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# Controlled polymerization of levoglucosenone-derived enynes to give bio-based polymers with tunable degradation rates and high glass transition temperatures†

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In recent years, pollution from plastic waste has intensified the demand for sustainable polymers. Hence, biomass-derived degradable polymers offer a promising solution. For example, levoglucosenone, a readily available biomass product from cellulose pyrolysis, is an attractive building block for polymer synthesis. However, the metathesis polymerization of levoglucosenone-derived monomers has been difficult to control due to poor monomer reactivity, requiring an unstable but reactive ruthenium catalyst (C793). To facilitate the polymerization, we introduced a cascade motif to successfully demonstrate controlled polymerization of levoglucosenone-derived enynes using a commercially available 3rd-generation Grubbs catalyst. This living polymerization also enabled block copolymer synthesis. Furthermore, the degradation rates of these polymers can be adjusted over 2 orders of magnitude through monomer structural modifications. Notably, we observed higher glass transition temperatures of 152–198 °C by varying structural parameters.

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## Introduction

Globally, the demand for degradable polymers is surging due to environmental pollution and climate change.<sup>1,2</sup> In particular, typical commodity polymers rely on petroleum resources, warranting the development of more sustainable alternatives. Beyond addressing environmental issues, there is a growing need for degradable polymers in advanced material fields such as bioelectronics and drug release<sup>3–5</sup> to avoid accumulation in the body. Degradable polymers possess easily cleavable moieties in their backbones, typically featuring hydrolysable functional groups such as acetals, esters, silyl ethers, and vinyl ethers.<sup>6–15</sup> One effective method to introduce degradable moieties into polymer backbones is to utilize renewable bio-based feedstocks that inherently contain these functionalities to reduce synthetic steps to prepare such monomers.<sup>16–18</sup> As a result, many novel polymerization methods using lignin, sugar, chitin, and cell walls have been reported.<sup>19–22</sup>

In recent years, our group and the Gutekunst group have developed controlled cascade metathesis polymerization of enyne monomers containing less reactive cycloalkenes such as cyclohexene and sustainable monomers synthesized from carbohydrate-derived scaffolds (Fig. 1A).<sup>23–25</sup> The resulting polymers containing acetals degrade under mild acidic conditions to well-defined small aromatic molecules such as furans and pyrroles. In the event, we could roughly tune the degradation rate by adjusting the structure. The relevance of this quantitative and clean degradation is clearly demonstrated in our precise analysis of cascade efficiency during the polymerization of polycyclic enyne monomers.<sup>26</sup>

However, these polymers generally exhibit low  $T_g$  values and poor stability, commensurate with their rapid degradation under mild conditions. This inevitable trade-off between stability and degradability (*i.e.* highly stable but poorly degradable) may require harsh conditions, producing various unidentified by-products due to multiple side reactions. Therefore, developing polymers that integrate both stability and degradability remains a challenging task.

To enhance stability while maintaining degradability, a bio-based bicyclic compound such as levoglucosenone (LGO), obtained from the pyrolysis of cellulose, is a promising building block for sustainable polymers (Fig. 1B).<sup>27–36</sup> While numerous polymerizations using LGO-derived monomers have been reported, there are only a handful of examples of this LGO incorporation into a polymer backbone by direct ring opening,

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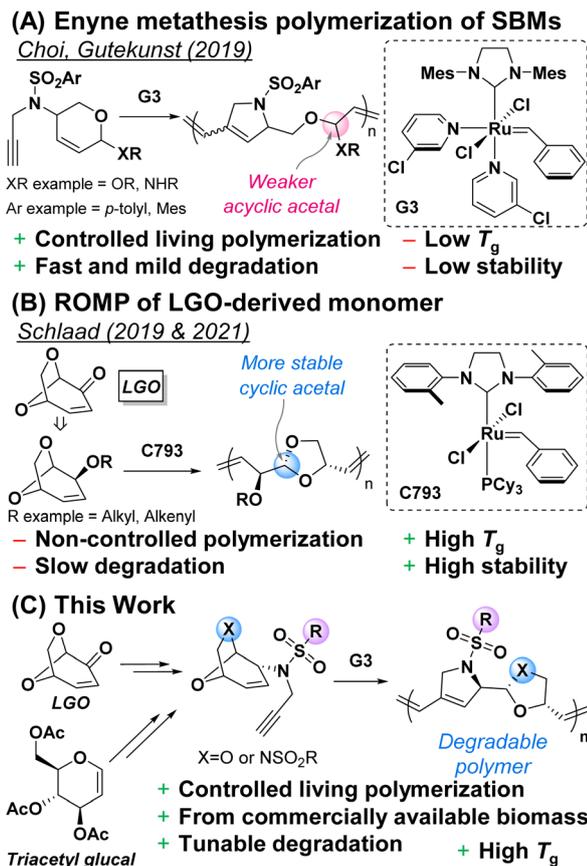


