


 Cite this: *RSC Adv.*, 2025, **15**, 16392

# Vegetative and microbial proteins for bioplastics applications – a review in the indian context

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The generation of plastic waste is around 400 million tons per year. The non-degradable nature of fossil-derived plastics creates pollution, one of the most concerning environmental challenges faced by society, agencies, and governments today. A promising alternative to plastics is bioplastics. Bioplastics are biopolymer-based plastics derived from biomass or manufactured from the processing of monomers derived from biomass. Proteins are naturally occurring biomolecules that are one of the most suitable natural polymers for making bioplastics. In the form of films, proteins possess various desirable properties such as mechanical strength, gas impermeability, and durability. They are also renewable and easily accessible. Making bioplastics from wasted or unused protein sources is the ideal scenario. This review discusses the opportunities that come along with vegetative and microbial proteins to make bioplastics. It covers various sources for protein extraction, such as gluten, whey, zein, and soy from terrestrial sources and water hyacinth and duckweed from aquatic sources. It also discusses the methods of processing vegetative proteins to make bio-plastic products, the current challenges in employing bioplastics for typical applications, and the prospects to steer us towards a clean and sustainable future.

 Received 4th December 2024  
 Accepted 30th April 2025

DOI: 10.1039/d4ra08544b

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## 1. Introduction

The generation of plastic waste and consequent plastic pollution is one of the greatest threats to humans and nature. According to the United Nations Environment Program, the generation of plastic waste has crossed 400 million tons per year; moreover, only 10% of plastic waste generated to date underwent recycling.<sup>1</sup> Plastics are versatile, affordable, and durable and apply to almost everything around us. Among these, single-use plastics (SUPs), used once and then trashed, are of major concern. Most packaging applications use SUPs. Due to its convenience, SUPs have become an integral part of our life. However, these are now responsible for ~43% of total plastic waste in India.<sup>2</sup> A few examples of SUPs include low-density polyethylene, polypropylene, polystyrene, polyethylene terephthalate, and polyvinyl chloride, which can remain in nature for more than 450 years and emit greenhouse gases (GHGs); thus, there is a growing concern regarding their contribution to environmental pollution. Such issues

associated with SUPs call for a transition towards sustainable solutions.

India is the world's fifth-largest generator of plastic waste and plans to achieve net-zero emissions by 2070. Many countries, including India, impose restrictions on using SUPs to curb plastic pollution at different levels. Nonetheless, these restrictions have a meagre impact on the usage of SUPs due to the unavailability of alternatives on a large scale and at a competitive price. Among many proposed solutions, biodegradable and eco-friendly bioplastics are the leading ones, mainly derived from relatively fast-renewing resources such as first-generation feedstocks (potentially edible sources) and second-generation feedstocks (non-edible biowastes). Materials required for bioplastics come from various vegetative, non-vegetative, or microbial sources. These materials are natural polymers such as polysaccharides (starch, cellulose, pectins, hemicellulose) and proteins (pea protein, casein, zein, gluten, gelatin). They are processable to form films, membranes, and various other shapes. During film formation, the polymeric chains present in these materials interact intra- and inter-molecularly and form cross-links. These interactions and crosslinks lead to a partially rigid three-dimensional polymer matrix, which provides barrier properties and mechanical strength required for packaging applications.

According to the United Nations Food and Agriculture Organization (FAO), the Global generation of food-based biowastes is about 1300 million tonnes per year.<sup>3</sup> According to the Indian Council of Agriculture Research, India produces about

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350 million tonnes of agriculture biomass waste annually.<sup>4</sup> This biomass waste contains ~0.25–38 wt% of proteins, ~30–60 wt% of polysaccharides, and 10–40 wt% of lipids.<sup>5,6</sup> Traditionally, biomass waste is either discarded, burnt, or used for animal feeding. The circular economy model encourages the development of sustainable technologies that can turn biowaste into valuable products. One such example is the production of biodegradable SUPs from polymers extracted from biowastes and non-edible food sources.

Proteins are naturally occurring biomacromolecules that are suitable for making bioplastics. In the form of films, proteins possess various desirable properties such as mechanical strength, gas impermeability, and durability (after suitable processing or adding additives).<sup>7–11</sup> They are also renewable and easily accessible. The ideal possibility is to produce bioplastics from wasted or unused protein sources. However, proteins can come from both animals and plants. Recently, the use of plant-based and microbe-derived proteins for developing protein-based films has garnered interest due to their lower environmental impact and higher contributions to sustainability.<sup>12</sup>

Several reviews exist that focus on different aspects of the processing of proteins. Nonetheless, the complete picture of the production of bioplastics using plant-based protein sources is scattered. Beyond the survey of the current state-of-the-art to define opportunities and challenges present for protein-based bioplastics, the review aims to provide detailed information about all the major steps involved in producing plant-based protein bioplastics. Towards this end, the review first sheds light on the available and potential sources of vegetative and microbial proteins (*i.e.*, wheat gluten, potato, zein, soy, rapeseed, sunflower, protein, casein, whey, and proteins derived from algae, duckweed and water hyacinth) as per the Indian context. We also discuss extraction techniques to recover proteins from natural sources and the different processes (such as wet and dry) to produce protein-based bioplastics. Then, we detail the important processing methods and additives used for tuning the properties of bioplastics for desired end applications. In this regard, we also describe the main characteristics (properties) of protein-based bioplastics and characterisation techniques such as mechanical, rheological, thermal, and optical. In addition, we also illustrate the potential application areas and life-cycle analysis of protein-based bioplastics. Thus, the review aims to be a starting point for readers who wish to innovate protein-based bioplastics to replace fossil-derived polymers for typical daily applications.

It is important to note that the International Union of Pure and Applied Chemistry (IUPAC) defines bioplastics as “Biobased polymer derived from the biomass or issued from monomers derived from the biomass and which, at some stage in its processing into finished products, can be shaped by flow.”<sup>13</sup> IUPAC cautions that all the materials derived from biomass may not be environment-friendly and suggests using “bio-based polymers” instead of bioplastics. However, we choose to use the term “bioplastic” in this review as the usage of the term is more common than the term “bio-based polymer”. This review discusses the bioderived and biodegradable protein-based materials and refers to them as “protein-based bioplastics”.

## 2. Opportunities: protein as bioplastics

Proteins are complex macromolecules constructed from long chains of 20 distinct amino acids composed of carbon, hydrogen, oxygen, nitrogen, and sulfur.<sup>14</sup> Proteins self-assemble to establish an internal structural order at multiple length scales and create secondary and tertiary structures; thus, proteins differ from common polymers. The secondary structure ( $\alpha$ -helices or  $\beta$ -strands) arises due to the tendency of the protein chains to loop or coil together to form a stable structure *via* forming hydrogen bonds. Specific biological properties of proteins occur due to the tertiary structure of the protein, which is a collection of organised and unorganised sections of secondary structures. Due to the hierarchical organisation of proteins, their structures vary considerably and allow for self-assembly. The diverse amino acids present in proteins allow both functionalisation and structural tuning. Such modifications enable modulation of the protein's strength, stability, solubility, biocompatibility, and biodegradability; thus, they are promising candidates for creating bioplastics with advanced capabilities such as mechanical strength, gas impermeability, durability, nutritional values, stimuli responsiveness, and fabricability applied to develop high-tech, functional, and smart applications.<sup>15,16</sup> These applications include active packaging of foods, edible coatings, 3D printing, and biomedical tools.

Techniques for extracting plant protein at an industrial scale are well-established.<sup>17</sup> However, extracting proteins from plant biomass may denature the proteins and affect the functional properties of proteins.<sup>18</sup> Beyond plant proteins, proteins extracted from single-cell organisms or microbes (such as algae, bacteria, and yeast) can be a significant source of non-animal protein. Biosynthetic engineering approaches can modify microbes such as bacteria to produce high-strength proteins that mimic the structure of spider silk (one of the strongest proteins found in nature); such processes have the potential for scaling to industrial levels.<sup>19,20</sup> Further, biosynthetic approaches have the ability to produce proteins of defined internal sequences and structures exhibiting specific strength, stability, solubility, biocompatibility, and biodegradability. Engineered proteins obtained from microbes and crosslinked with smartly chosen crosslinkers are mechanically robust, with a fracture stress of ~54 MPa, better than common plastics such as polyvinyl chloride and polyvinyl alcohol.<sup>21</sup> Furthermore, the extraction of proteins from food wastes is also under investigation. We will discuss a few crucial processes in the later sections. Thus, providing physicochemical modifications or biosynthetically designed and expressed proteins and scalable production capabilities offer unique opportunities to produce bioplastics with proteins with tailored properties for different applications.

Synthetic plastic-based food packages may contain and shed microplastics in the foodstuffs.<sup>22</sup> Regular consumption of such foods may lead to serious health issues. On the other hand, humans and animals can efficiently metabolise proteins. Therefore, using protein-based bioplastics for food packaging offers a safe and sustainable alternative to synthetic bioplastics.



Further, protein-based plastics are also suitable for the active-packaging of food, which includes immobilisation and controlled release of ingredients such as natural antimicrobials (essential oils, botanical extracts, herbs, and antimicrobial peptides), natural antioxidants (vitamins C and E, carotenoids, flavonoids, phenolic acids, lignans, stilbenes, and essential oils), specific enzymes (glucose oxidase, lysozyme, laccase, and lipase) or provide gas selective permeability.<sup>23–26</sup> Such protein-based active-packaging will help extend the shelf-life of food products, improve food safety, reduce spoilage, and increase food security.

Most of the current efforts in developing bioplastics focus on carbohydrates, PVA, and PLA, which have many successful products out on the market.<sup>27</sup> However, there is a growing interest in utilising proteins to manufacture SUP packages mainly due to their excellent film-formation ability, biodegradability, and nutritional value.<sup>28</sup> Proteins have certain advantages due to several key reasons:

(1) Structural versatility: proteins possess a diverse and complex molecular structure, allowing for a broader range of potential bioplastic properties. This versatility is valuable for tailoring bioplastics to specific applications, such as packaging films.<sup>29</sup>

(2) Strength and durability: proteins generally exhibit high tensile strength, gas impermeability, and durability, which can be further enhanced using appropriate processing methods and additives.<sup>7–11</sup> These properties make protein-based bioplastics better suited for applications requiring sturdiness and resistance to wear and tear.

(3) Biodegradability: proteins often biodegrade faster than carbohydrates. If ingested by animals, they get digested, unlike non-biodegradable plastics that accumulate.<sup>30</sup> Many microorganisms can readily metabolise protein-based bioplastics, reducing their environmental impact when disposed of in a natural environment.

(4) Hygroscopic properties: protein-based bioplastics have tunable water retention properties. Depending on the protein's nature and the selected thermal treatment, water absorption values range from 40–320%. Rice protein bioplastics show the lowest water absorption values.<sup>31</sup> Soy-protein bioplastic samples typically show high water uptake values (200–700%). The high absorption capacity is due to the hydrophilic character of soy proteins, which absorb water into their structure.<sup>32</sup> This property is useful in developing water-absorbent materials for healthcare, agriculture, and horticulture applications, where water absorbency and retention are essential.<sup>31</sup> Proper processing of vegetative proteins can lead to superabsorbent materials.<sup>32</sup> On the other hand, hydrophobic proteins or hydrophobisation of proteins with lipids can lead to water-resistant protein-based SUPs.<sup>29,33</sup>

(5) Potential for bioengineering: proteins can be genetically modified to achieve specific properties, which can also be advantageous for customising bioplastics with desired characteristics.<sup>33–35</sup>

(6) Developing economic ecosystem: currently, many industrial wastes contain proteins. Some common examples are wheat gluten, which is a byproduct in the bio-ethanol

industry, and rice bran, which is one of the main byproducts in the process of rice milling, usually discarded as waste – making them a sustainable choice for bioplastic production.<sup>36</sup> Using such protein sources will allow us to add value to wastes and by-products, encouraging a sustainable ecosystem around them.

While carbohydrates, like starches, are currently the prime choice to create bioplastics, proteins offer a broader range of options for producing environmentally friendly materials with properties tailored to different applications. However, the choice between proteins and carbohydrates for bioplastic production should consider the specific requirements of the intended use and the sustainability goals.

### 3. From plants to bioplastics

#### 3.1 Overview

Protein is an essential biomacromolecule in all living things, such as plants (terrestrial and aquatic), single-cell species, and animals. The mechanical strength of the proteins derived from animal sources is higher than those derived from plant sources. Further, most plant-derived proteins dissolve in a limited number of solvents; thus, their processing is challenging.<sup>37</sup> Nonetheless, this review only discusses proteins obtained from non-animal sources: plants and single-cell species. Why not animal proteins for bioplastics and SUPs? Most of the population living in third world countries, including India, are protein-deficient, with insufficient protein reaching the plates daily to fulfil even the basic nutritional requirements.<sup>38,39</sup> Most of the protein that we consume comes from animal sources. Furthermore, ~25 kilocalories of fossil energy are required to produce 1 kilocalories of animal protein.<sup>40</sup> Therefore, using animal-derived proteins for bioplastic production is not a viable solution. Animal-derived proteins are prone to animal-borne diseases, and consumers may reject the bio-plastics made out of them due to their religious beliefs, ethical beliefs, or personal preferences.<sup>41,42</sup> Conversely, plant-derived and single-cell-derived proteins do not face these issues. Plant-derived proteins are more cost-effective and low on greenhouse gas emissions than animal-based proteins.<sup>43</sup> Plant-derived proteins are suitable for large-scale production from edible, non-edible, agri-waste, bio-refinery, and food waste. It is important to note that animal-derived proteins (*e.g.* keratin), which usually end up as waste, may find bioplastic applications.<sup>44</sup> Nonetheless, we limit our discussions in this review to proteins derived from protein-rich plants, plant products, and single-cell species, followed by a brief discussion on their extraction and processing. From source to bioplastics, the entire production process may consist of three major steps: (1) sourcing of raw materials (protein-rich plants and plant products), (2) extraction of proteins, and (3) their processing.

#### 3.2 Sourcing of raw material

Cultivation of protein-rich plants or sourcing protein-rich plant residues as a by-product from industries can be a sustainable source of raw materials for producing protein-based bioplastics.





**Fig. 1** Potential protein sources from terrestrial, aquatic, and single cells for bioplastic production. (1) Wheat, (2) Peas, (3) Cotton seeds, (4) Corn, (5) Soy, (6) Rice bran, (7) Sunflower, (8) Water hyacinth, (9) Duckweed, (10) Microalgae, and (11) Single-cell organisms. "Peas in a pod" by Shelley & Dave is licensed under CC BY-NC 2.0; "Wheat Stalks" by mrpbps is licensed under CC BY 2.0; "Mature cotton field, Cherokee County" by Martin LaBar is licensed under CC BY-NC 2.0; "corn" by seelensturm is licensed under CC BY 2.0; "soy milk" by mc559 is licensed under CC BY-NC-ND 2.0; "Rice grains with husks" by Victor Wong (sfe-co2) is licensed under CC BY-NC-SA 2.0; "Sunflower Field in Kansas" by ted\_M8 is licensed under CC BY-SA 2.0; "Common Water Hyacinth" by Dinesh Valke is licensed under CC BY-SA 2.0; "duckweed—浮萍". Plastic pollution is a global issue leading to ecosystem degradation, climate change crisis, and biodiversity loss. This review discusses plant and single-cell proteins as an eco-friendly and biodegradable alternative to common plastic materials. by jennyhsu47 is licensed under CC BY-NC-ND 2.0; "E. coli bacteria" by NIAID is licensed under CC BY 2.0; "Observation of a right-handed Spirulina" by Kaori Kamata, Zhenzi Piao, Soichiro Suzuki, Takahiro Fujimori, Wataru Tajiri, Keiji Nagai, Tomokazu Iyoda, Atsushi Yamada, Toshiaki Hayakawa, Mitsuteru Ishiwaru, Satoshi Horaguchi, Amha Belay, Takuo Tanaka, Keisuke Takano & Masanori Hangyo is licensed under CC BY 3.0.

Fig. 1 shows a few important protein-rich sources discussed in this review.

**3.2.1 Proteins from terrestrial plant sources.** This section discusses proteins obtained from seeds (grains and legumes) of important agricultural crops in India. Seeds primarily contain storage proteins – an immediate nutrient source during seed germination. These storage proteins can be divided into four categories based on their solubility (Osborne fractionation): (1) albumins (water-soluble proteins); (2) globulins (saline-soluble proteins); (3) prolamins (alcohol-soluble proteins); and (4) glutelins (alkali-soluble proteins). Table 1 shows the percentage of storage proteins available in specific seeds and respective Osborne fractionation. One may select a specific protein extraction process (discussed later) based on the Osborne fractionation to achieve optimised extraction.

