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# Solid-state temperature-dependent luminescence of C,C'-diaryl-o-carboranes based on restriction of excited-state structural relaxation†

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Here, we show mechanistic analyses of solid-state temperature-dependent luminescence of C,C'-diaryl-o-carborane derivatives. Solid-state stimuli-responsiveness is a promising property to visualize environmental changes on the molecular scale. Thus, mechanistic study of responsiveness is meaningful for broadening the scope of materials. We previously reported solid-state temperature-dependent luminochromism of C-anthryl-o-carborane derivatives. Among them, we re-focused on C-anthryl-C'-phenyl-o-carborane as a prototypical compound and synthesized derivatives with electronically different substituents on aryl units. Based on extensive analyses with crystallography, variable temperature optical measurements and theoretical calculation, we show that luminochromic behaviors can be explained by partially restricted excited-state elongation of the carbon-carbon bond in the cluster. Our results indicate that thermal expansion and contraction of the crystalline lattice should play a key role in modulating the degree of excited-state structural relaxation including the bond elongation in the cluster.

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## Introduction

Stimuli-responsive materials change their chemical structures and physical properties in response to external stimuli. These materials can convert applied stimuli and environmental changes to observable signals. Numerous materials have been reported to have various responsiveness toward external stimuli with changing their properties.<sup>1,2</sup> Luminescent color change is one of the important outputs for stimuli detection due to its reproducibility, repeatability, and high sensitivity. Some molecular skeletons show unique responsiveness utilizing excited-state processes such as twisted intramolecular charge transfer (TICT),<sup>3</sup> excited-state intramolecular proton transfer (ESIPT),<sup>4</sup> and excimer/excimer formation.<sup>5</sup> Basically, luminescent molecules with such processes have large Stokes shifts, which enables us to recognize luminescent color change in response to stimuli.<sup>6</sup> Recently, excited-state structural relaxation processes of flexible  $\pi$ -conjugated skeletons have gained attention in the molecular science and material fields.<sup>6,7</sup> Upon excitation, such flexible molecules structurally transform to stable structures in the excited state. In an environment where

structural change freely occurs, excited molecules can adopt a completely relaxed geometry. In contrast, when the relaxation is partially restricted by the surrounding environment or external stimuli, these compounds show luminescent color change. Based on this excited-state dynamics, stimuli-responsive properties have been reported with flexible  $\pi$ -conjugated skeletons, mainly focusing on responsiveness in solution states.<sup>8–11</sup> At this stage, solid-state stimuli-responsive luminescent molecules with flexible skeletons have rarely been reported.<sup>12,13</sup> Those molecules have advantages of high sensitivity visualizing slight external environmental change based on the abundant stable structures in the excited state even though stimuli-responsiveness accompanying structural change is often cancelled by densely-packed surrounding molecules in film or crystalline materials. Therefore, the development of molecules with both flexible skeletons and stimuli-responsiveness has been regarded as a challenging research target. Additionally, when we aim for practical usages, solid-state stimuli-responsive luminescent molecules can be regarded as promising candidates because they are suitable for optoelectronic applications, such as organic light-emitting diodes, solid-state lasers and sensing devices, owing to the durability of the compound against heat and chemical stimuli without vaporization of the solvent and large volume change.<sup>14,15</sup> This is a major difference in comparison with a conventional chromophore, which is highly emissive only in the solution state, but suffers from quenching in the solid state due to intermolecular interactions such as  $\pi$ - $\pi$  interactions.<sup>16</sup>

We have focused on the icosahedral compound o-carborane as a key skeleton of solid-state stimuli-responsive luminescent

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materials.<sup>17</sup> *o*-Carborane is representative of a class of boron cluster compounds composed of two carbon and ten boron atoms in their core units.<sup>18,19</sup> Optical properties of *C*-aryl-*o*-carborane derivatives have attracted attention from photophysical aspects during the last decade<sup>20–25</sup> and been analyzed in theoretical studies in recent years.<sup>26–29</sup> One of the impressive optical properties is intramolecular charge transfer (ICT) emission.<sup>30</sup> Owing to the electron deficiency of boron atoms when connected to  $\pi$ -conjugated units, *o*-carborane can work as an electron acceptor with orbital conjugation. After photoexcitation, a locally excited state of the  $\pi$ -units is formed, where molecular geometry is close to that of the Franck–Condon state. Subsequent structural relaxation forms the ICT state through electronic charge transfer from the  $\pi$ -units to the boron cluster. During the relaxation process, the carbon–carbon bond in the *o*-carborane unit ( $C_{\text{cage}}-C_{\text{cage}}$ ) elongates due to the electronic conjugation from  $\pi$ -orbitals of aryl groups to anti-bonding  $\sigma^*$ -orbital of the  $C_{\text{cage}}-C_{\text{cage}}$  bond.<sup>30–32</sup> In this process, the  $C_{\text{cage}}-C_{\text{cage}}$  bonds adopt a perpendicular conformation to the  $\pi$ -orbitals of aryl groups and elongate to *ca.* 2.3 Å.<sup>26,30</sup> Some of the *C*-aryl derivatives have an aggregation-induced emission (AIE)<sup>1,16</sup> property based on ICT emission. Only trace of luminescence is observed in solution, while intense emission in aggregation and/or solid can be obtained. The origin of the AIE phenomena is often described in terms of suppression of non-radiative decay in the aggregated state.<sup>33–36</sup> Our group experimentally demonstrated that the  $C_{\text{cage}}-C_{\text{cage}}$  bond elongation process plays a key role in the photophysical process in the excited state leading to the AIE property.<sup>26</sup> Additionally, previous studies have discussed the mechanism based on energetic accessibility to a non-emissive dark CT state with a structure of further elongated  $C_{\text{cage}}-C_{\text{cage}}$  bonds (*ca.* 2.6 Å) and parallel conformation of the  $C_{\text{cage}}-C_{\text{cage}}$  bond to aryl  $\pi$ -orbitals.<sup>27,29</sup> Luminescence can be quenched by structural relaxation in the solution state, while the rigid environment in the solid state partially restricts the relaxation, which should lead to AIE phenomena. Based on the above-mentioned excited-state dynamics of *o*-carborane derivatives and solid-state/solution-state luminescent properties, various types of stimuli-responsive materials have been reported by utilizing  $\pi$ -conjugated scaffolds such as anthracene,<sup>37–41</sup> pyrene,<sup>40,42–47</sup> carbazole,<sup>22,48,49</sup> triphenylamine,<sup>50</sup> and bis(arylethynyl)benzene.<sup>51,52</sup>

