0.000	0.00	0.665
	0.300	0.396	0.000	0.00	0.650
Farrell <sup>a,23</sup>	1.000	1.000	0.000	0.66	0.551
	0.940	0.940	0.000	0.88	0.540
Silbert <sup>a,76</sup>	1.000	1.000	0.000	0.00	0.639
	1.000	1.000	0.000	0.00	0.638
	1.000	1.000	0.000	0.01	0.634
	1.000	1.000	0.000	0.10	0.614
	1.000	1.000	0.000	0.20	0.595
	1.000	1.000	0.000	0.50	0.574
	1.000	1.000	0.000	1.00	0.556
Clemmer <sup>20</sup>	1.000	1.000	0.000	10.00	0.544
	1.000	1.000	0.000	0.15	0.598
	1.000	1.000	0.000	0.30	0.583
	0.993	1.007	0.007	0.00	0.584
	0.999	1.001	0.003	0.00	0.599

<sup>a</sup> Morphological properties reported by the cited study are used.

appears to be a good predictor while  $\chi_{RD}$  appears to be a poor predictor of  $\phi_J$ .

The third regression study considered the effect of  $\chi_{RH}$  and  $\mu$  on  $\phi_J$ . Yuan *et al.*<sup>24</sup> showed that normalized jamming packing fraction,  $\tilde{\phi}_J$ , for different shapes of smooth convex grains depends only on friction through a master curve with scaling parameters of  $\mu^* = 0.1635$  and  $\alpha = 1.07$ . Here,  $\tilde{\phi}_J$  scales the  $\phi_J$  values between the limits of  $\phi_J(\mu = 0)$  and  $\phi_J(\mu = \infty)$  (eqn (12)).

$$\tilde{\phi}_J = \frac{\phi_J - \phi_J(\mu = \infty)}{\phi_J(\mu = 0) - \phi_J(\mu = \infty)} \approx \frac{1}{1 + (\mu/\mu^*)^\alpha} \quad (12)$$

The limiting value of  $\phi_J(\mu = \infty)$  is unknown for our BPM grains—yet is calculated from eqn (12) assuming the same  $\mu^* = 0.1635$  and  $\alpha = 1.07$  fitting parameters and the BPM grain data at  $\phi_J(\mu = 0.4, d_{sp})$ . Then, the functional form of eqn (12) was fit to our BPM data yielding fitted values of  $\mu^* = 3.7$  and  $\alpha = 1$  as shown in Fig. 16. It is observed that  $\mu$  alone is insufficient to

fully characterize the effect on packing behavior. For example, the regular-shaped (rough) grains consistently have higher  $\tilde{\phi}_J$  for  $\mu = 0.2$  than the regular-shaped (smooth) grains. Mollon *et al.*<sup>40</sup> demonstrated that for hybrid DEM, which creates grains with smoother surfaces than the corresponding grain envelope, surface roughness and  $\mu$  could be varied to produce the same intergranular behavior. This suggests there is a similar relationship with  $\chi_{RH}$  as  $\mu$ . Based on these findings, the terms  $1/(1 + \mu)$  and  $1/(1 + \chi_{RH})$  were incorporated into our statistical analysis along with first and second-order terms of  $\mu$  and  $\chi_{RH}$ .

Fourth, the augmented dataset was examined by methodically adding and combining terms containing all three morphological properties and  $\mu$  to predict  $\phi_J$ . The process began from the form of a quadratic polynomial of  $\chi_s$  (eqn (10)) and continued incorporating terms discussed previously until a fitted relationship with the fewest terms that achieved an  $R^2$  of at least 0.90 was identified. This regression produced an 8-term polynomial for the predicted jamming limit,  $\phi_{Jpred}$ , shown in eqn (13) of order 4 that has an  $R^2$  value of 0.943 and a  $P$  value of  $9.04 \times 10^{-37}$ .

$$\begin{aligned} \phi_{Jpred} = & 7.250\chi_s^2 - \frac{7.936\chi_s^2 - 73.273}{1 + \chi_{RH}} + \frac{0.103\chi_s^2}{1 + 3.025\mu} \\ & - 3.552\chi_s\chi_{RD}\chi_{RH}\mu + 0.762\chi_s + 62.274\chi_{RH} - 72.785 \end{aligned} \quad (13)$$

