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# Effect of size, charge, and spin state on Hückel and Baird aromaticity in $[N]$ annulenes†

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The Hückel and Baird rules provide a framework to understand the aromaticity of monocyclic  $\pi$ -conjugated molecules in their singlet ground state and lowest-lying triplet state, respectively, particularly in the context of  $[N]$ annulenes. According to Hückel's rule, a molecule in the ground state is aromatic if it contains  $4n+2$   $\pi$ -electrons, while Baird's rule states that a molecule in the lowest-lying triplet state is aromatic if it contains  $4n$   $\pi$ -electrons, where  $n = 0, 1, 2$ , and so on. A previous study (*J. Am. Chem. Soc.* 2021, **143**, 2403) examined the changes in the aromaticity of singlet ground-state  $[N]$ annulenes as the ring size increased from  $N = 12$  to 66. However, no systematic investigation has yet been conducted for the lowest-lying triplet state of  $[N]$ annulenes or charged  $[N]$ annulenes. In this work, we address this gap by performing DFT calculations across several aromaticity descriptors, including structural, electronic, magnetic, and energetic indicators of aromaticity, with a particular focus on aromatic stabilization energies (ASEs). Our findings reveal that both neutral and charged  $[N]$ annulenes adhere to the Hückel and Baird rules. Nevertheless, for larger ring sizes, these rules diminish in significance, and the distinction between ASEs (and other indices of aromaticity) of  $[N]$ annulenes with  $4n$  and  $4n+2$   $\pi$ -electrons becomes less and less pronounced.

## Introduction

The Hückel rule describes the type of aromaticity that can be expected for  $\pi$ -conjugated monocycles in the singlet ground state.<sup>1–4</sup>  $[N]$ Annulenes,  $C_NH_N$ , containing  $4n+2$   $\pi$ -electrons are considered aromatic, while a structure containing  $4n$   $\pi$ -electrons is regarded as antiaromatic ( $n = 0, 1, 2$ , and so on).<sup>5</sup> When considering the lowest-lying triplet ( $T_1$ ) state, the Baird rule should be applied, which is the counterpart of the Hückel rule.<sup>2,3,6</sup> As a result, in the  $T_1$  state, aromatic cycles contain  $4n$   $\pi$ -electrons and antiaromatic ones  $4n+2$ .

All  $[N]$ annulenes, where  $N$  is even, up to  $N = 30$  have been synthesised, with the exceptions of  $N = 26$  and  $28$ .<sup>7,8</sup> The higher annulenes ( $N > 18$ ) show significant bond alternation and conformational flexibility. In 1959, Longuet-Higgins and Salem<sup>5</sup> theoretically predicted that [30]annulene would be nonaromatic, whereas, in 1964, Jackman and coworkers<sup>9</sup> used NMR to conclude that [18]annulene is aromatic but [24]annulene is non-aromatic. Calculations by Dewar and Gleicher in 1965 found that  $[N]$ annulenes up to and including  $N = 22$  are

aromatic.<sup>10</sup> Yoshizawa and coworkers<sup>11</sup> using the MNDOC (C for correlation) method found that, for [18]annulene, the delocalised/aromatic structure is more stable, whereas the localized/Kekulé structure is more stable for [30]annulene. Choi and Kertesz conducted a study which demonstrated that annulenes become non-aromatic from  $30\pi$ -electrons onwards.<sup>2,7</sup> They attribute this transition from (anti)aromatic to nonaromatic to the pseudo-Jahn–Teller (PJT) effect, which is intensified by the reduction in the HOMO–LUMO gaps as the ring size increases.<sup>7</sup> More recently, it has been stressed that Hückel's rule is not applicable to large annulenes, as it overestimates aromaticity and antiaromaticity due to the adopted geometry breaking the optimal overlap of the p orbitals, which is responsible for the  $\pi$ -conjugated system.<sup>2,12</sup> Finally, Casademont-Reig *et al.*<sup>2</sup> reported for a small set of  $[N]$ annulenes that Hückel and Baird rules disappear as the size,  $N$ , of the  $[N]$ annulene ring increases.<sup>2</sup> This is particularly evident in the case of annulenes with  $4n$   $\pi$ -electrons,<sup>13</sup> since molecules are more resilient to the loss of aromaticity than to the loss of antiaromaticity.<sup>2</sup>

Probably the most important characteristic of aromatic compounds is their increased stability as compared to their linear counterparts. Calculation of the aromatic stabilisation energy (ASE) is perhaps the most reliable method for determining the (anti)aromaticity of a given molecule.<sup>14–16</sup> For the ASE calculation, a reference system is required, which can be either an acyclic polyene or a cyclic nonaromatic structure, allowing a comparison between a delocalised  $\pi$ -conjugated system and a localised one.<sup>15</sup> However, it should be noted that

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the ASE result is highly dependent on the selected reaction scheme and chosen reference, each of which has its own advantages and disadvantages.<sup>15</sup> Determining the ASE of annulenes can be achieved by utilising one of the two isomerisation stabilisation energy (ISE) methods proposed by Schleyer and colleagues.<sup>13,17,18</sup> The methyl–methylene method (ISE<sub>I</sub>) involves the energy difference between a methylene (nonaromatic) and a methyl (aromatic) derivative of the annulene (Fig. 1). This method is suitable for use in constrained systems.<sup>17,19</sup> The indene–isoindene method (ISE<sub>II</sub>) uses the energy difference between isomers in which a cyclopentadiene ring is fused to the annulene ring (Fig. 1).<sup>13</sup> The benefit of the ISE<sub>II</sub> approach is that all carbon atoms in the ring remain sp<sup>2</sup>-hybridised in both isomers.<sup>13,19</sup> It is important to note that both methods require correction to counteract the *anti-syn* mismatch.<sup>15</sup> This is usually achieved by adding two extra reference structures in which a bond is saturated (Fig. 2). The convention in this paper is that aromatic structures have positive ASE values, while antiaromatic structures have negative ones.

