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# The spin-coupling-dependent oxygen reduction mechanism in dual-atom catalysts

Mingyuan Yu,<sup>abc</sup> Erjun Kan <sup>\*abc</sup> and Cheng Zhan <sup>\*abc</sup>

The role of electronic spin in electrocatalysis has led to the emerging field of “spin-dependent electrocatalysis”. While spin effects in individual active sites have been well understood, spin coupling among multiple sites remains underexplored in electrocatalysis, which will bring forth new active sites and mechanisms. In this work, we propose a general theory to understand the spin coupling in electrocatalysis. Inspired by spintronics, the energy of the spin-polarized bond of catalyst–adsorbate can be effectively tuned by exchange splitting, resulting in a spin-dependent mechanism. To validate this hypothesis, we take the Fe<sub>2</sub>N<sub>6</sub> dual-atom-catalyst (DAC) with parallel and antiparallel spin (PS/APS) alignments as an example. Our calculation demonstrates that spin exchange splitting significantly determines the ORR mechanism, leading to a huge discrepancy in ORR activity in APS-Fe<sub>2</sub>N<sub>6</sub> ( $U_L = 1.04$  V vs. SHE) and PS-Fe<sub>2</sub>N<sub>6</sub> ( $U_L = 0.67$  V vs. SHE). We further reveal that PS alignment enhances exchange splitting and strengthens OH/O<sub>2</sub> adsorption, while APS alignment reduces exchange splitting and weakens OH/O<sub>2</sub> adsorption. This mechanism is further validated with other bi-metallic DACs. Our work first unravels how spin exchange splitting alters the catalytic activity and mechanism, offering significant mechanistic insights into spin-related electrocatalysis.

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## Introduction

Transition metal-based single-atom and dual-atom catalysts (SACs and DACs, respectively) have shown great potential in electrocatalysis due to their high activity, selectivity as well as highly tunable coordination environment.<sup>1–10</sup> In these catalysts, due to the magnetism of 3d/4d/5d transition metals, the spin state of the active center significantly influences the catalytic mechanism and activity. In recent years, spin-related effects have become a hot topic in both experimental and theoretical electrocatalysis, bringing forth a new subfield called “spin-dependent electrocatalysis”.<sup>11,12</sup> For instance, recent experiments have revealed that the spin state manipulation of the Fe center by introducing Fe clusters greatly promotes the oxygen reduction reaction (ORR) activity of the Fe–N–C SAC.<sup>13,14</sup> A similar strategy in the Mn-based SAC for the ORR was reported by Liu *et al.*, which controls the spin state by S doping.<sup>15</sup> Tuning the spin by chemical modification has become a useful strategy to improve the activity or selectivity in electrocatalysis.<sup>16–19</sup> Although experimental studies have reported various ways to tune the spin state of SACs,<sup>20–22</sup> the correlation between the spin state and activity is not fully understood yet. To explore this

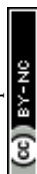
correlation, the first-principles density functional theory (DFT) combined with scaling relation analysis has been extensively used. A series of studies by Zhong *et al.* have reported that the magnetic moment on Fe exhibits a linear correlation to the O<sub>2</sub> adsorption energy in Fe–C<sub>2</sub>N SACs.<sup>23,24</sup> Similar results were also found in FeN<sub>4</sub> and other TM–N–C SACs, which suggests the spin moment as a useful descriptor of ORR activity for individual active sites.<sup>25,26</sup> Moreover, an experimental study by Lu *et al.* found that the ferromagnetic–antiferromagnetic (FM–AFM) transition also significantly alters the activity of the oxygen evolution reaction (OER), which paves a new way to the spin manipulation in electrocatalysis.<sup>27</sup> The feasibility of this strategy has also been theoretically validated in ferromagnetic electrocatalysts.<sup>28–30</sup> To summarize, tuning the spin state of an individual active site or entire catalyst is an efficient strategy to optimize performance in electrocatalysis.

At the current stage, the spin effects on an individual active site (such as SACs) or FM/AFM-type catalysts have been well understood through electronic structure theory and descriptor analysis, while the spin coupling effect of multiple active sites has rarely been reported in electrocatalysis from either an experimental or theoretical perspective. Here, one should note that the spin coupling of multiple catalytic sites refers to the localized multiple spin states or spin alignments, which can be metastable and different from an individual site of SACs with spin multiplicity or an FM (or AFM) catalyst. An important concept of “inter-site distance effect” was proposed in highly dense Fe–N–C SACs by Jin *et al.*, who discovered that the Fe–Fe

<sup>a</sup>School of Physics, Nanjing University of Science and Technology, Nanjing 210094, China. E-mail: czhan@njust.edu.cn; ekan@njust.edu.cn

<sup>b</sup>MIIT Key Laboratory of Semiconductor Microstructure and Quantum Sensing, Nanjing University of Science and Technology, Nanjing 210094, China

<sup>c</sup>Engineering Research Center of Semiconductor Device Optoelectronic Hybrid Integration in Jiangsu Province, Nanjing 210094, China



distance (from 50 Å to 5 Å) alters the magnetic moment and catalytic activity of Fe.<sup>31</sup> However, most studies on the spin effect usually default to a parallel spin arrangement of multiple sites and do not consider the effects of electrode potential and surface oxidation, leading to an incomplete mechanistic understanding of spin coupling effects in electrocatalysis. More importantly, a recent study has clearly revealed that the change of spin alignment by ferromagnetic–paramagnetic transition can significantly alter the reaction kinetics of ammonia synthesis on a Co catalyst, which underlines the crucial role of spin alignment in determining the catalytic activity.<sup>32</sup> Thus, from the theoretical side, a complete mechanistic understanding of spin coupling effects in electrocatalysis is extremely important to dissecting the spin-related catalytic mechanism and guiding the design of spin catalysts.

In this work, inspired by the fundamental spin coupling model in spintronics, we propose a general mechanism to understand the spin coupling effect of multiple active sites in electrocatalysis. For the intermediates with magnetism, the energy of spin-polarized bonding/antibonding orbitals of catalyst–adsorbate is proportionally determined by exchange splitting of neighboring sites, leading to the variation of activity. To validate this hypothesis, we use the graphene-based Fe<sub>2</sub>N<sub>6</sub> DAC composed of two adjacent FeN<sub>4</sub> sites as an example and systematically study the effect of spin alignment on ORR mechanisms. Our simulation explicitly shows how the spin exchange splitting dominates the ORR mechanism by tuning the spin-polarized  $\sigma^*(\text{Fe-O})$  bond. Our mechanistic understanding in this work not only reveals the spin coupling effects in the ORR mechanism of DAC but also potentially extends to the study of the catalytic activity of paramagnetic catalysts with tunable spin arrangements.

## Methods

Spin-polarized DFT calculations were performed by using the Vienna *ab initio* simulation package (VASP).<sup>33–35</sup> In our DFT calculation, the exchange-correlation effect was treated by the general gradient approximation with the Perdew–Burke–Ernzerhof formalism (GGA-PBE).<sup>36</sup> The van der Waals interaction of adsorbates was described by the empirical correction in Grimme's scheme (DFT-D3).<sup>37</sup> The DFT + U method was employed to deal with the strong correlation effect in Fe with the  $U_{\text{eff}} = U - J$  value set as 4.03 eV. According to our previous research and test calculation in Fig. S1, using HSE06 as the benchmark, this  $U_{\text{eff}}$  value can reliably predict the stability of different spin states while PBE cannot.<sup>38</sup> The electron–nucleus interaction was described by the Projector-Augmented Wave (PAW) pseudopotential.<sup>33</sup> The energy cutoff of the plane-wave basis set was set to 550 eV. The *k*-point sampling in the Brillouin zone was carried out using a gamma-centered  $2 \times 2 \times 1$  mesh for geometry relaxation and a  $10 \times 6 \times 1$  mesh for electronic structure calculation. The convergence criteria in our DFT calculation were  $1 \times 10^{-6}$  eV and  $0.02 \text{ eV } \text{\AA}^{-1}$  for electronic and ionic optimization, respectively. The Fe–N–C DACs were modeled by an Fe<sub>2</sub>N<sub>6</sub> site (Fe<sub>2</sub>N<sub>6</sub>C<sub>10</sub> model) embedded in monolayer graphene in an orthorhombic cell with the lattice

parameters  $a = 9.84 \text{ \AA}$  and  $b = 17.04 \text{ \AA}$ , as shown in Fig. 2a. A vacuum slab of 30 Å thickness in the *z* direction was introduced above the Fe<sub>2</sub>N<sub>6</sub> model and filled with implicit electrolyte. The VASPkit was used for electronic structure and density of states (DOS) analysis.<sup>39</sup> The LOBSTER package was used to analyze the Mulliken charge, bonding orbital and binding strength between Fe and adsorption *via* Crystal Orbital Hamiltonian Population (COHP) analysis.<sup>40,41</sup>