**3.2.1.1 Wheat gluten.** Gluten is the rubbery residue obtained after washing the wheat dough. The residue contains 75–85% of protein (Table 1) and 5–10% of lipids; the remaining is primarily carbohydrates (starch and non-starch).<sup>57</sup> The wheat kernel contains 8–15% protein, of which 85–90% is gluten and 10–15% is albumin/globulin.<sup>45</sup> Gluten protein is a complex mixture of distinct proteins, mainly gliadin and glutenin components.<sup>45</sup> Again, these are monomers, oligomers and high molecular weight polymers linked by disulphide bonds.<sup>57</sup> Wheat gluten is often a by-product of the bio-ethanol industries.<sup>58</sup> It is a promising material due to its relative abundance, low cost, and favourable material properties. It also possesses good film-forming ability, with selective gas-barrier properties, UV-blocking properties, insolubility in water, and biodegradability.<sup>59,60</sup> Low-grade or unused wheat is



Table 1 Protein-rich terrestrial plants, their protein content in percentages, and Osborne fractionation in percentages of total protein

Source	Protein content	Osborne fractionation				References
		Albumin	Globulin	Prolamines	Glutelin	
Wheat	8–15	3–5	6–10	40–50	30–40	45 and 46
Pea protein	23–31	20	65		15	47 and 48
Zein from corn	6–12			Mostly prolamines		49
Kafirin from sorghum	8–9			Mostly prolamines		50
Soybean	33–49	10	90			48 and 51
Rice bran	10–15	4–37	5–36	1–6	11–80	46 and 52
Sunflower seeds	10–27	10–30	40–90	~5	~15	48 and 53
Cottonseed	30–40	21–32	33–64		9–28	54–56

used mainly as animal feed, and its use as raw material for bioplastic production would also increase its value. Thus, there is a growing interest in its potential application as a packaging material for the food industry. However, usage is somewhat restricted as the films formed are sensitive to humidity and absorb water when submerged.<sup>15</sup> Another issue limiting its usage is its association with celiac disease in humans, which is an autoimmune disorder affecting about 1% of the worldwide population.<sup>61</sup>

**3.2.1.2 Pea protein.** Peas have tremendous potential for protein extraction as they have the highest protein content of any legume. For instance, grass pea (*Lathyrus sativus*) contains around 23 to 31 wt% protein (Table 1).<sup>47</sup> Pea protein consists of four major protein classes: globulin, albumin, prolamin, and glutelin. Globulin and albumin contents are higher than the other two.<sup>62</sup>

Several established methods, including flocculation, exist for extracting pea protein,<sup>63</sup> in which dry pea seeds are ground into flour to obtain the protein. India grows peas on a large scale for human consumption, which are available at low prices. Pea protein has the potential to make edible bioplastics because of its low allergenicity,<sup>64</sup> which allows the manufacture of bioplastics for storing food without the fear of allergies. The constituents of peas are fibre, protein, and starch; all of these can have varied applications, which further increase the value of the final products.<sup>65,66</sup> Pea protein-based bioplastics are compatible with the injection moulding process. Further, different crosslinking methods can enhance its mechanical properties, such as deformability and antimicrobial properties (the review discusses this in later sections).<sup>67</sup>

**3.2.1.3 Zein.** Zein is a prolamin protein (composed of  $\alpha$ -zein,  $\beta$ -zein, and  $\gamma$ -zein) primarily found and extracted from the endosperm of corn, a commonly grown crop in India.<sup>68</sup> Zein contains a high proportion (50%) of non-polar hydrophobic amino acid residues, such as glutamine, leucine, proline, and alanine; thus, it is insoluble in water, contributing to the water vapour barrier properties of films, but it is soluble in alcohol.<sup>69</sup> Zein comprises 50–60 wt% of the protein in the corn kernel, where the protein content may range from 6–12% on a dry basis based on corn varieties (Table 1).<sup>49</sup> The potential application of zein as a biomaterial is due to its relative hydrophilicity and biocompatibility.<sup>70,71</sup> They also have a moderate moisture barrier, oxygen barrier and mechanical properties, resulting in

potential applications in textile and adhesive industries, where petroleum-based plastics are predominant.<sup>72</sup> Chemical cross-linking, such as treatment with glutaraldehyde, can improve zein-based films' strength and barrier properties.<sup>73</sup> Further, physical treatments such as irradiation can fine-tune the properties of zein films to meet product specifications.<sup>8</sup>

**3.2.1.4 Kafirin.** Kafirin is a sorghum prolamin protein. India, one of the world's top 10 sorghum producers, produced around 4.8 million tonnes of sorghum in 2021.<sup>74,75</sup> Sorghum contains ~11% protein, of which 70–80% is kafirin (Table 1).<sup>50</sup> Though kafirin is relatively more hydrophobic and less digestible than zein,<sup>76</sup> kafirin has superior water, gas, and lipid barrier properties.<sup>77</sup> Reports suggest that the kafirin has a glass transition temperature ( $T_g$ ) but varies significantly from 40 °C to 233.8 °C.<sup>78,79</sup> A limited number of reports discuss bioplastics made from kafirin. A few reports explored the solution casting method to create kafirin films, which include the dissolution of the protein in an organic solvent or acidic aqueous solutions, pouring the solution on a hydrophobic smooth surface, and evaporation of the solvent to produce a film.<sup>78,79</sup> However, kafirin can find applications in food packaging where gluten-free materials are required.

**3.2.1.5 Soy protein.** The soybean is a species of legume commonly grown for its edible bean. It is one of the most cultivated plants in the world. In India, it is grown in Maharashtra, Rajasthan, Madhya Pradesh, and Karnataka. It finds its uses in various preparations, such as soy milk, tofu, tofu skin, soy sauce, and fermented bean paste. Soy protein is a protein in soybeans that undergoes processing into three commercial products: soy flour, concentrates, and isolates. Soy protein isolate has been used commonly in foods for its functional properties for a long time. Soybeans are rich in proteins (33 to 49 wt%) (Table 1);<sup>51</sup> fat-free soybean meal is a significant source of protein for animal feeds. Protein extraction is an essential part of this industry. Alkaline extraction and isoelectric precipitation are the standard methods to extract pea protein. New extraction techniques have been developed, including enzyme-assisted extraction.<sup>80</sup> Soy protein contains high percentages of glutamic and aspartic acids, allowing the formation of hydrogen bonds, and it may find applications as superabsorbent material.<sup>81</sup> Reports also suggest that soy proteins are compatible with conventional processing methods such as injection moulding and extrusion.<sup>82,83</sup> A recent report



demonstrated the feasibility of making soy protein-based bioplastics using two prime byproducts of the soybean industry: soy whey and soybean pulp (okara) at an industrial scale.<sup>84</sup>

**3.2.1.6 Rice bran.** Rice bran comprises the outer brown layer of rice. It is a by-product when rice is processed to remove rice bran to obtain white rice. India produced 10.8 million tons of rice bran in 2017.<sup>85</sup> Rice bran is primarily used in making oil and as fodder for livestock. It contains 10 to 15% protein and is available cheaply (Table 1).<sup>52</sup> Rice bran protein precipitates when rice bran is suspended in an alkaline hexane solution. Extraction of protein from rice bran can further valorise this by-product. Several research efforts have focused on improving the product characteristics of rice bran protein-based bioplastics. Limited reports have shown bioplastics made from proteins extracted from rice bran. However, several works have explored the manufacture of bioplastics from defatted rice bran, which contains proteins and starches. These works indicate that the rice bran can undergo thermomechanical processes such as injection moulding and extrusion.<sup>86,87</sup> Further, mechanical properties such as the stiffness of rice bran-based bioplastics can elevate ~40% by incorporating minute amounts of natural filler materials (~2%) such as cellulose, flax and hazelnut shell.<sup>88</sup> A recent report showed that adding carboxymethyl cellulose can improve the film-forming properties of rice bran protein. Further, the addition of photocatalytic agents such as Zirconia can provide antibacterial properties to the bioplastics.<sup>89</sup>

**3.2.1.7 Sunflower protein.** Sunflower seeds find applications mainly in oil production. These seeds contain a high amount of protein (10–27 wt%) (Table 1),<sup>53</sup> thus justifying interest in this as a source of protein for the manufacture of bioplastics. As the primary use of sunflower seeds is in oil extraction, protein extraction from the residual cakes can reduce the waste generated. However, oil extraction uses organic solvents at high pressure and temperatures, which can modify the structure and functionality of proteins from sunflower seeds.<sup>90</sup> Further, phenolic compounds such as chlorogenic acid are still present in the protein due to interactions of phenolic compounds with proteins. Phenolic compounds possess excellent antioxidant properties.<sup>90</sup> Thus, protein from sunflowers is a potential candidate for bioplastic production for food packaging applications. The solution casting method is the prime method for producing sunflower protein films.<sup>90,91</sup>

**3.2.1.8 Cottonseed.** Cotton is a major cash crop, with around 25.89 million tonnes produced in 2021–22.<sup>92</sup> India is one of the major producers of cotton, and it produced 5.84 million tonnes during the year 2022–23, which is around 23% of the world's cotton production.<sup>93</sup> Cotton grows in a protective shell called a 'boll.' Inside a boll, cotton fibres cover the cottonseeds. A boll of cotton contains two parts of cotton fibres and three parts of cottonseeds by weight. The primary product from cottonseeds is oil, which is around 16% by weight. Other components of the cottonseeds are meal (45%), hull (25%), and linters (8%).<sup>94</sup> The cottonseed kernel contains 30–40% protein, which can find further applications (Table 1).<sup>54,55</sup> A harmful terpenoid (gossypol), which is cardio- and hepatotoxic to humans and other monogastric animals, may be present in cottonseed

meals; thus, it is unsuitable for food or feed applications. Cottonseed proteins also have a high plasticiser efficiency (>5), making it a suitable raw material for producing protein-based bioplastics for non-food applications.<sup>95</sup> Readers can find the definition of plasticiser efficiency in Section 4. Reports also indicate that the cottonseed proteins are compatible with solution-cast, compression moulding, and hot-press moulding methods.<sup>96–98</sup>

**3.2.2 Proteins from aquatic sources.** Aquatic plants like water hyacinth and duckweed contain a significant percentage of protein and grow fast. As these plants are not popular as food sources, they are an ideal source of protein to manufacture bioplastics. Further, both are leafy biomass, and proteins present in these are primarily of two types: green proteins (chloroplastic proteins from chloroplasts, which are responsible for photosynthesis) and white proteins, with the majority in the form of ribulose-1,5-bisphosphate-carboxylase/oxygenase (RuBisCo referred to as fraction I protein, which catalyzes carbon fixation in the photosynthetic cycle).<sup>46,99</sup> Methods to extract and process the proteins from aquatic environments exist, but much of the scope remains for further development.<sup>100</sup> New start-ups and corporations in India have recognised the untapped potential of these aquatic sources and taken to the production and commercialisation of seaweed-based bioplastics – Zerocircle is a prominent one.<sup>101,102</sup> These can bring massive change, such as a step away from plastic and a move towards sustainable materials. We discuss some important protein-rich aquatic plant sources below.

**3.2.2.1 Water hyacinth.** Though not an indigenous species to India, water hyacinth is an invasive aquatic weed that grows in water bodies across India. Water hyacinth is a floating aquatic plant that blocks the air–water interface, reducing dissolved oxygen levels and leading to the degradation of the water quality, reducing the species richness of the aquatic ecosystem. Since this plant invades large areas of open water, it produces enormous quantities of wasteful biomass. This weed is eradicated by removing it from water and discarding it as waste on land. Eradicating hyacinths is difficult, mainly due to environmental and financial challenges. Hence, there is a need for alternative solutions that are scalable, sustainable, and value-adding.

Locals in many regions of India, like Bengal and Kerala, have started using these resources to generate energy and produce biodegradable products.<sup>103,104</sup> Proximate analysis showed that the protein fraction in water hyacinth is about 8%, and in water hyacinth leaf protein concentrate (WHLPC) is about 50% of its nutrients. At the same time, carbohydrates, fat, ash and fibre comprise the remaining nutrients.<sup>105,106</sup> Further analysis showed that WHLPC contains 17 of 20 standard amino acids.<sup>106</sup> Hence, water hyacinth is a good source of protein and a potential raw material for bioplastics manufacturing. Packaging companies shifting towards seaweed-based packaging have also shown increasing interest towards other abundant, economically viable sources, such as hyacinths.<sup>107</sup> To date, no reports show the fraction of RuBisCo proteins present in water hyacinth and the applications of protein extracted from water hyacinth.



**3.2.2.2 Duckweed.** Duckweed is a fast-growing (doubling time 1.34 to 4.54 days) aquatic macrophyte,<sup>108</sup> and production rates can reach up to 193 tonnes/hectare/year of dried duckweed.<sup>109</sup> It grows on the surface of water bodies such as lakes and ponds. Like hyacinths, it covers the surfaces, causing oxygen depletion in the water. On the other hand, its fast growth could make it a useful resource that converts CO<sub>2</sub> into biomass. Also, duckweed has a high protein concentration (40 wt% of dry biomass) under nitrogen-rich growing conditions.<sup>110</sup> Current US and European investigations suggest it is a protein-rich superfood.

Nonetheless, duckweed grown in wastewater bodies will be inedible. These qualities make it an attractive raw material for making bioplastic films. A few reports showed dried duckweed biomass as a filler material to create bioplastic using biodegradable thermoplastics such as poly(lactic acid), polyhydroxyalkanoates, and cassava starch.<sup>110–112</sup> To date, no reports have shown the fraction of RuBisCo proteins present in water duckweed and the development of bioplastics from duckweed proteins.

**3.2.3 Single-cell protein (SCP).** SCPs are those proteins extracted from dead or dried cells of protein-rich microorganisms such as bacteria, cyanobacteria, fungi, yeast, and algae.<sup>113</sup> They are often a part of protein supplements in human food or animal feeds. These cells can grow on agricultural wastes and industrial by-products, such as starch-rich wastewater from potato processing plants, straw, molasses, animal manure, and sewage.<sup>114</sup> A detailed understanding of SCPs is available in excellent reviews by Bratosin *et al.*, Ritala *et al.*, and Li *et al.*<sup>115–117</sup>

Below, we briefly describe proteins from various single-cell sources.

**3.2.3.1 Bacterial sources.** Bacterial SCP contains high protein content (60–80 wt%) and essential amino acids.<sup>118</sup> Further, genetically modified bacteria can produce proteins with excellent mechanical properties.<sup>20</sup> Bacterial SCP may have several advantages over plant proteins: (1) under suitable conditions, single-cell species grow faster than plants; thus, they can produce proteins faster than plants. These bacterial SCPs can find applications as bioplastics without stressing the food supply chain; (2) microorganisms can grow on food wastes and do not require fertile lands or freshwater; thus, they do not compete with traditional agriculture but support waste management and waste valorisation; (3) cultivation of microorganisms does not require nitrogenous fertilisers; hence, they do not increase carbon footprints, (4) microbial growth is least affected by climatic conditions, and they can grow throughout the year in desired quantities, (5) fermentation and bioreactor technologies are well developed for both aerobic and anaerobic microbes; thus process modulation depending on requirements are possible, (6) bacterial SCP production can integrate well with waste treatment plants of the food industry or biorefineries. One unique advantage of bacterial sources is that they can be genetically modified using biosynthetic engineering approaches to produce high-strength proteins that mimic the structure of spider silk (one of the strongest proteins found in nature); such processes have the potential for scaling to

industrial levels.<sup>19,20</sup> Further, biosynthetic approaches can tune the genes of bacterial species to produce proteins with specific internal sequences and structures exhibiting specific strength, stability, solubility, biocompatibility, and biodegradability. A recent techno-economic analysis of SCP production using industrial off-gas through acetate-to-SCP fermentation processes suggests the production cost may be ~2.78 USD per kg of SCP.<sup>119</sup> Thus, they add value and promote circular bioeconomy concepts.<sup>118</sup>

**3.2.3.2 Microalgae and spirulina algal biomass.** Many different algae have emerged as novel raw material sources for bioplastics. Algae species are classified into macroalgae and microalgae. Microalgae are microscopic organisms that utilise solar energy and live in fresh, saline waters. Crops such as corn, wheat, and soy compete for resource distribution between bioplastic manufacturing and human consumption. Also, using polymeric compounds from plants to synthesise bioplastics is difficult due to the multi-layered cell walls.<sup>120</sup> Bioplastics made from food crops like corn also face the issues of poor water resistance and mechanical properties. On the other hand, algae can grow on waste resources and does not compete with traditional food sources. However, it is gaining popularity as a dietary supplement.<sup>121</sup> They also tolerate harsh environmental conditions and utilise carbon dioxide as a nutrient source for biomass production. Hence, they emerge as better alternatives for bioplastic production.<sup>122</sup>

Spirulina comprises a group of cyanobacteria (blue-green algae). Spirulina is cultivated worldwide as a whole food, dietary supplement, or feed supplement in the aquaculture, aquarium, and poultry industries.<sup>123</sup> Although it can grow in fresh and saline water, it grows more than other algae and microorganisms in alkaline bodies.<sup>124</sup> Spirulina is less susceptible to contamination than other algal species when grown as cultures.

