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# *A priori* Design of [Mn(I)-Cinchona] catalyst for Asymmetric Hydrogenation of Ketones and $\beta$ -Keto carbonyl Derivatives<sup>†</sup>

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Herein, we report an efficient [Mn(cinchona)] chiral catalytic system for the asymmetric hydrogenation of ketones, demonstrating a broad substrate scope and high chemoselectivity. The catalyst selectively reduces ketones, while leaving other reducible functional groups, such as olefins, alkynes, nitriles, nitro groups, and esters, unaffected. The solid-state structure of [Mn(cinchona)] complex revealed that the cinchona ligand acts as bi-dentate ligand, providing valuable insights into its coordination environment and reaction mechanism. DFT calculations suggest that hydrogen molecule activation assisted by water molecules occurs *via* a six-membered transition state, with enantioselectivity driven by preferential hydride transfer to the *si* face of the prochiral substrate, resulting in the *R* configuration. This robust catalytic system shows potential for expansion to the reduction of  $\beta$ -ketoesters and  $\gamma$ -ketoamines and is expected to contribute to further advancements in asymmetric hydrogenation.

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## Introduction

Asymmetric hydrogenation (AH)<sup>1</sup> has expanded rapidly since its early developments reported by Knowles<sup>2</sup> and Horner.<sup>3</sup> The enantioselective reduction of prochiral molecules by Knowles and Noyori garnered global attention in the form of Nobel Prize in 2001.<sup>4</sup> Consequently, the demand for transition metal catalysts for asymmetric synthesis has been steadily increasing both in academia and industry. While efficient catalysts were developed that employ noble metals,<sup>5</sup> the associated toxicity of such metals and trace impurity retained in pharmaceuticals obtained through catalytic hydrogenation remains a cause of concern.<sup>6</sup> Therefore, the efforts to replace noble metals with earth-abundant, non-toxic base metals for asymmetric hydrogenation have received considerable attention in the last decade.<sup>7</sup> In this regard, AH of prochiral compounds to value added chiral target molecules by using chiral iron<sup>8</sup> and cobalt<sup>9</sup> catalysts is worth exploring. It is equally significant to note that privileged PNP/PNN ligands coupled with noble metals have also been widely employed as hydrogenation and dehydrogenation catalysts.<sup>10</sup> The recent success in the use of such achiral pincer ligands in conjunction with manganese as the transition metal

for hydrogenation reactions<sup>11</sup> has stimulated further developments toward the chiral versions of manganese-pincer catalysts. Inspired by these reports, research groups of Kirchner,<sup>12</sup> Clarke,<sup>13</sup> Beller,<sup>14</sup> and Morris<sup>15</sup> have succeeded in realizing enantioselective hydrogenation of ketones based on Mn(I)-PNN or Mn(I)-PNP type catalytic systems. A closer look at these catalytic systems suggests that the chiral amine core based on ferrocene or privileged pincer PNP (chiral phosphine backbone) ligand is necessary to achieve high level enantioinduction.<sup>16</sup> More recently, Ding,<sup>17</sup> Liu<sup>16a,e</sup> and Clarke<sup>13d</sup> have reported much improved catalytic systems based on the PNP or PNN ligands for efficient asymmetric hydrogenation of ketones. The replacement of the phosphine-based Lewis base with bi-dentate or tri-dentate chiral nitrogen-based donor ligands has also been attempted recently through transfer hydrogenation,<sup>19</sup> albeit with limited success with enantioselectivities in the moderate-to-good range.<sup>12,18,19</sup>

Given these backgrounds, we envisioned the use of a non-phosphine based chiral bi- or tri-dentate ligands<sup>19</sup> belonging to the cinchona family of alkaloids so as to satisfy the coordination environment as well as the metal-ligand cooperativity in chiral Mn(I) catalysis. The long-term goal of this study is to replace the expensive chiral phosphine ligands with more affordable chiral amine based ligands that are likely to reduce the cost of production of chiral drugs and materials.<sup>20</sup> Herein, we present an efficient Mn(I)cinchona alkaloid catalyst featuring *N,N*-donor site<sup>21</sup> for highly enantioselective hydrogenation of ketones,  $\beta$ -ketoesters and  $\gamma$ -amino ketones into their chiral secondary alcohols with yields up to 99% using molecular hydrogen as sole reductant.

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## Results and discussion

We began our work by isolating a series of cinchona-based chiral bi-dentate and tri-dentate ligands from the quinine, quinidine, and cinchonidine-based primary amines in accordance with the literature precedence.<sup>21a,b</sup> As shown in Table 1, acetophenone (**1a**) was used as the model substrate, and MnBr(CO)<sub>5</sub> was employed as the precatalyst in combination with cinchona-based chiral ligands (**L1–L14**). The reaction was conducted in a 4:1 water-ethanol mixture (for detailed solvent screening, see the supporting information†), which was identified as the optimal solvent, under 60 bar hydrogen pressure at 40 °C. A preliminary screening of cinchona-based bi-dentate ligands (**L1–L4**), each incorporating two Lewis basic sites (quinuclidine and amine/amide functionalities), under standard conditions, did not result in the formation of the desired hydrogenation product (**2a**). Subsequent screening of other chiral tri-dentate ligands (**L5–L9**) led to complete conversion in

Table 1 Optimization studies and control experiments<sup>a,c</sup>

Reaction scheme showing the asymmetric hydrogenation of acetophenone (**1a**) to 1-phenylethanol (**2a**) using MnBr(CO)<sub>5</sub> (5 mol%), ligand **L** (6 mol%), Na<sub>2</sub>CO<sub>3</sub> (10 mol%), H<sub>2</sub> (60 bar), EtOH:H<sub>2</sub>O (4:1), 40 °C, 24 h.

Chemical structures of ligands **L1–L14** are shown below the reaction scheme, along with their respective yields and enantiomeric excesses (ee).

**L1**, n.r.      **L2**, n.r. (R = H); **L3**, n.r. (R = iPr); **L4**, n.r.

**L5** (X = H), 99%, 85% e.e.; **L6** (X = Br), 99% (95), 92% e.e.; **L7** (X = Me), 99%, 88% e.e.

**L8** (X = Br) 99%, 85% e.e.; **L9** (X = Cl) 99%, 87% e.e.

**L10** (X = C), 10%, n.d.; **L11** (X = S=O), n.r.

**L12**, 65%, 45% e.e.; **L13** (X = Br), 99%, 88% e.e.; **L14**, 85%, 90% e.e.

Entry	Change in conditions	Yield of <b>2a</b> (%) <sup>b</sup>	ee
1	None	99	92
2	Without <b>L</b>	n.r.	n.d.
3	Without <b>M</b>	n.r.	n.d.
4	MeOH instead of H <sub>2</sub> O : EtOH	25	83.5
5	H <sub>2</sub> O instead of H <sub>2</sub> O : EtOH	99	85