Here, we report mechanistic analyses of temperature-dependent luminescent properties of *C,C'*-diaryl-*o*-carborane derivatives. We previously reported solid-state temperature-dependent luminochromism of *C*-anthryl-*o*-carborane derivatives.<sup>32</sup> Theoretical study by Su *et al.* suggested the critical role of the  $C_{\text{cage}}-C_{\text{cage}}$  bond elongation process.<sup>28</sup> In this paper, we focus on the *C*-phenyl-*C'*-anthryl derivative (**H-H**), which we regard as a prototypical compound with *C,C'*-diaryl units for further functionalization. Through an organic synthetic approach, we introduced electronically different substituents on the phenyl or/and anthryl groups and clarified the mechanism of thermo-responsive luminescent properties based on the results of photophysical measurements, crystallographic data, and thermal analysis. Moreover, these optical properties were well realized by theoretical calculations. To the best of our

knowledge, we firstly indicate the crucial role of solid-state partial  $C_{\text{cage}}-C_{\text{cage}}$  bond elongation in the temperature-dependent luminescent properties of *C,C'*-diaryl-*o*-carborane derivatives both experimentally and theoretically.

## Results and discussion

### Synthesis

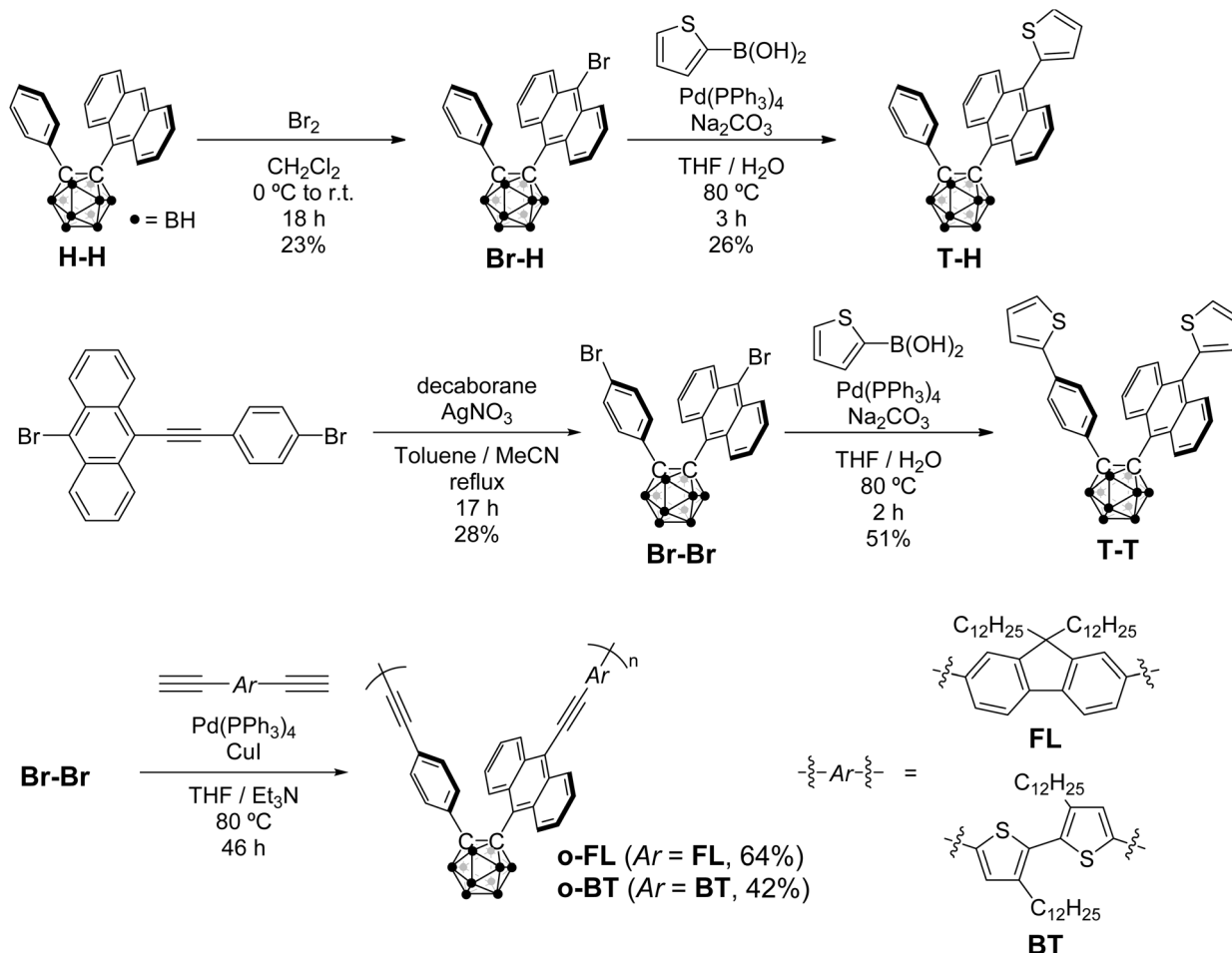
The synthetic scheme of *C,C'*-diaryl-*o*-carborane derivatives is shown in Scheme 1 (see the ESI† for details). We introduced electron-donating 2-thienyl or electron-accepting bromo groups on phenyl and/or 9-anthryl moieties. The prototypical compound, **H-H**, was prepared by the alkyne insertion reaction<sup>53,54</sup> with 9-(phenylethynyl)anthracene and decarborane ( $B_{10}H_{14}$ ) according to previous literature.<sup>32</sup> The mono- and bis-brominated derivatives **Br-H** and **Br-Br** were synthesized through nucleophilic aromatic substitution with  $Br_2$  and **H-H**, and alkyne insertion with 9-bromo-10-((4-bromophenyl)ethynyl)anthracene and  $B_{10}H_{14}$ , respectively. Afterwards, Suzuki–Miyaura coupling reactions of **Br-H** and **Br-Br** with 2-thiopheneboronic acid afforded mono-thienyl derivative **T-H** and bis-thienyl derivative **T-T**, respectively.

