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Revealing axial-ligand-induced switching of spin states for controllable single electron transfer-based radical initiation†

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Radicals are highly reactive for coupling reactions while the applications are normally limited by the uncontrollable initiation and chaotic conversions. Although transition metal-based single electron transfer (SET) shows potential for controllable radical initiation, the detailed mechanism is still insufficient, especially for the roles of spin state transition in SET-based radical initiation. Herein, with an Fe(III)-catalyzed thiol–ene click (TEC) reaction as an example, the axial-ligand-induced switching of transition metals' spin states was revealed to facilitate controllable SET-based radical initiation and the subsequent coupling reactions. Given the advantages of online monitoring by ambient mass spectrometry (AMS), the short-lived radical intermediates and their dynamic changes were explored. As demonstrated, initiated by the axial coordination of sulfhydryl with Fe(III)–porphyrin, the selective generation of a thiyl radical (RS[•]) *via* SET was achieved. Besides, as another axial-ligand, O₂ in air was coordinated to Fe(III)–porphyrin, inducing the conversion of Fe(III) from a high spin ($S = 5/2$) to a low spin state ($S = 1/2$). This lowered the energy barrier for SET-based radical initiation, further facilitating the final selective coupling with the vinyl reactant. Upon revealing the axial-ligand-induced switching of the spin states by AMS and other examinations, rational design of transition metal catalysts would be promoted for efficient and highly selective radical reactions.

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Introduction

Radicals have attracted much attention for their advantages of reacting with most organic molecules including sterically hindered molecules and those hard to be synthesized by catalytic reactions.^{1–3} In particular, with high reactivity and unique properties, radicals are expected to initiate rapid coupling to construct complex heterocyclic compounds.^{4,5} However, the highly active radical species would normally cause unfavourable chaotic, uncontrollable, and mysteriously baffling processes.^{6–8} Consequently, effective strategies for the controllable generation of radicals for chain initiation, as well as the subsequent directional conversion for chain propagation are crucial for efficient radical synthesis.

For radical reactions, transition metal catalysis has been regarded as a versatile platform.^{9–13} Taking advantage of unpaired d-electrons, transition metals normally possess

unoccupied orbitals that undergo single electron transfer (SET) to generate radicals.^{9,14} Significantly, upon modulating the coordination environments of transition metal sites, the spin state-related electron transfer could accelerate reaction kinetics by lowering the activation energy barrier.^{15–17} Predictably, the radical initiation *via* SET could be modulated by spin states. While the current modulation of spin states normally focuses on the rearrangement of d-electron orbitals to enhance inorganic catalytic reactions,^{18–21} there have been few reports revealing the role of transition metals' spin states in electron transfer-based radical initiation, thereby hindering efficient radical initiation by metals.

For instance, aryl sulfide radicals can be initiated in the metal-catalyzed thiol–ene click (TEC) reaction, which facilitates C–S coupling, exhibiting significance in pharmaceuticals and chemical engineering.^{22–26} Nevertheless, the initiation of various active species is usually non-selective due to the wide potential range of transition metals. Consequently, the mechanism of controllable radical reactions is worth exploring in detail.^{27–29} Unfortunately, although radical directional conversion (chain propagation) has been examined, a clear description of SET-based radical initiation remains lacking, let alone the roles of spin states in this initiation process (Scheme 1a).^{8,12,30,31} This could be largely limited by the difficulties in obtaining dynamic conversions of reactive radicals or intermediates with short

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Subsequently, to confirm the radical initiation of the TEC reaction, the radical scavenger of 2,2,6,6-tetramethyl piperidine-1-oxyl (TEMPO) was added into the reaction system for AMS detection. As a result (Fig. 1c), no significant product signal of $[3\mathbf{a} + \text{H}]^+$ (m/z 243) was recorded. While the main ion peak at m/z 398 was exhibited, attributed to the coupling of the carbon radical intermediate (the precursor of product $3\mathbf{a}$) with TEMPO. The corresponding structure was confirmed by collision induced dissociation (CID) experiments (Fig. S4†). Consequently, the radical intermediate was captured by TEMPO, which greatly hindered the generation of product $3\mathbf{a}$. This indicated that the present transition metal-catalyzed TEC reaction involved stepwise radical conversions after the radical initiation.

