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Multifunctional cytochrome P450 orchestrates radical cleavage and non-radical cyclization in 5-oxaindolizidine biosynthesis†

Kaijin Zhang,^{‡,ab} Jingxian Sun,^{‡,ab} Wencai Song,^{ab} Junyu Liu,^{ab} Chuanteng Ma,^{ID,ab} Yinghan Chen,^{ab} Yan Guan,^{ab} Yuting Liu,^{ab} Zilin Ren,^{ab} Qian Che,^{ab} Guojian Zhang,^{ID,abc} Yankai Liu,^{ID,abc} Tianjiao Zhu,^{ID,ab} and Dehai Li^{ID,*abc}

Penicilactam A (**1**), a fungal alkaloid featuring a rare 5-oxaindolizidine scaffold, has long eluded biosynthetic characterization despite recent advances in microbial genomics. Through retro-biosynthetic analysis of *Penicillium citrinum* HDN11-186, we identified the *pnlt* gene cluster governing its production. This pathway utilizes a hybrid polyketide synthase-nonribosomal peptide synthetase (PKS-NRPS) system to assemble the prolinol-containing precursor scalusamide A (**2**). The multifunctional cytochrome P450 enzyme PnltC then orchestrates two mechanistically distinct reactions: radical-mediated C–C bond cleavage followed by iminium-driven cyclization. Combined structural and computational analyses unveil PnltC's unprecedented catalytic logic, merging radical oxidation with non-radical cyclization within a single active site, which challenges existing paradigms of P450 enzymology. Our findings expand the functional repertoire of oxygenases in natural products (NPs) biosynthesis, revealing nature's sophisticated strategies for constructing complex nitrogen heterocycles.

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Introduction

Fungal 5-oxaindolizidine alkaloids represent a distinctive class of heterocyclic natural products (NPs), with fewer than a dozen reported to date.^{1–5} Members of this family are classified into two principal structural subtypes (Fig. 1A). These compounds display diverse bioactivities, including anti-juvenile hormone effects,² insecticidal activity against *Oncopeltus fasciatus* Dallas and *Helicoverpa armigera* Hubner,^{4,6} and broad-spectrum anti-microbial properties,^{7,8} making them attractive targets for synthetic exploration.^{1,7,9–11} The structural versatility of the 5-oxaindolizidine scaffold has enabled its application in rational drug design. For instance, strategic incorporation of this motif into phenolic DNA gyrase inhibitors *via* condensation of *ortho*-amino-hydroxymethyl-arene with salicylic aldehyde yields tetracyclic aminal derivatives exhibiting enhanced target binding affinity (Fig. 1B(i)).¹² Dual inhibitors targeting prolyl oligopeptidase (POP) and fibroblast activation protein α (FAP) have

been synthesized using these core structures through enantio-selective Strecker reactions and copper-catalyzed borohydride reductions, achieving K_i values of 0.84 μM and 0.72 μM , respectively (Fig. 1B(ii)).¹³ These dual inhibitors demonstrate comparable efficacy to the clinical candidate Talabostat while showing improved metabolic stability.¹³ Penicilactam A (**1**), originally isolated from *Penicillium brevicompactum* alongside its biogenetically related congener brevioxime A (Fig. 1A),^{1,2} emerged as a focus of our investigation owing to their insecticidal potential.¹ Despite their pharmacological potential, current synthetic routes to these compounds remain inefficient, yielding compound **1** in 3.2% over five steps and brevioxime A in 3.1% over eight steps.^{1,11,14} Consequently, deciphering the biosynthetic pathway of **1** could provide the foundation for further development of eco-friendly pesticides derived from **1**. The *N*-acylated dihydropyrrole scaffold (**3**), postulated as a biosynthetic precursor to **1**, is hypothesized through isotopic labeling studies to derive from acetate, methionine, and ornithine, though critical enzymatic steps remain uncharacterized (Fig. 1C).⁹ Building on prior co-isolation patterns and synthetic evidence,^{7,15} We propose a biogenetic relationship wherein compound **2** undergoes C2-hydroxymethyl cleavage to generate intermediate **3**, followed by cyclization to form **1** (Fig. 1C). Recently, Chen *et al.* identified structurally analogous pyrrolizidine alkaloids related to compound **1** (penicypyrrolizidinones A–C) from the mangrove-derived fungus *Penicillium* sp. DM27 (Fig. 1D).¹⁶ Their proposed biosynthetic

^aKey Laboratory of Marine Drugs, Ministry of Education, Ocean University of China, Qingdao 266003, China. E-mail: dehai@ouc.edu.cn

^bSanya Oceanographic Institute, School of Medicine and Pharmacy, Ocean University of China, Sanya 572025, China

^cLaboratory for Marine Drugs and Bioproducts, Qingdao Marine Science and Technology Center, Qingdao 266237, People's Republic of China

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‡ These authors contributed equally to this work.