To calculate the adsorption free energy at a constant electrode potential, we adopted the method proposed by Duan and Xiao, in which the electron number and ionic coordinates were optimized simultaneously.<sup>42</sup> Implicit solvation based on a modified Poisson–Boltzmann equation was applied to describe the response behavior of electrolyte with respect to the applied potential.<sup>43</sup> The dielectric constant and Debye length of implicit electrolyte were set to 80 and 3 Å, respectively.<sup>44</sup> The calculated potential-dependent energies can be fit to a quadratic function with  $E$  as  $U$ :

$$E(U) = -\frac{1}{2}C(U - U_0)^2 + E_0 \quad (1)$$

where  $U_0$  is the potential of zero charge (PZC),  $E_0$  is the corresponding free energy in the neutral state, and  $C$  is the capacitance of the surface. The potential  $U$  was calculated under the reference of the standard hydrogen electrode (SHE). The fitted parameters for all the intermediates are listed in Tables S1 and S2. Based on eqn (1), the potential-dependent free energy was used to construct the free energy profile of the ORR and set up the micro-kinetics simulation under the reference of standard hydrogen electrode. All the detailed information is provided in the SI.

## Results and discussion

### A general mechanistic picture of spin coupling effects in electrocatalysis

In ferromagnetic (FM) and antiferromagnetic (AFM) materials, the density of states (DOS) usually shows a symmetric shape in the AFM state and an asymmetric shape in the FM state because of exchange splitting, as depicted in Fig. 1a (the alter magnetic case is not discussed in this work). The shifted peak in DOS is mainly attributed to the magnetic component of orbitals in the material, such as the d orbitals in 3d elements. Based on this point, the energy of the chemical bond with magnetism (partial filling of electrons as depicted by Fig. 1b) can be effectively altered by the spin exchange coupling from neighboring magnetic sites, which potentially leads to changes in catalytic activity and mechanism, as depicted in Fig. 1c. Taking the Fe–O bond as an example, our previous study on an FeN<sub>4</sub> SAC has clearly confirmed that the  $\sigma(\text{Fe-OH})$  bond is indeed magnetic with the bond order of 0.5 in the ORR process.<sup>45</sup> Thus, it is expected that a strong exchange splitting (PS coupling) will elevate the energy of the unfilled spin-down  $\sigma^*$  orbital and lead to more difficult electron filling (right panel of Fig. 1c), while a weak exchange splitting (APS coupling) should weaken the Fe–O bond due to the easier electron filling in the  $\sigma^*(\text{Fe-O})$  orbital (left panel of Fig. 1c). This spin-coupling-dominated energy shift of the  $\sigma^*$



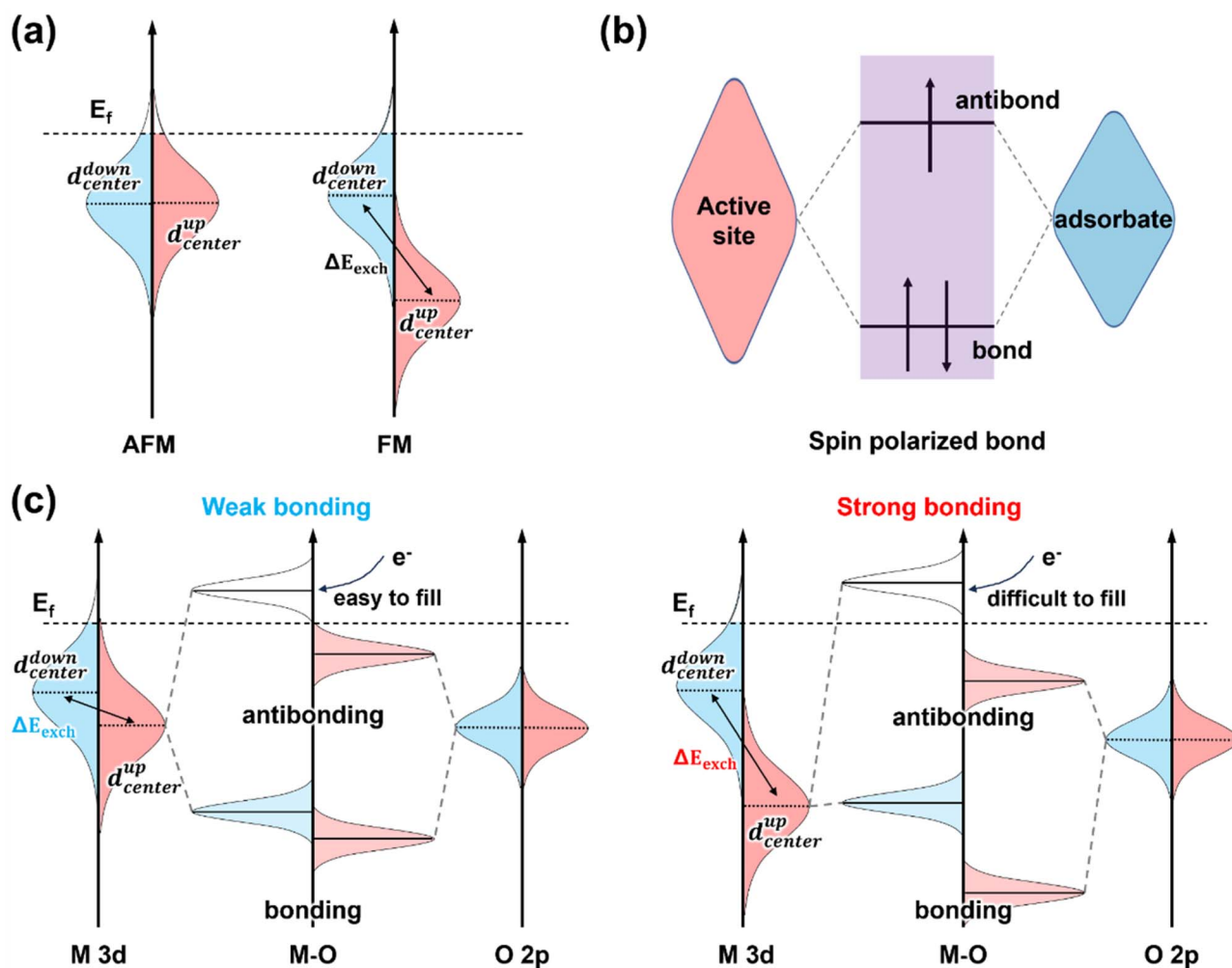


Fig. 1 Schematic description of: (a) ferromagnetic/antiferromagnetic materials; (b) spin-polarized bond of catalyst-adsorbate; (c) effect of spin exchange splitting on the M–O bond.

orbital will significantly influence the ORR activity and mechanism. To validate this hypothesis, we will use the graphene-based  $\text{Fe}_2\text{N}_6$  DAC as a model system and comprehensively investigate how spin alignment determines the ORR mechanism in the next section.

### Spin multiplicity and stability of APS/PS- $\text{Fe}_2\text{N}_6$

First, we performed structure relaxation on the  $\text{Fe}_2\text{N}_6\text{C}$  DAC consisting of two  $\text{FeN}_4$  units connected *via* two N atoms, as shown in Fig. 2a. Each Fe in  $\text{Fe}_2\text{N}_6$  has similar bonding and spin states to the  $\text{FeN}_4$  SAC: the Fe is in  $\text{dsp}^2$  hybridization and forms four  $\sigma(\text{Fe}-\text{N})$  bonds with the  $\text{sp}^2$  orbital of N, as shown in Fig. 2b.<sup>45</sup> Thus, there are three available spin states of Fe marked as  $S = 2$  (high spin, HS),  $S = 1$  (intermediate spin, IS) and  $S = 0$  (low spin, LS), as shown in Fig. S2. Inspired by FM and AFM materials, various spin coupling modes should also exist in the Fe–N–C-type DACs, leading to various metastable PS- and APS-type  $\text{Fe}_2\text{N}_6$  moieties. Through the occupation-controlled DFT calculation,<sup>46,47</sup> as listed in Table S3, we obtained nine spin states of APS/PS/non-magnetic  $\text{Fe}_2\text{N}_6$  with their electronic

structure shown in Fig. 2c, d and S3–S5. (Partial occupation is marked by smaller arrows, which is unavoidable in periodic DFT calculation.) Comparing their neutral state energy in Table S3, it shows that Fe with LS or HS ( $S = 0$  or  $2$ ) has much higher energy than IS ( $S = 1$ ), which exhibits a similar feature to the  $\text{FeN}_4$  SAC in our previous work.<sup>45</sup> Since a recent study has reported the IS–HS transition of  $\text{FeN}_4$  at high potentials,<sup>48</sup> we additionally performed constant potential calculations on HS- $\text{Fe}_2\text{N}_6$ , as shown in Fig. 2e and f. It shows that the HS- $\text{Fe}_2\text{N}_6$  (marked by APS-4 and PS-4, Fe is in  $S = 2$  or  $S = -2$ ) are not the most stable spin states in the ORR potential range. Thus, the possible existence of HS Fe in  $\text{Fe}_2\text{N}_6$  DACs can be excluded. Finally, six spin states with IS state Fe were selected for further study: three APS cases (APS-1,2,3) and three PS cases (PS-1,2,3), as shown in Fig. 2c–f.