Fig. 1 (A) Enyne metathesis polymerization of sugar-based monomers. (B) ROMP of LGO-derived monomers. (C) Enyne metathesis polymerization of LGO-derived monomers (this work). Mes = 2,4,6-trimethylbenzene.

thereby embedding the 1,3-dioxolane functionality.<sup>37,38</sup> Notably, Schlaad and coworkers reported the ring-opening metathesis polymerization (ROMP) of levoglucosenol (LGOH, prepared by LGO reduction) using a less common Ru catalyst called C793, to produce high MW and  $T_g$  values around 100 °C (Fig. 1B).<sup>37</sup> Additionally, the resulting polymers degraded slowly into oligomers over 40 days due to the slow hydrolysis of stable cyclic acetals compared to acyclic ones.<sup>39</sup>

Despite these pioneering studies, this ROMP was particularly challenging due to the poor reactivity of the sterically bulky bicyclic alkenes thereby exhibiting a series of drawbacks such as relatively narrow monomer scope, non-controlled polymerization due to low stability of propagating carbenes and initiation rates of the catalyst used. To overcome these limitations, we hypothesize that lowering the kinetic barrier of the LGO derived monomers by introducing an additional alkyne as a cascade motif would vastly improve the polymerization with fast-initiating Grubbs catalysts containing pyridines (Fig. 1C).

Herein, we report the first successful controlled polymerization of LGO-derived monomers to give degradable polymers with  $M_n$  up to 65 kDa and low dispersities (Fig. 1C). Furthermore, due to the additional linker, we could greatly broaden the monomer scope and thus systematically investigate structure-

reactivity relationship to identify the factors directly influencing the polymerization. Notably, seven resulting polymers showed much higher glass transition temperatures ( $T_g$ ) of 152–198 °C than those from the previous analogous cascade polymerization due to the bis-cyclic polymer backbone greatly enhancing thermal properties. Nevertheless, these polymers underwent degradation whose rates are systematically modulated with over two orders of magnitudes by the stereoelectronics of the substituents.

## Results and discussion

Commercially available LGO was reduced to LGOH, followed by a Mitsunobu reaction to install the *N*-propargyl moieties, providing the dioxolane monomer **M1** in high yield (Fig. 2A) and the regioisomer **iso-M1** (Fig. 2B) as a minor product (for the synthesis discussion see Fig. S3 and Table S1,† 71%, 2 steps). The stereochemistry of **M1** was confirmed using the X-ray crystal structure of the analogue, **M2** (Fig. 2C).<sup>40–42</sup> As we have previously demonstrated, the stereochemistry and connectivity in monomers influence polymerization efficiency.<sup>26</sup> Therefore, we initially investigated cascade polymerization of **M1** and **iso-M1** using a conventional third-generation Grubbs catalyst (G3) at an M/I ratio of 50 in THF (0.2 M) at room temperature. Gratifyingly, **M1** was completely polymerized to give an  $M_n$  of 13 kDa with a relatively low dispersity ( $D = 1.32$ ), showing potential for controlled polymerization. In contrast, polymerization of **iso-M1** under the same conditions resulted in only 22% conversion even after a longer reaction time, providing only a 2.2 kDa oligomer (Table S2†).