We also performed co-oligomerization reactions with fluorene or strongly electron-donating bithiophene used as comonomer units. Sonogashira–Hagihara coupling reactions between **Br-Br** and corresponding diethynyl-aryl comonomers afforded alternating co-oligomers with fluorene (**o-FL**) and bithiophene (**o-BT**), respectively. Ethynylene spacers were incorporated to reduce steric hindrance of chain-ends during propagation reactions between V-shaped diaryl-*o*-carborane and bis-brominated monomers. The products showed good solubility in conventional organic solvents, such as chloroform ( $CHCl_3$ ), dichloromethane and tetrahydrofuran. According to the physical properties shown in the ESI†, we concluded that polymeric products should be long enough for evaluating the influence of extension of  $\pi$ -conjugation through the main-chains on electronic properties.

### X-ray diffraction analysis

Crystal structures of **H-H**, **Br-H**, **Br-Br**, **T-H**, and **T-T** were determined using single-crystal X-ray diffraction (SCXRD) analyses (Fig. 1 and Table S1†). We collected crystallographic data from all compounds under the same conditions, although the data for **H-H** were previously reported. From diffraction data, molecular structures of the derivatives were unambiguously characterized. All compounds had V-shaped geometries with phenyl and anthryl groups arranged almost perpendicular to the  $C_{\text{cage}}-C_{\text{cage}}$  bonds. The bond lengths between carbon atoms were within a narrow range of 0.01 Å (1.820(4)–1.830(5) Å) and longer than that of parent *o*-carborane (1.62 Å).<sup>55</sup> This is because steric repulsion should occur between phenyl and anthryl groups. Additionally, the five compounds had similar values of geometrical parameters such as the dihedral angles of the  $C_{\text{cage}}-C_{\text{cage}}$  bond with the anthryl group and the distance between phenyl and anthryl units (Table S2†). These results indicate that the derivatives have similar ground-state geometry independent of the substituents.





Scheme 1 Synthetic schemes of C,C'-diaryl-o-carborane derivatives.

The temperature dependency of the crystalline structures was examined for **H-H** (Tables S3 and S4†). Diffraction data measured at 143 K were compared with those obtained at 208 and 243 K. In all data, **H-H** crystallized in the same space group ( $P2_1/n$ ) and its molecular geometries were hardly affected by temperature difference (Table S5†). However, the lattice constant was affected by temperature change. We observed a cell volume ( $V_{\text{cell}}$ ) expansion along with temperature increasing (143 K, 2172.9(19) Å<sup>3</sup>; 208 K, 2180.6(7) Å<sup>3</sup>; 243 K, 2183.5(8) Å<sup>3</sup>). This change should originate from molecular vibration activated by external heat. The void volume in the unit cell ( $V_{\text{void}}$ ) also increased linearly with temperature elevation (Fig. S1†; 143 K, 253 Å<sup>3</sup>; 208 K, 262 Å<sup>3</sup>; 243 K, 267 Å<sup>3</sup>). Additionally, the space occupied by each molecule ( $V_{\text{mol}}$ ) was calculated by  $(V_{\text{cell}} - V_{\text{void}})/Z$ , where  $Z$  denotes the number of molecules in the asymmetric unit. The  $V_{\text{mol}}$  values were almost the same at all temperatures (143 K, 480 Å<sup>3</sup>; 208 K, 480 Å<sup>3</sup>; 243 K, 479 Å<sup>3</sup>). These results suggest that each molecule in the crystalline lattice gains more available space for accompanying excited-state structural change in higher temperature regions.

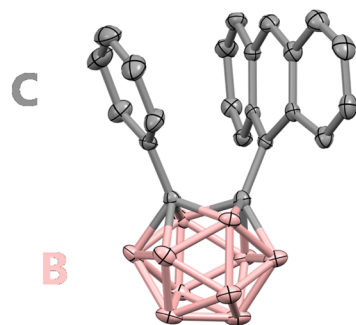
Crystallinity of the compounds was investigated with powder X-ray diffraction (PXRD) analyses. Solid samples of **H-H**, **Br-H**, **Br-Br**, **T-H**, and **T-T** were prepared by recrystallization from hot

$\text{CHCl}_3/\text{MeOH}$  solutions. All peak positions in PXRD patterns were well matched with those simulated from SCXRD data (Fig. S2†). This correspondence supports that the as-synthesized solid samples have sufficient crystallinity to discuss solid-state luminescent properties considering SCXRD structures.

### Optical properties

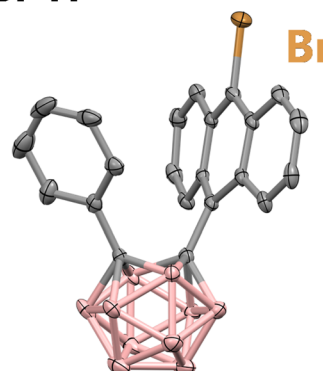
Optical measurements were conducted to obtain information about the electronic structures of the compounds (Fig. 2, S3–S14, Tables 1, S6 and S7†). UV-visible absorption spectra were measured in  $\text{CHCl}_3$ . Compared to **H-H**, it was found that the maximum absorption wavelengths ( $\lambda_{\text{abs}}$ ) of the lowest energy band bathochromically shifted by 24 nm (**T-H**) and 26 nm (**T-T**), implying that the introduction of electron-donating thiophene units could contribute to lowering the energy gaps. **o-FL** and **o-BT** exhibited even more red-shifted absorption than small molecules. This result indicates that electronic conjugation should be developed through polymer main-chains. Photoluminescence (PL) in  $\text{CHCl}_3$  was also red-shifted with a similar tendency to absorption. Luminescent maximum wavelengths of PL bands ( $\lambda_{\text{PL}}$ ) of **o-FL** and **o-BT** reached the NIR region (**o-FL**, 709 nm; **o-BT**, 743 nm). To examine the origin of this tendency,

a) H-H



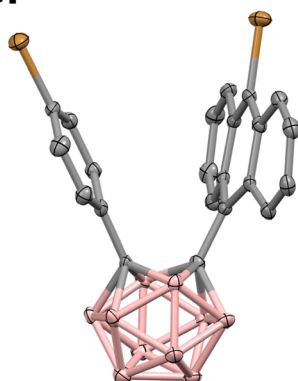
$$C_{\text{cage}}-C_{\text{cage}} = 1.830(5) \text{ \AA}$$

