

## RESEARCH ARTICLE

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# Thermal decomposition of neptunyl ammonium nitrate: mechanistic insights and structural characterization of the $\text{Np}_2\text{O}_5$ intermediate phase†‡

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Neptunium (Np) possesses a rich and unique chemistry that often diverges from other actinide elements yet remains relatively underexplored compared with the other light actinides. A resurgence of interest in Np has been spurred by the application of  $^{237}\text{Np}$  for plutonium-238 ( $^{238}\text{Pu}$ ) production for use in radioisotope thermoelectric generators (RTGs), necessitating evaluation of Np chemical reactions and materials. The work presented here studied the thermal decomposition of neptunyl ammonium nitrate ( $\text{NH}_4\text{Np}^{\text{VI}}\text{O}_2(\text{NO}_3)_3$ ) for synthesis of neptunium dioxide ( $\text{NpO}_2$ ), which is the target material used for production of  $^{238}\text{Pu}$ . Additionally, structural characterization of the intermediate solid Np pentoxide ( $\text{Np}_2\text{O}_5$ ) was performed. Advanced solid-state characterization techniques, including simultaneous thermal analysis (STA), powder X-ray diffraction (pXRD), Raman spectroscopy, and density functional theory (DFT) modeling have been combined to study the reaction pathways. Analysis revealed that  $\text{NH}_4\text{Np}^{\text{VI}}\text{O}_2(\text{NO}_3)_3$  thermally decomposes to a proposed neptunyl nitrate intermediate, followed by  $\text{Np}_2\text{O}_5$  and finally  $\text{NpO}_2$ , all within the temperature range of 150 °C–600 °C. Further characterization of the pentoxide intermediate provided the first Raman spectra of pure-phase  $\text{Np}_2\text{O}_5$  and associated DFT modeling confirmed Raman peak assignments for this phase. These findings provide mechanistic information to advance production of the critical radioisotope  $^{238}\text{Pu}$  and advance the state of knowledge on Np materials chemistry using modern characterization techniques.

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## Introduction

Within the actinide series of elements, significant chemical research has been performed for uranium (U) and plutonium (Pu) due to their applications throughout commercial nuclear fuel cycles,<sup>1–4</sup> known environmental contamination from

global fallout and legacy waste disposal,<sup>5–7</sup> and in the case of U, occurrence in nature.<sup>8–10</sup> In contrast, the chemical understanding of neptunium (Np) and its 22 isotopes<sup>11</sup> is underdeveloped in relation to its light actinide neighbors, leaving significant room for continued discovery. First isolated more than 80 years ago,<sup>11</sup> the most prominent isotope of Np is neptunium-237 ( $^{237}\text{Np}$ ), which is produced in conventional nuclear reactors and is present at low levels in used nuclear fuel.<sup>12</sup> In present day, the most significant application of Np is the use of  $^{237}\text{Np}$  as a target material to produce  $^{238}\text{Pu}$ .

In the United States,  $^{238}\text{Pu}$  is used in radioisotope thermoelectric generators (RTGs), which harness the decay heat of  $^{238}\text{Pu}$  to provide sustained power and heat for NASA deep space missions and exploration. To obtain the necessary supply,  $^{238}\text{Pu}$  is produced in research reactors through a neutron capture reaction involving  $^{237}\text{Np}$  target material (eqn (1)).<sup>13</sup> The flowsheet for  $^{238}\text{Pu}$  production involves four main steps:<sup>14</sup> (1) production of  $^{237}\text{Np}$  targets, (2) irradiation of targets in research reactors, (3) separation and purification of  $^{238}\text{Pu}$  from  $^{237}\text{Np}$  and fission products, and (4) recycle of  $^{237}\text{Np}$  to restart step (1) again. The current flowsheet uses a target