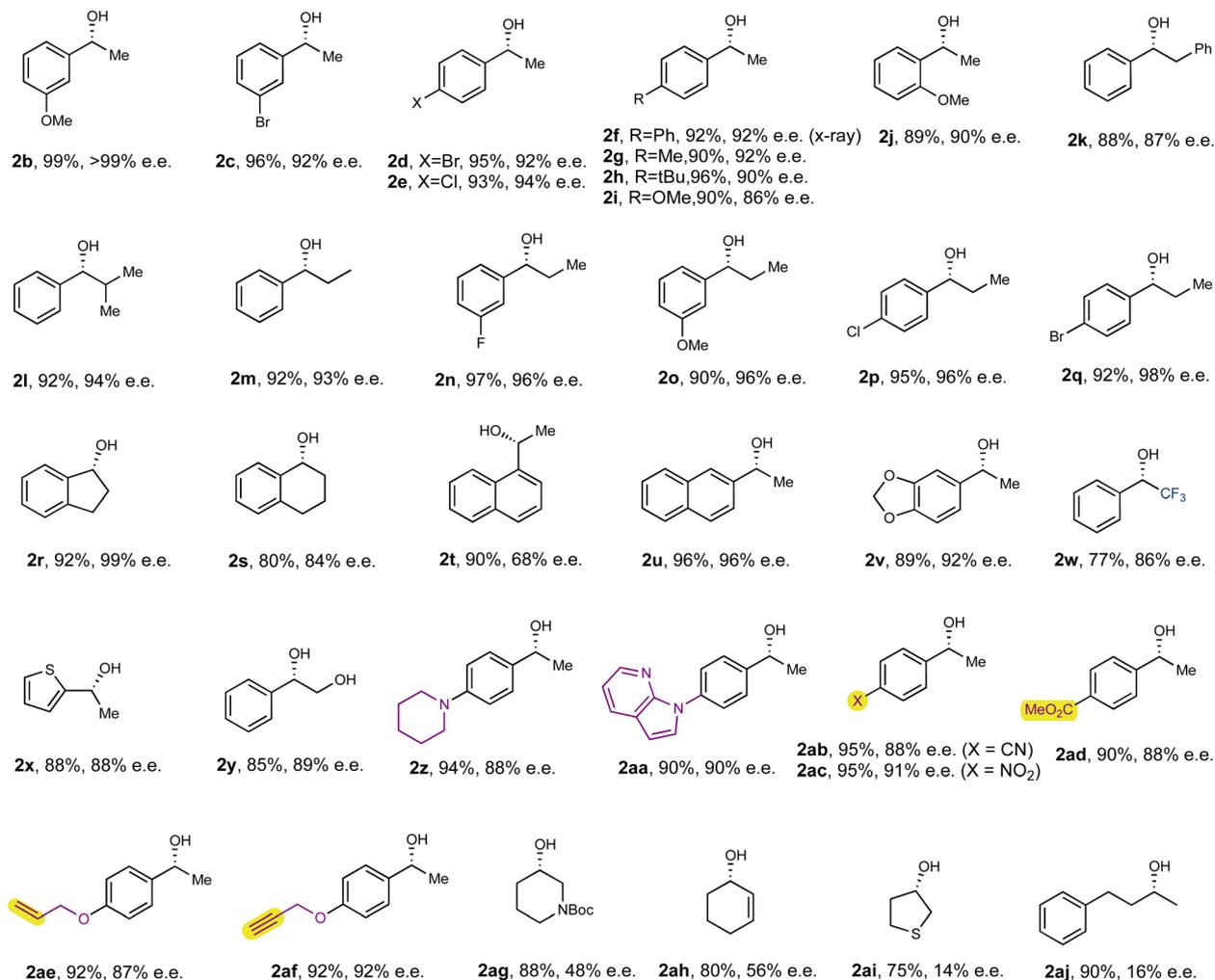
<sup>a</sup> Unless otherwise stated, all reactions were carried out under 60 bar hydrogen pressure using **1a** (0.4 mmol), MnBr(CO)<sub>5</sub> (5 mol%), **L** (6 mol%) and Na<sub>2</sub>CO<sub>3</sub> (10 mol%) in a H<sub>2</sub>O : EtOH mixture (4 : 1, 0.2 M) at 40 °C for 24 h. <sup>b</sup> Yields are determined through <sup>1</sup>H NMR using anisole as the internal standard. <sup>c</sup> Number in parentheses is the isolated yield.

all the cases, with a maximum ee of 92% with **L6** (entry 1). A change from five-membered to six-membered ring upon coordination to metal as in the case of **L10/L11** provided significantly low conversion, suggesting that the rigid five-membered coordination might be necessary to achieve the desired results. Surprisingly, the replacement of picolinamide ligand **L5** with picolyl amine **L12** provided the secondary alcohol **2a** in 65% yield with 45% ee. Change of chiral source from quinine-based picolinamide (**L6**) to cinchonidine-based picolinamide (**L13**) did not alter much in terms of conversion, however, the former provided slightly higher ee over the latter. Finally, picolinamide with the opposite stereoisomer was examined. As expected, ligand **L14** (opposite stereoisomer of **L5**) derived from quinidine provided the secondary alcohol with opposite stereoselectivity with 90% ee. The absolute stereochemistry of both the isomers is confirmed with the enantiopure compound **2a** obtained from the commercial sources indicating that **L5** provided (*R*)-**2a** and **L14** gave (*S*)-**2a**. Furthermore, a comprehensive solvent and base screening and control experiments were carried out (entries 2-5, see the supporting information† for more details). The analysis of the results suggests that 10 mol% of sodium carbonate, 6 mol% ligand (**L6**), 5 mol% of Mn(i) precatalyst under 60 bar hydrogen pressure in water-ethanol (4:1, 0.2M) solvent mixture provide the optimum reaction conditions for asymmetric hydrogenation using molecular hydrogen as sole reductant.

With the optimized reaction conditions in hand, the scope of ketones was explored (Scheme 1). Substrates with both electron-donating groups (OMe-, Me-, <sup>t</sup>Bu-) and electron-withdrawing substituents (Br, Cl, Ph) at the 2-, 3-, and 4-positions on the aryl group were hydrogenated with excellent yields and ees (**2b–2k**, 86–>99% ee). The absolute configuration of **2f** is further confirmed by X-ray.<sup>23</sup> Change of the methyl group in **1a** with ethyl, isopropyl did not much alter the outcome of the reaction (**2l–2m**, 92% yield, 95–96% ee) suggesting that steric hindrance at this position may not affect the selectivity. By keeping the ethyl group intact in **1m**, further varying electronic parameters of the arene ring did enhance the ee up to 98% with excellent isolated yields of the corresponding secondary alcohols (**2n–2q**). Even indanone and tetralone underwent smooth reduction with excellent yield of the secondary alcohol although drop in ee was observed in the latter case (**2r–2s**). 1-acetyl and 2-acetyl naphthalene were examined under the optimized conditions to examine the influence of the *peri*-hydrogen in the former case for asymmetric hydrogenation. As expected, both **1t** and **1u** provided the excellent yields of the corresponding secondary alcohols (**2t–2u**) with 68% and 96% ees respectively.

Further extension of our catalytic asymmetric hydrogenation to acetyl benzodioxole provided the corresponding alcohol in 94% ee with 89% isolated yield (**2v**). To probe the potential influence of a trifluoro group next to the carbonyl carbon, we tested 2,2,2-trifluoro-1-phenylethane-1-one (**1w**) under the optimized conditions, which resulted in 86% ee and an isolated yield of 77%. Heterocycles such as 2-thiophene (**1x**) did neither hamper the catalytic activity nor ee significantly under the reductive conditions (88% yield, 88% ee). Interestingly, a useful functional group such as a hydroxyl at the alpha-position to the carbonyl group afforded chiral 1,2-diol **2y** in 82% yield and 92%





**Scheme 1** Scope of Ketones. Unless otherwise stated, all reactions were carried out under 60 bar hydrogen pressure using **1a** (0.4 mmol), MnBr(CO)<sub>5</sub> (5 mol%), **L6** (6 mol%) and Na<sub>2</sub>CO<sub>3</sub> (10 mol%) in H<sub>2</sub>O:EtOH mixture (4:1, 0.2 M) at 40°C for 24 h.