b) Br-H



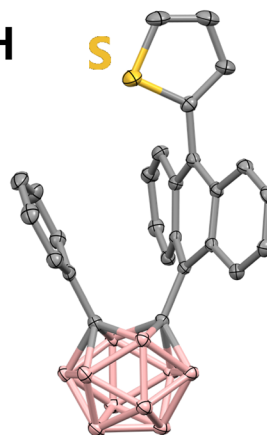
$$C_{\text{cage}}-C_{\text{cage}} = 1.821(3) \text{ \AA}$$

c) Br-Br



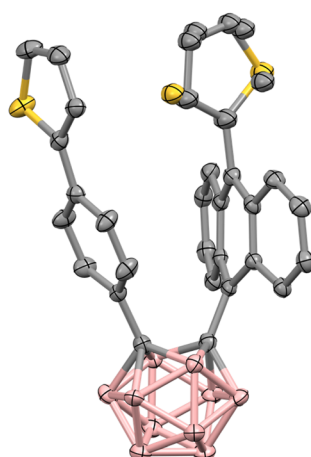
$$C_{\text{cage}}-C_{\text{cage}} = 1.820(4) \text{ \AA}$$

d) T-H



$$C_{\text{cage}}-C_{\text{cage}} = 1.830(3) \text{ \AA}$$

e) T-T



$$C_{\text{cage}}-C_{\text{cage}} = 1.827(4) \text{ \AA}$$

Fig. 1 ORTEP drawings of (a) H-H, (b) Br-H, (c) Br-Br, (d) T-H and (e) T-T. Thermal ellipsoids are drawn at 50% probability level. Hydrogen atoms are omitted for clarity. The thiophene ring on the anthracene unit of T-T is refined with ring flipping disorder. Colors: B, pink; C, grey; S, yellow; Br, brown.

energy levels of frontier molecular orbitals were determined with cyclic voltammetry (CV) measurements (Fig. S18 and S19†). Accordingly, energy levels of the highest occupied molecular

orbital (HOMO) were slightly elevated by the introduction of thiophene units (H-H,  $-5.72$  eV; T-H,  $-5.55$  eV; T-T,  $-5.62$  eV), while those of the lowest unoccupied molecular orbital (LUMO)



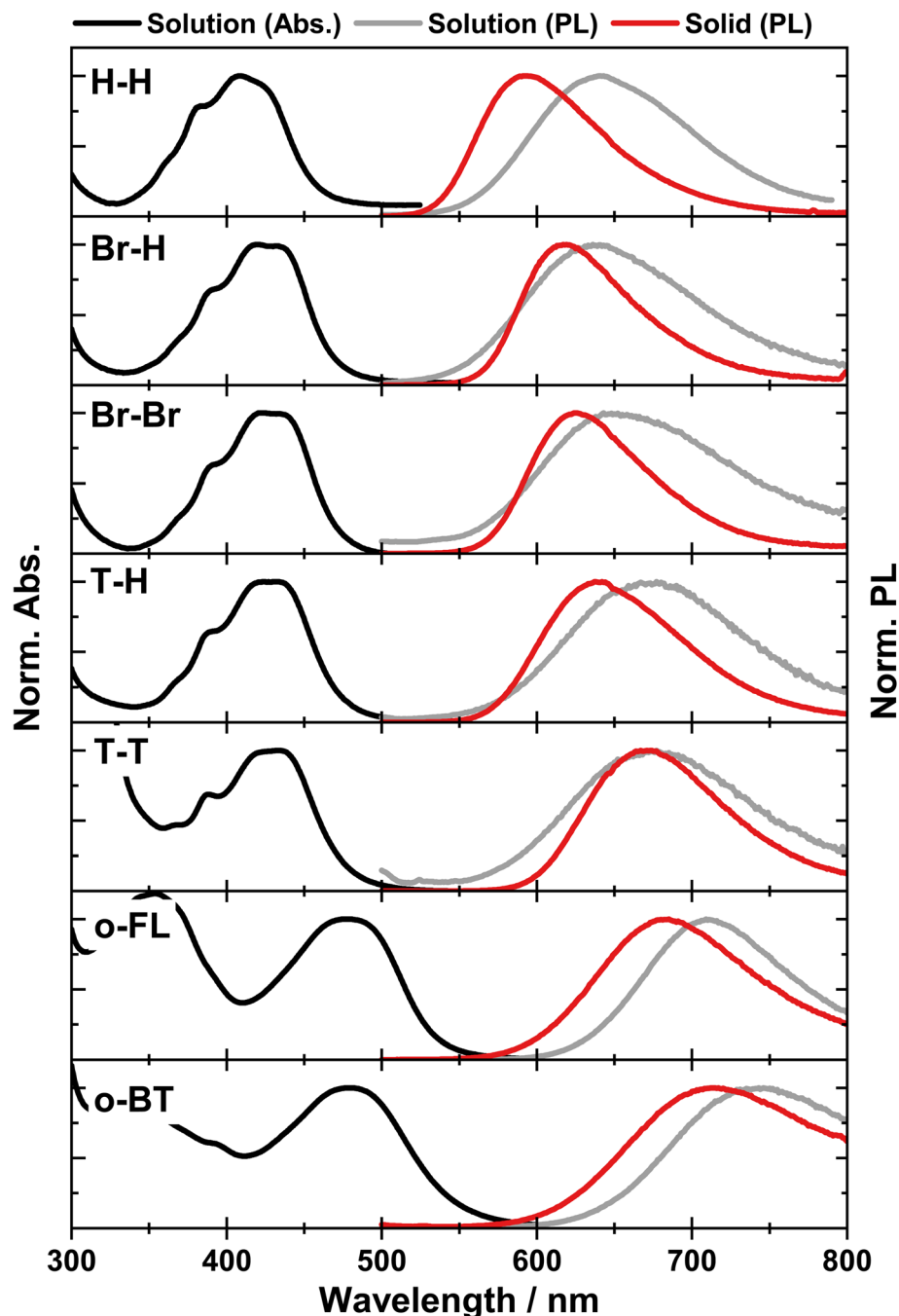


Fig. 2 Normalized UV-vis absorption (Abs.) and photoluminescence (PL) spectra of *C,C'*-diaryl-*o*-carborane derivatives (solution: chloroform,  $1.0 \times 10^{-5}$  M).