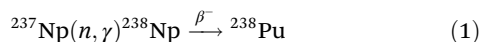
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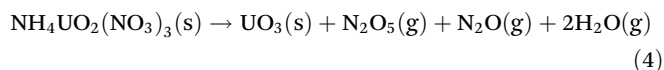
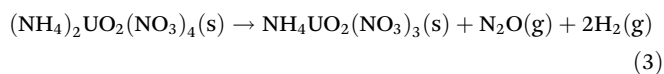
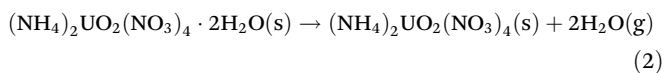


that is a blend of neptunium dioxide ( $^{237}\text{NpO}_2$ ) and aluminum metal (Al) powders in a ceramic-metallic (cermet) pellet form.<sup>15</sup>



Reliable production of  $\text{NpO}_2$  powder for targets necessitates a robust and scalable synthesis method.  $\text{NpO}_2$  can be effectively synthesized at scale using several methods, most commonly oxalate precipitation and calcination,<sup>16–20</sup> but a modified direct denitration (MDD) process was selected for the  $^{238}\text{Pu}$  Supply Program. This process was selected based on historical research on uranium (U) chemical conversion. Early studies on the chemical mechanisms of uranyl nitrate denitration found unfavorable powder properties and ceramic properties of fuel pellets prepared from the uranyl nitrate system.<sup>21</sup> However, efforts identified that an addition of ammonium nitrate to the feed solution, creating a *modified* denitration, produced free-flowing oxide with more favorable ceramic properties.<sup>22</sup> This modified denitration process was then adapted for mixed oxide (MOX) fuel production<sup>21,23,24</sup> and  $\text{NpO}_2$  pellet production.<sup>15,25–27</sup>

The chemical mechanisms associated with U-based MDD processes have been thoroughly described in the literature,<sup>21,28</sup> providing insights into the nature of the process. The decomposition reaction of the dihydrate phase is outlined by Notz and Haas.<sup>21,28</sup> The dehydration step (eqn (2)) was reported to occur at 40 °C, followed by the loss of the first mole of  $\text{NH}_4\text{NO}_3$  (eqn (3)) at 170 °C and then the second mole of  $\text{NH}_4\text{NO}_3$  (eqn (4)) at 270 °C.<sup>21</sup> The generalized reaction mechanism was more recently confirmed by Kim *et al.*<sup>29</sup> The dehydrated version of this solid ( $(\text{NH}_4)_2\text{UO}_2(\text{NO}_3)_4$ ) follows the same reaction path, with the exclusion of eqn (2), while  $\text{NH}_4\text{UO}_2(\text{NO}_3)_3$  undergoes only eqn (4).<sup>21,28</sup>



Although the chemistry behind MDD reactions is well documented for U, the MDD mechanism remains unclear for Np and the community lacks fundamental information on Np denitration reactions and the Np oxide materials synthesized through these reactions. While parallels can be drawn across the actinide series, each of these elements has unique chemical properties that must be accounted for. Np can be stabilized in the 4+, 5+, and 6+ oxidation states in both aqueous and solid phases, whereas U is most commonly stabilized in the 4+ and 6+ oxidation states, creating the possibility that the reaction mechanism that is reported for U will not be the same for Np. Some clues into the Np MDD mechanism exist from production-scale data, in which sampling of oxide materials from

the MDD unit operation revealed the presence of both  $\text{Np}_2\text{O}_5$  and  $\text{NpO}_2$  in the rotary kiln.<sup>30,31</sup> This suggests that  $\text{Np}_2\text{O}_5$  is a key intermediate oxide phase towards the production of  $\text{NpO}_2$  in the MDD reaction, which is distinct compared with the U system that has only one oxide product ( $\text{UO}_3$ ). This finding supports a need for further study of the decomposition pathway of Np in a controlled laboratory setting, rather than at production scale, to determine other distinct aspects of the decomposition reaction and elucidate this unique mechanism of neptunium oxide formation.