The electronic occupation of APS/PS- $\text{Fe}_2\text{N}_6$  DACs (Fig. 2c and d) was obtained based on their PDOS in Fig. S3. As shown in Fig. 2c and d, the occupation of d orbitals in APS-1 and PS-1 can be assigned as  $(d_{x^2-y^2})^2 (d_{xz})^2 (d_z)^1 (d_{yz})^1 (d_{xy})^0$ , while APS-2 and PS-2 show  $(d_{x^2-y^2})^2 (d_{xz})^1 (d_z)^2 (d_{yz})^1 (d_{xy})^0$  occupation on Fe. (Partial occupation is ignored here for convenience.) Different



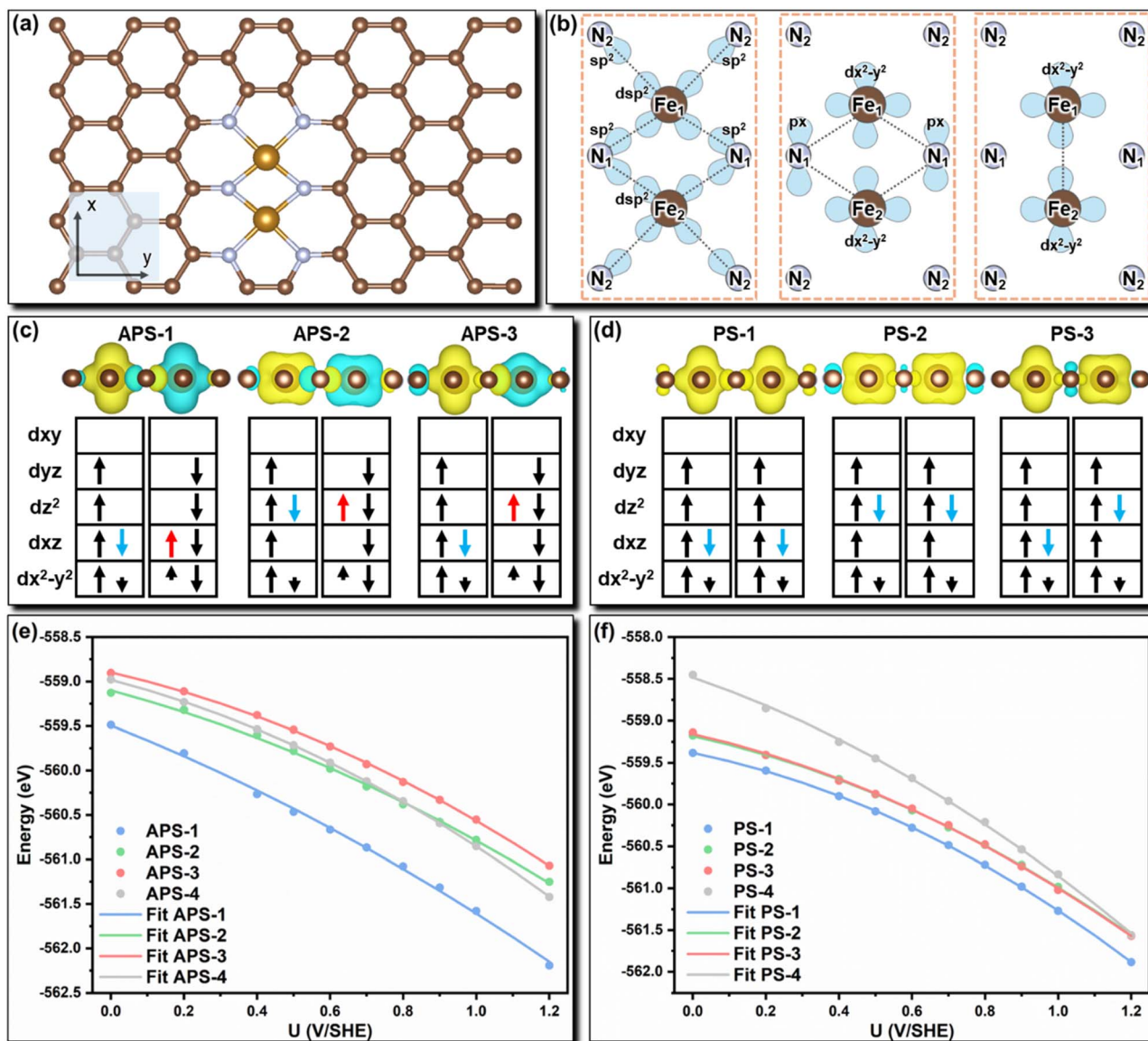


Fig. 2 (a) Model of Fe<sub>2</sub>N<sub>6</sub>. (b) Schematic diagram of Fe d orbital bonding in Fe<sub>2</sub>N<sub>6</sub>. (Dashed lines refer to the bonding interaction.) Spin density and d orbital occupation of several stable (c) APS states and (d) PS states of Fe<sub>2</sub>N<sub>6</sub>. (Smaller arrows refer to partial occupation.) Potential-dependent energies of several (e) APS states and (f) PS states of Fe<sub>2</sub>N<sub>6</sub>.

from APS-1/PS-1 and APS-2/PS-2, two Fe atoms can hold different occupations on d<sub>z<sup>2</sup></sub> and d<sub>xz</sub> orbitals in APS-3 and PS-3. According to PDOS (Fig. S3) and orbital occupancy (Table S4), partial occupation was observed in the d<sub>x<sup>2</sup>-y<sup>2</sup></sub> orbital with the minority spin occupation to be 0.3–0.4 in the APS state and 0.6–0.65 in the PS state, while all the majority spin occupations of the d<sub>x<sup>2</sup>-y<sup>2</sup></sub> orbital are over 0.9. Comparing Fe<sub>2</sub>N<sub>6</sub> with the FeN<sub>4</sub> SAC (Table S5) shows that the nonbonding d<sub>x<sup>2</sup>-y<sup>2</sup></sub> orbital holds the spin occupation of 0.016 and 0.878 in HS-FeN<sub>4</sub> and IS-FeN<sub>4</sub>, respectively, which suggests more delocalized d<sub>x<sup>2</sup>-y<sup>2</sup></sub> electrons and potential d–d bonding in the DAC, as validated by the COHP analysis in Fig. S6. Finally, the constant-potential energy calculation (Fig. 2e and f) clearly identifies the APS-1 and PS-1 states are the energetically preferable spin states under the ORR operation potential (0–1.2 V vs. SHE), while other spin

states are meta-stable. Thus, these two candidates are treated as two individual DACs with different spin coupling modes and will be used for the subsequent study of ORR activity and mechanism.

#### Potential-dependent evolution of active centers in APS- and PS-Fe<sub>2</sub>N<sub>6</sub>

In the Fe<sub>2</sub>N<sub>6</sub> DACs, both the Fe sites can catalyze the ORR, which necessitates the exploration of surface configurations and competing reaction pathways. For instance, early studies have shown that O<sub>2</sub> can be absorbed on the bridge site between two TM centers, leading to a new ORR path in Fe<sub>2</sub>N<sub>6</sub>.<sup>49–51</sup> In addition, as indicated by Li *et al.*, the diatomic site might be dynamically covered by 1–2 OH groups under the operating





potential of ORR, which was known as the self-optimization effect.<sup>52</sup> Moreover, the coexistence of Fe(II) and Fe(III) in partially hydroxylated  $\text{Fe}_2\text{N}_6$  brings more complicated spin configurations than  $\text{FeN}_4$ . Thus, it is essential to determine the most stable spin configuration of active moieties. Based on our previous study, we found that the bare  $\text{FeN}_4$  unit and the  $\text{X-FeN}_4\text{-Y}$  ( $\text{X/Y}$ : OOH, O, and OH) complex prefers the IS state, whereas  $\text{FeN}_4\text{-X}$  adopts the HS state.<sup>45</sup> We also tested the multiple spin configurations of  $\text{Fe}_2\text{N}_6\text{*OH}$ , as shown in Fig. S7. We observed that the  $\text{Fe*OH}$  site energetically favors the HS ( $S = 5/2$ ), while the bare Fe site remained in the IS ( $S = 1$ ) state. This principle enables quick identification of preferable spin configuration of ORR intermediates in  $\text{Fe}_2\text{N}_6$ . Then, to capture the realistic active center, we established various adsorption models with different OH coverages (Fig. S8) as ORR active sites. The OH adsorption energy of  $\text{Fe}_2\text{N}_6(\text{OH})_n$  was calculated in

reference to previous un-absorbed state  $\text{Fe}_2\text{N}_6(\text{OH})_{n-1}$ . We calculated the potential-dependent energy of all the possible structures of  $\text{Fe}_2\text{N}_6$  with different amounts of OH adsorption in the ORR potential window, as shown in Fig. S9.