This equation uses 4 commonly reported grain morphological properties and produces a relationship that performs well for a range of regular- and irregular-shaped grains. The results of this regression were analyzed for linearity between the dependent and independent variables, multicollinearity of the dependent variables, normalcy of residuals, autocorrelation of the residuals, and homoscedasticity. The tests for multicollinearity showed that  $\chi_s$  and  $\chi_{RD}$  were moderately correlated. This correlation was expected since the fitted surface used an averaging distance of  $D_G/2$  to prevent individual subparticles from being identified as corners. We conclude that these 4 parameters contain useful information for predicting  $\phi_J$  since 3 of the 4 parameters studied exhibit no multicollinearity and the remaining variable only exhibits moderate multicollinearity with a single other parameter. The relationship of eqn (13) performs well with data from all sources as shown in Fig. 17. Averaging the morphological properties of all 154 irregular-shaped BPM grains for each  $d_{sp}$  resulted in a strong agreement between the simulation results and predicted values as shown in Fig. 17. The use of a single projection for each regular-shaped BPM grain is likely the cause of the relatively high variance for our regular-shaped BPM grain data. The laboratory-measured  $\phi_J$  (Section 2), shown by the red hollow circle in Fig. 17, with morphological properties extracted from the irregular-shaped silica sand particles (Fig. 9b) has a relatively poor fit compared to the other data. The point shifts to the right due to changes in its computed morphological properties when the averaging distance used to create the fitted surface shown in Fig. 10a is reduced. Future work could investigate the optimal fitted surface averaging distance when performing



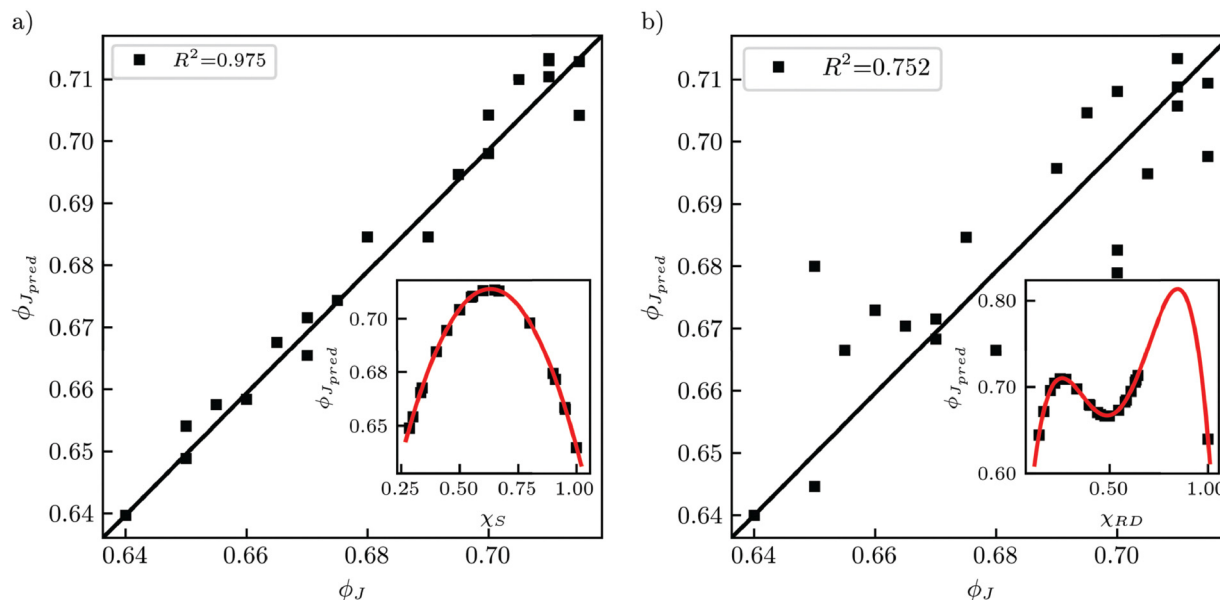


Fig. 15 Plots of reported  $\phi_{J,\text{pred}}$  versus  $\phi_J$  for the smooth, frictionless ellipse data from Donev *et al.*<sup>12</sup> using (a) a quadratic polynomial in  $\chi_S$  (eqn (10)) or (b) a quartic polynomial in  $\chi_{RD}$  (eqn (11)). Inset plots show the data reported for  $\phi_J$  (Table 6) plotted as a function of  $\chi_S$  and  $\chi_{RD}$  along with the fitted relations of eqn (10) and (11) in red.