remained at almost the same level (**H-H**,  $-3.57$  eV; **T-H**,  $-3.61$  eV; **T-T**,  $-3.56$  eV). CV results indicate that narrower energy gaps should originate from increases in HOMO levels by the introduction of electron-donating groups. This effect is especially observed in **o-BT** which has bithiophene as the strongest electron-donating group, resulting in NIR emission. To determine luminescent species, we performed optical measurements in various solvents (Fig. S3, S4 and Table S6†). For all compounds, larger Stokes shifts were observed in solvents with higher polarity, clearly meaning that all

luminescent bands have ICT characters. For small molecules, very weak PL was observed (luminescent quantum yield ( $\Phi_{\text{PL}}$ )  $\leq 0.01$ ) in the solution state, similarly to typical *C*-aryl-substituted *o*-carboranes.<sup>22,30,32,56</sup> This is because the non-radiative pathways should be opened during excited-state structural relaxation.<sup>26</sup>

Solid-state luminescent properties were measured with crystal samples of the compounds and oligomer films (Fig. 2 and Table 1). The maximum emission wavelengths were red-shifted by the introduction of electron-donating groups as observed in the solution state (**H-H**, 592 nm; **T-H**, 637 nm; **T-T**,





Table 1 Photophysical properties of C,C'-diaryl-o-carboranes

Compound	State	$\lambda_{\text{abs}}/\text{nm}$	$\lambda_{\text{PL}}^c/\text{nm}$	$\Phi_{\text{PL}}^{c,d}$	$\tau_{\text{PL}}^e/\text{ns}$
<b>H-H</b>	Solution <sup>a</sup>	408	643	0.01	n.d.
	Crystal	—	592	0.70	7.7
<b>Br-H</b>	Solution <sup>a</sup>	419	637	<0.01	n.d.
	Crystal	—	619	0.26	2.9
<b>Br-Br</b>	Solution <sup>a</sup>	422	643	<0.01	n.d.
	Crystal	—	625	0.26	3.2
<b>T-H</b>	Solution <sup>a</sup>	432	676	<0.01	n.d.
	Crystal	—	637	0.66	7.5
<b>T-T</b>	Solution <sup>a</sup>	434	677	<0.01	n.d.
	Crystal	—	671	0.22	3.5
<b>o-FL</b>	Solution <sup>a</sup>	478	709	0.05	n.d.
	Film <sup>b</sup>	491	700	0.06	1.0 (56%), 0.2 (15%), 2.8 (29%)
<b>o-BT</b>	Solution <sup>a</sup>	479	743	0.01	n.d.
	Film <sup>b</sup>	494	729	0.02	n.d.

<sup>a</sup>  $1.0 \times 10^{-5}$  M in  $\text{CHCl}_3$  solution (per repeating units for oligomers). <sup>b</sup> Prepared by a spin-coating method. <sup>c</sup> Excited at  $\lambda_{\text{abs}}$ . <sup>d</sup> Absolute quantum yield determined by an integrating sphere method. <sup>e</sup> Excited at 369 or 375 nm. —: not measured. n.d.: cannot be determined reliably due to weak luminescence.

671 nm) originating from elevation of HOMO energy levels. In all compounds, higher  $\Phi_{\text{PL}}$  values in the solid state were observed than those in solution, representing that these compounds have AIE properties. In addition, in all compounds the  $\lambda_{\text{PL}}$  values were lower than those in  $\text{CHCl}_3$  and greater than those in low-polarity solvent (hexane or  $\text{CCl}_4$ ). The degree of structural relaxation of the ICT-emissive aryl-o-carborane system can be strongly affected by the polarity around the molecule.<sup>20,26,38,56</sup> Then depending on the polarity, the solution-state luminescent wavelength can be located at both shorter and longer wavelength regions in comparison with that in the solid state.

### Temperature dependency of solid-state luminescence

The temperature dependency of luminescent properties was examined to gain deeper insight into the relaxation process in the excited state. Solid-state variable temperature PL (VTPL) properties were monitored (Fig. 3 and Table S7†). Notably, VTPL spectra of oligomers were recorded below glass transition temperatures (*ca.* 340 K determined by differential scanning calorimetry (DSC) (Fig. S21†)) to exclude consideration of glass transition. All compounds showed continuous red shifts of luminescent bands with increasing temperature, although wavelength regions were dependent on the substituents on aryl units as we observed for **H-H** previously (Table S7†).<sup>32</sup> The maximum luminescent wavelengths progressively increased with temperature rising (*e.g.* **H-H**, 576 nm (80 K), 587 nm (230 K), and 607 nm (410 K); **Br-Br**, 617 nm (80 K), 622 nm (230 K), and 640 nm (410 K); **T-T**, 654 nm (80 K), 668 nm (230 K), and 693 nm (410 K)). As we observed from the temperature-dependent X-ray diffraction of **H-H** (Fig. S1, Tables S3 and S4†), solid-state organic compounds have lower density at higher temperature due to thermal expansion of the crystal lattice.<sup>57</sup> It is suggested that this lattice expansion should form larger void volume in crystals where a larger degree of excited-state structural relaxation can be allowed. To discuss the

temperature effect of solid-state molecular conditions on luminescence, thermogravimetric analysis (TGA) and DSC were performed (Fig. S20 and S21†). Within the temperature ranges for PL measurements, TGA and DSC curves indicated that significant weight losses and thermal phase transitions should hardly proceed in the solid state. Based on these results, we can exclude the possibility of decompositions and thermal phase transitions during VTPL measurements.

Additionally, we investigated the temperature dependency of PL quantum yields and lifetimes (Fig. S8–S14 and Table S7†). A downward trend of quantum yield and shortening of lifetime were observed with temperature increasing. According to these values, radiative and non-radiative decay constants ( $k_r$  and  $k_{nr}$ , respectively) were calculated (Fig. S15, S16 and Table S7†). It was revealed that  $k_r$  values were almost independent or lowered with temperature increasing. In contrast,  $k_{nr}$  values exponentially increased with temperature although each compound had a different extent of increase. **T-T**, **o-FL**, and **o-BT** had  $k_{nr}$  values one order of magnitude larger than those of other compounds, especially in higher temperature regions. Due to the narrower energy gaps, these three compounds should potentially have more non-radiative decay pathways as explained by the energy gap law.<sup>58</sup> Therefore, emission quenching proceeds by heating. Larger  $k_{nr}$  values of brominated derivatives (**Br-H** and **Br-Br**) than **H-H** in the whole temperature range can be explained by the heavy atom effect of bromine atoms, which may accelerate intersystem crossing from the singlet to the triplet excited dark state.<sup>59</sup> These kinetic analyses suggest that molecular thermal vibration in the excited state should accelerate at higher temperature, which can lead to non-radiative decay pathways. Temperature-dependent luminescent properties were also investigated in the solution state (Fig. S17†), where larger molecular structural change can be allowed than in the solid state. As we expected, drastic spectral change was observed in the 2-methyltetrahydrofuran solution of **H-H**, while the spectra were strongly affected by glass transition of the solvent and change in dielectric constant along with temperature changes.<sup>60</sup>



