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Restricting intramolecular motion converts non-fluorescent semicroconaine dyes into turn-on aggregation-induced emission probes†

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Bucking the trend of all other polymethines, semicroconaines are virtually non-fluorescent ($\Phi_f < 0.1\%$) dyes. But their fluorescence could be increased through external physicochemical factors, thereby converting them into turn-on fluorescent probes. To test this hypothesis, we analyzed excited-state dynamics of a small library of semicroconaines bearing various auxochrome substituents to determine how they lose excited-state energy. Using a combined experimental/quantum-chemical approach, we found that isomerization of their methine bridge leads to non-radiative S_1-S_0 relaxation through a conical intersection. This relaxation pattern was consistently identified in semicroconaines with various auxochrome substituents ($-F$, $-I$, $-OCH_3$, $-SO_3H$ and $-NO_2$). Just as consistently, their fluorescence in solution significantly increased when increasing solvent viscosity and inducing complexation with two macromolecules, namely glycoluril dimer and DNA. Therefore, semicroconaine dyes display turn-on aggregation-induced emission, a mechanism that may be exploited for macromolecular sensing under physiological and pathological conditions.

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Introduction

Commonly used in imaging and diagnostics, polymethines encompass a large class of small molecule fluorophores.^{1–3} In their simplest form, polymethine dyes consist of a conjugated carbon chain terminated by electron-donating and -withdrawing groups (Fig. 1). These groups can be rationally designed to modulate the photophysical and physicochemical properties of these dyes and to increase their stability.^{4–6} Case in point, polymethines with conjugated cyclic moieties, such as squarate subunits embedded in squaraines, often replace archetypal linear polymethines.⁷ These polymethines show enhanced photophysical

properties, particularly their fluorescence quantum yield, because the planar conjugated subunit rigidifies their otherwise flexible carbon chain.^{8,9} Another rigidifying subunit is croconate, formally a pentamer of carbon monoxide and a higher analog of squarate. Polymethines with embedded croconate are known as croconaines and semicroconaines (Fig. 1).

(Semi-)croconaines are typically synthesized through a one-step condensation reaction between an electron-rich aromatic heterocycle and croconic acid.¹⁰ Also leveraging the well-known reactivity of squaric acid, alternative synthetic procedures include carbodiimide activation,¹¹ microwave-assisted,¹² green-chemistry approaches with choline chloride/urea mixtures as solvent and catalyst,¹³ and solvent-free methods.¹⁴ Independently of their synthetic procedure, semicroconaines share the high stability and absorptivity of squaraines.¹⁵ But under the same conditions, semicroconaines show fluorescence emission quantum yields approximately 2 orders of magnitude lower than those of polymethines and other squaraines.⁷ Consequently, (semi-)croconaines can be applied as absorption-change-based chemometers,¹⁶ photothermal therapeutic agents¹⁷ and in photoacoustic imaging,¹⁸ but not as fluorescence probes for imaging, in contrast to squaraines⁷ and linear polymethines. Moreover, the reasons for the low fluorescence quantum yields of semicroconaines are still unknown, raising major questions. “How do semicroconaines lose their excited-state energy? Can we prevent their non-radiative loss of excitation energy?”

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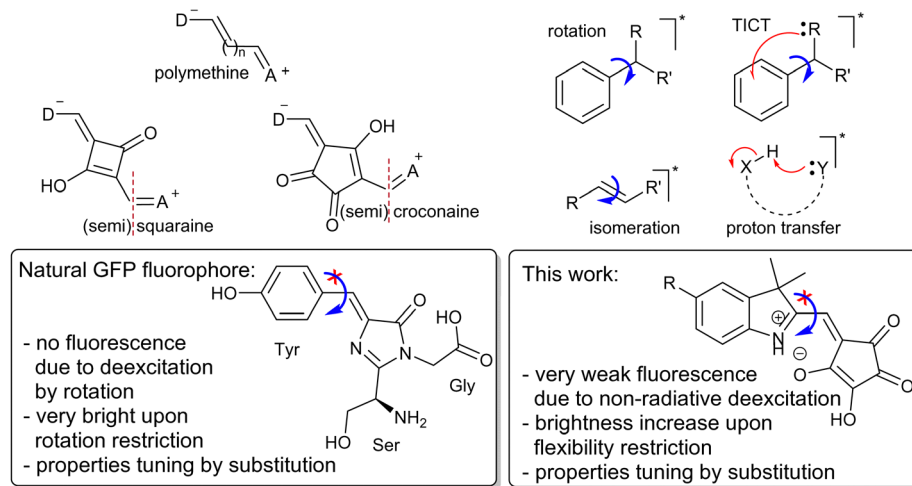



Fig. 1 General polymethine, squaraine and croconaine (top left) structures and the most common non-radiative deexcitation pathways (top right; TICT stands for twisted intramolecular charge transfer). As with HBDI (bottom left), confining the rotation of semicroconaines **2** (bottom right) may increase their fluorescence substantially.