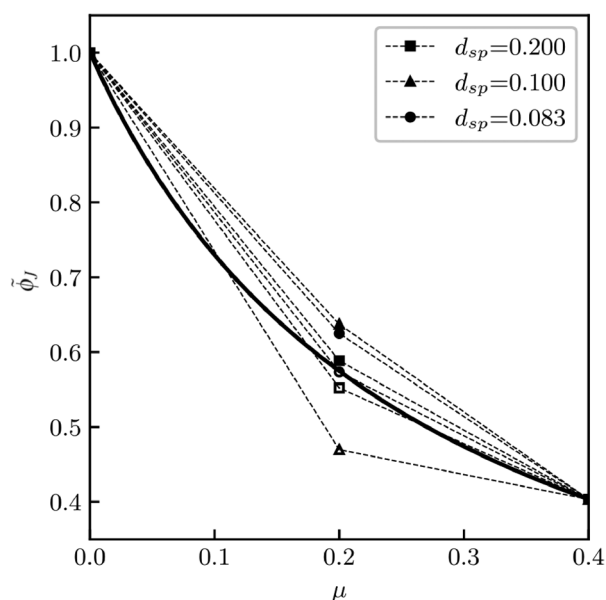


Fig. 16 Plot of  $\tilde{\phi}_J$  (eqn (12)) versus  $\mu$ . The solid markers correspond to regular-shaped (rough) BPM grains while the hollow markers correspond to regular-shaped (smooth) BPM grains. The black line shows the relationship  $\tilde{\phi}_J = 1/(1 + (\mu/\mu^*)^2)$ .

measurements of physical and BPM grain morphological properties. Additionally, the process of creating 2D projections from BPM grains could be analyzed to ensure the relative rotation of the grains is representative of how physical grains are oriented in the optical microscope.

As expected from our findings fitting the ellipses (eqn (10)), the terms  $\chi_S$  and  $\chi_S^2$  are present in eqn (13). The findings of Mollon *et al.*<sup>40</sup> show that  $\chi_{RH}$  and  $\mu$  have similar effects is

supported by the interactions of  $\chi_S^2$  with  $1/(1 + \mu)$  and  $1/(1 + \chi_{RH})$ .  $\chi_{RH}$  also appears in 4 of the 8 terms in eqn (13), illustrating that it is a critical property for predicting  $\phi_J$  which is consistent with prior literature.<sup>77,78</sup>  $\chi_{RD}$  only appears in a single term, interacting with all three other morphological parameters, demonstrating there is some interaction of morphological properties across length scales.

The importance of eqn (13) is that now users can specify an effective value of  $\mu$  in their simulations given the details for their simulated grain morphology and the desired  $\phi_J$ . BPM grains do not jam at the same  $\phi_J$  when using  $\mu$  for the real material because the spherical subparticles cause the BPM grains to have different morphological properties than the corresponding envelopes.  $\mu$  is an independent parameter for the simulations as the morphological properties are determined when the BPM grains are created. Eqn (13) can use those morphological properties and a desired value of  $\phi_J$  to solve for the corresponding value of  $\mu$ . However,  $\mu$  is limited to positive values. Thus, it is important to use small values of  $d_{sp}$  when simulating real materials with low  $\mu$  values, as there is a limited range of variance in grain morphology that can be accounted for by decreasing  $\mu$ .