Jirásek and coworkers<sup>20</sup> and Wannere *et al.*<sup>13</sup> investigated the ASE of annulenes in their neutral closed-shell singlet state by employing the ISE<sub>II</sub> approach. According to the Hückel rule, annulenes with  $4n+2$   $\pi$ -electrons were expected to have positive values, while those with  $4n$  were anticipated to have negative ones. Fig. 2 illustrates the obtained results and confirms the anticipated outcomes. Additionally, the ASE was found to be inversely proportional to the size of the rings even approaching zero for very large annulenes. This finding aligns with Casademont-Reig *et al.*'s observations that the Hückel rule disappears as the annulene ring size increases.<sup>2</sup>

Stawski and colleagues recently presented a paper investigating the aromaticity of charged [18]annulenes.<sup>21</sup> The dianion and tetraanion were expected to be antiaromatic ( $20\pi e^-$ ) and aromatic ( $22\pi e^-$ ), respectively, based on the Hückel rule.<sup>21</sup> The predictions were confirmed by <sup>1</sup>H-NMR, showing that this rule is not restricted to neutral compounds.<sup>21</sup>

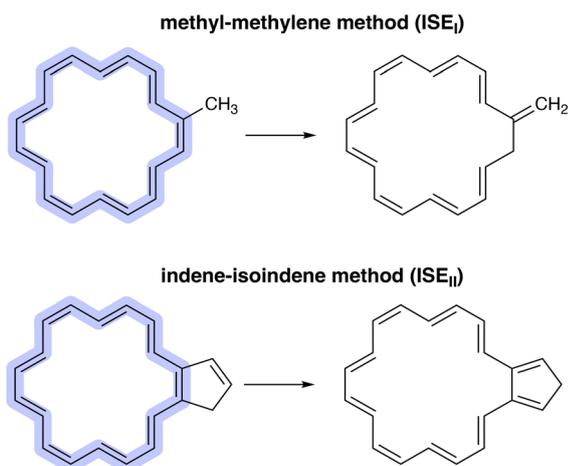


Fig. 1 The methyl–methylene (ISE<sub>I</sub>) and indene–isoindene methods (ISE<sub>II</sub>) proposed by Schleyer and colleagues.<sup>13,17</sup>

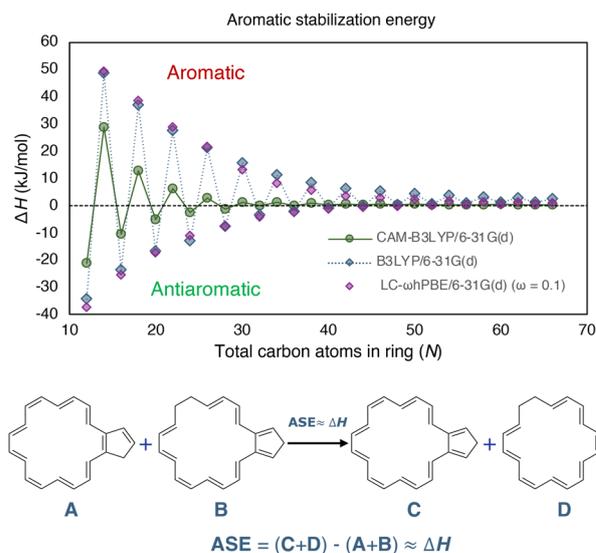


Fig. 2 The closed-shell [*N*]annulene's ( $N = 12$ – $66$ ) ASE determined using the hyperhomodesmotic equation at the bottom. All structures were constrained to  $C_s$  symmetry during optimization. The B3LYP and LC- $\omega$ hPBE data were taken from ref. 20.

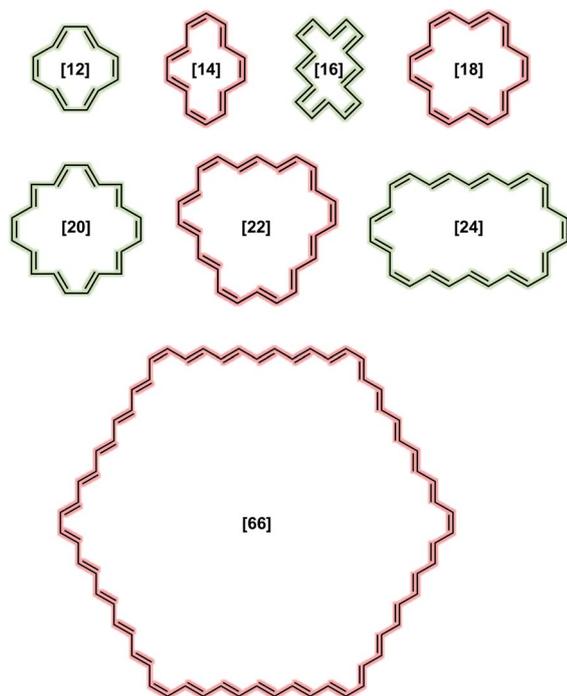
The objective of the present investigation is to ascertain the impact of size, charge, and multiplicity on the aromaticity of even [*N*]annulenes. By applying Baird's rule, we anticipate that the ASE values of the neutral triplet annulenes will be the mirror image of those illustrated in Fig. 2 and, therefore, exhibit a similar trend. The overall number of  $\pi$ -electrons changes when two electrons are removed from the structure, thereby influencing the predicted aromaticity according to the Hückel and Baird rules. Thus, it is anticipated that the ASE trend of the charged structures will, once again, be the mirror image of the neutral molecules, as well as the trend between the triplet and closed-shell dications themselves.

