

Cite this: *Chem. Sci.*, 2025, 16, 1405

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Role of exciton delocalization in chiroptical properties of benzothiadiazole carbon nano hoops†

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Development of chiral organic materials with a strong chiroptical response is crucial to advance technologies based on circularly polarized luminescence, enantioselective sensing, or unique optical signatures in anti-counterfeiting. The progress in the field is hampered by the lack of structure–property relationships that would help designing new chiral molecules. Here, we address this challenge by synthesis and investigation of two chiral macrocycles that integrate in their structure a pseudo-meta [2.2]paracyclophane with planar chirality and a highly fluorescent benzothiadiazole. Both compounds display remarkably red-shifted fluorescence with high quantum yields and large Stokes shifts. They differ in the extent of π -electron conjugation that allowed, for the first time, systematic examination of the effect of exciton delocalization on the absorption and luminescence of circularly polarized light. By a combination of steady-state spectroscopy and quantum chemical calculations, we constructed a unique structure–property relationship offering critical insights that will aid and abet the development of robust design guidelines for materials with strong electronic circular dichroism or circularly polarized luminescence of exceptional brightness.

Received 29th October 2024
Accepted 25th November 2024

DOI: 10.1039/d4sc07333a

rsc.li/chemical-science

Introduction

Organic materials with strong electronic circular dichroism (ECD) and circularly polarized luminescence (CPL) are attractive synthetic targets for their potential applications in chiroptical devices.^{1–5} The quality of such chiroptical materials is determined by a few key metrics: the dissymmetry factor (g), the molar absorptivity (ϵ), and the fluorescence quantum yield (ϕ_F).^{6,7} Combined, they define the overall brightness of CPL, B_{CPL} .⁸ The typical values of the luminescence dissymmetry factor (g_{lum}) in small organic molecules are relatively low. The dissymmetry factor critically depends on the size and mutual orientation of the electric (μ) and magnetic (m) transition dipole moments and, as a result, it is relatively difficult to tune the dissymmetry factor systematically by precision organic synthesis due to the absence of robust molecular design guidelines. For example, among the few known approaches to improve g_{lum} is imposing the D_n symmetry^{9,10} to a chiral fluorophore, as demonstrated in a figure-eight-shaped [5]helicene dimer by Matsuda and Hirose.¹¹ Here, the electric and magnetic transition dipole moments adopt ideal parallel orientation providing $|g_{lum}| = 1.5 \times 10^{-2}$. However, this

system suffers from a relatively low ϕ_F , *i.e.*, low μ . Similarly, the high absorption dissymmetry factor (g_{abs}) in ECD is often associated with lower molar absorptivity, with the latter further impacting B_{CPL} . Although the underlying theory is well established,^{7,10,12} systematic studies on how the nature of the excited state transitions in CPL-active compounds depends on the molecular structure are relatively scarce.^{13–16} Recently, some of us proposed a rationalization for enhancing $|m|$ in helically chiral compounds to achieve high values of g in ECD or CPL by finding a direct relationship with the area of the inner cavity of the helix.¹⁷

In recent years, chiral carbon nano hoops, π -conjugated macrocycles derived from cycloparaphenylenes ([n]CPPs) have emerged as a promising class of CPL-active molecules. The curved architecture of CPPs endows them with visible-light fluorescence with relatively high ϕ_F that can be tuned by controlling their size with synthesis.^{18–21} But CPPs are achiral. Therefore, chirality in carbon nano hoops is typically induced by incorporation of a chiral unit into CPPs, such as biphenyl, binaphthyl, helicene or others.^{22–30} Although they provide chiral nano hoops with a relatively high configurational stability, they can in principle racemize. Racemization can be fully prevented by creating topologically chiral carbon nano hoops as reported for example by Stepień or Jasti.^{31,32} Recently, Jiang *et al.* used planar chiral pseudo-meta [2.2]paracyclophane (PCP) to induce stable chirality and CPL response in carbon nano hoops, such as **1** (Fig. 1),³³ and also used tetrasubstituted PCP to synthesize a topologically chiral carbon nano hoop.³⁴