Broadly speaking, non-radiative loss of excitation energy can proceed through several pathways, including thermal dissipation, energy or electron transfer, intersystem crossing, excited-state chemical reaction and structural reorganization.¹⁹ Structural reorganization is the most prevalent mechanism in polymethines, whether involving intramolecular motion (deformation and rotation),^{20,21} double-bond isomerization,^{22,23} twisted intramolecular charge transfer (TICT),²⁴ or reversible intra- and intermolecular proton transfer.²⁵ Regardless of mechanism, structural reorganization can be controlled by restricting the molecular geometry (*e.g.*, through aggregation or host-guest interactions) to promote radiative deexcitation pathways. The resulting phenomenon is known as aggregation-induced emission (AIE).^{26,27}

As a paradigmatic example of an AIE probe, hydroxybenzylidene-1,2-dimethylimidazolinone (HBDI) shows a significant increase in fluorescence quantum yield upon geometric restriction.²⁸ Most notably, HBDI encapsulated into the β -barrel cavity of green fluorescent protein (GFP) displays a ≥ 100 -fold higher fluorescence quantum yield ($\Phi_f \sim 1$) than the free fluorophore in solution (with $\Phi_f < 0.01$)²⁹ because its methine bridge is no longer able to undergo excited-state isomerization – a major, nonradiative deexcitation pathway of HBDI³⁰ (Fig. 1). In other words, fluorescence emission becomes the main deexcitation pathway when the nonradiative deexcitation pathway is hindered by geometric restriction.

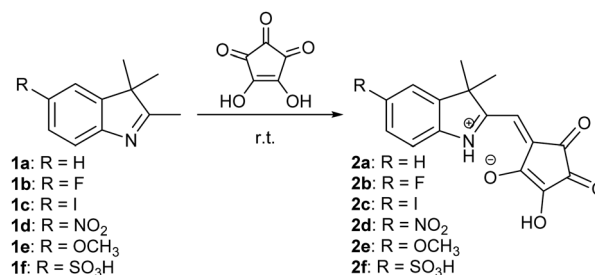
Geometric restriction can also be induced by encapsulation into other macromolecules, such as “octa acids”,^{28,31} by DNA-intercalation,^{32,33} or by increasing the viscosity of the solvent.^{34,35} Based on their structural similarity to HBDI, we hypothesized that semicroconaines could also be converted into fluorescent probes by geometric restriction. However, their underlying mechanism of non-fluorescent deexcitation remains unknown. Therefore, further research must be conducted to investigate the photophysics and deexcitation processes of semicroconaines.

Using a combined spectroscopic and quantum-chemical approach, this study aims at leveraging geometric restriction

to improve semicroconaine fluorescence. For this purpose, we prepared and characterized a small library of indolenine-semicroconaines bearing various auxochrome substituents ($-F$, $-I$, $-\text{NO}_2$, $-\text{OCH}_3$, and $-\text{SO}_3\text{H}$) to assess whether methine bridge isomerization is the main deexcitation pathway in semicroconaines. Subsequently, we (1) increased the viscosity of the solvent medium, and (2) promoted interactions with various macromolecules to prevent non-radiative deexcitation pathways. The ultimate goal of this research was to convert semicroconaines into turn-on AIE probes.

Results and discussion

We synthesized a library of semicroconaine dyes (**2a–2f**) in 10–50% overall yield by a one-pot condensation of a series of indolenines (**1a–1f**) with croconic acid under conditions optimized by Capozzi *et al.* (water/acetone, 1 : 1, (v/v)) (see ESI,[†] for more details) (Scheme 1).¹⁵ All dyes **2** were relatively chemically stable in methanol and aqueous (including buffered) solutions in the dark (Table S8, ESI[†]). In both MeOH and PBS, **2** was also photochemically stable upon irradiation with a $\lambda_{\text{exc}} = 572$ nm LED (see ESI,[†] for more details).



Scheme 1 Synthesis of semicroconaines **2a–2f**.



The fluorescence quantum yield of semicroconaine dyes increases with solvent viscosity

To assess solvent effects on semicroconaines dyes, we analyzed the spectroscopic properties of **2a–2f** in solvents (chloroform, DMSO, acetonitrile, tetrahydrofuran, methanol and PBS; Table 1 and Tables S6, S7, ESI†) with varying (i) polarity, (ii) H-donor/H-acceptor ability, and (iii) viscosity by steady-state, UV-vis spectroscopy. In all compounds, absorption maximum was observed in the 560–570 nm range, with weak ($\Phi_f < 0.1\%$) or below-the-limit-of-quantification (LoQ) emission in the 600–630 nm range. The full list of spectroscopic properties of the dyes is presented in Table 1 for methanol solutions and in Table S6 for other solvents, with more details in ESI†.

Stokes shifts fell within the 900–2100 cm^{-1} range, close to that of analogous pentamethines ($\leq 900 \text{ cm}^{-1}$),^{36,37} with only minor geometric differences between absorbing and emitting species. This interpretation was supported by TD-DFT calculations, which show that S_0 – S_1 transitions of **2a–2f** have a π – π^* character with only a minor charge transfer character (except for **2d**; ESI†, Chapter S11.3). Moreover, no significant relationship between absorption/emission band maxima and electronic effects of the *R*-substituent was found in any of the semicroconaines dyes analyzed in this study (Scheme 1; quantified using the Hammett constant).