According to the number of absorbed OH ligands, we constructed a stepwise potential-dependent adsorption energy curve, as illustrated in Fig. 3a and b. The first OH adsorption shows the transition potential at 0.91 V (APS- $\text{Fe}_2\text{N}_6$ , Fig. 3a) and 0.62 V (PS- $\text{Fe}_2\text{N}_6$ , Fig. 3b). The second OH adsorption has three possible locations as shown in Fig. S9b–d and labeled as (i) ( $\text{HO*OH-*}$ ), (ii) ( $\text{HO*}-\text{HO*}$ ) and (iii) ( $\text{HO*}-*\text{OH}$ ). Within the ORR potential window, configuration (iii) was found to be the most stable in both APS and PS states. The transition potentials are calculated to be 0.87 V (APS) and 0.50 V vs. SHE (PS), respectively. Further OH adsorption beyond two is unfavorable, as shown in the upper panel of Fig. 3a and b. This partially

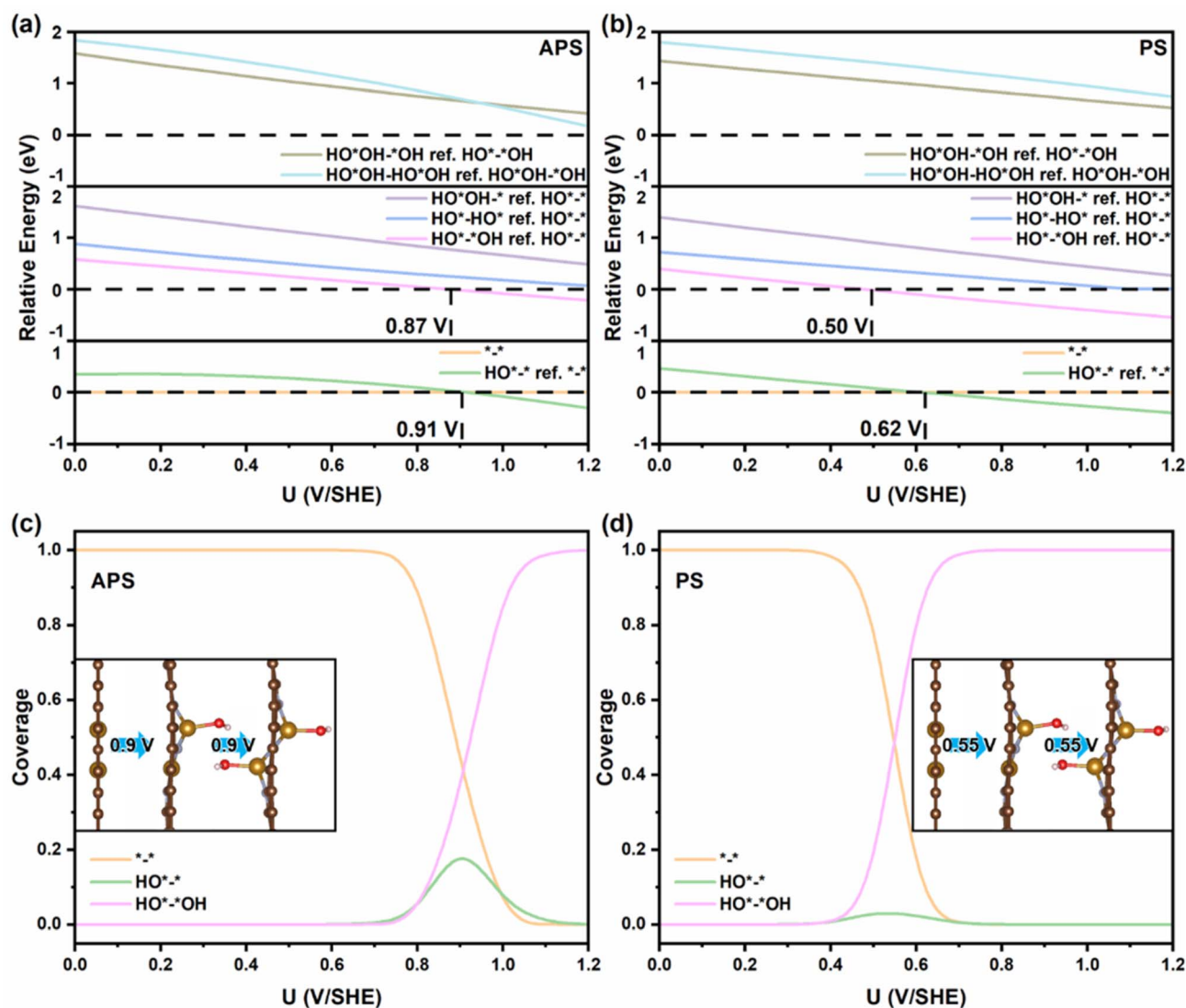


Fig. 3 Phase diagram of OH coverage in (a) antiparallel and (b) parallel  $\text{Fe}_2\text{N}_6$ . (c) Coverage vs. potential of dominant adsorbed OH active sites in antiparallel  $\text{Fe}_2\text{N}_6$ . (d) Coverage vs. potential of dominant adsorbed OH active sites in parallel  $\text{Fe}_2\text{N}_6$ . (Note: “\*” refers to the individual active site. “ $\text{HO*}-*$ ” refers to an individual OH absorbed on Fe. “ $\text{HO*}-*\text{OH}$ ” refers to the adsorption of two OH ligands absorbed on two Fe separately. “ $\text{HO*OH}-*$ ” refers to the adsorption of two OH ligands absorbed on the same Fe atom, while another Fe has no OH adsorption.)



hydroxylated  $\text{Fe}_2\text{N}_6$  is consistent with the experimental observation by Li *et al.*<sup>53</sup> We further calculated the potential-dependent coverage of all OH-absorbed APS- and PS- $\text{Fe}_2\text{N}_6$  configurations, as shown in Fig. S10, 3c and d. We found that at transition voltages of 0.9 V and 0.55 V, the APS- and PS- $\text{Fe}_2\text{N}_6$  evolved from the bare site  $\ast$  to  $\text{HO}\ast$ , while the partition of  $\text{HO}\ast$  slightly increased but rapidly dropped. In summary, we identified three possible active sites of  $\text{Fe}_2\text{N}_6$  in the ORR potential range for further mechanistic study.

### ORR pathways and activity of APS- and PS- $\text{Fe}_2\text{N}_6$

Based on the surface phase diagram in Fig. 3c and d, we now explore the ORR pathways on these active sites. On the bare  $\text{Fe}_2\text{N}_6$  site, there are two modes of  $\text{O}_2$  adsorption: bridge adsorption and end-on adsorption, which lead to different ORR mechanisms, as shown in Fig. S11.<sup>54,55</sup> Free energy of each ORR step on APS- and PS- $\text{Fe}_2\text{N}_6$  is shown in Fig. S12–S14 and 4a–d. In the path of end-on  $\text{O}_2$  adsorption (Fig. 4a and b), the potential-limiting steps in APS- and PS- $\text{Fe}_2\text{N}_6$  are  $\text{O}_2$  adsorption and OH desorption with the limiting potentials  $U_L$  at 0.76 V and 0.62 V vs. SHE, respectively. In the path of bridge  $\text{O}_2$  adsorption, the first step is breaking the O–O bond by protonation to form  $\ast\text{OH}$  and  $\ast\text{O}$  intermediates on two Fe sites, while the second step has two competing pathways, as shown in Fig. S11b and c. Constant-potential calculation shows that the potential-limiting steps in APS and PS states are  $\text{O}_2$  adsorption and  $\ast\text{OH}$  desorption with  $U_L = 0.51$  V and 0.62 V vs. SHE, respectively (Fig. S14, 4c and d). In addition, it also shows that the  $\text{O}_2$  activation (forming  $\ast\text{OOH}$

or  $\text{HO}\ast$ – $\text{O}\ast$ ) via bridge adsorption is easier than end-on adsorption (Fig. S15), as also reported in previous work.<sup>49</sup> According to the ORR free energy diagram in Fig. S16, we found that the PS- $\text{Fe}_2\text{N}_6$  prefers the bridge  $\text{O}_2$  adsorption path, while the APS- $\text{Fe}_2\text{N}_6$  shows comparable energy in the end-on and bridge  $\text{O}_2$  adsorption.