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† Electronic supplementary information (ESI) available. See DOI: <https://doi.org/10.1039/d4sc07333a>



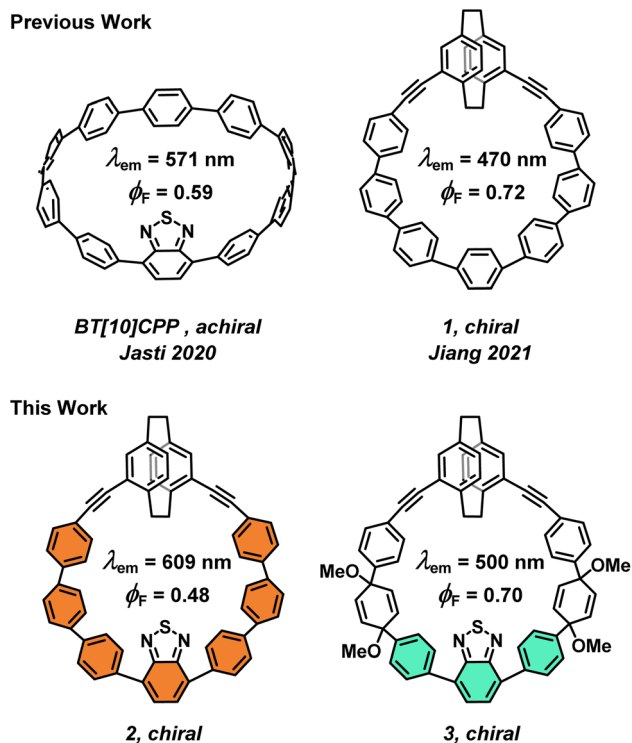


Fig. 1 Previous and concept of the present work.

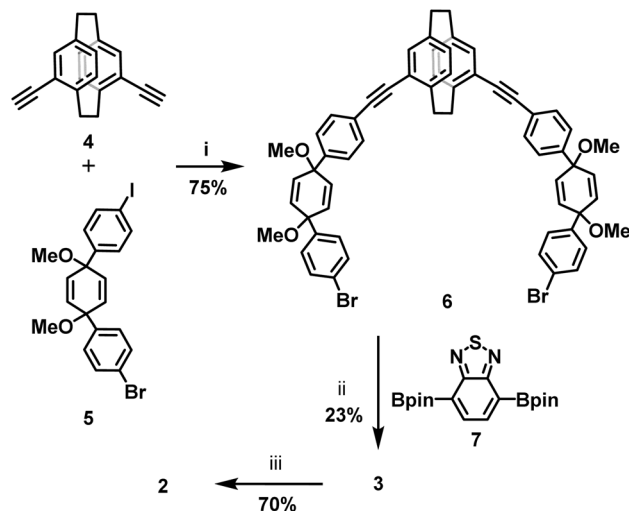
CPPs emitting light above 500 nm display a low ϕ_F ,²¹ a key metric of a good fluorophore. In 2020, Jasti *et al.* reported an achiral benzothiadiazole (BT) nanohoop BT[10]CPP (Fig. 1) with λ_{max} at 571 nm, *i.e.*, with >100 nm red-shift compared to [10]CPP, and impressive $\phi_F = 0.59$.³⁵ However, chiral nanohoos with CPL with $\lambda_{max} > 550$ nm and high ϕ_F are only rarely reported.³⁶

Here, we report on simultaneous integration of pseudo-*meta* PCP and BT into a carbon nanohoop (2, Fig. 1) accomplishing a CPL beyond 600 nm with a good ϕ_F . In addition, we achieved a turn-off of the π -electron conjugation in 2 using the pro-aromatic units in its equally luminous chiral precursor 3. Compound 3 displayed a bright fluorescence at 500 nm, the first such nanohoop precursor to do so. Ultimately, the chiroptical properties of 1, 2, and 3 allowed us to explore, for the first time, the effect of the extent of exciton delocalization in this important class of molecules on their chiroptical response in ECD and CPL. The structure–property relationship constructed in this work together with previous studies allowed us to formulate guidelines to aid the synthesis of new molecular systems with outstanding chiroptical properties.