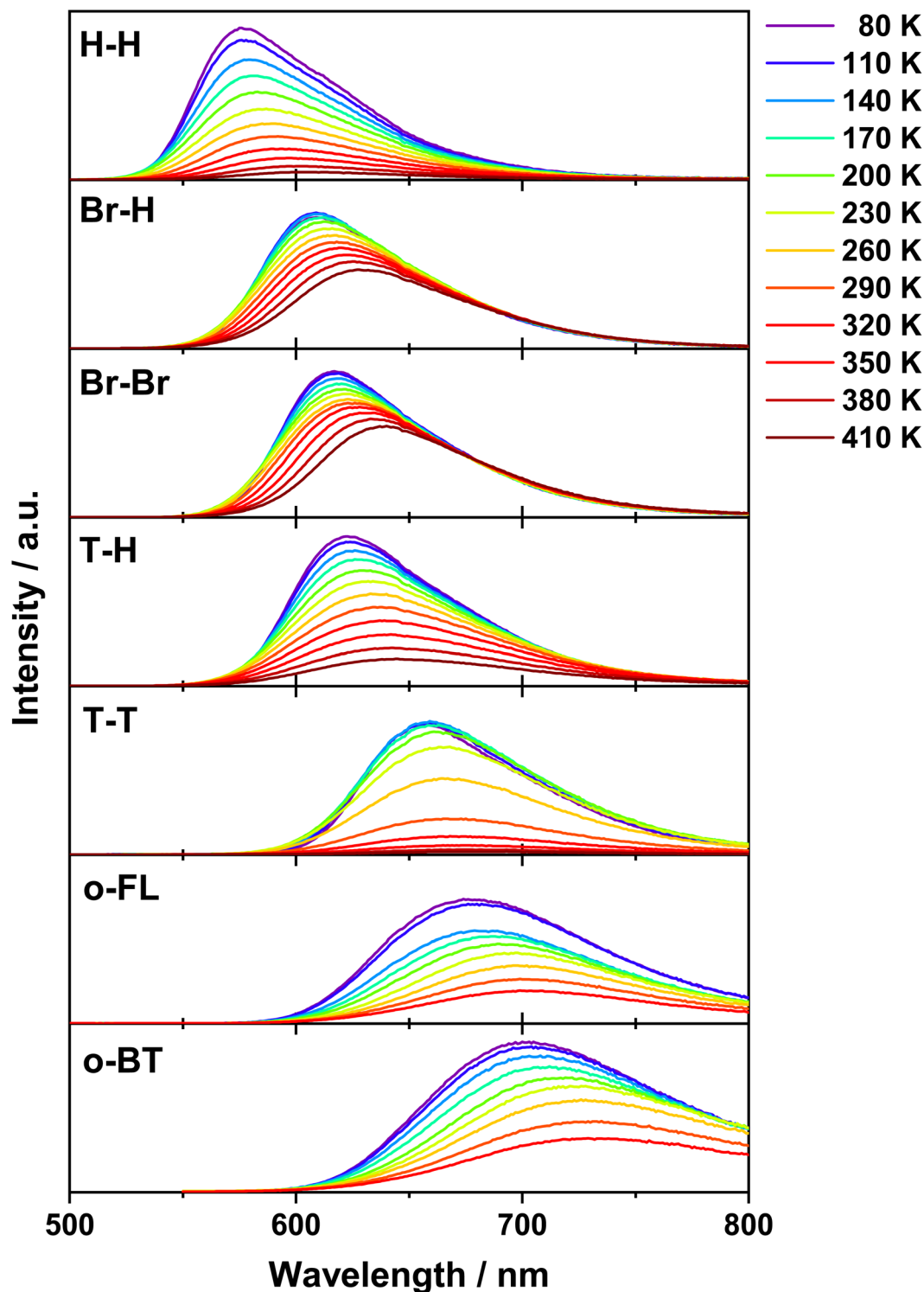


Fig. 3 Solid-state temperature-dependent luminescence spectra of *C,C'*-diaryl-*o*-carboranes.

This result suggests that the surrounding environment strongly influences the luminescent properties of the derivatives.

#### Theoretical calculation

To gain a mechanistic insight into the photophysical properties, we performed theoretical calculation on **H-H**, **Br-H**, **Br-Br**, **T-**

**H**, and **T-T**. Density functional theory (DFT) and time-dependent DFT (TD-DFT) calculations were used with the C.01 version of the Gaussian 16 package.<sup>61</sup> After screening of the functionals and basis sets (Table S8†), calculation data were obtained by the CAM-B3LYP functional with LanL2DZ (Br atoms) and 6-31+G(d,p) (all other atoms) basis sets, which can



provide the most reproduced molecular geometries close to those of crystal structures. We focused on the molecular structures in both the ground state ( $S_0$ ) and the first excited state ( $S_1$ ). The solvent effect of  $\text{CHCl}_3$  was modeled with the polarized continuum model<sup>62–64</sup> in the solution state. Quantum mechanics and molecular mechanics (QM/MM) calculation<sup>26,30,65</sup> was used for structural optimization in the crystalline state. The molecular coordinates for QM/MM analyses were extracted from the single-crystal structures (Fig. S23†). One central molecule was treated as a QM molecule using the TD-DFT method and the surrounding molecules were treated as frozen state by the MM method.<sup>66</sup> Under these conditions, molecular structures were optimized in the  $S_0$  and  $S_1$  states.