The current production of spirulina is around 10 000 metric tonnes (dry biomass) per year, and consumed as a superfood.<sup>125</sup> Typically, such spirulina is cultivated in scientifically designed algae farms, whereas industries produce it on a large scale in raceway ponds, where wastewater may also be utilised.<sup>126</sup>

In India, research and commercial production on Spirulina started in the mid-1900s. It is marketed mainly as developed products, like tablets and capsules, by many pharmaceutical companies. Setting up a small-scale spirulina farm is particularly easy, and it has become common in many regions of India.<sup>127</sup>

Spirulina grows fast (doubling time is up to 2–3 hours), contains 60–70% (dry weight) protein and comprises almost all the amino acids, including the essential ones.<sup>128</sup> A recent work reported the Osborne fractionation of the extracted proteins: albumins 51.5%, globulins 2.4%, prolamins 46.1% and no glutelin.<sup>129</sup> Thus, it is an excellent raw material source to produce protein-based bioplastics. Recent works demonstrate the application of spirulina to make bioplastics and different techniques to enhance the mechanical properties of spirulina-derived bioplastics.<sup>130</sup>

*Chlorella vulgaris* is another important green microalgae that contains 42–58% (dry weight) of protein and is primarily used as



a fish meal.<sup>131</sup> This algae can be another excellent source of protein for creating protein-based bioplastics.

**3.2.3.3 Fungal biomass.** Fungi play an important role in the industrial fermentation processes. Many day-to-day products, including alcohol, glycerol, carbon dioxide, citric acid, gluconic acid, antibiotics, vitamin B12, and riboflavin are manufactured using yeast or mould.<sup>132</sup> Fungal-derived mycoproteins can be an important source of protein for bioplastics applications as they have high protein content (30 to 50%) on a dry matter basis;<sup>116</sup> cultivation of fungal species occurs at a low cost on agricultural or food residues. Fungal species are resilient to landscapes and can grow in harsh environments. Currently, *S. cerevisiae* is one of the largely available protein sources at a low cost as it is used during the fermentation process to produce ethanol. A report suggests that around 49% of protein extraction is possible from the residue of the beer manufacturing process.<sup>132</sup> Other important fungal species that can produce protein are *K. marxianus*, *C. utilis*, *Y. lipolytica*, and the moulds *F. venenatum*, *A. oryzae*, and *M. purpureus*.<sup>117</sup> To date, no reports showcased bioplastics from the proteins obtained from fungal sources.

### 3.3 Production of protein-based bioplastics

The sourced raw materials undergo major processing steps, which convert them into usable bioplastics (Fig. 2). A detailed description of these steps follows below.

**3.3.1 Extraction processes.** Proteins extracted from the sources are stored in the form of powders. Alternatively, they can be obtained directly from the market and used to make bioplastics. Some food industries also produce protein-rich side products. The cheese-processing sector, for instance, produces whey as a side product, which can be obtained and processed into bioplastic sheets.

Crop plant leaves are a potential protein source. For most industrialised crops, only specific parts of the plants (*e.g.* roots, flowers and fruits) are harvested and processed. At the same

time, the leaves are left unused in large quantities. Some farmers use these as fodder, while others decompose these unused parts. Often, this leads to buildup. Instead, this biomass can be processed and converted to bioplastics. Several crops are ideal candidates for leaf protein extraction. The protein content ranges between 16–29% on a dry basis. Protein extraction from these sources follows a general process of disrupting cell walls to release soluble proteins, followed by fractionation to isolate specific proteins, dissolution and forming/moulding.<sup>46</sup>

In microalgae, the dissociation of proteins from pigments and polysaccharides is complex. Breaking the microalgal cell wall is challenging and usually expensive, and the process involves one or more combinations of physical, enzymatic, or chemical treatments. Next, the solubilisation of proteins in alkaline solutions occurs. Isoelectric precipitation can extract dissolved proteins.

Similarly, proteins from leaves of aquatic plants are extracted after mechanical disruption to release soluble components, followed by pH treatments or thermal treatments. The resulting extract is finally concentrated or dried. This procedure only extracts half of the total protein in the leaves, while the rest is discarded. A significant fraction of the protein is chemically associated with the cell wall in aquatic plants.

Protein fractionation from seaweeds is intricate due to cell wall mucilage and phenolic compounds, which hinder mass transfer and lower protein extractability. Improved results are obtained when seaweed is processed unconventionally using the techniques used for processing leafy biomass (starting with fresh biomass and applying mechanical pressing and solubilisation of cell contents). Other methods of improving protein yields from seaweed include using fresh seaweed, alternative methods such as supercritical extraction, ultrasound- and microwave-assisted extraction, and enzymatic treatments.

Various methods are employed to extract the proteins present in the plants. Each source of protein requires a different

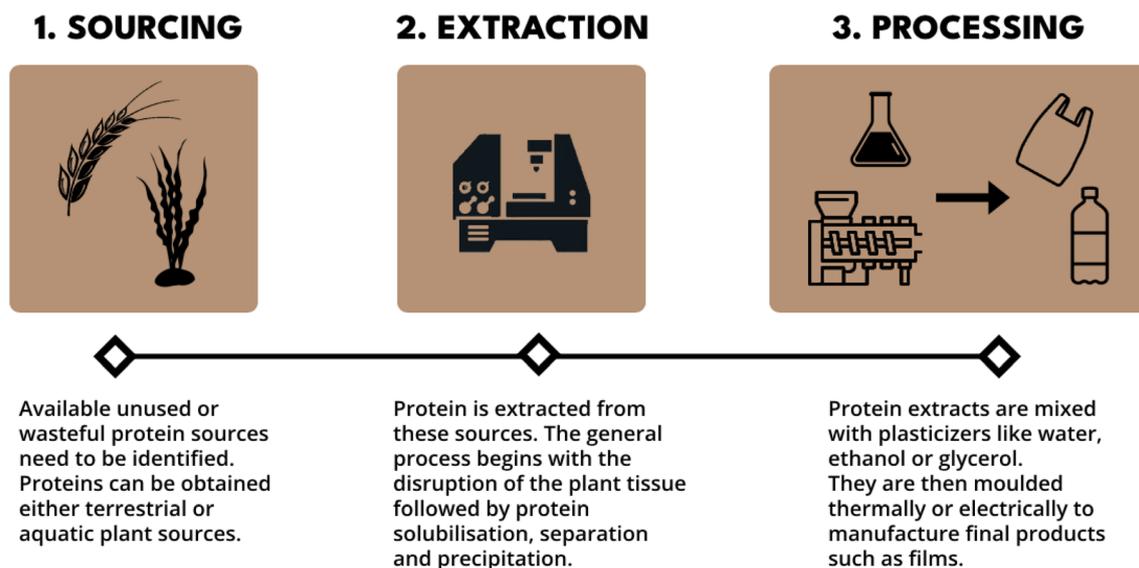


Fig. 2 Major steps in the manufacturing of protein-based bioplastics.







Table 2 (Contd.)

Alkaline method										
Proteins	Assistive technologies	Solid loading	pH	Temp. (°C)	Process time (h)	Precipitation methods	Yields (%)	Advantages	Disadvantages	Ref.
Rice bran protein	PEF (250 pulses/ min and voltage 8 kV)	1 : 10	10	<sup>a</sup> NR	1	Isoelectric precipitation (HCl, pH 4.5, 24 hours)	20.71 ± 3.03 to 22.80 ± 2.04 (% increase in yields over alkaline treatment)	Improved protein recovery without increasing treatment time	Requires PEF equipment, which is expensive	143
Salt solutions										
Proteins	Assistive technologies	Process	Temp. (°C)	Process time (h)	Precipitation methods	Yields (%)	Advantages	Disadvantages	Ref.	
Pea protein isolate	No	Pea flour (1 : 10) in 0.1 M phosphate buffer, 6.4% KCl; pH 8.00; mixing (500 rpm)	RT	96	Supernatant dialyzed; freeze-drying	81.6	Simple process; extracted proteins have better solubility over the alkaline method	Lower yields over the alkaline method	144	
Soy protein isolate	No	Soy flour (1 : 10) 0.05 M sodium phosphate buffer, 0.8 M NaCl; pH 8.00; mixing (500 rpm)	RT	72	Supernatant dialyzed; freeze-drying	72.6			144	
Organic solvents										
Kafrin	No	Presoak (16 h) 1.0% sodium metabisulphite; then add glacial acetic acid	25	17	Isoelectric precipitation (HCl, pH 5, 12 hours)	61	~90% of kafrin recovery		161	
Kafrin	No	Ethanol (70%), 10% cysteine, 5% SDS, liquor ratio 1 : 7, pH 10	60	4	Isoelectric precipitation (40% ethanol, HCl, 1g l <sup>-1</sup> H <sub>2</sub> O <sub>2</sub> pH 4.5, 12 hours)	83% (kafrin); 83% (glutelin)	Recovery of two types of protein; 93% of kafrin; 93% of glutelin recovery	Multistep process	162	
Zein	UAE	65% ethanol solution, 100 mg ml <sup>-1</sup> solid loading, ultrasonic homogenizer (frequency 40 kHz)	RT	0.25	NR	2.09 mg ml	Fast process	Study was done at a very small scale	163	
Zein	No	70% ethanol solution, 0.25% Na <sub>2</sub> CO <sub>3</sub> , HCl to adjust pH to 1, solid loading 100g l <sup>-1</sup>	80	5	Adding water to a 40% concentration	~50%	Acidic pH leads to low denaturation of zein and more yield		164	



Table 2 (Contd.)

Proteins	Assistive technologies	Process	Temp. (°C)	Process time (h)	Precipitation methods	Yields (%)	Advantages	Disadvantages	Ref.
<b>Aqueous two-phase systems (ATPS)</b>									
Corn protein	No	10 g Corn germ in the 50 ml of PEG–NaCl–Na <sub>2</sub> SO <sub>4</sub> system in 0.05 M PBS.	RT	1.5	NR	NA	Possibility to tune to process to obtain more hydrophilic or hydrophobic proteins. Specific protein yields of 100% may be achieved	It is a complicated process, mostly used for extracting high-value products from crude protein obtained from other methods	165
$\alpha$ -amylase from soybean extracts	No	Solid loading (10%) in 18.4% PEG and 21.2% potassium phosphate	NR	Continuous operation (residence time 0.2 h)	NR	87	Continuous operation, which may lower the cost	Only suitable for recovery of high-value products	166
<b>Subcritical water</b>									
Sunflower protein	No	Solvent-to-feed ratio of 20 (pH 5–6)	150	0.25	NR	43	An environmentally friendly and fast method to obtain water-soluble proteins	Require specialized high-pressure reactors	167
Soy protein from heat-denatured meal	No	Solid loading 1 : 10 (w/v)	120	0.33	Isoelectric precipitation (pH 4.5)	59.5	Protein purity ~80%; improved protein recovery over alkaline extraction (16.4%)		168
<b>Ionic liquids (ILs)</b>									
Protein from macroalgae	No	Ethyl methyl imidazolium dibutyl phosphate [EMIM][DBP]	25	0.17	Partitioning by dipotassium phosphate followed by ultrafiltration	64.6	Fast process	ILs are expensive; thus, the process is not viable until recyclability is established	169
Proteins from microalgae	MAE (700 W and frequency 2450 MHz)	Solid loading 2% w/v in choline acetate	40	0.5	Partitioning by methanol/chloroform solution; aqueous layer was protein-rich	26.35			170

Table 2 (Contd.)

Proteins	Assistive technologies	Process	Temp. (°C)	Process time (h)	Precipitation methods	Yields (%)	Advantages	Disadvantages	Ref.
<b>Deep eutectic solvents (DESS)</b>									
Protein from brewer's spent grain	No	DES (sodium acetate/urea); 10% solid loading	80	2	NR	79	>50 wt% protein in the concentrate	The recyclability of DES was not examined in this study. Require expensive microwave reactor	171
Protein from microalgae	MAE (160 W)	DES (ChCl/Urea); spirulina loading (1 : 40); chlorella loading (1 : 30); pH 12	NR	0.17	Isoelectric precipitation (pH 4.5)	30.48 (spirulina) and 15.53 (chlorella)	Green solvents		172
							Improved yields over alkaline extraction at similar pH		

<sup>a</sup> NR = Not reported. <sup>b</sup> RT = Room temperature.

method of extraction and processing. The previous section offered a brief idea of the various potential protein sources; this section aims to discuss different extraction methods. The overall process consists of the following steps:<sup>46</sup> (1) disruption of plant tissues, (2) solubilisation of proteins, (3) separation from unusable plant matter, (4) precipitation/purification of proteins, and (5) concentrating proteins. The overall process consists of the following steps.

**3.3.1.1 Disruption of plant tissues.** Plant cells have rigid and recalcitrant cell walls containing cellulose and lignin, requiring strong mechanical force to disrupt the plant tissues. The diversity of source materials calls for different approaches to disrupting plant tissues. For instance, mechanical dehulling and milling are suitable for plant seeds. At the same time, high-shear blending works better for grasses and leaves. Other physical treatments include autoclaving, microwaves, ultrasonication, cryogenic fracturing, osmotic shock, and pulsed electric fields.<sup>46,133</sup> Chemical and enzymatic hydrolysis are also potent techniques for disrupting cell walls. In many cases, a combination of these methods provides satisfactory results.

**3.3.1.2 Solubilisation of proteins.** Often, biomass contains significant amounts of fats/lipids, which may hinder the protein extraction process unless the biomass is the vegetable oil industry wastes; these require a defatting step before solubilisation of proteins. Defatting or extracting oil from biomass involves using organic solvents such as petroleum ether, ethanol, acetone, *n*-hexane and *n*-pentane. Various reported methods exist for the solubilisation of proteins trapped in the tissue matrix of biomass.<sup>134–136</sup> A few important ones are discussed below and listed in Table 2.

**3.3.1.2.1 Alkaline medium.** Proteins are the least soluble near their isoelectric point. At an isoelectric point, protein molecules do not carry any net charges. Proteins gain net positive or negative charges when the pH is away (higher or lower) from the isoelectric point and become soluble.<sup>144</sup> Most plant proteins' isoelectric points are in acidic ranges. Therefore, solubilising such proteins in an alkaline medium is the most used method. In such cases, isoelectric precipitation is achieved by reducing the medium's pH (5 to 4.5). Combining these techniques can lead to an efficient process providing maximum recovery of functional, stable, and lipid-free proteins (Table 2).<sup>145</sup> On the flip side, highly alkaline conditions may lead to the denaturation of proteins. A recent report suggests that raising the alkaline medium's pH and temperature increases protein solubilisation rates.<sup>146</sup> Nonetheless, high temperatures may also precipitate and denature proteins.

**3.3.1.2.2 Salt solutions.** This method has applications in extracting pea proteins. In this method, proteins are solubilised in a phosphate buffer medium having neutral salts such as sodium chloride or potassium chloride.<sup>136</sup> This method is only effective in recovering water-soluble proteins. Dialysis of solution or micellar precipitation gives purified protein solution, which may further undergo concentration steps. The salt-extraction process leads to low denaturation and aggregation of proteins, which are thus more soluble (Table 2).<sup>147</sup>



**3.3.1.2.3 Organic solvents.** When the biomass contains lipid-binding proteins and when proteins have a plethora of nonpolar side chains, the organic solvent extraction method may be useful as the organic solvents such as ethanol, methanol, acetone, and butanol show strong lipophilicity and hydrophilicity (Table 2).<sup>148</sup> Nonetheless, the organic solvents are toxic and lead to protein denaturation.

**3.3.1.2.4 Aqueous two-phase systems (ATPS).** ATPS forms when two water-soluble polymers or salt and a polymer are dissolved in water at a higher than their critical concentration, which is why two immiscible phases form.<sup>149</sup> Some common ATPS are polyethylene glycol (PEG) with a citrate salt, sulphate or phosphate or mixing two polymers (PEG/dextran system) with water. Partitioning between two phases occurs once the disrupted plant tissues mix in solvents to form ATPS. Partitioning depends on the surface properties of proteins and the nature of ATPS. Most soluble matter partitions to the lower, more polar phase, whilst proteins partition to the top, less polar and more hydrophobic phase, such as PEG. To achieve efficient protein extraction by ATPS, the hydrophobicity of the phase system, the electrical potential between phases, molecular size, and bio-affinity of the proteins can be exploited. This method is suitable for extracting high-value proteins from sources (Table 2).