To further confirm the generation of radical intermediates in the TEC reaction, the mixture of substrate $1\mathbf{a}$ and catalyst Fe(III)Cl-TCPP was examined by EPR characterization with 5,5-dimethyl-1-pyrroline *N*-oxide (DMPO) as the trapping agent. The presence of RS^\cdot was verified by the EPR signals ($g = 2.08, 2.06,$ and 2.04) (Fig. 1d), which were attributed to the adduct of RS^\cdot and DMPO. This was also in accordance with the previous reports.⁴⁰ Besides, to further confirm the selective radical generation, other thiophenol substrates with various substituted groups were selected for employing TEC reactions. As expected, the highest yields were obtained for the substrates with benzene-ring bearing electron-donating groups (92% of $3\mathbf{a}$ for $-\text{Me}$, 94% of $3\mathbf{b}$ for $-\text{OMe}$) (Fig. S5†), while the substrates with electron-withdrawing groups afforded lower product yields (65–81% of $3\mathbf{c}$ – $3\mathbf{e}$ for $-\text{Cl}$, $-\text{CF}_3$ and $-\text{NO}_2$). These reaction products were verified by NMR characterization (Fig. S18–2 to 4†). Consequently, efficient radical initiation can be achieved with higher electron-donating abilities of substrates. In addition, the highest yield was obtained for the TEC in acetonitrile (CH_3CN) and dichloromethane (DCM) (entries 1–2), much higher than in methanol (CH_3OH) with the strongest coordination ability (entry 3) (Fig. S6†). It can be deduced that the coordination is crucial for radical initiation. Consequently, RS^\cdot was selectively generated in the TEC process, which played an important role in the subsequent C–S coupling at high yield.

Examination of radical generation upon the SET process

As proposed, RS^\cdot could be generated *via* SET between the sulfide substrate and transition metals or O_2 .^{29,41} Thus, further efforts are required to determine the real process of the present radical initiation upon electron transfer between $1\mathbf{a}$ and Fe(III)Cl-TCPP or O_2 . To examine the generation of RS^\cdot upon SET, the roles of O_2 and Fe(III) were firstly evaluated by EPR analysis under different conditions. For the mixture of $1\mathbf{a}$ and Fe(III)Cl-TCPP , EPR signals of RS^\cdot were still obvious even in N_2 (blue line, Fig. 2a), which were similar to that in air. While without Fe(III) , no radical signal was recorded in the TCPP system (yellow line, Fig. 2a). This was in accordance with the low yield of TEC without Fe(III) active species in the catalyst (Fig. S7†). Furthermore, the highest yield was recorded in the O_2 environment, a little higher than that in N_2 (Fig. S7†). Consequently, it can be deduced that the transition metal of Fe(III) played an important

role in the radical initiation, while O_2 was not the essential factor but can facilitate the generation of RS^\cdot .

To further examine the roles and changes of Fe(III)Cl-TCPP in the TEC reaction, the chemical states of the iron ion in air and N_2 were evaluated by X-ray photoemission spectroscopy (XPS). In air (Fig. 2b), the XPS peaks of Fe(III) (at the binding energies of 724.3 and 711.0 eV)^{42,43} were observed before and after the reaction. This demonstrated the good stability of Fe(III)Cl-TCPP in the TEC reaction, which was crucial for the highly efficient catalytic reactions. However, after the reaction in N_2 (Fig. 2c), significant XPS peaks of both Fe(III) (at 724.4 and 711.1 eV) and Fe(II) (at 722.6 and 709.2 eV)⁴⁴ were recorded. This indicated the employment of electron transfer in the Fe(III)Cl-TCPP -catalyzed TEC. Consequently, the reduction of Fe(III) to Fe(II) could be achieved *via* SET from substrate 1 to Fe(III) , along with the generation of RS^\cdot . Notably, no obvious signal of Fe(II) was observed in the reaction in air. This could probably be attributed to the oxidation of the generated Fe(II) by O_2 in air, which generates Fe(III) for catalytic reactions in the next TEC reactions.