To understand the different capabilities of  $\text{O}_2$  activation in APS- and PS- $\text{Fe}_2\text{N}_6$ , we plotted the potential-dependent COHP analysis on the Fe–O bond in Fig. S17. For bridge  $\text{O}_2$  adsorption (Fig. S17a), the Fe–O bond strength (–ICOHP value) decreases with increasing potential in both APS- $\text{Fe}_2\text{N}_6$  and PS- $\text{Fe}_2\text{N}_6$ . In contrast to bridge  $\text{O}_2$  adsorption, the Fe–O bond strength (–ICOHP value) increases with increasing potential in the end-on  $\text{O}_2$  adsorption (Fig. S17b) case. These results suggest that the bridge and end-on  $\text{O}_2$  adsorption exhibit opposite trends in the potential-dependent  $\text{O}_2$  activation. With the increase of the electrode potential, end-on  $\text{O}_2$  adsorption becomes increasingly favorable, facilitating the  $\text{O}_2$  activation, while bridge adsorption mode tends to inhibit  $\text{O}_2$  activation with the increase of potential. This discrepancy explains why the end-on  $\text{O}_2$  adsorption is more dominant than bridge  $\text{O}_2$  adsorption in the ORR catalyzed by  $\text{Fe}_2\text{N}_6$ .

As we discussed in Fig. 2, the partial hydroxylation on Fe results in diverse active sites for ORR catalysis, depending on the OH coverage. Based on the free energy in Fig. S18, 4e and f, the potential-limiting steps of ORR on the  $\text{HO}\ast$ – $\ast$  site were found to be  $\text{O}_2$  adsorption and OH desorption in APS- and PS- $\text{Fe}_2\text{N}_6$ , with limiting potentials of 0.80 V and 0.48 V, respectively.

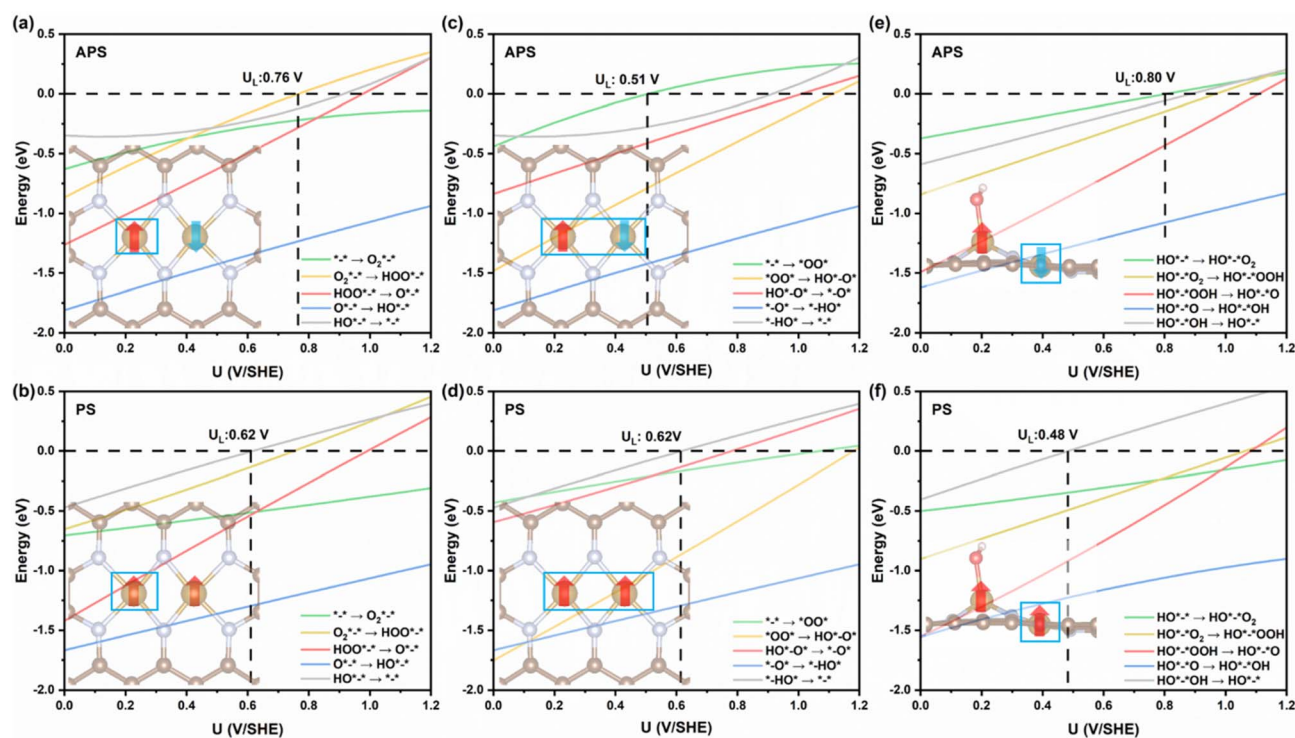


Fig. 4 Potential-dependent reaction free energy of the ORR at a single Fe site in (a) APS- and (b) PS- $\text{Fe}_2\text{N}_6$ . Potential-dependent reaction free energy of ORR at two Fe sites in (c) APS- and (d) PS- $\text{Fe}_2\text{N}_6$ . (The reaction path is shown in Fig. S13b.) Potential-dependent reaction free energy of ORR in (e) APS- and (f) PS- $\text{HO}\ast$ - $\text{Fe}_2\text{N}_6$ . (The Fe atoms in the blue frame are the active sites.)



Comparing the  $U_L$  values of bare  $\text{Fe}_2\text{N}_6$  and hydroxylated  $\text{Fe}_2\text{N}_6$  sites (Fig. 4a–d), it shows that the introduction of OH enhances the ORR activity in APS- $\text{Fe}_2\text{N}_6$ , while it leads to reduced ORR activity in PS- $\text{Fe}_2\text{N}_6$ . The active sites with two OH absorbed ( $\text{HO}^*-\text{OH}$ ) were also considered, as shown in Fig. S19. In this ORR path, the potential-limiting steps were found to be the OOH formation in both APS- and PS- $\text{Fe}_2\text{N}_6$ , with limiting potentials at 0.44 V and 0.35 V vs. SHE, respectively. These results indicate that ORR activity is significantly inhibited if two OH groups were pre-absorbed on  $\text{Fe}_2\text{N}_6$ .

Possible competition from two-electron ORR pathways ( $\text{H}_2\text{O}_2$  formation) was also considered on all active sites. As shown in Fig. S20, on the bare  $\text{Fe}_2\text{N}_6$  and  $\text{HO}^*\text{Fe}_2\text{N}_6$  sites,  $^*\text{OOH}$  energetically tends to form  $^*\text{O}$  instead of  $\text{H}_2\text{O}_2$ . However, on  $\text{HO}^*\text{Fe}_2\text{N}_6$ ,  $^*\text{OOH}$  prefers  $^*\text{O}$  at low potentials but transitions to  $\text{H}_2\text{O}_2$  formation at 0.94 V (APS) and 0.71 V (PS), suggesting the possibility of a two-electron pathway. The rate-limiting step of the two-electron pathway was found to be  $\text{O}_2$  adsorption in the APS state ( $U_L = 0.44$  V) and  $^*\text{OOH}$  formation in the PS state ( $U_L = 0.35$  V) as shown in Fig. S21. Nevertheless, these  $U_L$  values are too low to trigger  $\text{H}_2\text{O}_2$  formation. Thus, the overall ORR process is still mainly dominated by the four-electron pathway.

