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Multicomponent Hosomi–Sakurai reaction on chiral, bio-based, alcohols†

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The multicomponent Hosomi–Sakurai reaction, which gives homoallyl ethers from aldehydes, trimethylsilyl ethers and allyltrimethylsilane, was thoroughly studied using a bio-based aldehyde (protected 5-(hydroxymethyl)tetrahydrofuran-2-carboxyaldehyde) along with trimethylsilyl ethers derived from a variety of (mainly bio-based) alcohols. For comparison, a reactive aromatic aldehyde was also employed. These studies helped to identify the optimal conditions for each aldehyde and provided insight into the scope and limitations of this relatively underexplored multicomponent reaction, one of the few that can incorporate alcohols as diversity inputs.

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Introduction

Multicomponent reactions (MCRs) are a powerful tool for the diversity-oriented preparation of complex organic molecules from easily available starting materials, with high atom- and step-economy.^{1–3} These features make MCRs also very useful in green chemistry, fulfilling several of its principles.⁴ The sustainability of this approach can be further enhanced when some or all of the components are bio-based, that is derived from renewable natural sources.⁵

So far, the exploitation of bio-based starting materials has been mainly focused on the production of low-cost commodities and materials, such as biopolymers.⁶

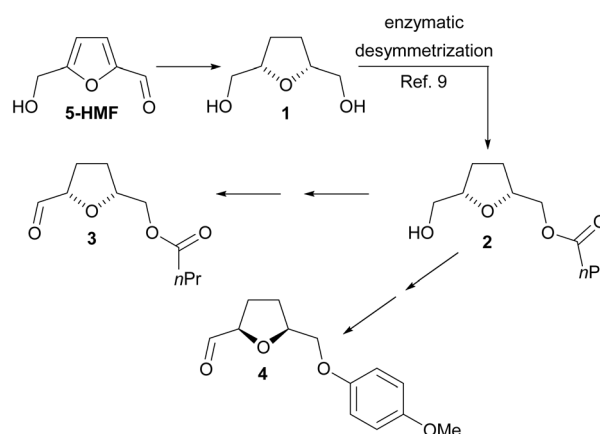
However, it is of great interest to expand their use also in the preparation of bio-based fine chemicals (*e.g.* Active Pharmaceutical Ingredients) with high added value. The diversity-oriented synthesis of complex molecules from biomass is predestined to become an important tool in relieving dependence from oil.

In this context, in recent years we have often investigated the use of bio-based reagents in the Passerini,^{7–10} Ugi,^{11–14} and Ugi-Joullié¹⁵ MCRs. In all these efforts, the bio-based components were carbonyl compounds, amines, carboxylic acids or isocyanides, but never alcohols. Although alcohols are probably the most abundant among low-cost natural substances,

multicomponent reactions that exploit these building blocks are very rare, unless they are *in situ* converted into carbonyl compounds.^{16–19}

Recently, we have pointed our attention to the use, in multicomponent reactions, of aldehydes **3** and **4**, which can be derived, in high enantiomeric excess, from 5-hydroxymethylfurfural (5-HMF). 5-HMF is in turn one of the twelve most interesting bio-based compounds accessible by dehydration processes of lignocellulosic biomass^{20,21} and it can be further converted to the *meso* diol **1** by catalytic hydrogenation.^{22,23} In 2020, we have reported the successful bio-catalytic desymmetrization of **1** to give aldehydes **3** and **4** (Scheme 1), which have been employed in Zn(II)-mediated diastereoselective Passerini reactions.⁹

In order to extend the potential applications of **3** and **4**, and given our continuous interest in MCRs, as well as in their stereochemical aspects,^{24–26} we were interested to explore other