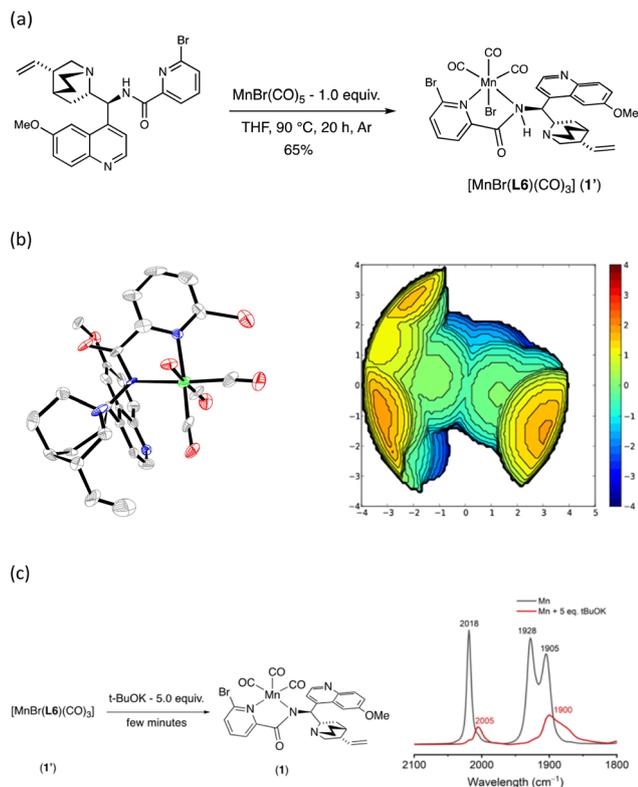
ee. This protocol is not only enantioselective but also chemoselective, leaving other sensitive yet useful functional groups such as amine, cyano, nitro, esters, alkenes, and alkynes unaffected and afforded the secondary alcohols (**2z** and, **2aa–2af**) in excellent yields (87–92%) with enantiomeric excess (ee's) between 90% and 99%. However, sterically unbiased substrates such as the aliphatic ketones did not give fruitful catalytic outcome and the provide poor-to-moderate ee's (**2ag–2aj**). Such chemoselectivity had not been observed previously, since [Mn-PNN] complexes are typically known to reduce both ester and nitrile groups, as shown in earlier work by Clarke and colleagues.<sup>13a,16b</sup> Finally, we examined the substrate/catalyst ratio and found that secondary alcohol **2u** was obtained in 70% yield with 97% ee at a substrate-to-catalyst ratio of 2000, yielding a turnover number (TON) of 1400. Further optimization to reduce the catalyst loading and maximize its potential by heterogenizing the catalyst is currently underway.

We next turned our attention to examine the Lewis basic site of the ligand derived from cinchona alkaloid to determine whether it acts as a bi-dentate or tri-dentate ligand. Although, cinchona-based chiral picolinamide ligands were earlier

coupled with copper<sup>21</sup> for radical coupling reactions, iridium<sup>22a–d</sup> and ruthenium for asymmetric hydrogenation,<sup>22e</sup> the corresponding isolated complexes with cinchona ligand or its donor site is not readily known. While the hypothesized tri-dentate binding mode of cinchona ligands with transition metals is commonly proposed in asymmetric catalysis, we believe it is important to investigate these ligands binding mode with manganese in greater detail to have a better understanding of the *in situ*-generated catalyst.<sup>21,22a–c</sup> Therefore, it remains unclear at this stage whether **L6** functions as a bi-dentate or tri-dentate ligand when it binds to manganese.

To investigate the coordination environment of manganese upon binding to **L6**, we focused on isolating the *in situ*-generated [Mncinchona] complex. After several attempts, the optimum conditions were established: combining **L6** with MnBr(CO)<sub>5</sub> in THF in a sealed tube at 90°C for 20 h resulted in the formation of a yellow precipitate (Scheme 2a). The solvent was evaporated, and the precipitate was then washed with diethyl ether, resulting in the isolation of the expected Mn-cinchona complex (**1'**) as yellow powder with the yield of 65%. The diffraction of the grown single crystal<sup>23</sup> suggested





**Scheme 2** (a) Synthesis of  $[\text{Mn}(\text{i})\text{Br}(\text{L6})(\text{CO})_3]$  (**1'**). (b) Solid-state structure of complex **1'** (hydrogen omitted for clarity) and steric map of the isolated Mn(i)cinchona complex. Total %  $V_{\text{bur}}$  by the ligand is 43.5%. %  $V_{\text{bur}}$  by each quadrant are 44.7% (SW), 55.1% (NW), 25.4% (NE), 48.9% (SE). (c) IR spectra of active  $[\text{Mn}]$  complex **1'** (black) and the *in situ*-generated imido complex **1** (red) recorded in THF at 25 °C.

a distorted octahedral geometry of the  $[\text{Mn}(\text{i})\text{cinchona}]$  complex  $[\text{Mn}(\text{i})(\text{L6})\text{Br}(\text{CO})_3]$  (**1'**) (Scheme 2b). A closer look at the structure of the complex indicates that it is in neutral form and adopts an octahedral geometry. In complex **1'**, the central metal is coordinated to the picolinamide (Py-CO-NHR) group of **L6** in a bi-dentate mode, with three CO ligands arranged in a facial configuration. The bromide ion occupies the axial position. To gain a better understanding into the steric attributes of the isolated active  $[\text{Mn}]$  complex (**1'**), a three-dimensional steric effect of the ligand was quantitatively analyzed using the steric maps produced by SambVca 2.1 tool by calculating the %  $V_{\text{bur}}$  in total and four different regions around the metal center (Scheme 2b).<sup>24</sup> Looking along the Z-axis, the calculation provides more information on how the ligand adapts its shape to the metal environment and the %  $V_{\text{bur}}$  in total (43.5%). A careful examination of the quadrants revealed that the isolated pre-catalyst incredibly buried volumes in three quadrants: NW (55.1%), SW (44.7%), and SE (48.9%), indicating that these are sterically crowded, except for the NE quadrant (25.4%), where the Br-bound to metal site is relatively unhindered. It is likely that the substrate approaches through the less-hindered quadrant (NE) as the preferred direction of approach to the transition metal site. Further confirmation of the new  $[\text{Mn}(\text{i})\text{Br}(\text{L6})(\text{CO})_3]$  (**1'**) complex was characterized through

spectroscopic techniques including NMR, IR and mass spectrometric characterization. Through IR spectra, the characteristic peak of the three carbonyl stretching frequencies appeared at 1905, 1928 and 2018  $\text{cm}^{-1}$  confirmed the nature of the pi-acceptor CO ligands bound to the manganese. Subsequently, treatment of the isolated  $[\text{Mn}-\text{L6}]$  complex **1'** with 5 equivalents of *tert*-butoxide in THF at room temperature (25 °C) led to the formation of the active imido complex **1** within a few minutes. This was confirmed by a shift in the characteristic CO stretching frequencies, indicating the successful formation of the imido complex (Scheme 2c).