To gain mechanistic insight into how regiochemistry influenced polymerization performance, we monitored the reactions of **M1** and **iso-M1** with fast initiating G3 by *in situ* <sup>1</sup>H NMR (M/I = 10, THF-*d*<sub>8</sub>, 0.15 M, RT) (Fig. 2D and E). Indeed, **M1** showed 4.3 times faster polymerization than **iso-M1** but more importantly, for **M1**, a high propagating carbene percentage (*ca.* 90%) was maintained throughout the reaction (Fig. 2D). Conversely, for **iso-M1**, propagating carbene percentage dropped to lower than 10% (Fig. 2E), suggesting much faster decomposition (or lower stability) of the corresponding Ru carbene. In the case of **M1**, the propagating carbene has an ether at the  $\beta$ -position, while for **iso-M1**, an acetal is present, and presumably, higher propensity of  $\beta$ -hydride isomerization activated by the acetal in **iso-M1** might facilitate decomposition as proposed by the Schlaad group (Fig. 2A and B).<sup>38</sup>

Encouraged by these kinetic data, we optimized the polymerization of the more reactive **M1** by lowering the temperature to 0 °C to suppress chain transfer and carbene decomposition. With an M/I ratio from 30 to 100, the  $M_n$  of **P1** increased from 9 to 24 kDa with low dispersity between 1.06 and 1.23 (entries 1–4, Table 1). However, polymerization with an M/I of 150 under the same conditions resulted in lower  $M_n$  (29 kDa) than expected with high dispersity ( $D = 1.72$ ), probably due to catalyst decomposition caused by the turnover number limit or ether coordination (entry 5, Table 1). To stabilize the propagating carbene through steric shielding, we switched to the more stable G3-DIPP catalyst containing a bulkier 2,6-



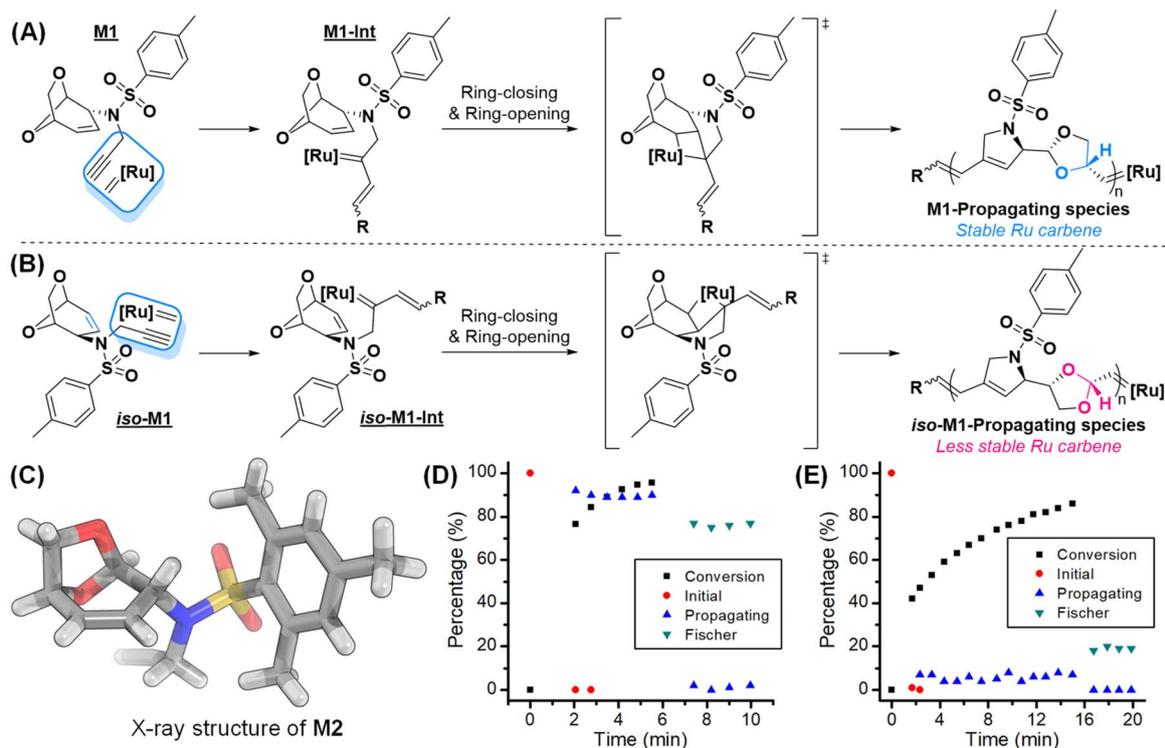
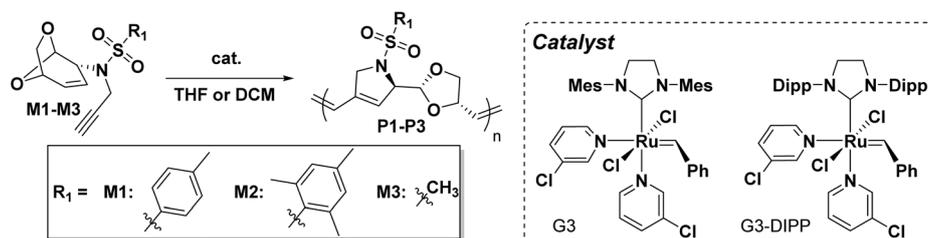