The presence of  $\text{Np}_2\text{O}_5$  in this reaction pathway poses a unique opportunity to characterize an underreported neptunium solid.  $\text{Np}_2\text{O}_5$  is one of only two Np binary oxides<sup>11</sup>— $\text{NpO}_2$  and  $\text{Np}_2\text{O}_5$ —and although  $\text{Np}_2\text{O}_5$  was first reported in the 1960s,<sup>32</sup> the single crystal structure was not reported until 2006.<sup>33</sup>  $\text{Np}_2\text{O}_5$  has been synthesized hydrothermally<sup>33</sup> or *via* thermal decomposition of  $\text{Np}(\text{v})$  hydroxy nitrate,<sup>34</sup>  $\text{Np}(\text{v})$  hydroxide,<sup>35,36</sup> and  $\text{Np}(\text{vi})$  hydroxide.<sup>35,36</sup> In this work, the initial neptunyl ammonium nitrate phase presents a novel route to synthesize  $\text{Np}_2\text{O}_5$  compared with available literature on nitrate and hydroxide phases. Additionally, the availability of modern solid-phase characterization techniques, as compared with when much of the original work on neptunium oxides was performed in the 1960s and 1970s,<sup>18,32,34–38</sup> presents a key opportunity to probe neptunium binary oxides, including  $\text{Np}_2\text{O}_5$ . Early literature on neptunium oxides reported the possibility of an  $\text{Np}_3\text{O}_8$  phase,<sup>37</sup> which was suggested as an analog to the U compound  $\text{U}_3\text{O}_8$ . Decades of research advances were required to definitely disprove the existence of  $\text{Np}_3\text{O}_8$  and confirm that  $\text{Np}_2\text{O}_5$  was the only other binary Np oxide along with  $\text{NpO}_2$ .<sup>34</sup> Recent work has collected Raman spectra of mixed binary Np oxide phases,<sup>39</sup> but Raman analysis of pure-phase  $\text{Np}_2\text{O}_5$  is still lacking in the literature, along with Raman assignments of  $\text{Np}_2\text{O}_5$ . The discrepancies in the early Np oxide literature and the occasionally incorrect parallels that are drawn between U and Np oxides necessitate continued investigation of Np oxide material properties to strengthen the understanding of these phases and the understanding of the unique aspects of the element Np.

This work aimed to clarify aspects of Np materials chemistry, specifically for nitrate and oxide phases, and highlight its unique properties within the actinide series by determining the decomposition mechanism of Np-ammonium nitrate double salts. This was achieved through a combination of solid-state techniques, including simultaneous thermal analysis (STA), powder X-ray diffraction (pXRD), and Raman spectroscopy, as well as density functional theory (DFT) modeling. Any one of these techniques for transuranic isotopes such as  $^{237}\text{Np}$  are scarce in the literature due to challenges and limitations associated with handling and analyzing highly radioactive materials, thus the multimodal analysis presented in this work is extremely rare for  $^{237}\text{Np}$  and adds significant chemical insights for an often-understudied element of the periodic table. This work seeks to not only synthesize and characterize Np materials but also to probe the potential chemical reactions of Np compounds and compare Np



materials chemistry to the much deeper body of literature on other actinides, particularly U, which can help expand understanding of trends in the actinide series of the periodic table.

## Methods

**Caution:** Neptunium-237 is an alpha-emitting radionuclide that decays to protactinium-233, a strong gamma-emitting radionuclide. Safe handling of these radioisotopes requires appropriate facilities and qualified personnel. Experiments were performed at Oak Ridge National Laboratory in HEPA-filtered fume hoods by radiologically trained personnel under purpose-designed work controls and monitoring protocols.

### Synthesis

A 143 mg  $^{237}\text{Np}$  mL<sup>-1</sup> solution in approximately 1 M HNO<sub>3</sub> and a 2 : 1 mole ratio of ammonium to  $^{237}\text{Np}$  was prepared for synthesis of neptunyl ammonium nitrate. 84 μL of the solution was transferred into a 1 mL conical bottom polypropylene vial and allowed to evaporate, open, inside a radiological fume hood. After the solution had completely evaporated and only solid remained, the solid was divided into aliquots for subsequent analysis. The same parent material was used in all experiments.