After confirming the structure of the precatalysts and identifying the donor sites from the cinchona ligand **L6**, we were motivated to investigate the scope of other chelate assisted ketone derivatives, as only two coordination sites of the  $[\text{Mn}(\text{i})\text{cinchona}]$  complex are occupied by the ligand. This provided an opportunity to explore a range of functionalized ketone derivatives. Here, the motivation is to exploit the third donor site for substrate binding, which could as well be considered as a mimic of the  $[\text{Mn}(\text{i})\text{cinchona}]$  pincer catalysts. In this regard, the efficiency of the  $[\text{Mn}(\text{i})\text{cinchona}]$  catalytic system was explored for asymmetric hydrogenation of  $\beta$ -keto ester and  $\gamma$ -amino ketones under our optimized conditions (Scheme 4). It is worth considering that chiral  $\beta$ -hydroxy esters and  $\gamma$ -hydroxy amines are versatile synthons for natural product synthesis and other biologically active compounds.<sup>20b</sup>

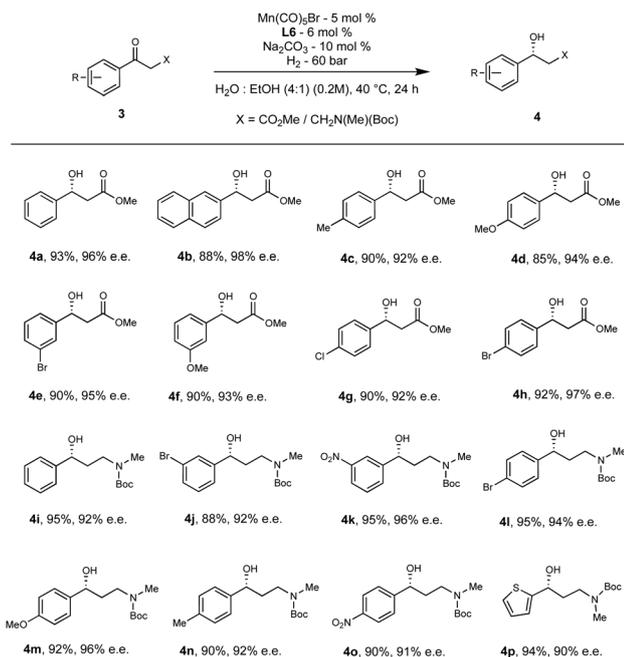
Under the optimized conditions as used for ketones, the model substrate, methyl 3-oxo-3-phenylpropanoate **3a** was subjected to asymmetric hydrogenation that resulted in producing the corresponding  $\beta$ -hydroxy ester **4a** in 93% yield and 96% ee (Scheme 3). The electronic properties and substitution pattern of the arene ring demonstrate negligible impact on the reactivity and enantioselectivity (**4b–4h**, 85–93% yield, 92–98% ee). Subsequently, we questioned whether the same protocol can also be extended to the AH of  $\gamma$ -aminoketones into  $\gamma$ -amino alcohols. As evident from literature, the chiral  $\gamma$ -amino alcohols **4i** are widely employed in asymmetric catalysis as they can act as chiral ligands, and also can serve as a key intermediate for many drugs such as (*S*)-duloxetine, (*R*)-fluoxetine, and (*R*)-atomoxetine.<sup>20b</sup>

Brief screening of the ligands and solvent revealed that **L6** is still the best ligand and water is used as exclusive solvent to achieve  $\gamma$ -amino alcohols **4i** in 95% yield and 92% ee (Scheme 3). Various electron-withdrawing groups and electron-releasing groups at the *meta*- and *para*- positions were tolerated and are also available for further coupling reactions. The reduced  $\gamma$ -amino alcohols **4j–4o** were isolated in excellent yields (88–95%) with ees ranging from 90 to 96%. We further demonstrate the utility of this methodology for the analogous intermediate of (*R*)-duloxetine (**4p**) in 94% yield with 90% ee. The absolute configuration of **4p** is further confirmed by X-rays.<sup>23</sup>

## Computational details

We have considered 4-acetylbiphenyl **2f** as a representative ketone (as shown in Scheme 2) and **L6** as the chiral ligand in our computational study aimed at investigating the mechanism and





**Scheme 3** Scope of  $\beta$ -keto esters and  $\beta$ -amino ketones.<sup>2a,25</sup> Reaction condition for  $\gamma$ -amino ketones remains the same except water used as exclusive solvent.

origin of enantioselectivity of the catalytic asymmetric hydrogenation reaction.<sup>25</sup> The use of a polar protic solvent, such as water, in this reaction prompted us to consider explicit interaction between water and the catalyst as well as the substrates.<sup>26</sup> It has been known that continuum solvation models are inadequate in capturing specific interactions between the solvent and solute.<sup>27</sup>

We employ the SMD<sub>(water)</sub>/UB3LYP-D3/6-31G\*\*<sub>SDD</sub>(Mn,Br) level of theory<sup>28</sup> to investigate several important aspects of this reaction, such as a) the identification of the most likely catalytic pathway on the basis of computed Gibbs free energies as well as by using the energetic span, b) the identification of the turnover determining and the selectivity determining steps, c) probing the origin of enantioselectivity in the formation of the chiral alcohol as the product from the achiral ketone, and d) the role of water, ethanol, and sodium carbonate in the catalytic cycle by invoking their explicit participation in the relevant transition states.<sup>29</sup>

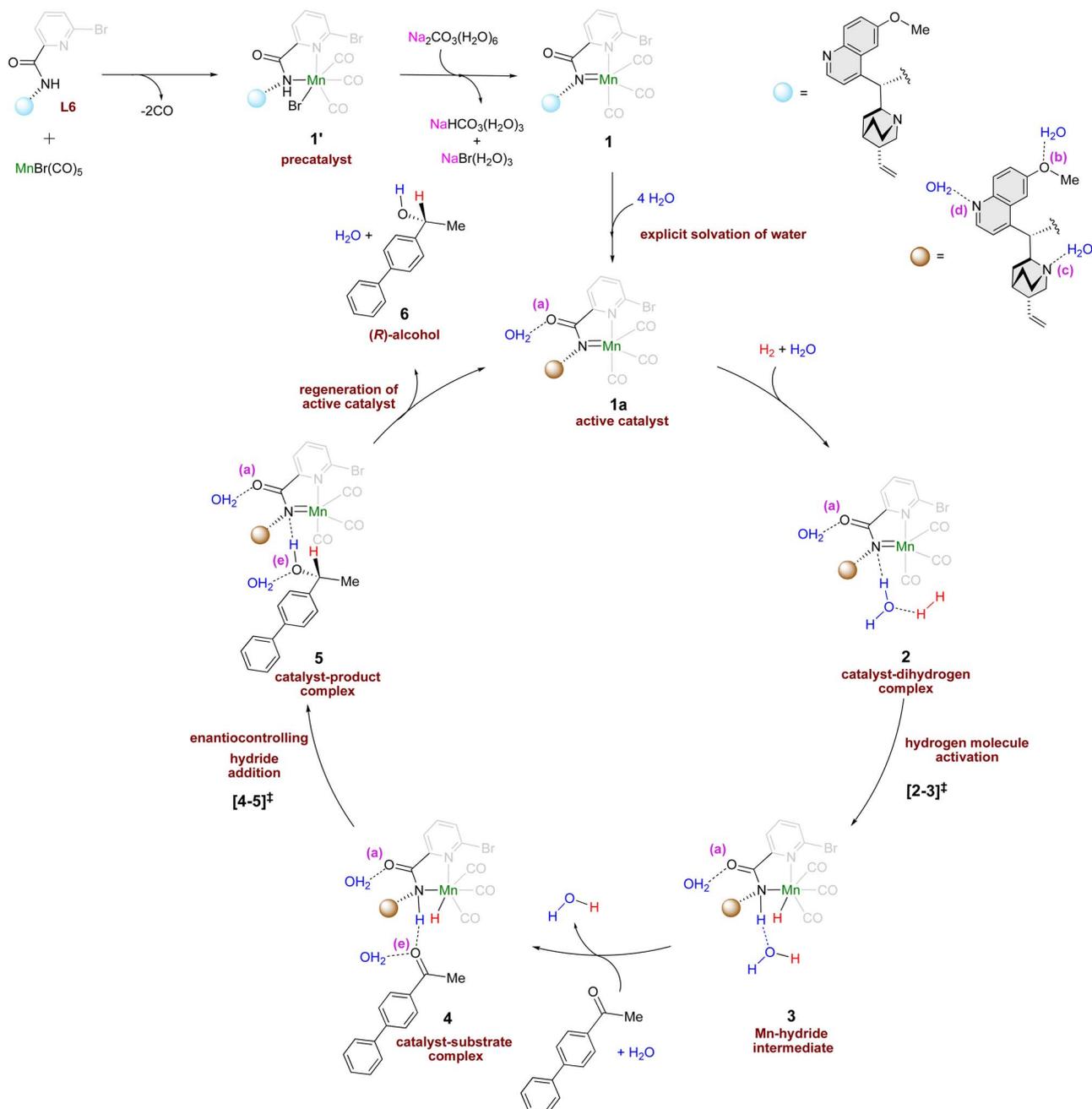
We begin by considering the formation of a Mn-imido complex **1** as shown in Scheme 4. The Mn-imido complex **1** can be obtained from the corresponding pre-catalyst **1'** [MnBr(L6)(CO)<sub>3</sub>], formed through ligand exchange between [MnBr(CO)<sub>5</sub>] and **L6** by the action of Na<sub>2</sub>CO<sub>3</sub>.<sup>30</sup> The overall reaction, **1'** + Na<sub>2</sub>CO<sub>3</sub>(H<sub>2</sub>O)<sub>6</sub> → **1** + NaBr(H<sub>2</sub>O)<sub>3</sub> + NaHCO<sub>3</sub>(H<sub>2</sub>O)<sub>3</sub>, is found to be exergonic with a Gibbs free energy change of -8.82 kcal/mol<sup>-1</sup>.<sup>31</sup> To evaluate the energetic feasibility of the formation of **1**, we have used a combined implicit/explicit solvation approach by systematically considering different binding sites for water molecules.<sup>26b,27a</sup> We have examined the role of water as a passive participant, engaging it with **1** through intermolecular hydrogen bonding interactions. By strategically