**3.3.1.3 Subcritical water.** Subcritical water treatment is an upcoming technique to extract proteins. The process is eco-friendly and does not produce toxic waste. Here, hot compressed water dissolves proteins. Hot compressed water has a higher ionisation constant with heating, has a solution rich in H<sup>+</sup> and OH<sup>-</sup> ions, and acts as an acid/base catalyst, providing high reactivity (Table 2).<sup>150</sup>

**3.3.1.4 Ionic liquids (ILs).** ILs are salts or mixtures of salts, often composed of organic cations and organic or inorganic anions that stay molten under 100 °C.<sup>151</sup> Physical and chemical properties such as thermal stability, viscosity, and solubility in polar and nonpolar solvents are highly tuneable by varying the composition of cations and anions. Low vapour pressure, high thermal stability, and low flammability of ILs make them nearly ideal solvents for extracting proteins.<sup>152</sup> The detailed discussion of this topic is beyond the scope of this review. Nonetheless, the authors recommend recent reviews by Bharmoria *et al.* and Nunce *et al.* to the readers for a detailed understanding of the topic.<sup>152,153</sup> Though ILs possess excellent extraction capabilities, their large-scale applications are still limited due to their higher production costs and complicated recycling-cum-purification processes (Table 2).<sup>154</sup>

**3.3.1.4.1 Deep eutectic solvents (DESs).** DES is a combination of hydrogen bond acceptor (HBA) and hydrogen bond donor (HBD) that form a eutectic mixture *via* the formation of hydrogen bonds.<sup>154</sup> DESs are gaining popularity due to their inherent biodegradability, biocompatibility and low toxicity. DESs depict similar physical properties, including lower volatility, higher viscosity, thermal stability, and non-flammability. Further, the DESs are easy to prepare and show moderate protein extraction capability.<sup>154</sup> Recent work suggests that the

DES-based protein extraction depends on a few key factors: (1) raw material, (2) liquid-to-solid ratio, (3) type of DES system and its physiochemical properties, (4) molar ratio, (5) extraction temperature, (6) pH, (7) time and (8) the water content.<sup>155</sup> Though the DES-based extraction process of proteins shows great promise, more studies are needed to make it comparable to the alkaline extraction processes (Table 2).

Apart from the extraction methods, assistive technologies can improve extraction efficiency by disrupting the cellular walls of plants. We briefly discuss those below.

**3.3.1.5 Ultrasound-assisted extraction (UAE).** The ultrasound waves (20 kHz to 1000 kHz) generate tiny vacuum bubbles or voids in the liquid, which implode and produce high shear stress (~50 MPa) and temperature ~4500 °C. These localised forces disrupt cell membranes, facilitating the extraction of intercellular materials and promoting sonolysis, which can disintegrate organic polymers.<sup>156</sup> Application of UAE can improve extraction efficiency by minimising extraction time, energy costs, and solvent consumption, producing more homogeneous mixtures, increasing energy transfer rates, reducing temperature gradients, providing selective extraction, reducing extractor size, enabling faster response and improving process control (Table 2). Nonetheless, the process also comes with several disadvantages, such as it can change the structure of proteins or denature the proteins.

**3.3.1.5.1 Microwave-assisted extraction (MAE).** In this assistive technology, microwave energy (300 MHz to 300 GHz) pulses heat up the solvents containing biomass, facilitating protein extraction (Table 2).<sup>157</sup> The efficacy of MAE depends on solvent properties, the type of biomass, the nature of proteins to be extracted and their dielectric constants. Therefore, the process requires extensive optimisation of the amount and polarity of extracting solvent, biomass loading, extraction temperature, microwave power, pulse duration, and treatment time.<sup>158</sup>

**3.3.1.5.2 Pulsed electric field (PEF)-assisted extraction.** PEF is a low-temperature extraction process that uses short-duration, high-intensity pulsed electric fields (0.5 to 40 kV cm<sup>-1</sup>) from a high current flow inducing electroporation of cell membranes, which destabilises the lipid-bilayer of cells, making it permeable to solvents, which in turn leads to extraction of intracellular materials (Table 2).<sup>159</sup> Specific energy input, extraction temperature and particle size are crucial optimisation parameters influencing protein extraction. Nonetheless, further studies may provide an improved understanding of the process.

**3.3.1.5.3 Enzyme-assisted extraction (EAE).** The EAE utilises enzymes that cleave the intercellular materials that hinder the penetration of solvents into the cells. The process optimisation parameters are particle size, time, pH, and temperature. Enzymatic processes occur effectively at a near room temperature and moderate pH and do not require expensive equipment. However, they may take longer (up to a few hours) than the above-discussed methods (Table 2). A few well-known classes of enzymes are cellulases, pectinases, hemicellulases, and proteolytic enzymes.<sup>160</sup>



**3.3.1.6 Separation from unusable plant matter.** Several physical separation methods can effectively remove insoluble plant matter from the solution. The few important ones are decanting, filtration, ultrafiltration, and centrifugation.

**3.3.1.7 Precipitation/purification of proteins.** Precipitation involves varying the solution conditions such that the protein transitions from a soluble to an insoluble state, allowing the separation of proteins. Several methods exist to precipitate proteins; these target protein solubility, ionic strength, pH modifications, denaturation, and intermolecular interaction modulation. The method of choice depends on the properties of the target proteins, such as their solubility, isoelectric point, and structural stability. Widely used techniques for protein precipitation are isoelectric point precipitation, ammonium sulphate precipitation (salting out-salting in), organic solvent precipitation, thermal precipitation, and polymer precipitation.<sup>173</sup>

**3.3.1.7.1 Isoelectric precipitation.** One standard method to precipitate proteins from solution is based on their isoelectric point. At the isoelectric point (pI), the negative and positive charges on the protein surface are in balance.<sup>144</sup> This balance enables attractive forces between the positive and negative surface charges, which triggers protein aggregation and precipitation. The pI values of most proteins are in between the pH range of 4 to 7 (Table 2). Adjusting the pH of the solution to the isoelectric point leads to protein precipitation. Mineral acids, including hydrochloric and sulfuric acids, are the most common precipitants for isoelectric point precipitation. However, this method irreversibly denatures protein due to the use of mineral acids.<sup>174</sup> Thus, the precipitated proteins are not in their native or biologically active form.

**3.3.1.7.2 Salting out using ammonium sulphate.** This method exploits the modulation of protein solubility by the ammonium sulphate.<sup>175</sup> The solubility of proteins increases when the salt is present in minute quantities (<0.15 M), which is salting-in. But at higher salt concentrations, protein solubility decreases, leading to precipitation; this effect is salting-out. This method is extensively used to recover proteins from bacterial sources.<sup>19</sup>

**3.3.1.7.3 Organic solvent precipitation.** Organic solvents such as ethanol, methanol, and acetone decrease the solubility of proteins soluble in aqueous systems as these solvents disrupt the water-protein interactions.<sup>176</sup> Further centrifugation or filtration can separate the proteins from the solvent. The process typically works better at lower temperatures, leading to lower denaturation and aggregation. The pH and ionic strength of the solution are also important parameters that affect precipitation efficiency.

**3.3.1.7.4 Thermal precipitation.** The process exploits the sensitivity of proteins at elevated temperatures.<sup>177</sup> When subjected to higher temperatures, proteins denature and exfoliate to expose their hydrophobic regions hidden in the native state. The newly exposed hydrophobic regions then interact with the hydrophilic regions of a protein, leading to the formation of aggregates, which are less soluble and eventually precipitate.

**3.3.1.7.5 Polymer-induced precipitation.** Similar to organic solvent precipitations, specific water-soluble polymers alter the solution's physicochemical environment such that the proteins precipitate out due to aggregation.<sup>178</sup> The common polymers are polyethylene glycol (PEG) and dextran, which induce precipitation.

**3.3.2 Processing of extracted proteins to protein-based bioplastics.** Proteins-to-product transition occurs during the processing step. The technologies that convert protein to bioplastic products are still nascent. A prime reason behind this is that most commercial technologies that create single-use plastic products handle thermoplastics. Nonetheless, a few vegetative proteins, including wheat gluten, zein, soy protein, and pea protein, show thermoplastic properties when combined with additives such as plasticisers. Further, the properties of proteins also depend on their molecular weight, secondary structure content, amino acid composition, solubility, and stability in aqueous solutions. This review limits itself to reporting the processing methods for these proteins without digging deep into the fundamental aspects listed above. However, we encourage the readers to refer to excellent reviews by Webber *et al.* and Qing *et al.* to learn more about these fundamental aspects of protein.<sup>179,180</sup> The processing of protein-based bioplastics involves two distinct steps.

**3.3.2.1 Pre-processing of proteins.** Protein conditioning makes them amenable to different commercial moulding techniques. Such conditioning involves the addition of plasticisers, binding agents, crosslinkers, and compatibilisers into the extracted proteins. A detailed description of these additives is available in the next section.

**3.3.2.2 Moulding/shaping the proteins into products.** Several moulding/shaping processes are currently available (Table 3). The choice of method primarily depends on the nature and shape of the products. These processing methods can handle materials with specific properties; thus, the properties/processibility of proteins must be tweaked by pre-processing to adapt to the particular moulding process. Further, processing conditions play an important role in determining the properties of bioplastics formed; some crucial properties of the material, *e.g.* strength, get altered based on the processing conditions. Physical characteristics, such as the material's colour, can also be varied by techniques, such as adding colouring agents. The bioplastic blends/doughs undergo mechanical/thermal/electrical moulding to manufacture various shapes and sizes of bioplastics. Some methods might not be efficient, sustainable or economically viable for the available protein. Thus, more research efforts towards developing new combinations of protein-based materials and processing methods are necessary to make their range of applications comprehensive. Below, we discuss a few important moulding processes reported as compatible with protein-based bioplastics.

**3.3.2.2.1 Injection moulding.** Injection moulding is a common processing method used to process synthetic polymers.<sup>181</sup> It involves melting thermoplastic polymers and injecting the molten polymers into a mould cavity under high





Table 3 Common techniques for processing protein-based bioplastics to products

Processing techniques	Processable proteins materials	Solvents	Additives	Interaction of product with water	Possible shapes/applications	References
Injection moulding	Soy protein isolate (91.8% protein)	NR <sup>a</sup>	Plasticizer (glycerol)	Water uptake in 24 hours ranged between 150 to 270%	Complex shapes such as plates, cups, automobile panels, cases, toothbrush handles	82
	Rice protein concentrate (80% protein)	NR	Plasticizer (glycerol); reducing agent (sodium bisulfite); cross-linking agents (glyoxal and L-cysteine) Glycerol	NR		181
	Pea protein concentrate (89.5% protein)	NR		Water uptake in 2 hours was around 100%		182
Foil extrusion/Cast film extrusion	Wheat gluten (77.7% protein)	NR	Salicylic acid, glycerol, ammonium hydroxide	Normalized solubility of the protein in 0.5% sodium dodecyl sulfate-phosphate buffer and sonication of 3 minutes was 0.2	Thin sheets, films	183
	Zein	Ethanol (75%)	Oleic acid; distilled mono-glyceride	NR		184
Blow moulding	Soy protein isolate (91%)		Plasticizer (glycerol); montmorillonite (nanoclay) to enhance barrier properties; 4-dodecylbenzenesulfonic acid and ethanolamine to adjust pH			185
	Zein	Ethanol (75%)	Oleic acid; distilled mono-glyceride	NR	Thin-walled bottles, beakers	184
	Soy protein	Water	Starch filler; plasticizer (glycerol); reducing agent (sodium sulfite or sodium bisulfite)	Resistant to water		186
Fibre spinning	Wheat gluten (76.6%), zein (91.6%), soy protein (72.7%), pea protein (80.4%), and rice bran protein (81.2%)	[Cu(NH <sub>3</sub> ) <sub>4</sub> (H <sub>2</sub> O) <sub>2</sub> ] SO <sub>4</sub> solution	Filler (cellulose nanofibers); coagulation bath (5% H <sub>2</sub> SO <sub>4</sub> )	NR	Fibres	187
	Wheat gluten (gliadin rich)	Ethanol (70%)	Glycerol	Processed proteins at 150 °C had 76.5 ± 2.5% water uptake and 22.0 ± 1.1% soluble mass loss in 24 hours	Panels of complex contours, luggage trolley bags	188
Fused deposition moulding	Zein		Glycerol	NR		189
	Pea protein isolate solution (86.4%)	Water	NaCl, sodium carboxymethyl cellulose, xanthan gum (XG), carrageenan (car), corn oil; cold plasma treated	NR	3D printed products	190
	Soy protein isolate (90%)		Gelatine, glycerol	NR		191

Table 3 (Contd.)

Processing techniques	Processable proteins materials	Solvents	Additives	Interaction of product with water	Possible shapes/applications	References
Compression moulding	Potato protein concentrate (8.1% ± 0.4)		Glycerol	For moulding at 150C, the water uptake was ~50% and soluble mass loss was ~40% in 24 hours	Shapes of complex geometries such as video game controller casing, kitchen tools, respirator masks	192
Solution casting	Cottonseed protein (57.2%)	Water	Denaturing agent (urea); crosslinking agents (formaldehyde, glyoxal, glutaraldehyde); plasticizer (glycerol)	Water uptake was 30 to 40% in 1000 minutes	Optical lenses, medical tubings, prosthetics	97
	Soy protein isolate (92%)	30% acetic acid aqueous solution	Glycerol	SPI coated paper board showed ~60% water uptake in 0.5 hours		193

<sup>a</sup> NR = Not reported.

pressure. Ejection of the moulded part follows once cooled and solidified. This process is preferred to produce plastic parts for the automotive, electronics, and packaging industries due to its ability to produce complex shapes with excellent dimensional accuracy and minimal waste. Key factors influencing the quality of injection-moulded products include mould temperature, injection pressure, cooling time, and material properties.<sup>194</sup>

In the context of bioplastics, injection moulding has been used to produce pea protein-based and rice bran-based bioplastics. A homogeneous blend of pea-protein isolate and glycerol is obtained by mixing in a mixer and injecting the dough-like blend into moulds to obtain bioplastics.<sup>67</sup> First, a bioplastic blend is produced by adding solvents such as water, plasticizers, reducing agents, compatibilizers, and crosslinking agents into the extracted protein to obtain good processibility of blends. Then, injection moulding at an elevated temperature follows to favour filling the mould cavity and crosslinking of bioplastic blend in the mould.<sup>87,181</sup>

**3.3.2.2.2 Foil extrusion/cast film extrusion.** Extrusion is a crucial polymer processing technique widely employed in modern manufacturing. It is commonly used for processing synthetic plastics such as low-density polyethylene (LDPE) films. An extruder functions as a specialized continuous high-temperature short-time (HTST) reactor, where raw materials are continuously fed into a hopper, transported by a rotating screw, and forced through a die to achieve the desired shape. The process may involve various operations, including heating, cooling, feeding, conveying, compression, shearing, chemical reactions, mixing, melting, homogenization, amorphization, cooking, and shaping.<sup>195</sup>

Foil extrusion and cast film extrusion are specialized processes within polymer extrusion that produce thin plastic sheets. They are as follows.

- Foil extrusion: produces very thin plastic sheets (foils) with high precision, often used in packaging, insulation, and electrical applications. The extruded polymer is typically calendered (rolled) to achieve the desired thickness and surface properties.
- Cast film extrusion: in this method, molten polymer is extruded through a flat die and then rapidly cooled on a chilled roller to form a uniform film. Cast films are widely used in food packaging, medical applications, and protective coatings due to their excellent clarity, gloss, and mechanical properties.

Extrusion has been used to produce bioplastics from wheat gluten, whey protein, soy protein and sunflower protein isolate (SFPI).<sup>195</sup> For instance, SFPI obtained by alkaline extraction and centrifugation can form a bioplastic blend with water and glycerol. This blend can undergo a screw extrusion to produce the film under carefully maintained conditions.<sup>196</sup>

**3.3.2.2.3 Blow moulding/film blowing.** Film-blowing techniques have moulded many common synthetic polymers. The blow moulding process requires polymers to have good melt strength, thermal stability, and limited swelling. The parison (the hollow bulb of plastic used in blow moulding) must endure its weight as it will hang and expand before the hollow plastic surface reaches the mould.<sup>197</sup> A recent report suggests that bioplastics made from zein protein can use this technique to



produce films with low thicknesses and suitable mechanical properties for packaging applications.<sup>198</sup>

**3.3.2.2.4 Fibre spinning.** Fibre spinning transforms raw materials, often liquids or solids, into continuous fibres, which can find applications from textiles to industrial products. Traditional fibre spinning involves processing synthetic and natural polymers into fibres through dry, wet or melt spinning. These processes include drawing out and stretching the material until it forms a continuous thread.<sup>199</sup>

Fibre spinning can also be apt for preparing protein-based fibres. Current spinning technologies allow the spinning of fibres from cellulose nanofibers and various plant-derived proteins, including wheat gluten, zein, soy protein, pea protein, and rice bran protein. The microfluidic spinning technique produced plant protein fibres with smooth surfaces, strong mechanical properties, high thermal stability, anti-oxidative activity, good digestibility, and low sensitization.<sup>187</sup>

**3.3.2.2.5 Thermoforming.** Thermoforming is a process used to shape thermoplastic materials by heating them until they become soft and pliable, followed by moulding them into a desired shape using a mould. Once the material cools, it retains the shape of the mould. Bioplastics can be processed using this technique to obtain the required shapes. The bioplastic material obtained, usually as a sheet, is heated till it becomes soft and flexible. Once the material is pliable, it is either vacuum-formed, pressure-formed, or mechanically-formed. As the material takes the shape of the mould, it also cools and hardens; after that, the part is retrieved from the mould.

