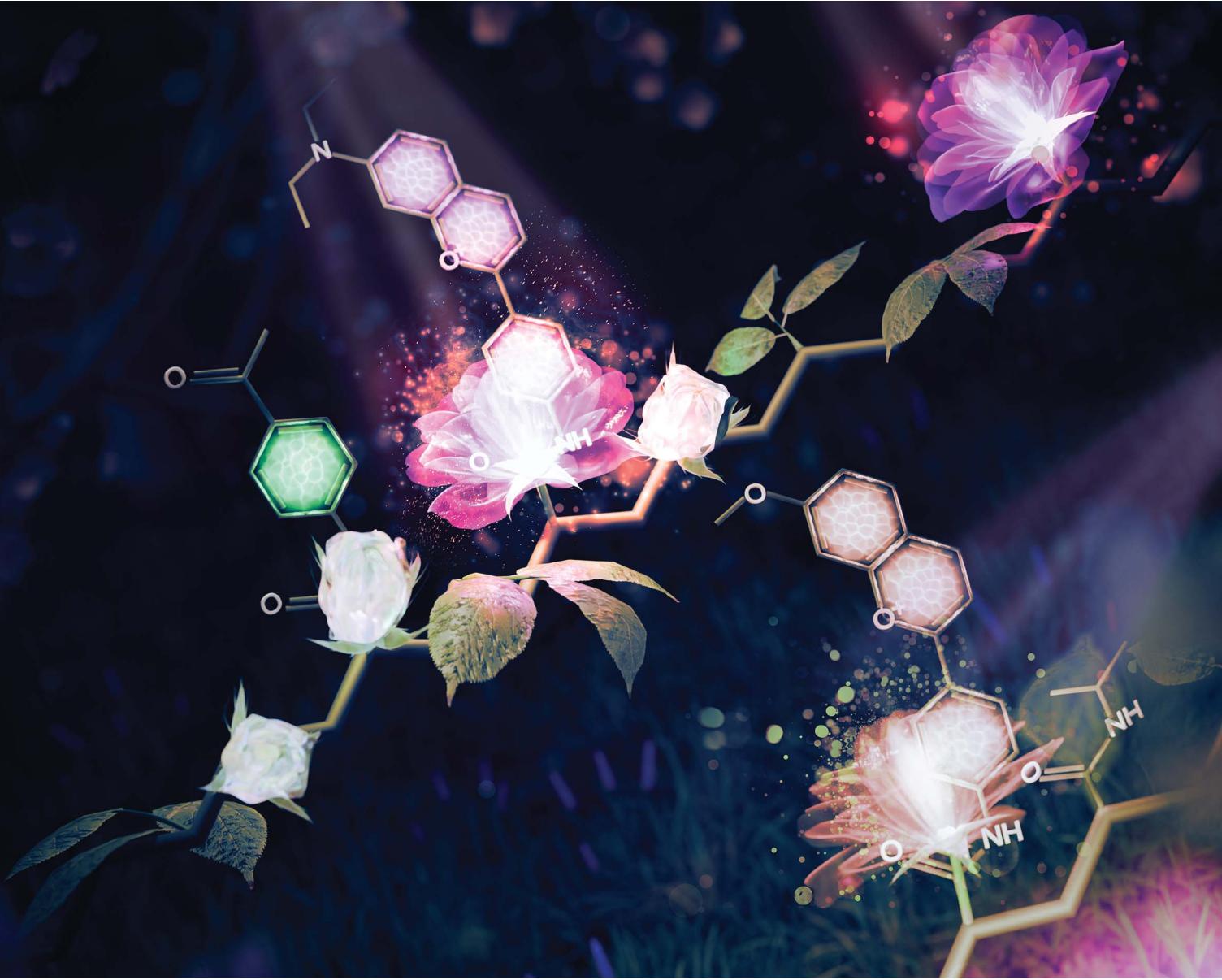


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## Multi-stimuli-responsive polymers enabled by bio-inspired dynamic equilibria of flavylium chemistry†

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As part of a complex equilibria network with other chemical species, flavyliums, the chromophoric component of anthocyanins, hold great potential for use in functional polymers. This study presents the successful syntheses of polymers containing two distinct flavylium-structures, generated *via* post-modification of a parent polymer synthesised using reversible addition-fragmentation chain transfer (RAFT) polymerisation. The selective modification of acetophenone moieties enabled precise tuning of the polymers' properties, which are strongly influenced by the markedly different chemical characteristics of flavyliums and the other species in equilibria with them. The synthesised flavylium-containing polymers exhibit multi-stimuli responsiveness to variations in solvent, pH, light, and temperature, thereby introducing intricacy and viable functionality to the polymer system. The surface activity and critical aggregation concentrations (CAC) of the synthesised polymers were studied using profile analysis tensiometry (PAT), revealing distinct aggregation and self-assembly behaviours. Fractal-like aggregates formed by the flavylium-containing polymers were investigated using cryogenic electron microscopy (Cryo-EM) and small-angle X-ray scattering (SAXS). This research bridges the colourful dynamic equilibria of flavylium chemistry with polymer chemistry, paving the pathway for further investigations into flavylium–polymer interactions and the development of tuneable material properties of responsive polymers.

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## Introduction

Flavylium ( $C_{15}H_{11}O^+$ ), or 2-phenyl-1-benzopyrilium, is an omnipresent cationic chromophore found in the naturally occurring anthocyanin pigments of many flowers, fruits, and plants, where it plays a crucial role in creating a vibrant palette of colours, ranging from vivid red to deep blue.<sup>1,2</sup> These colours are key for attracting pollinators, aiding seed dispersal, and providing photoprotection to the plant *via* responsiveness to environmental changes such as soil pH and bioavailable metal ions (*e.g.*,  $Al^{3+}$ ).<sup>3</sup> Anthocyanins also fulfill crucial biochemical roles as antioxidants in plants and are involved in both abiotic and biotic stresses, such as UV radiation, cold temperatures,

drought, and in defence against pathogens and herbivores.<sup>4,5</sup> These functions arise from their chemical complexity whereby they undergo significant chemical transformations and coexist with multiple species in a network of dynamic equilibria, such as hemiketals (*via* deprotonation and hydration), quinoidal bases (through deprotonation) *cis*-chalcones (*via* tautomerisation), and *trans*-chalcones (*via* isomerisation) as shown in Scheme 1.<sup>6</sup> These transformations include shifts in charge state (positive, neutral, or negative), as well as hydration, protonation, and photochemical isomerisation, which all impact their biological functionality, while presenting as a broad spectrum of colours through changes in their spectral absorption profile. Intriguingly, these molecular switches are triggered by external stimuli, such as changes in pH,<sup>6</sup> light exposure,<sup>7</sup> and temperature<sup>8</sup> that can be reproduced and modified in unnatural compounds *via* synthetic chemistry to create novel and smart chromophores and fluorophores.<sup>9–13</sup> The tuneability of the oxonium positive charge *via* delocalisation by introducing conjugated electron donating or withdrawing substituents permits allowing for modifications to substituent groups at different positions by using various starting materials and following distinct synthetic routes.<sup>1</sup> By leveraging flavylium's chemical tuneability and responsiveness, researchers can develop advanced materials that respond dynamically to

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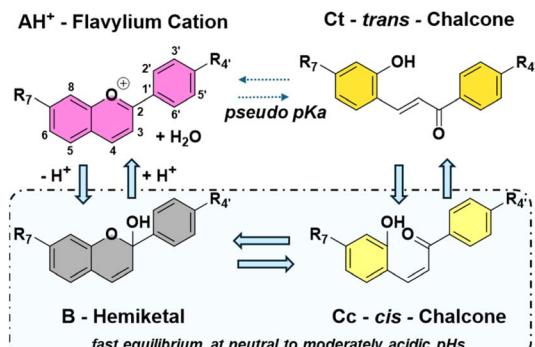
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**Scheme 1** A representative equilibria network for: flavylium, hemiketal, *cis*-chalcone and *trans*-chalcone. In some instances, the hemiketal and *cis*-chalcone are transient species that equilibrate rapidly and a clean isosbestic point and a pseudo  $pK_a$  could be observed between flavylium and *trans*-chalcone species.  $R_{4'}$  = substituent group at 4'-position of the flavylium core structure,  $R_7$  = substituent group at 7-position of the flavylium core structure. These two positions of substitution are more widely investigated. In this work, there is no hydroxy group at  $R_{4'}$  or  $R_7$  positions, so quinoidal base as another known species in the flavylium equilibria is not presented.

environmental changes, paving the way for applications in drug delivery,<sup>14</sup> probes,<sup>15,16</sup> sensors<sup>17,18</sup> and shortwave infrared imaging.<sup>19,20</sup>

As synthetic approaches evolve, polymeric materials have become increasingly smart, adapting to the diverse demands of their applications. A significant factor in the development of these intelligent materials has been the advancement of techniques for incorporating responsive motifs and decades of extensive research into stimuli-responsive polymers.<sup>21–23</sup> Stimuli such as temperature,<sup>24,25</sup> pH<sup>26,27</sup> and light<sup>28</sup> have been widely studied in the design of these polymers. As the research area continues to mature, and increasingly complex polymers from the endless library of possibilities are isolated, the desire for multi-stimuli responsive materials grows.<sup>29</sup> Realising these goals will endow these materials with properties that can be