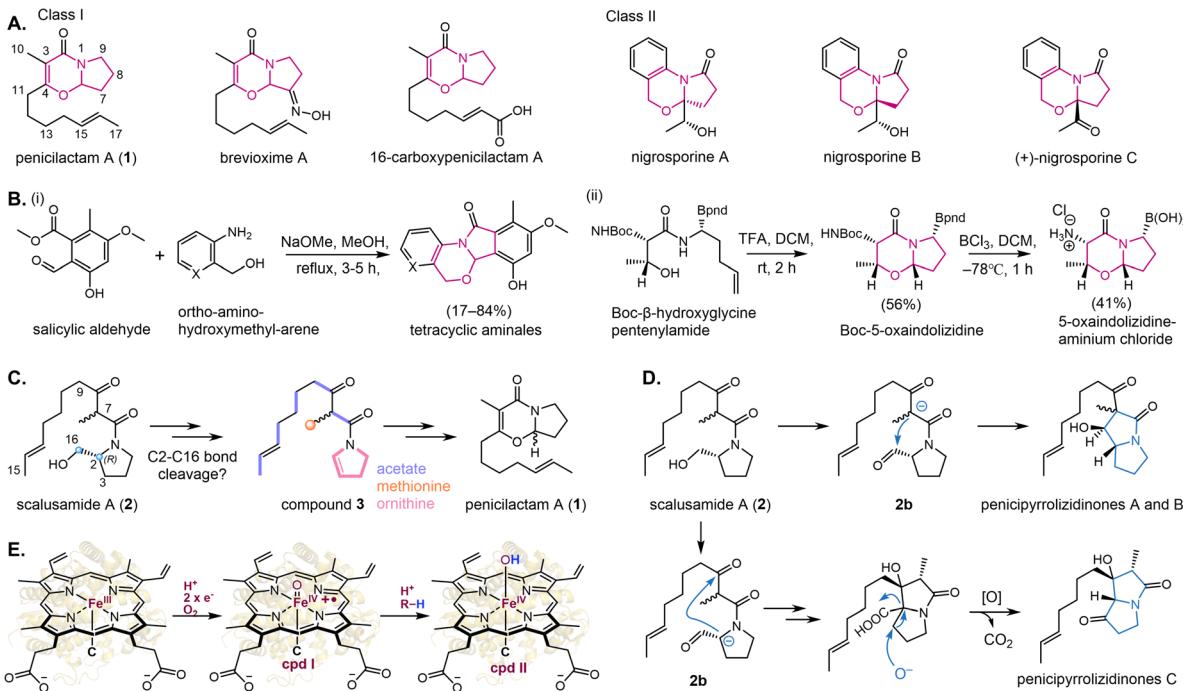


Fig. 1 (A) Chemical structures of represented 5-oxindolizidine-containing NPs. Penicilactam A (**1**) and nigrosporine B are the only two types of NPs that contain the 5-oxindolizidine core skeleton. (B) Application of 5-oxindolizidine core in drug design. (C) Potential conversion between compounds **1**, **2** and **3**. Compound **2** is the precursor of **1**, while the **3** may be the intermediate. (D) Proposed biosynthetic pathway of pyrrolizidine using **2** as the precursor. (E) In the P450 catalytic cycle, upon substrate (R–H) binding to the active-site, the low-spin resting state shifts to a high-spin state of the ferric heme-iron (Fe^{III}), which will be reduced and protonated with the participation of dioxygen to form the first reactive species heme-Fe^{III}–O–OH (compound 0, Cpd 0) and then the high-energy porphyrin π radical cation ferryl intermediate [Por⁺–Fe^{IV}] = O] (Cpd I). Cpd I can readily initiate a reaction by hydrogen atom (H⁺) abstraction (HAA) from the substrate to yield reactive radical species and is led to the ferryl-hydroxo heme-Fe^{IV}–OH species (Cpd II).

network suggests the involvement of reactive aldehyde and carboxylic acid intermediates (Fig. 1D).¹⁶

We herein report the first identification of biosynthetic gene clusters (BGCS) responsible for compound **1** biosynthesis: a canonical polyketide synthase-nonribosomal peptide synthetase (PKS-NRPS) hybrid system synthesizes compound **2** through *trans*-acting enoyl reductase (*trans*-ER) activity. Remarkably, the single cytochrome P450 enzyme (PnltC) mediates the efficient conversion of **2** to **1**, implying multifunctionality in coordinating hydroxymethyl oxidation and cyclization within a single catalytic cycle. As the most versatile oxygenase in nature, cytochrome P450s typically mediate monooxygenation through the well-established oxygen rebound mechanism involving key intermediates Compound I (Cpd I) and Compound II (Cpd II) (Fig. 1E).^{17,18} Most P450-catalyzed C–C bond cleavage events proceed through Cpd I-mediated radical mechanisms (Fig. S1†).^{19–22} In contrast, specific peroxxygenases including CYP152 family members (*e.g.*, OleT_{JE} from *Jeotgalicoccus* sp. ATCC 8456, P450_{Spa} from *Sphingomonas pau-cimobilis*, and P450_{BsB} from *Bacillus subtilis*) and human CYP19A1 utilize H₂O₂ activation to bypass the canonical reduction cycle, achieving C–C bond cleavage *via* peroxidase-like mechanisms.^{23–25} P450-mediated cyclization predominantly occurs through radical recombination mechanisms, as exemplified by HPQ melanin biosynthesis and the radical-dependent furapyrrole cyclization in (+)-azonazine A

production.^{26,27} In communesin biosynthesis, P450 CnsC is hypothesized to catalyze radical-initiated coupling that generates iminium intermediates that may undergo enzymatic rearrangement.²⁸ However, two critical knowledge gaps remain: (i) direct evidence for iminium intermediate formation is lacking; (ii) computational models neglect heme participation in reactions. Consequently, all well-characterized P450 cyclization mechanisms require radical initiation, with no confirmed cases of enzymatic non-radical cyclization reported. This strict radical dependence raises fundamental questions about P450's catalytic plasticity. Challenging such radical paradigms, our multidisciplinary approach employing synthetic analogues, *in situ* intermediates trapping, and computational methods reveal PnltC's unprecedented multifunctionality: initial radical-mediated C–C bond oxidative cleavage followed by discrete enzymatic non-radical iminium-driven cyclization. PnltC represents the first documented instance of P450-catalyzed cyclization independent of radical initiation, suggesting an evolutionary innovation in P450 catalytic chemistry.