Subsequently, to further examine O_2 changes upon SET in the TEC reaction, the reactive oxygen species (ROS) were detected by EPR with DMPO as the radical trapping reagent. As shown in Fig. 2d, no obvious ROS signal was recorded in the system of Fe(III)Cl-TCPP , while after TEC reaction for 1 min, a significant signal of DMPO-O_2^- was observed,⁴⁵ demonstrating the transfer of an electron from Fe(II) to O_2 . In addition, the generation of O_2^- was further evaluated by UV-vis absorption analysis, based on the absorption of a blue formazan deposit (~ 520 nm) generated from the oxidation of nitro blue tetrazolium (NBT).^{46,47} As shown in Fig. 2e, strong absorption was recorded for substrate $1\mathbf{a}$ with Fe(III)Cl-TCPP added (blue line) and the TEC reaction system (yellow line), while no signal was observed in substrate $2\mathbf{a}$ with Fe(III)Cl-TCPP added. Consequently, it can be demonstrated that the electron transfers only occurred in the chain initiation process, rather than the chain propagation process. In fact, as shown in Fig. 2f, an electron was transferred from substrate 1 to Fe(III)Cl-TCPP , generating RS^\cdot and Fe(II) species. Simultaneously, the electron transfer from Fe(II) to O_2 can be employed in air, along with the generation of O_2^- in the TEC reaction. Consequently, this TEC reaction involved two cascade SET processes for the generation of radicals of both RS^\cdot and O_2^- .

Capture and detection of intermediates during SET-based radical initiation

The intermediates corresponding to both the catalyst and substrate were captured and examined by online AMS to elucidate the SET-based radical initiation for selective RS^\cdot generation. As detected, the characteristic ion of catalyst $[\text{Fe(III)TCPP}]^+$ (m/z 844.5) was recorded in the reaction system at the beginning (Fig. 3a-i). With the TEC reaction proceeding (at 1 min, Fig. 3a-ii), the new ions of $[\text{Fe(III)TCPP-RS}^\cdot]^+$ (m/z 981.3) and $[\text{RSH-Fe(III)TCPP-O}_2^-]^+$ ($\text{R} = 2,4\text{-dimethylphenyl}$) (m/z 1014.1) were observed. The corresponding structures were confirmed by HR-MS (Fig. S8†) and CID experiments (Fig. S9†). Besides, the