No significant correlation was found between the photophysical properties of **2a–2d** and solvent polarity or hydrogen-bonding ability either (Table S6, ESI†). However, we did observe significant changes in emission band shapes and fluorescence quantum yields as a function of solvent viscosity (Table S5, ESI†). To better characterize this effect, we measured UV-vis, transient absorption and emission spectra in carefully selected binary DMSO/glycerol solvent mixtures with constant polarity across a wide range of glycerol molar fractions and, hence, viscosity values (from $\eta = 2.2 \text{ cP}$ to $\eta = 100 \text{ cP}$).³⁸

The hydrogen-donating ability of the solvent increased with the molar fraction of glycerol, potentially stabilizing negatively charged species such as the nitro group in the excited state. However, the absorption spectra of **2a–2d** did not vary significantly with the glycerol molar fraction (Fig. S52, S54, S56, S58, ESI†), suggesting that hydrogen bonding between the solvent and semicroconaines does not play a major role. The minor

changes observed in the emission spectra of **2a–2c** in both low (DMSO, $\eta = 2.2 \text{ cP}$) and high (DMSO/glycerol, $x(\text{gly}) = 0.615$, $\eta = 100 \text{ cP}$) viscosity media indicate that solvent viscosity has only a weak effect on the radiation deactivation pathway. This inference is supported by the small (~ 2 -fold increase) change in the Φ_f of **2a–2c** (Table S5, ESI†).

In contrast to **2a–2c**, the emission band of **2d** is significantly broadened, with a shoulder at $\lambda = 730 \text{ nm}$ (Fig. 2) in low-viscosity medium (DMSO, $\eta = 2.2 \text{ cP}$), but not in high-viscosity medium (DMSO/glycerol, $x(\text{gly}) = 0.615$, $\eta = 100 \text{ cP}$). This shoulder in the emission spectra of **2d** in low-viscosity medium may be explained by the known effect of its nitro group, which can rotate out of plane in the excited state.^{39,40} So in the low-viscosity medium (DMSO), the locally excited singlet state (^1LE) relaxes by geometrical reorganization of the nitro group into a twisted charge-transfer state with a weak, red-shifted emission ($\lambda \sim 730 \text{ nm}$).^{41,42} As a result, the partial charges on electron-donating and -withdrawing moieties of these semicroconaines can be affected by the viscosity and hydrogen-bonding ability of the solvent, respectively.

Based on this evidence, in low-viscosity media, **2d** undergoes a large geometry change in the excited state. In line with these findings, the fluorescence emission quantum yield of **2d** increased ~ 7 -fold from 0.08 to 0.53% (Table 2 and Table S5, ESI†), also implying that the nonradiative deactivation pathway changes with the increase in solvent viscosity. This viscosity effect may be attributed to donor–acceptor interactions between the electron-rich indolenine moiety and the electron-withdrawing nitro group of **2d**.

High viscosity media impair the non-radiative S_1 -deexcitation of semicroconaines

We further investigated the deexcitation pathways of **2a–2d** by time-resolved absorption and emission spectroscopy. More specifically, we used femto- and nanosecond transient absorption (fs- and ns-TA) and fluorescence up-conversion spectroscopy (FLUPS) to shed light on excited-state dynamics in S_1 , in three DMSO/glycerol solvent mixtures ($\eta = 2.2$, 50 and 100 cP) (see ESI† for more details). In fs-TA, the samples were excited to S_1 by a 40–45-fs laser pulse centralized around 540 nm (close to the absorption maxima of **2**)

Table 1 Spectroscopic properties of **2a–2f** in methanol^a

Cmpd ^b	$\lambda_{\text{abs}}^{\text{max}}/\text{nm}$	$\epsilon_{\text{max}}/\text{mol}^{-1} \text{ dm}^3 \text{ cm}^{-1}$	$\lambda_{\text{em}}^{\text{max}}/\text{nm}$	$\Delta\tilde{\nu}/\text{cm}^{-1} \text{ c}$	$\Phi_f/\%$	$\epsilon\Phi_f^d$
2a	565 (564) ^e	13 000	600 (631) ^e	1032	0.01 \pm 0.01	1.3
2b	564 (565) ^e	17 200	602 (605) ^e	1119	Below LoQ ^f	n.m. ^g
2c	564	19 900	606	1229	0.04 \pm 0.01	8.0
2d	563	32 800	599	1068	0.01 \pm 0.01	3.3
2e	571 (568) ^e	1600	649 (640) ^e	2105	Below LoQ ^f	n.m. ^g
2f	564	49 000	607	1255	0.09 \pm 0.01	44.1

^a Methanol solutions: $A(\lambda_{\text{max}}) \cong 1$ ($c(\text{dye}) = 1\text{--}2 \times 10^{-3} \text{ mol dm}^{-3}$) was adjusted for absorption spectroscopy, and $A(\lambda_{\text{max}}) < 0.1$ ($c(\text{dye}) < 8 \times 10^{-6} \text{ mol dm}^{-3}$) was used for emission spectroscopy and fluorescence quantum-yield measurements; all experiments were performed at 22 °C. ^b Structures are shown in Scheme 1. ^c Stokes shift. ^d $\epsilon\Phi_f/\text{mol}^{-1} \text{ dm}^3 \text{ cm}^{-1}$; fluorophore brightness (the product of the molar absorption coefficient at $\lambda_{\text{em}}^{\text{max}}$ and the corresponding fluorescence quantum yield). ^e Data from the literature. ^f Below the limit of quantification (LoQ). ^g Not measurable.