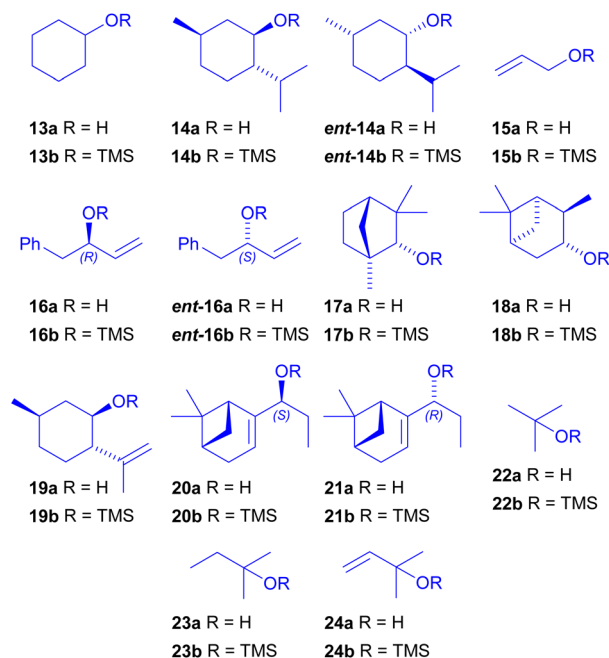
Scheme 1 Previously reported conversion of diol **1** into desymmetrized aldehydes **3** and **4**.

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† Electronic supplementary information (ESI) available: Procedures, optimizations, characterization data, NMR spectra, details of HPLC analyses, and discussion on the assessment of relative configuration. See DOI: <https://doi.org/10.1039/d5ra03165f>





Scheme 5 Alcohols and TMS ethers used in multicomponent Hosomi-Sakurai reactions of Tables 3 and 4.

Also Markó *et al.*³⁴ have reported low yields under the same conditions using α -alkoxyaldehydes. These authors suggested that the low yield could be due to side reactions occurring during work-up and that the product could be converted into hemiacetal **VIII** because of the presence of triflic acid. Although we could detect the acetal **VI**, the hemiacetal **VIII** was never observed, and it is likely to be unstable. Finally, from our evidence, the formation of product **VII** seems to be irreversible under the reaction conditions.

Reasoning that these disappointing results could stem from the particular nature of our aldehyde, we tested 6-bromopiperonal **9**, and the expected product **12a** was eventually obtained in 91% yield in a short reaction time. Aldehyde **9** is non-enolizable, does not contain potentially reactive group, and is also activated towards this reaction, since the dioxolane group can stabilize the intermediate oxocarbenium ion.

This last data pointed out that the conditions that are efficient for “easy” aldehydes, such as **9**, may be not well suited for more complex, aliphatic and not activated aldehydes. We tried to decrease the amount of catalyst to 0.1 equiv. but the reactions became too slow with **4**, and even with **9** it was far from completion after 24 h.

We then used **9** to explore potential substitutes for TMSOTf, aiming to identify a less aggressive Lewis acid that could also work effectively on “difficult” aldehydes like **4** (Table 1). None of them were effective in promoting the reaction, and only using stoichiometric Bi(OTf)₃ we observed tiny traces of the product (entry 3). It is worth noting that Bi(OTf)₃ and iodine left the

Table 1 Screening of the catalysts using 6-bromopiperonal **9** and cyclohexanol TMS ether **13b**^a

Entry	Catalyst (equiv.)	Yield ^b of 12a (%)	Unreacted aldehyde ^b (%)
1	TMSOTf (0.3) ^c	^d 91%	—
2	Bi(OTf) ₃ (0.3)	0%	93%
3	Bi(OTf) ₃ (1.1)	2%	90%
4	BF ₃ ·Et ₂ O (1.1)	0%	66%
5	SnCl ₄ (1.1)	0%	37%
6	TiCl ₄ (1.1)	0%	26%
7	I ₂ (0.1)	0%	93%

^a 6-Bromopiperonal **9** (0.30 mmol, 1 equiv.), CyOTMS (1.5 equiv.), Allyl-TMS (1.5 equiv.), catalyst (equiv. indicated in the Table), CH₂Cl₂ (1.5 mL), −78 °C, 5 h. ^b Calculated by ¹H NMR of the crude using 3,4,5-trimethoxybenzyl alcohol as internal standard (see ESI). ^c Freshly prepared 0.3 M solution in CH₂Cl₂. ^d Isolated yield.