Results and discussion

Elucidating the biosynthetic pathway of penicilactam A (**1**)

Given the taxonomic specificity of penicilactam A (**1**) production in *Penicillium* species,^{1,3,4,8,29} we systematically screened 56 *Penicillium* strains under varied cultivation conditions. LC-MS

profiling identified the *halophyte*-derived *Penicillium citrinum* HDN11-186 as a potential producer of **1** (m/z 250.1799 [$M + H]^+$) (Fig. S2†). Large-scale fermentation coupled with $^1\text{H}/^{13}\text{C}$ NMR analysis unambiguously confirmed the structure of **1** (Fig. S29, S30 and Table S4†).⁴

AntiSMASH 6.0 analysis of the HDN11-186 genome identified candidate hybrid PKS-NRPS biosynthetic gene clusters (BGCs),³⁰ consistent with established nitrogen incorporation mechanisms in fungal polyketides.^{31–33} Fungal PKS-NRPS systems typically employ an N-terminal reducing PKS module to assemble polyketide chains, with C-terminal reductive (R/R*) domains facilitating product release through Dieckmann cyclization or reduction mechanisms (Fig. S3A†).^{32,34} Retro-biosynthetic analysis suggested proline-derived carbon elimination and subsequent cyclization, implying requisite post-assembly enzymatic modifications (Fig. S3B†). Consequently, we prioritized the uncharacterized *pnlt* cluster containing: (i) PKS-NRPS (PnltA), (ii) *trans*-ER (PnltB), (iii) cytochrome P450 (PnltC), and (iv) pathway-specific transcription factor (PnltD) (Fig. 2A). To circumvent low native production limitations of **1** (0.15 mg L⁻¹), we used the engineering heterologous host *Aspergillus nidulans* A1145 for pathway reconstitution.³⁵ Heterologous expression of *pnltA* alone did not yield detectable metabolites (Fig. 2A(iv)). Co-expression of *pnltABC* produced scalusamide A (**2**, m/z 282.2060 [$M + H]^+$), existing as

C7-methyl diastereomers (1 : 1 ratio) due to rapid epimerization of the acidic methine C7 (Fig. 2A(iii), S31, S32 and Table S5†).^{15,29} The *D*-prolinol moiety in **2**—despite PnltA's lack of epimerization domains—implies selective recruitment of *D*-proline by the adenylation (A) domain (PnltA-A), consistent with some characterized NRPS systems.^{36–40} Despite inconclusive phylogenetic analysis (Fig. S4A†),⁴¹ molecular docking demonstrated preferential *D*-proline binding in PnltA-A's active site, with its carboxyl group oriented for efficient reaction with ATP's pyrophosphate moiety (Fig. S4B†).^{42–44} After unsuccessful purification efforts (Fig. S5A†), *in vitro* adenylation assays with A1145-PnltA-A crude enzymes confirmed *D*-proline selectivity (Fig. S5B†). The PnltA-R domain likely mediates reductive release of **2**, as supported by functional analysis of its reductive domain (Fig. S6†).⁴¹ These findings establish a *trans*-ER-dependent PKS-NRPS pathway for **2** biosynthesis (Fig. 2A(iii)). Co-expression of *pnltABC* significantly accumulated the production of **1** (Fig. 2A(ii)), confirming the essential role of P450 PnltC.

PnltC catalyzes sequential C–C bond cleavage and iminium-involved non-radical cyclization

To elucidate PnltC's catalytic function, we heterologously expressed *pnltC* in *Saccharomyces cerevisiae* RC01 (generating