Subsequently, based on the ORR pathways and their reaction free energies discussed above, we established a micro-kinetics model and calculated the ORR polarization current of APS- and PS- $\text{Fe}_2\text{N}_6$ , as shown in Fig. 5. The onset potential is predicted to be 1.05 V and 0.65 V vs. SHE for APS- and PS- $\text{Fe}_2\text{N}_6$ , respectively, which exhibits superior ORR activity of APS- $\text{Fe}_2\text{N}_6$  (Fig. 5a) in comparison to PS- $\text{Fe}_2\text{N}_6$  (Fig. 5b) and  $\text{FeN}_4$  SAC (Fig. 5c). We note a certain deviation between our predicted onset potential of  $\text{FeN}_4$  and experimental results, which can be attributed to the underestimation of adsorption energy caused by the DFT + U method.<sup>56,57</sup> Nevertheless, applying DFT + U is essential for accurately capturing the spin configuration, which is the main purpose of this study. The decomposed polarization current shows that ORR kinetics are mainly dominated by the reaction pathway on the bare site  $^*-\text{}$  in both APS- and PS- $\text{Fe}_2\text{N}_6$ , while the other pathways have minor contribution. In summary, here, we validate that the spin coupling mode significantly determines the potential-limiting step ( $\text{O}_2$  adsorption in APS vs. OH

desorption in PS) as well as the activity (onset potential: 1.05 V in APS vs. 0.65 V in PS) of the ORR in  $\text{Fe}_2\text{N}_6$ .

### Success and limitation of conventional descriptors in the spin-related mechanistic study of the ORR

To understand how spin coupling mode dominates the ORR mechanism, we summarized the potential-limiting steps,  $U_L$  values and partial charges of APS-, PS- $\text{Fe}_2\text{N}_6$  and  $\text{FeN}_4$  SAC, in Table 1. Regardless of the coordination number on Fe,  $\text{Fe}_2\text{N}_6$  consistently exhibits better ORR activity than  $\text{FeN}_4$ . The partial charge analysis (Table 1) shows that Fe holds +1.32  $|e|$  and +1.90  $|e|$  charges in  $\text{FeN}_4$  and  $\text{FeN}_4^*\text{OH}$ , respectively, corresponding to  $\text{Fe}(\text{II})$  and  $\text{Fe}(\text{III})$ , respectively. Comparing  $\text{Fe}_2\text{N}_6$  to  $\text{FeN}_4$ , Fe's partial charge in  $\text{Fe}_2\text{N}_6$  (including the bare and partially hydroxylated sites) was found to be in the range of 1.32–1.90, indicating their valence located between  $\text{Fe}(\text{II})\text{N}_4$  and  $\text{Fe}(\text{III})\text{N}_4^*\text{OH}$ . It can be found that  $\text{Fe}(\text{II})\text{N}_4$  and  $\text{Fe}(\text{III})\text{N}_4^*\text{OH}$  show lower potential for  $^*\text{OH}$  desorption and  $^*\text{OOH}$  formation due to their extreme charge distribution, while the charge regulation on  $\text{Fe}_2\text{N}_6$  optimizes the ORR mechanism and leads to improvement of activity. However, the significantly different ORR mechanisms in APS- and PS- $\text{Fe}_2\text{N}_6$  cannot be explained by charge optimization since the change of spin coupling mode has very little impact on the Fe's partial charge (Fig. S22) and charge density difference of adsorbate, as shown in Fig. 6c and e.

Then, we correlate the d orbital occupancy and ORR mechanism in  $\text{Fe}_2\text{N}_6$  and  $\text{FeN}_4$  to interpret the spin coupling effect. As shown in Table 1, we found that the potential-limiting step shifts from  $^*\text{OH}$  desorption to  $\text{O}_2$  activation along with the PS-APS transition in  $\text{Fe}_2\text{N}_6$ . The same phenomenon was also observed in  $\text{FeN}_4$  when the active center varies from bare  $\text{FeN}_4$  to  $\text{FeN}_4^*\text{OH}$ , as shown in Fig. S23. These observations suggest that the competition between  $\text{O}_2$  activation and OH desorption might be the key factor to determine the ORR activity. According to our previous work, all nonbonding d orbitals ( $d_{xz}$ ,  $d_{yz}$ ,  $d_{x^2-y^2}$ , and  $d_{xy}$ ) are potentially capable of bonding with  $\text{O}_2$  and OH.<sup>45</sup> This hypothesis has also been validated by Liu *et al.* and He *et al.* in various SACs for electrochemical ORR catalysis.<sup>25,58</sup> Therefore, a linear scaling relation between the occupancy of non-bonding d orbitals and  $U_L$  was established with  $R^2$  values of 0.69 and

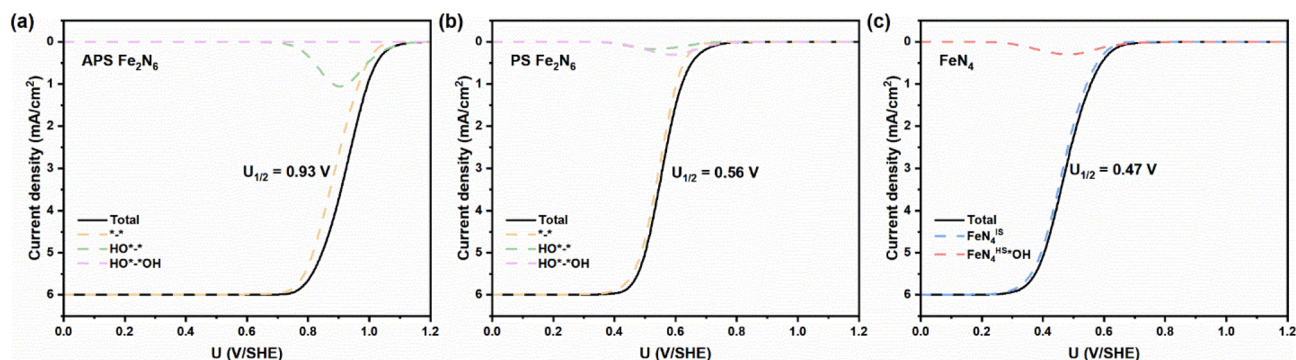


Fig. 5 Simulated ORR polarization current density in (a) APS- $\text{Fe}_2\text{N}_6$ , (b) PS- $\text{Fe}_2\text{N}_6$  and (c)  $\text{FeN}_4$ . The onset potential is 1.04 V, 0.67 V, and 0.61 V, respectively.





**Table 1** Summary of the ORR activity of different pathways and Mulliken charges of active sites in the DAC and SAC. The data of FeN<sub>4</sub> SAC are adopted from our previous work and supplementary calculations<sup>45</sup>

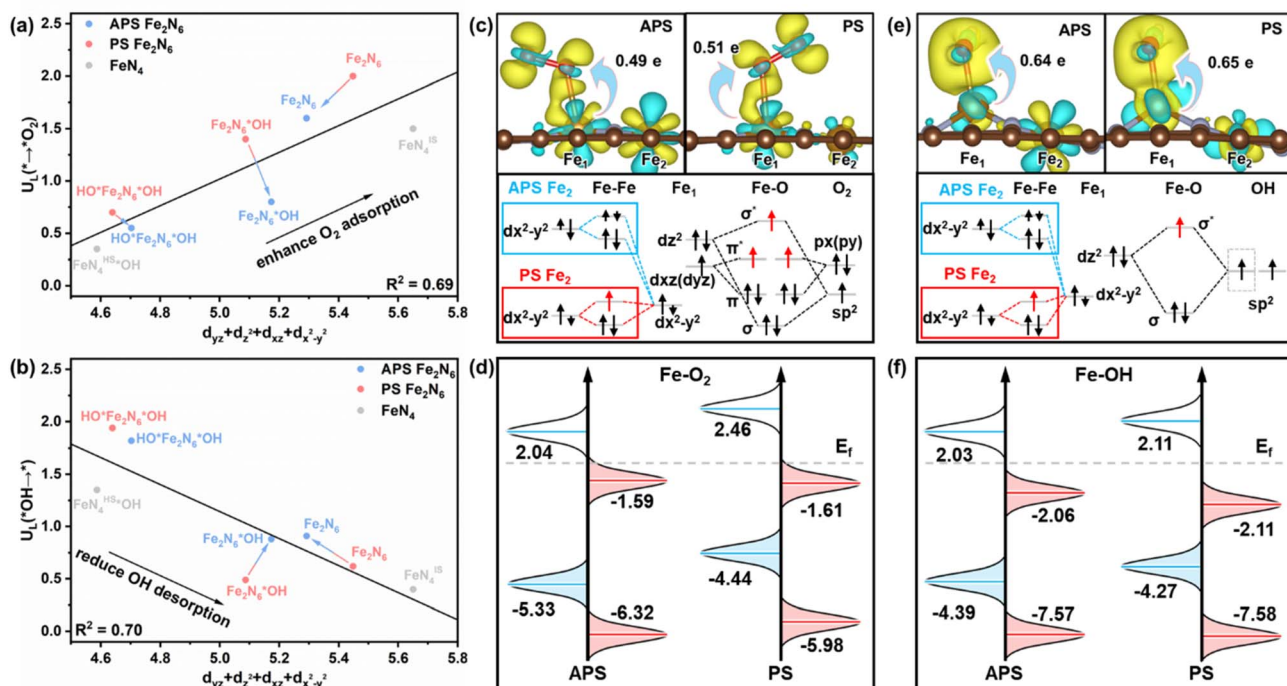
| Active site   | APS                       |                      |                    | PS               |                      |                    |
|---|---------------------------|----------------------|--------------------|------------------|----------------------|--------------------|
|   | Limiting step             | $U_L$<br>(V vs. SHE) | Mulliken<br>charge | Limiting<br>step | $U_L$<br>(V vs. SHE) | Mulliken<br>charge |
| Fe <sub>2</sub> N <sub>6</sub> /*- (end-on O <sub>2</sub> adsorption)     | OOH formation             | 0.76                 | 1.36               | OH desorption    | 0.62                 | 1.37               |
| Fe <sub>2</sub> N <sub>6</sub> /HO*- (end-on O <sub>2</sub> adsorption)   | O <sub>2</sub> adsorption | 0.80                 | 1.57               | OH desorption    | 0.48                 | 1.56               |
| Fe <sub>2</sub> N <sub>6</sub> /HO*-OH (end-on O <sub>2</sub> adsorption) | OOH formation             | 0.44                 | 1.78               | OOH formation    | 0.35                 | 1.83               |
| IS/FeN <sub>4</sub> *   | OH desorption             | 0.40                 | 1.32               |                  |                      |                    |
| HS/FeN <sub>4</sub> *OH   | OOH formation             | 0.19                 | 1.90               |                  |                      |                    |