### Simultaneous thermal analysis

Two thermal analysis experiments were performed—one that treated the  $^{237}\text{Np}$  salt to 1000 °C and one that treated the  $^{237}\text{Np}$  material to 350 °C. The second experiment was performed to collect the intermediate product formed between 300 °C and 500 °C for pXRD analysis. Thermal analyses were performed using a Netzsch STA 449 F1 Jupiter simultaneous thermal analysis (STA) instrument equipped with an Aeolos quadrupole mass spectrometer (QMS) for evolved gas analysis. STA includes thermogravimetric analysis (TGA) and dynamic scanning calorimetry (DSC). Analysis was performed with a TG-DSC sample carrier and Type-S thermocouple. Thirteen (13) mg of the initial  $^{237}\text{Np}$  solid was placed in an 85 μL alumina crucible with a lid containing a pinhole opening for off-gas release. Samples were measured under air (Airgas, ultra zero grade) purge gas (50 mL min<sup>-1</sup>) and argon (Airgas, ultra-high purity) gas protective flow (20 mL min<sup>-1</sup>). The buoyancy effect was corrected by measuring the empty crucible under the same measurement conditions used for the samples. Heat flow and temperature were calibrated using a six-point standard calibration using indium, tin, bismuth, zinc, aluminum, and gold. Temperature was increased at a rate of 10 °C min<sup>-1</sup> to a final temperature of 350 °C or 1000 °C, and evolved gases were transferred to the Aeolos QMS via a heated (200 °C) transfer line. Mass spectra were scanned in the range of 1–80 amu. TG, DSC, and QMS data were analyzed and visualized using Netzsch Proteus Thermal Analysis 8.0. For QMS data, masses of background gases (air, argon) were subtracted from the spectra, and only masses with signal-to-noise ratios greater than 1 were considered for analysis.

### Powder X-ray diffraction (pXRD)

Because of sample size limitations and associated radiological hazards, the X-ray diffraction (XRD) patterns of the  $^{237}\text{Np}$  samples were collected on single crystal XRD loops instead of a traditional powder diffractometer. The samples were analyzed using a single crystal diffractometer and were prepared analogously to a single crystal data collection, but the diffraction pattern was collected using Debye rings from the powder during rotation. The  $^{237}\text{Np}$  powder samples (~5 mg) were suspended into 1 droplet (~20 μL) of epoxy (Minute Epoxy, J.B. Weld) after the hardening agent was added (Minute Epoxy Hardener, J.B. Weld). Powders were kept as condensed as possible to maximize reflection intensity and data quality for the small sample size. This droplet of epoxy was bound on the tip of a MiTeGen cryoloop. When the sample was hardened and dried, another layer of 1 min epoxy and hardener was added as a secondary form of radioactive contamination prevention. Samples were mounted and exposed to the X-rays for 600 s per 2θ frame, from 4° to 64° 2θ on the Bruker D8 Venture diffractometer, equipped with an Iμs 3.0 molybdenum X-ray source (λ = 0.71073 Å). Debye rings were integrated from the raw diffraction data to create a powder X-ray diffraction (pXRD) pattern. To account for any phase shifting along 2θ, LaB<sub>6</sub> (CAS# 12008-21-8) solids were prepared using the same method as that used for the  $^{237}\text{Np}$  powders. Small quantities of LaB<sub>6</sub> powder diffract strongly using the single crystal XRD method collecting Debye rings. Thus, the LaB<sub>6</sub> pXRD pattern was compared with the LaB<sub>6</sub> standard reference pattern to determine whether the sample preparation method (binding a small mass of powder in epoxy) would cause any phase shifting along 2θ. The peak locations of the LaB<sub>6</sub> standard indicated minimal phase shifting.