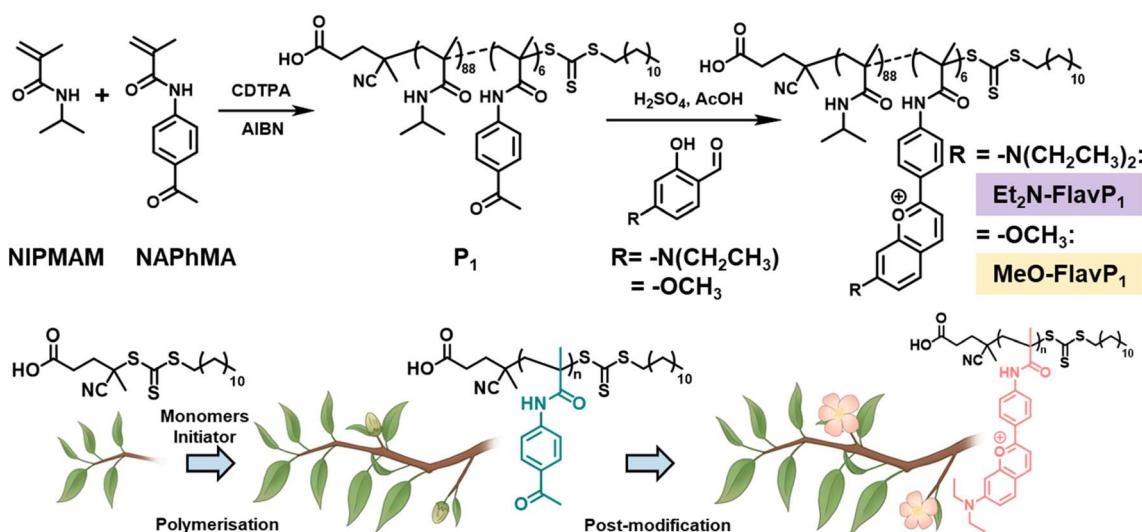
exquisitely controlled due to the integration of several cooperative stimuli-triggered transformations within one polymer.<sup>30,31</sup> These multi-stimuli-responsive materials are poised to be applied in optical sensing,<sup>32</sup> antimicrobial,<sup>33</sup> controlled release,<sup>34</sup> moisture capturing<sup>35</sup> and nanomedicines.<sup>36–38</sup>

Flavylium's responsiveness to stimuli like pH, light, and temperature makes it an excellent candidate for designing multi-stimuli-responsive polymers. Advancing research on flavylium-functionalised polymers holds promise for developing innovative multi-stimuli-responsive systems, presenting a valuable avenue for future investigation.<sup>39,40</sup> In this work, two flavylium structures were installed on a RAFT statistical copolymer *via* post-modification (Scheme 2). The flavylium-containing polymers showed multi-stimuli responsiveness profiles to solvent, pH, light and temperature, showcasing that the chemical processes observed for small molecules can be translated into the macromolecule domain. Moreover, the synthesised polymers presented surface activity and self-assembly behaviour, highlighting their potential to be developed as smart surface-active materials.

## Results and discussion

### Synthesis of the flavylium-containing polymers

The syntheses of the flavylium-containing polymers and parent polymers followed the convergent synthetic route communicated in our previous work.<sup>40</sup> We used reversible addition-fragmentation chain transfer (RAFT) polymerisation<sup>41–43</sup> to build a parent polymer with acetophenone functionality that is post-synthetically modified to install flavylium moieties. The parent polymer composition screening is presented in the ESI (Fig. S1, Table S1 and S2†). The parent polymer ( $P_1$ ) with a 10 : 200 molar ratio in feed of *N*-(4-acetylphenyl)methacrylamide (NAPhMA) and *N*-isopropylmethacrylamide (NIPMAM) was selected as the candidate in this study, as it contains approximately 6.4% units with acetylphenyl moieties and has suitable water solubility at room temperature.



**Scheme 2** Synthetic route of flavylium-containing polymers and a schematic analogy of the polymer synthesis process.



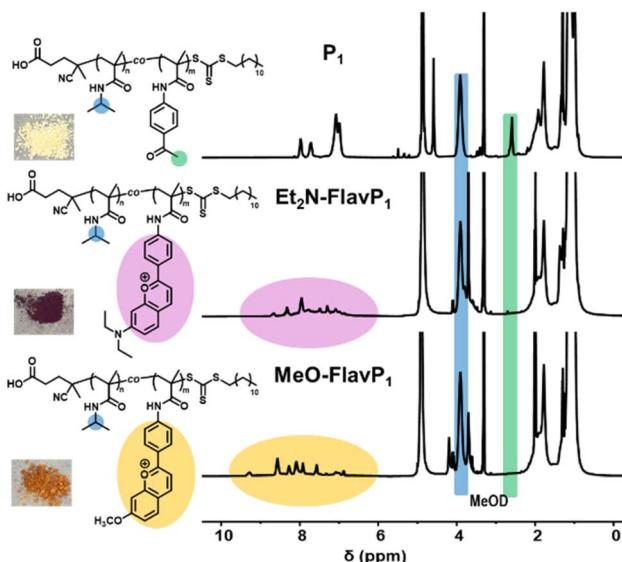


Fig. 1  $^1\text{H}$  NMR spectra (400 MHz, MeOD- $d_4$ ) of parent polymer P<sub>1</sub>; Et<sub>2</sub>N-FlavP<sub>1</sub> and MeO-FlavP<sub>1</sub>. The disappearance of the methyl ( $-\text{CH}_3$ ) proton resonance of acetylphenyl groups indicates quantitative conversion.

P<sub>1</sub> was converted into the flavylum-containing derivatives reported herein by reacting the parent polymer with an excess of the respective salicylaldehyde to yield polymers with  $R_7$  groups of either diethylamino or methoxy functionality at the flavylum core. This process resulted in quantitative conversion as confirmed by the disappearance of the methyl protons of the acetophenone at 2.5 ppm in the  $^1\text{H}$  NMR spectra with new broad and poorly resolved resonances in the aromatic region

attributed to the protons of the flavylum structures (Fig. 1). The flavylum-containing polymers have distinctive colours (Et<sub>2</sub>N-FlavP<sub>1</sub> – magenta; MeO-FlavP<sub>1</sub> – orange) with bisulphate as the charge balancing anion in both examples. At room temperature, both polymers dissolve readily in deionised water, however, sonication was also used to ensure complete dissolution for stock solution preparation. In the view of the appearance of broad flavylum resonances, along with intense colouration of the samples, the synthesis of flavylum-containing polymers is comparable to a process that resembles the ‘blossoming’ of acetophenone ‘flower buds’ on a polymeric ‘branch’, which grows from the RAFT agent ‘sprout’ (Scheme 2).

### Equilibration of the flavylum moieties

The stability of flavylum moieties can be influenced by pH, concentration, and water content (in non-aqueous solutions). Upon dissolution, the equilibration of the flavylum component of the polymers involves hydration and deprotonation of flavylum ( $\text{AH}^+$ ) to yield the neutral hemiketal (B) as shown in Scheme 1, while subsequent tautomerisation affords *cis*-chalcone (C<sub>c</sub>), which isomerises to yield *trans*-chalcones (C<sub>t</sub>). As hydration is the initial step, the stability of the  $\text{AH}^+$  species in solution is significantly affected by both water content and pH, which we investigated *via* steady-state UV-vis spectroscopy under controlled conditions. Equilibration of both flavylum-containing polymers was investigated in methanol, deionised water and in Theorell and Stenhammar’s universal buffer (pH 7)<sup>44</sup> at the concentration of 0.1 g L<sup>-1</sup> for 24 hours at 25 °C in the dark.

Samples of flavylum-rich polymers were dissolved at a concentration of 10 g L<sup>-1</sup> in methanol or deionised water.

