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# CO<sub>2</sub> adsorption and activation on AuO(CO<sub>2</sub>)<sub>n</sub><sup>-/+</sup> (n = 1–3) clusters: a theoretical study

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The geometric and electronic properties of AuO(CO<sub>2</sub>)<sub>n</sub><sup>-/+</sup> (n = 1–3) clusters have been systematically investigated using density functional theory (DFT). All anionic ground states are singlets, whereas the cationic counterparts are triplets. Anions prefer distorted CO<sub>3</sub>-like binding and, at n = 3, an oxygen-bridged ring, while cations retain near-linear CO<sub>2</sub> with modest perturbation. The thermodynamics at 298 K show favorable first and second adsorption on anions and an unfavorable third step, consistent with site saturation. In cations the first step is favorable, the second weakly favorable, and the third slightly unfavorable. Natural population analysis (NPA) and Natural bond orbital (NBO) analyses indicate stronger charge acceptance and higher Au–O bond order in anions than in cations. These results identify charge state and saturation as the primary controls of bonding across this size range.

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## 1 Introduction

Over the past century, the widespread use of fossil fuels has resulted in a notable elevation of carbon dioxide levels in the atmosphere, precipitating a cascade of environmental concerns, including global warming, ocean acidification, and sea level rise.<sup>1–3</sup> A substantial body of research has been dedicated to the storage and fixation of carbon dioxide. Converting CO<sub>2</sub> into value-added chemicals, fuels, or materials would transform it into an abundant and inexpensive carbon source. CO<sub>2</sub> reduction begins with charge transfer, activating the C=O bonds and generating anionic radicals.<sup>4</sup> However, CO<sub>2</sub> is a highly stable molecule with strong bond energy and no dipole moment, making the reduction process challenging. Therefore, a suitable catalyst is essential to lower the activation barrier for CO<sub>2</sub> reduction. A range of approaches have been widely explored for CO<sub>2</sub> reduction, including electrocatalysis, biocatalysis, and photocatalysis.<sup>5–10</sup>

Although CO<sub>2</sub> reduction is crucial for both environmental protection and chemical applications, the intrinsic

mechanisms of the reaction remain poorly understood due to the complexity of the environment. Isolated gas-phase clusters with clear structural definition serve as valuable models for studying chemical reactions, enabling comprehensive exploration of molecular structures and activation mechanisms,<sup>11–20</sup> while also providing valuable insights into the mechanistic steps involved in CO<sub>2</sub> activation reactions.

Metal oxides, in particular, have demonstrated substantial catalytic capabilities in various chemical processes, including the activation and reduction of CO<sub>2</sub>. Metal oxide materials, such as TiO<sub>2</sub>, CuO, and CeO<sub>2</sub>, have been extensively used in photocatalytic and electrocatalytic applications due to their unique surface reactivity, stability, and redox properties.<sup>21–23</sup> These metal oxides are known to interact with CO<sub>2</sub>, facilitating electron transfer and leading to the formation of carbonate or other intermediates that are crucial for CO<sub>2</sub> conversion into value-added products.<sup>24–26</sup> Infrared photodissociation studies reveal that ScO<sup>+</sup>, YO<sup>+</sup>, and HoO<sup>+</sup> cations undergo transformation from solvated states to carbonate structures upon binding CO<sub>2</sub>, whereas LaO<sup>+</sup> only forms solvated structures.<sup>27–29</sup> Meanwhile, highly oxygenated metal oxides have also gained much attention. Liu *et al.* found that with additional CO<sub>2</sub> coordination, Sc<sub>2</sub>O<sub>2</sub><sup>+</sup> and Sc<sub>3</sub>O<sub>4</sub><sup>+</sup> cations can effectively promote the fixation of CO<sub>2</sub> into carbonate groups.<sup>30,31</sup> Reactions of NiO<sub>2</sub><sup>+</sup>, NbO<sub>2</sub><sup>+</sup>, TaO<sub>2</sub><sup>+</sup>, and TaO<sub>3</sub><sup>+</sup> cations with multiple CO<sub>2</sub> molecules have shown no substantial CO<sub>2</sub> activation.<sup>32–35</sup> Through infrared spectroscopy studies on the interaction between Mn<sub>x</sub>O<sub>y</sub><sup>+</sup> (x = 2–5, y ≥ x) and CO<sub>2</sub>, Lang *et al.* revealed that the interaction is primarily electrostatic.<sup>36</sup> Mikolaj *et al.* reported that CO<sub>2</sub> activation on copper oxide anions primarily leads to CO<sub>3</sub> formation.<sup>37</sup>