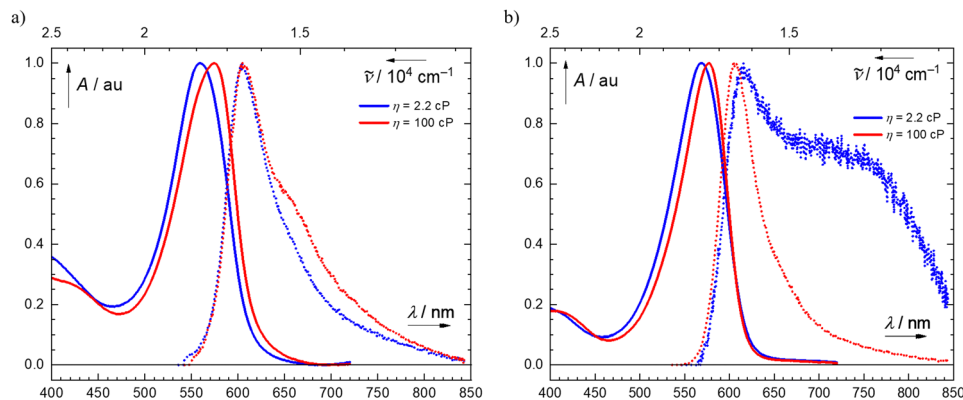


Fig. 2 Normalized steady-state absorption (solid line) and emission (dotted line) spectra of (a) **2a** in DMSO ($\eta = 2.2$ cP, blue) and in DMSO/glycerol ($\eta = 100$ cP, red) (left panel); and (b) **2d** in DMSO ($\eta = 2.2$ cP, blue) and in DMSO/glycerol ($\eta = 100$ cP, red) (right panel).

Table 2 Interrelationships and time constants of spectral components A, B and C observed by time-resolved absorption and emission spectroscopy (fs-, ns-TA and FLUPS, respectively) and typical spectral ranges of these components (top panel). The lifetimes of the spectral components were assessed upon excitation of **2a** and **2d** in DMSO ($\eta = 2.2$ cP) and in DMSO/glycerol ($\eta = 100$ cP). The fluorescence emission quantum yields integrate the emission of both A and B. All measurements were conducted in aerated media

		A(S _I) → B(S _I) → C				
Observed by:		fs-TA, FLUPS	fs-TA, FLUPS	fs-TA, ns-TA		ns-TA
		$\lambda_{\text{abs}}(\text{max}) \sim 565\text{--}590 \text{ nm}$	$\lambda_{\text{abs}}(\text{max}) \sim 565\text{--}585 \text{ nm}$			
Monitoring wavelengths:		$\lambda_{\text{em}}(\text{max}) \sim 610 \text{ nm}$	$\lambda_{\text{em}}(\text{max}) \sim 610 \text{ nm}$	I_{fl}	$\lambda_{\text{abs}}(\text{max}) \sim 460 \text{ nm}$	$\lambda_{\text{abs}}(\text{max}) \sim 640 \text{ nm}$
Cmpd.	η/cP^a	$\tau_A/\text{ps}^{b,e}$	$\tau_B/\text{ps}^{c,e}$	$\Phi_f/\%$	τ_C/ns^d	τ_C'/ns^d
2a	2.2	1.9 ± 0.1	49.9 ± 0.2	0.32	212 ± 20 ^f	n.o. ^{f,g}
	100	4.2 ± 0.1	47.8 ± 0.2	0.53	10 600 ± 100	n.o. ^g
2d	2.2	2.8 ± 0.1	12.7 ± 0.2	0.08	830 ± 50 ^h	864 ± 30 ^h
	100	5.6 ± 0.2	45.5 ± 0.3	0.53	13 000 ± 2000 ⁱ	13 500 ± 700 ⁱ

^a For more details on the solvent mixtures composition, please refer to the ESI (Table S4). ^b For more details on spectral component A, and its properties, please refer to ESI (Table S10). ^c For more details on spectral component B, and its properties, please refer to ESI (Table S10). ^d For more details on spectral component C, and its properties, please refer to ESI (Tables S10 and S11). ^e The spectral components were observed by both TA and FLUPS, but the respective τ values were measured by FLUPS (Table S10). ^f Measured in methanol (Fig. S117, S119 and S120, ESI). ^g Not observed. ^h In degassed media, the observed lifetimes were $\tau_C = 138 \pm 5$ μs (Fig. S142, ESI) and $\tau_{C'} = 140 \pm 7$ μs (Fig. S143, ESI). ⁱ In degassed media, the observed lifetimes were $\tau_C = 113 \pm 3$ μs (Fig. S149, ESI) and $\tau_{C'} = 111 \pm 5$ μs (Fig. S151, ESI).

before recording absorption spectra in the 490–750 nm range using a continuum white-light in times up to 1.2 ns. The resulting spectra were interpreted by global lifetime analysis using a sequential kinetics model (see ESI[†] for more details). All compounds shared similar spectral features in fs-TA, as shown by the negative signals of ground state bleach (GSB) and stimulated emission (SE) in the region of $\lambda \sim 500\text{--}700$ nm (Fig. 3). Our global lifetime analysis revealed three components, corresponding to the sequential $A \rightarrow B \rightarrow C$ kinetic model.