Fig. 2 Reaction of cascade enyne metathesis polymerization of (A) M1 and (B) iso-M1. (C) X-ray crystal structure of M2. Plots of conversions and carbene changes monitored by *in situ*  $^1\text{H}$  NMR during the polymerization of (D) M1 and (E) iso-M1 in  $\text{THF-}d_8$  (G3, M/I = 10, 0.15 M, RT).

Table 1 Polymerization results of dioxolane-containing monomers (M1–M3)<sup>a</sup>



Entry	MX	M/I	Temp. (°C)	Conc. (M)	Time (h)	Conv. <sup>b</sup> (%)	Yield <sup>c</sup> (%)	$M_n^d$ (kDa)	$D^d$
1	M1	30	10	0.2	0.5	>99	79	9.1	1.06
2	M1	50	10	0.2	1	>99	99	14.5	1.14
3	M1	75	0	0.2	3	>99	73	19.8	1.16
4	M1	100	0	0.2	4	>99	83	24.1	1.23
5	M1	150	0	0.2	6	90	72	29.0	1.72
6 <sup>e</sup>	M1	150	-10	0.2	16	95	87	35.6	1.45
7	M2	30	10	0.2	0.5	>99	61	8.4	1.13
8	M2	50	10	0.2	1	>99	92	15.5	1.21
9	M2	75	0	0.2	3	>99	85	24.0	1.29
10	M2	100	-10	0.1	16	>99	98	28.3	1.39
11 <sup>f</sup>	M3	30	RT	0.2	1	99	91	6.8	1.21
12 <sup>e,f</sup>	M3	50	0	0.2	8	>99	80	11.5	1.28
13 <sup>e,f</sup>	M3	75	0	0.2	12	89	68	14.2	1.37

<sup>a</sup> Polymerizations conducted in degassed THF except for M3 (dichloromethane) due to its insolubility. <sup>b</sup> Determined by  $^1\text{H}$  NMR analysis of the crude mixture. <sup>c</sup> Isolated yield. <sup>d</sup> Determined by THF size exclusion chromatography calibrated using polystyrene standards except for P3 (chloroform SEC). <sup>e</sup> G3-DIPP was used as a catalyst. <sup>f</sup> 20 mol% of 3-chloropyridine was added as an additive.



diisopropylphenyl (Dipp) group. This resulted in higher  $M_n$  of 36 kDa with lower dispersity of 1.45 (entry 6, Table 1). Based on these optimizations, we successfully achieved controlled polymerization of **M1** up to an M/I of 150 with a linear increase in molecular weight and low dispersity (Fig. 3A).

Next, to understand the impact of the size of the  $N$ -SO<sub>2</sub>R<sub>1</sub> substituent on polymerization, we prepared **M2** and **M3**, containing bigger mesityl (Mes) and smaller methyl groups, respectively. **M2** was quantitatively polymerized under the same optimal conditions as **M1** at an M/I of 30 to give **P2** with  $M_n$  of 8 kDa and a low dispersity of 1.13. Further temperature optimization from 10 °C to -10 °C led to another controlled polymerization up to M/I = 100 where  $M_n$  increased proportionally from 8 to 28 kDa with low dispersity between 1.13 and 1.39 (entries 7–10, Table 1, Fig. S10†). Unfortunately, increasing to M/I = 150 led to poorly controlled polymerization with  $\mathcal{D}$  greater than 2 even with G3-DIPP (Table S3†).