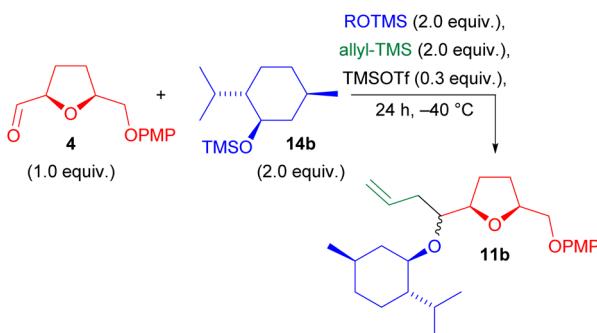
aldehyde untouched (entries 2, 3 and 7). On the other hand, stronger Lewis acids led to consumption of the aldehyde, but affording other unidentified products (entries 4–6).

Thus, we returned to using TMSOTf and aldehyde **4**, focusing on optimizing the reaction conditions. This time, we decided to use the TMS ether of (−)-menthol, a cheap bio-based substrate (Table 2). First of all, we noticed that, contrary to aldehyde **9**, the reaction was too slow at −78 °C, and substantial amount of the acetal **VI** (Scheme 4) were isolated. Thus, we decided to work at −40 °C. At this temperature, the multicomponent adduct **11b** was formed in slightly better, but still unsatisfactory, yield, although with a good d.r. (entry 1). A possible explanation of this low yield was attributed to the presence of water. We indeed noted that aldehyde **4** has a strong tendency to stay in its hydrated form thanks to a 5-membered intramolecular hydrogen bond involving the tetrahydrofuran oxygen. Water may be detrimental by destroying the catalyst, at the same time producing triflic acid, which in turn may cause opening of the tetrahydrofuran ring. Actually, during the first set of experiments, we always observed that the recovery of the crude product was much lower than the theoretical, likely because opening of the ring would afford highly polar adducts, which are lost in the aqueous phase during extraction.

In order to overcome these problems, we adopted two strategies: (a) pre-treat the aldehyde with dessicants, to force its dehydration; (b) add a non-soluble base, such as CaCO₃, able to buffer the system without suppressing catalyst activity. These two changes turned out to be beneficial for the yield. On the other hand, the change of solvent from CH₂Cl₂ to toluene was beneficial from the point of view of stereoselectivity (entry 3 vs. entry 4). Further decrease of polarity of the solvent (entry 6) was not useful.

§ Markó *et al.* reported that the yield could be improved by a basic non aqueous work-up (pyridine³⁴ or EtN(*i*Pr)₂) (ref. 33). However, in our hands, these basic work-up conditions were influential, whereas addition from the beginning of those bases suppressed completely the activity of the catalyst.



Table 2 Optimization of the reaction conditions using aldehyde **4** and (–)-menthol TMS ether **14b**^a


Entry	Aldehyde pre-treatment	Solvent	Additive	Yield ^b	d.r. ^c
1	None	CH ₂ Cl ₂	None	20%	81 : 19
2	None ^d	Toluene	None	20%	92 : 8
3	3 Å MS ^e	CH ₂ Cl ₂	None	67%	81 : 19
4	3 Å MS ^e	Toluene	None	58%	92 : 8
5	P ₂ O ₅ ^f	Toluene	None	— ^g	—
6	3 Å MS ^e	Pentane/toluene 85 : 15	None	54%	92 : 8
7	3 Å MS ^e	THF	None	20%	84 : 16
8	3 Å MS ^e	Toluene	CaCO₃ (0.3 equiv.)	^h71%	92 : 8
9	3 Å MS ^e	Toluene	CaCO ₃ (1.0 equiv.)	57%	92 : 8
10	3 Å MS ^e	Toluene ⁱ	3 Å MS ⁱ	42%	92 : 8