Results and discussion

Synthesis

The target chiral carbon nanohoop 2 was synthesized according to the strategy developed by Jasti,¹⁸ *i.e.*, *via* aromatization of macrocycle 3 with pro-aromatic cyclohexa-2,5-dienyl units prepared according to Scheme 1. First, Sonogashira cross coupling between 4,15-bis(ethynyl)[2.2]paracyclophane 4 and



Scheme 1 Synthesis of BT containing macrocycles 2 and 3. Reaction conditions: (i) Pd₂dba₃, PPh₃, CuI, THF/TEA, RT, 4 h. (ii) K₃PO₄, SPhos Pd Gen 3, dioxane, 80 °C, 18 h (iii) A: SnCl₂, HCl, THF, RT, 2 h or B: Na, naphthalene, THF, –78 °C, 10 min.

building block 5 provided the C-shape intermediate 6 (ref. 33) in 75% yield. The Suzuki cross-coupling of 6 with 7 gave chiral macrocycle 3 in 23% yield.

Reductive aromatization of 3 using SnCl₂/HCl leads to the desired nanohoop 2 alongside several side products, a result of acid-catalysed rearrangement reactions. Under these conditions, 2 was obtained as a bright fluorescent orange solid in 60% yield. We also conducted the aromatization using sodium naphthalenide as the reducing agent. In this case, nanohoop 2 was formed in a markedly cleaner process in 70% yield after purification by column chromatography. All compounds were fully characterized by ¹H, ¹³C NMR and high-resolution mass spectrometry (see the ESI†).

Photophysical properties

We then investigated the optoelectronic properties of 2 and 3. Note that the pro-aromatic cyclohexa-2,5-dienyls in 3 interrupt the π -electron conjugation between PCP and BT in the macrocycle, thereby preventing the unique optoelectronic properties of fully conjugated carbon nanohoos to emerge. Nevertheless, 3 displays a bright green fluorescence, which is highly unusual in macrocyclic precursors of carbon nanohoos. Here, the observed green luminescence in 3 allowed us to investigate how the conjugation affects the absorption and emission in these macrocycles (Fig. 2a and Table 1). The absorption of 2 is similar to that of [n]CPPs with a characteristic S₀ → S₂ transition at 324 nm, blue-shifted by 4 nm compared to 1,³³ showing a minor influence of replacing a phenylene by BT on the dominant absorption maximum. The absorption profile of 3 at ~300 nm is not well resolved due to the presence of multiple electronic transitions of similar energy (Table S2†). The absorption band for the S₀ → S₁ transition is observed for 2 and 3 at 450 nm ($\epsilon = 0.3 \times 10^4 \text{ M}^{-1} \text{ cm}^{-1}$) and 389 nm ($0.9 \times 10^4 \text{ M}^{-1} \text{ cm}^{-1}$), respectively. Both the bathochromic shift and