As shown by fs-TA, after excitation, the initially excited hot S_1 species undergoes ultra-fast vibrational cooling (spectral component A, $\tau_A \sim 3\text{--}9$ ps) to a relaxed S_1 state (spectral component B, $\tau_B \sim 15\text{--}55$ ps) before rapidly returning to the ground state, either directly or through C. The time constant of the initial relaxation process significantly increases with viscosity, suggesting that the relaxation involves a significant structural change. FLUPS also showed two spectral components, with $\lambda_{\text{em}} \sim 610$ nm, corresponding to A and B based on their

matching lifetimes (see Table S10 for details, ESI[†]). As such, both A and B are emissive components, whereas C undergoes only non-radiative decay.

C is the last spectral component shared by all studied compounds (**2a–2d**). This component decays on a much longer timescale ($\tau_C \gg 2$ ns) than that achievable by fs-TA. Moreover, the lifetime of C is highly sensitive to oxygen, with ~ 100 -fold longer lifetimes in the absence of oxygen. For example, for **2d**, the lifetime of C is ~ 0.8 μs in aerated DMSO vs. ~ 240 μs in deoxygenated DMSO (ns-TA, Table 2 and Table S11, ESI[†]). Corroborating the observed quenching of C by the native, triplet oxygen, we detected sensitized singlet oxygen in the presence of excited **2**, by spectrofluorimetry. The singlet oxygen was sensitized at quantifiable concentrations for **2c** ($\Phi_A = 0.018 \pm 0.001$ in methanol, see ESI[†] for details). At these concentrations, intersystem crossing (ISC) is enhanced by the iodine atom of **2c**. Thus, we identified C as a triplet T_1 state formed by $S_1\text{--}T_1$ ISC.



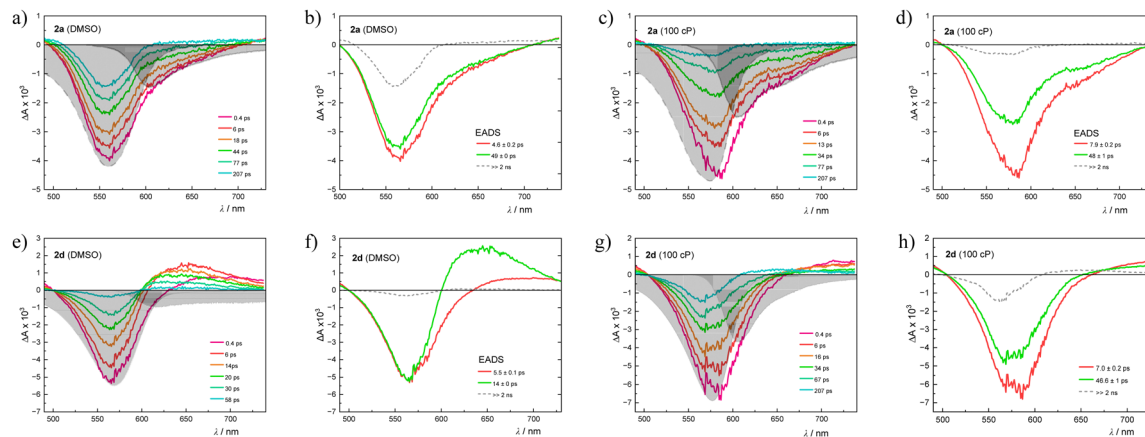


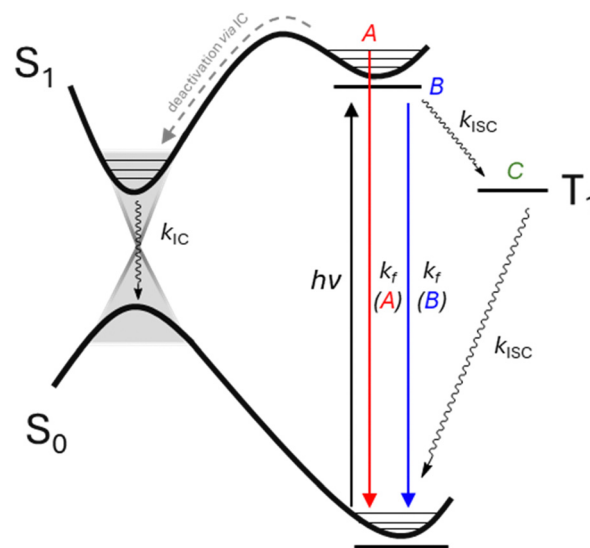
Fig. 3 Femtosecond transient absorption (fs-TA) spectra of **2a** (top row) and **2d** (bottom row) in either DMSO ($\eta = 2.2$ cP) (a) and (e) or DMSO/glycerol mixture ($\eta = 100$ cP) (c) and (g), alongside the respective deconvoluted spectra of components A (red solid line), B (green solid line) and C (grey dashed line, end spectrum) (b), (d), (f) and (h). The steady-state absorption (dashed grey line) and fluorescence emission (full grey line) spectra normalized to match the spectral shape of fs-TA spectra are superimposed for direct comparison.

Although the spectral features of **2a–2c** did not significantly vary, **2d** in DMSO displayed positive transient absorption signals, with $\lambda_{\text{max}} \sim 640\text{--}680$ nm on both ps and ns timescales (Fig. 3). Both steady-state and FLUPS measurements indicated that the initially excited hot S_1 species of **2d** (A) in DMSO yields a relaxed S_1 state with charge-transfer character (B'). The B' species of **2d** (i) has ~ 4 -fold shorter lifetime than that of **2a**, and (ii) its emission is red-shifted (~ 730 nm).⁴³

This clear difference in relaxed singlet state was confirmed by transient spectroscopy, given the positive absorption signal at ~ 650 nm on a ps timescale. Further ns measurements highlighted the relaxation of B' into a triplet state C' with charge transfer character and an oxygen-sensitive lifetime. As with B', C' was detected by the positive transient absorption signal at ~ 640 nm on a μs timescale.