Besides the energetic criterium, a range of structural, electronic, and magnetic aromaticity indices will be employed to further analyse the aromatic behaviour of annulenes. We anticipate these indices to possess an alternating pattern between aromaticity and antiaromaticity. In addition, their trend should indicate the disappearance of both rules when the size of the annulenes increases, as reported by Casademont-Reig and coworkers.<sup>2</sup> Finally, the effectiveness of employing the ISE<sub>II</sub> method for determining the relative hardness ( $\Delta\eta$ ) and predicting aromaticity, as previously demonstrated for the ISE<sub>I</sub> approach,<sup>22</sup> will be evaluated.

## Computational details

Scheme 1 depicts the structure of some of the [*N*]annulenes ( $N = 12$ – $66$ ) studied (for a complete representation, see Fig. S1 in the ESI<sup>†</sup>). For these annulenes, the indene (A) and isoindene (C) annulene structures employed in the ASE method were optimised utilising the (U)B3LYP/6-31G(d) level of theory and the Gaussian 16 software.<sup>20,23</sup> In all cases, we started our geometry optimisations from the Cartesian coordinates provided by





Scheme 1 Representative structures of neutral singlet  $[N]$ annulenes, coloured based on the expected aromaticity according to the Hückel's rule.

Jirásek and coworkers<sup>20</sup> for the singlet neutral  $[N]$ annulenes. A subsequent frequency calculation at the same level of theory was conducted to obtain the zero-point vibrational energy (ZPVE) and to establish the absence of any imaginary frequency. These steps were carried out for the triplet as well as the dication with triplet and singlet multiplicity. The energies of the closed-shell **B** and **D** structures were extracted from the ESI† of ref. 20. These structures were optimised at the same level of theory but were constrained to the  $C_s$  symmetry (*vide infra*). We are aware of the fact that B3LYP tends to overestimate aromaticity and favour the delocalised structures.<sup>24–31</sup> Nonetheless, several reasons justify the use of this approach in this work for the calculation of the ASE. First and foremost, the use of the B3LYP/6-31G(d) method allows for direct comparison with the findings of Jirásek *et al.*'s work<sup>20</sup> which employed the same level of theory. Second, for *trans*-polyacetylene, the C=C and C–C bonds calculated with B3LYP converge to 1.37 Å and 1.43 Å,<sup>7</sup> almost the same as the experimentally determined values (1.36 and 1.44 Å).<sup>32</sup> Third, ISE differences based on bond-equalized B3LYP and bond-localized Hartree–Fock (HF) optimised structures were found to be small.<sup>18</sup> Fourth, owing to molecular orbital instabilities, DFT approaches with lower HF exchange, such as B3LYP, were found to be more robust for the calculation of benzenoid structures.<sup>33</sup> Fifth,  $4n+2$  triplets barely exhibit differences between B3LYP and other functionals.<sup>2</sup> In addition, a benchmark was conducted to assess if the trends for the ASE obtained with B3LYP/6-31G(d) were comparable to those derived from CAM-B3LYP/6-31G(d) calculations for the neutral singlet ground state annulenes. Although the ASE values for

B3LYP and LC- $\omega$ hPBE ( $\omega = 0.1$ ) are very similar, these ASEs are significantly reduced when going from B3LYP to CAM-B3LYP, and we achieved nonaromaticity, according to ASEs, earlier with this long-range corrected functional. From Fig. 2, we see that already from  $N = 32$ , the differences between  $4n$  and  $4n+2$  are lower than 1 kJ mol<sup>-1</sup>. For B3LYP and LC- $\omega$ hPBE, this happens later, from  $N = 54$ . For the triplet and charged annulenes, the trends in the ASE obtained with (U)CAM-B3LYP/6-31G(d) were similar but less clear (see Fig. 4C and S7) and this is why we prefer to discuss here the B3LYP-computed ASE values. As said before, B3LYP overestimates aromaticity and the long-range corrected CAM-B3LYP functional is recommended to mitigate the impact of the delocalization error on the aromaticity descriptors.<sup>26,27</sup> For this reason, the aromaticity descriptors have been computed with the CAM-B3LYP functional. The results of these descriptors obtained at the (U) B3LYP/6-31G(d) level of theory are displayed in the ESI (Fig. S21 and S22).† Finally, correlations plots showing the influence of the level of theory on the trends in aromaticity can be found in Fig. S20 and S39 of the ESI.†

In the study by Jirásek and colleagues,<sup>20</sup> the geometry of the different indene–isoindene isomers was imposed to be planar with  $C_s$  symmetry to observe the ‘true’ (anti)aromaticity of the annulene rings. However, there is a debate in the literature regarding whether or not small annulene rings ( $N < 22$ ), especially [14]- and [18]annulenes, are planar due to the steric hindrance experienced by the hydrogen atoms located inside the ring.<sup>21,33–35</sup> We decided not to enforce planarity in our research for three reasons. First, for smaller annulenes ( $N = 12–20$ ), the steric hindrance from the hydrogen atoms within the ring sometimes prevents the formation of such a flat conformation. Second, this approach allows us to determine the real aromatic stabilisation energy of the selected annulenes. And third, we prefer to work with structures that are true minima with positive frequencies. Nevertheless, for small  $N$  values, this choice could lead to unexpected results in the calculated aromaticity indices, which may not perfectly align with the predictions of the Hückel and Baird rules.

