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# Double-core nanothread formation from $\alpha$ -fural *via* a pressure-induced planarization pathway†

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The packing and geometry of compressed small molecule precursors largely dictate the kinetically controlled formation processes of carbon nanothread materials. Structural ordering and chemical homogeneity of nanothread products may deteriorate through competing reaction pathways, and molecular phase transitions can disrupt precursor stacking geometries. Here, we report the formation of well-ordered, double-core nanothreads from compressed  $\alpha$ -fural *via* a unique polymorphic transition pathway that serves to facilitate a pressure-induced reaction. At  $\sim 1.6$  GPa,  $\alpha$ -fural transforms to the photoactive *trans*-planar conformation, which was previously theorized but not observed. Crystalline packing of the *trans*-planar structure provides closely overlapping molecular stacks that result in topochemical-like Diels–Alder cycloaddition reactions between furan rings upon further compression. The controlled reaction pathways on both sides of the molecule produce two linked “cores” of chemically homogenous nanothreads, and successive nucleophilic addition reactions crosslink a large fraction of the diketone bridges between monomers.

Received 1st November 2024

Accepted 2nd January 2025

DOI: 10.1039/d4sc07412b

rsc.li/chemical-science

## Introduction

Diamond nanothreads represent a novel class of one-dimensional  $sp^3$  carbon-rich nanomaterials, formed from the compression of small molecules to high (GPa) pressures.<sup>1–15</sup> The initial discovery of crystalline nanothreads from benzene<sup>1,2</sup> suggested synthetic design principles for controlled high-pressure reactions, in contrast to previous work that showed the formation of disordered products.<sup>16–23</sup> While crystalline one-dimensional nanothreads are in stark contrast to the previous paradigm of disorder, this crystallinity is typically the result of the macromolecular packing of individual chemically inhomogeneous threads.<sup>1–3,14,24–26</sup> That is, atomically precise

nanothreads with full three-dimensional long-range order still represent a major synthetic challenge, and most threads show a range of local chemical environments due to competing reaction pathways.<sup>27,28</sup>

The initial discovery of nanothreads in 2015 sparked new interest in high-pressure solid-state organic reactions and nanothreads with a diverse range of chemical functionalities and structural motifs have since been produced.<sup>3–15</sup> Several strategies to improve thread homogeneity and 3D order have been employed, and different approaches can significantly constrain reaction paths to produce highly ordered materials.<sup>5,6,9</sup> Solution phase reactions<sup>29,30</sup> and theoretical studies<sup>31</sup> have shown that certain reaction pathways, such as [4 + 2] Diels–Alder cycloaddition reactions, are accelerated by increasing pressure due to their negative activation volumes. Such reactivity can also be translated to solid-state reactions, and accordingly, precursors containing five-membered ring systems (*e.g.*, furan,<sup>4–6</sup> thiophene<sup>7</sup>) have been shown to promote the formation of nanothreads with greatly increased chemical homogeneity, largely due to the incorporation of heteroatoms that constrain reactivity along a single [4 + 2] Diels–Alder cycloaddition pathway.<sup>4–6,32,33</sup> While this reaction pathway may seem unorthodox in the context of traditional organic chemistry, recent theoretical studies have shown that small molecules such as furan can act as both diene and dienophile at extreme pressures.<sup>33</sup> Indeed, the first “perfect” threads were synthesized from furans<sup>4–6,32</sup> and pyridazine<sup>9</sup> (a 6-membered diazine ring system). In addition, reduced aromaticity caused by

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† Electronic supplementary information (ESI) available. CCDC 2343198–2343200. For ESI and crystallographic data in CIF or other electronic format see DOI: <https://doi.org/10.1039/d4sc07412b>

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heteroatom incorporation can contribute to lower reaction pressures for nanothread formation, *e.g.*, 10–13 GPa<sup>4–6,9,32</sup> for furan/pyridazine *vs.* ~20 GPa for benzene.<sup>1,34</sup> Interestingly, the formation of “perfect” nanothreads *via* sequential [4 + 2] cycloaddition reactions from carbonyl-substituted furans (*e.g.*, 2,5-furandicarboxylic acid)<sup>5,6</sup> suggest that high-pressure reactions may be a route to increase the reactivity of typically electron-poor dienes. It is also important to note that the most chemically homogeneous threads have been synthesized from planar systems which crystallize with appropriate  $\pi$ -stacking for topochemical-like nanothread formation, allowing nanothreads to be formed under rapid, quasi-hydrostatic compression conditions in a diamond anvil cell. Precursors that crystallize without a significant degree of  $\pi$ -stacking overlap (*e.g.*, furan, benzene) may undergo non-topochemical nanothread formation induced by anisotropic stress, typically under slow (GPa hour<sup>-1</sup>) uniaxial compression. This is important to keep in mind as we move towards synthetic strategies that incorporate precursors with increasing chemical diversity, in which functional groups will impact  $\pi$ -stacking geometries.