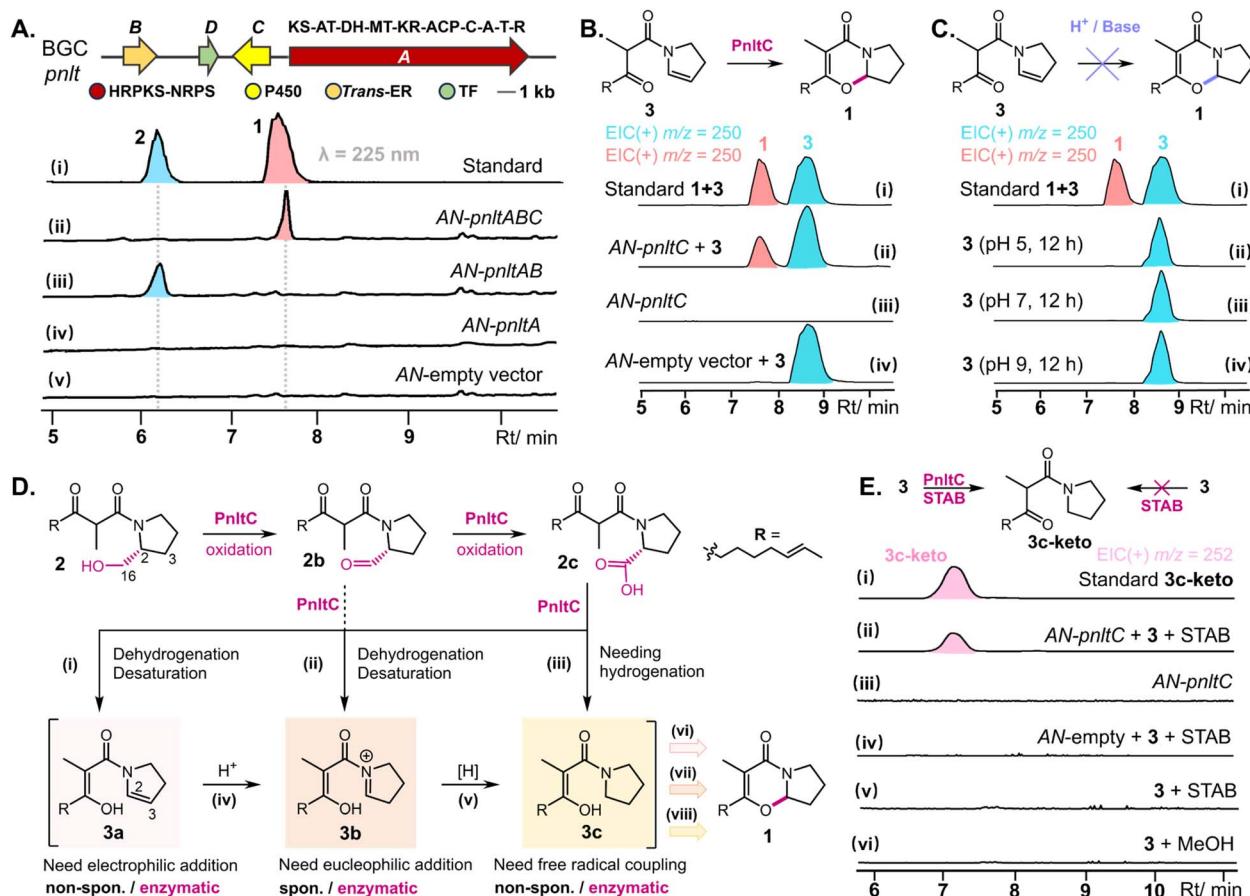


Fig. 2 (A) The BGC *pnlt* responsible for the biosynthesis of **1** and the heterologous expression of various *pnlt* gene combinations in A1145. (B and C) Compound **3** only be converted to **1** under the PnltC catalysis. (D) Three potential pyrrole intermediates involved in the transformation from **2** to **1**. (E) Analysis of AN-pnltC fed with **3**, the products of which were further reduced to **3c**-keto under the action of STAB.



RC01-*pnltC*) and A1145 (*AN-pnltC*). While microsomal fractions exhibited no activity, whole-cell feeding of **2** produced detectable **1** (Fig. S7†), indicating membrane integrity is crucial for catalysis. This dichotomy suggests *PnltC*'s catalytic competence requires intact cellular architecture, potentially through lipid interactions disrupted during microsome preparation (Fig. S8†).

The biosynthetic conversion of **2** to **1** entails sequential C2–C16 bond cleavage followed by stereoselective cyclization (Fig. S9†). Retro-biosynthetic analysis and reported structural analogues (*N*-acetyl dihydropyrrole monomers/heterodimers) suggested initial C2-OH oxidation could generate intermediate **3** (Fig. 1C).^{45–47} However, transient accumulation of **3** was undetectable in both co-expression and feeding experiments, likely due to rapid enzymatic processing in the optimized *A. nidulans* system. Chemical synthesis of **3** enabled feeding experiments demonstrating its conversion to **1** by *AN-pnltC* (Fig. 2B, S33, S34 and Table S6†), confirming its biosynthetic intermediacy. pH-controlled experiments (5–9) showed no abiotic conversion of **3** to **1** (Fig. 2C). Notably, the direct conversion of **3** to **1** contradicts classical radical cyclization mechanisms exemplified in (+)-azonazine A biosynthesis.²⁷ No prior reports exist of P450-catalyzed cyclization independent of radical initiation mechanisms. The tetrahydropyrro moiety in **1** suggests C2=C3 electron migration during cyclization, potentially involving enol tautomer **3a** in electrophilic addition (Fig. 2D(vi)). However, lacking electron-withdrawing groups, this pathway remains thermodynamically unfavourable. Therefore, enzymatic stabilization of an activated intermediate from **3** is essential for efficient cyclization. Parallels exist in the cyclization of *exo*-1-acetamido-pyrrolizidine of loline biosynthesis where W279 stabilizes iminium intermediates *via* cation–π interactions (Fig. S10†), suggesting similar enzymatic strategies.⁴⁸ Thus, a more plausible cyclization pathway involving iminium intermediate (**3b**) likely enhances nucleophilic driving force (Fig. 2D(vii)). In addition, while **3c**—a reductive product of **3b** or a direct product of C–C bond cleavage from **2** (low probability)—could theoretically undergo radical-mediated cyclization (Fig. 2D(viii)), its climbing energy barrier makes this pathway unlikely (Fig. S11†).

Sodium triacetoxyborohydride (STAB) trapping is commonly employed to detect transient iminium species through *in situ* reduction.⁴⁹ After quenching the fermentation system directly, STAB treatment confirmed iminium intermediacy through **3c-keto** formation in: (i) **3**-fed *AN-pnltC* cultures (Fig. 2E(i–iv)); and (ii) *AN-pnltABC* fermentations (Fig. S12, S35, S36 and Table S7†). Control experiments showed no **3c-keto** formation from **3** in the absence of enzymatic activity (Fig. 2E(v–vi)). These results validate iminium-dependent cyclization while excluding direct enamine cyclization pathways.

We propose *PnltC* mediates sequential oxidation and cleavage: *PnltC* firstly oxidizes the C16-OH of **2** to carboxyl, followed by C–C bond lyase activity with concomitant decarboxylation (Fig. 2D). Attempted synthesis of carboxyl analogue **2c** failed due to unexpected α-methylene substitution during proline derivatization (Fig. S13†). We instead synthesized cyclization-blocked analogues **4**, **5**, **7** and **8** (lacking β-carboxyl

groups) to investigate reaction requirements (Fig. S14, S37–S48, Tables S8–S11†). LC-MS analysis revealed *PnltC*-mediated conversion of **4** → **5** (Fig. S14A†), with STAB trapping yielding **8** from both the feeding groups **4** and **5** (Fig. S14C†). Similar to the **3** detection (after feeding **2**), compound **7** remained undetectable after feeding **4** and **5**, suggesting transient enamine cationization (Fig. S14B†). However, STAB trapping showed no **8** generation after feeding analogue **7** (Fig. S14C†), likely due to impaired binding from simultaneous loss of polarity of the pyrrole modifying group and β-carboxyl recognition elements (see below). These findings collectively establish the **2** → **2c** → **3** → **3b** → **1** pathway with bifurcated oxidation and cyclization phases.