^a Scale: 0.24 mmol of **4**. Concentration: 0.2 M. TMSOTf was used as a freshly prepared 0.3 M solution in CH₂Cl₂. ^b Determined by HPLC-UV using 1,2-dimethoxybenzene as internal standard (see ESI). ^c The diastereomeric ratio was determined by HPLC. ^d The aldehyde was used directly after chromatography. ^e After the chromatography the aldehyde was taken up in the desired reaction solvent, and kept overnight over freshly activated 3 Å MS (rods). ^f After the chromatography the aldehyde was kept overnight in a desiccator over P₂O₅ under vacuum. ^g Unreacted aldehyde was detected by TLC. ^h Isolated yield on 0.50 mmol was 65%. ⁱ 55 mg of powdered 3 Å MS were added to the reaction mixture.

The best way to dehydrate aldehyde **4** makes use of molecular sieves, whereas drying in dessiccator on P₂O₅ was ineffective (entry 5). Addition of molecular sieves in the reaction mixture was deleterious (entry 10): it is better to use them to dry the aldehyde, but not to have them left during the reaction. A breakthrough result was obtained using CaCO₃ as insoluble buffering agent (entries 8 and 9) and 0.3 equiv. seemed the optimal amount.

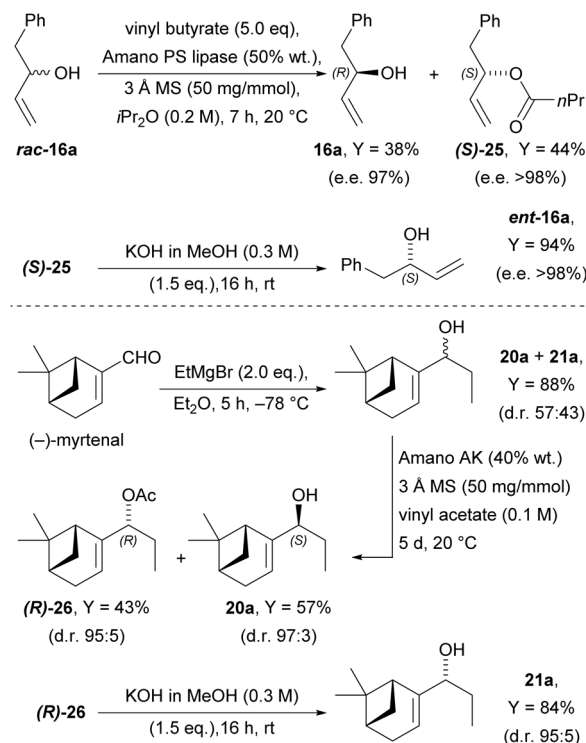
With this optimization, the yield was increased to 71%, with an excellent diastereoselective ratio (92 : 8) (entry 8).

Having identified the optimal conditions for **4** (Table 2, entry 8) and **9** (Table 1, entry 1), we moved to the reaction scope (Scheme 5) testing primary (**15b**), secondary (**13b–14b** and **16b–21b**) and tertiary (**22b–24b**) silyl ethers. As can be seen, most of the secondary alcohols were chosen due to their natural origin. All chiral alcohols of Scheme 5 employed were enantiopure.

Apart from allyloxytrimethylsilane **15b**, trimethylsilyl ethers are not commercially available, but they can be easily prepared from the corresponding alcohol as above described.⁴³

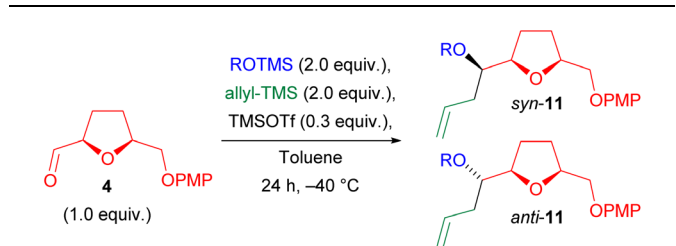
Some of the alcohols were commercially available: cyclohexanol **13a**, (–)-menthol **14a**, (+)-menthol **ent-14a**, (+)-fencyl alcohol **17a**, (–)-isopinocampheol **18a**, (–)-isopulegol **19a**, and tertiary alcohols **22a–24a**. The others were prepared as reported in Scheme 6.