Recent research has investigated nanothread formation in larger molecular precursors with different functionalities and increased structural complexity.<sup>5,6</sup> One interesting class of more complex nanothreads are the so-called “double-core” nanothreads.<sup>11–14,35</sup> First reported by Romi and coworkers, double-core nanothreads are formed from the compression of molecules containing linked aromatic rings (*e.g.*, stilbene,<sup>14</sup> azobenzene<sup>11,12</sup>), resulting in the formation of two 1D nanothread polymers connected together by a bridging motif. Double/multi-core nanothreads provide an opportunity to extend nanothread systems to pseudo-2D structures with an expanded range of chemical properties. For example, double-core nanothreads produced from diphenylacetylene represent tunable low-bandgap polyacetylene-like polymers, protected on the sides by sp<sup>3</sup> nanothread cores.<sup>13,35</sup> To date, double-core threads have only been produced from precursors containing six-membered aromatic ring systems, and the resulting nanothread products contain a large degree of disorder and coexist with an amorphous sp<sup>2</sup>–sp<sup>3</sup> carbon matrix due to the presence of multiple competing reaction pathways (*cf.* benzene). This disorder has made precise structural determination a significant challenge and has led to debate over the final structure of the high-pressure reaction products for these systems. For example, recent publications on the pressure-induced polymerization of azobenzene have proposed both double-core nanothread,<sup>11,24</sup> and pseudo-two dimensional nanoribbon<sup>36</sup> structures for the recovered polymeric materials.

One factor that has hindered the formation of larger, chemically homogeneous systems is their molecular geometry. Planar molecules are regularly used as precursors for nanothread formation as their molecular geometries at ambient pressure often already occupy the smallest volume, and will therefore be favored under high-pressure conditions. While high-pressure phase changes may result in alteration of the overall molecular packing, such phase changes are unlikely to result in significant structural rearrangements of planar molecules. Therefore, the crystal structures of these systems

collected at ambient may be used to predict high-pressure reaction pathways. While this approach has been successful, it has naturally excluded studies of non-planar systems. Nevertheless, many bridged systems are non-planar, yet possess aromatic rings that are well positioned for nanothread formation and structural motifs that would be interesting to incorporate into nanothread materials. We are therefore interested in investigating the high-pressure behavior of a non-planar system that also incorporates five-membered ring systems. Such a precursor would allow us to test if the design principles that have allowed for the formation of “perfect” nanothreads from small molecule precursors can also be applied to more chemically and structurally complex precursors in order to extend reaction control to larger systems with diverse future applications.

Herein, we report the high-pressure behavior of the 1,2-diketone system  $\alpha$ -fural. Compression of  $\alpha$ -fural leads to the formation of high-pressure *trans*-planar phase with excellent  $\pi$ -stacking overlap, which had previously been theorized but not validated experimentally. Additional compression results in the formation of an extended, double-core nanothread polymer through sequential [4 + 2] Diels–Alder cycloaddition reactions between overlapping furan rings.