### Raman spectroscopy

*In situ* Raman spectroscopic data were collected continuously during thermal denitration of neptunyl ammonium nitrate using a Renishaw InVia microRaman spectrometer and a Linkam THMS600 variable temperature stage. The sample of neptunyl ammonium nitrate was placed in an aluminum crucible and heated from room temperature (~25 °C) to 350 °C at a heating rate of 1 °C min<sup>-1</sup>. A 785 nm excitation wavelength with low incident laser power (30 mW) was employed to reduce the likelihood of additional surface reactions of sample particles induced by laser heating. For each dataset, one accumulation of data in the range of 24–1246 cm<sup>-1</sup> was collected, with a 10 s exposure time.

### Density functional theory (DFT) modeling

The experimental Np<sub>2</sub>O<sub>5</sub> structure of Forbes *et al.*<sup>33</sup> was relaxed using the Vienna *ab initio* Simulation Package (VASP 6.1)<sup>40–42</sup> DFT software until forces on the atoms were less than 0.01 eV Å<sup>-1</sup>. Both ionic positions and lattice parameters were allowed to relax, so an expansion of the plane wave basis set of 800 eV was used to limit Pulay stress. The exchange–correlation functional of Perdew, Burke, and Ernzhoff modified for solids (PBEsol)<sup>43</sup> was used to describe the interatomic inter-

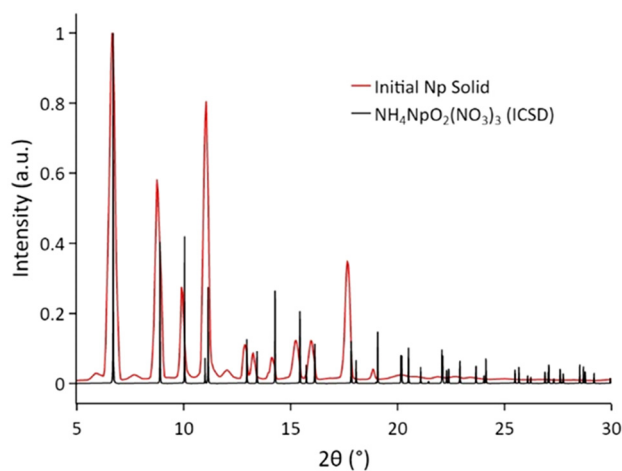


actions. A  $k$ -point spacing of  $0.35 \text{ \AA}^{-1}$  was used to sample the irreducible Brillouin zone with spin-polarized electron occupations described using Gaussian smearing with a smearing width of  $0.20 \text{ eV}$  and an electronic convergence of  $1 \times 10^{-6} \text{ eV}$ . An effective Hubbard  $+U$  value of  $3.0 \text{ eV}$  was applied to the neptunium atoms using the approach of Dudarev *et al.*<sup>44</sup> to break the  $f$ -orbital degeneracy in accordance with previous work.<sup>45</sup> Phonons were calculated using the finite displacement method as implemented in Phonopy, where forces for each displacement were calculated using VASP,<sup>46,47</sup> and a  $14 \times 14 \times 14$  mesh was used to calculate the phonon density of states.

## Results and discussion

### Thermal analysis

The pXRD data of the initial  $^{237}\text{Np}$  solid indicate the presence of  $\text{NH}_4\text{NpO}_2(\text{NO}_3)_3$  (Fig. 1), which contains Np in the  $6+$  oxidation state. Although minor phase shift ( $\sim 0.15^\circ 2\theta$ ) appears at higher angles, the pattern is most like the published structure of  $\text{NH}_4\text{NpO}_2(\text{NO}_3)_3$  from Autillo *et al.*<sup>48</sup> when compared with all other possible published Np solids relevant to the MDD reaction, particularly other Np nitrate phases. While pXRD is more appropriate for bulk analysis of the starting material prior to thermal decomposition, further confirmation of the chemical formula of the initial solid was performed using single crystal X-ray diffraction, specifically to address the observed minor phase shift at high angle. A single crystal from the same aqueous feed stock was isolated and the structure was solved (see ESI†), supporting pXRD data that the material is  $\text{NH}_4\text{NpO}_2(\text{NO}_3)_3$ . While pXRD and single crystal diffraction data both provide evidence that the initial solid phase is primarily  $\text{NH}_4\text{NpO}_2(\text{NO}_3)_3$ , low intensity reflections observed in pXRD at  $\sim 6.5$ ,  $8.5$ , and  $12^\circ 2\theta$  suggest that a small quantity of  $\text{NpO}_2(\text{NO}_3)_2 \cdot n\text{H}_2\text{O}$  may be present, although the resolution of