Since **M3** was insoluble in THF, polymerization at an M/I of 30 was conducted in DCM at RT, yielding **P3** with an  $M_n$  of 5 kDa and a moderate dispersity of 1.30 (Table S3†). To improve the controllability, 3-chloropyridine as an additive was added to further stabilize the Ru carbene and indeed this increased  $M_n$  to 7 kDa with a lower  $\mathcal{D}$  of 1.21 (entry 11, Table 1). For the higher M/I of 50, the  $M_n$  barely increased to 8 kDa with a higher  $\mathcal{D}$  of 1.43. (Table S3†). Gratifyingly, the bulkier G3-DIPP catalyst resulted in better control with a linear increase in  $M_n$  ( $M_n$  = 12–14 kDa,  $\mathcal{D}$  = 1.28–1.37) at M/I ratios of 50 and 75 (entries 12 and 13, Table 1, Fig. S10†). Unfortunately, increasing the M/I 100 did not result in a good control even with G3-DIPP (Table S3†), implying that some sterics on the monomers (**M1** and **M2**) improved the stability of the propagating carbenes.

In order to broaden the monomer scope, we modified the 1,3-dioxolane moiety to an oxazolidine moiety. Again from

a commercially available biomass-derived triacetyl glucal, we prepared bicyclic oxazolidine monomers **M4–M7**. We chose the *p*-tolyl group as the R<sub>2</sub> group on the linker, which demonstrated good controllability in dioxolane monomers. Delightfully, polymerization of **M4** with the G3 catalyst at room temperature in THF (0.2 M), with an M/I of 30, yielded **P4** with an  $M_n$  of 9.4 kDa and exceptionally low dispersity of 1.08 (entry 1, Table 2). After a minor optimization, controlled polymerization from M/I ratios of 30 to 150 was achieved with  $M_n$ s between 9 and 38 kDa and dispersities from 1.08 to 1.25 (entries 1–5, Table 2). For even higher M/I ratios of 200 and 300, further modifying temperature, concentration, and additive (5 mol% of 3,5-dichloropyridine) yielded better control with an  $M_n$  of 51 and 65 kDa and a  $\mathcal{D}$  of 1.20 and 1.41, respectively (entries 6 and 7, Table 2, Fig. 3B).

Finally, we synthesized **M5** and **M6** containing electron-donating *p*-anisyl and electron-withdrawing *p*-trifluoromethylbenzyl groups as the R<sub>2</sub> groups, respectively, for systematic study on the effects on degradation. The resulting **P5** and **P6** showed  $M_n$  values around 10 and 11 kDa with a  $\mathcal{D}$  below 1.10 (entries 8 and 9, Table 2). Meanwhile, the polymerization of **M7**, containing a smaller and more electron-withdrawing trifluoromethyl group as the R<sub>2</sub> group, gave **P7** with an  $M_n$  of 10 kDa with  $\mathcal{D}$  = 1.24 at -10 °C (entry 11, Table 2).

Taking advantage of good control, we synthesized a block copolymer from dioxolane- and oxazolidine-containing monomers, **M1** and **M6** (Fig. 3D). After preparing **P1** with a  $M_n$  of 5 kDa, adding 40 equiv. of **M6** yielded **P1-*b*-P6** with a  $M_n$  of 15 kDa and  $\mathcal{D}$  of 1.19. A clear shift to the higher molecular weight region in the SEC trace confirmed successful block copolymerization, thereby supporting the living nature of cascade polymerization (Fig. 3E).