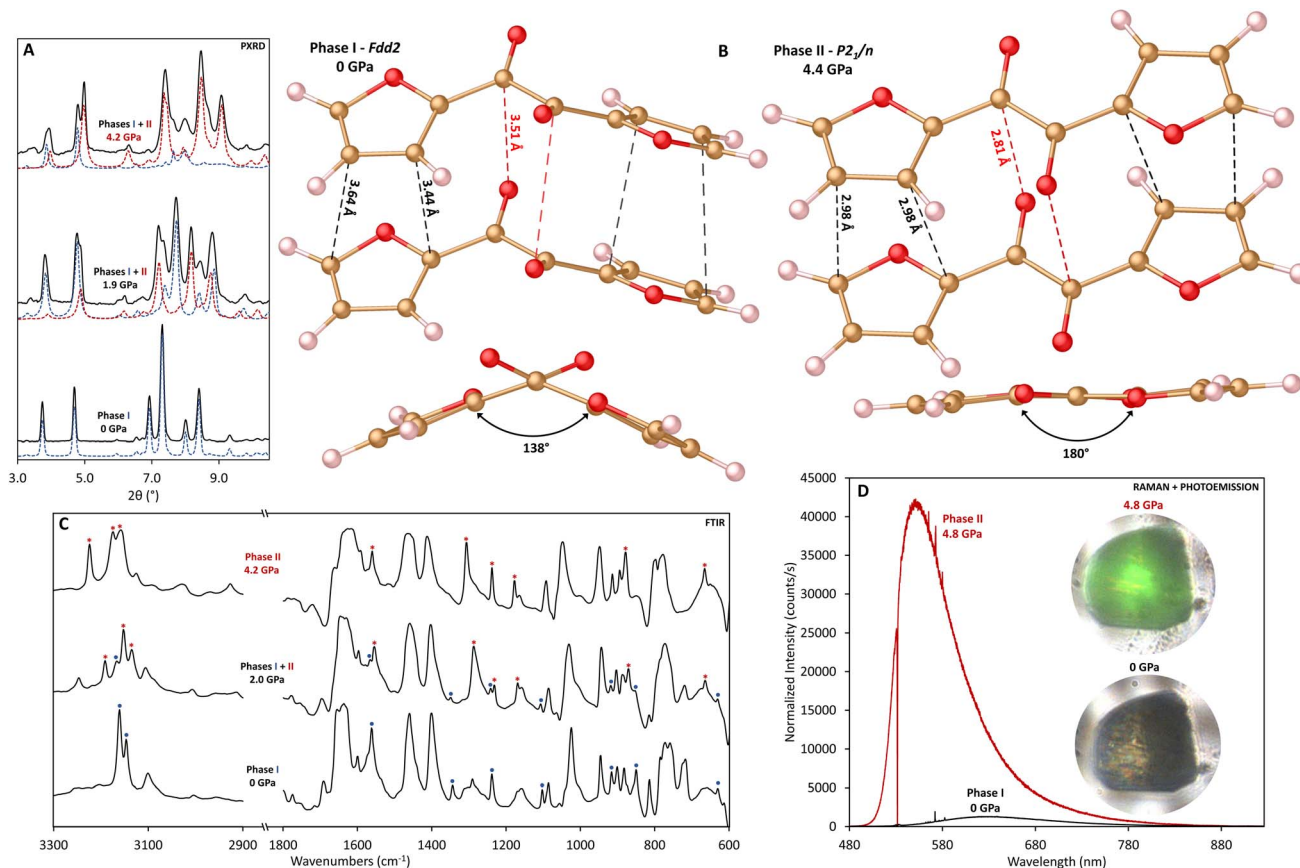
## Results and discussion

### *In situ* characterization of high-pressure phase changes in $\alpha$ -fural

$\alpha$ -Fural (2,2'-fural, C<sub>10</sub>H<sub>6</sub>O<sub>4</sub>) is a potential candidate for the formation of double-core nanothreads with improved order and homogeneity due to the presence of overlapping five-membered furan rings. These furan rings, linked by a 1,2-diketone bridge, show parallel-displaced  $\pi$ -stacking and short intermolecular C...C distances (*i.e.*, <4 Å), compatible with previous reports of temperature<sup>37</sup> and pressure-induced<sup>3–6,9,10,32</sup> [4 + 2] Diels–Alder cycloaddition reactions. We hypothesize that a cascade Diels–Alder polymerization reaction would proceed along the stacking axis with high selectivity<sup>3</sup> to produce a double-core nanothread consisting of two connected degree-4 threads, each forming in the *syn* conformation (*i.e.*, all oxygen atoms aligned along the same side). Double-core threads linked by a 1,2-diketone bridge could enable photoreactions such as those observed for structurally analogous systems such as benzil,<sup>38</sup> phenanthrenequinone,<sup>38</sup> retenequinone,<sup>38</sup> diacetyl,<sup>39</sup> and pyruvic acid,<sup>40</sup> which have all been found to react with alkenes, alkynes and water in the presence of sunlight. Nevertheless, unlike the majority of nanothread-forming precursors,  $\alpha$ -fural is non-planar; the furan ring planes of fural are canted by ~48° from one another and the molecule exists in a buckled conformation such that simultaneous nanothread formation for both ring stacks would induce significant bridge strain. Furthermore, intermolecular ketone interactions could potentially impact reactivity of the rings.

To investigate reactivity under high-pressure conditions, powder samples of  $\alpha$ -fural were loaded into diamond anvil cells (DACs), and the structure and behavior was monitored with *in situ* X-ray diffraction (XRD) and vibrational spectroscopy. PXRD





**Fig. 1** (A) Comparison of experimental XRD data collected at 0, 1.9, and 4.2 GPa (black lines) and phase profiles from Le Bail refinements at the same pressures calculated using phase I (blue dash) and phase II (red dash) structures of  $\alpha$ -furil ( $\lambda = 0.4340 \text{ \AA}$ ); (B) top: structures of phase I and phase II  $\alpha$ -furil taken from crystal structure data collected at 0 GPa and 4.4 GPa respectively. The orientation of the leftmost furan ring is kept constant between structures to highlight the twisting of the 1,2-diketone bridge between phases. Black lines indicate the closest contact intermolecular C...C distances corresponding to a [4 + 2] cycloaddition pathway. Red lines represent the closest contact intermolecular C...O distances. Bottom: View of the same structures along the 1,2-diketone bridge, highlighting the torsion angle between the four carbons in the bridge motif; (C) comparison of the FTIR spectra for  $\alpha$ -furil collected at 0, 2.0, and 4.2 GPa, between 600–1800 and 2900–3300  $\text{cm}^{-1}$ . The region between 1800 and 2900  $\text{cm}^{-1}$  with diamond absorption has been omitted for clarity. Highlighted are vibrational modes corresponding with phase I (blue dots, decreasing in intensity as a function of pressure) and phase II (red asterisks, increasing in intensity as a function of pressure); (D) comparison of the Raman/photoemission spectra for phase I (black) and phase II (red)  $\alpha$ -furil (excitation: 532 nm). Spectra have been normalized based on collection time. The inset shows photomicrographs of an  $\alpha$ -furil crystal ( $\sim 50 \times 50 \mu\text{m}$ ) across the transition with laser-induced emission.