Thermal compacting is a widely used method to process soy protein isolate (SPI) – a highly refined soy protein made from soy flour. The process involves the preparation of an appropriate blend of protein and plasticisers, filling the blend into the cavity of the stainless steel moulds, and moulding blends into shapes by a bench-top press at high temperatures and pressure. After the pressure release, the moulded sample cools for a predetermined time, and the final product comes from steel moulds.<sup>8</sup>

Hot press moulding involves high pressure to compress the material into a mould. It is suited for thicker 3D shapes or parts that need structural strength. Bioplastics made from cottonseed protein can be processed using this technique. Cottonseed flour is first dissolved in deionised water, followed by the addition of urea solution. The mixture is then agitated to obtain the denatured cottonseed protein (DCP). A cross-linking agent (formaldehyde, glyoxal, or glutaraldehyde) is added. The resulting mixture is dried, plasticizer (glycerol) is added to the dried denatured cross-linked protein, and the mixture is homogenised. The mixture is then ground, processed, and conditioned before hot-press moulding. The mould formed is then cooled to room temperature.<sup>97</sup>

Thermo-mechanical moulding of the samples is performed to prepare the bioplastics from proteins obtained from microalgae and spirulina algal biomass. A bench-top press with electrically heated and water-cooled platens is used. Compression moulding of samples is carried out at 150 °C followed by

a 10-minute cooling period, and both are performed under high pressure.<sup>200</sup>

**3.3.2.2.6 Fused deposition moulding (FDM).** This method also popular as additive manufacturing. This technique involves a layer-by-layer deposition of the bioplastic polymer in a mould to develop a solid 3D structure using a computer program. The process involves extruding a thermoplastic protein blend through a nozzle at temperatures greater than its glass transition temperature onto a substrate. This deposited material is then cooled quickly below the glass transition temperature, after which an additional layer is deposited on this cooled material, forming the final solid mass. Bioplastics made from zein protein have been used to create 3D shapes using the FDM approach. Zein is plasticised using a suitable plasticiser and extruded through a nozzle at 130 °C, a temperature above the glass transition temperature of the bioplastic, following which the deposition process was carried out. The zein plasticised blend displayed suitable thermochemical properties to employ this method to produce various shapes.<sup>189</sup>

**3.3.2.2.7 Compression moulding.** A recent report has shown the application of compression moulding to prepare bioplastic samples from duckweed blends. The equipment had electrical heating and water-cooled plates. Duckweed is harvested and dried at high temperatures to reduce its moisture content. The samples undergo a milling process to end up as a powder, which then gets thoroughly mixed with high-purity glycerol. The dough gets compressed and takes shape in the stainless steel moulds at an elevated temperature. After cooling, the as-prepared products come out from the mould.<sup>110</sup>

Compression moulding has been utilised to produce SCP films. The flaky protein sample is first ground to make a powder using a mortar and pestle. Glycerol is added to the powder and ground thoroughly to ensure uniform mixing. The mixture is then allowed to dry at room temperature for a few hours. After that, the material is again ground with the mortar and pestle to obtain a fine powder of the final protein/glycerol mixture. The powder undergoes a compression moulding in a press. Brown, translucent, and flexible films are obtained.<sup>118</sup>

**3.3.2.2.8 Solution casting.** Casting exploits the chemicals' ability to disrupt disulfide bonds, which improves intermolecular interactions and enhances mechanical properties. This process allows the formation of a new three-dimensional structure.<sup>201,202</sup>

Casting can be used to form bioplastic from various proteins. Proteins in an appropriate solvent are first heated, resulting in partial or complete protein denaturation. Applying heat, shear, or extreme pH often achieves partial protein denaturation. These weakens the 3D structure of the protein, exposing previously hidden functional groups such as carbonyl, amide, and disulphide. Functional groups become available for intermolecular interactions, forming a 3D protein network entrapping film components during protein aggregation and drying. After dissolution, the mixture is spread on nonsticky platforms and allowed to dry to form the film.<sup>8,72</sup>



Despite the ease of operation, there are several casting methods. It can sometimes lead to films of varying thicknesses. Due to the evaporation of volatile solvents, the thickness of the film reduces after drying, and this difference needs to be accounted for any specific applications.

## 4. Additives to tailor properties of protein-based bioplastics

The mechanical properties of a polymer provide information to assess the suitability of the polymer for the intended purpose. Here, we compare the mechanical properties such as tensile strength, elongation at break and modulus of elasticity of various plant-based protein bioplastics and traditional petroleum-based plastics (*e.g.* polypropylene), allowing us to judge the appropriateness of the material for use in multiple applications. The criteria for the design and construction, as well as the usage and lifetime of the product, can be predicted with the help of these properties. They also show us the feasibility of replacing traditional plastics with protein-based bioplastics.

### 4.1 Plasticisers

Plasticisers are essential in bioplastic manufacturing, as they play a crucial role in engineering the material's physical properties, including viscosity, strength, and elasticity. Raw protein-based bioplastics are often rigid and crystalline due to high amounts of hydrogen bonds, making them susceptible to cracking under stress. We can adjust these properties by adding plasticisers to improve ductility for meeting specific performance requirements. Plasticisers play the following roles:<sup>203</sup>

**4.1.1 Reducing rigidity.** Bioplastic polymers are often rigid and crystalline, making them brittle. Plasticisers integrate between polymer chains, increasing their flexibility by reducing intermolecular forces, which makes the material less prone to cracking.

**4.1.2 Enhancing flexibility and toughness.** Plasticisers lower the glass transition temperature of the bioplastic, allowing the material to remain flexible at lower temperatures. Thus, plasticisers are crucial for applications requiring durability and toughness.

**4.1.3 Improving processability.** During manufacturing, plasticisers improve the flow properties of the polymer melt, reducing viscosity. Thus, plasticisers make the material easy to mould or extrude, vital for producing complex shapes or thin films.

**4.1.4 Modifying physical properties.** By adjusting the type and amount of plasticiser, manufacturers can tailor the modulus of elasticity, tensile strength, and other mechanical properties of the bioplastic to meet specific requirements for various applications.

**4.1.5 Elevating thermal denaturation temperature.** Many polyols (sugar alcohols), such as glycerol and sorbitol, can increase in thermal denaturation temperature, thus making them more stable under thermo-mechanical processing.<sup>204</sup>

**4.1.6 Reducing water-vapour permeation (WVP).** The addition of plasticisers in the protein matrix can improve barrier properties, which is a valuable property when developing protein-based bioplastics for packaged products as it can help maintain the equilibrium moisture content inside the package, which is related to the physical or chemical deterioration of the products; thus, extending the shelf life of products inside the package.<sup>205</sup>

**4.1.7 Enhancing biodegradability.** Some plasticisers are biodegradable, which complements the environmentally friendly nature of bioplastics. They can help ensure that the bioplastic degrades at a controlled rate after its useful life.

It is necessary to select a plasticiser that satisfies specific criteria to optimise the performance of the plasticiser.<sup>206</sup>

**4.1.8 Thermal stability.** The plasticiser must have sufficient thermal stability to remain in the precursor mix without volatilising during processing.

**4.1.9 Strong Interaction with Polymer Chains.** Strong bonding or interaction between the plasticiser and the polymer chains should ensure lasting effects on the biopolymer and prevent leaching over time.

**4.1.10 Non-toxicity.** The plasticiser should be non-toxic, especially when used in biopolymers intended for food wrapping or storage applications.

The plasticiser efficiency parameter generally informs about the relative amount of plasticiser required to tune a specific property into desirable limits, such as a reduction in glass transition temperature. The plasticiser efficiency parameter may be obtained using the equation:<sup>207</sup>

$$k = \frac{T_{g,pure} - T_{g,plasticised}}{w}$$

where,  $k$  = plasticiser efficiency parameter,  $w$  = weight fraction of plasticiser,  $T_{g,pure}$  = glass transition temperature of pure polymer,  $T_{g,plasticised}$  = glass transition temperature of plasticised protein.

Plasticisers may be of two types: internal plasticisers and external plasticisers. Internal plasticisers incorporate functional groups into the protein matrix *via* acetylation, succinylation and Maillard reactions with monosaccharides.<sup>208</sup> These functional groups create steric hindrance among different protein chains, which leads to greater free volume and better flexibility than pure proteins. External plasticisers are small molecular weight additives that solvate and lubricate the protein matrix. Common external plasticisers reported for protein-based bioplastics are polar plasticisers and amphiphilic plasticisers.

Polar plasticiser molecules form hydrogen bonds with amide groups of protein molecules. Polyols (glycerol, propylene glycol, polypropylene glycol, sorbitol), sucrose, and water are common polar plasticisers. By their highly hydrophilic nature, polar plasticisers reduce internal hydrogen bonds among protein molecules and, thus, increase the spacing and reduce attraction forces between protein molecules. Glycerol is the most widely reported polar and biodegradable plasticiser, especially for food packaging applications. Due to the weak hydrogen bonding with protein molecules, glycerol readily migrates through the



protein matrix, comes to the surface, and leaches out of the protein matrix readily. Further, the hydrophilic nature of polar plasticisers increases the hygroscopic nature of protein films, which may limit the applications of protein-based bioplastics.<sup>209</sup>

Amphiphilic molecules consist of hydrophobic and hydrophilic groups on either end (*e.g.*, lipids, phenolic compounds, and surfactants) or regions (*e.g.*, biological macromolecules). Protein molecules first adsorb these plasticisers due to hydrophilic interactions of their polar groups and later develop binding with protein *via* hydrophobic interactions. Fatty acids such as oleic, palmitic, stearic and linoleic acids are effective plasticizers in protein-based bioplastics.<sup>209</sup> They reduce the water vapour permeability of protein films and impart antioxidant and antimicrobial characteristics to protein films.<sup>210</sup> Nonetheless, due to their limited compatibility with protein, they reduce the strength of protein films beyond a certain percentage.<sup>211</sup>

## 4.2 Compatibilisation

Discussion in the previous section shows that the performance of protein-based biopolymers must be increased considerably, and no single protein discussed possesses all the required properties needed for specific applications. Thus, combining different proteins and additives can synergistically augment the bioplastic properties at the desired levels. Physical blending, such as melt compounding, solution blending, and latex mixing by rolls, is relatively cheap, fast, and commercially used to create polymer blends. However, many biopolymers are incompatible due to coarse morphology and limited interfacial

adhesion, leading to phase-separated polymer blends. Therefore, compatibilisers are added to the mix to tailor the second phase size and distribution, the interfacial adhesion, and the macroscopic physical-mechanical properties to achieve the desired macroscopic properties. Several reviews discuss the compatibilisers in detail.<sup>212,213</sup> Here, we aim to provide a brief overview of the compatibilisation. The methods to achieve compatibilisation are divided mainly into three groups: (i) non-reactive compatibilisation, which involves co-solvents or block-copolymers or graft-copolymers; (ii) reactive compatibilisation, where *in situ* processing leads to the formation of copolymers; and (iii) nanofiller-induced compatibilisation (Fig. 3). The understanding of the efficacy of compatibilisers for protein-based bioplastics production is still limited.

Nonetheless, a recent study showed the use of glycerol monostearate (GMS) and soy lecithin as compatibilisers in the blend of 60% poly(butylene succinate) (PBS) and 40% of plasticised whey protein (PWP). The work also modified the whey protein with oleate and laurate groups. In this study, the authors found that the Soy lecithin and the modified whey protein were effective compatibilisers for the PWP/PBS blend and resulted in a significant increase in elastic modulus, tensile strength and elongation at break over the not compatibilised blend.<sup>214</sup> Another study reported the reactive compatibilisation using dibenzoyl peroxide (BPO) and hexanediol diacrylate (HDDA) in the polymer blend of PBS and soy protein isolate (SPI). This compatibilisation led to a 46% increase in tensile strength and a 55% increase in Young's modulus over the not compatibilised blend.<sup>215</sup> Thus, compatibilisation can be an effective strategy to achieve desired properties with blended protein-based bioplastics.

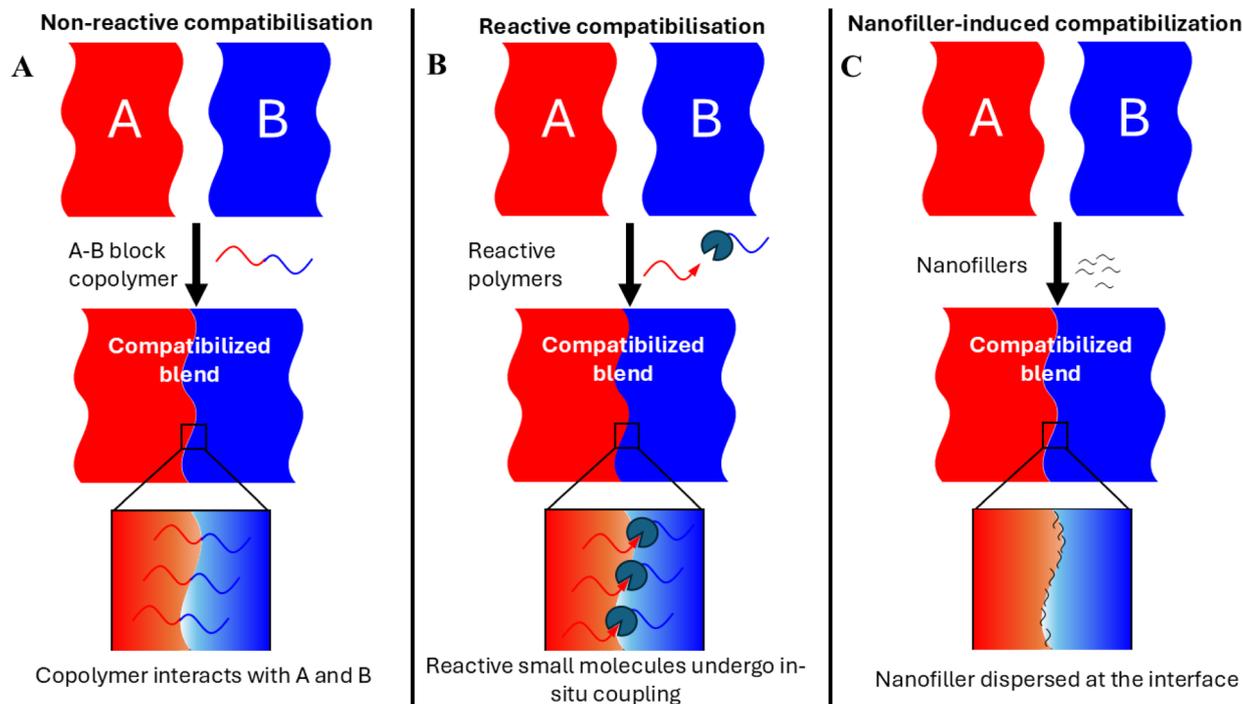


Fig. 3 Types of compatibilisation and their mechanism of action. (A) Non-reactive compatibilisation, (B) Reactive compatibilisation, and (C) nanofiller-induced compatibilisation.



### 4.3 Crosslinkers of proteins

The crosslinking of proteins is an essential process that produces structural changes by interconnecting the segments of different protein chains to improve their mechanical and microscopic properties and incorporate functional or application-oriented modifications.<sup>216</sup> There are four reported methods to incorporate crosslinks in proteins.

**4.3.1 Physical methods.** Physical crosslinking methods induce non-covalent interaction between protein chains. Those interactions include hydrogen bonds, van der Waals interactions, ionic interactions, coordinate bonds and dynamic covalent bonds.<sup>217</sup>

A previous effort showed that the water vapour annealing of silk protein films can increase the relative content of beta-sheet structures from  $23 \pm 2\%$  (for untreated coatings) to  $58 \pm 5\%$  (for coatings exposed to water vapour for 12 hours). Water vapour annealing promotes beta-sheet formation, thus increasing the crystallinity and reducing water vapour and gas permeability.

Physically crosslinked proteins are generally weaker than chemically crosslinked proteins and break under deformation. Such breakage of the physical crosslinks dissipates strain energy that improves the mechanical toughness of polymers.<sup>218</sup> Under cyclic loading and unloading, the dynamic rupture of the physical crosslinks gives rise to residual strains and mechanical hysteresis. Creating a physically crosslinked protein with high elasticity (low mechanical hysteresis) and toughness is one of the significant design challenges.

**4.3.2 Chemical methods.** Chemical crosslinking methods form covalent bonds between protein chains.<sup>217</sup> These crosslinks can sustain under deformation, leading to high stiffness (high Young's modulus) and mechanical elasticity. Increasing chemical crosslinking density shortens the partial chain length between the crosslinks; thus, the mechanical toughness reduces, as per the Lake-Thomas theory.<sup>219</sup> Table 4 lists a few common crosslinkers and their mechanism of action.