Semicroconaine deexcitation involves three competing pathways

In examining the relationship between the lifetimes of the three spectral components (A–C) and solvent viscosity, we distinguished relationships found in **2a–2c** from those found in **2d** considering the results described above (Fig. 3 and Table 2). In **2a–2c**, the lifetime of A was ~ 2 times higher in high- than in low-viscosity medium. This increase in lifetime mirrored the increase in fluorescence emission quantum yield with solvent viscosity (Table S6, ESI†). By contrast, the lifetime of B remained unchanged when increasing the viscosity of the medium, failing to match the significant increase in the lifetime of A even though the rate of overall S_1 – S_0 internal conversion was affected by viscosity. Accordingly, the “hot” S_1 state A likely undergoes nonradiative deexcitation to S_0 more efficiently than the equilibrium S_1 state B through an orthogonal, viscosity-dependent deexcitation pathway facilitated by excited-state isomerization. Because fast relaxation from A to B depends on viscosity, the initially excited population should



Scheme 2 Model of excited-state dynamics of semicroconaine, representing absorption from the ground state S_0 to the excited-state S_1 ($h\nu$) and fluorescence emission (k_f) (straight black arrows) and non-radiative processes, such as internal conversion (IC, k_{IC}) and intersystem crossing (ISC, k_{ISC}); other processes are omitted for clarity. The spectral components are assigned to the respective states in the corresponding capital letters, in italics (A, B, and C).

not be located at the equilibrium geometry of the S_1 state (Scheme 2).

In **2d**, A follows the same trend, but the lifetime of B is ~ 4 times longer in high- than in low-viscosity medium (Table 2 and Table S10, ESI†). This increase in lifetime results from the charge-transfer character of the B' state in which the nitro group acts as an electron-accepting moiety. The formation of the charge-transfer triplet C' confirms that the nitro group plays a key role in excited-state electron distribution. Associated with a large structural reorganization, these changes in the electronic coupling of the nitro group in the excited state are



hindered by high viscosity media. Based on the combined results from (i) steady-state and (ii) time-resolved spectroscopy and from (iii) the relationship between spectral component lifetimes and solvent viscosity, we derived a model for semicroconaine deexcitation involving the following competing pathways:

- (1) An intramolecular deexcitation pathway facilitated by isomerization, with a major role in **2a–2d**;
- (2) S_1 - T_1 ISC, with a minor role in **2a–2d**;
- (3) NO_2 group coupling/decoupling (charge transfer in B' and C'), with a major role in **2d** in low viscosity environments.

S_1 -Deexcitation proceeds through excited-state methine bridge isomerization

ISC and the $-\text{NO}_2$ effect are well-known non-radiative deexcitation mechanisms, but the third pathway is not, despite being common to all semicroconaines analyzed in this study. To identify this deexcitation mechanism on a monomolecular level, we performed quantum-chemical calculations. Building upon ground-state isomer and TD-DFT energies previously reported by Capozzi *et al.*,¹⁵ we used **2a** as the model compound to describe excited-state dynamics. Based on the restricted Kohn–Sham density functional theory (in the ground state), we optimized the geometry of plausible conformers/isomers of **2a**, calculated their corresponding (climbing-image nudged-elastic band) minimum-energy isomerization pathways and optimized their respective transition states (Fig. 4). Based on the time-dependent density functional theory, we then calculated the unrelaxed

energy profile of the isomerization pathway in the first singlet excited state. Wherever the excited-state isomerization pathway approached the ground-state isomerization pathway, we used the closest available geometry as the starting geometry for S_1 - S_0 conical-intersection optimization (details are provided in ESI†).

In line with the literature,¹⁵ our conformational analysis using the optimized geometry of **2a** identified the starting geometry as the most stable conformer (Fig. 4, top row, left panel). All plausible geometry changes were then considered. Thus, we optimized the products of (**a**) intramolecular proton transfer, and (**b**) indolenine- and (**c**) croconate- moiety rotation (Fig. 4, top row).

Using these geometries, we applied the protocol described above (Fig. 4, bottom row). The results showed that proton transfer (**a**) is only subtly disfavored in the ground state and that **a** may be present in a non-negligible equilibrium concentration under experimental conditions given the particularly low reaction barrier ($\Delta E_{\text{TS}} = 2.0 \text{ kcal mol}^{-1}$; Table S21, ESI†). In the excited state, conversely, proton transfer is a highly disfavored, barrierless, uphill reaction, so **a** is likely readily converted into the common reactant geometry in S_1 . The S_1 and S_0 potential energy surfaces are well separated, with no crossing. Indolenine-moiety rotation (**b**) is disfavored in the ground state ($\Delta E_{\text{TS}} = 24.6 \text{ kcal mol}^{-1}$; Table S21, ESI†) and remains so in S_1 even though the energy profile is shallower (Fig. 4, bottom panel). The S_1 and S_0 potential energy surfaces are well separated, with no crossing. Croconate-moiety rotation (**c**) is strongly disfavored in the ground state and has a very high reaction barrier ($\Delta E_{\text{TS}} = 42.0 \text{ kcal mol}^{-1}$; Table S21, ESI†). But in the excited state, the transition geometry represents a local minimum on the S_1 potential energy surface and closely approaches the S_0 potential