0.70, as shown in Fig. 6a and b. Without the consideration of APS-PS transition, the overall trend shows that the increase of d orbital occupancy monotonically increases the  $U_L$  of O<sub>2</sub> activation (Fig. 6a) and decreases the  $U_L$  of \*OH desorption (Fig. 6b). This correlation clearly demonstrates that the ORR mechanism in FeN<sub>4</sub>, Fe<sub>2</sub>N<sub>6</sub>, Fe<sub>2</sub>N<sub>6</sub>\*OH, Fe<sub>2</sub>N<sub>6</sub>(OH)<sub>2</sub> and FeN<sub>4</sub>OH is determined by the competition between O<sub>2</sub> activation and \*OH desorption steps, which are monotonically correlated with the d orbital occupancy of the Fe center. However, it still fails to explain the switch of the ORR mechanism by APS-PS transition in Fe<sub>2</sub>N<sub>6</sub> since they show conflicting trends in Fe<sub>2</sub>N<sub>6</sub> and Fe<sub>2</sub>N<sub>6</sub>\*OH.

### Exchange-splitting-dominated spin-dependent ORR mechanism

Since conventional descriptors (charge and d orbital occupancy) cannot explain the switchable ORR mechanism by APS-PS

transition, one must rethink the role of the spin coupling effect in electrocatalysis. We analyzed the bonding modes and charge transfer characteristics of adsorbed O<sub>2</sub> and OH, as shown in Fig. 6c and e. We found that both the charge transfer and Fe-O bonding configurations are essentially identical in the APS and PS states, with electrons occupying the Fe-O antibonding orbitals. The key distinction lies in the spin coupling between neighboring Fe sites, which alters the coupling behavior of the d<sub>x<sup>2</sup>-y<sup>2</sup></sub> orbitals: APS coupling reduces the spin, while PS enhances the spin. According to our hypothesis, the spin-polarized bond of catalyst-adsorbate can be effectively tuned by the spin coupling from the neighboring magnetic site or external field, leading to the change of bonding strength (Fig. 1). To validate this point, we calculated the energy of bonding and antibonding orbitals of the Fe-O bond in APS- and PS-Fe<sub>2</sub>N<sub>6</sub> with OH and O<sub>2</sub> adsorption *via* COHP analysis, as shown in Fig. 6d and f. It can be clearly found that APS-PS transition



**Fig. 6** Correlation between the sum of the four d orbitals' occupancy ( $d_{yz}$ ,  $d_{z^2}$ ,  $d_{xz}$  and  $d_{x^2-y^2}$ ) and the (a) limiting potential of O<sub>2</sub> adsorption and (b) limiting potential of OH desorption. (c) Charge transfer diagram of Fe<sub>2</sub>N<sub>6</sub>\*O<sub>2</sub> and Fe-O bond orbitals. (d) Bonding and antibonding centers of Fe-O in Fe<sub>2</sub>N<sub>6</sub>\*O<sub>2</sub>. (e) Charge transfer diagram of Fe<sub>2</sub>N<sub>6</sub>\*OH and Fe-O bond orbitals. (f) Bonding and antibonding centers of Fe-O in Fe<sub>2</sub>N<sub>6</sub>\*OH.





pushes up the energy of unfilled spin-down  $\sigma^*(\text{Fe-O})$  (from 2.04 eV to 2.46 eV) and pushes down the spin-up  $\sigma^*(\text{Fe-O})$  (−1.59 eV to −1.61 eV) in  $\text{Fe}_2\text{N}_6^*\text{O}_2$  (Fig. 6d). Similar trends can also be found in  $\text{Fe}_2\text{N}_6^*\text{OH}$ , as shown in Fig. 6f. This can be explained by the influence of spin exchange splitting, as widely reported in spintronic materials.<sup>59,60</sup>

With the upshift of energy of the unfilled spin-down  $\sigma^*(\text{Fe-O})$  orbital ( $E_{\sigma^*}^{\text{down}}$ ), the electron filling should become more difficult, stabilizing the adsorbate on the catalyst and strengthening the Fe–O bond. To validate this explanation, we summarized the energy of the spin-down  $\sigma^*(\text{Fe-O})$  orbital and the limiting potential ( $\text{O}_2$  activation and OH desorption steps) in Fig. 7. As shown in Fig. 7a, the energy of spin-down  $\sigma^*(\text{Fe-O})$  was pushed up when the spin coupling mode switches from APS to PS, which is consistent with our proposed mechanism in Fig. 1. At the same time, as shown in Fig. 7b, the  $\text{O}_2$  activation potential increases, while the OH desorption potential decreases after APS–PS transition, indicating the enhanced binding of  $\text{O}_2$  and OH on the Fe site. These results provide convincing evidence to show that the exchange splitting determines the strength of the Fe–O bond and ORR mechanism in  $\text{Fe}_2\text{N}_6$  DAC. This also explains the change of limiting steps from  $\text{O}_2$  activation to OH desorption through APS–PS transition in Fig. 6 and Table 1. Similarly, for other ORR intermediates, such as  $^*\text{O}$  and  $^*\text{OOH}$ , the Fe–O bond also has similar electron occupation to  $\text{Fe}^*\text{OH}$  where the spin electron only fills the spin-up component of the antibonding orbital, as shown in Fig. S24a. The energy of the spin-down Fe–O antibonding orbital is shifted up by APS–PS transition, leading to enhanced adsorption of  $^*\text{O}$  and  $^*\text{OOH}$  (Fig. S24b and c).

To validate the extendibility of this mechanism, we further calculate the ORR mechanism of isomerized  $\text{Fe}_2\text{N}_6$  (consisting of two  $\text{FeN}_3$  moieties, denoted as  $(\text{FeN}_3)_2$ ) and bi-metallic  $\text{MFeN}_6$  DAC. As shown in Fig. S25 and S26, the ORR occurs on the bridge site of  $(\text{FeN}_3)_2$  and is dominated by the path of  $(\text{FeN}_3)_2^*\text{OH}$  (Fig. S26f) instead of bare  $(\text{FeN}_3)_2$  (Fig. S26c). Due to the Fe–O–Fe bond formation (breaking) at the OH desorption ( $\text{O}_2$  activation) step accompanied by Fe flip (Fig. S26f), the ORR mechanism is not changed by APS–PS transition although the

limiting potential decreases from 0.55 V to 0.45 V. The complex ORR mechanism that associates synergic steps on dual sites make the contribution of spin coupling undistinguishable. To separately validate the crucial role of the spin coupling effect, we studied the ORR mechanism on the bi-metallic  $\text{MFeN}_6$  DACs, which possess the same geometry and ORR path. As shown in Fig. 8, by flipping the spin of element M ( $\text{M} = \text{Mn}, \text{Co}, \text{Ni}$ ), we calculated the potential of  $\text{O}_2$  activation and OH desorption on the Fe site. It shows that switching APS to PS leads to the increase of  $U_L(\text{O}_2)$  and decrease of  $U_L(\text{OH desorption})$ , which are in good agreement with our proposed mechanism. These results suggest that flipping the spin of adjacent atoms is an effective and controllable strategy to alter the catalytic activity of DACs or similar magnetic catalysts.