**4.3.3 Enzymatic methods.** Enzymatic crosslinking of proteins extends chemical crosslinking, where oxidative enzymes form covalent bonds at specific protein sites. One of the prime examples of enzymatic crosslinking in nature is the formation of blood clots when blood discharges out of wounds. Cells at the wound secrete transglutaminase enzyme, which crosslinks fibrin protein, forming an insoluble protein and a blood clot. Enzymes that can crosslink proteins are transglutaminase, lysyl oxidase, protein disulfide-isomerase, protein-disulfide reductase, sulfhydryl oxidase, lipoxygenase, polyphenol oxidase (tyrosinase), laccase, and peroxidase.<sup>259,260</sup> These enzymes primarily form covalent bonds in between protein chains: (1) *via* protein-enzyme-thioester intermediates induced by transglutaminase, and (2) *via* reactive species generated by enzymes such as oxidoreductases, laccase, tyrosinase, and peroxidase, which subsequently react with proteins to produce protein networks.

The enzymatic method of crosslinking allows highly selective intermolecular covalent bond formation under mild reaction conditions. Thus, enzymatic crosslinking finds major applications in the food and nutraceutical industry to change the food

texture and enhance food stability. As listed, many enzymes can crosslink proteins; however, microbial transglutaminase (MTGases), often called 'meat glue', is a commercially available and employed enzyme in the food industry. It acts on glutamine and lysine residues of proteins and peptides at an optimum pH of 5 to 8 and at 50 °C (Fig. 4).<sup>261</sup> A recent report suggests that MTGases effectively crosslink plant proteins such as pea and whey.<sup>262</sup> MTGases are calcium-independent enzymes that are often expressed in the cultures of *Streptovorticillium mobar-aense*, which is a spore-forming bacterium.<sup>263</sup> However, reports suggest that *Streptovorticillium cinnamoneum*, *Actinomadura* sp., *Streptovorticillium ladakanum*, *Bacillus circulans*, *Streptomyces* sp. can also express MTGases.<sup>264</sup> For detailed information about MTGase, please refer to the review by Fatima *et al.*<sup>265</sup> USA FDA (Food and Drug Administration) has considered MTGase as 'Generally Recognised As Safe' (GRAS) since 1998 and allowed its consumption as a food additive.<sup>266</sup>

A recent report suggests that the MTGase-treated hemp protein films have four times higher Young's modulus than untreated films. The treated films also display higher hydrophobicity, lower water solubility, and lower swelling than untreated films.<sup>267</sup> Another work showed that the amylose blended with MTGase-treated argon protein films had better barrier properties against water and carbon dioxide.<sup>268</sup> Though enzymatic crosslinking is not widely popular for the manufacture of protein-based bioplastics, the report suggests that it can be a useful technique for the manufacturing of protein-based bioplastics as the use of chemical crosslinking reagents may become less viable due to their potential toxicity when used for the production of food-grade bioplastic films.

**4.3.4 Irradiation method.** High-energy radiation from different regimes of the electromagnetic spectrum can modify amino acids, such as UV radiation modifies aromatic side chains of tyrosine, tryptophan, and phenylalanine.<sup>269-271</sup> Radiation generates free radicals depending on the solvent or water in which proteins are dissolved. Depending on conditions, these radicals react with amino acids and induce several protein-protein crosslinks *via* disulfide bridges and bi-tyrosine linkages.<sup>272</sup> Heat-induced gelation of egg proteins is an obvious example of irradiation-based protein crosslinking, which happens over several stages: first, egg protein structures disrupt and unfold due to heat exposing their hydrophobic inner regions; the unfolded protein macromolecules interact with one another *via* hydrophobic interactions disulfide bonds, hydrogen bonds to form aggregates; and these aggregates then form a thermally irreversible gel with a high degree of order, which appears as a white gel.<sup>273</sup> Thermal incubation at around 90 to 130 °C of proteins and reducing sugars leads to covalent crosslinking of proteins and sugar. This reaction is known as the Maillard reaction.<sup>274</sup>

Previous work compared collagen's fibre-like aggregation and gelling in a neutral solution by applying heat and UV irradiation.<sup>275</sup> The authors used 37 °C temperature for thermal crosslinking and at 254 nm wavelength at an intensity of  $5.0 \times 10^{-3} \text{ W cm}^{-2}$  UV lamp for UV irradiation. They radiated UV (5 times) for 30 minutes each with a 60-minute gap between exposures to ensure the solution temperature did not shoot over





Table 4 Protein crosslinkers and their mechanism of crosslinking

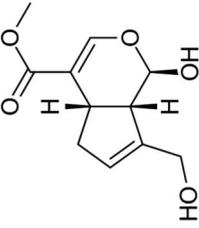
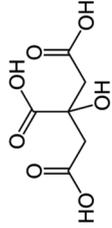
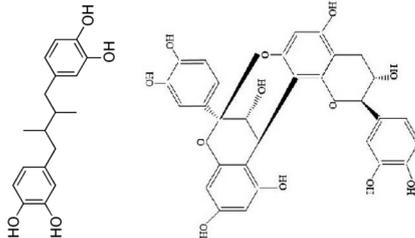
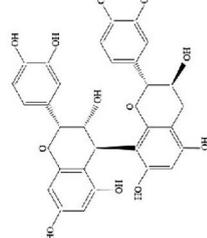
Natural crosslinkers	Chemical structure	Working mechanism	Reported proteins	Ref.
Genipin		Genipin acts upon primary amine groups. First, it reacts to an amine group in the polymer chain to $\alpha$ , $\beta$ -unsaturated, leading to an open ring of genipin. Next, another amine group from the polymer chain attacks the methoxycarbonyl group to produce a secondary amide-type linkage, leading to a crosslink	Pea protein, whey protein isolate	220–222
Citric acid		A slightly alkaline pH promotes the crosslinking of proteins with citric acid. The mechanism of crosslinking is still unclear. Previous work suggests that the carboxyl group of a citric acid molecule and the nucleophilic amine groups and carboxyl groups present in proteins lead to the formation of amide bonds	Wheat gluten	223 and 224
Nordihydroguaiaretic acid (NDGA)/masoprocol		The two catechols in NDGA oxidise at neutral/alkaline pH producing reactive quinones, which couple <i>via</i> aryloxy-free radical formation and oxidative coupling, forming bisquinone crosslinks at each end	Collagen	225
Procyanidins		They are condensed tannins of oligomeric nature found in many fruits, vegetables, seeds, nuts, flowers, and barks	Pea protein isolate, faba protein isolate, lentil protein isolate, soy protein concentrate	226 and 227



Table 4 (Contd.)

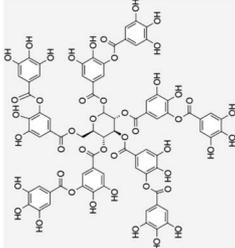
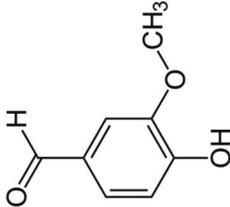
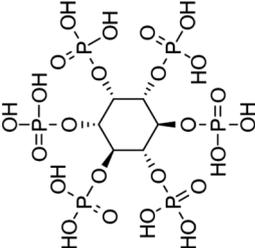
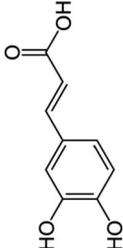
Crosslinkers	Source	Chemical structure	Working mechanism	Reported proteins	Ref.
Tannic acid	Tannic acid is a polyphenol found in most of the aerial plant tissues		Phenolic hydroxyl groups of tannic acid can react with lysine, tyrosine, and cysteine. The covalent bonding between phenolic compounds and proteins occurs <i>via</i> multiple steps. First, the oxidation of phenolic groups occurs under alkaline conditions, forming quinone intermediates, which then react with nucleophiles from the amino acid groups and create a network of chemical crosslinks by the formation of new C-N bonds by Michael addition or schiff-base reactions	Soy protein, corn protein, pea protein	228–232
Vanillin	Vanilla bean extract		Aldehyde groups in vanillin react with the primary amine of the proteins or polysaccharides having amine pendant groups through a schiff-base reaction. In contrast, its hydroxyl group forms hydrogen bonds with the hydroxyl or the amino groups in another protein molecule forming the crosslinking network	Chitosan/gelatin composite	233 and 234
Phytic acid	Plant seeds, cereal grains, nuts, and legumes		It has many anions (O <sup>-</sup> ) that can react with metal ions and proteins having a positive charge (such as gelatin) to form ionic bonds	Gelatine, collagen	228 and 235
Caffeic acid	Coffee, wine, tea, and propolis resin		The hydroxyl groups present in the benzene ring of caffeic acid oxidise by molecular oxygen to form a quinone, which reacts with the N-terminal $\alpha$ -NH <sub>2</sub> or $\epsilon$ -NH <sub>2</sub> side chains from arginine, lysine and hydroxylysine residues in proteins to form covalent bonds of C-N, with the regeneration of hydroquinone. The hydroquinone re-oxidises and binds to another protein molecule, leading to a crosslink	Soy protein isolate	236 and 237



Table 4 (Contd.)

Crosslinkers	Source	Chemical structure	Working mechanism	Reported proteins	Ref.
Epigallocatechin gallate (EGCG)	Green tea		EGCG depicts the cross-linking effects on proteins by hydrogen bonds, which change the secondary and tertiary structures of protein macromolecule	Soy protein isolate	238 and 239
<b>Synthetic crosslinkers</b>					
<b>Carbodiimide agents (EDC/NHS)</b>					
			EDC activates the carboxylate moiety of aspartate or glutamate residues, forming the <i>O</i> -acylisourea group. NHS converts <i>O</i> -acylisourea group into an NHS-activated carboxylic acid group, which readily reacts with amine groups and other amino acids	Wheat gluten	240 and 241
<b>Epoxy compounds (examples: PEGDE, BDDGE)</b>					
			Epoxy compounds having two or more epoxy functional groups can react with amino, carboxyl, and hydroxyl groups depending upon the pH of the protein solution and crosslink proteins	Collagen, gelatine, silk fibroin	242 and 243



Table 4 (Contd.)

Natural crosslinkers	Chemical structure	Working mechanism	Reported proteins	Ref.
Polysaccharide derivatives containing aldehyde groups (example: dialdehyde starch)		Aldehyde groups in the polysaccharide chain react with free amino groups of the protein molecules during the cross-linking reaction	Soy protein isolate	244 and 245
<b>Dialdehyde Starch</b>		<i>N</i> -Hydroxysuccinimide (NHS) ester reacts to the amine present in lysine, planting the reagent at specific localisations on protein. When the pendant aryl sulfonyl fluoride is present in proximity to the target residues, the increased effective concentration enables aryl sulfonyl fluoride to react with multiple weakly nucleophilic amino acid residues (histidine, serine, threonine, tyrosine, and lysine)	Single-cell protein	246
<i>N</i> -Hydroxysulfo-succinimide and aryl sulfonyl fluoride (NHSF)				
Glutaraldehyde		It also has two aldehyde groups, which can react with amine groups present in the protein chain	Wheat gluten, soy protein	247–249
(Hydroxymethyl)phosphines (examples: $\beta$ -[tris(hydroxymethyl) phosphino] propanoic acid (THPP))		(hydroxymethyl)phosphines (HMPs) undergo Mannich-type condensation with primary and secondary amines of amino acids to form protein-protein crosslinks	Single-cell protein	250 and 251
Tetrakis(hydroxymethyl) phosphonium chloride (THPC)				



Table 4 (Contd.)

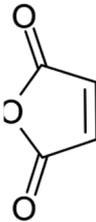
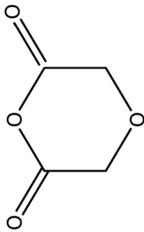
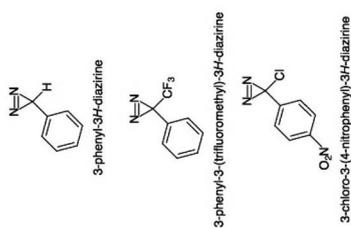
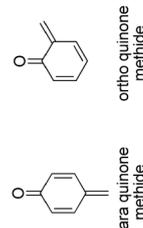
Crosslinkers	Source	Chemical structure	Working mechanism	Reported proteins	Ref.
<b>Natural crosslinkers</b>					
					
		<b>Maleic anhydride</b>			
					
		<b>Succinic anhydride</b>			
<b>Cyclic anhydrides</b>			Cyclic anhydrides react with an amine group to form an amide through nucleophilic acyl substitution. Anhydride reacts with alcohol to form an ester known as an acylation reaction	Gelatin	252 and 253
					
		<b>Glutaric anhydride</b>			
<b>Photo-crosslinkers</b>					
<b>Methacrylate chemistry</b>			Chemical conjugation of methacrylate groups in proteins is possible by reacting proteins with chemicals such as 2-isocyanatoethyl methacrylate and glycidyl methacrylate. Methacrylate pendant groups readily react and form in the presence of suitable photoinitiators such as 2-hydroxy-4-(2-hydroxyethoxy)-2-methylpropiophenone or lithium phenyl-2,4,6-trimethylbenzoylphosphine (LAP) and UV light	Silk fibroin	254 and 255

Table 4 (Contd.)

Crosslinkers	Source	Chemical structure	Working mechanism	Reported proteins	Ref.
Natural crosslinkers					
Diazirine-based photo-crosslinkers		 <p>3-phenyl-3H-diazirine</p> <p>3-phenyl-3-(trifluoromethyl)-3H-diazirine</p> <p>3-chloro-3-(4-nitrophenyl)-3H-diazirine</p>	Diazirine reacts with polar residues upon the UV irradiation of ~365 nm wavelength. Diazirine-conjugated amino acids (such as leucine, isoleucine, and methionine) are used for protein-protein crosslinking	Single-cell protein	256 and 257
Quinone Methides (QMs)		 <p>para quinone methide</p> <p>ortho quinone methide</p>	QMs are electrophilic reactive intermediates that react promptly with nucleophilic amino acids (Cys, Lys, His, Asp, Glu, Tyr, Ser, Thr and Hyp) present in proteins <i>via</i> the Michael reaction. A previous work reported a heterobifunctional cross-linker denoted as 'NHQM' realised by reacting a photocaged quinone methide (PQM) and NHS-ester. NHS-ester reacts with the lys residues and quinone methide reacts to the adjacent nucleophilic residues upon exposure to the UV light	Single-cell protein	258



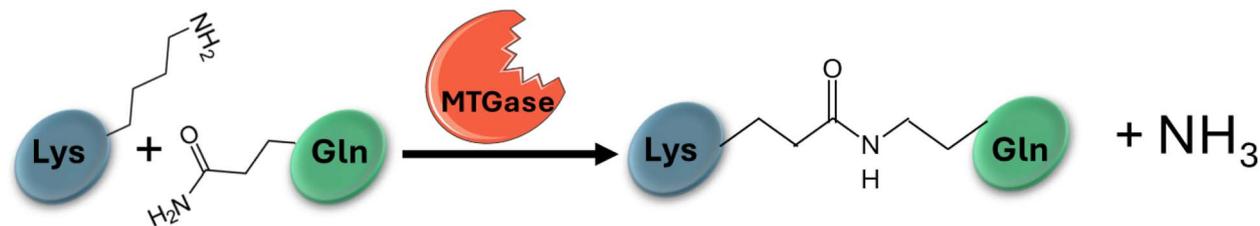


Fig. 4 MTGase catalyses lysine and glutamine residues of protein to yield  $\epsilon$ -( $\gamma$ -glutamyl) lysine cross-link, isopeptide amide bond.

10 °C. The authors found that the thermal crosslinking of collagen was better and faster than UV-based irradiation. Another work showed that e-beam radiation can crosslink silk protein films to make them act as a negative photoresist (it crosslinks at receiving an appropriate radiation) *via* water radiolysis, which induces the amorphous-to-helix structural change of the silk protein, making it water-insoluble.<sup>276</sup> Another group of researchers studied the mechanism of bi-tyrosine formation at different pH solutions using GYG-model peptide and pulse electron beam irradiation.<sup>272</sup> Though several reports have put forth different protein crosslinking pathways using ionising radiations, variations exist depending on the type of protein and solvent/co-solvent systems used, along with the kind of radiation employed and pH of the solution.<sup>272</sup> The understanding of radiation chemistry that forms protein crosslinks is still in its infancy. Nonetheless, radiation-based approaches can be conducive to the continuous manufacturing of protein-based bioplastics because it is a purely physical process, making it a chemical/reduce-free technology. Radiations are tuneable and organisable; thus, they allow modulation of crosslinking parameters to achieve product-specific crosslinking.<sup>277</sup>

**4.3.5 A note on the toxicity of additives.** It is important to note that additives such as unreacted chemical crosslinking agents and fillers can leach out from the bioplastic materials and contaminate foodstuff at elevated temperatures and oily environments.<sup>278</sup> The safety problems caused by it have attracted widespread attention worldwide. Some countries are gradually banning chemical agents such as phthalates and bisphenols from being used in food packaging materials as they are well known to be toxic substances with serious human and environmental health risks depending on exposure conditions.<sup>279</sup> Therefore, when developing new protein-based bioplastic materials intended for food-contacting, one must consider the toxicity of crosslinkers and other additives. For instance, residual aldehydes and epoxy compounds in the bioplastic films can be toxic.<sup>280,281</sup> The chemicals chosen for developing such materials should meet the criteria of being generally recognised as safe (GRAS) by the U.S. Food and Drug Administration (US-FDA).<sup>282</sup>

## 5. Important properties of bioplastics

### 5.1 Tensile strength

Tensile strength measures the pulling force a material can withstand before breaking apart. It is one of the most important

and studied properties of bioplastics, including the derivation of stress–strain curves. Using this property, one can predict the conditions under which the material undergoes a rapid decrease in elongation of break, thereby specifying the parameters for the safe use of materials.