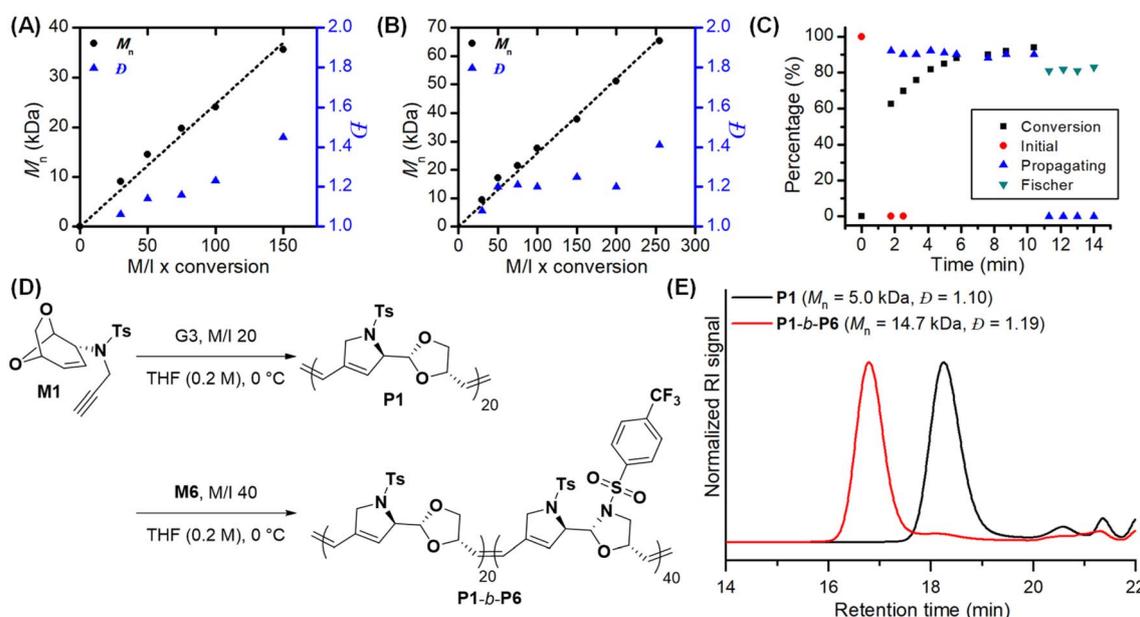
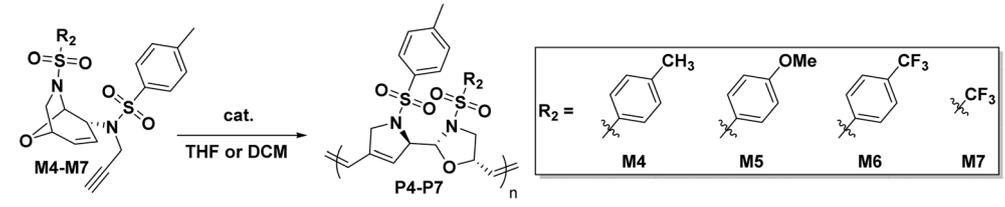


Fig. 3 (A) Linear plots of  $M_n$  vs. M/I x conversion for (A) **P1** and (B) **P4**. Plots of conversions and carbene changes monitored by *in situ* <sup>1</sup>H NMR during the polymerization of (C) **M4** in THF-*d*<sub>8</sub> (0.15 M, RT). (D) Synthesis of block copolymers, **P1-*b*-P6**, and (E) SEC traces for **P1** and **P1-*b*-P6**.



Table 2 Polymerization results of oxazolidine-containing monomers (M4–M7)<sup>a</sup>


Entry	MX	M/I	Temp. (°C)	Conc. (M)	Time (h)	Conv. <sup>b</sup> (%)	Yield <sup>c</sup> (%)	M <sub>n</sub> <sup>d</sup> (kDa)	D <sup>d</sup>
1	M4	30	RT	0.2	0.66	>99	85	9.4	1.08
2	M4	50	RT	0.2	1	>99	80	17.2	1.20
3	M4	75	10	0.2	4	>99	97	21.5	1.21
4	M4	100	10	0.2	5	>99	82	27.5	1.20
5	M4	150	0	0.2	15	>99	85	37.9	1.25
6 <sup>e</sup>	M4	200	0	0.1	20	99	87	51.2	1.20
7 <sup>e</sup>	M4	300	0	0.1	30	85	76	65.4	1.41
8	M5	30	RT	0.2	0.66	>99	99	9.6	1.06
9	M6	30	RT	0.2	0.66	>99	84	11.2	1.09
10	M7	30	RT	0.2	0.66	58	48	11.2	1.64
11	M7	30	-10	0.2	12	>99	81	10.4	1.24

<sup>a</sup> Polymerizations conducted in degassed THF. <sup>b</sup> Determined by <sup>1</sup>H NMR analysis of the crude mixture. <sup>c</sup> Isolated yield. <sup>d</sup> Determined by THF size exclusion chromatography calibrated using polystyrene standards. <sup>e</sup> 5 mol% of 3,5-dichloropyridine was added as an additive.