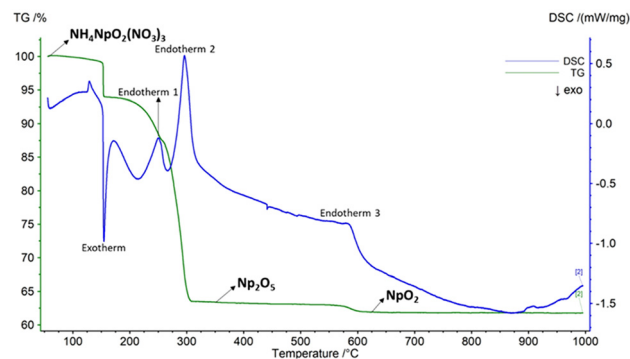
**Fig. 1** Powder X-ray diffraction patterns of initial Np solid used in thermal experiments (red trace) and the ICSD standard pattern<sup>48</sup> for  $\text{NH}_4\text{NpO}_2(\text{NO}_3)_3$  (black trace). Data were collected using an  $\mu\text{s}$  3.0 molybdenum X-ray source ( $\lambda = 0.71073 \text{ \AA}$ ).

the powder by single crystal diffraction method limits definitive identification of these reflections.

Thermal treatment of the  $\text{NH}_4\text{NpO}_2(\text{NO}_3)_3$  solid up to  $1000^\circ\text{C}$  using thermogravimetric analysis shows evidence of four decomposition steps between  $150^\circ\text{C}$ – $600^\circ\text{C}$  (Fig. 2). Simultaneously collected dynamic scanning calorimetry (DSC) shows a prominent exothermic step at  $150^\circ\text{C}$ , while all other steps are endothermic (Fig. 2). The largest mass losses occur between  $200^\circ\text{C}$ – $300^\circ\text{C}$ , which has two nearly indistinguishable decomposition steps. The small mass loss between  $550^\circ\text{C}$ – $600^\circ\text{C}$  is notable given that the available mechanistic information on uranium denitration does not show any change in mass above  $350^\circ\text{C}$ , suggesting that this is a unique aspect of the Np ammonium nitrate denitration mechanism. During thermal decomposition of the Np ammonium nitrate between  $100^\circ\text{C}$ – $300^\circ\text{C}$ , off-gas was measured using a coupled quadrupole mass spectrometer (QMS) and detected the presence of water,  $\text{NH}_3$ ,  $\text{NO}$ , and  $\text{NO}_2$  (Table 1). No measurable off-gas was recorded during the decomposition step at  $550^\circ\text{C}$ – $600^\circ\text{C}$ , likely because of the very low mass loss and correspondingly small release of off-gases.

### Characterization of $\text{Np}_2\text{O}_5$

Given the unique decomposition step between  $550^\circ\text{C}$ – $600^\circ\text{C}$ , further characterization of the intermediate Np phase present between  $300^\circ\text{C}$ – $550^\circ\text{C}$  was pursued. A crystalline sample was collected after thermal treatment of neptunyl ammonium nitrate solid to  $350^\circ\text{C}$  to determine whether the intermediate Np phase might be a nitrate or oxide phase. For this collection, a thermogravimetric analysis (TGA) experiment was terminated