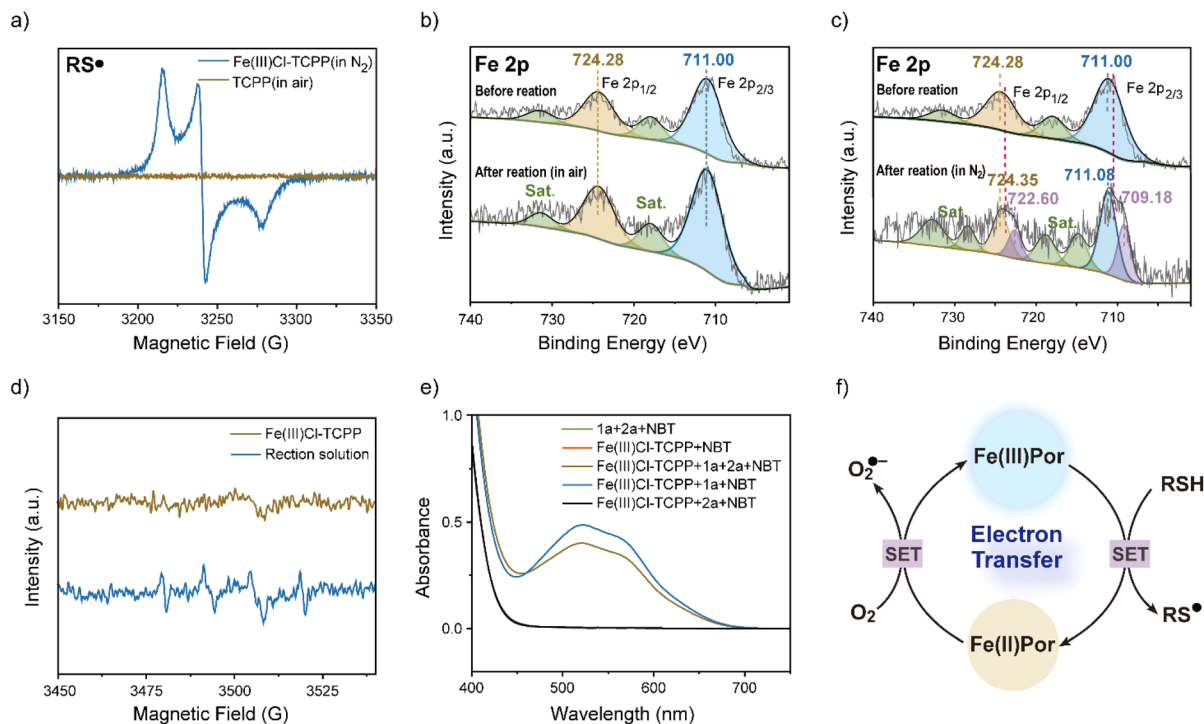


Fig. 2 Examination of radical generation upon the SET process. (a) EPR spectra of the mixture of substrate **1a** and Fe(III)Cl-TCPP in N₂ (blue line) or the mixture of **1a** and TCPP in air (yellow line). (b) XPS spectra of Fe 2p before and after TEC reaction in air. (c) XPS spectra of Fe 2p before and after TEC reaction in N₂. (d) DMPO – O₂^{•−} signals for the catalyst and reaction system. (e) UV-vis absorption of the NBT colorimetric reaction with O₂^{•−} in different systems. (f) Proposed mechanism of O₂ and Fe(III)Por involving SET-based radical initiation.

deuterium substituted substrate **1a** was also applied to the TEC reaction to verify the structures. As a result (Fig. S10[†]), the ions of [Fe(III)TCPP-RS[•]]⁺ (*m/z* 981.2) and [RSD-Fe(III)TCPP-O₂]⁺ (*m/z* 1014.9) were observed, which further confirmed the intermediate structures. While with the TEC reaction carrying on, both intermediates of [Fe(III)TCPP-RS[•]]⁺ (*m/z* 981.3) and [RSH-Fe(III)TCPP-O₂]⁺ (*m/z* 1014.1) decreased (at 3 min, Fig. 3a-iii). Interestingly, the new ion of [Fe(III)TCPP-RSR¹]⁺ (R¹ = phenylethyl) (*m/z* 1085.9) was observed, which was attributed to the complex of product **3a** and catalyst. The corresponding structure was identified by a CID experiment (Fig. S11[†]). Consequently, it can be deduced that the selective generation of RS[•] ([Fe(III)TCPP-RS[•]]⁺) was related to the electron transfer within the intermediate of [RSH-Fe(III)TCPP-O₂]⁺. Thereafter, another intermediate of [Fe(III)TCPP-RSR¹]⁺ was obtained by the radical chain transfer along with the consumption of initial intermediates.

Subsequently, the dynamic changes of the intermediates and important species were examined by the online extracted ion chromatograms (EICs). As shown in Fig. 3b-i to ii, the reactant ion of [**1a** + H]⁺ (*m/z* 139) and catalyst ion of [Fe(III)TCPP]⁺ (*m/z* 844.5) decreased gradually. While the ion at *m/z* 981 ([Fe(III)TCPP-RS[•]]⁺) increased gradually and reached a peak value within 1 min (Fig. 3b-iii), indicating the successful generation of RS[•]. Thereafter, [Fe(III)TCPP-RS[•]]⁺ began to decrease along with the simultaneous increase of the chain propagation intermediate of [Fe(III)TCPP-**3a**]⁺ (*m/z* 1086) and the final product of [**3a** + H]⁺ (*m/z* 243) (Fig. 3b-iv and v). Consequently, the dynamic changes of different species have confirmed the initial radical

initiation, followed by chain propagation along with RS[•] consumption to obtain the final product.

To further examine the coordination between substrates and the active site of Fe(III), the reaction intermediates were characterized by EPR and Raman spectrometry. To avoid the effects of solvent coordination on the characterizations, the experiments were employed in the non-coordinating solvent of DCM. Firstly, the high-spin Fe(III) (*S* = 5/2) EPR signals of Fe(III)Cl-TCPP at *g* = 6.23, 4.29, 2.00 were detected, but these signals vanished after reaction in N₂ (Fig. S12[†]).^{48,49} This confirmed the generation of Fe(II) (silent EPR signals) upon SET from substrate **1a** to Fe(III), in accordance with the XPS data (Fig. 2c). Thereafter, the intermediates were examined by EPR analysis at low temperature, avoiding the rapid conversion of radical intermediates for better examinations. After adding substrate **1a** to Fe(III)Cl-TCPP in air (Fig. 3c), the mixture was quickly frozen to −80 °C to obtain the significant EPR signals of low-spin Fe(III) (*S* = 1/2) (*g* = 2.38, 2.23, 1.92).⁵⁰ Given that the intermediate of [RSH-Fe(III)TCPP-O₂]⁺ (IN1) was generated by the coordination of Fe(III)-porphyrin with substrate **1a** and O₂ (demonstrated in Fig. 3a-ii), this intermediate could be assigned as the low-spin species.