Proposed catalytic mechanism of *PnltC*

Building on the established **2** → **2c** → **3** → **3b** → **1** pathway, we propose two distinct C–C bond cleavage mechanisms for *PnltC* based on t P450's single-electron transfer processes (Fig. 3A): Path A: (i) C16-OH oxidation to aldehyde **2b** *via* gem-diol intermediate, analogous to CYP51A1 catalysis (Fig. 3A);²¹ (ii) **2b** oxidation to **2c**; (iii) Cpd I-mediated HAA generates C3 radical; (iv) β-scission *via* C2 radical (induced through HAA of carboxyl group) recombination, resembling DuxD's mechanism;⁵⁰ (v) enzyme-guided iminium formation and stereoselective cyclization. While enamine → iminium → **1** pathway remains plausible, iminium-enamine interconversion aligns with established organic equilibrium principles (Fig. S12A†). Therefore, Path B proposes direct C2 carbocation formation *via* radical transfer to heme iron, proceeding along non-radical iminium formation (Fig. 3A).

Density functional theory (DFT) calculations employed a residue-free heme-substrate model approximates the conserved catalytic core while acknowledging natural systems may exhibit insignificant energy barrier reductions through scaffold effects.^{51–53} Calculations commenced with aldehyde **2b**, the bifurcation point for both pathways. Cpd I-mediated HAA from **2b**'s aldehyde group forms carbonyl radical **2e** (8.0 kcal mol^{−1} barrier) (Fig. S15†). Subsequent Cpd II-mediated hydroxylation (2.9 kcal mol^{−1} barrier) produces carboxylic acid **2c** (Fig. 3B). This low-barrier oxidized carboxylation process establishes **2c** as the pathway branch point. Path A's rate-limiting step involves C3-H abstraction (TS3, 15.2 kcal mol^{−1}) generating diradical **2f** (Fig. 3B). Cpd II facilitates decarboxylation *via* carboxyl dehydrogenation (TS4, 2.2 kcal mol^{−1}), driving β-scission to form stable low-energy enamine **3** (−130 kcal mol^{−1}) through conjugated diradical **3**. Path B initiates through Cpd I-mediated carboxyl HAA from **2c**, generating radical **2h**. However, single-radical decarboxylation encounters a prohibitive barrier (TS5, 45.6 kcal mol^{−1}), contrasting with Path A's diradical efficiency. Although enzymatic environments can lower barriers (*e.g.*, Pyrl4's 12.7 kcal mol^{−1} reduction),⁵⁴ similar effects remain unreported for heme-dependent P450 C–C bond lyases. Despite thermodynamic favorability ($\Delta G = -62.6$ kcal mol^{−1}) between **2h** and TS5, Path B's energy barrier renders **2h** formation improbable, even considering barrierless **2h** → **2i** conversion *via* a single electron