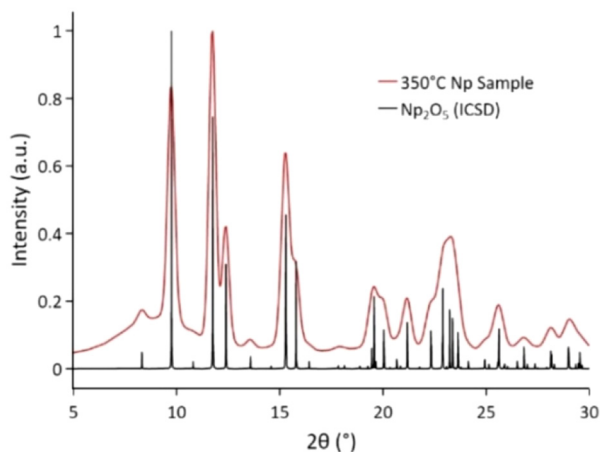


**Fig. 2** Thermogravimetric (green) and DSC (blue) data recorded during thermal treatment of neptunyl ammonium nitrate at  $10^\circ\text{C min}^{-1}$  up to  $1000^\circ\text{C}$ .

**Table 1** Recorded off-gases at specific temperatures during thermal decomposition of neptunyl ammonium nitrate

Temperature ( $^\circ\text{C}$ )	Heat of reaction	Off-gases
150	Exothermic	$\text{H}_2\text{O}$ , $\text{NH}_3$ , $\text{NO}$
250	Endothermic	$\text{H}_2\text{O}$ , $\text{NH}_3$ , $\text{NO}$ , $\text{N}_2\text{O}$
300	Endothermic	$\text{H}_2\text{O}$ , $\text{N}_2\text{O}$ , $\text{NO}$
575	Endothermic	Not detectable

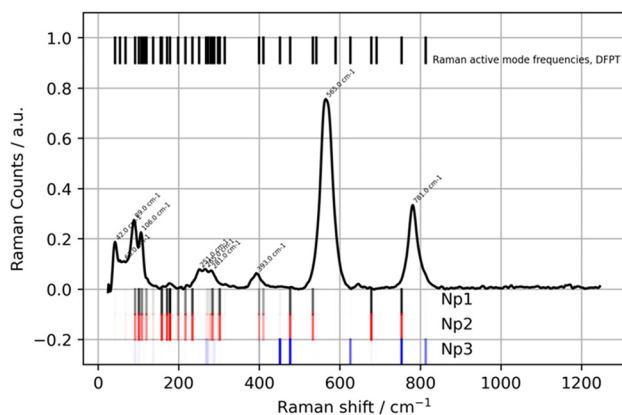




**Fig. 3** Powder X-ray diffraction patterns of Np sample taken at 350 °C (red trace) and the ICSD standard pattern<sup>33</sup> for  $\text{Np}_2\text{O}_5$  (black trace). Data were collected using an I $\mu$ s 3.0 molybdenum X-ray source ( $\lambda = 0.71073 \text{ \AA}$ ).

at 350 °C, and the sample was analyzed *via* pXRD. Data indicate the presence of  $\text{Np}_2\text{O}_5$  at this temperature (Fig. 3), which agrees well with the published powder pattern from Forbes.<sup>33</sup> The pentoxide has also been found in the production scale kiln of the MDD process,<sup>30,31</sup> corroborating the finding.

To further elucidate the structure of  $\text{Np}_2\text{O}_5$  synthesized in denitration of neptunyl ammonium nitrate, the sample was also analyzed *via* Raman spectroscopy. At temperatures above 250 °C, conversion to  $\text{Np}_2\text{O}_5$  is observed and density functional theory was used for vibrational mode assignments. Fig. 4 plots the Raman spectrum of  $\text{Np}_2\text{O}_5$  as collected during a temperature-dependent Raman spectroscopy experiment together with analysis from DFT. Data in Fig. 4 are averaged from spectra collected between 250 °C and 350 °C. A post experiment Raman measurement of the material after cooling