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Meanwhile, there is a notable lack of research focused on the ability of metal oxide anions to induce CO<sub>2</sub> carbonation. Hos-sain and co-workers observed that W<sub>x</sub>O<sub>y</sub><sup>-</sup> shows no evidence of dissociative adsorption of CO<sub>2</sub>.<sup>38</sup> In [TiO<sub>x</sub>(CO<sub>2</sub>)<sub>y</sub>]<sup>-</sup> systems, the study demonstrates a diversity of ligand motifs depending on the oxidation state, with carbonate ligands being the most stable across all oxidation levels, and additional oxalate, η<sup>2</sup>-(C,O), η<sup>2</sup>-(O,O), and carbonyl ligands observed at lower oxidation states.<sup>39</sup>

In the context of gold-based catalysts, well-defined gas-phase clusters have exhibited distinct adsorption behaviors toward small molecules such as O<sub>2</sub>, CO, and N<sub>2</sub>, often influenced by cluster size and charge state.<sup>40–42</sup> Molecular oxygen can chemisorb as superoxo or peroxy species depending on the cluster size and the charge state.<sup>40</sup> Molecular nitrogen, in contrast, is typically weakly adsorbed and may only be observed under cryogenic conditions.<sup>42</sup> These adsorption characteristics are underpinned by the unique electronic properties of gold clusters, including relativistic effects and quantum size-dependent behavior, which also play a critical role in their catalytic performance.<sup>43,44</sup> Recent reviews on gold catalysis further emphasize the significant effects of particle size and support interactions, highlighting the importance of interfacial charge transfer and dynamic redox cycles in gold-mediated transformations.<sup>45–47</sup>

Despite these advances, the reactivity of gold oxide clusters toward CO<sub>2</sub> remains underexplored. Although earlier gas-phase studies have characterized anionic AuO *via* photoelectron spectroscopy, reporting the electron affinity of neutral AuO and its spin-orbit splitting,<sup>48</sup> and the electronic structure of cationic AuO has been characterized theoretically,<sup>49</sup> systematic studies on CO<sub>2</sub> adsorption and activation on AuO<sub>n</sub> clusters are still lacking.<sup>50</sup> Both cationic and anionic Au<sub>n</sub>O<sub>m</sub> clusters have demonstrated reactivity toward small molecules, suggesting their potential utility in CO<sub>2</sub> conversion.<sup>51,52</sup> Given the unique electronic properties of gold and its potential to enhance catalytic performance, this work is dedicated to exploring the adsorption and activation of CO<sub>2</sub> on AuO(CO<sub>2</sub>)<sub>n</sub><sup>-/+</sup> (*n* = 1–3) clusters. By employing advanced quantum chemical methods, we systematically examine the geometric and electronic structures of these clusters, analyze their charge distributions, and elucidate the underlying mechanisms that govern CO<sub>2</sub> activation. The insights gained from this study are expected not only to deepen our fundamental understanding of CO<sub>2</sub> conversion processes in gold-based systems but also to provide valuable guidelines for the rational design of efficient catalysts for CO<sub>2</sub> reduction.

## 2 Theoretical method

The structural searching program Molclus<sup>53</sup> was employed to generate candidate initial structures for AuO(CO<sub>2</sub>)<sub>n</sub><sup>-/+</sup> (*n* = 1–3) clusters. Geometry optimizations were performed at the B3LYP-D3 (ref. 54–56)/def2-TZVP<sup>57,58</sup> level of theory. This level is a commonly used, well-balanced choice for Au chemistry. Literature benchmarks on the def2 basis framework and on gold bonding, together with Au-oxide case studies, support its

use for Au–X bonding.<sup>57,59,60</sup> To assess functional and basis set sensitivity, key isomers were re-evaluated at five cross-check levels: (i) B3LYP-D3 with the LANL2DZ<sup>61–63</sup> effective core potential for Au and 6-311+G(3df)<sup>64</sup> for C and O; (ii) ωB97X-D<sup>65</sup> with the same LANL2DZ/6-311+G(3df); (iii) M06-2X<sup>66</sup> with LANL2DZ/6-311+G(3df); (iv) ωB97X-D/def2-TZVP; (v) M06-2X/def2-TZVP. The data are summarized in Tables S1 and S2 in the SI. Table S1 shows that the relative energy with zero-point energy correction remains consistent for clusters with *n* = 1 and *n* = 3 across all tested methods. For *n* = 2, some functional dependence in relative energy is observed. Though Table S2 shows that the geometric structures remain largely consistent. Harmonic frequency analysis was conducted at the same level of theory with geometry optimizations to ensure that the optimized structures corresponded to true minima on the potential energy surfaces, with no imaginary frequencies observed. Gibbs free energies at 298 K were obtained from the same frequency calculations to evaluate the thermodynamic favorability of CO<sub>2</sub> adsorption. Different spin multiplicities were evaluated, and the results are summarized in Table 1. The lowest-energy states for AuO(CO<sub>2</sub>)<sub>n</sub><sup>-</sup> (*n* = 1–3) corresponds to singlet ground states, while the lowest-energy state for AuO(CO<sub>2</sub>)<sub>n</sub><sup>+</sup> (*n* = 1–3) corresponds to triplet ground states. To quantify bonding and activation we carried out single-point Natural Bond Orbital analysis (NBO)<sup>67</sup> and Natural Population Analysis (NPA) on the optimized structures. All calculations were performed using the Gaussian 09 program.<sup>68</sup>