Firstly, from energy levels and distribution of frontier molecular orbitals, luminescent characters of the derivatives were evaluated (Fig. 4). Compared to **H-H**, electron-donating thiophene units induced an elevation of the HOMO level resulting in a narrower energy gap between HOMO and LUMO as calculated from the CV data. This result supports red-shifted absorption and luminescent bands of **T-H** and **T-T** compared with **H-H**. Indeed, TD-DFT calculation reproduced the trends of the absorption and luminescence wavelengths, and the validity of selection of our calculation level was supported (Table 2). Additionally, luminescence was driven by electronic transition between HOMO and LUMO in the  $S_1$  state. Emission species were characterized by distribution analysis of orbitals. In the  $S_1$  state, HOMOs were mainly located on anthracene and *o*-carborane moieties, whereas LUMOs were spread over the whole

molecules. Along with the luminescent transition, the charge transfer between aryl units and *o*-carborane moieties should occur, supporting our characterization of ICT luminescence. The oligomerization was supposed to enhance ICT character through introduction of electron-donating groups (fluorene and bithiophene). As a result, far more red-shifted luminescence should be induced compared to other compounds.

Next, we found stark differences in the molecular structures between ground and excited states. The most characteristic structural difference was observed in the  $\text{C}_{\text{cage}}-\text{C}_{\text{cage}}$  bond length (Table 2). In the solution state, the bond lengths were 1.778–1.791 Å in the ground state; meanwhile bond extension was observed to 2.290–2.334 Å in the excited state. In the crystalline state, the excited-state  $\text{C}_{\text{cage}}-\text{C}_{\text{cage}}$  bond elongation was also induced to 2.216–2.254 Å. These results suggest that the bond elongation process should be one of the main excited-state structural relaxation processes. The suppression of relaxation calculated in crystals can induce smaller Stokes shifts in solid than in  $\text{CHCl}_3$  solution. Additionally, these relaxation behaviors should lead to a non-radiative decay process. In the solution state, emission from *C,C'*-diaryl-*o*-carborane derivatives is quenched by free structural relaxation. However, in the crystalline state, such processes should be partially restricted by surrounding molecules, and subsequently strong AIE properties can be induced.

Finally, thermochromic luminescence was theoretically analyzed. Correlation between the  $\text{C}_{\text{cage}}-\text{C}_{\text{cage}}$  bond length and electronic states suggested the role of the  $\text{C}_{\text{cage}}-\text{C}_{\text{cage}}$  bond in

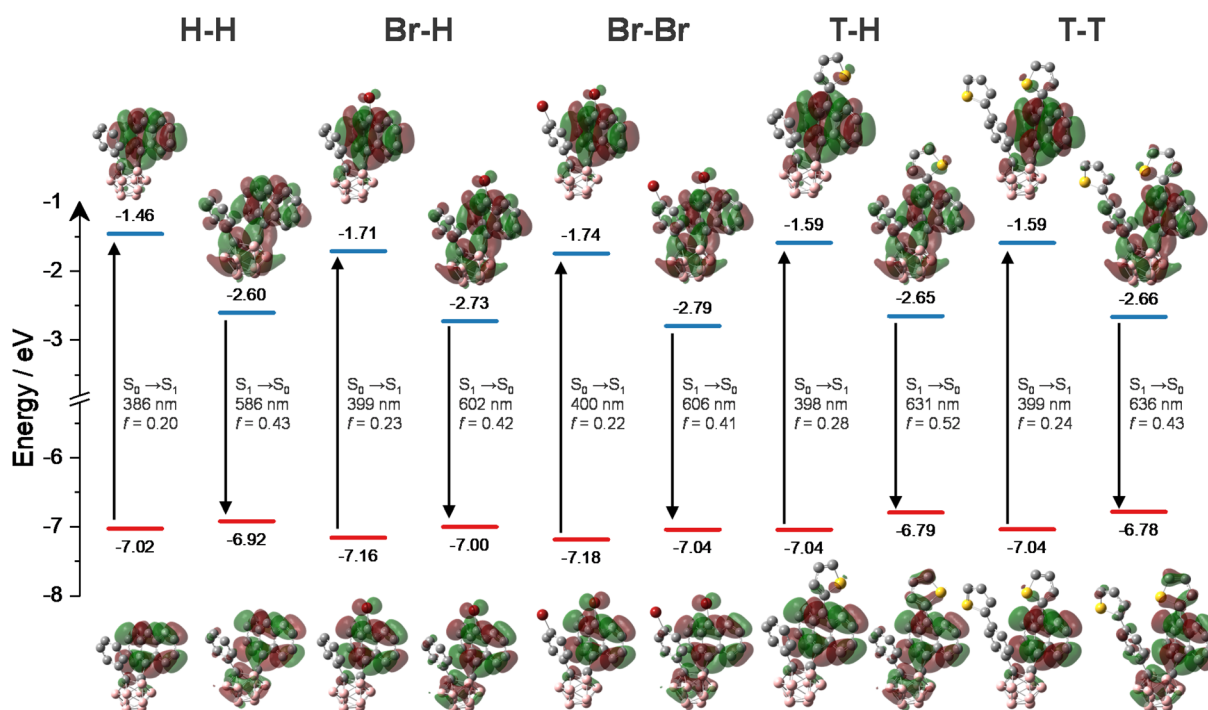


Fig. 4 Calculated Kohn–Sham frontier orbital distribution and  $S_0-S_1$  electronic transition energy in nm for energy-minimum geometries of  $S_0$  and  $S_1$  states (colors: boron, pink; carbon, grey; sulfur, yellow; bromine, red). Solvent effect of chloroform was considered with polarized continuum model.  $f$  denotes the oscillator strength. Isovalues are 0.02. CAM-B3LYP functional with 6-31+G(d,p) (for H, B, and C) and LanL2DZ (for Br) basis sets were used. Red and blue line plots indicate HOMO and LUMO energy levels, respectively.



Table 2 Relationship between optimized  $C_{\text{cage}}-C_{\text{cage}}$  bond length in the excited state and luminescence wavelength

Compound	Solution			Crystal		
	$C_{\text{cage}}-C_{\text{cage}}^a/\text{\AA}$	$\lambda_{\text{em}}^{\text{calca}}$	$\lambda_{\text{em}}^{\text{expb}}$	$C_{\text{cage}}-C_{\text{cage}}^c/\text{\AA}$	$\lambda_{\text{em}}^{\text{calcc}}$	$\lambda_{\text{em}}^{\text{exp}}$
H-H	2.334	586	643	2.216	517	592
Br-H	2.290	602	637	2.230	515	619
Br-Br	2.293	606	643	2.254	520	625
T-H	2.305	631	676	2.238	537	637
T-T	2.307	636	677	2.227	553	671

<sup>a</sup> Solvent effect of chloroform was considered with polarized continuum model. <sup>b</sup> Measured in chloroform solution ( $1.0 \times 10^{-5}$  M). <sup>c</sup> Estimated by QM/MM analyses.