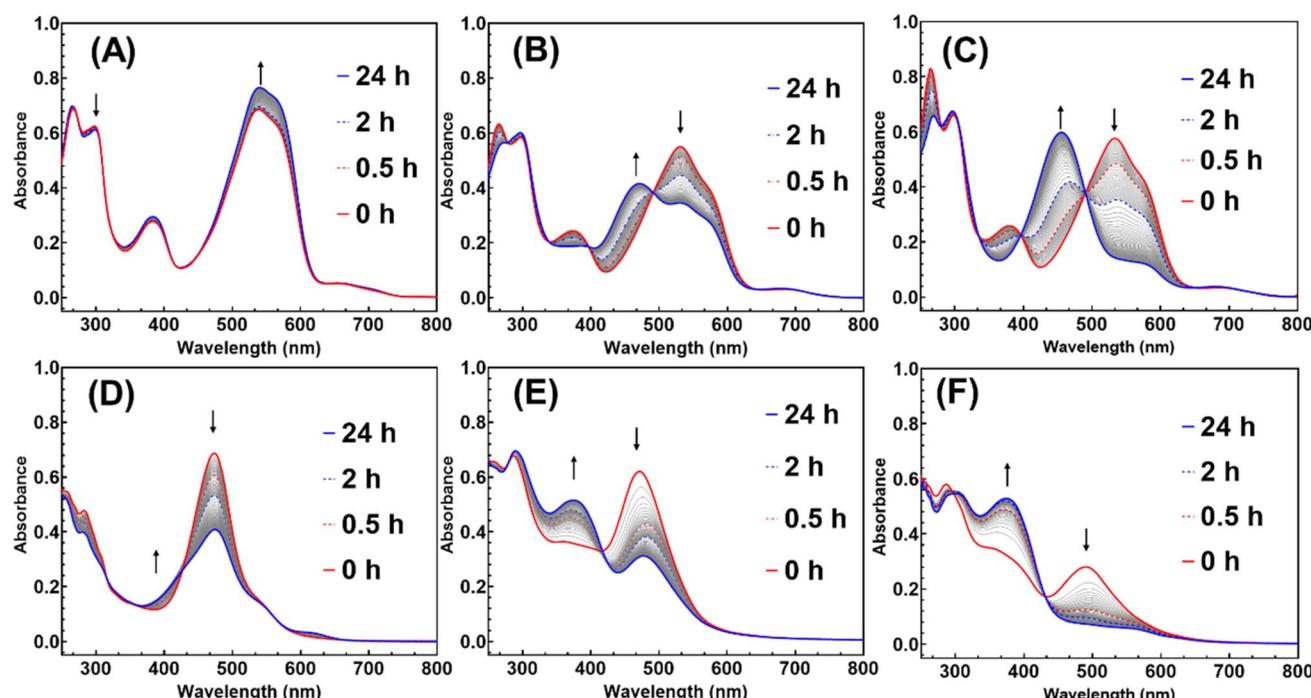


Fig. 2 The spectral evolution of Et<sub>2</sub>N-FlavP<sub>1</sub> (0.1 g L<sup>-1</sup>) at 25 °C in (A) methanol; (B) deionised water and (C) universal buffer (pH 7). The spectral evolution of MeO-FlavP<sub>1</sub> (0.1 g L<sup>-1</sup>) at 25 °C in (D) methanol; (E) deionised water and (F) universal buffer (pH 7).

**Table 1** A summary of critical wavelengths of UV-vis spectroscopy and fluorescence spectroscopy results of flavylium-containing polymers in aqueous solutions. For simplicity, all wavelengths are rounded to the nearest 5 nm

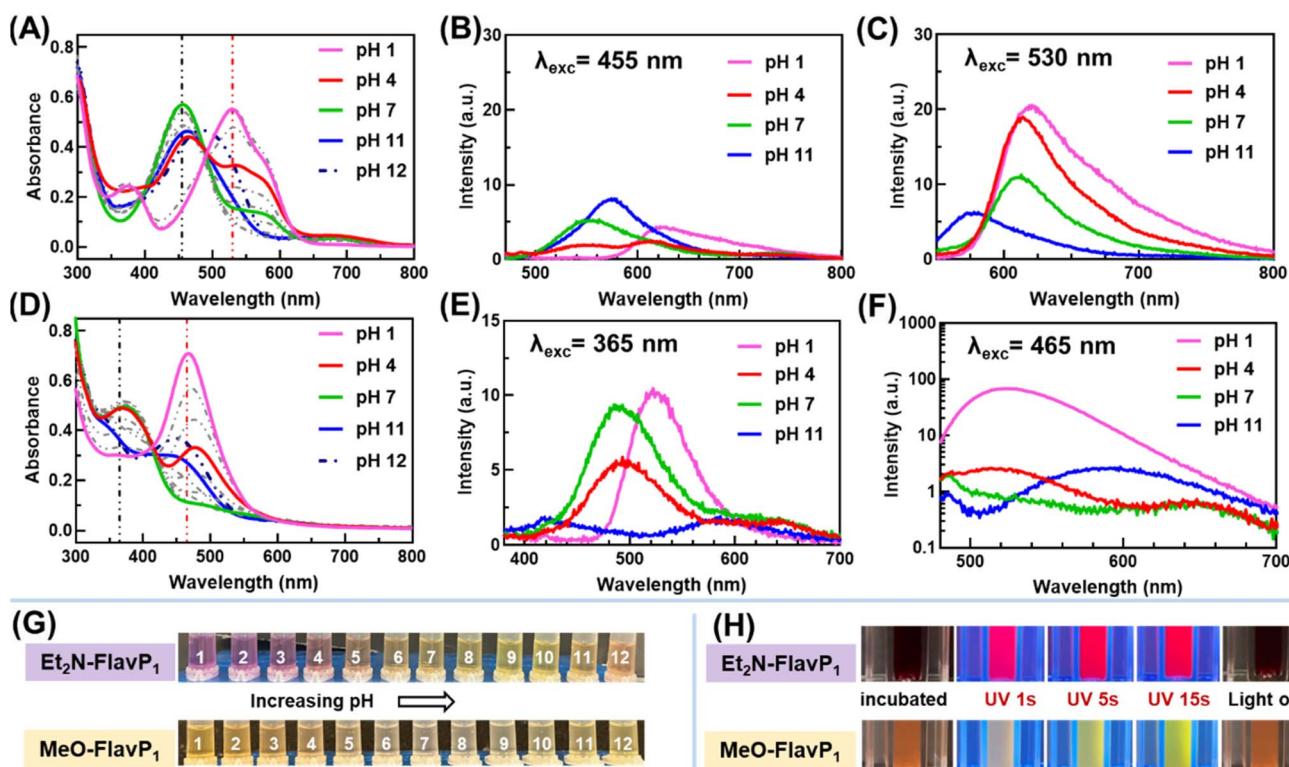
Polymer	AH <sup>+</sup> absorption (nm)	Ct absorption (nm)	Ct <sup>-</sup> absorption <sup>a</sup> (nm)	AH <sup>+</sup> emission (nm)	Ct emission (nm)	Ct <sup>-</sup> emission (nm)
Et <sub>2</sub> N-FlavP <sub>1</sub>	530	455	485	615	550	570
MeO-FlavP <sub>1</sub>	465	365	445	525	490/650	590

<sup>a</sup> The pH-dependent solution-state spectra were measured up to a pH of 12, where the Ct<sup>-</sup> absorption maxima were observed and summarised. At higher pH values, the bands may shift.

These stock solutions were then diluted to the appropriate concentrations for the equilibration study presented in Fig. 2. As anticipated, methanolic solutions present the simplest spectral profiles due to water being the limiting reagent required for the multiple chemical transformations described earlier. Over the 24-hour period an increase in the flavylium content of Et<sub>2</sub>N-FlavP<sub>1</sub> is noted (Fig. 2A) while a decrease is observed for MeO-FlavP<sub>1</sub> (Fig. 2D)—processes that are rationalised by the differing initial polymer compositions of the respective samples. Furthermore, the reduction in flavylium content in MeO-FlavP<sub>1</sub> over the 24-hour period is indicative of this polymer being more susceptible to the formation of the

colourless hemiketal product (Scheme 1) than Et<sub>2</sub>N-FlavP<sub>1</sub> with subsequent tautomerisation being disfavoured.

On dissolution of both polymers in deionised water (0.1 g L<sup>-1</sup>), a pH of (~3) was measured due to (i) dissociation of the bisulphate counterion, (ii) deprotonation of the flavylium species, and (iii) residual acid in the polymer precipitates. This acidic pH (~3) partially stabilises the flavylium species (Fig. 2B and E), whereas the neutral pH (~7) of the universal buffer solution favours hydration of the flavylium to transient, spectroscopically unobserved species B and Cc followed by the growth of the Ct spectral band (Et<sub>2</sub>N-Ct, 455 nm; MeO-Ct, 365 nm, Table 1). Comparatively, equilibration of the initial



**Fig. 3** (A) UV-vis spectra of Et<sub>2</sub>N-FlavP<sub>1</sub> solutions (0.1 g L<sup>-1</sup>) in universal buffer solutions from pH 1 to 12, after 24 hours equilibration in the dark (dashed vertical lines represent excitation wavelengths). Emission spectra of Et<sub>2</sub>N-FlavP<sub>1</sub> (0.1 g L<sup>-1</sup>) at pH 1, 4, 7 and 11 at excitation wavelengths (B)  $\lambda_{exc} = 455$  nm (Et<sub>2</sub>N-chalcone) and (C)  $\lambda_{exc} = 530$  nm (Et<sub>2</sub>N-flavylium); (D) UV-vis spectra of the solutions in universal buffer from pH 1 to 12 of MeO-FlavP<sub>1</sub> (0.1 g L<sup>-1</sup>) after 24 hours equilibration in the dark (Dashed vertical lines represent excitation wavelengths); emission spectra of MeO-FlavP<sub>1</sub> (0.1 g L<sup>-1</sup>) at pH 1, 4, 7 and 11 at excitation wavelengths (E)  $\lambda_{exc} = 365$  nm (MeO-chalcone) and (F)  $\lambda_{exc} = 465$  nm (MeO-flavylium). The y-axis is displayed on a logarithmic scale; (G) pH-dependent chromism of polymer solutions (0.1 g L<sup>-1</sup>) after equilibrated for 24 hours at room temperature in the dark; (H) a fluorescence change observed in Et<sub>2</sub>N-FlavP<sub>1</sub> and MeO-FlavP<sub>1</sub> solution (1 g L<sup>-1</sup>, incubated at 40 °C overnight to increase the MeO-chalcone species concentration) with UV irradiation at 365 nm for 1 s, 5 s and 15 s.