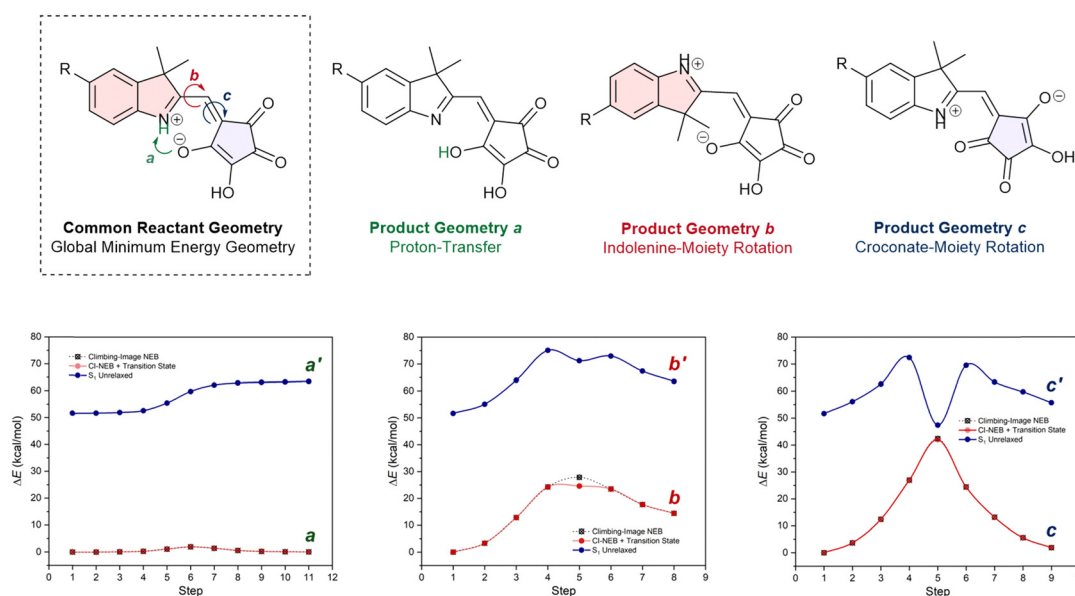


Fig. 4 Top row: schemes of the global minimum energy geometry of **2a** and geometries of the isomerization products. Bottom row: plots of nudged-elastic band pathways (dotted line) calculated at the B3LYP/def2-TZVP level; corrected pathway towards the analytically optimized transition state (full red line) and S_1 energy profile of the unrelaxed pathway calculated at the TD-B3LYP/def2-TZVP level for each image on the isomerization trajectory. All NEB pathways share the “Common Reactant Geometry” in Step = 1, which is also used to reference the energy at $0.00 \text{ kcal mol}^{-1}$. In each plot, product geometry is denoted as **a**, **b** and **c** (with an * in S_1).



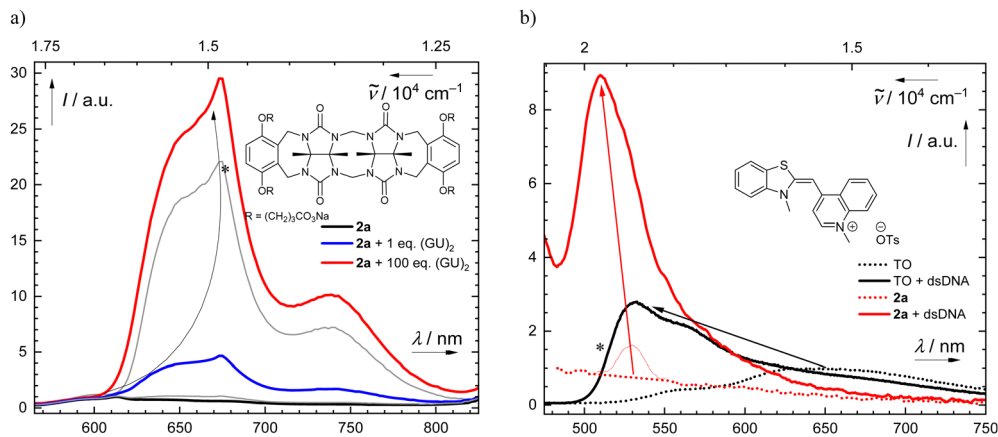


Fig. 5 Encapsulation “turns on” semicroconaine fluorescence: (a) steady-state emission spectra (upon excitation at $\lambda_{\text{exc}} = 555$ nm) of **2a** ($c = 1.2 \times 10^{-5}$ mol dm^{-3}) in PBS alone (black solid line) and with 0.1 eq. and 1 eq. (blue solid line) or 10 eq. and 100 eq. (red solid line) of glycoluril dimer-based clip (GU_2). Inset: (GU_2) structure;⁴⁴ (b) steady-state emission spectra (upon excitation at $\lambda_{\text{exc}} = 460$ nm) of thiazole orange (TO, $c = 1 \times 10^{-5}$ mol dm^{-3}) and **2a** (black dotted line); TO ($c = 1 \times 10^{-5}$ mol dm^{-3}) and dsDNA (0.1 eq.) in PBS (black solid line); **2a** ($c = 1 \times 10^{-5}$ mol dm^{-3}) (red dotted line; asterisk denotes a scatter artefact signal, which was subtracted to calculate the turn-on value, see ESI,[†] for more details); and **2a** ($c = 1.0 \times 10^{-5}$ mol dm^{-3}) and dsDNA (0.1 eq.) (black solid line). All spectra were recorded in PBS. The TO and **2a** spectra without dsDNA were normalized to each other, and the spectra of the dyes intercalated with dsDNA were scaled to keep the ratio of $I_{\text{em}}(\text{dye})/I_{\text{em}}(\text{dye} + \text{DNA})$. Inset: TO structure. Note: arrows indicate changes in the emission spectrum band upon adding (GU_2) (panel a) or dsDNA (panel b).