positioning water molecules around **1**, we could identify notable hydrogen bonding interactions at specific sites as shown in the inset in Scheme 4. The key sites of water binding are denoted as O<sub>a</sub> of the carbonyl oxygen of the substrate, O<sub>b</sub> of the methoxy group of 6-methoxyquinoline, N<sub>c</sub> of 3-vinylquinuclidine, and N<sub>d</sub> of 6-methoxyquinoline. The resulting lower energy active catalyst, designated as **1a** with four explicitly bound water molecules, is found to be more likely species acting as the catalyst.<sup>32</sup> While detailed discussions on each catalytic step are provided in the subsequent sections, we focus on the key events here. The three major mechanistic steps in the catalytic cycle (Scheme 4) are; (i) the dihydrogen activation and the formation of Mn-H intermediate, (ii) the hydride addition across the carbonyl C=O of the substrate leading to the desired alcohol, and (iii) regeneration of the active catalyst and the accompanying release of the chiral alcohol as the final product.<sup>33</sup>

The catalytic cycle can be considered to begin with the coordination of H<sub>2</sub> molecule with the active catalyst **1a** to form the catalyst-dihydrogen complex, which facilitates hydrogen molecule activation. Among the passive and active modes of water binding considered here, the passive participation refers to water binding to the catalyst or substrate through intermolecular hydrogen bonding. Active participation, on the other hand, involves a more direct engagement of water by being part of the reaction coordinate, particularly in the hydrogen molecule activation step. The catalyst-dihydrogen complex **2** formed as a result of H<sub>2</sub> uptake is found to be the most preferred intermediate in the active mode of water participation.<sup>34</sup> Subsequently, H<sub>2</sub> addition across the Mn-N bond through the transition state [2-3]<sup>‡</sup> leads to a Mn-H intermediate **3**. In the most preferred mode of hydrogen molecule activation, the explicit water molecule (shown in blue color in Fig. 1) plays two key roles. First, it engages passively through a hydrogen bonding with the imido nitrogen of the catalyst (in **2**) and subsequently promotes an active relay transfer.<sup>35</sup>

This mode of participation of water molecule resembles a relay proton transfer often invoked in reaction mechanisms in protic solvents.<sup>26,27a,b,36</sup> The relative Gibbs free energy of the H<sub>2</sub> activation through [2-3]<sup>‡</sup> is found to be 12.3 kcal/mol<sup>-1</sup> (Fig. 2).<sup>37</sup> Next, the uptake of 4-acetylbiphenyl by the ensuing **3** intermediate can lead to a catalyst-substrate complex **4**, wherein the keto group is positioned closer to the Mn-H moiety of the catalyst. Here, the binding of a molecule of water to the carbonyl oxygen (O<sub>c</sub>) is found to stabilize intermediate **4**. The hydride addition step is found to involve a concerted asynchronous transfer of the Mn-hydride to the carbonyl carbon and the amido proton to the carbonyl oxygen through TS [4-5]<sup>‡</sup>.<sup>13d,14,26b,38</sup> The resulting species **5** is a product bound to the catalyst as shown in Scheme 4. It shall be noted that the stereoinducing hydride addition to the ketone can take place either through the *si* and the *re* prochiral face. To locate the energetically most favorable hydride addition transition state, we have considered various conformational possibilities as well as different water binding modes.<sup>39</sup> One of the most interesting findings is the critical role played by the explicit water molecule in the stereocontrolling TS [4-5]<sup>‡</sup>. The inclusion of as many as five explicit





Scheme 4 Catalytic cycle for the Mn-catalyzed asymmetric hydrogenation of 4-acetyl biphenyl by dihydrogen involving the explicit participation of water molecules.

water molecules renders the TS energetically more favorable by about 20 to 25 kcal/mol<sup>-1</sup> as compared to the unassisted pathway devoid of any water molecules.<sup>40</sup> Moreover, the pattern of various noncovalent interactions (NCIs) in the diastereomeric TSs [4-5]<sub>si</sub><sup>‡</sup> and [4-5]<sub>re</sub><sup>‡</sup> is found to be different depending on the prochiral face of the ketone involved in the hydride addition (*vide infra*).