flavylium-rich polymers at pH 7 occurs more rapidly for MeO-FlavP<sub>1</sub> than for Et<sub>2</sub>N-FlavP<sub>1</sub> (Fig. 2C and F), with negligible flavylium remaining on reaching equilibrium for the methoxy derivative. This behaviour is in line with previously reported data for related small molecule analogues.<sup>45,46</sup>

Spectra of each polymer were also recorded at the concentration of 1 g L<sup>-1</sup> in deionized water over a 24-hour period (Fig. S2†), showing comparable rates and speciation for MeO-FlavP<sub>1</sub>. However, the diethylamino derivative Et<sub>2</sub>N-FlavP<sub>1</sub> reached equilibrium with a significantly higher flavylium content compared to its behaviour at 0.1 g L<sup>-1</sup>. The underlying cause of this phenomenon is still under investigation but is likely linked to the initial pH upon dissolution of the polymer sample as it resembles the spectra of a pH 1-equilibrated sample (Fig. 3A).

### pH-dependent equilibria network and fluorochromism

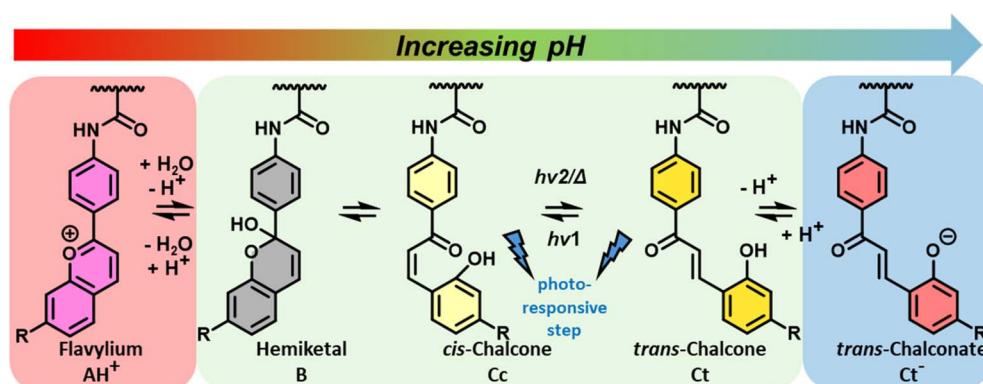
Understanding the representative absorption peaks of each flavylium-containing polymers can help to assess another important characteristic of flavylium structures, which is their ability to respond to environmental pH changes. To investigate the pH responsiveness of the functionalised polymers, solutions were prepared by diluting the stock solutions (aq. 10 g L<sup>-1</sup>) into universal buffer at various pH levels, which were then allowed to equilibrate in the dark for 24 hours at room temperature. The equilibrated solutions displayed distinct colours that varied with pH (Fig. 3G). For Et<sub>2</sub>N-FlavP<sub>1</sub>, a magenta colour was observed at acidic pH, transitioning to a bright yellow hue as the pH increased, indicating a predominance of chalcone species in the equilibria. It is worth mentioning a pseudo-equilibrium from pH 1 to pH 8 of flavylium and *trans*-chalcone species and a pseudo-pK<sub>a</sub> of approximately pH 4 could be observed (Fig. 3A). This might be due to the intermediate species hemiketal and *cis*-chalcone, which exist in the equilibria between flavylium and *trans*-chalcone, being short-lived in these buffer environments. Notably, at pH 12, a shift in absorption maximum to approximately 485 nm was observed (Fig. 3A) likely resulting from the deprotonation of the phenolic proton of the chalcone to yield the ionic

chalconate (also known as anionic chalcone or deprotonated chalcone) (Scheme 3).

The flavylium chromophore  $\lambda_{\text{max}}$  of MeO-FlavP<sub>1</sub> is blue shifted by 65 nm with respect to that of Et<sub>2</sub>N-FlavP<sub>1</sub>, highlighting the tuneability of the polymer's spectral properties. MeO-FlavP<sub>1</sub> polymer displayed a comparable behaviour in its pH-dependent chromism (Fig. 3D). At acidic pH, the MeO-flavylium was the predominant species and the flavylium-dominant solutions appeared orange yellow. As the pH approached neutral, the absorption of the MeO-flavylium ( $\lambda_{\text{max}} = 465$  nm) gradually decreased. MeO-chalcone emerged and dominated the spectra, leading to a blue shift in absorption maximum ( $\lambda_{\text{max}} = 365$  nm). The increasing chalcone contents resulted in a more colourless solution because MeO-chalcone absorbs mainly in the UV region. The MeO-chalconate exhibited an intermediate absorption peak between the flavylium and chalcone bands and the population of this species increases from pH 9. When MeO-chalconate ( $\lambda_{\text{max}} = 445$  nm) formed in the basic environment, the solutions were pale yellow in colour.

The deprotonation and hydration of flavylium to form chalcones typically lead to water insolubility. Consequently, earlier studies on water-insoluble chalcones relied on buffer-ethanol mixtures for equilibria studies.<sup>47,48</sup> However, equilibria observed in mixed solvents can differ from those in single-solvent systems due to the mixture's ability to solubilise water-insoluble species, which can influence the equilibrium dynamics. Notably, incorporating flavylium structures into hydrophilic polymers allows the chalcone species to be observed in pure water or buffer, as the hydrophilic polymer components improve their solubility.

To investigate the fluorescence properties of MeO-FlavP<sub>1</sub> and Et<sub>2</sub>N-FlavP<sub>1</sub>, solutions of these two polymers were equilibrated for 24 hours in the dark at the respective pHs of interest (1, 4, 7 and 11). As the composition and spectra of each material is pH dependent, two excitation wavelengths were selected for each material that coincides with  $\lambda_{\text{max}}$  for Ct and AH<sup>+</sup> (Table 1, Fig. 3B and C). Red fluorescence ( $\lambda_{\text{em}} = 615$  nm) was observed for Et<sub>2</sub>N-FlavP<sub>1</sub> on excitation ( $\lambda_{\text{exc}} = 530$  nm) of AH<sup>+</sup> with decreasing emission intensity at elevated pHs in line with



**Scheme 3** The pH- and photo-responsive processes of flavylium equilibria involved in polymeric system. Chalconates (*trans*- or *cis*-) are only observed at basic pH while the other species may exist in a range of different pHs. In amino-flavylium systems the hemiketal and *cis*-chalcone are in general minor species and a pseudo-equilibrium is normally established between the flavylium cation and *trans*-chalcone.



expectation due to conversion to Ct. Spectral overlap in the proximity of the Ct  $\lambda_{\text{max}}$  resulted in more complex emission with weak AH<sup>+</sup> emission observed at pH 1, due to excitation of the blue edge of the AH<sup>+</sup> absorption band, with gradual diminishment of emission on increasing pH and emergence of green ( $\lambda_{\text{em}} = 550$  nm) Ct emission. Deprotonation of the chalcone to yield anionic chalconate Ct<sup>-</sup> is evidenced by characteristic emission ( $\lambda_{\text{em}} = 570$  nm) observed for both excitation wavelengths at pH 11. It is noted that the photochemical conversion of Ct to Cc and subsequently AH<sup>+</sup> on excitation of Ct is inefficient with radiative relaxation *via* fluorescence dominating.

As for MeO-FlavP<sub>1</sub>, intense green fluorescence ( $\lambda_{\text{em}} = 525$  nm) was observed on excitation ( $\lambda_{\text{exc}} = 465$  nm) of MeO-AH<sup>+</sup> at pH 1, however, significant quenching was observed at pH 4 relative to the change in AH<sup>+</sup> concentration based on the acquired absorption spectra (Fig. 3E). Similar behaviour has been documented previously and is rationalised by the association of AH<sup>+</sup> moieties with phenolic molecules to form co-pigmentation complexes.<sup>49,50</sup> In this case, co-pigmentation complexes can happen between AH<sup>+</sup> and phenolic chalcones, which are more prevalent at higher pHs. Since the co-pigmentation complexes are generally non-fluorescent,<sup>51,52</sup> they are expected to account for the observed fluorescence quenching.<sup>53</sup> At pH 7, all MeO-AH<sup>+</sup> has been converted to other species due to the relative instability of MeO-AH<sup>+</sup> vs. Et<sub>2</sub>N-AH<sup>+</sup> at pHs >4. The shorter excitation wavelength ( $\lambda_{\text{exc}} = 365$  nm) was also explored to investigate the steady-state photochemistry of MeO-Ct (Fig. 3F), with chalcone emission ( $\lambda_{\text{em}} = 490$  nm) dominating at pH 4 and 7 with a transition to Ct<sup>-</sup> emission ( $\lambda_{\text{em}} = 590$  nm) at pH 11.