patterns of starting  $\alpha$ -furil at 1 atm readily index to the previously reported orthorhombic *Fdd2* phase.<sup>41,42</sup> Upon compression, several new diffraction peaks emerge, indicating a pressure-induced phase transition (Fig. 1A and S1†). Single-crystal diffraction of  $\alpha$ -furil collected between 0.5 and 4.4 GPa was used to solve the structure of this high-pressure phase (Fig. 1B and Table S1†). At 0.5 GPa,  $\alpha$ -furil remains in the ambient-pressure *Fdd2* phase, albeit with a 6.7% reduction in cell volume. Upon further compression to 1.6 GPa,  $\alpha$ -furil planarizes, resulting in a transition to the monoclinic space group *P2<sub>1</sub>/n* with cell parameters  $a = 14.586(5) \text{ \AA}$ ,  $b = 7.0535(14) \text{ \AA}$ ,  $c = 3.4512(6) \text{ \AA}$ ,  $\beta = 93.132(6)^\circ$  (see ESI†). This new cell is in good agreement with the analogous thiophene structure,  $\alpha$ -thenil, which crystallizes in the *trans*-planar conformation, occupying a *P2<sub>1</sub>/n* lattice ( $a = 14.73$ ,  $b = 7.27$ ,  $c = 4.75$ ,  $\beta = 112.41^\circ$ ) albeit with minimal stacking overlap between aromatic rings.<sup>43,44</sup>

Planar 1,2-diketone systems are generally uncommon due to steric interactions between carbonyl oxygens and attached ring systems.<sup>41</sup> However, the dihedral angle between rings in  $\alpha$ -furil at ambient conditions is notably larger than analogous complexes ( $138.4^\circ$  vs.  $114.9^\circ$  in benzil<sup>45</sup>) indicating that the smaller ring size may reduce steric clashes and therefore the barrier to planarization. Indeed, our theoretical calculations indicate a minimal ( $+0.2 \text{ kcal mol}^{-1}$ ) energy difference between the ambient-pressure and *trans*-planar phases of  $\alpha$ -furil. This is consistent with previous theoretical studies that indicate that a free molecule of  $\alpha$ -furil with a dihedral angle of  $180^\circ$  is very close in energy to the global minimum ( $156.1^\circ$ ).<sup>46</sup> It is important to note that the isolated molecular global minimum does not account for stabilization effects caused by crystal packing, which result in the smaller, crystallographically observed dihedral angle. The reduced volume of the high-pressure phase



is a result of improved packing efficiency of the *trans*-planar structure. Confirmation of the existence of the *trans*-planar phase of  $\alpha$ -fural validates previous studies into the photophysics of 1,2-dicarbonyl systems that theorized the existence of a short-lived *trans*-planar excited state for  $\alpha$ -fural, from which photoemission occurs.<sup>46–48</sup> A second structure of  $\alpha$ -fural collected at 4.4 GPa (see ESI†) indicates that phase II persists upon further compression. Again, the furan rings are well placed to undergo a pressure-induced [4 + 2] Diels–Alder cycloaddition reaction, with an average intermolecular C...C distance of 2.98 Å (see Fig. 1B).

The low-pressure FTIR spectra of  $\alpha$ -fural are in good agreement with previously reported studies.<sup>49</sup> However, above 1.1 GPa, several peaks disappear and new peaks emerge, at *ca.* 665, 874, 1167, 1234, 1287, 1552, 1629, 3131, and 3186 cm<sup>-1</sup> (Fig. 1C and S2†), caused by the change to the *trans*-planar phase. Above 8.6 GPa, a new set of peaks begin to emerge at *ca.* 879, 1022, and 1141 cm<sup>-1</sup>, increasing in intensity as a function of pressure. These new peaks are generally consistent with changes seen upon the onset of nanothread formation in the case of 2,5-furandicarboxylic acid (*cf.* 1163, 899 cm<sup>-1</sup>) which polymerizes to form a *syn*-furan nanothread wherein all the furan atoms have the same orientation. At pressures above 15 GPa peaks corresponding to C=O stretches above 1600 cm<sup>-1</sup> begin to dramatically decrease in intensity, complimented by a corresponding increase in the new peak at *ca.* 1022 cm<sup>-1</sup>, which is generally consistent with the formation of sp<sup>3</sup> C–O bonds. While this may indicate reaction of the carbonyl groups at pressure, it is important to note that furan nanothread formation will also result in the formation of sp<sup>3</sup> C–O–C bonds.

Raman studies show behavior that is consistent with FTIR, and at 1.5 GPa several new vibrational modes appear at *ca.* 206, 616, 656, 1405, and 1562 cm<sup>-1</sup> (Fig. S3†). The Raman spectra also show that  $\alpha$ -fural exhibits a mechanochromic response to pressure. At ambient pressure a broad emission can be seen at *ca.* 623 nm, which may be related to trace impurities which can be seen in the <sup>1</sup>H NMR of the  $\alpha$ -fural starting material (see ESI†). Above 3.3 GPa a second emission centered at *ca.* 541 nm emerges, becoming more intense with increasing pressure and overwhelming Raman scattering above 4 GPa (Fig. 1D). Previous studies into the photoemission behavior of  $\alpha$ -fural in solution have assigned emission in this region to the phosphorescence bands from the triplet state of a theorized *trans*-planar intermediate.<sup>46–48</sup> However, this *trans*-planar phase has not been directly observed due to its transient nature. The vibrational changes observed in both Raman and FTIR studies were found to be reversible up to at least 4.6 GPa (see Fig. S2†), strongly indicating that they are related to the polymorphic molecular phase change and not the onset of an irreversible chemical reaction.