## 3 Result and analysis

### 3.1 Structures and relative energies

Fig. 1 presents the structures, symmetries, spin multiplicity, and relative energies with zero point energy (ZPE) correction for the ground state structures and selected low-lying isomers of AuO(CO<sub>2</sub>)<sub>n</sub><sup>-/+</sup> (*n* = 1–3) clusters. The isomers are arranged in ascending order of energy and labeled as *nA*<sup>-/+</sup>, *nB*<sup>-/+</sup>, *nC*<sup>-/+</sup> and so on.

**3.1.1 AuO·CO<sub>2</sub><sup>-</sup>.** The lowest-energy isomer 1A<sup>-</sup> (*C*<sub>1</sub> symmetry, singlet state) features a significantly distorted CO<sub>3</sub> fragment. The C–O bond lengths of 1.25 Å, 1.23 Å, and 1.41 Å and the O–C–O bond angles are 132.25°, 107.21° and 120.54°, resulting in an asymmetric structure. In contrast, isomer 1B<sup>-</sup> (*C*<sub>s</sub> symmetry, triplet state) lies 0.12 eV higher in energy than 1A<sup>-</sup>. 1B<sup>-</sup> also contains a CO<sub>3</sub> fragment like 1A<sup>-</sup>. The O–C–O

Table 1 Spin multiplicities and corresponding energies of the AuO(CO<sub>2</sub>)<sub>n</sub><sup>-/+</sup> clusters

Isomer	Spin multiplicity	Energy (Hartree)
1A <sup>-</sup>	1	–399.711862
	3	–399.706148
	5	–399.500696
	7	–399.340932
1A <sup>+</sup>	1	–399.207940
	3	–399.272561
	5	–399.204922
	7	–399.047520