Due to the multiple manifestations of aromaticity, characterisation of aromaticity should be done with a set of descriptors rooted in distinct criteria.<sup>36–39</sup> In the present work, additional structural, electronic, and magnetic descriptors were evaluated to characterise the aromaticity of the different  $[N]$ annulenes, including the harmonic oscillator model of aromaticity (HOMA),<sup>40</sup> bond-length alternation (BLA),<sup>2,41</sup> aromatic fluctuation index (FLU),<sup>42</sup> bond-order alternation (BOA),<sup>2,27,43</sup> AV1245 index,<sup>44</sup>  $AV_{\min}$  index,<sup>2,43</sup> electron density of delocalized bonds (EDDB),<sup>45,46</sup> as well as nucleus independent chemical shifts (NICS) and related indices (see ESI† for a more detail description of each index) at the (U)CAM-B3LYP/6-311G(d,p)/(U)CAM-B3LYP/6-31G(d) level of theory.<sup>47–50</sup> The ESI-3D program<sup>41</sup> was employed for the computation of the electronic and structural indices. To evaluate the atomic overlap matrices required for the ESI-3D code, the AIMAll software was employed,<sup>51</sup> which relies on the quantum theory of atoms-in-molecules (QTAIM) atomic partitioning. The electron density of delocalised bonds was analysed using the RunEDDB code.<sup>52</sup> The natural atomic orbitals



used for the EDDB calculation were visualised using the Avogadro software in order to distinguish between the different symmetry components ( $\sigma$ ,  $\pi$ , ...).<sup>53</sup> This distinction was necessary since it allowed the separation of the contribution of the delocalised  $\pi$ -electrons from the overall EDDB<sub>H</sub>( $r$ ) function. Finally, the  $\pi$ -EDDB function was visualised with the Avogadro software to assess the electron density of the  $\pi$ -delocalised electrons.<sup>53</sup> The NICS-based indices were obtained from NMR calculations at the (U)CAM-B3LYP/6-311G(d,p)/(U)CAM-B3LYP/6-31G(d) level of theory using the GIAO approach<sup>54</sup> and the Gaussian 16 software.<sup>23</sup> The same method was used to evaluate the atomic orbital density matrices required by the GIMIC program<sup>55</sup> to obtain the current densities ( $nA/T$ ), with the magnetic field oriented along the  $z$ -axis perpendicular to the molecular plane.<sup>56,57</sup> To quantify the strength of the ring current, a numerical integration was conducted utilising the two-dimensional Gauss-Lobatto algorithm<sup>58</sup> on a plane situated halfway between two selected atoms and extending from the centre of the molecule to a region where the current density vanishes.<sup>56,57</sup> The integration plane was subdivided into slices of  $0.05 \times 5 \text{ \AA}$  and the total current strength was obtained by summing up all the contributions from the slices.<sup>56</sup>

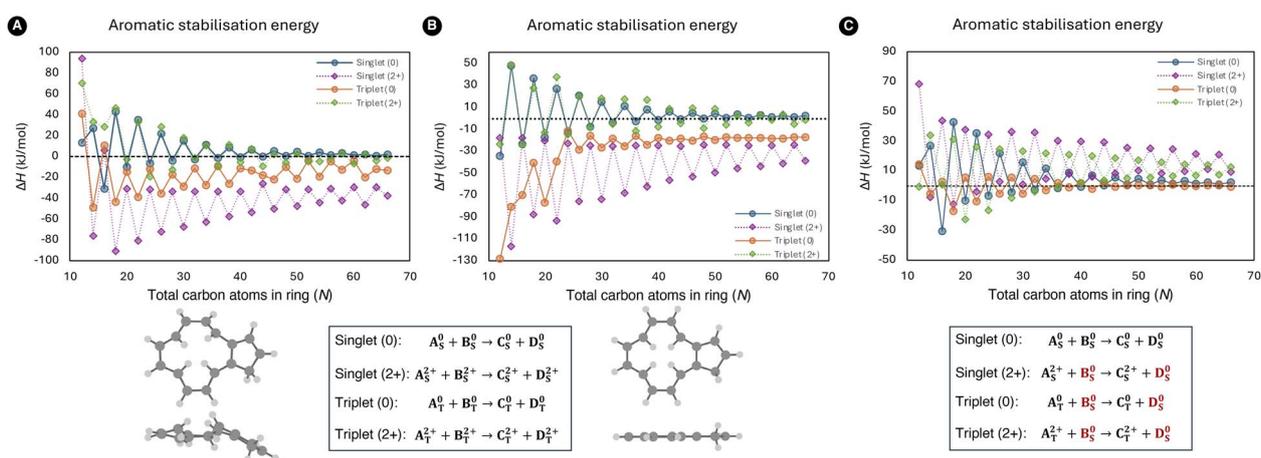
Finally, the relative hardness ( $\Delta\eta$ ) was obtained by taking the difference between the lowest unoccupied molecular orbital (LUMO) and highest occupied molecular orbital (HOMO) orbital energies ( $\epsilon$ ) of the **A** and **C** structures (eqn (1)) from the ISE<sub>II</sub> method (Fig. 2) computed with the (U)CAM-B3LYP/6-31G(d) method.<sup>22</sup> The  $\Delta\eta$  of the triplet structures was determined by employing the HOMO orbital energies of the  $\alpha$  electrons and the LUMO orbital energies of the  $\beta$  counterparts because, in our systems, the HOMO $_{\alpha}$ -LUMO $_{\beta}$  gap is the lowest.