Powder X-ray diffraction patterns and IR spectra of  $\alpha$ -fural collected above 1.9 GPa are in good agreement with the new planar phase. Interestingly, while single crystals of  $\alpha$ -fural compressed under quasi-hydrostatic conditions show complete conversion to the *trans*-planar phase above 1.9 GPa, powder samples compressed without a pressure transmitting medium (*i.e.*, anisotropic compression) show coexistence of both phases

at similar pressures (see Fig. 1A). Further compression of  $\alpha$ -fural results in increased conversion to the *trans*-planar phase and a uniform shift of Bragg peaks to higher angles, consistent with pressure-induced contraction of the unit cell. Above 8.5 GPa, significant changes are observed in the diffraction pattern with the emergence of new peaks at *d* = 6.30, 5.08, 4.00 and 3.37 Å (see Fig. S1†). These new reflections grow in intensity with increasing pressure and no further changes are noted upon additional compression to 25.2 GPa. These new reflections remain upon decompression to ambient pressure, suggesting that these new peaks are not due to another polymorphic pressure-induced phase change, and indicate that  $\alpha$ -fural has undergone a pressure-induced chemical reaction. *In situ* IR data collected after the phase change are consistent with the changes observed in XRD. The intensity of the additional vibrational modes that emerge above 8.6 GPa increases upon further compression. At the same time the intensity of the peaks corresponding to phase I and II  $\alpha$ -fural decreases significantly up to 19.6 GPa. Additionally, the large photoemission intensity observed after the transition to the *trans*-planar phase broadens and shifts to lower energy above 7 GPa, shifting to *ca.* 634 nm at 18 GPa. This broad emission remains *ca.* 634 nm upon decompression to ambient pressure (see Fig. S3†), and is consistent with the formation of other “perfect” nanothreads such as pyridazine-derived threads, which also shows broad fluorescence at *ca.* 630 nm.

### Characterization of nanothread polymer

Given the relatively low reaction onset pressure, synthesis was readily scaled using a Paris–Edinburgh (PE) press at ~20 GPa to produce bulk samples for advanced analysis. XRD and FTIR of recovered PE press and DAC samples are in good agreement (Fig. S4†), with the PE press sample exhibiting clearly defined diffraction out to *d* ≈ 2.13 Å. Unlike molecular furan which is a yellow powder, the recovered sample is a deep red color. The recovery of a highly colored sample is consistent with other nanothread systems, such as pyridazine,<sup>9</sup> pyridine,<sup>25,26</sup> and 2,5-furandicarboxylic acid<sup>5,6</sup> which all possess an intense orange color. While the exact cause of this color change is likely to be system dependent, it is commonly believed to be due to the presence of defects along the thread backbone comparable to diamond, which can become highly colored by the inclusion of minor defects.

Comparison of solid-state <sup>13</sup>C NMR collected on  $\alpha$ -fural, and the washed product (Fig. 2A) agrees with the formation of a nanothread material rich in sp<sup>3</sup> carbon. Two new peaks can be seen at *ca.* 50 and 83 ppm, which are consistent with previous solid-state NMR studies carried out on *syn*-2,5-furandicarboxylic acid nanothreads (*cf.*, 58 and 89 ppm).<sup>5</sup> However, the peak widths in the recovered sample are notably larger than those seen in comparable *syn*-furan nanothreads, strongly indicating that the presence of a broader distribution of sp<sup>3</sup> C environments. Accordingly, we can only generally assign the peak at *ca.* 50 ppm to sp<sup>3</sup> C–C bonds, and the peak at *ca.* 83 ppm to sp<sup>3</sup> C–O bonds. Interestingly, the recovered product shows no significant intensity associated with C=O functionality at *ca.* 178 ppm