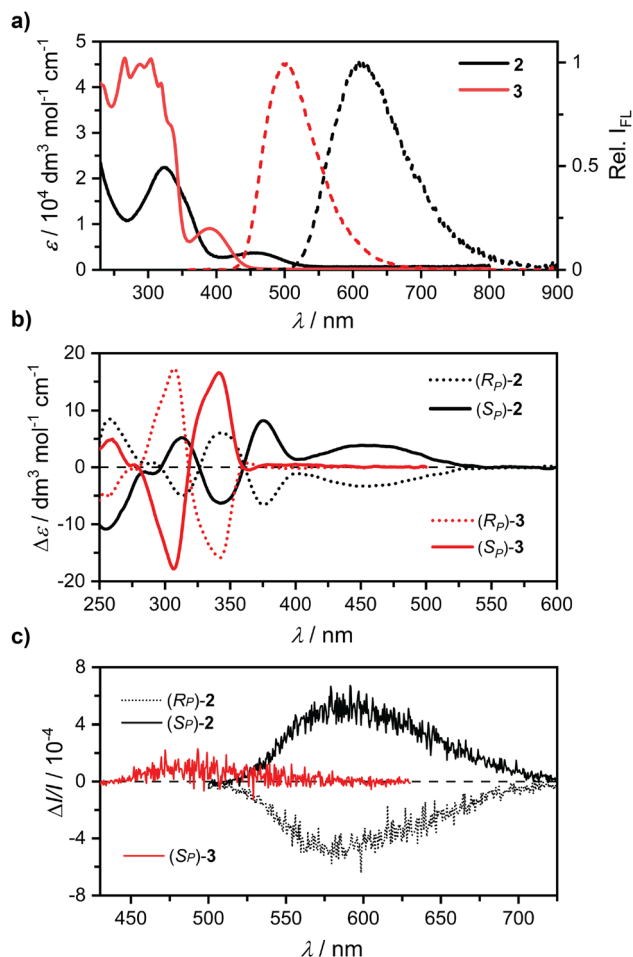


Fig. 2 (a) UV-Vis absorption (solid line) and fluorescence (dashed line) spectra of **2** and **3**. (b) ECD of **2** and **3** ($\Delta\epsilon$ of **3** scaled by 0.1) and (c) CPL spectra of **2** and **3**. All samples were measured in dichloromethane ($c \sim 5 \times 10^{-5}$ M).

the decrease in the ϵ with increased conjugation in **2** may suggest an enhancement of the charge-transfer character in this transition.

However, we observe only a minor and comparable solvatochromism in **2** and **3** (Fig. S12–15†). Our TD-DFT calculations (Tables S1, S2 and Fig. S35–38†) confirm that the lowest-energy absorption in **2** corresponds to the HOMO \rightarrow LUMO

transition, while it is characterized by the HOMO–2 \rightarrow LUMO transition in **3**. The HOMO in **2** involves BT and all six phenylenes and the LUMO is centred mainly in the BT moiety, while both MOs are dominantly localised in the BT moiety with adjacent phenylenes in **3**. The MO analysis and the computed excited state dipole moments thus show a marginal charge-transfer character in the lowest-energy transition in **2**, and the changes in the absorption can be attributed solely to the increase in conjugation.

The same effect is also clearly visible upon comparison of the photoluminescence spectra (Fig. 2a). Both macrocycles are strongly luminescent with the emission maxima at $\lambda_{em} \geq 500$ nm (Table 1). The maximum in **2** resides at impressive 609 nm with the band onset reaching the near IR region (~ 850 nm) establishing this molecule as the most red-shifted chiral nano hoop reported to date with a good ϕ_F (see below).

In addition, macrocycle **3** itself represents the first chiral nano hoop precursor with bright green fluorescence at 500 nm. The red shift in **2** compared to **3** is 3580 cm^{-1} (109 nm) and can again, be attributed to the increase in the π -conjugation length.

Interestingly, the Stokes shifts in **2** and **3** are significant at 5800 cm^{-1} and 5700 cm^{-1} , respectively, yet comparable despite the different π -conjugation. Clearly, mostly the energy of the S_1 state but not the reorganization from the Franck-Condon point ($\Delta E_{2,3} \sim 100 \text{ cm}^{-1}$) is affected by the extra conjugation. Both **2** and **3** display high ϕ_F values of 0.48 and 0.70, respectively, despite the significant shift in the emission, particularly in **2**. Both nano hoops are also fluorescent in the solid state (Fig. S57†). Comparison of **2** and **1**, which have the same size, shows that the fluorescence maximum is red-shifted by striking 139 nm (4860 cm^{-1}). Clearly, incorporation of BT into carbon nano hoops proposed by Jasti³⁵ is a very effective strategy to achieve a significant red-shift. The λ_{em} in **2** is even red-shifted by 38 nm compared to BT[10]CPP without a notable drop in ϕ_F .