### Implication of our work for experiments and theories

Our work reveals how spin coupling modulates the ORR mechanism and activity of DAC, which differs from the conventional strategy of directly tuning the spin state of active sites. From an experimental perspective, although controlling the spin alignment of DACs is still challenging at the current stage, we still found some experimental clues to support the feasibility of this strategy. Gao *et al.* in 2017 reported the interatomic spin coupling of Mn clusters supported on graphene with APS-type spin alignment and a controllable spin state by the external magnetic field, which is comparable to the  $\text{Fe}_2\text{N}_6$  DAC.<sup>61</sup> In addition, the PS/APS configuration is similar to ferro-antiferromagnetic coupling, which can be switching by adjusting the spin exchange energy. Xu *et al.* applied continuous tensile strain to two-dimensional materials and achieved a reversible strain-induced antiferromagnetic–ferromagnetic phase transition, showing the potential feasibility of strain engineering in tuning the spin configuration of electrocatalysts.<sup>62</sup> A simple validation was also done in our study (Fig. S27), which shows that APS–PS transition of  $\text{Fe}_2\text{N}_6$  can be achieved at a biaxial tensile strain over 4%. However, this spin state manipulation by strain engineering in  $\text{Fe}_2\text{N}_6$  for enhanced ORR activity needs further experimental effort due to the challenge of searching for an appropriate substrate.

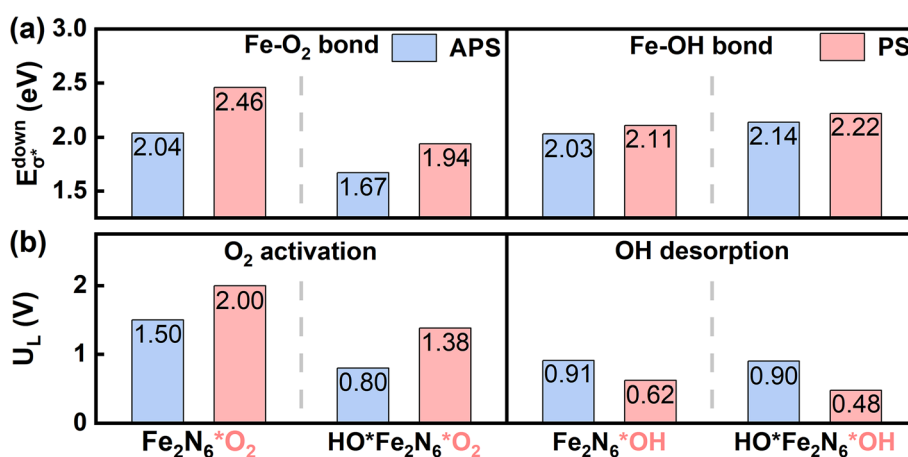


Fig. 7 (a) Energy shift of the spin-down  $\sigma^*(\text{Fe-O})$  orbital and (b) change of the limiting potential in  $\text{O}_2$  activation and OH desorption steps.



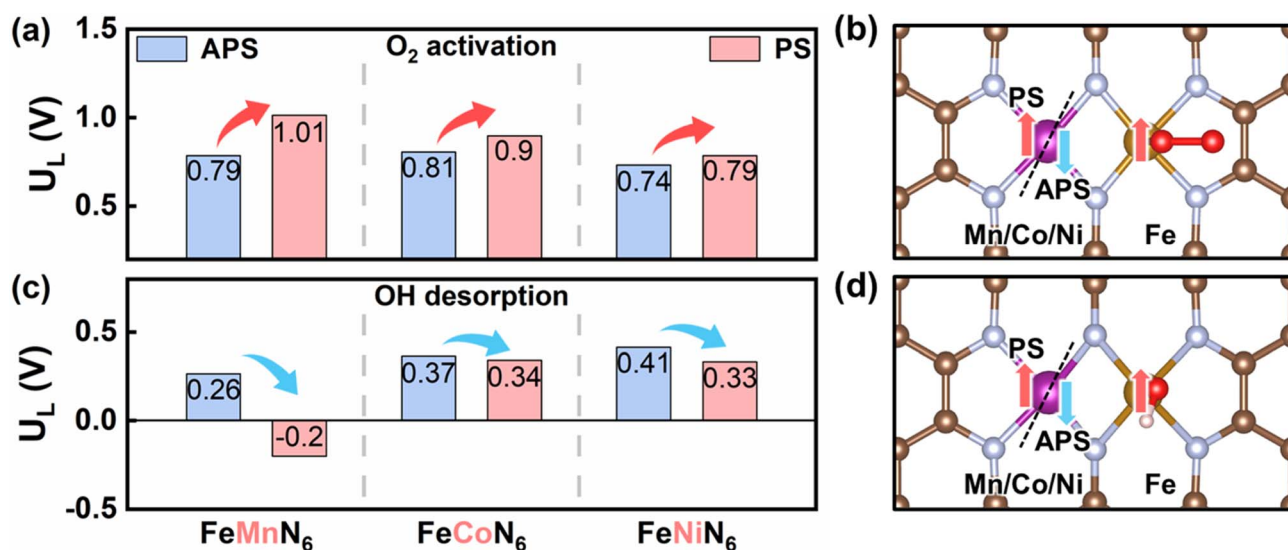


Fig. 8 (a)  $U_L$  of  $O_2$  activation steps and (b) structure of bi-metallic  $FeMn_6*O_2$ . (c)  $U_L$  of OH desorption steps and (d) structure of bi-metallic  $MFeN_6*OH$ .

From the theoretical perspective, our study clearly shows the significance of tuning the intermetallic spin coupling mode in DACs. In addition to the metal-metal spin coupling, a recent study has indicated that the spin coupling between Fe and triplet  $O_2$  also significantly influences the ORR mechanism on Fe-N-C SACs.<sup>63</sup> This finding enriches the physical picture and definition of the spin coupling effect in electrocatalysis, underlining the significance of spin configuration in the first-principles electrochemical simulation. Deciphering the spin coupling effects, including both site-site and site-adsorbate spin coupling, is a potentially practical way to elucidate the mechanism of magnetic-field-assisted electrocatalysis. We believe our study can be further applied to understand how an external field influences the magnetic domain and enhances the activity, as experimentally observed by Wu *et al.*<sup>64</sup> Moreover, considering the tremendous possible configurations of M-N-C DACs,<sup>65</sup> we believe that most of these DACs with a suitable M-M distance that enables spin exchange splitting should have the tunable activity/mechanism *via* tuning the spin alignment. We hope our study can bring attention to the spin coupling effect and its potential application in electrocatalysis.

## Summary and conclusion

In this study, we proposed a general mechanism to explore how spin coupling alters the catalytic mechanism *via* exchange splitting of the catalyst-adsorbate bond. Taking the ORR in the  $Fe_2N_6$  DAC as an example, we validated that the APS-PS transition significantly determines the ORR mechanism and activity, while conventional electronic descriptors cannot explain this phenomenon. This spin-dominated mechanism transition is determined by the exchange splitting of the  $\sigma^*(Fe-O)$  orbital, which is significantly controlled by spin alignment. The enhanced exchange splitting in the PS state leads to stronger Fe-O bonding and worse ORR activity due to the over-

binding of OH, while weakened exchange splitting in the APS state alters the Fe-O bond to a suitable degree, leading to promising ORR activity. We also validate this mechanism in other bi-metallic DACs, including  $MnFeN_6$ ,  $CoFeN_6$  and  $NiFeN_6$ . These DACs consistently show enhanced OH and  $O_2$  adsorption after APS-PS transition, thereby validating the extendibility of our mechanism. Thus, our work provides a general theory to guide the theoretical design of spin electrocatalysts by tuning the spin exchange splitting and spin alignment.

## Author contributions

C. Z. designed the project. M. Y. performed the simulation, data analysis, and manuscript writing. M. Y., E. K. and C. Z. participated in the discussion and manuscript revision.

## Conflicts of interest

There are no conflicts to declare.

## Data availability

The authors confirm that the data supporting the findings of this study are available within the article and its SI. The code and input parameters of this simulation work are available on request from the authors.

Supporting Information: Computational method; functional test, projected density of states, COHP analysis, reaction path diagrams, and constant-potential free energy diagrams; bonding orbital energy level analysis, biaxial strain test, and fit parameters; charge density analysis; 3d orbital occupation parameters. See DOI: <https://doi.org/10.1039/d5sc04842g>.

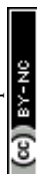


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