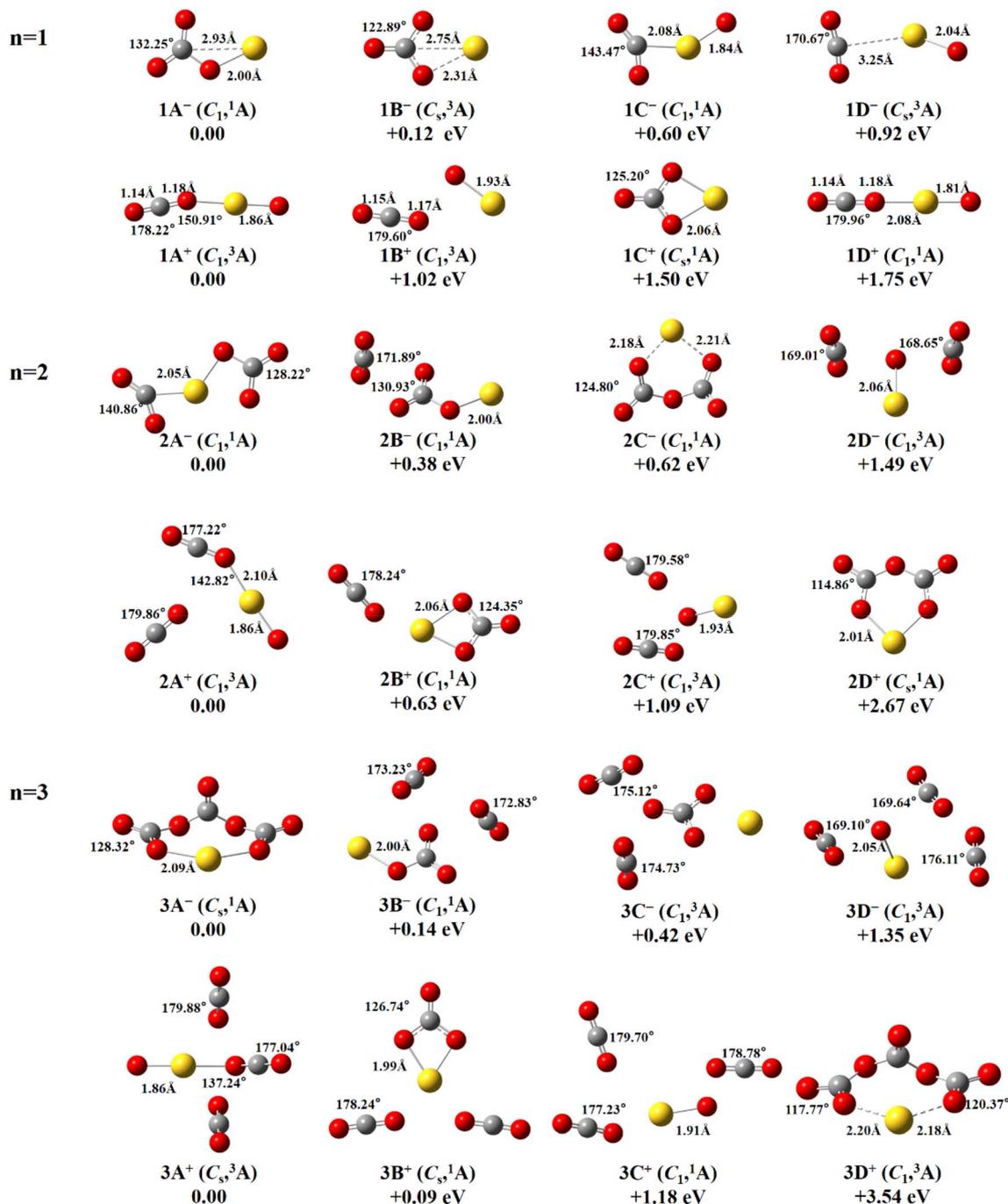


Fig. 1 Optimized structures of the ground state and additional selected low-lying isomers of  $AuO(CO_2)_n^{-/+}$  ( $n = 1-3$ ) calculated at the B3LYP-D3/def2-TZVP level of theory (Au, yellow; carbon, gray; oxygen, red). The symmetry, electronic state, the relative energy (eV), bond length (Å) and bond angle (in degrees) are indicated.

angles are  $122.89^\circ$ ,  $122.90^\circ$  and  $114.21^\circ$ , and the C–O bond lengths are 1.30 Å, 1.30 Å, and 1.23 Å. The geometric distortion is significantly reduced compared to  $1A^-$  but remains clearly different from that of a typical carbonate ion. Isomer  $1C^-$  ( $C_1$  symmetry, singlet state) is 0.63 eV higher in energy than  $1A^-$ . The gold atom coordinates to the carbon of  $CO_2$  in a monodentate fashion, slightly distorting its linear geometry. By contrast, isomer  $1D^-$  ( $C_s$  symmetry, triplet state), which is

0.92 eV higher in energy than  $1A^-$ , features weak interaction between Au and  $CO_2$ , allowing the  $CO_2$  molecule to retain its nearly linear geometry.

**3.1.2  $AuO \cdot CO_2^+$ .** The lowest-energy isomer  $1A^+$  ( $C_1$  symmetry, triplet state) adopts a nearly linear  $CO_2$  unit bonded to the  $AuO$  moiety *via* a distorted C–O–Au angle of  $150.91^\circ$ . In isomer  $1B^+$  ( $C_1$  symmetry, triplet state), which lies 1.02 eV higher in energy than  $1A^+$ , the gold atom only forms a terminal



**Table 2** Thermodynamic stability of the lowest-energy structures of the  $\text{AuO}(\text{CO}_2)_n^{-/+}$  clusters ( $n = 1-3$ ) at 298 K

Isomer	$G(298\text{ K})$ (au)	$\Delta G_{\text{ads}}$ ( $\text{kJ mol}^{-1}$ )	$G_{\text{bind}}$ ( $\text{kJ mol}^{-1}$ )
$1\text{A}^-$	-399.743187	-86.449805	86.449806
$2\text{A}^-$	-588.432094	-25.743017	112.192823
$3\text{A}^-$	-777.100835	27.202795	84.990028
$1\text{A}^+$	-399.305227	-99.944870	99.944870
$2\text{A}^+$	-587.986140	-4.754778	104.699649
$3\text{A}^+$	-776.663846	3.665196	101.034453

coordination with a single oxygen atom at a bond length of 1.93 Å. Meanwhile, the nearby  $\text{CO}_2$  molecule retains its nearly linear geometry. This structure highlights the absence of significant perturbation to the  $\text{CO}_2$  molecule, as its geometry is nearly identical to that of a free  $\text{CO}_2$  molecule. In isomer  $1\text{C}^+$  ( $C_s$  symmetry, triplet state), the gold atom lies 2.06 Å away from the two oxygen atoms of the distorted  $\text{CO}_3$ -like unit. This structure resembles  $1\text{B}^-$ , but in  $1\text{C}^+$  the Au–O distances to the  $\text{CO}_3$ -like fragment are shorter.  $1\text{D}^+$  ( $C_1$  symmetry, singlet state) lies 1.75 eV higher in energy than  $1\text{A}^+$ . The structure is characterized by a nearly linear arrangement between the AuO unit and the  $\text{CO}_2$  molecule, with both the O–C–O and O–Au–O bond angles approaching  $180^\circ$ .