Chiroptical properties and theoretical calculations

The three compounds, **1**, **2**, and **3**, form a series that shares the same critical structural features (Fig. 1): (i) a PCP unit with planar chirality, (ii) a central phenylene or BT unit attached to PCP *via* (iii) two arms with three *p*-phenylenes each, although masked as cyclohexa-2,5-dienyls in **3**. Nevertheless, these chiral compounds have similar size and geometry, and are all

Table 1 Summary of the photophysical properties^a

Compound	λ_{abs} (nm)	λ_{em} (nm)	ϕ_F	ϵ^b ($10^4 \text{ M}^{-1} \text{ cm}^{-1}$)	$ g_{abs} ^c$ (10^{-3})	$ g_{lum} ^d$ (10^{-3})	Stokes shift (cm^{-1})	B_{CPL}^e ($\text{M}^{-1} \text{ cm}^{-1}$)
1 ^f	<400, 328	470	0.72	—, 3.95	$\sim 11^g$ (20.1 ^h)	2.7(2.3 ^h)	— ⁱ	36.8
2	450, 324	609(596 ^h)	0.48	0.3, 2.2	1.3 (1.2 ^h ; 0.27 ^j)	0.5(0.7 ^h)	5800	2.6
3	389, ~ 320	500(473 ^h)	0.70	0.9, 3.5	0.3 (0.22 ^h)	<0.1(0.17 ^h)	5700	— ⁱ
BT[10]CPP ^k	445, 334	571	0.59	—, 5.4	—	—	4960	—

^a Dichloromethane solutions at room temperature ($\sim 10^{-5}$ M). ^b The values for the first and the second absorption maximum, respectively. ^c At 450 and 390 nm for **2** and **3**, respectively. ^d At 600 and 500 nm for **2** and **3**, respectively. ^e Brightness of CPL ($B_{CPL} = \epsilon \times \phi_F \times |g_{lum}|/2$). ^f From ref. 33. ^g Estimated for the $S_0 \rightarrow S_1$ transition from data in ref. 33. ^h TD-CAM-B3LYP/6-31g(d) value. ⁱ Value cannot be determined because the $S_0 \rightarrow S_1$ transition is not resolved. ^j Calculated for a model **2'** with interrupted conjugation (see text and Fig. 3). ^k From ref. 35. ^l Not determined because of the absence of the characteristic $S_0 \rightarrow S_2$ transition found in carbon nano hoops used to calculate the brightness from ref. 33.



luminescent (Table 1). This provided us with a rare opportunity to use their chiroptical properties to systematically investigate the effect of exciton delocalization on the chiroptical properties of these PCP-based nano hoops. To tackle the proposed challenge, we successfully separated the enantiomers of **2** and **3** using recycling HPLC equipped with a chiral stationary phase (see ESI†). We characterized the individual enantiomers by ECD and CPL (Fig. 2b–d, Table 1 and Fig. S23–30†). We fully assigned the recorded ECD and CPL spectra to each individual enantiomer by TD-DFT calculations (Fig. S31–34†). The observed CPL response for the isolated enantiomer of **3** was very weak, reaching the detection limit of our instrument. Nevertheless, the obtained experimental and calculated values of g_{abs} and g_{lum} agree well for both **2** and **3**. Both factors for **1** can be derived from data in ref. 33 and we additionally calculated them at the same level of theory as for **2** and **3** (see the ESI†).