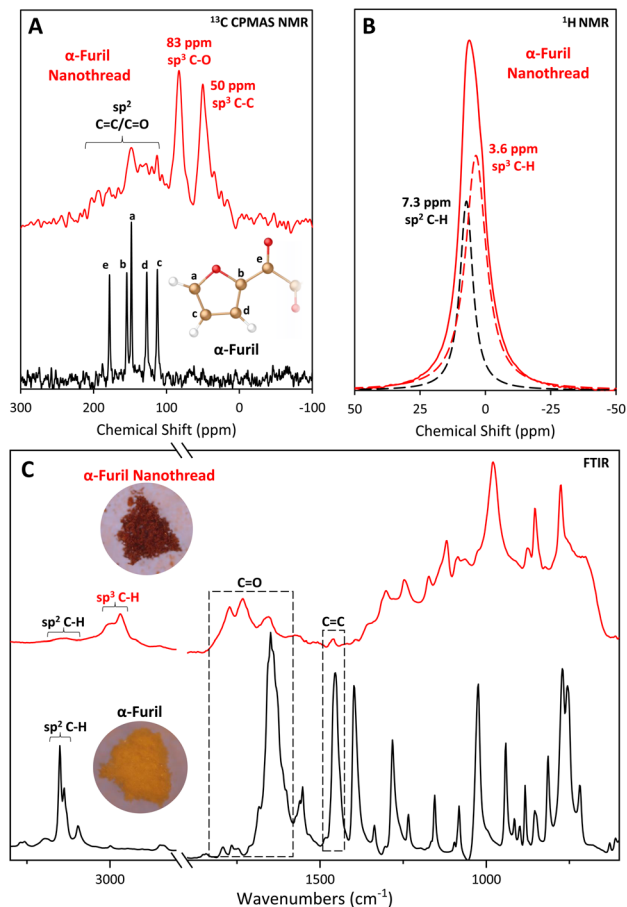


Fig. 2 (A) Solid-state  $^{13}\text{C}$  CPMAS NMR spectra of  $\alpha$ -furil (black, bottom) and the recovered nanothread (red, top) between 300 and  $-100$  ppm. Inset: Assignment of  $^{13}\text{C}$  NMR peaks in phase I  $\alpha$ -furil. Only chemically unique carbons are shown. No additional peaks were observed outside of the spectral range shown; (B) solid-state  $^1\text{H}$  NMR spectrum of the same recovered nanothread (solid red line) between 50 and  $-50$  ppm. Dashed black and red lines indicate the fits corresponding to  $\text{sp}^2$  and  $\text{sp}^3$  C–H bonds respectively; (C) FTIR spectra of phase I  $\alpha$ -furil (black) and the nanothread recovered to ambient pressure (red). The diamond absorption region between 1800 and  $2900\text{ cm}^{-1}$  has been omitted for clarity. Inset: Optical photomicrographs of  $\alpha$ -furil before (yellow) and after (red) compression, sample width is  $\sim 1$  mm.

in the molecular phase, which strongly indicates reaction of the 1,2-diketone bridge during polymerization. It is also important to note that the recovered sample exhibits significantly different relaxation dynamics compared to the starting material, resulting in a significant decrease in the observed signal-to-noise ratio under the same collection times, and it is possible that a small number of  $\text{sp}^2$  C=O environments remain, but are not detected. Indeed, some weak intensity above the baseline can be seen up to approximately 200 ppm. While carbon environments in this region would be consistent with  $\alpha,\beta$ -diketones attached to saturated,  $\text{sp}^3$  hybridized carbon,<sup>50,51</sup> it is not possible to carry out meaningful interpretation of this data due to poor signal intensity. Although cross-polarization magic-angle spinning (CPMAS) NMR is not quantitative (see ESI<sup>†</sup>), the presence of

smaller peaks at 148 ppm and 113 ppm potentially indicates retention of some amount of residual  $\text{sp}^2$  carbon after washing.  $^1\text{H}$  NMR studies collected on the same sample (Fig. 2B) confirm the presence of residual  $\text{sp}^2$  carbon environments. The resulting spectrum is described by two peaks at *ca.* 3.6 and 7.3 ppm, which we assign to  $\text{sp}^3$  and  $\text{sp}^2$  C–H bonds, respectively. Comparison of the area under each peak indicates a 2 : 1 ratio of  $\text{sp}^3$  :  $\text{sp}^2$  C–H bonds, consistent with the formation of a nanothread containing residual  $\text{sp}^2$  carbon defects and/or a disordered  $\text{sp}^2$  material. It is important to note that while the  $^1\text{H}$  spectrum can be used to estimate the relative proportion of hydrogen environments within the sample, the broad nature of the spectrum limits the certainty of quantification.

The  $\text{sp}^2$  carbon peaks in the  $^{13}\text{C}$  NMR spectrum of the recovered nanothread are in good agreement with the peaks assigned to the carbons in the a and c positions of the furan ring in unreacted  $\alpha$ -furil, furthest away from the diketone bridge (Fig. 2A(a) and (c), *ca.* 148 and 112 ppm at ambient respectively), potentially indicating retention of this bond. Indeed, the FTIR spectrum of the washed nanothread also shows evidence for the retention of  $\text{sp}^2$  C=C vibrations at *ca.*  $1452\text{ cm}^{-1}$  and  $\text{sp}^2$  C–H stretching vibrations at *ca.*  $3150\text{ cm}^{-1}$ , although their

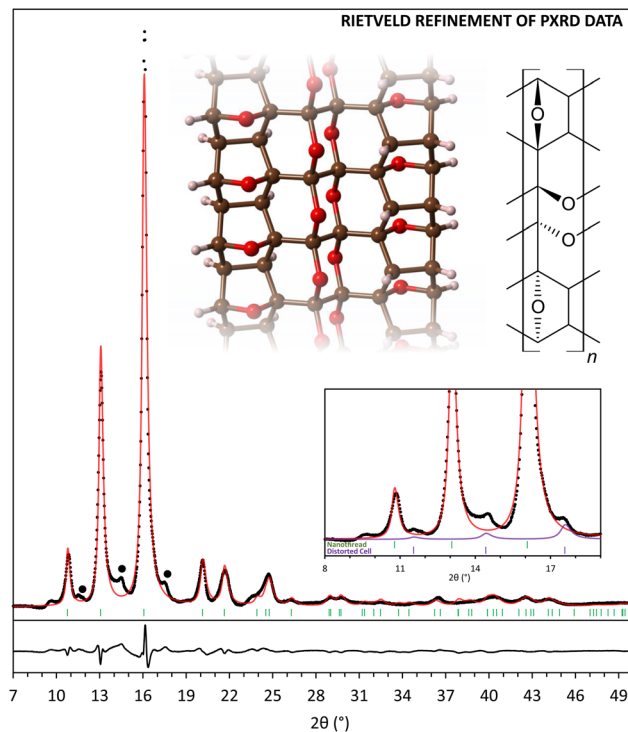


Fig. 3 X-ray diffraction pattern ( $\lambda = 1.541\text{ \AA}$ ) of  $\alpha$ -furil nanothreads synthesized in a Paris–Edinburgh press and recovered to 1 atm (black points) with Rietveld refinement using nanothread structure C (red line). Black dots indicate peaks corresponding to unidentified phases. Inset, top: A segment of refined nanothread structure C and associated structural formula. Inset, bottom: X-ray diffraction pattern of  $\alpha$ -furil nanothreads between 8 and  $19^\circ$ . Green tick marks indicate the relative positions of the three low-angle reflections for the refined nanothread polymer. Purple pattern and tick marks indicate the relative positions of the three low-angle reflections for an expanded lattice of phase II  $\alpha$ -furil.