$$\Delta\eta = \eta_A - \eta_C = \left( \epsilon_{\text{LUMO}_A}^{\beta} - \epsilon_{\text{HOMO}_A}^{\alpha} \right) - \left( \epsilon_{\text{LUMO}_C}^{\beta} - \epsilon_{\text{HOMO}_C}^{\alpha} \right) \quad (1)$$

## Results and discussion

To ascertain the presence of Hückel and Baird aromaticity in [ $N$ ]annulenes and establish the influence of size and charge, aromaticity indices from five different criteria were employed. A diverse range of ring sizes, encompassing 12 to 66 atoms, were selected for this investigation. As previously mentioned, Jirásek *et al.*<sup>20</sup> and Wannere *et al.*<sup>13</sup> already conducted investigations into the ASE of closed-shell [ $N$ ]annulenes from the reaction **A** + **B**  $\rightarrow$  **C** + **D** in Fig. 2. A summary of our ASE results together with the previously published ASE data is illustrated in Fig. 3. Initially, our geometries were optimised without imposing any constraints (Fig. 3A) in contrast to the previously published results. The curves illustrate the anticipated trends with alternation occurring between the  $4n$  and  $4n+2$   $\pi$ -electron structures. However, the location of the curves of the neutral triplet and dication singlet annulenes is unexpected as they indicate that all the structures with  $N > 16$  would be antiaromatic with negative ASE values. Nevertheless, the other aromaticity indices belonging to the electronic, structural, and magnetic criteria contradict this conclusion (Fig. 4).

To identify the underlying cause of the unexpected results, the spin density of the different indene-isoindene isomers was evaluated (spin density maps of the annulenes alone can be found in Fig. S25 and S26 of the ESI<sup>†</sup>). The spin density plots of the indene-isoindene isomers revealed that the distribution of the neutral triplet in the **B** geometries is notably concentrated in a specific region of the molecule, in contrast to the **A**, **C**, and **D** structures, where the spin density is more evenly distributed throughout the molecule (Fig. S23<sup>†</sup>). This uneven spin excess distribution for the **B** structure was observed consistently for both aromatic and antiaromatic annulenes. Remarkably, the spin density of the charged triplet structures was likewise distributed throughout the entire **A**, **B**, **C**, and **D** molecules (Fig. S24<sup>†</sup>), suggesting a potential



**Fig. 3** ASE results of the neutral closed-shell and triplet [ $N$ ]annulenes as well as the triplet and singlet dicationic counterparts computed at the (U)B3LYP/6-31G(d) level of theory. Energies of **A**, **B**, **C**, and **D** are zero-point energy corrected electronic energies. (A) The structures to evaluate the ASE values were not subjected to any constraints. The geometries of **A**, **B**, **C**, and **D** do not contain any imaginary frequencies. (B) All the structures in the ASE calculations are enforced to be planar. Consequently, several geometries contain one or more imaginary frequencies. The neutral singlet molecules were taken from ref. 20. (C) The electronic energy and ZPVE of the **B** and **D** structures employed in the ISE<sub>II</sub> method were derived from ref. 20 and exhibit  $C_s$  symmetry. By contrast, the structure of **A** and **C** were optimised without any constraints. The fully optimized geometry of the indene isomer of the neutral triplet [12]annulene is also shown (A) together with its planar counterpart (B).