However, a detailed time-resolved spectroscopy campaign is required to fully rationalise and understand the influence of various factors on the photochemical behaviour of polymeric systems composed of flavyliums such as the impacts of ionic strength and concentration on polymer aggregation and self-

assembly. Excitation spectra at pHs 1, 4, 7 and 11 for both polymers are provided in the ESI (Fig. S3 and S4).†

As shown in Fig. 3H, solutions of both flavylium-containing polymers (1 g L<sup>-1</sup> in deionised water) were incubated overnight at 40 °C in a water bath to generate more thermodynamically stable Ct species. The solutions were then illuminated by UV light at 365 nm, where in Et<sub>2</sub>N-FlavP<sub>1</sub>, the red fluorescence remained relatively unchanged over time, whereas in MeO-FlavP<sub>1</sub> where an obvious change in fluorescence was observed, the emission colour changed gradually from white to green and more bright green upon exposure to UV light, indicating the recovery of methoxy AH<sup>+</sup> upon UV irradiation. Based on these observations, the following section discusses the photochemical reactivity of both polymers.

### pH-dependent photo-responsiveness

The photochemistry of the complex flavylium equilibria network revolves around the photoisomerization of *cis*- and *trans*-chalcones, which may undergo further transformations throughout the equilibria (Scheme 3), a process that is also regulated by pH. To investigate this, polymer solutions were equilibrated for 24 hours in the dark using universal buffers at pH 4, 7, and 11 as intermediate stages for pH-dependent chromism tests. Following equilibration in the dark, the solutions were exposed to appropriate wavelengths to assess their photo-responsiveness using steady-state UV-vis spectroscopy.

Illumination of Et<sub>2</sub>N-FlavP<sub>1</sub> ( $\lambda_{\text{exc}} = 455$  nm), at pH 4, caused subtle spectral change during the initial irradiation, attributed to the presence of a significant amount of flavylium at this pH (Fig. 4A and B). In contrast, illumination of solutions equilibrated at pH 7 and 11 revealed the consumption of Ct without the formation of flavylium. Instead, there was a commensurate increase in Cc and B<sub>2</sub>, along with potentially B<sub>4</sub> hemiketals<sup>54–56</sup> (Scheme S1†), which are spectroscopically challenging to assign. The presence of B<sub>4</sub> hemiketals has been reported to

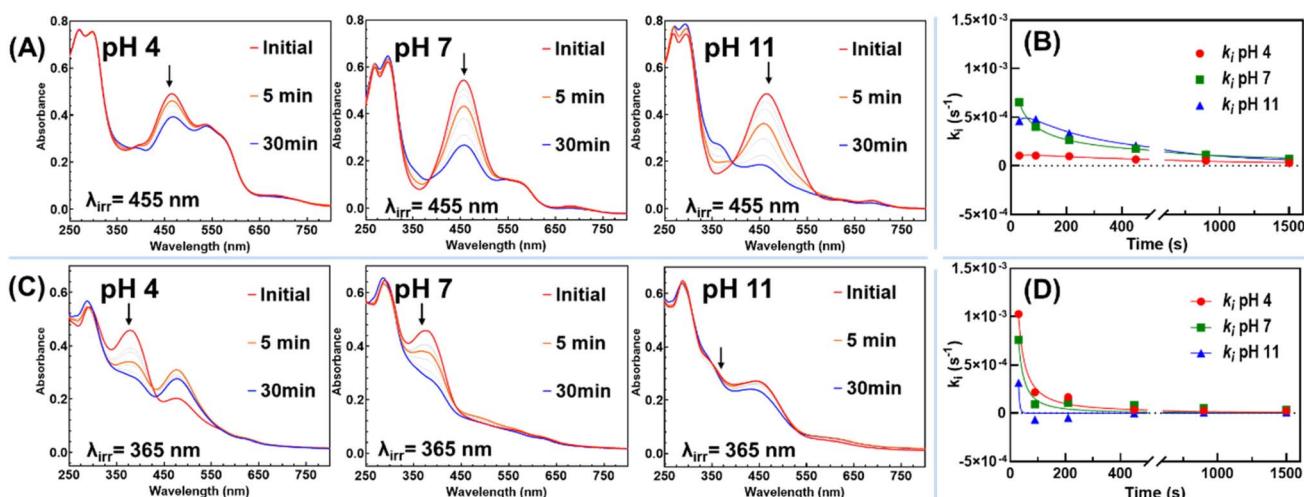


Fig. 4 (A) UV-vis absorption spectral variations observed over time upon irradiation of equilibrated Et<sub>2</sub>N-FlavP<sub>1</sub> solution (0.1 g L<sup>-1</sup>, equilibrated in the dark for 24 hours at pH 4, 7 and 11) with 455 nm light ( $P = 2.1$  W); (B) the rates of the absorbance change at irradiation wavelength at different pH levels for Et<sub>2</sub>N-FlavP<sub>1</sub> solution; (C) UV-vis absorption spectral variations observed upon irradiation of equilibrated MeO-FlavP<sub>1</sub> solution (0.1 g L<sup>-1</sup>, equilibrated in the dark for 24 hours at pH 4, 7 and 11) with 365 nm light ( $P = 2.1$  W); and (D) the rates of the absorbance change at irradiation wavelength at different pH levels for MeO-FlavP<sub>1</sub> solution.



reduce the photoconversion rate.<sup>57</sup> Studies have shown that the photochemical reactions of neutral amino substituted *trans*-chalcone species to flavylium were difficult to achieve in aqueous solution.<sup>58,59</sup> The photochemistry in Et<sub>2</sub>N-FlavP<sub>1</sub> is likely driven by the 4'-amido-*trans*-chalcone (-NH-CO-) moiety, as a small-molecule 4'-amido-*trans*-chalcone derivative has been reported to exhibit low quantum yield of conversion for the photochemical conversion of Ct to Cc/B (0.09).<sup>59</sup>

Additionally, it has been reported that protonated tertiary amine Ct<sup>+</sup> species are more conducive to convert to flavylium following illumination.<sup>60,61</sup> To investigate whether this behaviour extends to polymeric systems, Et<sub>2</sub>N-FlavP<sub>1</sub> solution was illuminated for 30 minutes, followed by the addition of 30  $\mu$ L trifluoroacetic acid. Acid addition led to the disappearance of the Ct absorption band, yielding Ct<sup>+</sup> (Fig. S5 and Scheme S2†), which could be subsequently photochemically converted to AH<sup>+</sup> by UV illumination ( $\lambda_{\text{exc}} = 365$  nm).<sup>60</sup>

In contrast, MeO-FlavP<sub>1</sub> showed a faster photoconversion rate of Ct (Fig. 4C and D), with the production of flavylium following excitation ( $\lambda_{\text{exc}} = 365$  nm) at pH 4. Prolonged illumination (30 minutes), however, resulted in a decrease in flavylium concentration indicating the possibility of a competing thermal process or partial photodecomposition that requires further investigation. Illumination of a solution equilibrated at pH 7 yielded only a trace of flavylium with the diminishment of the Ct electronic band the only notable spectral change. Due to spectral crowding, identification of Cc, B2 and B4 hemiketals is prohibited. At pH 11, the absorption spectra are dominated by the deprotonated MeO-chalconate Ct<sup>-</sup> with excitation resulting in minimal changes to the spectra, an observation consistent with the 4'-methoxyflavylium analogue reported by Pina *et al.*<sup>62</sup> This pH-dependent photochemistry was also used to block the photo-responsive ring shuttling of alkoxy-chalcone to form flavylium at higher pH levels.<sup>63</sup>

### Thermo-responsiveness of polymers

PolyNIPMAM is a well-known thermo-responsive material that exhibits a lower critical solution temperature (LCST) of 44 °C,<sup>64,65</sup> defining a thermal threshold for polymer solubility. Installation of flavylium moieties along with their inherent thermal properties endows these materials with complex thermo-responsive behaviour. As shown in Fig. 5A. The parent polymer P<sub>1</sub> containing NAPhMA showed a rapid transition of the transmittance at 35 °C, representing a cloud point temperature lower than the LCST for NIPMAM homopolymers. We attribute this to the additional hydrophobicity of the polymer chains which is contributed by the NAPhMA. On cooling, hysteresis is observed with the solution not regaining 100% transparency until reaching 25 °C. This is typical behaviour for polyNIPMAM-based materials.<sup>66,67</sup>

In the case of Et<sub>2</sub>N-FlavP<sub>1</sub>, it is noted that the transmittance dropped steadily while heating the solution (Fig. 5B). This phenomenon was caused by increased absorption due to the thermal equilibration of Et<sub>2</sub>N-flavylium, rather than an increase in turbidity as observed for P<sub>1</sub> (Fig. S6†). On cooling, the transmittance did not increase as observed for P<sub>1</sub>, again