## 8 Summary and future work

This study explored the interaction of grain morphology and intergranular friction on grain packing in the low-pressure jamming regime. A methodology to calculate grain shape descriptors of sphericity, roundness, and roughness were applied to physical grains and computationally reconstructed grains. Using the bonded particle discrete element method (BPM), computationally reconstructed grains were generated



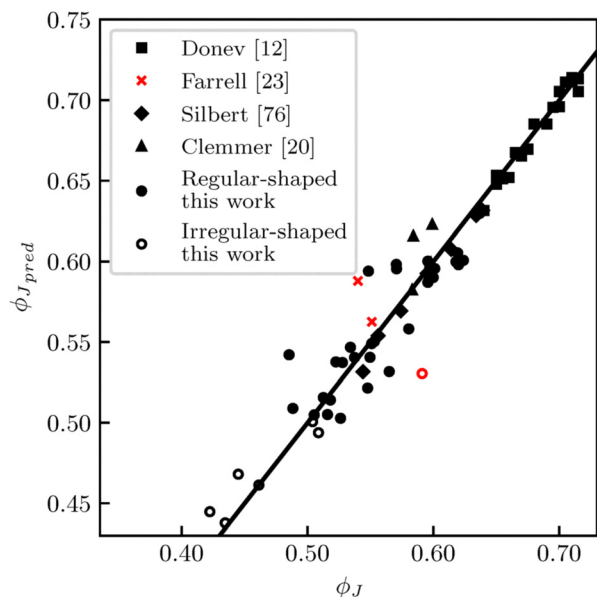


Fig. 17 Plot of jamming limit versus predicted value for the augmented data set. The data from Donev *et al.*<sup>12</sup> is shown as square markers. The data from Farrell *et al.*<sup>23</sup> is shown as  $\times$  symbols. The data from Silbert *et al.*<sup>76</sup> is shown as diamond markers. The data from Clemmer *et al.*<sup>20</sup> is shown as triangular markers. Data from the regular-shaped BPM grains presented in this work is shown in solid circles. Data from the irregular-shaped BPM simulations is shown as hollow circles. The red markers correspond to data from laboratory experiments, while the black markers correspond to data from simulations.

for regular-shaped (smooth, rough) and irregular-shaped grains with varying levels of subparticle discretization thereby directly influencing the shape descriptors. Experiments on physical grains and jamming simulations on the BPM grains were performed to predict the jamming packing fraction at low pressure where the intergranular behaviors are effectively decoupled from the elastic grain behaviors. Varying the intergranular friction in the BPM jamming simulations of the different BPM grain types yielded a large dataset for studying the interaction of grain morphological parameters, intergranular friction, and packing fraction.

In general, increasing the subparticle diameter resulted in a decrease in the jamming limit that is correlated with increasing roughness and decreasing roundness. For large subparticle diameters, the regular-shaped BPM grains decreased in sphericity and the jamming packing fraction increased which has also been observed in smooth ellipses that vary from a unity aspect ratio. The exact relationship between the jamming limit and subparticle diameter of regular-shaped BPM grains varied based on whether the grains were created with a subparticle packing methodology that produced a smoother or rougher outer surface. The regular-shaped (smooth) BPM grains exhibited larger values of sphericity and roundness than the regular-shaped (rough) BPM grains with equal subparticle diameter. Increasing intergranular subparticle friction had the expected result of decreasing the jamming packing fraction.

Our results were combined with literature data to develop a correlation amongst 5 independent studies and 71 unique data points. By intentionally choosing to include the three commonly reported grain morphological parameters of sphericity, roundness, and surface roughness in our correlation, the method can be applied similarly to experimental and computationally reconstructed grains. As noted, reliance on 2D projections for calculating the shape descriptors certainly omits some details of the 3D grains yet the chosen descriptors should be readily accessible by general practitioners for straightforward application to both simulated and physical grains. This relationship allows researchers to use the morphological properties of their grains to determine an effective value of intergranular subparticle friction that will cause the BPM grains to jam at a desired density and is the first study to systematically and quantitatively explore the interactions of grain morphology and intergranular friction on grain packing behavior.

## Author contributions

Samuel Martin: conceptualization, methodology, software, validation, formal analysis, investigation, data curation, writing – original draft, writing – review & editing, visualization. Marcia Cooper: conceptualization, methodology, resources, writing – review & editing, visualization, supervision, funding acquisition.

## Data availability

The data supporting this article have been included as part of the ESI.†

## Conflicts of interest

There are no conflicts of interest to declare.

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