**3.1.3  $\text{AuO}(\text{CO}_2)_2^-$ .** For  $\text{AuO}(\text{CO}_2)_2^-$ , in the lowest-energy isomer  $2\text{A}^-$  ( $C_1$  symmetry, singlet state), the gold atom coordinates to two  $\text{CO}_2$  molecules. One binds *via* its carbon atom in a monodentate manner, while the other interacts terminally through an oxygen atom. This asymmetric coordination results in a non-linear spatial arrangement of the  $\text{CO}_2$  molecules relative to the Au center. In isomer  $2\text{B}^-$  ( $C_1$  symmetry, singlet state), which lies 0.38 eV above  $2\text{A}^-$ , one  $\text{CO}_2$  molecule forms a  $\text{CO}_3$ -like ligand with the AuO unit, similar to isomer  $1\text{A}^-$ , while the second  $\text{CO}_2$  molecule retains a nearly linear geometry with C–O bond lengths of 1.16 Å and an O–C–O bond angle of  $171.89^\circ$ . Positioned 0.62 eV above  $2\text{A}^-$ , isomer  $2\text{C}^-$  ( $C_1$  symmetry, singlet

state) adopts a non-planar, ring-like geometry in which the Au–O distances are 2.18 and 2.21 Å as shown in Fig. 1. In  $2\text{D}^-$  ( $C_1$  symmetry, triplet state), which is 1.49 eV higher in energy than  $2\text{A}^-$ , both  $\text{CO}_2$  molecules retain geometries close to those of free  $\text{CO}_2$ .

**3.1.4  $\text{AuO}(\text{CO}_2)_2^+$ .** The lowest-energy isomer  $2\text{A}^+$  ( $C_1$  symmetry, triplet state) retains the O–Au– $\text{CO}_2$  coordination framework observed in  $1\text{A}^+$  but exhibits a reduced C–O–Au bond angle. A free  $\text{CO}_2$  molecule is present nearby, maintaining a nearly linear geometry with an O–C–O bond angle of  $179.86^\circ$ . Isomer  $2\text{B}^+$  ( $C_1$  symmetry, singlet state) lies 0.63 eV higher in energy than  $2\text{A}^+$ . Its central structure closely resembles that of  $1\text{C}^+$ , featuring a cyclic  $\text{CO}_3$ -like unit bonded to the gold atom. The second  $\text{CO}_2$  molecule is nearly linear, with an O–C–O bond angle of  $178.24^\circ$ . Isomer  $2\text{C}^+$  ( $C_1$  symmetry, triplet state) is 1.09 eV higher in energy than  $2\text{A}^+$ . The gold atom coordinates with a single oxygen atom, forming an end-on coordination bond with a length of 1.93 Å. Both  $\text{CO}_2$  molecules remain nearly linear, with O–C–O bond angles of  $179.58^\circ$  and  $179.85^\circ$ , respectively. Isomer  $2\text{D}^+$  ( $C_s$  symmetry, singlet state) is 2.67 eV higher in energy than  $2\text{A}^+$ . The structure of  $2\text{D}^+$  contains a cyclic  $\text{CO}_3$ -like unit interacting with the gold atom, characterized by an Au–O bond length of 2.01 Å.

**3.1.5  $\text{AuO}(\text{CO}_2)_3^-$ .** Ground-state isomer  $3\text{A}^-$  ( $C_s$  symmetry, singlet state) forms a eight-membered ring *via* multiple bridging oxygens. This cyclic arrangement contrasts sharply with smaller clusters ( $n = 1-2$ ), demonstrating size-dependent structural evolution. Isomer  $3\text{B}^-$  ( $C_1$  symmetry, singlet state), which is 0.14 eV higher in energy than  $3\text{A}^-$ , the core structure resembles that of  $1\text{A}^-$ , while the other two  $\text{CO}_2$  units sit apart with O–C–O bond angles of  $173.23^\circ$  and  $172.83^\circ$ , maintaining near-linear geometries. Isomer  $3\text{C}^-$  ( $C_1$  symmetry, triplet state) is 0.42 eV higher in energy than  $3\text{A}^-$ . The central structure of  $3\text{C}^-$  resembles that of  $1\text{B}^-$ . And two nearly linear  $\text{CO}_2$  molecules are positioned nearby. In isomer  $3\text{D}^-$  ( $C_1$  symmetry, triplet state) lying 1.35 eV above  $3\text{A}^-$ , all three  $\text{CO}_2$  molecules are