The specific low-spin IN1 was further confirmed by low-temperature Raman spectrometry analysis. After adding substrate **1a** into the catalyst system of Fe(III)Cl-TCPP in air (Fig. 3d), the oxidation and spin state marker bands of ν_4 and ν_2 exhibited a blue shift (from 1357 to 1365 and 1552 to 1561 cm^{−1}). This indicated the formation of the low-spin



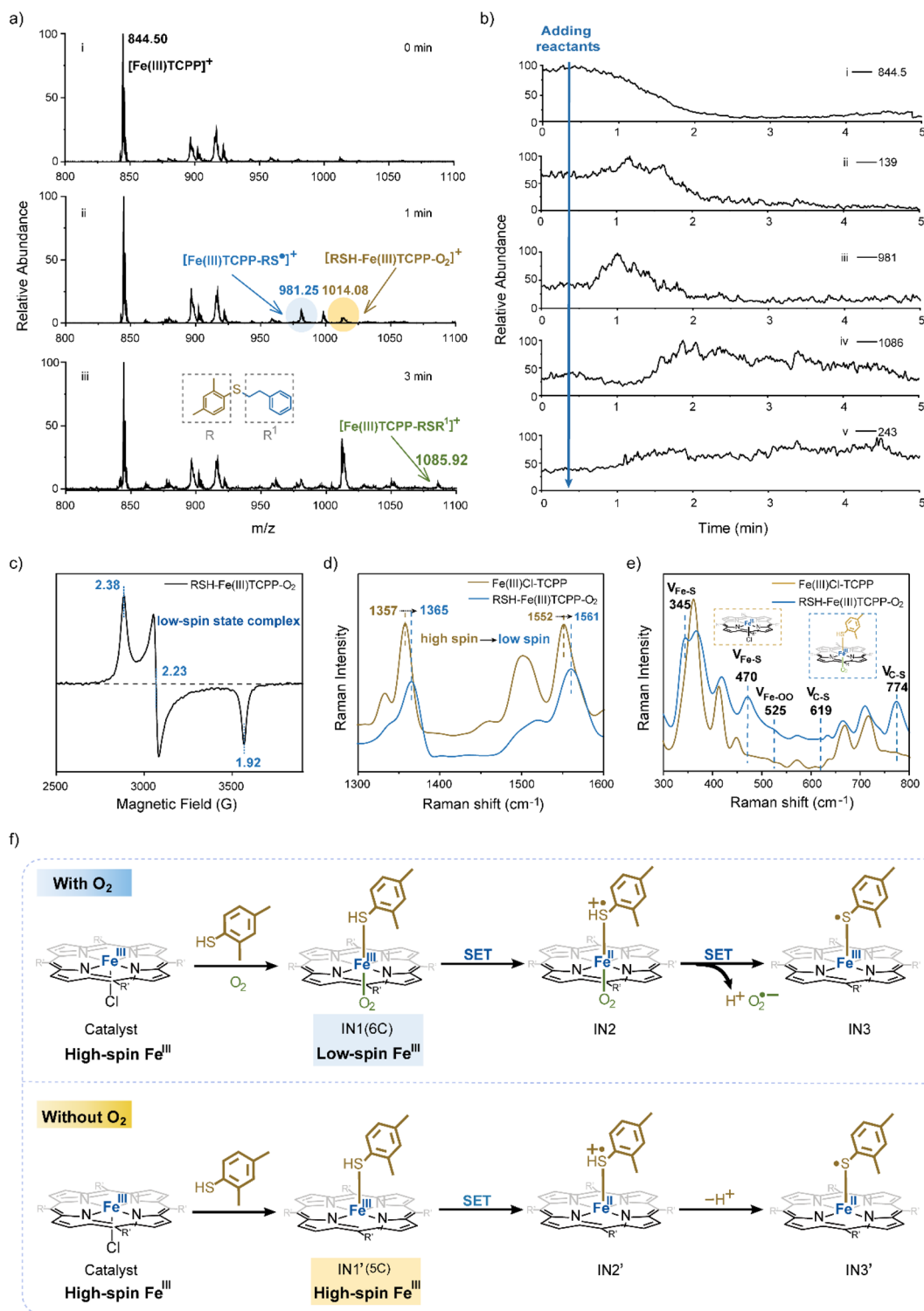


Fig. 3 Examination of intermediates during SET-based radical initiation. (a) Detection of the reaction system by AMS at different reaction time ($R = 2,4$ -dimethylphenyl, $R^1 = \text{phenylethyl}$). Reaction conditions: **1a** (0.5 mmol), **2a** (0.5 mmol) and $\text{Fe}(\text{III})\text{Cl-TCPP}$ (0.25 mol%) in 3 mL solvent ($\text{CH}_3\text{CN} : \text{H}_2\text{O} = 10 : 1$, $v : v$). (b) Dynamic monitoring of different ions. EICs of $[\text{Fe}(\text{III})\text{TCPP}]^+$ at m/z 844.5 (i), $[\mathbf{1a} + \text{H}]^+$ at m/z 139 (ii), $[\text{Fe}(\text{III})\text{TCPP-RS}^*]^+$ at m/z 981.3 (iii), $[\text{Fe}(\text{III})\text{TCPP-3a}]^+$ at m/z 1085.9 (iv) and $[\mathbf{3a} + \text{H}]^+$ at m/z 243 (v). (c) EPR spectra of the intermediate **1** $[\text{RSH-Fe}(\text{III})\text{TCPP-O}_2]^+$. (d) and (e) Raman spectra of $\text{Fe}(\text{III})\text{Cl-TCPP}$ and intermediate **1** $[\text{RSH-Fe}(\text{III})\text{TCPP-O}_2]^+$. (f) Two possible reaction mechanisms for the selective generation of RS^\bullet in different atmospheres.

structure for $\text{Fe}(\text{III})$ -porphyrin. In addition, some new peaks were observed in the low-frequency region (Fig. 3e), attributed to $\text{Fe}(\text{III})$ -S stretching vibrations (345 and 470 cm^{-1}), $\text{Fe}(\text{III})$ -OO

vibration (525 cm^{-1}) and C-S stretching vibrations (619 and 774 cm^{-1}).^{50,51} Briefly, the intermediate of IN1 was generated upon the coordination of $\text{Fe}(\text{III})$ (in iron porphyrin) with an S