The measured dissymmetry factors for **2** and **3** are markedly lower compared to those obtained for **1**, and obey the following trend: $g_{\text{abs,lum}}(\mathbf{1}) > g_{\text{abs,lum}}(\mathbf{2}) > g_{\text{abs,lum}}(\mathbf{3})$. The mutual differences in the series reach roughly an order of magnitude and so decrease the B_{CPL} . To allow for a balanced comparison between the three compounds, we examined the individual lowest-energy transitions by computing the corresponding natural transition orbitals (NTOs, S37, S38 and S49†) and electronic transition densities (Fig. 3). Inspection of the $S_0 \rightarrow S_1$ transition densities and NTOs shows that the exciton in **1** reaches the PCP unit in the nano hoop and mixes some of its MOs. The situation changes in **2**, where both the occupied and virtual NTOs are localized to the half of the nano hoop with the BT unit. Clearly, replacing a *p*-phenylene for a strong electron acceptor, such as BT, localizes the electron and the hole density away from the PCP unit upon excitation. The turn-off of the conjugation in **3** further exacerbates the effect. The same observation can be made for the $S_1 \rightarrow S_0$ transition (Fig. S53–56†), reflecting the trend in the CPL response, although the effect is more subtle as the result of exciton localization upon relaxation from the Franck-Condon point (see below). To fully exclude that the

observed outcome emerged from the change in the geometry, such as between **2** and **3**, where the rehybridization in the latter does affect the PCP and BT distance and orientation (Fig. 3), we designed a model compound **2'** (structure in Fig. S43†) for a computational experiment to effectively turn off the conjugation in **2**. This was achieved by addition of four methoxy groups to the central *p*-phenylenes as in **3** and relaxing their coordinates, while keeping all others frozen in the process. As a result, the distance and orientation of BT and PCP in **2'** remained identical to those in **2** (Fig. S43†). The partial rehybridization of the central *p*-phenylenes in our computational model had a dramatic effect on the NTOs involved in the $S_0 \rightarrow S_1$ transition (**2'**, Fig. S46†). The partially delocalized NTOs in **2** collapsed to localized NTOs similar in shape to those in **3**. Essentially, the nature of the transition in **2'** became the same as in **3** despite the minimal effect on the PCP and BT geometry found in **2**. Accordingly, the calculated g_{abs} dropped to a value commensurate to that found for **3**.

Clearly, the lack of conjugation that allows the exciton to couple BT and the PCP has a detrimental effect on the size of the dissymmetry factor. Nevertheless, the values of g_{abs} and g_{lum} in all three compounds are relatively small and even those in **1** do not exceed the values of typical small organic chromophores. We argue that the reason is the connection of PCP to the remainder chromophore *via* the pseudo-*meta* positions, which do not permit an effective exciton delocalization to the chiral unit according to the structure–property relationship that we constructed here. We thus decided to test its predictive ability and examine if using a pseudo-*para* instead of pseudo-*meta* PCP in **1** could provide a chiral carbon nano hoop³⁷ (**1'**, Fig. 3 and S50†) with a larger exciton delocalization and, therefore, a larger dissymmetry factor.† The internal area of this model analogue would also be slightly enhanced compared to **1**, affecting $|m|$ and subsequently g_{abs} . We calculated the parameters of the $S_0 \rightarrow S_1$ transition in hypothetical enantiomer **1'** and investigated the corresponding transition density and NTOs (Fig. 3, S52 and Tables S8 and S9†). Indeed, the individual MOs of pseudo-*para* PCP are now mixed with those from the curved *para*-phenylenes and the PCP is thus strongly involved in the transition. The predicted dissymmetry factor for **1'** reached a value of $|g_{\text{abs}}| = 0.114$, an order of magnitude higher than that in **1**. Note that this is an extraordinary g_{abs} for a molecule that lacks any symmetry. Comparable values of g_{abs} are typically achieved in macrocycles that belong to high symmetry point groups.^{9,35,36}

Extending the delocalization directly affects the value of the angle (θ) between μ and m , which is nearly 90° in **3** and increases steadily in the series to a value of 110° in **1'** (Tables S3–S10†). Despite the transition density that spans the entire **1'**, the $|\cos(\theta)| = 0.342$ highlights the importance of approaching a uniform exciton delocalization that could be reached for chromophores with D_n symmetry.^{11,38} Adopting such high symmetry is, however, beyond reach for carbon nano hoops with a single chiral element. An alternative strategy that provides a higher flexibility in molecular design with a slight sacrifice in the g_{abs} factor is imposing structures to a C_n point group of symmetry,³⁸ in which the individual components of μ and m transform under the same irreducible representations, *i.e.*, they