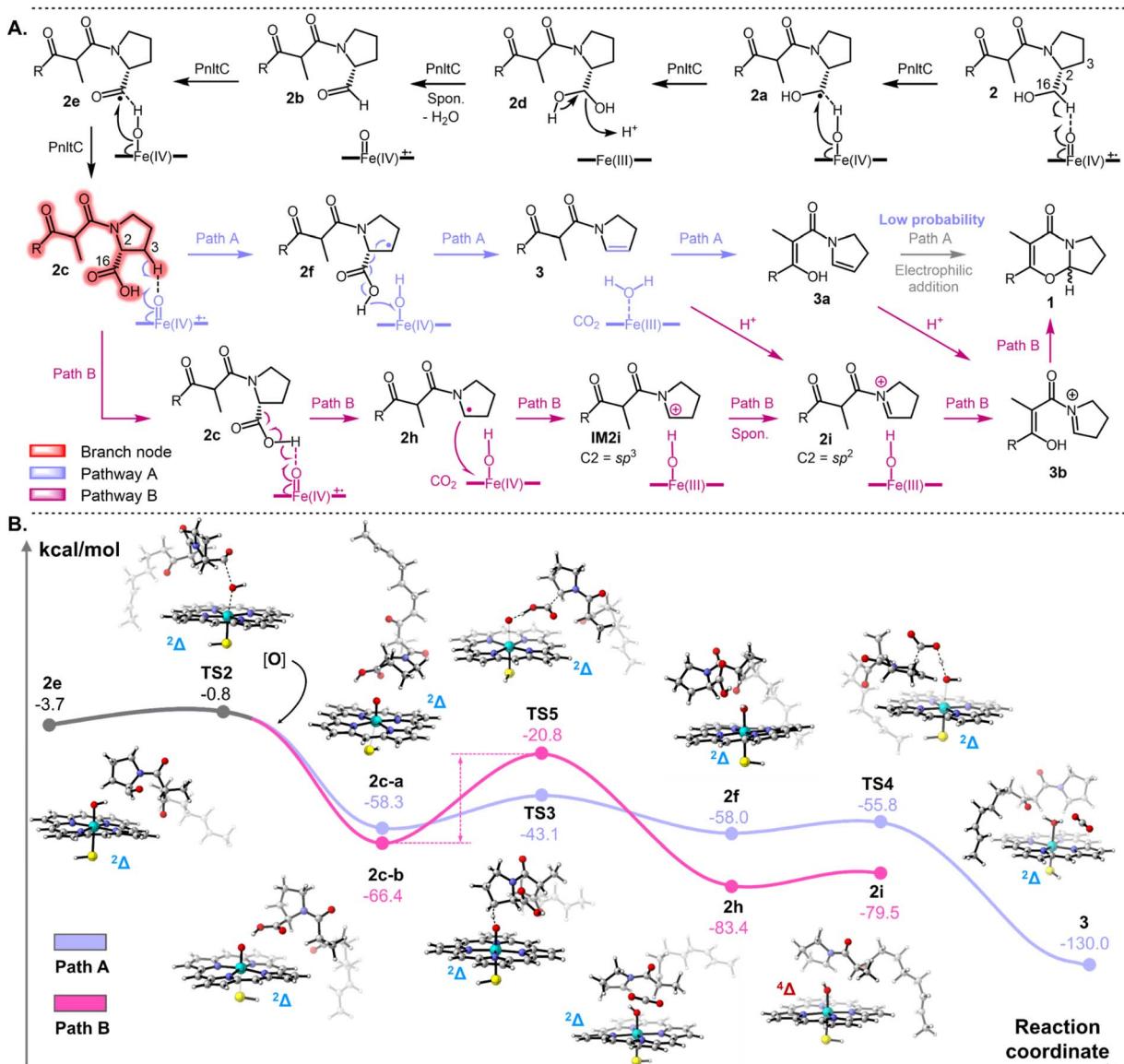


Fig. 3 (A) Two proposed mechanisms of C–C bond cleavage catalyzed by PnltC. The main node is the formation of a carboxyl group. After that, the pathway is considered to have two possible C–C bond cleavages: Path A and B. Note: in Path B, after the carboxyl proton is extracted, a cascade of free radical transfers is induced to form one carbon free radical, which then spontaneously transfers to form a carbon cation (sp^3 hybridization) and then spontaneously to an iminium (sp^2 hybridization). (B) DFT calculation for the oxidative C–C bond cleavage of 2 (Path A and Path B). Structural optimization was calculated by $opt = \text{GDIIS}/\text{freq}/\text{b3lyp}/\text{def2svp}/\text{empiricaldispersion} = \text{gd3bj}/\text{opt}/\text{freq}/\text{b3lyp}/\text{def2svp}/\text{empiricaldispersion} = \text{gd3bj}/\text{scf} = \text{xqc}$; Transition states (TSs) calculations were conducted by $\text{freq}/\text{ub3lyp}/\text{def2svp}/\text{empiricaldispersion} = \text{gd3bj}/\text{scf} = \text{xqc}/\text{freq}/\text{b3lyp}/\text{def2svp}/\text{empiricaldispersion} = \text{gd3bj}$ $\text{scf} = \text{xqc}$; the energies of key species involved in different pathways at were calculated by $\text{b3lyp}/\text{def2tzvp}/\text{empiricaldispersion} = \text{gd3bj}$ and are given in kcal mol^{-1} . The optimized geometries of these key species along the reaction.

transfer without an energy barrier ($3.9 \text{ kcal mol}^{-1}$) (Fig. 3B). Thus, Path A represents the exclusive feasible route for C–C bond cleavage and enamine production. These computations corroborate experimental observations: (1) STAB-trapped iminium in 3-fed cultures; (2) No $3 \rightarrow 1$ conversion without enzymatic activity. The enamine-iminium equilibrium requires protonation likely mediated by active-site residues, motivating subsequent mutagenesis studies.

AlphaFold2 modeling generated high-confidence PnltC structures with well-defined active site architecture

(Fig. S16A \dagger).^{42,43} Molecular docking positioned the heme cofactor within an active pocket featuring dual entry channels (Fig. S16B, C and S17A \dagger).⁵⁵ Channel 1 contains strict categorized polar and hydrophobic residues that enforce substrate orientation through complementary interactions (Fig. S17 \dagger). This explains analogue 7's failed conversion due to disrupted polar interactions. Evolutionary conservation analysis coupled with FoldX 5.0 predictions identified 31 residues for alanine scanning across the complete substrate access pathway (Fig. S18 and S19 \dagger).⁵⁶ As shown in Fig. S20, \dagger mutations at K50, S51, M53, T56,

L63, G158, T162, K164, H235, F238, Y305, L307, K309, and W424 substantially or completely abolished the production of **1** (>80% reduction), indicating essential roles in catalysis or substrate channel. Fifteen mutants (H52, D55, P64, S65, L150, V157, H165, S231, D239, E242, S245, N302, S308, L420, and V423) showed partial activity (30–70%), suggesting roles in transition state stabilization or microenvironment modulation. The remaining mutations showed negligible effects.

Docking simulations with pathway intermediates identified six critical residues (H235, F238, D239, E242, N302, and Y305) through interaction analysis (RMSD = 0, Fig. 4A and S21†).^{42,44} Y305 forms a critical 3.0 Å hydrogen bond with substrate 2's C6=O (Fig. 4B), essential for catalysis (Y305A: 0% activity, Fig. 4D). [Por⁺–Fe^{IV}] = O (Cpd I) is positioned 3.1 Å from C16–OH versus 4.8–5.0 Å from C16–H (Fig. 4E(a)). Then Fe^{IV}–OH (Cpd II)-mediated C16–H abstraction would necessitate water-bridged proton transfer for aldehyde formation through diradical coupling (Fig. 4E(b)).⁵⁷ The 4.8 Å Fe–C16 distance disfavors gem-diol mechanisms requiring continuous water-mediated hydrogen extraction, making this pathway energetically unfavourable *versus* direct oxidation (Fig. 4E(c)). Thus, we propose C16–OH → C16=OH occurs *via* dual HAA-mediated diradical coupling, bypassing the gem-diol pathway (Fig. 4E(b)). F238 stabilizes iminium **3b** *via* π-cation interactions (4.0 Å centroid distance), while H235's imidazole ring (2.8 Å from C8–OH) likely facilitates proton transfer (Fig. 4C). F238Y