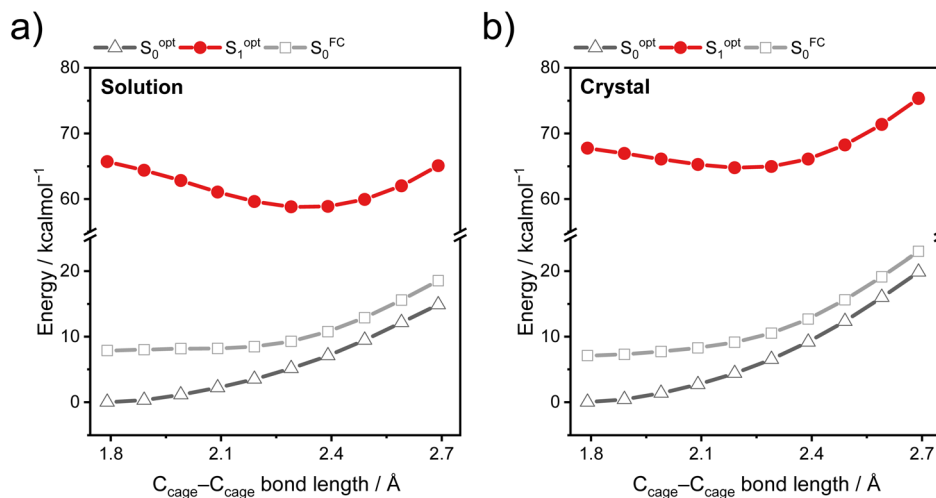


Fig. 5 Energy levels of the  $S_0$  and  $S_1$  states of H-H with variable  $C_{\text{cage}}-C_{\text{cage}}$  bond lengths in the (a) solution state and (b) crystalline state.  $S_0^{\text{opt}}$  and  $S_1^{\text{opt}}$  denote energy levels of optimized geometries in ground state and excited state, respectively.  $S_0^{\text{FC}}$  denotes energy levels calculated with single-point energy calculation using  $S_1$  excited-state optimized geometries.

progressive shifts of luminescent bands upon temperature change. The  $S_0$  and  $S_1$  potential energy curves (PESs) were calculated through the scan method with variation of the  $C_{\text{cage}}-C_{\text{cage}}$  bond length (Fig. 5 and S24†). By changing the  $C_{\text{cage}}-C_{\text{cage}}$  bond length between 1.8 Å and 2.7 Å in 0.1 Å increments, energy levels were estimated in both ground state ( $S_0^{\text{opt}}$ ) and excited state ( $S_1^{\text{opt}}$ ) and corresponding vertically de-excited state ( $S_0^{\text{FC}}$ ). The energy difference between  $S_1^{\text{opt}}$  and  $S_0^{\text{FC}}$  states represents luminescent energy with consideration of the Franck-Condon approximation. In the  $S_0$  state, elongation of the bond resulted in monotonic increase of potential energy, while the  $S_1$  PES had a global minimum around 2.3 Å in the solution state. Similar results were obtained in our previous system with relaxation through the  $C_{\text{cage}}-C_{\text{cage}}$  bond elongation.<sup>26</sup> Here we applied the same method to QM/MM analyses in crystals. PES in the crystalline state showed a steeper energy change along with the lengths of the  $C_{\text{cage}}-C_{\text{cage}}$  bond compared to those in the solution state. This difference suggests that structural relaxation processes in the solid were restricted because solid-state molecules were confined in the condensed packing state. The global minimum in the crystalline state is located around 2.2 Å, reflecting restriction of relaxation. Moreover, differences of

energy levels between  $S_1^{\text{opt}}$  and  $S_0^{\text{FC}}$  states are decreased by increasing the  $C_{\text{cage}}-C_{\text{cage}}$  bond length. These data correspond to red shifts of calculated luminescent wavelengths along with the  $C_{\text{cage}}-C_{\text{cage}}$  bond elongation (Fig. S24†). Based on the above analyses, we propose a plausible description of temperature-dependent consecutive spectral shifts of solid-state luminescence. By heating solid-state samples, void volume increased. This structural alteration provided more void volumes as enlarged available spaces to allow a larger degree of excited-state structural relaxation mainly triggered through the  $C_{\text{cage}}-C_{\text{cage}}$  bond elongation. Then incomplete, but larger degree of elongation results in luminescence in longer wavelength regions at higher temperatures. To the best of our knowledge, this is the first paper to provide discussions combining experimental and theoretical analyses for progressive wavelength shift upon temperature change of solid-state aryl-*o*-carborane derivatives.

## Conclusion

In this paper, we performed mechanistic analyses of temperature-dependent luminescence of *C,C'*-diaryl-*o*-



carborane derivatives in the solid states. Six novel derivatives were synthesized *via* bromine-substituted derivatives as key intermediates. Temperature dependency of optical properties and theoretical calculations indicate that the compounds can show  $C_{\text{cage}}-C_{\text{cage}}$  bond elongation as a key excited-state structural relaxation pathway. Thermally induced expansion or contraction of the crystalline lattice affected the crystal void volume which can be accompanied by excited-state structural changes. The consecutive shifts of luminescence bands were able to be explained by the changes in the degree of relaxation upon thermally induced micro-environmental alteration in the crystalline state. Our results are meaningful for the study of excited-state chemistry and material applications of *C*-aryl-*o*-carborane derivatives. These mechanistic analyses of excited-state photophysical dynamics and exploration of stimuli-responsiveness of aryl-*o*-carborane derivatives are promising for significant information for creating advanced sensing materials.

## Data availability

The data supporting this article have been included as part of the ESI.† Crystallographic data for **H-H** (measured at three different temperatures), **Br-H**, **Br-Br**, **T-H** and **T-T** have been deposited at the CCDC under 2411824–2411828, 2425738, and 2425739.

## Author contributions

K. Y. performed experiments, wrote the original draft and conducted the data analysis. K. T. supervised the project. Both authors discussed the results and contributed to editing the manuscript.

## Conflicts of interest

There are no conflicts to declare.

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