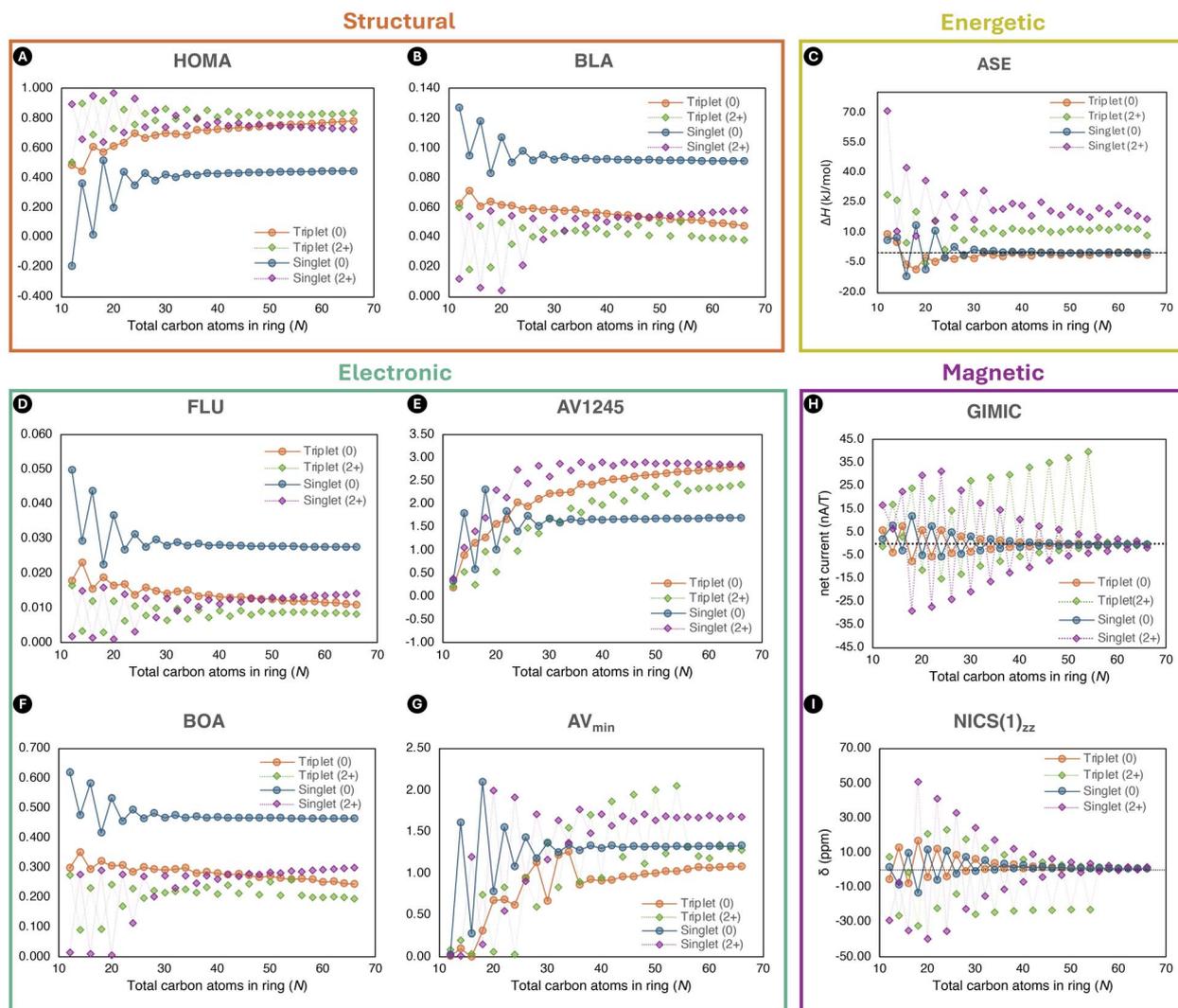


Fig. 4 The evolution of selected aromaticity descriptors rooted in distinct criteria structural (A and B), energetic (C), electronic (D–G), and magnetic (H and I) of the neutral and charged  $[N]$ annulenes in the singlet and triplet states ( $N = 12–66$ ). Except the ASE values, all the aromaticity descriptors are computed at the (U)CAM-B3LYP/6-311G(d,p)//(U)CAM-B3LYP/6-31G(d) level of theory. For the ASEs, we used the (U)CAM-B3LYP/6-31G(d) method.

issue with the **B** geometries of the neutral triplet annulenes. Our hypothesis is that the localization of the spin density in **B** destabilises this reference molecule and, as a result, the ASE becomes artificially more negative in the triplet species.

When quantifying the ASE, an *anti-syn* correction is required to correct the mismatch between the number of *syn*- and *anti*-diene configurations at both sides of the ISE<sub>I</sub> and ISE<sub>II</sub> reactions (Fig. 1). For this reason, the dihydro derivatives **B** and **D** needs to be included as illustrated in Fig. 2. To avoid the destabilization observed in the triplet state of **B**, we implemented the closed-shell *anti-syn* correction by Jirasek *et al.*<sup>20</sup> Thus, the sum of electronic energy (EE) and the ZPVE of **B** and **D** were taken from the ESI of ref. 20 in which all geometries exhibit  $C_s$  symmetry ensuring planarity. This approach effectively shifted the curve, ensuring alignment with the results from the remaining aromaticity indices (Fig. 3C). The same correction was applied to the triplet dications to ensure consistency with

the results of the singlet counterparts. From these plots, firstly, it is evident that there is alternation between the aromatic and antiaromatic regions. Secondly, the anticipated mirror images were established, as predicted by both rules. Thirdly, the convergence of ASE towards zero is faster for the neutral triplet species than the singlet ones, although when normalized the two series converge at similar rate (see Fig. S8†). Fourthly, annulenes in their  $T_1$  states are less (anti)aromatic than in the  $S_0$  state. Fifthly, the difference in ASE between the aromatic and antiaromatic structures disappears when the annulene rings became larger. This latter result demonstrates that the Hückel and Baird rules are no longer applicable for large annulene rings, in accordance with the findings of Casademont-Reig *et al.*<sup>2</sup> Finally, it appears that a residual aromaticity of about  $10 \text{ kJ mol}^{-1}$  is present for the charged structures of both multiplicities. We attribute this residual aromaticity to the resonance structures that can be formed by the positive charges



present in the system (see Fig. S40†). Hole delocalization results in electronic delocalization, and therefore we consider the extra stability of large dicationic annulenes as a residual aromaticity.

Upon initial examination, the proposed correction appears somewhat unconventional. To demonstrate that this approach leads the anticipated outcomes, the ASE results with all structures possessing  $C_s$  symmetry were additionally calculated (Fig. 3B). Notably, the position of the curves in Fig. 3A and B is nearly identical and inherently different from the ASE values obtained by using the proposed correction. The rationale behind the decision not to impose planarity do not provide an explanation for the observed behaviour. A paper by Zhu, An, and Schleyer demonstrated that the conformation of the *anti*-butadiene in the triplet state is less stable than in the singlet state.<sup>59</sup> For the systems investigated in this paper, no issues were encountered when applying the *anti-syn* correction. However, their correction was applied using an acyclic compound, which was not the case in our research. This could explain why the initial results did not meet the expectations set by Baird's rule. Regarding the cations, the charge might play an additional role in shifting the curve for both the singlet and triplet states (Fig. 3C). The application of the correction yielded the anticipated outcomes; however, it remains uncertain whether this approach can be generalised to other hyperhomodesmotic treatments.