A perusal of the Gibbs energy profile as provided in Fig. 2 conveys couple of very important aspects of the catalytic cycle. First, the identity of the turn-over determining TS and next is the mechanistic step where the enantioselectivity is

determined, which is the hydride addition to the substrate. The application of the energetic span model<sup>41</sup> on the Gibbs free energy profile revealed that the catalyst-dihydrogen complex 2 is the turn-over determining intermediate (TDI) and H<sub>2</sub> activation transition state [2-3]<sup>‡</sup> is the turn-over determining transition state (TDTS) of the catalytic cycle. The energetic span ( $\delta E$ ), calculated as the difference in energy between the TDTS and TDI, is 16.2 kcal/mol<sup>-1</sup> at 313.15 K.<sup>42</sup>

The  $\delta E$  value is suggestive of an effective catalytic transformation at 40°C, which is in conformity with the reaction conditions we employed. As far as the enantioselectivity of the



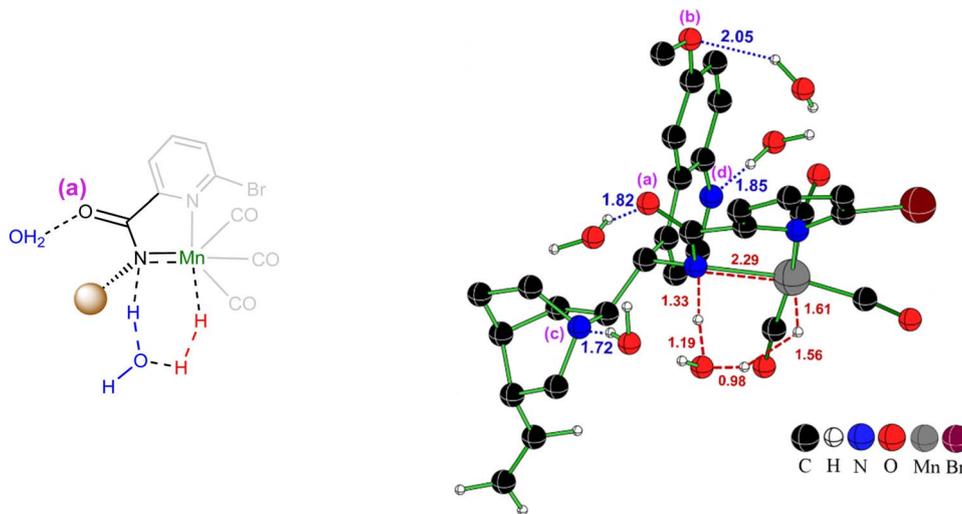


Fig. 1 Optimized geometry and corresponding relative Gibbs free energies in parentheses ( $\text{kcal/mol}^{-1}$ ) of the hydrogen molecule activation transition state  $[2-3]^\ddagger$ . Labels a, b, c, and d represent specific water binding sites. Distances are in Å. Only selected hydrogen atoms are shown for improved clarity.

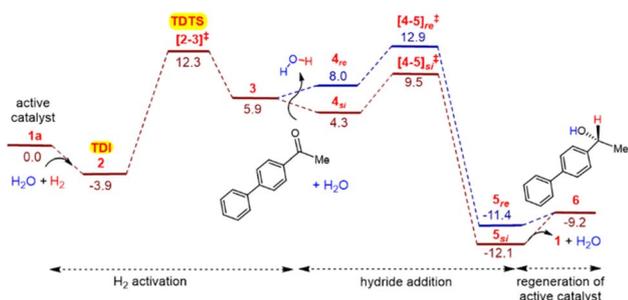


Fig. 2 Gibbs free energy profile (in  $\text{kcal/mol}^{-1}$ ) for the catalytic asymmetric hydrogenation of 4-acetylbiphenyl under the explicit participation of five water molecules, as obtained at the  $\text{SMD}_{(\text{water})}/\text{UB3LYP-D3/6-31G}^{**}, \text{SDD}(\text{Mn}, \text{Br})$  level of theory. The relative Gibbs free energies of intermediates and transition states are calculated with respect to **1a**, which is used as the common reference point. The blue and brown lines respectively denote the *S*-alcohol and *R*-alcohol product formation.

reaction is concerned, it is evident that the transition state for the hydride addition to the *si* prochiral face of 4-acetylbiphenyl  $[4-5]_{si}^\ddagger$  is  $3.4 \text{ kcal/mol}^{-1}$  lower than  $[4-5]_{re}^\ddagger$  for the *re* face addition. Such an energetic difference between the diastereomeric transition states implies a kinetic advantage for the formation of product **6** with the *R* configuration at the newly formed stereogenic center.<sup>43</sup> This energetic preference is consistent with the high ee of 99% obtained in our experiments.<sup>44</sup>

After having predicted high enantioselectivity, we sought additional insights into the molecular origin of the energy difference between the stereocontrolling hydride addition transition states  $[4-5]_{si}^\ddagger$  and  $[4-5]_{re}^\ddagger$ . In other words, we wish to answer a critical question as to what stereoelectronic factors contribute to rendering  $[4-5]_{si}^\ddagger$  lower energy and make it the most preferred mode for the hydride addition. Thus, a careful

analysis of the nature and effectiveness of weak noncovalent interactions (NCIs) between the chiral catalysts and reaction partners is undertaken by applying the atoms-in-molecule (AIM) formalism, wherein we focus on the electron densities at the relevant bond critical points ( $\rho_{\text{bcip}}$ ).<sup>45</sup> The variations in the pattern and efficacy of the NCIs in  $[4-5]_{si}^\ddagger$  and  $[4-5]_{re}^\ddagger$ , as discussed below, are found to be valuable toward understanding the energy difference between them.<sup>46</sup> It should be noted the NCIs that are identified between the chiral catalyst and substrate are only shown in Fig. 3,<sup>47a</sup> whereas a more detailed mapping, including NCIs within the catalyst and those in the substrate, is provided in the Supporting Information.<sup>†47b-c</sup> Similar NCIs found in both  $[4-5]_{si}^\ddagger$  and  $[4-5]_{re}^\ddagger$  are assigned same alphabetical notation wherever possible. The important hydrogen bonding and other types of NCIs between the catalyst and substrate are identified in these TSs.<sup>48</sup> These include  $\text{CH}\dots\pi$ ,  $\text{CH}\dots\text{O}$ ,  $\text{CH}\dots\text{Br}$ ,  $\text{CH}\dots\text{N}$ , and  $\text{CH}\dots\text{HC}$  interactions as shown in Fig. 3.<sup>49</sup> Among these NCIs, we focus on those which are unique to a given TS or those whose strength of interaction is different between the diastereomeric TSs. The  $\text{CH}\dots\text{HC}$  interactions denoted as  $a_3$ ,  $a_4$ , and  $a_5$  in  $[4-5]_{si}^\ddagger$  and  $a_6$  and  $a_7$  in  $[4-5]_{re}^\ddagger$ , show similar strengths in both transition states. Other NCIs which are closely similar, such as  $\text{CH}\dots\text{O}$  ( $b_4$  and  $b_5$ ),  $(\text{l.p})\text{O}\dots\pi$  ( $e_1$ ) are not explicitly discussed here, albeit we considered them in estimating the cumulative strength of all the NCIs.

Differential NCIs include a  $\text{CH}\dots\text{O}$  interaction ( $b_8$ ) between the CO ligand of the chiral catalyst and the aryl CH of the substrate in  $[4-5]_{si}^\ddagger$ , which are absent in the higher energy  $[4-5]_{re}^\ddagger$ . Additional  $\text{CH}\dots\pi$  interactions ( $d_3$  and  $d_4$ ) are found between the methyl of the substrate and the catalyst in the lower energy  $[4-5]_{si}^\ddagger$  than those in the higher energy  $[4-5]_{re}^\ddagger$  where only one  $\text{CH}\dots\pi$  interaction ( $d_6$ ) is identified. Specifically, two  $\text{CH}\dots\pi$  interactions are noted in  $[4-5]_{si}^\ddagger$ , one between the methyl group of the ketone and the vinyl moiety of the