The racemic alcohol **rac-16a** was obtained in one step from phenylacetaldehyde⁴⁴ and resolved by biocatalysis using Amano



Scheme 6 Synthesis of non-commercial alcohols.



Table 3 Scope of multicomponent Hosomi–Sakurai reactions of aldehyde **4** and allyltrimethylsilane with the silyl ethers depicted in Scheme 5^a

Entry	TMS ether	Prod	Special conditions	Yield ^b	Syn/anti ratio ^c
1	13b	11a	None	70%	65 : 36 ^d
2	14b	11b	None	65%	92 : 8 ^e
3	ent-14b	11c	None	70%	32 : 68 ^d
4	15b	11d	None	^f <21%	n.d
5	15b	11d	0 °C	55%	48 : 52 ^d
6	16b	11e	None	66%	57 : 43 ^d
7	ent-16b	11f	None	57%	27 : 73 ^d
8	17b	11g	None	66%	90 : 10 ^e
9	19b	—	None	— ^g	n.d
10	20b	—	None	— ^h	n.d
11	21b	—	None	— ^h	n.d
12	22b	—	None	— ⁱ	n.d
13	24b	—	None	— ⁱ	n.d

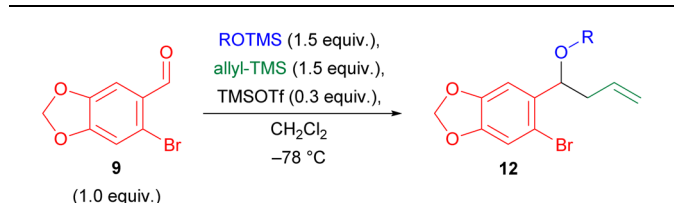
^a Standard condition of entry 8 of Table 2 were followed, unless otherwise noted. ^b Isolated yield. ^c The diastereomeric ratio was determined by HPLC or ¹H NMR (see ESI for details). ^d *Anti* isomer was faster running in TLC (PE/Et₂O). ^e *Syn* isomer was faster running in TLC (PE/Et₂O). ^f The acetal **VI** was the main product (79 : 21 ratio acetal **VI**/**11d**). ^g Prins adduct **30** was formed (see text). ^h A dimeric ether was formed (see text). ⁱ No reaction.

PS lipase.⁴⁵ The non-reactive enantiomer **16a**⁴⁶ was isolated with excellent yield and e.e., whereas the acylated (*S*)-**25** was hydrolysed under basic conditions affording the other enantiomer **ent-16a** in excellent yield and e.e.⁴⁷

Although the diastereomeric secondary alcohols **20a–21a** were previously synthesized by Seebach by addition of diethylzinc under asymmetric catalysis,⁴⁸ we found more convenient a biocatalytic approach. The addition of EtMgBr to commercially available (–)-myrtenal **25** proceeds in high yield, but with poor substrate induced diastereoselectivity and a 57 : 47 mixture of inseparable epimers was detected by ¹H NMR.

We exploited again the use of biocatalysis to selectively convert one epimer into the acetate, which can be easily separated from the unreacted epimeric alcohol by chromatography. We ran a screening of lipases (see ESI†) and Amano AK lipase emerged as the best in terms of selectivity and reaction rate, giving selectively alcohol **20a**. Finally, the acetate group of (*R*)-**26** was removed under basic hydrolysis affording the corresponding alcohol **21a** in excellent yield. The configuration of epimeric **20a** and **21a** was assessed by Mosher's esters analysis (see ESI†) and the results are in agreement with the Kazlauskas's rule for lipase preference in acylation of secondary alcohols.⁴⁹

The results of multicomponent Hosomi–Sakurai reaction with the TMS ethers shown in Scheme 5 are reported in Table 3

Table 4 Scope of multicomponent Hosomi–Sakurai reactions of aldehyde **9** and allyltrimethylsilane with the silyl ethers depicted in Scheme 5^a