As found in previous studies, ASE of  $[N]$ annulenes is large for small rings and decreases with the size of the ring. This trend is the same for neutral and charged singlet and triplet  $[N]$ annulenes. For large  $N$  values, the ASE becomes similar for aromatic and antiaromatic annulenes. Considering that energetic stabilization is one of the key aspects of aromaticity, we conclude that neutral singlet and triplet  $[N]$ annulenes with  $N > 30$  should not be considered aromatic or antiaromatic. Convergence of ASE values for charged species occurs at higher  $N$  values.

An inspection of the other selected indices, depicted in Fig. 4, revealed the anticipated alternation between  $4n$  and  $4n+2$  annulenes, in accordance with the predictions of the Hückel and Baird rules. Furthermore, we notice the difference between the aromatic and antiaromatic structures disappearing with the increase in ring size, in accordance with the results by Casademont-Reig *et al.* based on electronic descriptors.<sup>2</sup> The observed convergence of the aromaticity descriptor to zero for the neutral structures is only met by the energetic, magnetic, and reactivity ( $\Delta\eta$ ) criteria (Fig. 4 and 5). The other indices only satisfied this trend at the beginning of the curve, eventually converging to different values. Additionally, the presence of residual aromaticity in the charged annulenes is supported by the majority of structural, electronic, and magnetic indices, as the cationic closed-shell and triplet structures consistently exhibited the highest or lowest values, respectively, depending on the index. Moreover, the trend of the neutral and charged triplet and singlet annulenes should be each other mirror image, as well as the trends between the neutral and charged structures bearing the same multiplicity. In the case of the dications, the closed-shell and triplet results of all indices are each other's mirror image across most of the curve. However,

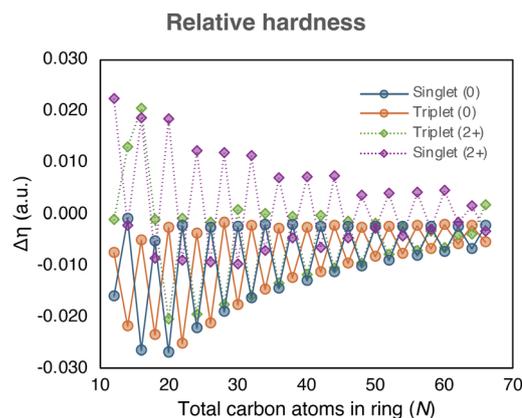


Fig. 5 The relative hardness of the neutral and charged  $[N]$ annulenes ( $N = 12-66$ ) computed with the (U)CAM-B3LYP/6-31G(d) level of theory.

unexpectedly, the aromatic triplet dication systems do not show the expected convergence with the size of the annulene.

The presence of the Hückel and Baird rules can be further demonstrated by visualising the electron density of the  $\pi$ -electrons with the EDDB method (Fig. S28–S31†), which provided a clear distinction between (de)localised electron densities in aromatic and antiaromatic  $[N]$ annulenes. In the case of smaller rings, an apparent difference is noticed between the aromatic (delocalised) and antiaromatic (localised) structures. As the rings became larger, this distinction become less pronounced and eventually disappears. In addition, we observe the influence of the multiplicity and the positive charge as the density transitions between localised and delocalised states, and *vice versa*. These results provided further evidence of the presence of Hückel and Baird (anti)aromaticity in neutral and charged, singlet and triplet annulenes.

The efficacy of indene–isoindene derivatives in determining relative hardness ( $\Delta\eta$ ) was evaluated. The effective use of the methyl–methylene isomers for determining  $\Delta\eta$  in monocycles was previously reported by De Proft and Geerlings and later extended to macrocycles.<sup>22</sup> The evolution of  $\Delta\eta$  with the annulene size (Fig. 5) show that the indene–isoindene isomers in the ISE<sub>II</sub> method can be similarly applied to evaluate the  $\Delta\eta$  of closed-shell and triplet annulenes. In contrast to ASE, this reactivity index does not require the *anti-syn* corrections. The alternating and converging trends adhered to the Hückel and Baird rules in line with the rest of aromaticity indices. However, the potential residual aromaticity, thought to be present in the dications, is not observed with this reactivity index.

Among the different indices employed to assess the (anti) aromaticity of  $[N]$ annulenes, there are several findings that should be highlighted. First, the ASE shows a convergence to zero for the singlet and triplet species and a convergence to *ca.* 10 kJ mol<sup>-1</sup> for the charged singlet and triplet states, implying that large  $[N]$ annulenes are non-aromatic. This result is largely supported by the reactivity  $\Delta\eta$  index and the magnetic indices (GIMIC and NICS(1<sub>zz</sub>)), with the exception of the aromatic triplet dication systems in the case of the magnetic indices. Both,



NICS(1)<sub>zz</sub> and the ring current strength, increases when going from large to small annulenes. However, for the smallest annulenes ( $N \leq 20$ ), the ring current intensity is reduced because of the distortion present in these annulene structures that quenches the  $\pi$ -delocalisation, decreasing the intensity of the ring current and the absolute value of the NICS(1)<sub>zz</sub>. As for the ASE values, for the magnetic descriptors, there is not a clear convergence for the charged species, showing a distinct behaviour than the neutral ones. For the structural and electronic criteria, we can see the convergence to a given value as the size of the annulene increases. However, in all cases, the converged value does not correspond to that of a non-aromatic species. Accordingly, these indices suggest that large  $[N]$ annulenes keep some aromatic character, even those classified as antiaromatic ones based on the Hückel or Baird rule. Moreover, most indices indicate that the charged species are more aromatic than the neutral ones. Our interpretation is that in large  $[N]$ annulenes electron delocalization and bond length equalisation are still significant, but this electron delocalization does not have an impact in the energetic stabilization of the systems. For this reason, we conclude based on the energetic criterion that large  $[N]$ annulenes are non-aromatic. Our results clearly indicate that energetic (de)stabilisation is only important for small  $\pi$ -conjugated rings, in line with the findings of Jirásek *et al.*<sup>20</sup> For large rings, the energetic (de)stabilisation by aromaticity is minor.