orbital ($E = -7.38$ eV). While with O_2 coordination, the energy of the lowest unoccupied beta orbital was -8.00 eV, which was lower than that of the alpha one (-7.21 eV). This induced the inclined entering of electrons into the unoccupied beta orbitals. From the thermodynamic point of view, the lower energy of the low spin state (-8.00 eV) than the high spin state (-7.38 eV) also suggested the favourable electron transfer from sulfhydryl to $Fe(III)$. Therefore, the SET-based radical initiation of RS^\cdot would be further promoted in the presence of O_2 , facilitating the formation of 6C IN1 at a low spin state. While without O_2 , the 5C IN1' in a high spin state was obtained, which limited the reaction, in accordance with the lower yield in N_2 . Therefore, O_2 not only avoids the subsequent catalyst deactivation, but also plays a key role in the formation of IN1 at a low spin state to facilitate the SET-based initiation.

The mechanism of the SET-initiated TEC reaction

Based on the aforementioned experimental and theoretical examinations, the mechanism of SET-initiated TEC reaction in air can be proposed (Fig. 5). Initially, the axial ligands of substrate **1a** and O_2 were coordinated with the catalyst of $Fe(III)TCPP$ ($[Fe(III)TCPP]^+$ at m/z 844.5) to form IN1 at the low spin state ($[RSH-Fe(III)TCPP-O_2]^+$ at m/z 1014.1). Compared to IN1' at the high spin state, the generated IN1 at the low spin state reduced the energy barrier of SET. In this way, the intramolecular selective SET was employed from sulfhydryl to $Fe(III)$, which generated the intermediate complex of RS^\cdot and $Fe(II)$ (IN2). Subsequently, $Fe(II)$ in IN2 was oxidized by O_2 via the second SET process, forming $O_2^{\cdot-}$ and another intermediate of IN3 ($[Fe(III)TCPP-RS^\cdot]^+$ at m/z 981.3). It should be noted that the generated $O_2^{\cdot-}$ can participate in the oxidation of sulfur-containing compounds, leading to the formation of sulfoxides (Fig. 1b, m/z 259) at a relatively low abundance. Thereafter, the chain propagation would be initiated through a two-step SET-based radical initiation. This involves radical addition to the $C=C$ bond in substrate **2a**, generating a carbon-centered radical (IN4) that abstracts an H atom from another molecule of substrate **1a**. This induced the generation of hydrothiolated intermediate IN5 ($[Fe(III)TCPP-3a]^+$ at m/z 1085.9) and

another equivalent of RS^\cdot . Finally, the product ($[3a + H]^+$ at m/z 243) was desorbed from the catalyst to complete the TEC reaction.