Entry	TMS ether	Product	Time	Yield ^b	D.r. ^c
1	13b	12a	5 h	91%	—
2	14b	12b	4 h	87%	55 : 45
3	15b	12c	2 h	82%	—
4	ent-16b	12d	1 h	89%	82 : 18
5	17b	12e	1, 5h	60%	52 : 48
6	18b	12f	6 h	49%	52 : 48
7	19b	—	4 h	— ^d	—
8	23b	—	6 h	No reaction	—

^a Standard condition of entry 1 of Table 1 were followed, unless otherwise noted. ^b Isolated yield. ^c The diastereomeric ratio was determined by HPLC (see ESI). Relative configuration not determined. ^d Prins products were formed (see text).

for chiral aldehyde **4** and in Table 4 for achiral 6-bromopiperonal **9**. Ethers **12a** and **12c** were obtained as racemic mixtures. All the remaining ethers **11** or **12**, derived from chiral enantiopure substrates were obtained as pure enantiomers.¶

Allyloxytrimethylsilane **15b**, a primary silyl ether, afforded the expected product in excellent yield by reaction with **9** (Table 4, entry 3). On the other hand, starting from **4**, and using standard conditions (–40 °C), the ¹H NMR of the crude product showed a 79 : 21 ratio of acetal **VI**/**11d**. However, when we submitted again the crude product to the same reaction conditions (catalyst, allyl trimethylsilane, –40 °C), a progress of the reaction was observed (45 : 55 acetal **VI**/**11d**). This confirms that the acetal is a possible intermediate of the reaction. We postulated that in the case of primary groups, the acetal has a lower tendency to give the key intermediate oxocarbenium ion **V**, due to a lower benefit following the steric release. In this scenario, a higher temperature might be beneficial; in fact, the desired products were obtained in 55% yield when the reaction was carried out at 0 °C (entry 5). The diastereomeric ratio was near to 1 : 1. However, the epimers can be easily separated by chromatography, as in all the other examples of Table 3, and this was crucial for the assessment of the relative stereochemistry (see below).

¶ Loss of enantiopurity under reaction conditions is very unlikely and was not observed by HPLC with chiral stationary phase (see ESI†). In the case of aldehyde **4**, which has two stereogenic centers, no epimerization was observed in all cases. Racemization would imply simultaneous inversion of both stereocenters, which is impossible. Furthermore, in reaction of **4** with chiral TMS ethers (e.g. **14b**), more than 2 diastereomers would have been observed, and this was not the case. Racemization of chiral TMS ethers is also highly unlikely, and again, in reactions with aldehyde **4**, this would have led to more than two diastereomers.



Secondary silyl ethers proved to be the best partner in the Hosomi–Sakurai multicomponent reaction and a good variety of bio-based silyl ethers were employed.

As expected, the reactions starting from 6-bromopiperonal **9** were cleaner and the yields were higher than those from aldehyde **4**. This is due to the high reactivity of **9**, which allowed the reactions to be performed at $-78\text{ }^{\circ}\text{C}$ in few hours, whereas **4** required a higher temperature ($-40\text{ }^{\circ}\text{C}$) and longer reaction times. Anyway, even TMS ethers of bulky secondary alcohols were able to afford in satisfactory yields adducts **11** or **12** starting from both aldehydes.

With the aim to explore post MCR cyclizations based on ring closing metathesis (RCM), we were particularly interested in TMS ethers containing double bonds, especially those of natural origin, such as **19b–21b**. However, the outcomes were somehow surprising. While allyl ethers **16b** and *ent*-**16b** led to the expected products, starting from allyl ethers **20b** and **21b**, we could only isolate, either for **4** or **9**, a diastereomeric mixture of ethers **27** (Scheme 7), together with unreacted aldehyde.

Ethers **27** may derive from the formation of the carbocation **28**. The different behaviour of **20b–21b** compared to **16b**, may be due to a subtle difference in stability of carbocation **28** compared to **29**. Indeed, carbocation **28** has two resonance forms that are both secondary, whereas one of the forms of **29** is primary (Scheme 7).