**Table 3** Activation and bonding indicators for the coordinating  $\text{CO}_2$  in the lowest-energy  $\text{AuO}(\text{CO}_2)_n^{-/+}$  structures at 298 K<sup>a</sup>

Isomer	$\text{CO}_2$ id	$\Delta q_{\text{CO}_2}$ (e)	$\delta\theta$ ( $^\circ$ )	$\Delta\nu_{\text{as}}$ ( $\text{cm}^{-1}$ )	Wiberg Au–O	Wiberg Au–C	Wiberg $\text{O}_{\text{AuO}}\text{–C}$
$1\text{A}^-$	$\text{CO}_2\text{-a}$	-0.502	47.75	-1138.84	0.260	0.011	0.000
$2\text{A}^-$	$\text{CO}_2\text{-a}$	-0.566	51.78	-679.76	0.220	0.013	1.031
	$\text{CO}_2\text{-b}$	-0.522	39.14	-510.59	0.324	0.538	0.036
$3\text{A}^-$	$\text{CO}_2\text{-a}$	-0.206	55.07	-641.63	0.031	0.000	0.000
	$\text{CO}_2\text{-b}$	-0.397	51.69	-601.93	0.354	0.000	0.000
	$\text{CO}_2\text{-c}$	-0.210	64.27	-579.13	0.036	0.000	1.288
$1\text{A}^+$	$\text{CO}_2\text{-a}$	+0.122	1.78	+37.91	0.052	0.003	0.000
$2\text{A}^+$	$\text{CO}_2\text{-a}$	+0.005	2.78	+6.97	0.004	0.000	0.000
	$\text{CO}_2\text{-b}$	+0.069	0.14	+29.30	0.052	0.003	0.000
$3\text{A}^+$	$\text{CO}_2\text{-a}$	+0.005	0.12	+0.01	0.004	0.000	0.000
	$\text{CO}_2\text{-b}$	+0.005	0.12	+11.96	0.004	0.000	0.000
	$\text{CO}_2\text{-c}$	+0.069	2.96	+24.51	0.052	0.003	0.000

<sup>a</sup> Isomers are the lowest-energy ones ranked by Gibbs free energy at 298 K.  $\Delta q_{\text{CO}_2}$  is the NPA charge on each  $\text{CO}_2$  unit given by the sum over its C and two O atoms.  $\delta\theta$  is  $180^\circ$  minus the O–C–O angle, positive values mean bending.  $\Delta\nu_{\text{as}}$  is the asymmetric-stretch frequency in the cluster minus the gas-phase value  $2410.09\text{ cm}^{-1}$  computed at the same level. Wiberg indices are from NBO. “Au–O” and “Au–C” refer to contacts between Au and the atoms of that  $\text{CO}_2$ . “ $\text{O}_{\text{AuO}}\text{–C}$ ” refers to the oxygen in the AuO fragment bonded to the carbon of that  $\text{CO}_2$ . Labels  $\text{CO}_2\text{-a}$ ,  $\text{CO}_2\text{-b}$ ,  $\text{CO}_2\text{-c}$  identify different  $\text{CO}_2$  units within the same isomer.



positioned around the AuO unit. The O–C–O bond angles of 169.10°, 169.64° and 176.11° show that each CO<sub>2</sub> retains a near-linear geometry.

**3.1.6 AuO(CO<sub>2</sub>)<sub>3</sub><sup>+</sup>.** The lowest-energy isomer 3A<sup>+</sup> (C<sub>s</sub> symmetry, triplet state) extends the O–Au–CO<sub>2</sub> coordination framework of 1A<sup>+</sup>, with a further reduced C–O–Au angle of 137.24°. Two symmetrically arranged CO<sub>2</sub> ligands retain near-linear geometries, demonstrating charge distribution symmetry. At just 0.09 eV above 3A<sup>+</sup>, isomer 3B<sup>+</sup> (C<sub>s</sub> symmetry, singlet state) contains a central cyclic CO<sub>3</sub>-like unit bonded to the gold atom through a 1.99 Å Au–O bond, resembling the coordination in 1C<sup>+</sup>. Two nearly linear CO<sub>2</sub> molecules are symmetrically positioned on either side. Isomer 3C<sup>+</sup> (C<sub>1</sub> symmetry, singlet state) lies 1.18 eV above 3A<sup>+</sup> in energy and contains a central gold atom bonded to a single oxygen atom at a bond length of 1.91 Å. Surrounding the central unit are three CO<sub>2</sub> molecules with slightly bent geometries, displaying O–C–O bond angles of 179.70°, 178.78°, and 177.23°. Isomer 3D<sup>+</sup> (C<sub>1</sub> symmetry, triplet state), lying 3.54 eV above 3A<sup>+</sup>, has an overall structure similar to 3A<sup>+</sup> but lacks any symmetry and features longer Au–O distances.