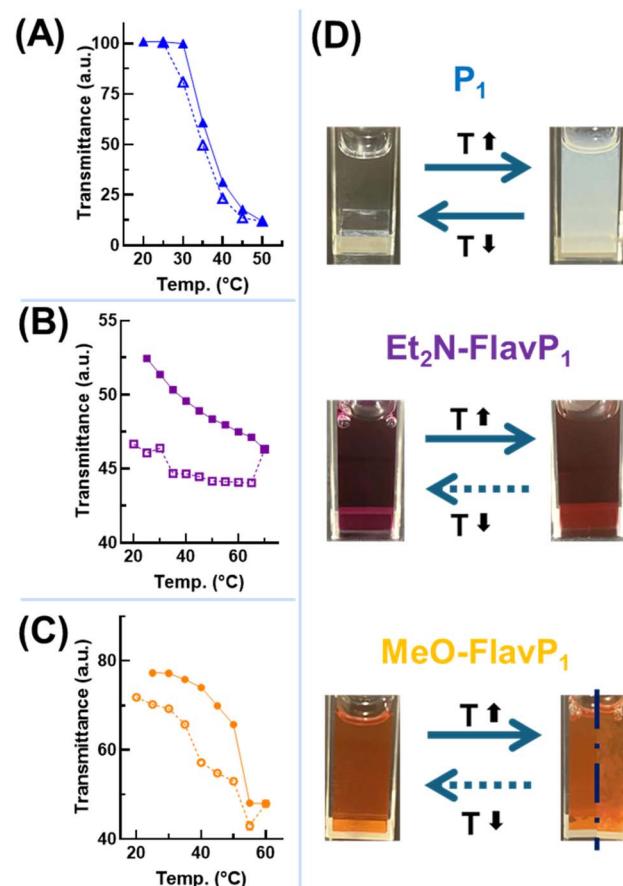


Fig. 5 Transmittance change at  $\lambda = 700$  nm as a function of temperature of polymer solutions (1 g L<sup>-1</sup>) of (A) parent polymer P<sub>1</sub>; (B) Et<sub>2</sub>N-FlavP<sub>1</sub> and (C) MeO-FlavP<sub>1</sub> (solid line: heating; the dotted line: cooling); (D) states of polymeric solutions after heating: the heated MeO-FlavP<sub>1</sub> solution shows increased turbidity (left of blue line) and larger aggregates at 60 °C (right of blue line). On cooling, solid arrows indicate full transmittance recovery; dashed arrows indicate partial recovery.

indicating it was due to speciation of Et<sub>2</sub>N-FlavP<sub>1</sub>. Thus, the thermal behaviour of Et<sub>2</sub>N-FlavP<sub>1</sub> was more influenced by the diethylamino flavylium functionality, and doesn't exhibit pronounced LCST-type thermo-responsiveness from the poly-NIPMAM components, a well-documented process where the LCST is strongly influenced by the nature of hydrophobic or hydrophilic comonomers.<sup>40,68-70</sup> After cooling, the characteristic purple colour of the flavylium species was partially recovered (Fig. 5D), resulting from the reversion of the diethylamino flavylium species at lower temperatures due to the re-establishment of the thermal equilibria.

As for MeO-FlavP<sub>1</sub>, the transmittance of the solution decreased slowly when heating (Fig. 5C), but on reaching 55 °C, the solution rapidly became turbid, suggesting a process attributed to the LCST-type properties of the polyNIPMAM composition. The cloud point of MeO-FlavP<sub>1</sub> is therefore higher than that of P<sub>1</sub>, which can be rationalised by the fact that methoxy flavylium shows greater hydrophilicity due to the change from a neutral acetophenone to a positively charged aromatic



oxonium. Additionally, the dissolution of MeO-FlavP<sub>1</sub> results in an increase of ionic strength, a decrease of the Debye length and hence the range of electrostatic repulsions, thus the polymer formed larger aggregates at 60 °C (Fig. 5D). On cooling, the solution became less turbid, and similarly to Et<sub>2</sub>N-FlavP<sub>1</sub>, not all flavylium was recovered on cooling to 20 °C. This suggests that in MeO-FlavP<sub>1</sub>, the thermo-responsive properties arose from a combination of the polyNIPMAM component's LCST behaviour and the thermodynamic equilibria of methoxy flavylium.

### Surface activity and self-assembly properties of polymers

Both Et<sub>2</sub>N-FlavP<sub>1</sub> and MeO-FlavP<sub>1</sub> were investigated using <sup>1</sup>H NMR spectra in D<sub>2</sub>O and MeOD to interrogate the impact of solvent on their self-assembly properties (Fig. S7 and S8†). Regardless of solvent, the isopropyl proton of the NIPMAM units presented sharp resonances consistent with effective solvation. A subtle upfield shift of the proton signal from  $\delta$  3.90 (MeOD) to  $\delta$  3.87 (D<sub>2</sub>O) likely arises from solvent-induced electronic effects in both cases of the polymers. In contrast, the aromatic protons of flavylium resonances broadened significantly in D<sub>2</sub>O compared to MeOD, indicating reduced molecular mobility due to potential aggregation of the flavylium moieties. To further investigate this observation, various experiments were conducted to assess the surface activity of these polymers as well as their ability to form aggregates/assemblies in aqueous solution.

Profile analysis tensiometry (PAT) with emerging bubble geometry was utilised to study the surface activity of the polymer solutions.<sup>71,72</sup> For the parent polymer P<sub>1</sub>, at the concentration of 0.001 g L<sup>-1</sup>, it took over 30 minutes to record a rapid decrease in the surface tension, indicating that polymer

adsorption at the air–water interface was slow (Fig. 6A). The surface tension *versus* time plot exhibited an 'S' shape, with plateaux at both the initial and final equilibrium stages, which is characteristic of non-ionic small-molecule surfactants. This is consistent with the neutral structure of the parent polymer P<sub>1</sub> in addition to the negligible zeta potential value (-1.7 mV) as shown in Table 2. As the polymer concentration was increased, the surface tension decreased more rapidly, indicating faster adsorption of the polymer at the air–water interface. At concentrations above 0.025 g L<sup>-1</sup>, the equilibrium value of surface tension was quickly established, indicating that the critical concentration required for polymer to aggregate/assemble had been reached. This concentration is generally referred to as the critical micellar concentration (CMC) in small-molecule surfactant solutions; however, since random polymers often form more complex aggregates rather than simple micelles, this concentration is therefore referred in this section as critical aggregation concentration (CAC),<sup>73</sup> and it occurs at the point where the regression line of the linearly dependent surface tension region intersects with the straight line passing through the plateau. The CAC of P<sub>1</sub> was found to be 0.013 g L<sup>-1</sup> (Fig. 6B).

The surface tension was measured for the both flavylium-functionalised polymers' solutions, with CAC values of 0.015 g L<sup>-1</sup> for Et<sub>2</sub>N-FlavP<sub>1</sub> and 0.009 g L<sup>-1</sup> for MeO-FlavP<sub>1</sub> (Table 1 and Fig. S9–S12†). These CAC values are comparable to that of the parent polymer, as the overall polymer compositions, with 6.4% of the polymer chain comprising functional units, were not significantly altered by the post-modification, leaving their affinity towards air–water interface mostly unchanged. The CAC of Et<sub>2</sub>N-FlavP<sub>1</sub> was slightly higher than that of P<sub>1</sub>, likely due to the increased hydrophilicity of the diethylamino-flavylium structure compared to the acetophenone structure, as the methacrylamide derivative is known for its water solubility. On the other hand, MeO-FlavP<sub>1</sub> formed aggregates at a lower concentration, as evidenced by its lower CAC compared to the parent polymer. Despite the enhanced water solubility of the positively charged methoxy-flavylium structure compared to acetophenone, particularly in acidic environments, MeO-FlavP<sub>1</sub> reached maximum air–water interface coverage and aggregate formation faster than P<sub>1</sub> and Et<sub>2</sub>N-FlavP<sub>1</sub>, which suggested that the property of the R<sub>7</sub> group might greatly influence both the interfacial behaviour and the in-solution aggregate formation of the flavylium-containing polymers. Due to the higher hydrophilicity of the positively charged flavylium structures and larger determined absolute values of zeta potential, which indicates stronger electrostatic repulsion between the molecules at the air–water interface, both modified polymers were found to adsorb to a lesser extent than P<sub>1</sub>, as reflected in their equilibrium surface tension of 49.6 mN m<sup>-1</sup> for Et<sub>2</sub>N-FlavP<sub>1</sub> and 47.9 mN m<sup>-1</sup> for MeO-FlavP<sub>1</sub> comparing to 46.7 mN m<sup>-1</sup> of P<sub>1</sub> (Table 2). Given the duration of the tensiometry measurements (>3 hours) in conjunction with the complex chemical equilibria of the FlavPs, it is reasonable to consider that the surface activity and CAC values are representative of chemically complex polymers approaching equilibrium.