Besides, the generated RS^\cdot can be directly captured by the catalyst to obtain IN3 upon the coordination between $Fe(III)TCPP$ and RS^\cdot , fulfilling another run of the chain propagation. Upon this sizable $Fe(III)TCPP$ -based coordination, bulky RS^\cdot species with increased steric hindrance would endow active RS^\cdot with high stability for subsequent reactions. It should be noted that a low abundance of disulfide product was also exhibited even in an N_2 atmosphere (Fig. S16[†]). Consequently, the homo-coupling of bulky RS^\cdot species (IN3) would be restricted due to the steric hindrance, which would facilitate the coupling with the vinyl reactants. Therefore, the side reactions would be avoided upon the stabilization of radicals by the $Fe(III)TCPP$ -based coordination, which was confirmed by the decreased yield and conversion with free $Fe(III)$ as the catalyst (Fig. S17[†]). Consequently, the controllable SET-based initiation of RS^\cdot upon axial-ligand-induced switching of spin states and the subsequent selective chain propagation were revealed.

Conclusions

In summary, axial-ligand-induced switching of spin states in an $Fe(III)$ -catalyzed TEC reaction was revealed, which facilitated the controllable generation of RS^\cdot via an SET process. As demonstrated by AMS-based characterization and other examinations, RS^\cdot was demonstrated to be selectively and controllably generated via SET between substrate **1** and $Fe(III)$ -porphyrin. The role of the axial ligand in inducing switching of the transition metal's spin states was revealed, which facilitated RS^\cdot radical initiation and subsequent reactions. With O_2 as another axial ligand, the thiolate-coordinated $Fe(III)$ -porphyrin (IN1' $S = 5/2$) was transformed to a low spin state (IN1 $S = 1/2$), dramatically lowering the energy barrier of SET-based radical generation. This well explains the efficient and selective C-S coupling in air. Subsequently, upon coordination with the bulky $Fe(III)$ -porphyrin, the RS^\cdot species (IN3) selectively coupled with the vinyl reactant for efficient TEC reactions. Consequently, based on AMS monitoring and comprehensive examinations, efficient and selective TEC reactions originated from spin-regulated SET-based RS^\cdot initiation. This work not only holds promise for efficient and selective radical reactions upon manipulating spin states but also broadens the applications of AMS for in-depth mechanism examinations.

Data availability

All data of this study have been presented in the manuscript and ESI.[†]

Author contributions

J. Qin and Y. Yin conceived and designed the project; X. Guan contributed to the characterization of products; J. Qin, Y. Yin and X. Ge conducted the calculations; M. Cao and J. Ouyang supported figure preparation. N. Na directed the whole project and acquired the funding. All authors have given approval to the manuscript.

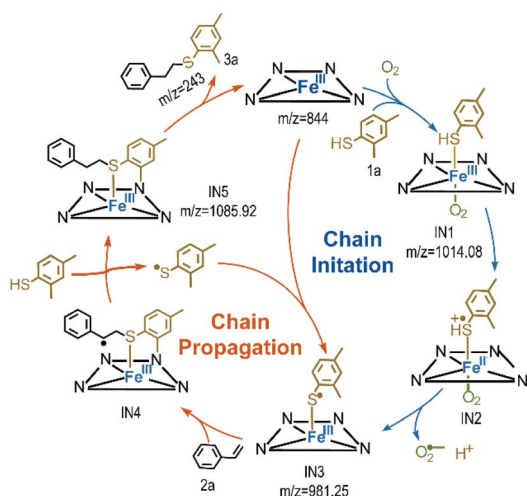


Fig. 5 The mechanism of the SET-initiated TEC reaction.



Conflicts of interest

There are no conflicts to declare.

Acknowledgements

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