retains partial activity (50%) through preserved π-conjugation, demonstrating aromaticity's critical role over hydrophobicity (Fig. 4D and S20†). F238W showed complete activity loss, likely from tryptophan's steric bulk impeding substrate entry (Fig. 4D and S20†). *In situ* quenching revealed Y305A and F238W abolished **3c-keto** production, while F238A retained it, confirming their roles pre-iminium formation (Fig. S22†). H235A's 85% activity loss may be related to its imidazole-*N*(δ1) abstracting proton (2.8 Å H-bond from C8–OH of **3b**, Fig. 4C).⁵⁸ H235A retained **3c-keto** production in STAB trapping assays, indicating post-iminium formation role (Fig. S22†). Furthermore, D239A (35%), E242A (55%), and N302A (45%) implicate hydrogen bonding and pH modulation roles (Fig. 4D). Chirality analysis showed negligible energy differences between *R/S*-**1** precursors ($\Delta\Delta G < 0.3$ kcal mol⁻¹), indicating minimal inherent stereochemical preference in spontaneous processes (Fig. 5A). Therefore, the observed 37 : 63 *R/S* ratio of **1** under enzymatic catalysis demonstrated stereochemical control by the active site (Fig. S9†). Based on the above results, we propose the complete catalytic process (Fig. 5B): (i) C16–OH → CHO (direct oxidation) → COOH; (ii) diradical-mediated β-scission producing enamine **3**; (iii) acid-catalyzed iminium formation; (iv) stereoselective cyclization. Notably, non-enzymatic cyclization of activated **3b_act** would produce racemic products, emphasizing enzymatic control's necessity for stereoselectivity.

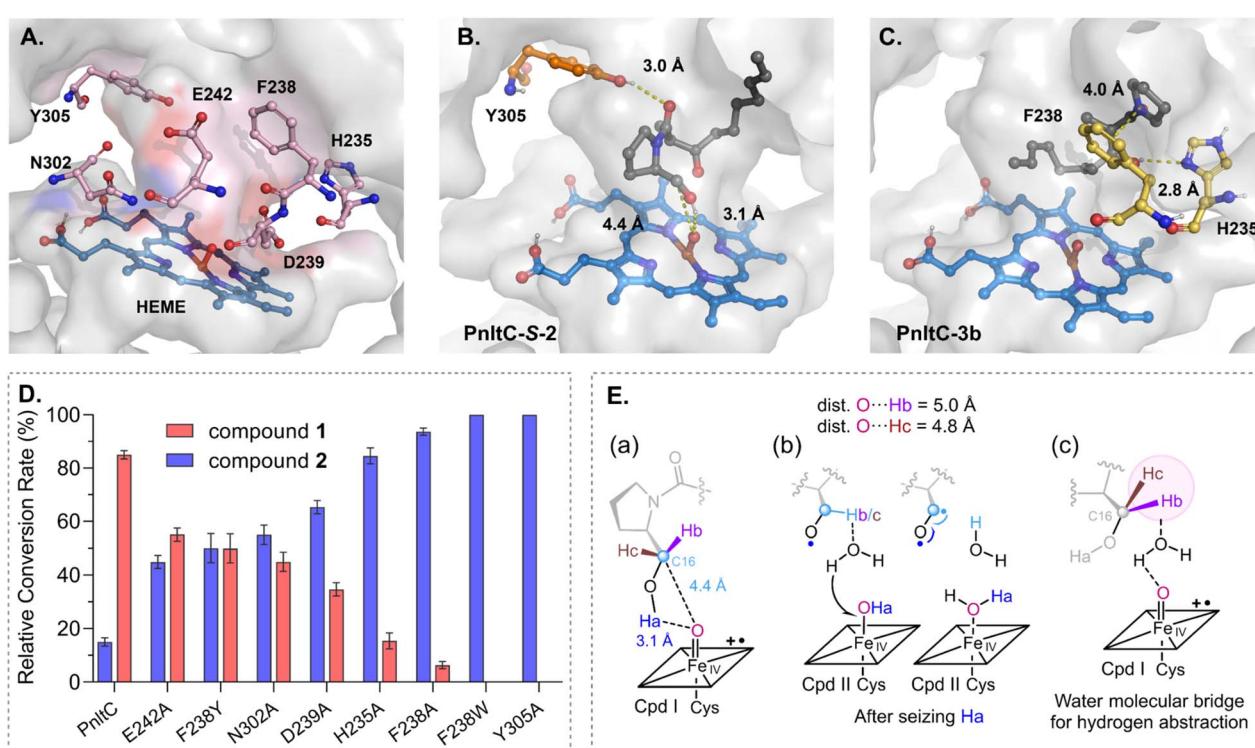


Fig. 4 (A) View of the predicted substrates-binding sites of PnltC. (B) Predicted interaction between residue Y305 and substrate 2. (C) Predicted interaction between residues F238 and H235 and substrate **3b**. (D) Analysis of the relative production ratio of **1** and **2** *via* expressing *pnltC* and its mutants together with *pnltAB* in A1145. Error bars represent the standard deviation of three independent results. Analysis of the relative conversion rate (mean \pm SD) of scanning mutagenesis of these residues combined with *in vivo* assays in A1145. (E) The mechanism discussion of producing aldehyde by direct oxidation of the hydroxyl group at C16 and by the gem-diol pathway.