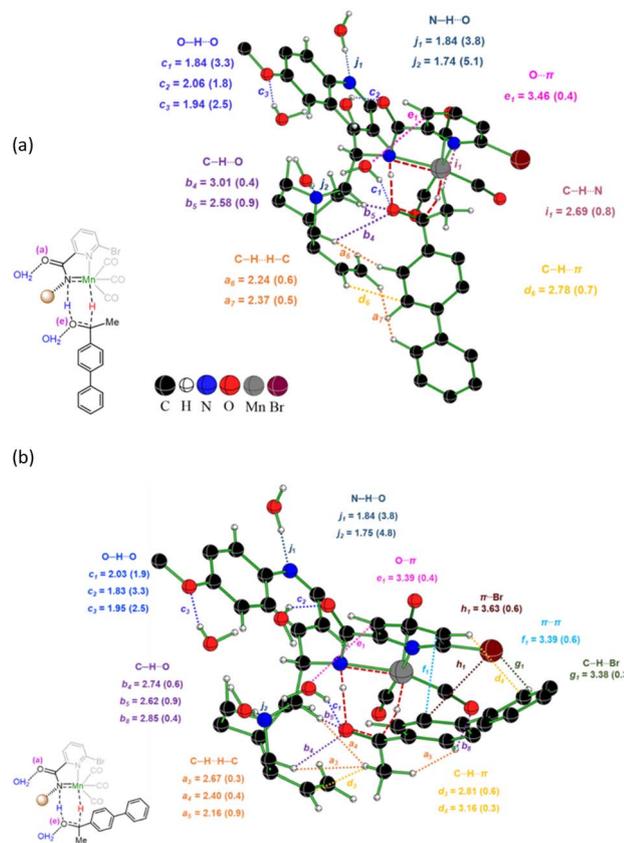


Fig. 3 Optimized transition state geometries for the enantioselective hydride addition: (a). [4-5]<sub>re</sub><sup>‡</sup> (12.9); (b). [4-5]<sub>si</sub><sup>‡</sup> (9.5) and showing the important NCIs. Important interatomic distances (in Å) and the corresponding electron densities ( $\rho \times 10^{-2}$ ) at the bond critical points are shown in parentheses. The relative Gibbs free energies (in kcal/mol<sup>-1</sup>) of the TSs computed with respect to **1a** are given in parenthesis. Hydrogen atoms not involved in significant interactions are omitted for improved clarity.

quinuclidine from the chiral catalyst, and the other between the pyridine group of the Mn-bound chiral catalyst and the biphenyl of the ketone. Distinct NCIs such as a  $\pi \dots \pi$  interaction ( $f_1$ ), a CH...Br ( $g_1$ ), and  $\pi \dots \pi$  ( $h_1$ )<sup>50</sup> are noticed in the lower energy [4-5]<sub>si</sub><sup>‡</sup>. In contrast, a CH...N ( $i_1$ ) is unique to the higher energy [4-5]<sub>re</sub><sup>‡</sup>. The most important NCIs in both the TSs include the intermolecular hydrogen bonding interactions, comprising of NH...O ( $j_1, j_2$ ) and HO...H ( $k_1, k_2, k_3$ , and  $k_4$ ), as well as OH...O ( $c_1, c_2$ , and  $c_3$ ) interactions. Although these NCIs are qualitatively similar and common to both the higher and lower energy transition states, the efficiency of many of the individual interactions is found to be superior in the lower energy [4-5]<sub>si</sub><sup>‡</sup>, as indicated by the corresponding  $\rho_{\text{bcp}}$  values. However, the total number of NCIs is found to be more in the case of the lower energy [4-5]<sub>si</sub><sup>‡</sup>.

Furthermore, the cumulative strength of such NCIs in the most favorable [4-5]<sub>si</sub><sup>‡</sup> is 4.8 kcal/mol<sup>-1</sup> higher as compared to the higher energy [4-5]<sub>re</sub><sup>‡</sup>. The above analysis indicates that the strength of the NCIs also has a notable impact on the overall energy difference between the enantiocontrolling TSs. In addition to the differential contribution of the NCI as described

above to the energy difference between [4-5]<sub>si</sub><sup>‡</sup> and [4-5]<sub>re</sub><sup>‡</sup>, we have examined the effect of geometric distortion in the enantiocontrolling hydride addition TSs using the activation strain analysis.<sup>51,52</sup> We have probed the effect of distortion and interaction between the reaction partners as they move from their respective ground state geometries to that found in the corresponding TS. The origin of chiral induction in the hydride addition step could be better understood based on the relative distortion and interaction. The total distortion energy of the reacting partners is found to be 2.3 kcal/mol<sup>-1</sup> lower in the lower energy [4-5]<sub>si</sub><sup>‡</sup> than in [4-5]<sub>re</sub><sup>‡</sup>. The stabilizing interaction energy between the distorted fragments is however found to be by 1.8 kcal/mol<sup>-1</sup> in the lower energy [4-5]<sub>si</sub><sup>‡</sup>. The overall stabilization is still in favor of the lower energy [4-5]<sub>si</sub><sup>‡</sup>. The presence of more effective interactions between the 4-acetylbi-phenyl as a substrate and the chiral catalyst can, therefore, be regarded as the major contributing factor rendering the hydride addition to the *si* prochiral face more preferred over that to the *re* face of the 4-acetylbi-phenyl. In summary, the computed energy difference between the competing diastereomeric TSs can be considered as originating from the differences in the NCIs, distortion and interactions present in them.

## Conclusions

We have developed a highly efficient [Mncinchona] chiral catalytic system for the asymmetric hydrogenation of ketones, which exhibits a broad substrate scope and remarkable chemoselectivity. The catalyst selectively reduces ketones while leaving other reducible functional groups, such as olefins, alkynes, nitriles, nitro groups, and esters, unaffected. Notably, nitrile and ester groups that are more prone to reduction under previously reported catalysts. The isolation and characterization of the [Mncinchona] complex **1'** has provided valuable insights into the coordination environment, facilitating a deeper understanding of the reaction mechanism. This, combined with DFT calculations, supports the proposal that hydrogen molecule activation assisted by water molecule forms six-membered transition state which is found to be the turnover-determining transition state, with enantioselectivity arising from preferential hydride transfer to the *si* face of the prochiral substrate, leading to the formation of the '*R*' configuration. This newly developed [Mncinchona] catalytic system holds significant potential for broader applications in asymmetric hydrogenation, including the reduction of ketoesters, ketoamines, and amides. Given the versatility and efficiency of this system, we anticipate continued advancements in this dynamic field, further expanding the scope of asymmetric hydrogenation for challenging substrates.

## Data availability

The data supporting the findings of this study are included in the paper or the Supplementary Information† and are also available upon request from the corresponding author. Crystallographic data for the structures discussed in this article have been submitted to the Cambridge Crystallographic Data Center,



Experimental procedures, computational details, and additional DFT results including energy data, and cartesian coordinates for all optimized systems. CCDC 2413245 [MnBr(L6)(CO)<sub>3</sub>] (**1**), CCDC 2413299 (**2f**) and CCDC 2413301 (**4l**) contains the supplementary crystallographic data (PDF).

## Author contributions

B. S. and S. P. conceived the concept. S. P. and D. A. performed all the reaction and analyzed the product. N. J. performed the DFT calculations. R.B.S. supervised the DFT calculations. The manuscript is written through the contributions of all authors.

## Conflicts of interest

The authors declare a competing financial interest. B.S., S.P and are named as inventors on a patent application filed by the Indian Institute of Technology Kanpur based on the work described in this manuscript; patent granted number 558458. The authors declare no other financial or non-financial competing interests.