Finally, the correlation between the different aromaticity indices was examined using the  $R^2$  values (Table 1). The magnetic indices exhibit excellent correlation with an  $R^2$  value of 0.89. For the electronic criterion, the indices could be divided into two groups depending on the correlation between them. The first group comprised FLU and BOA, whilst the following group consists of AV1245 and AV<sub>min</sub>. When  $R^2$  values between indices rooted in different criteria are considered, HOMA and BLA exhibit strong correlation with FLU and BOA. Interestingly, when separated into four different groups, namely, Hückel species (both charged and uncharged), Baird species (both charged and uncharged), charged species (both Hückel and Baird), and uncharged (both Hückel and Baird), correlations, in general but not always, improve somewhat but still in many cases correlations are poor (see Fig. S37 and S38<sup>†</sup>). As it is well known, in general,

different indicators of aromaticity may not always produce results that are consistent with one another. For example, predictions based on energetic grounds frequently differ from those based on magnetic or structural criteria of aromaticity.<sup>60</sup> Addressing the so-called multidimensional feature of aromaticity frequently resolves the apparent inconsistencies between descriptors of aromaticity.<sup>61–65</sup> In this sense, since one compound may be more aromatic than another in one dimension and less aromatic in another dimension, it can be argued that it makes sense that different indices provide diverging orderings. This is why many authors recommend characterizing aromatic compounds using a set of indices based on various physical properties.<sup>36,66</sup>

## Conclusions

The objective of this paper was to assess the influence of size and charge on Hückel and Baird aromaticity in  $4n$  and  $4n+2$  annulenes. Aromaticity indices from five different criteria were employed to investigate the existence of Hückel and Baird aromaticity in neutral and positively charged closed-shell and triplet annulenes. Furthermore, the disappearance of the Hückel and Baird rules with an increase in ring size was also explored. Applying these aromaticity rules to the selected macrocycles led to the hypothesis that the trend of the neutral and charged triplet and singlet annulenes should be each other's mirror image, as well as between the trends of neutral and charged structures bearing the same multiplicity.

Initially, our findings indicated that the selected indices were consistent with the Hückel and Baird aromaticity hypothesis, thereby demonstrating the influence of charge on the aromaticity. Subsequently, our results indicated that both rules disappeared as the size of the annulenes increased, which was consistent with the findings published by Casademont-Reig and colleagues.<sup>2</sup> We also found that  $[N]$ annulenes in their T<sub>1</sub> states are less (anti)aromatic than in the S<sub>0</sub> state, according to the ASE values. Additionally, it was also shown that the dication structures of both multiplicities potentially exhibited residual aromaticity, which could be attributed to the resonance structures resulting from the delocalisation of the positive charge. Moreover, our ASE values prove that energetic (de)stabilisation is only important for small  $\pi$ -conjugated rings. For large rings, the energetic (de)stabilisation by aromaticity is minor. Finally, we demonstrated that the indene-isoindene isomers (A and C) of the ISE<sub>II</sub> method can be employed to determine the relative hardness and, consequently, aromaticity, in a similar manner analogous to previously observed for the methyl–methylene isomers of the ISE<sub>I</sub> approach by De Proft, Geerlings, and Alonzo.<sup>22</sup>

**Table 1** Correlation coefficients ( $R^2$ ) between the aromaticity indices rooted in distinct criteria employed in the analysis of the  $[N]$ annulenes with  $N = 12–66$  (112 systems).  $R^2 > 0.60$  are indicated in green,  $R^2 < 0.40$  are identified in red, and outcomes between both are marked by orange colouring

$R^2$	HOMA	BLA	FLU	BOA	AV1245	AV <sub>min</sub>	GIMIC	NICS(1) <sub>zz</sub>	$\Delta\eta$	ASE
HOMA	1.00									
BLA	0.91	1.00								
FLU	0.98	0.96	1.00							
BOA	0.87	1.00	0.94	1.00						
AV1245	0.22	0.08	0.14	0.05	1.00					
AV <sub>min</sub>	0.04	0.00	0.01	0.00	0.49	1.00				
GIMIC	0.09	0.09	0.09	0.09	0.00	0.15	1.00			
NICS(1) <sub>zz</sub>	0.11	0.12	0.11	0.13	0.00	0.13	0.89	1.00		
$\Delta\eta$	0.22	0.23	0.22	0.23	0.05	0.11	0.31	0.42	1.00	
ASE	0.25	0.34	0.29	0.35	0.02	0.05	0.10	0.17	0.45	1.00

## Data availability

The data supporting this article have been included as part of the ESL.<sup>†</sup>

## Author contributions

L. V. N. performed the calculations, analysed the data, and wrote the initial draft of the manuscript. M. A. supervised the



work and reviewed and edited the final manuscript. M. S. conceived the idea, supervised the work, reviewed and edited the final manuscript, and provided financial support.

## Conflicts of interest

There are no conflicts to declare.

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