intensities are significantly reduced (Fig. 2C). While the shortest intermolecular O...C separation distance between a carbonyl oxygen and a furan ring in phase II  $\alpha$ -fural is longer than the closest contact [4 + 2] cycloaddition pathway (3.15 vs. 2.98 Å at 4.4 GPa, respectively), it is still possible that some reactivity occurs between ketone groups and the closest C=C double bond in the furan ring. Such a reaction would therefore prevent [4 + 2] cycloaddition and would result in the formation of sp<sup>2</sup> C=C defects at the position furthest away from the diketone bridge.

The IR spectrum of the recovered PE press sample, washed to remove any residual starting phase, confirms the formation of a new material rich in sp<sup>3</sup> carbon (Fig. 2C). The emergence of new sp<sup>3</sup> C-H stretches at ca. 2968 and 3000 cm<sup>-1</sup>, clearly indicates the formation of a sp<sup>3</sup>-C rich material consistent with nanothread formation. Additionally, the presence of new peaks at ca. 1730 and 1770 cm<sup>-1</sup> are consistent with  $\alpha,\beta$ -diketones attached to sp<sup>3</sup>-hybridized carbons (*cf.*, 1690–1769 cm<sup>-1</sup> in diacetyl<sup>52</sup>) and analogous carbonyl-functionalized nanothreads (1742 cm<sup>-1</sup> in 2,5-furandicarboxylic acid nanothreads<sup>5</sup>). Peaks at 775, 852, and 877 cm<sup>-1</sup> are also consistent with ring vibrations of *syn*-furan nanothreads (*cf.*, 765, 851, and 885 cm<sup>-1</sup> in *syn*-2,5-furandicarboxylic acid nanothreads<sup>5</sup>). The formation of a double-core nanothread product, wherein the furan rings are arranged in the *syn* conformation (*i.e.*, all oxygen atoms arranged on the same side of the nanothread core), is consistent with the orientation of the starting molecular structure. However, the presence of the carbonyl peak at ca. 1656 cm<sup>-1</sup> (albeit with notably decreased intensity) suggests partial retention of the diketone bridge as seen in the molecular phase. Additionally, the relatively broad absorbance background indicates the presence of a disordered component coexisting with the resulting nanothread.

In order to determine if the carbonyl peak at 1656 cm<sup>-1</sup> is due to the presence of residual trapped molecular precursor or polymerization defects, we carried out a combined thermogravimetric analysis/differential scanning calorimetry study on the same sample used for FTIR. While molecular  $\alpha$ -fural exhibits an endothermic mass loss beginning at approximately 153 °C, consistent with sublimation, the recovered nanothread shows no mass loss in the same region (Fig. S5†). Instead, the recovered nanothread does not begin to exhibit any mass loss until the onset of an exothermic decomposition process at approximately 364 °C, indicating the formation of an extended, polymeric system.

To understand the potential side reactions which may result in loss of ketone functionality, it is necessary to reexamine the structure of phase II  $\alpha$ -fural. In this planar phase the diketone bridge is indeed well positioned for potential reaction with only a 2.81 Å intermolecular O...C separation between carbonyl groups at 4.4 GPa, a shorter spacing than any of the intermolecular C...C separation distances observed in phase II  $\alpha$ -fural (average = 2.98 Å). Indeed, studies in analogous systems have shown that carbonyl groups can readily act as dienes in Diels–Alder reactions,<sup>53,54</sup> and can react with 5-membered ring systems *via* alternative reaction pathways such as electrophilic aromatic substitution.<sup>55</sup> To investigate possible reaction

pathways, including possible diketone reactions, we carried out first-principles molecular dynamics (MD) simulations on phase II  $\alpha$ -fural (see Fig. S6†) at 50 GPa and 500 K (note that more extreme PT conditions were necessary to compensate for rare-event reaction observations on a computationally limited time scale). After 150 fs, a spontaneous [4 + 2] cycloaddition reaction occurs between furan rings, before the onset of a second reaction between ketones after 450 fs.

Based on these findings we optimized the packed crystal structures of four potential close-contact nanothread products for direct comparison to experimental data (see Table S2†). These structures represent the nanothread formed from [4 + 2] Diels Alder cycloaddition reactions between furan rings with (A) no reaction between ketones, (B) partial reaction between ketones (*i.e.*, only one set of ketones react), (C) complete reaction between ketones, and (D) complete reaction between ketones with alternative diagonal bonding due to the close O...C contacts of 2.96 Å between ketone groups at 4.4 GPa. Initial comparison of the energies for the packed structures relative to structure A indicates that structure D is significantly less stable (+1.52 kcal mol<sup>-1</sup>) than the other candidates and can likely be discounted as a product.