The tensile strength of bioplastics is generally lower than that of synthetic polymers such as polypropylene (Table 5). Rice bran and wheat gluten-based bioplastics display the lowest tensile strength among protein-based bioplastics. In contrast, soy-based bioplastics have a higher tensile strength than them.<sup>58,87,285</sup> However, a trade-off exists between the tensile strength and stretchability of the bioplastics. The higher the tensile strength of the bioplastic, the lower the elongation at break of the bioplastic. Adding a plasticiser to the bioplastics increases the ductility of the bioplastic while reducing the tensile strength.<sup>285–287</sup> The optimised addition of plasticisers to the bioplastics creates bioplastics with reasonable ductility while retaining their tensile strength (Table 5).

### 5.2 Elongation at break

The elongation at break is a measure of the maximum strain that the material can withstand. Along with the tensile strength of the material and the modulus of elasticity, we can describe the stress–strain behaviour of the bioplastic using this property, which in turn defines the operating conditions of bioplastic material. The elongation at which bioplastics break is considerably less than that of polypropylene (Table 5). Soy-based bioplastics with glycerol as plasticiser have an elongation at break of only 4%. Bioplastics made out of sorbitol and a combination of plasticisers using sorbitol and glycerol have shown higher elongation at break at 16% and 23%, respectively. Wheat gluten-based bioplastics have the highest elongation at break 250% for bioplastics.

An inverse correlation exists between tensile strength and elongation at break. Soy-based bioplastics display a high tensile strength but remain brittle even with an added plasticiser like glycerol or sorbitol. Previous work showed the effect of the plasticiser concentration on the mechanical properties of the protein-based bioplastics made from the proteins extracted from the algae *Spirulina platensis* with glycerol at varying concentrations.<sup>287</sup> The study showed a  $\sim$ 4% decrease in the tensile strength with an increase in the plasticiser concentration of 5% while simultaneously showing a  $\sim$ 112% increase in the elongation at the break of the bioplastic.

The addition of compatibilisers such as maleic anhydride and PVA, poly(butylene adipate-*co*-terephthalate) with 4,4'-



Table 5 Comparison of mechanical properties of protein-based bioplastics with polypropylene (shaded by grey colour)

Bioplastic (at room temperature)	Tensile strength (MPa)	Modulus of elasticity or Young's modulus (MPa)	Elongation at break (%)	References
Polypropylene for reference	31.0–41.4	1140–1550	100–600	283
Wheat gluten (glycerol/water)	0.5–1.6	6–20	50–250	58
Pea protein (glycerol)	3–5	50–180	50–75	11
Rice bran (glycerol)	0.057–0.27	4.33–31.3	2.1–2.8	36
Rice bran (sorbitol)	0.263–1.27	30–200	0.65–3.93	36
Cottonseed (glycerol/Sisal fibre/dialdehyde starch)	3–22	15–150	7–75	284
Soy protein flour	8–13	200–800	4–23	285
Zein (oleic acid/distilled mono-glyceride/ethanol)	3–4.7	90–215	22.5–127	184
Sunflower protein (glycerol/water/NaOH)	~4	0.58	~24	90
Sunflower protein (potato starch/Glycerol/water)	3.6–5.7	NR <sup>a</sup>	3.7–5.4	91
Elastin-like protein expressed from bioengineered bacteria (glycerol/CHO-PEG-CHO)	290–45	NR <sup>a</sup>	7–110	21
Single cell protein (glycerol)	0.5–1.4	22–25	4–9	118
Soy protein and zein (olive stone powder/Microfibrillated cellulose/	10–45	310–556	5–165	34

<sup>a</sup> NR = Not reported.

methylene diphenyl diisocyanate can improve the mechanical properties of bioplastic by increasing the adhesion or reducing the interfacial tension between two phases of immiscible polymer blends or between the polymeric chains and smaller particle dispersions.<sup>212,213,288</sup>

### 5.3 Glass transition temperature

The glass transition temperature ( $T_g$ ) is an essential property of thermoplastics and defines its moulding conditions. The glass transition temperature is the temperature below which the material is glassy and rigid and above which the material is flexible and rubbery. Glass transition temperature effectively acts as the limiting temperature for moulding and production of various items using a bioplastic.

Proteins to behave like thermoplastics would require protein chains to denature, disassociate, unravel, and re-align, which is possible only if the amino acid chains in protein are free to move or mobile – enabling proteins to flow under thermal and

shear stress, moulding to the different shapes. Proteins are semi-crystalline in nature. Thus, they show a glassy transition and melting point. Nonetheless, most proteins have very close glass transition and decomposition temperatures. Table 6 shows the critical factors that dictate the glass transition temperature of a protein. The table shows that adding moisture or plasticisers can increase the mobility in protein chains. Studies indicate that the moisture content of the bioplastic-post moulding reduces the glass transition temperature of the bioplastic. Placing the bioplastic in an environment with high relative humidity (RH) also decreases the glass transition temperature of the bioplastic polymer. Water molecules in the bioplastics modify the three-dimensional organisation of the polymer, reducing the intermolecular forces of attraction while increasing free volume and chain mobility.<sup>87</sup> The  $T_g$  decreases with the logarithmic increase in the percentage of moisture content.<sup>290</sup> The plasticiser also influences the glass transition temperature of a bioplastic added to the bioplastics. The work from P. Tummula *et al.* suggests that glycerol and sorbitol lower the glass transition temperatures of soy-based bioplastics.<sup>285</sup> Other additives, including reducing agents, such as sodium sulfite, can disrupt cysteine/cysteine disulfide linkages; surfactants, such as sodium dodecyl sulphate, can disturb hydrophobic interactions, and protein denaturants, such as urea, can unfold native structures.<sup>289</sup>

Table 6 Factors affecting the glass transition temperature of proteins<sup>289</sup>

Mobility-reducing factors in proteins ( $\uparrow T_g$ )	Mobility-increasing factors in proteins ( $\downarrow T_g$ )
Bulky side residues	Additives like plasticisers and moisture
Stiffening groups	Flexible main groups
Chain symmetry	Dissymmetry
Polar groups	Non-polar groups
Crosslinking	

### 5.4 Water uptake capacity

A bioplastic's water uptake capacity measures the amount of water it absorbs. The hydrophilicity of the proteins, the



processing temperature and the plasticisers used affect the water uptake capacity of the bioplastic.<sup>32</sup> Soy bioplastics, due to their hydrophilic nature, have a higher water uptake capacity than wheat gluten bioplastics and rice bran bioplastics.<sup>31,32</sup> For soy-based bioplastics, the greater the processing temperature, the lower the water uptake capacity.<sup>32</sup> However, processing pressure does not influence this property.<sup>31,32</sup> Zein protein is sparingly solubility in water, mainly because of its high content of hydrophobic amino acid residues like leucine, alanine, and proline.<sup>291</sup>

The water uptake capacity, in turn, influences multiple mechanical properties of the bioplastic, like its tensile strength, glass transition temperature, and lifetime, and, as a result, the suitability of that bioplastic for a specific application. Thus, the water uptake capacity is vital information for optimal utility in commercial settings.

### 5.5 Biodegradability

Petroleum-based plastics that are currently widely used are not biodegradable. Often, recycling of these plastics is inefficient, expensive, and uncommon. At the end of lifespan, these plastics either end up buried in a landfill or incinerated, and both options are detrimental to the environment. The OECD estimates that only 9% of global plastic waste is recycled globally. At the same time, the rest is disposed of mainly through large-scale dumping in landfills, leading to the accumulation of plastic and the pollution of the ecosystem.

On the other hand, bioplastics produced using plant matter are biodegradable. The degradability is governed by factors such as the source of raw material used, the chemical makeup of the bioplastic,<sup>292</sup> the processes used for formulation and processing, the ambient conditions to which the bioplastic is exposed, such as temperature, the microorganisms present in the soil, and the pH value of the soil.<sup>293</sup> Generally, biopolymers higher in molecular weight, crosslinking, hydrophobicity, degree of substitution of functional groups per monomer unit, and crystallinity are also more resilient to biodegradation.<sup>294,295</sup> Table 7 lists major factors that may affect the biodegradation of biopolymers.<sup>294,295</sup> Often, some factors affect a material more than others. For instance, the biodegradability of seaweed films remains unaffected by the amount of plasticiser used for

formulation, but it depends on the other factors mentioned previously.<sup>286,296</sup>

The presence or absence of oxygen can also influence the rate of decomposition of bioplastics, depending on whether the degradation of the bioplastic takes place aerobically or anaerobically. Generally, anaerobic biodegradation of bioplastics is a faster process than aerobic decomposition. However, bioplastics like PCL are found to decompose only aerobically.<sup>297</sup> Another critical factor affecting the degradability rate is protein concentration in the bioplastic. Bioplastics made from soy have a higher protein concentration than pea protein, affecting biodegradability. Soy-based bioplastics have a higher rate of degradability than pea-protein-based bioplastics.<sup>298,299</sup>

The moulding conditions of the bioplastics can increase the strength of crosslinking between the bioplastic polymer stands, thereby increasing the mechanical stability of the polymer and, in turn, slowing down the degradation rate.<sup>298</sup> There are two broad stages in the biodegradation of bioplastics. First, there is a breakdown of the structure of the bioplastic due to the attack of agents of degradation on the surface of the bioplastic, resulting in the fragmentation of the long chains of proteins into smaller chains and then soluble molecules of smaller molecular weight forms. The final breakdown of the smaller molecules into water, methane (in case of anaerobic decomposition), carbon dioxide (in case of aerobic decomposition), and biomass.<sup>300</sup> Proteins usually degrade faster than other bioplastic candidates, such as polysaccharides, PLA, and biodegradable polyesters. The ease of water penetration in proteins allows microorganisms to penetrate inside the protein matrix. Proteins decompose to amino acids under the action of proteolytic enzymes secreted by microorganisms. Amino acids are also the nutrients for the microbiome in the soil; therefore, proteins also stimulate the microbiome, further expediting the degradation process.

The proteolytic activity of enzymes depends on the medium's pH, such as soil. The most common proteases are neutral (pH 5 to 8) and alkaline (pH ~10). Proteolytic degradation kinetics is a strong function of temperature. Usually, an optimal temperature exists at which microbes' growth is the fastest, and the enzyme activity is the greatest. At high temperatures, microbes may not survive, and enzymes may denature. Metal ions such as

Table 7 Factors affecting the biodegradation of biopolymers<sup>294,295</sup>

Material factors	Medium related factors	
	Physicochemical factors	Biological factors
Molar mass	Moisture content	Microbial activity
Polymer composition	pH value	Microbial diversity
Steric configuration	Temperature	Microbial population density
Size, shape and surface area	Availability of oxygen	
Melting and glass transition temperature	Availability of nutrients	
Polymer crystallinity	Redox potential	
Porosity		
Material thickness		
Additives		
Fillers		



$\text{Ca}^{2+}$ ,  $\text{Mg}^{2+}$ ,  $\text{Mn}^{2+}$ ,  $\text{Zn}^{2+}$ , and  $\text{Cu}^{2+}$  can affect enzyme production.<sup>301</sup> Metal ions also protect the enzymes against thermal denaturation.

## 6. Life-cycle analysis/environmental impact/circular economy of protein-based bioplastics

Approximately 2.5 billion tons of food are discarded annually on a global scale. The United States discards approximately 60 million tons of waste annually, while India discards around 74 million tons yearly. India generates ~74 million tonnes of food waste annually.<sup>302</sup> This food waste ends up in landfills where it rots and emits greenhouse gases, particularly methane, which is

~80 times more potent than carbon dioxide. Food waste holds around 6–8% of all anthropogenic emissions worldwide.<sup>303</sup> Additionally, crop residue has also contributed significantly to greenhouse gas emissions.<sup>304</sup> In India, crop residue accounts for approximately two-thirds of the total 683 million tons of residue generated annually.<sup>305</sup> Among these, 500 million tons are recycled, although 175 million tons are still left, and around 80 million tons are burnt in an unregulated manner. This unregulated burning is concerning, and we need to act on it either by recycling the crop residue and food waste or using it for energy production. Bioplastic production from food waste, biomass, and crop residue has gained popularity globally. No doubt, bioplastic production also emits greenhouse gases. However, the rate and extent of emission are low compared to petro-

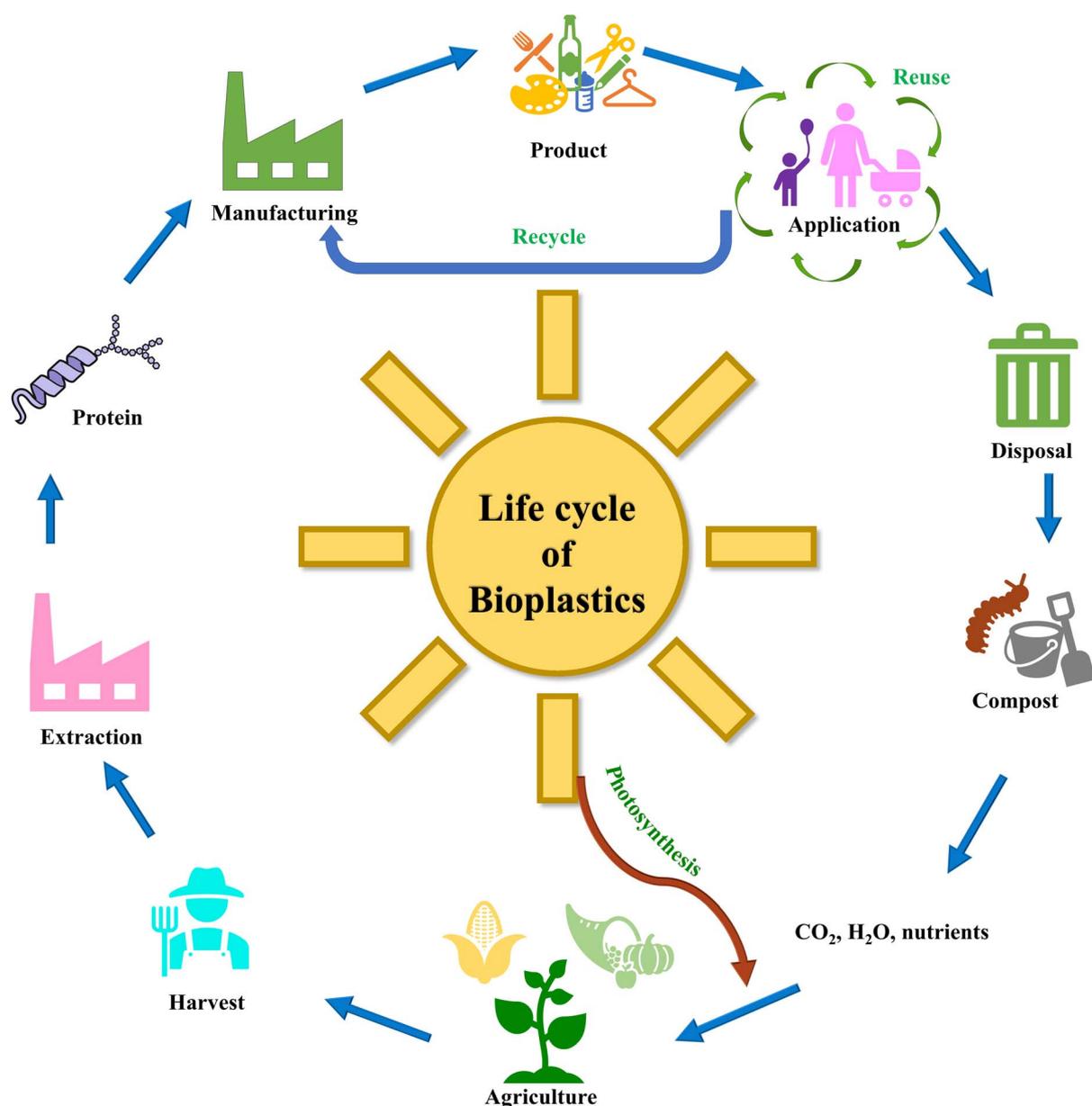


Fig. 5 Lifecycle analysis of protein-based bioplastics.



plastic production and crop residue incineration.<sup>306</sup> The greenhouse gas emissions range from 0.354 to 0.623 kg CO<sub>2</sub> eq. per kg for bioplastic, in contrast to 2.37 kg CO<sub>2</sub> eq. per kg for polypropylene as a petro-plastic.<sup>307</sup> These results show that bioplastic can replace petro-plastic, which will reduce greenhouse gas emissions and harm to the environment.