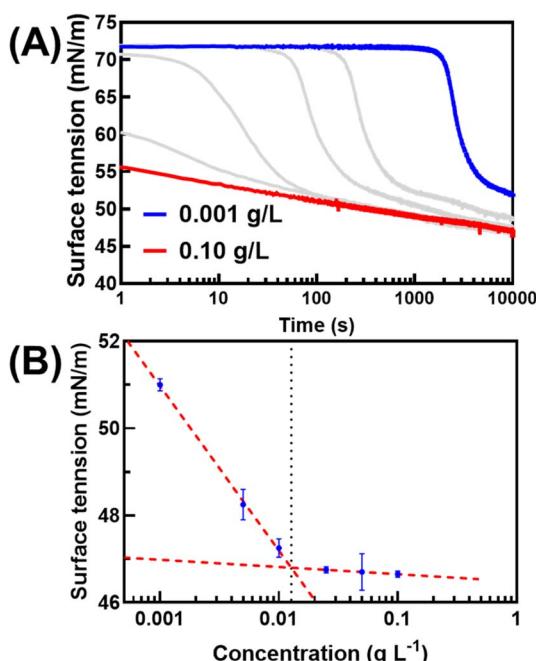


Fig. 6 (A) Surface tension change of P<sub>1</sub> aqueous solutions as a function of time at different concentrations; (B) an illustration of finding the CAC of parent polymer P<sub>1</sub> by plotting the equilibrium surface tension against concentration.



Table 2 A summary of surface tension, CAC and zeta potential (determined in deionised water) values for studied polymers

Polymer	Equilibrium surface tension <sup>a</sup> $\sigma$ (mN m <sup>-1</sup> )	CAC <sup>b</sup> (g L <sup>-1</sup> )	Zeta potential <sup>c</sup> $\zeta$ (mV)
P <sub>1</sub>	46.7 ± 0.1	0.013	-1.7
Et <sub>2</sub> N-FlavP <sub>1</sub>	49.6 ± 0.1	0.015	+10.6
MeO-FlavP <sub>1</sub>	47.9 ± 0.1	0.009	+14.7

<sup>a</sup> Determined at the point where the regression line of the linearly dependent surface tension region intersects with the straight line passing through the plateau (Fig S9 and S10). <sup>b</sup> Calculated by finding the intersection of the two curves plotted at the decreasing stage and plateauing stage (Fig S11 and S12). <sup>c</sup> Determined in aqueous solutions (1 g L<sup>-1</sup>) from electrophoretic mobility measurements using Malvern NanoZetasizer. All polymers were dissolved in deionised water; however, due to different degree of ionisation, the ionic strength in these solutions was different.

The PAT with emerging bubble geometry allows one to minimise surfactant depletion effects. This is essential for surfactant solutions of low concentration and slow adsorption kinetics.<sup>66</sup> The determined zeta potential values of polymer in aqueous solutions (1 g L<sup>-1</sup>) were +10.6 mV for Et<sub>2</sub>N-FlavP<sub>1</sub> and +14.7 mV for MeO-FlavP<sub>1</sub> (Table 2), confirming successful cationic modifications. However, these relatively low absolute values of the zeta potential (<|15| mV) suggest that these polymers in solution are not colloidally stable and there will be a tendency for aggregate formation/coagulation in solution (especially at higher ionic strengths).<sup>74,75</sup>

To reveal the size of aggregates formed by these polymers, dynamic light scattering (DLS) experiments were performed on aqueous solutions. Solutions of the parent polymer P<sub>1</sub> showed scattering from particles present that had an average

hydrodynamic diameter ( $D_h$ ) of 44.5 nm with a polydispersity index (PDI) of 0.172 (Fig. 7A). Attempts were made to determine the  $D_h$  for flavylium-containing polymers on DLS; however, this was unsuccessful due to the strongly coloured and fluorescent compounds at the sampling concentration (1 g L<sup>-1</sup>).<sup>76</sup> Small-angle X-ray scattering (SAXS) is not susceptible to these drawbacks and was therefore used to investigate the *in situ* morphology of the aggregates formed in aqueous media.<sup>76,77</sup> The SAXS data profile of parent polymer P<sub>1</sub> was fitted to a fractal model,<sup>78</sup> with a primary particle radius ( $R_p$ ) of 15 Å and a fractal dimension ( $d_f$ ) of 2.22 (Fig. 7B, S13 and Table S3†). The fractal dimension was higher than a random walk mass-fractal structure in theta solvent ( $d_f = 2.00$ ),<sup>79</sup> suggesting the fractal aggregates were not simply solvated polymers, but larger fractal networks formed by individual primary polymer particles. The dimension

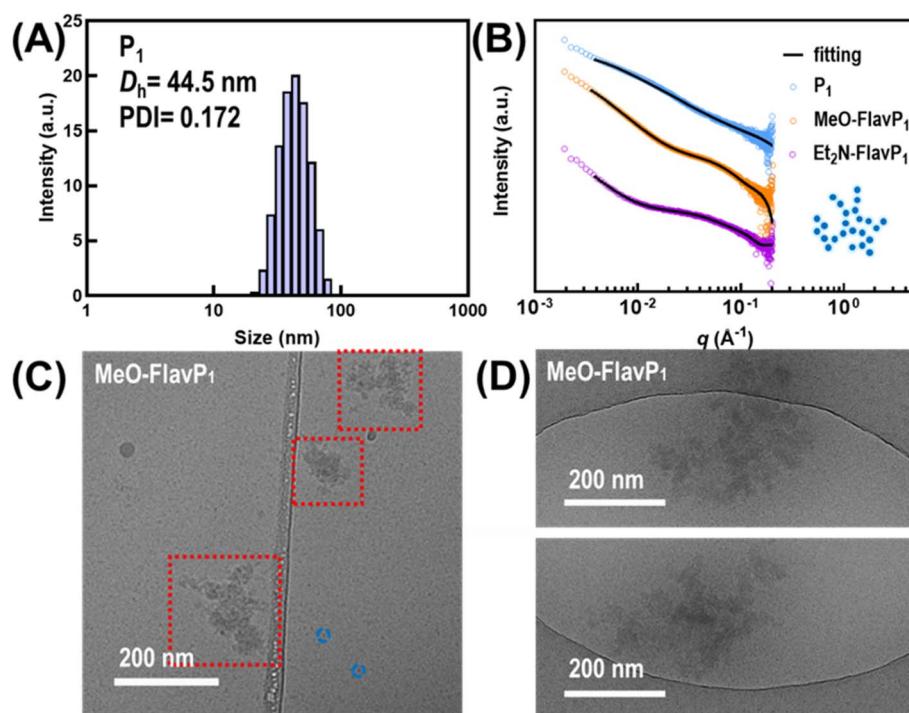


Fig. 7 (A) DLS result by intensity of parent polymer P<sub>1</sub> (aq. 10 g L<sup>-1</sup>); (B) room-temperature SAXS profiles in log–log plots of P<sub>1</sub>, MeO-FlavP<sub>1</sub> and Et<sub>2</sub>N-FlavP<sub>1</sub>. Solid curves are the fitted results achieved with SasView using a fractal core–shell model (the original SAXS data and tabulated fitting results are provided in ESI†). (C) Cryo-EM micrograph of aggregates formed in MeO-FlavP<sub>1</sub> solution (aq. 10 g L<sup>-1</sup>) in vitrified ice in a Lacey carbon film copper grid, red dotted line: fractal aggregates; blue dashed line: individual primary polymer particles. The larger dark spots are crystalline ice contamination stemmed on the surface; (D) Cryo-EM micrographs of MeO-FlavP<sub>1</sub> fractal clusters (aq. 10 g L<sup>-1</sup>) in ice vitrified in a Quantifoil copper grid.



of  $P_1$  was close to a reaction-limited cluster aggregation (RLCA),<sup>80</sup> which indicates that not all collisions between particles result in aggregation, and these clusters are usually more compact than the aggregates formed by diffusion-limited cluster aggregation, which happens upon particle contact.<sup>81</sup> Thus, we anticipate that the fractal clusters seen here are dynamic in nature and loosely aggregated by weak, physical interactions.