energy surface (Fig. 4, bottom row, right, step 5). Using this transition state geometry, we optimized the S_1 – S_0 conical intersection geometry (Fig. S171–S175, ESI[†]) and found that the optimized transition state geometry and the S_1 – S_0 conical intersection geometry are virtually identical. Therefore, croconate moiety rotation σ is the suspected deexcitation pathway.

Based on these findings, excited-state intramolecular proton transfer (ESIPT) may contribute to subtle changes in band shape between A and B as the ground-state population of the proton-transfer a is readily and exclusively converted into the excited-state population of the global minimum energy geometry. But while indolenine-moiety rotation does not contribute to the photophysical properties of semicroconaines **2** whatsoever, croconate moiety rotation provides the main non-radiative deexcitation pathway through an S_1 – S_0 conical intersection. For this reason, hampering this deexcitation pathway of semicroconaines by geometric restriction may convert them into “turn-on” AIE probes.

Host–guest complexation with macromolecules hinders excited-state isomerization of semicroconaines, “turning on” their fluorescence

To confirm that semicroconaines can be used as “turn-on” AIE probes, we induced the complexation of **2a** with an open (acyclic) glycoluril dimer-based clip (bis-*o*-xylylene-capped) (GU_2)⁴⁴ and double-stranded DNA (dsDNA) and measured its steady-state fluorescence. When adding (GU_2) to a solution of **2a** in water (with PBS), we observed an increase in fluorescence intensity ($\lambda_{\text{exc}} = 555$ nm) and, concurrently, a bathochromic shift of the fluorescence maximum. At low concentrations of

(GU_2) (up to 1 eq.), fluorescence intensity increased only marginally, but with an excess 100 eq. of (GU_2), fluorescence intensity increased up to 30-fold (Fig. 5a) (see ESI,[†] for details).

In contrast to (GU_2), even a substoichiometric amount of dsDNA (0.1 eq.) led to a 5.1-fold increase in the fluorescence intensity of **2a** ($\lambda_{\text{exc}} = 460$ nm) and to a hypsochromic shift of the fluorescence maximum (Fig. 5b). For comparison, this increase is significantly higher than that of thiazole orange (TO), a commercially available DNA-intercalation probe, under the same conditions (1.9-fold increase at $\lambda_{\text{exc}} = 460$ nm). The higher affinity of **2a** to dsDNA may be explained by the zwitterionic character of the dye. As a zwitterionic molecule, **2a** interacts more favorably with the polar dsDNA molecule than with the lipophilic cavity of (GU_2). Furthermore, **2a** also carries multiple H-bond donor and acceptor functional groups, which increase its propensity for dsDNA intercalation. Supporting this explanation, the fluorescence maximum shifts were bathochromic with (GU_2) and hypsochromic with dsDNA, suggesting two different interaction mechanisms. In both, geometric restriction of **2a** hinders its major non-radiative deexcitation pathway (excited-state methine bridge isomerization), thereby increasing its fluorescence intensity.

Conclusions

In semicroconaines, the main deexcitation pathway involves excited-state isomerization of the croconate moiety. Increasing solvent viscosity or promoting complexation with (GU_2) and dsDNA inhibits semicroconaine deexcitation, intensifying their fluorescence emission. This increase in fluorescence emission induced by geometric restriction mirrors the effect of GFP on its fluorophore (HBDI). Therefore, virtually non-fluorescent semicroconaine dyes can be converted into AIE probes for (bio)macromolecular sensing.



Author contributions

R. S. and M. V. synthesized compounds and measured steady-state spectroscopy. R. S. and A. R. measured time-resolved spectroscopy and processed data. D. D. performed the computational study. B. B. T. performed the DNA intercalation study with inputs from D. D. P. Š. performed photochemical experiments. P. M. built the fs-TA setup, excitation sources and provided assistance during the fs-experiments. T. S. supervised D. D. and B. B. T., T. K. supervised A. R., P. Š. supervised R. S. and M. V. The original idea was conceived by P. Š. and further shaped with input from R. S., D. D., T. S. and T. K. Funding was acquired by P. Š., T. S., and T. K. The manuscript was written by P. Š. with inputs from R. S., D. D. and T. S., and the final version was approved by all authors.

Data availability

Photophysical properties of select chromophores, NMR, UV/vis absorption and fluorescence emission spectra, stability determination experiments, time-resolved absorption and fluorescence emission spectral data, details on quantum chemical calculations and coordinates, and additional information on the structure-properties relationships are available in the ESI.†

Conflicts of interest

The authors have no conflicts of interest to declare.

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