With these new polymers, we examined their thermal properties by thermogravimetric analysis which revealed degradation temperatures ranging from 244 to 284 °C. On the other hand, the glass transition temperatures ( $T_g$ ) measured by differential scanning calorimetry for the dioxolane-containing polymers (P1–P3) were between 152 °C and 175 °C (see the ESI, Section 7†).

Interestingly, the oxazolidine-containing polymers (P4–P7) generally showed higher  $T_g$  values (187–198 °C except for P5 of

152 °C) presumably due to additional bulky side-chains compared to the dioxolane-containing polymers. These values are significantly higher than those from the other enyne cascade polymerization of sugar-based monomers (mostly below 80 °C)<sup>24–26</sup> and even higher than  $T_g$  of levoglucosone-derived polymers synthesized by ROMP (100 °C).<sup>37</sup> This higher  $T_g$  is due to the rigid bis-cyclic backbone.

To investigate the impact of structural parameters on the polymer degradability, P1–P7 in a chloroform/MeOH mixture

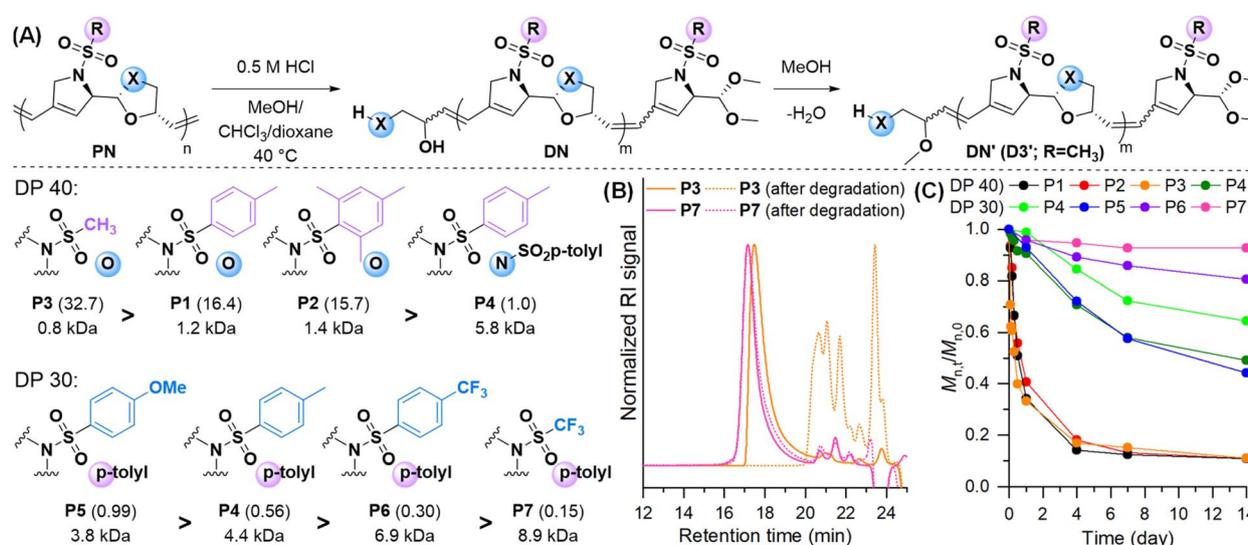


Fig. 4 (A) Degradation reaction pathway. Structures of polymers, normalized relative degradation rates (in parenthesis), and  $M_n$  of the degraded product after 14 days. (B) SEC traces of P3 and P7 degradation under 0.5 M HCl acidic conditions. (C) Plots of degradation versus time for P1–P7.  $M_{n,0}$  refers to the initial molecular weight and  $M_{n,t}$  refers to the molecular weight at time  $t$  (days). The ratio  $M_{n,t}/M_{n,0}$  was normalized to 1 for comparison.