Protein-based bioplastics go through a whole cycle, from the growth of plants rich with raw materials to the biodegradation of those bioplastics. The overall life cycle of bioplastics includes the following steps (Fig. 5): (1) cultivation of plants/microorganisms, (2) recovery of plant residue, (3) refining of raw materials, (4) recovery of proteins, (5) manufacturing of bioplastic, (6) distributing and using the bioplastics for various purposes, (7) disposal of bioplastics, and (8) composting/biodegradation/use as an animal feed. The compost can again return to earth and help grow new plants and complete the cycle. If the protein-based bioplastics are edible (made with natural and edible ingredients), they may be a nutritious animal feed.

Life cycle analysis is a comprehensive research methodology that examines and evaluates energy requirements and harmful environmental effects associated with every phase of the life cycle of manufactured goods, starting from the extraction of raw materials and continuing through manufacturing, warehousing, filling up, distribution, use, disposal, and reprocessing.<sup>307</sup>

## 7. Potential uses of protein-based bioplastics

The first 80 years of the plastics industry produced products primarily from biopolymers, such as cellulose, casein, shellac,

and ebonite. One can track the early applications and uses of protein-based bioplastics to the early 1900s, when casein, soy, and gelatine were used to create protein-based bioplastics. The ability of casein proteins to form hydrophobic films was known from historical times and found uses in paints and coatings. In the late 1800s, Adolf Spittler patented the technology of creating hard plastic by crosslinking casein protein and manufactured products such as buttons, boxes, cases, and umbrella grips.<sup>308</sup> In the early 1900s, until World War II, several products based on plant proteins, such as films, coating, and textiles (commercially azlons), were commercially available. Wool-like fibres were created from casein, soy, corn zein and peanut protein.<sup>309</sup> It is interesting to note that in 1936, Ford Motors produced a million cars; each had around 15 pounds (~7 kg s) of soy-plastic parts—in gearshift knobs, window frames, electrical switches, horn buttons, and distributor caps.<sup>310</sup> Nonetheless, with the arrival of petroleum-based products, which were easier to produce, low-cost, and relatively superior properties to protein-based bioplastics, production and use of protein-based bioplastics became obsolete after WWII. Though limited products from protein-based bioplastics are commercially available today, the early applications and commercial success bolster the idea of replacing fossil-derived plastics with protein-based bioplastics. Protein-based bioplastics may have varied uses. A few of them are listed below (Fig. 6).

### 7.1 Packaging materials

Most packaging materials come under SUPs, such as food wrappers, edible packaging solutions, packaging materials for clothes, gift packaging solutions, or shopping bags to carry fruits and vegetables. A recent report showed that coating

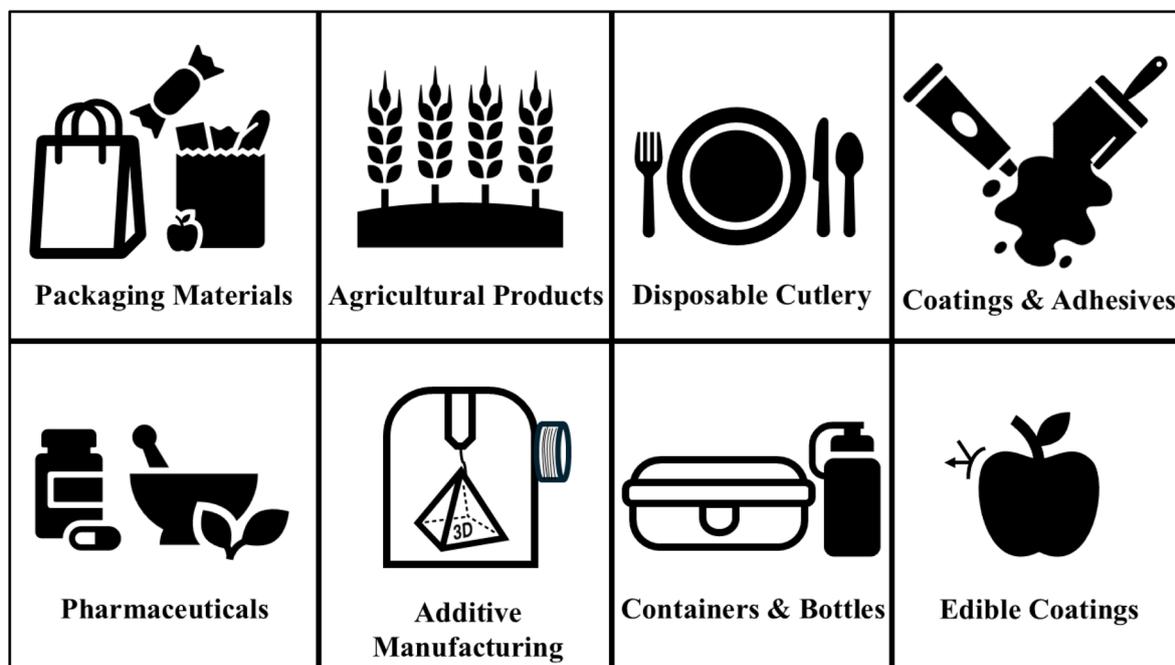


Fig. 6 A few potential applications for protein-based bioplastics.



a blend of soybean protein isolate and montmorillonite on paper improved oil resistance, barrier performances, and mechanical properties.<sup>311</sup> Another work showcased that small additions of curcumin (~2%) led to a pH-responsive material with improved mechanical strength, surface hydrophobicity, UV barrier property, antimicrobial activity and water vapour permeability, making it a valuable functional biomaterial for food packaging applications.<sup>312</sup> These studies indicate that blending proteins with functional materials can lead to innovative packaging solutions.

### 7.2 Edible coatings

An emerging application of protein-based bioplastics is in the coating of fresh produce to improve its taste, texture, appearance, and shelf-life by protecting against mechanical abuse, regulating moisture and gas transport, and providing barriers to UV and visible radiation.<sup>28</sup> A recent research effort showed that whey protein, alginate, and curcumin blends are effective edible coatings. It demonstrated its effectiveness for the preservation of apples. In this blend, alginate provided the coating matrix, and whey protein imparted hydrophobicity and antioxidant properties. Curcumin helped increase the film's opacity, hydrophobicity, antioxidant activity, and UV-blocking efficiency.<sup>313</sup>

### 7.3 Disposable products

Disposable cutlery such as plastic spoons, bottles for storing personal hygiene products like shampoo soap, and medical disposables can be made using bioplastic materials or bioplastics blended with fossil-derived plastics.<sup>102,314–316</sup> To date, no reports show the applications of protein-based bioplastics to produce disposable products. Given the history of protein-based bioplastics and their processability in injection moulding and thermomoulding processes,<sup>310</sup> we envision that protein-based bioplastics or protein-coated paper-based biodegradable, disposable products will soon become a reality.

### 7.4 Agricultural products

Mulching films and vine clips. Mulch films provide soil cover and promote suitable growth opportunities for plants by impeding moisture evaporation from the soil. Protein-based films with low water vapour permeability can be an apt solution for mulching as they will naturally degrade over time without removing plastic covers. Protein-based films and coatings can also help reduce soil erosion control to barren or erodible soils, providing temporary cover and protection in environmental restoration projects. Protein sheets decompose over time *via* an amicrobial process and replenish nutrients in the soil.<sup>317</sup> Hydrolyzed protein-based mulching coatings performances were comparable with the low-density polyethylene mulching film.<sup>318</sup> Furthermore, proteins-based systems can help in the controlled delivery of nutrients, pesticides, and antibiotics in plants/crops to ensure high growth rates and achieve superior produce quality while minimizing the waste of resources and environmental impact.<sup>319,320</sup>

### 7.5 Containers and boxes for storing and transporting goods

To date, no report shows the development of containers for storing and transporting goods. Pea protein-based automobile parts were a reality, suggesting that protein-based bioplastics products such as containers and boxes can be tough and durable.<sup>310</sup>

### 7.6 Coating and adhesives for non-edibles

Bioplastic coatings have many favourable properties, like excellent grease barring, good sealing properties, stability, and drawability. These coatings can thus be used in carton board packaging, drinking cups, and confectionery boxes. For instance, coatings made from soy protein hydrolysate and gelatin protein crosslinked by tannic acid show stability under aqueous washings and are effective against bacteria and biofilm formation. These antimicrobial coatings can be applied on food-contacting surfaces in food processing industries.<sup>321</sup>

### 7.7 Encapsulation and packaging of pharmaceutical drugs and cosmetics

Proteins are biocompatible, bioresorbable, and minimally immunogenic with great functionalisation possibilities. Thus, proteins are prime candidates for encapsulating and protecting active pharmaceutical ingredients, cosmetics, and fragrances. Further, encapsulation masks the taste, extends the shelf-life of encapsulated substances, transports them to the regions of interest in the body, and facilitates controlled release.<sup>322</sup> Many plant or algae protein fragments are physiologically important and called bioactive compounds. They play a great role in developing new cosmetic formulations.<sup>323</sup> Thus, we see great potential for plant-based protein bioplastics in encapsulating and packaging drugs and cosmetics.

### 7.8 Other applications

Though not reported, we believe that the protein-based bioplastics have great potential to replace fossil-derived plastics used in other commonplace products such as pens, sports equipment like cricket stumps, balls, bats, helmets, mouth guards, shin pads and face shields, footwear and childcare products like milk bottles.

## 8. Challenges: proteins as bioplastics

Although bioplastic research dates back to the 1980s, large-scale implementation is in its infancy due to several challenges encountered during the implementation phase. A multifaceted approach includes identifying appropriate protein sources, optimising processing conditions, developing effective testing protocols, and matching proteins-based materials for apt applications. Research and development of bioplastics demand a heavy investment of time, effort, and money. Some major problems faced while implementing bioplastics are as follows.



### 8.1 Competition against petroleum-derived plastics

Competition from fossil-derived plastics is fierce. Protein-based bioplastics must possess mechanical properties at par with commonly used fossil-derived plastics. This primary material selection criterion often requires additional raw materials and processing, leading to inflated costs.

### 8.2 Raw material sourcing

The limited availability of specific protein sources in certain regions limits the scalability of bioplastic production. The use of food proteins is not a viable option for a country like India, where insufficient protein reaches citizens' plates. Hence, development using alternative protein sources is essential. The ideal scenario is manufacturing bioplastics from untapped or waste sources, such as unused agro wastes, dried leaves, aquatic weeds, food wastes, or silk industry wastes. Still, some of these resources might be scarce in regions such as Rajasthan in India. Hence, new strategies need to be developed. Proteins themselves are heterogeneous polymers, and their properties vary widely. Moreover, proteins obtained from different sources or regions often have different compositions, properties, and behaviours; therefore, maintaining quality will be challenging. Such variations limit the application of protein-based bioplastics for specific applications that require strict quality control. Cost of production: the cost of production is a limiting factor for the wide adoption of bioplastics in price-sensitive markets of middle and low-income countries such as India. Cost analysis of bioplastics produced from carbohydrates is only available. Still, commercial production of protein-based bioplastics has yet to be realised; thus, we currently lack a proper cost analysis of protein-based bioplastics.

The factors that come into play during cost analysis are the costs of the raw material, the thickness of the product required, the costs of reagents and the equipment used for production. Hence, it is difficult to pinpoint the exact costs involved in producing a particular bioplastic. A recent techno-economic analysis study of whey protein-based bioplastic production showed that the plant may achieve break-even in 2.4 to 3.7 years.<sup>324</sup> A similar study discusses the cost competitiveness of sustainable bioplastic feedstocks by comparing PLA production from corn starch and corn stover.<sup>325</sup> Though both studies are not for plant-based protein bioplastics, they provide some insight into the costs involved in the production of bioplastics.

The cost and scalability of sourcing and extracting proteins from various sources can be challenging. Some protein sources may be expensive or difficult to obtain in large quantities, limiting the scalability of bioplastic production.

### 8.3 Sensitivity of bioplastics to external factors

Protein-based bioplastics are sensitive to environmental factors such as temperature and humidity. This sensitivity can affect their properties, making them unreliable compared to fossil-derived plastics. Improper decomposition: owing to the lack of proper knowledge and awareness, bioplastics often end up in landfills, deprived of the oxygen needed for decomposition.

Improper disposal can cause a release of methane. When bioplastics are not discarded separately, they can contaminate batches of recycled plastic and harm recycling infrastructure.

### 8.4 Environmental impacts

Harvesting large amounts of plants to obtain bioplastics can disrupt ecosystems. For example, large-scale surface seaweed cultivation and harvesting can block light and reduce dissolved oxygen in the water, which is vital for aquatic organisms.

### 8.5 Social issues

Food and nutrition-related rules are necessarily stringent. Regulatory approval of protein-based bioplastics can be lengthy, adding time and costs to the process and slowing the implementation of protein-based bioplastics for food packaging solutions. Educating consumers and businesses on the benefits of bioplastics and associated challenges is critical to driving adoption and creating demand. Awareness is still evolving, and the demand for sustainable products is growing, but there is an urgent need to accelerate this process to prevent further harm to the planet.

The government has shown support for propelling sustainability in the nation; thus far, it has been inadequate. The policies undertaken have not been effective. A lack of such support has hindered the shift from petroleum-derived plastics to biodegradable materials. Without financial and policy support, many have found it challenging to invest or scale up the production of bioplastics, which resulted in a lack of standardisation and regulation in the bioplastics industry, further impeding the growth and spread of this industrial sector.

## 9. Future prospects: the way forward

The future prospects for bioplastics are promising. With the increasing focus on sustainability and environmental consciousness, there is a growing demand for eco-friendly alternatives to traditional plastics. As research and development in the bioplastics sector continue to advance, there is potential for bioplastics to become a viable and competitive alternative. To achieve this, the industry must address many challenges, such as sourcing raw materials sustainably, optimising production processes, and scaling up production to meet demand. One of the most important reasons bioplastics have been unsuccessful in replacing conventional plastics is because of their cheap and easy availability. Bioplastic manufacturing costs are exuberant, and sufficient demand is non-existent to offset these costs by working out economies of scale. The manufacturing cost must be lowered by innovating new processes. Sources for the bioplastics must be biocompatible and eco-friendly. During manufacturing, one also needs to ensure that the manufacturing process is non-polluting so that the environment is safeguarded and one evil is not replaced.

The processing conditions used to create bioplastics can significantly impact the functionality and behaviour of proteins. Hence, processing conditions need to be optimised to develop



bioplastics with desirable properties, and certain additives and processing techniques discussed earlier can help achieve this. They are under testing to produce products with better mechanical properties such as strength, durability and permeability to expand into new application areas. Further development in protein extraction, processing modification and moulding is required to enhance the efficiency of the production process.

The policy-makers play a crucial role in the transition to bioplastics. The government must encourage research and development by incentivising and providing funding. Additionally, governments can facilitate this revolution for sustainability by implementing policies that prioritise using environmentally friendly materials. Regulatory bodies need to ensure that bioplastic production meets safety and quality standards, which will encourage their adoption by consumers and businesses. Overall, the way forward for bioplastics lies in continued innovation, collaboration, and investment from both the public and private domains.

## 10. Conclusion

Tackling the problems of pollution and plastic accumulation is a vast job. One effective solution is to replace single-use plastics with entirely recyclable or biodegradable choices before irreversible harm is done to the environment. Bioplastics that are biodegradable, durable, and clean might soon dominate various plastic-based sectors and become viable alternatives to plastics. Vegetative proteins are widely available and can be used to manufacture bioplastics. Raw material for this purpose can be sourced from waste products or untapped sources, as these do not compromise the country's food resources. Additives and processing techniques help us fine-tune the properties of protein-based bioplastics. They are under testing to produce products with better mechanical properties such as strength, durability and permeability to expand into new application areas. Further development in protein extraction, processing, modification and moulding is required to meet product specifications for industrial applications.

There have been many challenges hindering the implementation of bioplastics. Production cost has been a major limiting factor in the spread of bioplastics. Thus, they fail to compete with inexpensive petroleum-based plastics. Process optimisation is a need of the hour to reduce costs and make these sustainable materials economically viable. Though government policies push for improvements, it is also up to the public to be aware of innovations and adapt them to their daily lifestyles. Corporations can be specifically expected to recognise the problem and revise their ways by integrating sustainability within their developments.

## Data availability

This review article did not include any primary research results, software or code. The authors did not generate any new data or analyse any data as part of this review.

## Author contributions

Aditya Patel: conceptualization, visualization, validation, investigation, methodology, formal analysis, writing – original draft, and writing – review & editing. Rushabh Murali: writing – original draft, writing – review & editing, visualization. Heena Choudhary: writing – original draft, writing – review & editing, visualization, formal analysis. D. Purnima: supervision, writing – review & editing. Ramendra Kishor Pal: conceptualization, visualization, validation, investigation, methodology, formal analysis, writing – original draft, writing – review & editing, resources, supervision.

## Conflicts of interest

The authors of this review confirm that this manuscript has not been previously published and is not currently being considered by any other journal. To our knowledge, none of the authors have any conflict of interest, financial or otherwise.

## Acknowledgements

The authors acknowledge the Department of Chemical Engineering, BITS Pilani, Hyderabad Campus and Pilani Campus for providing facilities, resources, and guidance.

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