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- 30 (a) See Scheme S1 in the ESI† for details of precatalyst formation.; (b) The details of the conformational analysis of the precatalyst complex are provided in Table S1 in the ESI.† The computed endoergic of 18.89 kcal mol<sup>-1</sup> for the formation of the precatalyst *via* the coordination of MnBr(CO)<sub>5</sub> with **L6** was concomitant with the simultaneous elimination of two CO molecules.; (c) An overlaid image of the computed and crystallographic structures is shown in Fig. S1 in the ESI.† The overall root mean square deviation (rmsd) for all the atomic positions between these two structures is found to be 0.52 Å, suggesting an excellent agreement between the computed and the experimental geometries.
- 31 The details for the formation of a potential Mn-imido complex from the pre-catalyst are provided in Scheme S2 in the ESI.† The Gibbs free energies of such salt-solvent clusters in the solvent continuum are evaluated using the SMD continuum solvation model to arrive at an optimum number of water molecules likely to be present in the first solvation shell (Fig. S2†). The optimized geometries of the lower energy water coordinated ionic salts such as Na<sub>2</sub>CO<sub>3</sub>(H<sub>2</sub>O)<sub>6</sub>, NaBr(H<sub>2</sub>O)<sub>3</sub>, and NaHCO<sub>3</sub>(H<sub>2</sub>O)<sub>3</sub> are shown in Fig. S3 in the ESI.†
- 32 (a) For additional details of how we could identify the binding of four water molecules as the most likely scenario, see Table S2 in the ESI,† where additional possibilities of active catalysts with varying numbers of explicit water molecules are presented.; (b) The optimized geometries of a lower energy active catalyst are shown in Fig. S4 in the ESI.†
- 33 See Scheme S3 in the ESI† for mechanistic details of Mn-catalyzed asymmetric hydrogenation of acetylbiphenyl under an unassisted pathway.
- 34 (a) The different possibilities considered for water binding modes in the hydrogen molecule activation TS [2-3]w<sup>‡</sup> are provided in Tables S3–S5 in the ESI.†.; (b) The hydrogen molecule activation transition state [2-3]w<sub>abcd</sub><sup>‡</sup> with passive water participation is found to be 4.5 kcal mol<sup>-1</sup> higher in energy as compared to [2-3]<sup>‡</sup> involving active water participation.
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- 38 (a) Both stepwise and concerted mechanisms have been considered for the hydride addition step. The stepwise mechanism, wherein the Mn-hydride and amido proton transfer occur sequentially, is proposed in related systems. See; (b) Y. Wang, S. Liu, H. Yang, H. Li, Y. Lan and Q. Liu, *Nat. Chem.*, 2022, **14**, 1233–1241; (c) C. Liu, X. Liu and Q. Liu, *Chem*, 2023, **9**, 2585–2600; (d) M. Wang, S. Liu, H. Liu, Y. Wang, Y. Lan and Q. Liu, *Nature*, 2024, **631**, 556–562; (e) P. A. Dub and T. Ikariya, *J. Am. Chem. Soc.*, 2013, **135**, 2604–2619; (f) P. A. Dub and J. C. Gordon, *Nat. Rev. Chem.*, 2018, **2**, 396–408. For examples of concerted mechanisms, see; (g) Y. Wang, L. Zhu, Z. Shao, G. Li, Y. Lan and Q. Liu, *J. Am. Chem. Soc.*, 2019, **141**, 17337–17349; (h) S.-X. Zhang, L. Long, Z. Li, Y.-M. He, S. Li, H. Chen, W. Hao and Q.-H. Fan, *J. Am. Chem. Soc.*, 2025, **147**, 5197–5211; (i) S. Li, G. Li, W. Meng and H. Du, *J. Am. Chem. Soc.*, 2016, **138**, 12956–12962; (j) T. Ohkuma, *Proc. Jpn. Acad., Ser. B*, 2010, **86**, 202–219; (k) X. Guo, Y. Tang, X. Zhang and M. Lei, *J. Phys. Chem. A*, 2011, **115**, 12321–12330; (l) Y. Zhao, L. Zhang, Y. Tang, M. Pu and M. Lei, *Phys. Chem. Chem. Phys.*, 2022, **24**, 13365–13375; (m) It is found that a concerted asynchronous transfer of Mn-hydride to the carbonyl carbon and the amido proton to the carbonyl oxygen *via* TS [4-5]<sub>si</sub><sup>‡</sup> is energetically more favorable. The details are provided in the ESI in Table S11†.
- 39 (a) The hydride addition transition states in various water coordination modes are provided in Section 8.6 in the ESI.†.; (b) The Gibbs free energies of the enantiocontrolling hydride addition transition states [4-5]w<sub>0</sub>-s<sup>‡</sup> and [4-5]w<sub>0</sub>-r<sup>‡</sup> are 39.7 and 37.6 kcal mol<sup>-1</sup>, respectively. The conformational analysis of the hydride addition transition states [4-5]w<sub>0</sub>-s<sup>‡</sup> and [4-5]w<sub>0</sub>-r<sup>‡</sup> in the unassisted mode are provided in Table S6 in the ESI.†.; (c) The detailed mapping of the NCIs in [4-5]w<sub>0</sub>-s<sup>‡</sup> and [4-5]w<sub>0</sub>-r<sup>‡</sup> are provided in Fig. S8 in the ESI.† It is noted that the number of NCIs between the catalyst and substrates, as well as their strength, is found to be higher in [4-5]w<sub>0</sub>-r<sup>‡</sup> than in [4-5]w<sub>0</sub>-s<sup>‡</sup>.
- 40 (a) The details of the conformational analysis of the hydride addition transition states [4-5]w<sub>e</sub>-s<sup>‡</sup> and [4-5]w<sub>e</sub>-r<sup>‡</sup> in assisted mode are provided in Table S7 in the ESI.† The detailed mapping of the noncovalent interactions for [4-5]w<sub>e</sub>-s<sup>‡</sup> and [4-5]w<sub>e</sub>-r<sup>‡</sup> is provided in Fig. S9 in the ESI.†.; (b) The Gibbs free energies of the enantiocontrolling hydride addition transition states [4-5]w<sub>e</sub>-s<sup>‡</sup> and [4-5]w<sub>e</sub>-r<sup>‡</sup> with the explicit water molecules are 30.9 and 32.0 kcal mol<sup>-1</sup> lower than those of [4-5]w<sub>0</sub>-s<sup>‡</sup> and [4-5]w<sub>0</sub>-r<sup>‡</sup> without any water molecules bound. The total strength and the number of non-covalent interactions (NCIs) are found to be higher in [4-5]w<sub>e</sub>-s<sup>‡</sup> than in [4-5]w<sub>e</sub>-r<sup>‡</sup>.
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- 43 (a) More details on the conformational analysis of water binding coordination sites for the hydride addition step are given in Table S8 in the ESI†; (b) For the enantiocontrolling hydride addition  $[4-5]_{si}^\ddagger$  and  $[4-5]_{re}^\ddagger$  TS, conformational analysis performed using an autosampling program (CREST) is provided, see details in the ESI† The TS  $[4-5]_{si}^\ddagger$  in the 5-water model is lower in energy as compared to other water binding modes, as shown in Table S9†; (c) Similarly, the hydride addition transition states in different solvent coordination modes are considered, and their details are provided in Table S10 in the ESI† The enantiocontrolling hydride addition TS ( $[4-5]_{\mathbf{w}_{abcde-si}^\ddagger}/[4-5]_{\mathbf{w}_{abcde-re}^\ddagger}$ ) with water binding is about 4 to 5 kcal mol<sup>-1</sup> lower than the corresponding TS ( $[4-5]_{\mathbf{w}_{abcdf-si}^\ddagger}/[4-5]_{\mathbf{w}_{abcdf-re}^\ddagger}$ ) model with a bound ethanol.
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