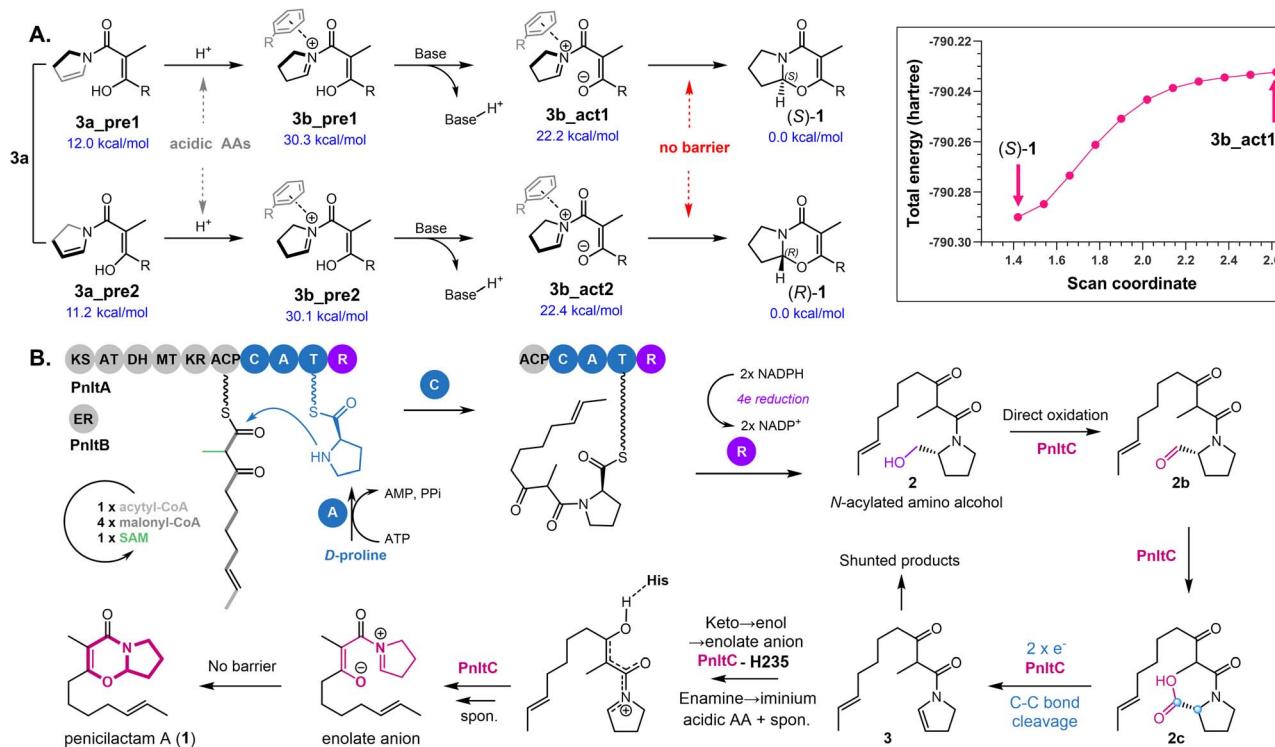


Fig. 5 (A) Proposed cyclization process from enamine which will be converted to iminium intermediate for generating 1 with no energy barrier (heme-free). (B) Biosynthetic strategy to produce *N*-acyl-*D*-prolinol and 5-oxaindolizidine core in the biosynthesis pathway of penicilactam A.

Evolutionary and functional analysis of PnltC homologs

Having established the biosynthetic pathway of compound 1 (Fig. 5B), we investigated the phylogenetic distribution of PnltC-containing BGCs. Comparative genomics revealed strong conservation of the such BGC, especially in *Penicillium* species (Fig. S23†). Sequence similarity network (SSN) analysis identified PnltC as phylogenetically distinct, forming a singleton cluster with only *Penicillium steckii* P450_{Ps} (Fig. S24†). Expanded homology searches in NCBI database identified limited homologs (14 total) predominantly in *Penicillium*, confirming phylogenetic distribution (Fig. S25†). Multiple sequence alignment showed partial conservation of F238 among homologs, with other catalytic residues being widely conserved (Fig. S26†). Functional analysis of two homologs – P450_{Ph} (*Penicillium hetheringtonii* IBT 29057, F238, 95% BGC similarity) and P450_{Cg} (*Colletotrichum godetiae* CBS 193.32, L238, 45% similarity) – revealed strict evolutionary conservation: P450_{Ph} exhibited full catalytic activity, whereas P450_{Cg} was inactive (Fig. S27†). This evolution constraint enables targeted BGC mining for industrial strain development. Phylogenetic clustering with multi-oxidase P450s suggests unexplored catalytic potential for complex oxidations (Fig. S28†).⁴¹

Conclusions

This study elucidates the biosynthetic logic behind 5-oxaindolizidine alkaloids through functional characterization of PnltC, a paradigm-altering cytochrome P450 that redefines enzymatic

complexity in natural product assembly. We demonstrate how this metalloenzyme orchestrates mechanistically distinct reactions—sequential C-OH/H oxidations, radical-mediated C-C bond cleavage, and non-radical iminium cyclization—through an elegant “energy landscape editing” strategy. Intriguingly, the enzyme exhibits metabolic circularity through prolinol substrate reoxidation (derived from proline reduction by the R domain), showcasing nature’s strategic use of redox plasticity. Structural dissection identifies conserved residues (especially H235/F238/Y305) enabling both radical initiation and active transition state stabilization. Phylogenetic analysis traces this innovation to insect-pathogenic and plant-endophytic *Penicillium* species.^{2,46,59} Ecologically, these findings reveal fungal-plant coevolution as a driver of metabolic innovation, with biosynthetic clusters serving as molecular armaments in symbiotic defense systems. This work expands the catalytic repertoire of oxygenases and provide an evolutionary roadmap for discovering bioactive nitrogen heterocycles.

Data availability

The data supporting this article have been included as part of the ESI.†

Author contributions

K. Z. and J. S.: investigation, data curation, validation, writing – original draft. W. S., J. L., C. M., Y. C. and Y. G.: investigation. Y. L., Z. R., Q. C., G. Z., Y. L., and T. Z.: formal analysis,



visualization. D. L.: supervision, formal analysis, funding acquisition, resources, project administration. All the authors contribute to writing – review & editing.

Conflicts of interest

There are no conflicts to declare.

Acknowledgements

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Notes and references

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