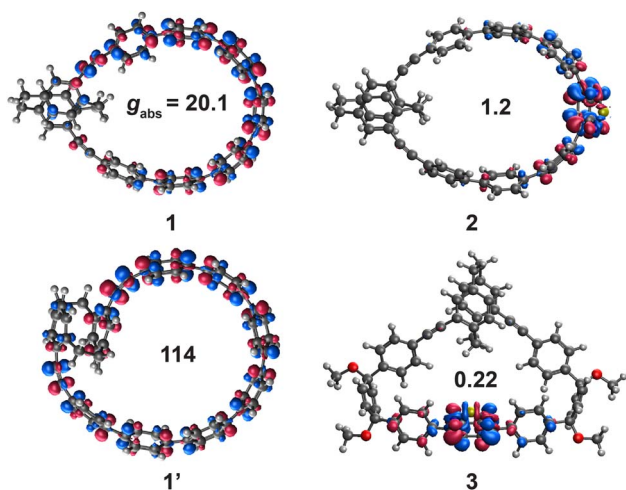


Fig. 3 The $S_0 \rightarrow S_1$ transition densities and g_{abs} values (multiplied by 10^3) calculated for **1**, **1'**, **2**, **3** and (TD-CAM-B3LYP/6-31g(d)), isosurface value = 0.004). See the ESI† for the involved natural transition orbitals.



can even be parallel. Lifting the symmetry further could still lead to acceptable values of θ ($\geq 135^\circ$) if the exciton was sufficiently delocalized. A similar trend is observed for $|m|$ that is slightly enhanced in $1'$ as a result of an extended exciton delocalization, which would ultimately be related to the slight increase in the internal area of the helical circuit.

However, excited state reorganization in carbon nano-hoops is known to lead to exciton localization,^{39,40} which often decreases θ close to 90° compromising the value of g_{lum} in CPL similarly to what we observe in the compounds investigated here. Preventing exciton localization is challenging, in particular in carbon nano-hoops that undergo extensive excited state geometry relaxation. For this reason, bright chiral fluorophores with or close to C_2 symmetry and small Stokes shifts could preserve sufficiently delocalized excited states in non-polar environments, representing interesting targets to accomplish CPL materials with high B_{CPL} .

Conclusions

In summary, we synthesized two macrocycles incorporating planar chiral pseudo-*meta* [2.2]paracyclophane and benzothiadiazole that allowed for a significant red-shift of their CPL, while displaying high fluorescence quantum yields and large Stokes shifts. These molecules allowed for unprecedented, systematic investigation of the role of exciton delocalization in the chiroptical properties in carbon nano-hoops. Thereby, we constructed a unique structure–property relationship that allowed for a critical insight into the factors limiting the desired chiroptical response. We believe that this work will thus aid and abet the development of robust guidelines to design materials with strong electronic circular dichroism or circularly polarized luminescence with high brightness.

Data availability

The data underlying this study are available in the published article and its ESI,[†] or available from the authors on request.

Author contributions

TS and KK conceived the idea. KK carried out the synthesis of all compounds and their spectroscopic investigation. JM performed the DFT calculations. CMC and AGC performed the CD and CPL experiments. All authors analyzed and discussed the data. TS and KK wrote the manuscript with input from all authors.

Conflicts of interest

There are no conflicts to declare.

Acknowledgements

This project received funding from the European Research Council (ERC) under the European Union's Horizon 2020 Research and Innovation Program (grant agreement no. 949397, TS) and from grant PID2021-127521NB-I00 funded by MICIU/AEI/10.13039/501100011033 and by ERDF/EU.

Notes and references

† Racemization of enantiomers of $1'$ is rapid. A strategy to kinetically stabilize enantiomers of such macrocycles has already been reported by one of us.⁴¹

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