All of the considered DFT-optimized structural models exhibit calculated X-ray diffraction patterns that reproduce most of the experimentally observed features. Rietveld refinement of the recovered PE press sample indicates that the fully reacted structure (model C) provides the best description of the experimental data among the four basic models (Fig. 3, Tables S3 and S4†). While models A and B are poorer matches to the XRD of the recovered sample, some degree of partial reactivity must be present in the recovered structure, based on the experimental evidence for residual sp<sup>2</sup> C-H and C=O groups in the corresponding FTIR and NMR spectra. The actual polymer product clearly exhibits more complexity than the four base models, however, major structural aspects are well reproduced, and the prohibitively large number of all possible furan ring/diketone defect structures were not examined. Although the major features of the XRD of the recovered nanothreads are described by the simplified nanothread models, XRD patterns also contain small peaks at low angle that are not described by any of the model structures. Preliminary analysis shows that these peaks can be described by an expanded version of the monoclinic cell associated with phase II  $\alpha$ -fural, and the impurity structure might be related to trapped molecular precursor or insoluble crystallized *n*-mer fragments. The intensity of these impurity peaks can be reduced, but not removed entirely, through washing the sample with dichloromethane.

Comparison of calculated and experimental IR spectra provides some additional insights into the local structure of the recovered product (Fig. S7†). All model structures contain a *syn*-furan nanothread ring system and can therefore each generally describe the peaks seen between 700 and 1000 cm<sup>-1</sup>. While structure C is consistent with NMR studies (*i.e.*, no significant evidence of carbonyl species) this structure alone cannot account for the peaks at 1656, 1730 and 1770 cm<sup>-1</sup> seen in the FTIR spectrum of the recovered nanothread. Structure A is calculated to exhibit a single C=O vibration at ca. 1654 cm<sup>-1</sup>, in



excellent agreement with the experimentally observed peak at *ca.* 1656 cm<sup>-1</sup>. Structure B is also calculated to exhibit two, higher energy C=O vibrations in reasonable agreement with the experimentally observed vibrations at *ca.* 1730 and 1770 cm<sup>-1</sup>.

Based on these studies, we can conclude that the structure of the resulting nanothread can largely be described by structure C, as two *syn*-furan nanothread cores containing a polymerized bridge. However, it is also evident from FTIR and NMR studies that the 1,2-diketone bridge is not fully reacted and the recovered nanothread contains some degree of unreacted carbonyl defects which can be described by the bridging motifs shown in structures A and B. This behavior is consistent with previous studies into double-core nanothreads that have shown uncertainty in the extent of reaction of bridging groups.<sup>11,14,35,36,56</sup>

## Conclusions

In summary,  $\alpha$ -fural undergoes pressure-induced planarization to the previously hypothesized *trans*-planar phase above 1 GPa, before undergoing a topochemical-like polymerization above 8.5 GPa. The discovery of the *trans*-planar phase validates previous photochemical studies of 1,2-dicarbonyl systems that theorized the existence of a *trans*-planar excited state from which photoemission occurs. Upon further compression,  $\alpha$ -fural polymerizes to form a double-core nanothread material with a high degree of crystalline order. This work also emphasizes the importance of polymorphic phase transitions on the high-pressure behavior of small organic molecules and subsequent chemical reactions. The application of pressure may enable topochemical-like reaction pathways within complex molecular systems *via* structural rearrangement that might otherwise be overlooked based on initial crystalline geometries.

## Data availability

The data supporting this article have been included as part of the ESI.† Additional experimental details, plots of diffraction and spectroscopic data, as well as computational models are provided in PDF form. Crystal structures of  $\alpha$ -fural at 0.5, 1.6, and 4.4 GPa are provided in cif form. CCDC 2343198–2343200 contain the supplementary crystallographic data for this paper. These data can be obtained free of charge *via* [www.ccdc.cam.ac.uk/data\\_request/cif](http://www.ccdc.cam.ac.uk/data_request/cif), or by emailing [data\\_request@ccdc.cam.ac.uk](mailto:data_request@ccdc.cam.ac.uk), or by contacting The Cambridge Crystallographic Data Centre, 12 Union Road, Cambridge CB2 1EZ, UK; fax: +44 1223 336033.

## Author contributions

SGD, AH and TAS designed the research project and conducted experimental studies. BC and LZ carried out all theoretical calculations. GDC performed all NMR measurements. SR and DZ assisted with X-ray diffraction studies and structure solutions. All authors contributed to the writing of this manuscript.

## Conflicts of interest

There are no conflicts to declare.

## Acknowledgements

Portions of this work were performed at GeoSoilEnviroCARS (The University of Chicago, Sector 13), Advanced Photon Source (APS), Argonne National Laboratory. GeoSoilEnviroCARS is supported by the National Science Foundation – Earth Sciences (EAR – 1634415). This research used resources of the Advanced Photon Source; a U.S. Department of Energy (DOE) Office of Science User Facility operated for the DOE Office of Science by Argonne National Laboratory under Contract No. DE-AC02-06CH11357. The authors acknowledge funding support from The Arnold and Mabel Beckman Foundation (Arnold O. Beckman Postdoctoral Fellowship in Chemical Sciences Program). TS acknowledges support from NSF-DMR under award No. 2226699. BC acknowledges financial support from MCIN/AEI/10.13039/501100011033/FEDER, UE (Project PID2021-123573NA-I00).

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