Also the failure to obtain the expected products using tertiary TMS ethers **22b–24b** may be ascribed to the formation of stable carbocations, which can lead to oligomerization–polymerization reactions, leaving the aldehydes unreacted.

In the literature, there is only one example of multicomponent Hosomi–Sakurai reaction employing a tertiary TMS ether, namely **24b**,³⁴ but, in our hands, we were not able to detect any multicomponent product with it. Also by removing the double bond, and using **22b** or **23b**, no product was obtained.

We can conclude that the reaction is possible unless the TMS ether is able to form a relatively stable carbocation, either tertiary or allylic and doubly secondary, such as **28**.

On the other hand, when using the TMS ether **19b**, obtained from (–)-isopulegol, aldehyde **4** was consumed, although not affording the expected product. In this case, after the formation of the oxocarbenium intermediate, an intramolecular Prins cyclization occurred affording compound **30**, that was isolated in 41% yield, along with minor amounts of its stereoisomers/regioisomers (Scheme 7).⁵⁰ The relative configuration of this interesting product, being (*R*) at the new stereogenic center, was assumed because the hydrogen bound to it seems to be axial from the coupling constants. Also with **9** a similar behaviour was observed, but the mixture was in this case more complex and we were not able to isolate a single isomer.

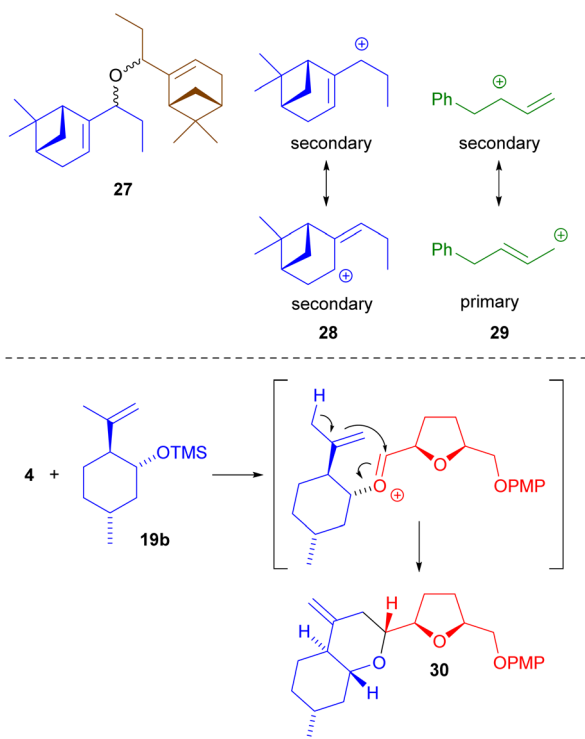
As far as it concerns diastereoselectivity, with achiral alcohols aldehyde **4** reacts giving typically low to moderate induction, in favour of the *syn* isomer. Interestingly, in our previous work concerning Passerini reaction with the same aldehyde, the *anti* isomers were instead favoured.⁹

With chiral TMS ethers, matched-mismatched cases were observed. It is well known that in “double asymmetric synthesis”, where two enantiopure substrates interact, the two diastereofacial selectivities may either oppose each other (“mismatched case”) or act in concert (“matched case”).^{51,52} In particular, with (–)-menthol **14b**, a matched case allowed to reach the highest d.r. of 92:8 for the *syn* product. With (+)-menthol *ent*-**14b** (mismatched case), the *anti* isomer was preferred, indicating that the main control was put forth by **14b**. With **16b** and *ent*-**16b**, a matched–mismatched combination was again in action, but this time the matched combination favoured the *anti* isomer of **11g**. With (+)-fenchyl alcohol **17b**, probably we are in presence of another matched case, although, due to unavailability of the (–) enantiomer, we could not demonstrate that.