were treated under acidic conditions (0.5 M HCl, at 40 °C) (Fig. 4A). The degradation was monitored over time by taking aliquots for SEC analysis by normalizing  $M_{n,t}/M_{n,0}$  values ( $M_{n,0}$  = initial molecular weight and  $M_{n,t}$  = molecular weight at time  $t$  in days) (Fig. 4B). Surprisingly, despite their enhanced thermal properties, dioxolane polymers **P1–P3** with a degree of polymerization (DP) of 40 showed substantially faster degradation than the previously reported ROMP polymers with an  $M_n$  deduction of more than 80% of their initial molecular weight (approximately  $M_{n,t}/M_{n,0} = 0.16$ ) after 4 days. On the other hand, the oxazolidine polymer **P4** degraded by 30% ( $M_{n,t}/M_{n,0} = 0.71$ ). Further degradation for 14 days led to a final  $M_n$  of 1.2 kDa, 1.4 kDa, and 0.8 kDa for **P1** to **P3**, respectively, while  $M_n$  of **P4** decreased to half which corresponds to 33-fold slower than **P3** (Fig. 4A and C, see the ESI, Section 6†). MALDI-TOF analysis of degraded **P3** showed a series of peaks ranging from dimers to pentamers, which aligns with the final  $M_n$  from SEC analysis, corresponding to the molecular weight of a trimer (see the ESI, Section 6.1†). The degradation mechanism involves conventional acidic deprotection of cyclic acetal or hemiaminal where MeOH would cleave the polymer backbone. This was observed from the end group analysis from MALDI-TOF, revealing minor peak series corresponding to **D3**. Interestingly, a major peak of **D3'** appeared which is due to another MeOH addition to cleaved alcohol.

To investigate the substituent effect on oxazolidine on degradation rates, we monitored the degradation of **P4–P7** with a DP of 30. **P4** degraded to 66% of its initial molecular weight ( $M_{n,t}/M_{n,0} = 0.66$ ) after 14 days. **P5**, containing an electron-donating *p*-anisylsulfonyl group, degraded approximately 1.8 times faster than **P4**, while **P6** containing an electron-withdrawing *p*-trifluoromethylbenzene sulfonyl group, degraded about 1.9 times slower than **P4**. The degradation of **P7**, featuring a stronger electron-withdrawing triflyl group, was 3.7 times slower than **P4** and even about 218 times slower than the fastest-degrading dioxolane polymer **P3** (Fig. 4B and C). This suggests that the electron-withdrawing group lowered the basicity, thereby slowing both the acid-catalyzed deprotection of the cyclic acetal or hemiaminal and backbone degradation.

## Conclusions

In conclusion, we successfully achieved challenging controlled cascade polymerization of levoglucosenone derived monomers using a commercial Grubbs 3rd generation catalyst. We designed various monomers, yielding polymers with  $M_n$  up to 65 kDa and low dispersities and block copolymers. Due to rigid bis-cyclic backbones, these polymers showed high  $T_g$  values up to 198 °C. Despite this thermal property, polymers underwent degradation under acidic conditions whose rates could be tuned by 200 times with modulating electronic properties on the backbone. This work provides valuable insights on the correlation between structure, polymerization, degradation, and thermal properties.

## Data availability

All supplementary data for the results of this work are available in the article and its ESI file.† Crystallographic data for **M2** and

**M4** have been deposited at the CCDC 2418634 and CCDC 2418635, respectively, and can be obtained from <https://www.ccdc.cam.ac.uk/structures>.

## Author contributions

Conceptualization: A. R. and E. J.; methodology: E. J. and A. R.; investigation: E. J., A. R., H. R., and M. C.; validation: A. R. and T. L. C.; visualization: E. J., A. R., and H. R.; writing: E. J., T. L. C., and A. R.; supervision: A. R. and T. L. C.; funding: T. L. C.

## Conflicts of interest

There are no conflicts to declare.

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