Comparison of anionic and cationic clusters reveals that all ground-state anionic clusters with  $n = 1-3$  adopt singlet spin multiplicities, whereas the cationic counterparts consistently favor triplet states. In the anionic complexes, the additional electron density promotes the formation of distorted CO<sub>3</sub>-like units that strongly coordinate with Au, particularly in the lowest-energy isomers. In contrast, many cationic isomers, especially in higher-lying isomers, exhibit less perturbed, nearly linear CO<sub>2</sub> geometries. Consequently, anionic clusters display more pronounced structural distortions and stronger Au–CO<sub>3</sub> interactions, whereas cationic clusters tend to maintain the linear geometry of CO<sub>2</sub> throughout.

### 3.2 Activation and bonding indicators

To further elucidate the evolution of structural stability in the AuO(CO<sub>2</sub>)<sub>*n*</sub><sup>−/+</sup> ( $n = 1-3$ ) clusters, we evaluate the 298 K thermodynamics using two complementary quantities.

The total binding free energy referenced to AuO<sup>−/+</sup> and  $n$  isolated CO<sub>2</sub> molecules is

$$G_{\text{bind}}(n) = [G_{298}(\text{AuO}^{-/+}) + n G_{298}(\text{CO}_2)] - G_{298}(\text{AuO}(\text{CO}_2)_n^{-/+})$$

where a more positive value of  $G_{\text{bind}}$  indicates stronger stabilization relative to the separated components.

The stepwise adsorption free energy for adding CO<sub>2</sub> is defined as:

$$\Delta G_{\text{ads}}(n) = G_{298}((\text{AuO}(\text{CO}_2)_n)^{-/+})_{\text{min}} - G_{298}((\text{AuO}(\text{CO}_2)_{n-1})^{-/+})_{\text{min}} - G_{298}(\text{CO}_2)$$

Negative values indicate thermodynamically favorable adsorption.

As summarized in Table 2, for the anions,  $G_{\text{bind}}$  is 86.45 kJ mol<sup>−1</sup> at  $n = 1$ , increases to 112.19 kJ mol<sup>−1</sup> at  $n = 2$ , and then decreases to 84.99 kJ mol<sup>−1</sup> at  $n = 3$ . The

corresponding  $\Delta G_{\text{ads}}$  values are −86.45, −25.74, and +27.20 kJ mol<sup>−1</sup>, indicating pronounced site saturation that adsorption of the third CO<sub>2</sub> is no longer favorable at 298 K. For the cations,  $G_{\text{bind}}$  is 99.94 kJ mol<sup>−1</sup> at  $n = 1$ , increases to 104.70 kJ mol<sup>−1</sup> at  $n = 2$ , and slightly decreases to 101.03 kJ mol<sup>−1</sup> at  $n = 3$ . The corresponding  $\Delta G_{\text{ads}}$  values are −99.94, −4.75, and +3.67 kJ mol<sup>−1</sup>. This gentle evolution is consistent with predominantly electrostatic end-on coordination that keeps CO<sub>2</sub> nearly linear.

To connect these thermodynamic trends with bonding, Table 3 reports activation indicators for the coordinating CO<sub>2</sub> in each lowest-energy structure. The indicators include the fragment charge  $\Delta q_{\text{CO}_2}$  from NPA, the deviation from linearity  $\delta\theta$ , the shift of the asymmetric stretch  $\Delta\nu_{\text{as}}$ , and Wiberg indices from NBO.

For anions, the indicators substantiate the stability evolution. In 1A<sup>−</sup> the coordinating CO<sub>2</sub> accepts about 0.50e, deviates by 47.75° from linearity and shows a very large red shift of −1138.84 cm<sup>−1</sup>, with Au–O Wiberg 0.260 and Au–C essentially zero. In 2A<sup>−</sup> two motifs coexist. One molecule forms an O–C linkage with the AuO oxygen, characterized by Wiberg O<sub>AuO</sub>–C 1.031 together with  $\Delta q_{\text{CO}_2}$  −0.566e,  $\delta\theta$  51.78° and  $\Delta\nu_{\text{as}}$  −679.76 cm<sup>−1</sup>. The other binds through carbon to Au with Wiberg Au–C 0.538,  $\Delta q_{\text{CO}_2}$  −0.522e,  $\delta\theta$  39.14° and  $\Delta\nu_{\text{as}}$  −510.59 cm<sup>−1</sup>, accompanied by moderate Au–O contacts. In 3A<sup>−</sup> the third CO<sub>2</sub> closes a eight-membered ring *via* multiple bridging oxygens. No Au–C bond is present. The three CO<sub>2</sub> units still accept charge but with smaller magnitude on average, and their asymmetric stretches lie at 1768 to 1831 cm<sup>−1</sup> giving red shifts of −642 to −579 cm<sup>−1</sup>. These signatures are weaker than in 1A<sup>−</sup> and match the onset of site saturation and the positive  $\Delta G_{\text{ads}}$  for the third CO<sub>2</sub> adsorption.