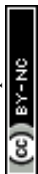
With 6-bromopiperonal **9** a good diastereoselectivity was achieved only with **16b** (or *ent*-**16b**, which gave identical d.r. ratios), whereas with other chiral TMS ethers, the asymmetric induction was low, even with (–)-menthol **14a** and (+)-fenchyl alcohol **17a**, which seemed quite selective with aldehyde **4**.

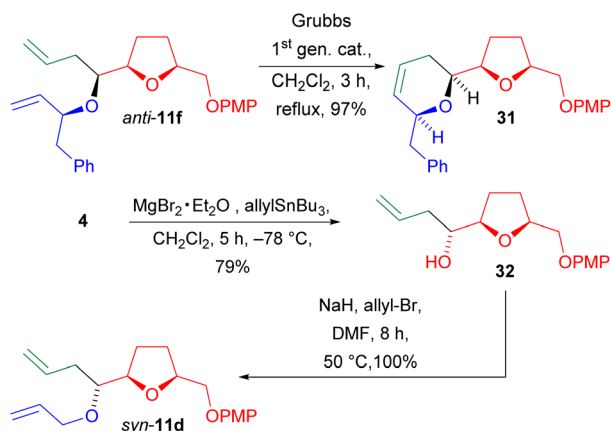
As a first preliminary example of post-MCR transformation, we carried out a cyclization through Ring Closing Metathesis on the *anti* stereoisomer of **11f** to give **31** as shown in Scheme 8, which involves cyclization of the *anti* stereoisomer of **11f** to give **31**.

The relative configuration of compounds **11f**, was assessed through NMR studies on dihydropyran **31**. In the case of **11d**, the relative configuration was demonstrated by an independent synthesis of the *syn* isomer from **32**, in turn obtained in high yield (79%) and d.r. (99:1) by allylation of aldehyde **4** with allyltributyltin at $-78\text{ }^{\circ}\text{C}$ under the catalysis of MgBr_2 (Scheme 8) to afford bicomponent product **32**, whose configuration was demonstrated by Mosher ester analysis (see ESI†). Moreover,



Scheme 7 Unexpected products of some multicomponent Hosomi–Sakurai reactions.





Scheme 8 Ring closing metathesis and transformations made for the determination of relative configuration.

although **32** is a new compound, the analogue with another protecting group (benzyl) has been previously obtained by the same allylation reaction and the configuration established by us is in agreement with the one proposed in that work.^{53,54}

For **11a–c**, and **11g**, we used NMR analogies with the spectra of **11d** and **11f** (see ESI†).

On the contrary, the relative configuration of ethers **12b**, **12d**, **12e**, **12f**, derived from 6-bromopiperonal **9**, was not determined.

Conclusions

In conclusion, we have carried out a comprehensive study on the scope and limitations of the multicomponent Hosomi–Sakurai reaction using TMS ethers of various alcohols, with a particular emphasis on those of terpenic origin. For this study, we employed two complementary aldehydes: one is aromatic and highly activated for this reaction, making it less critical, while the other, derived from biomass, is more critical due to being acid-labile and highly prone to hydration.

Nevertheless, we have successfully developed conditions well suited for both. As far as it concerns the alcohol counterpart, reactions works very well with TMS ethers of secondary alcohols, even the bulky ones, unless they are able to form relatively stable carbocations. TMS ethers of tertiary alcohols are not suited for this MCR. TMS ethers of primary alcohols may be used, but at higher temperatures, being inclined to form acetals too. Thus we have mainly focussed on secondary alcohols. The use of these conditions for other bio-based TMS ethers (including also carbohydrate-derived ones), in combination with various (biobased or not) aldehydes is in progress.

Data availability

The data supporting this article have been included as part of the ESI.†

Author contributions

Conceptualization: LB, RR, CL, AB. Funding acquisition: LB, RR. Resources: CL, LM. Data analysis: CL, LB, RR, LM.

Investigation: AC, LP, CL. Supervision: CL, LM. Writing of draft: CL, LB. Writing: editing and review: RR, LM.

Conflicts of interest

There are no conflicts to declare.

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