SAXS data from solutions of flavylum-containing polymers fitted better to a core–shell fractal model (Fig. 7B, S14, S15 and Table S4, S5†), with core  $R_p$  of 20 Å for MeO-FlavP<sub>1</sub> and 26 Å for Et<sub>2</sub>N-FlavP<sub>1</sub>, and shell thickness of 30 Å and 46 Å, respectively, resulting in primary particles sizes (total diameter) of approximately 10.0 nm for MeO-FlavP<sub>1</sub>, and 14.5 nm for Et<sub>2</sub>N-FlavP<sub>1</sub>. This difference probably arises because the diethylamino groups of Et<sub>2</sub>N-FlavP<sub>1</sub> result in a polymer that is more hydrophilic and thus particles were potentially more solvated than those formed from MeO-FlavP<sub>1</sub>. These individual primary particles then further aggregated into fractal structures with  $d_f$  of 2.78 for MeO-FlavP<sub>1</sub> and 2.93 for Et<sub>2</sub>N-FlavP<sub>1</sub>, respectively. These fractal dimensions are extremely high, which indicates that comparatively strong attractive forces exist between the primary particles, forming dense and compact clusters.<sup>82</sup> Relatively high dimensional polymer colloidal fractal aggregates ( $d_f = 2.40\text{--}2.60$ ) were previously reported in long chain colloidal spheres of poly(methyl methacrylate) (PMMA) in liquids.<sup>83</sup> The formation of primary particles and fractal cluster here is likely driven by pendant chain hydrophobic interactions and  $\pi\text{--}\pi$  stacking interactions of the acetophenone moiety in the parent polymer. Stable segmental associations have been previously found in some fractal aggregates formed by the in-plane stacking of phenylene or phenyl moiety in toluene.<sup>84</sup>

In the case of the two flavylum-containing polymers, the primary particles exhibited a core–shell structure. This information in conjunction with <sup>1</sup>H NMR analysis supports our hypothesis that the broad resonances indicate the flavylum structures were more likely aggregated and denser within the core, while the polymer backbones and NIPMAM units were more concentrated in the shell. It is hypothesised that the sulphate counter anions are attracted to the positively charged, flavylum-rich core, leading to a higher electron density in the core when compared to the shell. This difference in electron density would provide a significant contrast for X-rays, resulting in the more pronounced core–shell features observed in the SAXS profiles of the primary particles formed by flavylum-containing polymers compared to their parent polymer  $P_1$ .

The origin of the aggregated flavylum structures in solutions can be rationalised by: (i) strong  $\pi\text{--}\pi$  interactions between the flavylums, which are known to induce self-assembly and liquid crystal formation.<sup>85,86</sup> These  $\pi\text{--}\pi$  interactions between pendant flavylum moieties in these modified polymers could enable the formation of larger, higher-dimensional clusters, (ii) the intrinsic intermolecular self-association behaviour of flavylums<sup>87–90</sup> which might also have contributed to the formation of aggregated flavylum structures in the particle core, (iii) intramolecular and intermolecular co-pigmentation of the flavylums and chalcones in the polymers as mentioned in previous sections cannot be ruled out as a possible cause to the

primary particle formation.<sup>91</sup> In those single polymer chains whose flavylums moieties were partially converted into chalcones, intramolecular co-pigmentation could be more pronounced; (iv) moderately positive  $\zeta$ -potentials in flavylum-modified polymers introduced repulsive forces that slowed aggregation kinetics, favouring RLCA and yielding compact, dense, and higher dimensional fractal aggregates. Within individual particles, aromatic flavylum moieties were preferentially buried in the core, while NIPMAM components were enriched in the corona/shell. Collectively, these interactions contributed to the formation of fractal aggregates with higher dimensions than typical colloidal systems by consolidating and reinforcing the interactions as discussed. Insights from the spontaneous formation of these aggregates driven by  $\pi\text{--}\pi$  interactions, flavylum self-association and co-pigmentation on the pendant side chains can inform the design of novel self-assembled polymersomes.<sup>70,92,93</sup>

A solution of MeO-FlavP<sub>1</sub> (10 g L<sup>−1</sup>) was interrogated using cryogenic electron microscopy (Cryo-EM), which revealed fractal-like aggregates. This observation agrees with the modelled SAXS data. The difference in the size of individual particles (8–12 nm) and fractal aggregates of MeO-FlavP<sub>1</sub> is evident and presented in Fig. 7C and D. The agreement between SAXS and Cryo-EM confirm the aggregation behaviour of MeO-FlavP<sub>1</sub> in solution. Attempts to image the aggregates under conventional transmission electron microscopy (TEM) with negative staining by uranyl acetate resulted in varying morphologies for both MeO-FlavP<sub>1</sub> and Et<sub>2</sub>N-FlavP<sub>1</sub> (Fig. S16†) and were deemed non-representative of the solvated aggregates reflected by the SAXS results. The change in the aggregate morphology was likely caused by the sample preparation procedures, particularly during the drying process on the copper grids, where the individual droplets merged when water evaporated, and the structures presented in this case might be driven by capillary force and rendered globular domains on the carbon film of the copper grid. Similar morphological change was observed in solution state during the process of evaporation evidenced by SAXS.<sup>94</sup> These findings emphasised the limitations of conventional TEM in studying solvated polymer aggregates, as the drying process can alter the true aggregate morphology. In contrast, Cryo-EM preserves the sample in vitrified ice and offers a clear advantage by maintaining the native state of the aggregates, especially for these fractal aggregates formed by random copolymers.<sup>95</sup>

## Conclusions

Water-soluble statistical poly(NAPhMA-*co*-NIPMAM) was successfully synthesised using RAFT polymerisation. Post-modification of acetophenone moieties on the polymer side chains produced two flavylum derivatives: 7-methoxy flavylum (MeO-FlavP<sub>1</sub>) and 7-diethylamino flavylum (and Et<sub>2</sub>N-FlavP<sub>1</sub>). The selective modification of these acetophenone moieties introduced tuneable properties to the polymers, which are significantly influenced by the chemical nature of the flavylum moieties. The modified polymers demonstrated a dynamic ability to switch between various chemical states in response to stimuli including solvent, pH, heat, and light. The pH-



dependent chromism, fluorescence and photo-chemical interconversions showcase the versatility of these flavylium-modified polymers. These features underscore the inclusion of flavylium components within polymers as an innovative functionalisation strategy to yield multi-responsive luminescent materials.<sup>96,97</sup>

By optimising the composition of the flavylium-containing polymers to enhance water solubility, the intricate pH-dependent equilibria of flavylium and the photochemical transitions of chalcone moieties can be investigated directly in pure water or buffer conditions. This approach demonstrated that covalently integrating flavylium structures into polymer matrices created a synergistic platform, where concepts from both flavylium chemistry and polymer chemistry enrich each other, offering insights and advancing both fields. Studying the equilibria of flavylium moieties on the polymer chains also brings insights to the intramolecular complexation between of flavyliums with other structures such as co-pigmentation.<sup>98</sup>

The surface activity and CACs of the polymers were systematically investigated. The polymers were found to self-assemble into fractal-like aggregates, as confirmed by SAXS. The aggregates formed by MeO-FlavP<sub>1</sub> were revealed using Cryo-EM. These findings demonstrate that modifying the flavylium structures can influence polymer aggregate formation in solution. Although the complex dynamic equilibria and intricate behaviour of flavylium-containing polymers in aqueous systems made it difficult to pinpoint the precise origin of their self-assembly, the investigations on the surface activity of flavylium-containing polymers provided valuable insights for designing novel stimuli-responsive and surface-active materials. Gaining a deeper understanding of how substituent groups affect flavylium equilibria, and consequently polymer self-assembly will be critical for optimising these aggregates to respond to specific environmental stimuli. Concurrently, the  $\pi$ - $\pi$  stacking interactions, self-association and co-pigmentation between flavylium moieties offer inspiration for the design of self-assembling flavylium-containing polymers and novel *trans*-chalcone crosslinked single-chain nanoparticles.<sup>99</sup>

Finally, this work presents a significant advancement in the development of multi-stimuli-responsive polymers by integrating bio-inspired flavylium chemistry<sup>100</sup> into polymer systems by covalent incorporation, creating pathways for novel research on interactions between flavylium moieties and polymer matrices. Understanding how substituent groups influence flavylium equilibria and polymer self-assembly is crucial for optimising these systems to design fluorescent materials with tailored responses to environmental stimuli. The resulting materials have significant potential for advanced applications in smart chromophores, responsive surfactants, and fluorescent biochemical sensors.

## Abbreviations

NIPMAM	<i>N</i> -Isopropylmethacrylamide
RLCA	Reaction-limited cluster aggregation
RAFT	Reversible addition-fragmentation chain transfer
NApHMA	<i>N</i> -(4-Acetylphenyl)methacrylamide

CDTPA	4-Cyano-4-[(dodecylsulfanylthiocarbonyl)sulfanyl]pentanoic acid
AIBN	2,2'-Azobis(isobutyronitrile)
MeO-	7-Methoxy flavylium-containing polymer
FlavP <sub>1</sub>	
SAXS	Small-angle X-ray scattering
CMC	Critical micellization concentration
CAC	Critical aggregation concentration
GPC	Gel permeation chromatography
DLS	Dynamic light scattering
TEM	Transmission electron microscopy
Cryo-EM	Cryogenic electron microscopy
NMR	Nuclear magnetic resonance spectroscopy
<i>d</i> <sub>f</sub>	Fractal dimension

## Data availability

All the data supporting this article have been included in the main text and the electronic (ESI).†

## Author contributions

Conceptualisation: Y. L., C. R.; investigation, methodology, data acquisition, and curation: Y. L.; P. P.; R. F. T., B. W. M.; writing-original draft preparation: Y. L.; writing-review and editing: all authors; funding acquisition: D. A. B., M. K., C. R., S. H. T. The manuscript was written through the contributions of all authors. All authors have approved the final version of the manuscript.

## Conflicts of interest

The authors declare no conflicts of interest.

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