Cationic clusters show weak end-on electrostatic coordination. In 1A<sup>+</sup> the CO<sub>2</sub> fragment is nearly linear,  $\delta\theta$  1.8°.  $\Delta q_{\text{CO}_2}$  + 0.12e and  $\Delta\nu_{\text{as}}$  + 38 cm<sup>−1</sup>. Wiberg Au–O about 0.05 and Au–C essentially zero, which indicates Au–O-dominated contact. In 2A<sup>+</sup> both CO<sub>2</sub> molecules remain almost linear,  $\delta\theta$  up to 2.8°.  $\Delta q_{\text{CO}_2}$  values + 0.005 and +0.069e,  $\Delta\nu_{\text{as}}$  values + 7 and +29 cm<sup>−1</sup>. Wiberg Au–O is 0.004 for unit *a* and 0.052 for unit *b*, while Au–C is negligible. In 3A<sup>+</sup> three nearly linear CO<sub>2</sub> units are retained.  $\Delta\nu_{\text{as}}$  spans 0 to 25 cm<sup>−1</sup>. Only unit *c* shows an appreciable Au–O contact with Wiberg 0.052. These indicators agree with the gentle thermodynamic evolution in Table 2, where  $\Delta G_{\text{ads}}$  changes from −99.94 to −4.75 to +3.67 kJ mol<sup>−1</sup>, and they explain the persistence of linear CO<sub>2</sub> in the cationic structures.

Taken together, the activation indicators give a consistent picture. In the anionic clusters, the coordinating CO<sub>2</sub> shows pronounced bending and strong red shifts, together with substantial charge uptake and non-negligible Au–O and Au–C Wiberg bond orders. These signatures weaken at  $n = 3$ , in line with the onset of site saturation. In the cationic clusters, CO<sub>2</sub> remains nearly linear with small or positive  $\Delta\nu_{\text{as}}$ , minimal charge transfer, and very low Au–O bond orders. Hence, the charge state governs the activation strength, and increasing ligand number attenuates all indicators.



## 4 Conclusion

This study systematically investigates the geometric and electronic properties of  $\text{AuO}(\text{CO}_2)_n^{-/+}$  ( $n = 1-3$ ) clusters using density functional theory. The analysis of various isomers reveals that the coordination of the AuO unit with  $\text{CO}_2$  induces significant structural distortions and diverse binding motifs, which vary with both the charge state and the cluster size. For the anionic clusters, strong Au coordination results in pronounced distortions of the  $\text{CO}_2$  moiety, leading to  $\text{CO}_3$ -like configurations together with larger charge acceptance on the coordinating  $\text{CO}_2$  and enhanced Au–O bonding signatures. Thermodynamic analysis at 298 K, adsorption of the first two ligands is favorable, whereas the third ligand becomes unfavorable, indicating clear site saturation. In contrast, the cationic clusters exhibit more localized charge distributions, with the  $\text{CO}_2$  molecules largely retaining their near-linear geometries and experiencing only minor perturbations upon coordination. The thermodynamic evolution with increasing ligand number is gentle for cations, and the third adsorption is slightly unfavorable at 298 K. NPA and NBO analyses corroborate this picture by showing modest charge transfer and low Au–O bond orders for cations, in contrast to stronger charge acceptance and higher Au–O bond orders for anions. Size-dependent structural evolution is evident, with ring-closure motifs emerging at  $n = 3$ . These findings deepen the mechanistic understanding of  $\text{CO}_2$  activation on gold-oxide clusters and provide guidance for designing Au-based motifs in which charge state and site saturation jointly govern adsorption thermodynamics and bonding.

## Conflicts of interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

## Data availability

The data supporting this article have been included as part of the supplementary information (SI). Supplementary information: (i) Table S1 summarizing method sensitivity for  $\text{AuO}(\text{CO}_2)_n^{-/+}$  ( $n = 1-3$ ); (ii) Table S2 reporting percent differences in Au–O bond lengths and O–C–O angles for each method combination relative to B3LYP/def2-TZVP; and (iii) optimized Cartesian coordinates of the low-lying  $\text{AuO}(\text{CO}_2)_n^{-/+}$  isomers at the B3LYP-D3/def2-TZVP level. See DOI: <https://doi.org/10.1039/d5ra04472c>.

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