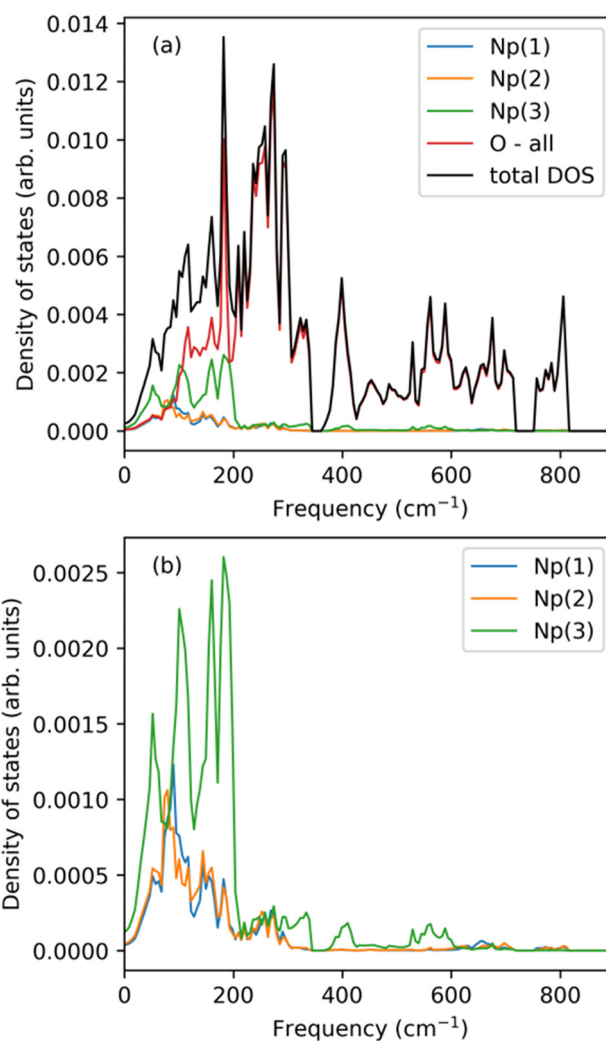
**Fig. 4** Raman spectra of  $\text{Np}_2\text{O}_5$  (black data) with the DFT-predicted  $\Gamma$ -point phonon frequencies (top, black lines), with the  $y_l$ -like eigenvector amplitude as calculated in eqn (4) for Np(1), Np(2), and Np(3). Major contributions of  $y_l$ -like eigenvector amplitude are observed at frequencies close to the major Raman peaks, suggesting that these features could be plausibly assigned to [O–Np–O] symmetric stretching vibrations.

to room temperature showed no significant changes in the character of the Raman spectra. Data in Fig. 4 are plotted less an asymmetric least-squares background term ( $\lambda = 3000$  and  $p = 0.0002$ ) and smoothed with a Savitzky–Golay filter (window size  $25 \text{ cm}^{-1}$ , 7th order polynomial).<sup>49</sup>

Major peaks are observed at 42, 89, 107, 109, 251, 266, 283, 393, 565, and  $781 \text{ cm}^{-1}$  in  $\text{Np}_2\text{O}_5$ . The 251, 266, and  $283 \text{ cm}^{-1}$  peaks form a continuous band of scattering. Several smaller peaks (near 180, 630, and a shoulder near  $440 \text{ cm}^{-1}$ ) also appear in the spectra but are considered too small to index.

Assignment of the Raman spectra is performed with the assistance of DFT calculations.  $\text{Np}_2\text{O}_5$  in the  $P2/c$  space-group ( $Z = 4$ ) contains 81 optical modes as  $19A_g + 18A_u + 23B_g + 21B_u$ . Only the  $A_g$  and  $B_g$  modes are Raman active; hence, there are 42 Raman active modes in  $\text{Np}_2\text{O}_5$ .

The partial phonon densities of states (pDOS) for individual neptunium atoms and oxygen atoms, in aggregate, are plotted in Fig. 5 to provide a qualitative picture of which



**Fig. 5** (a) Partial phonon density of states (pDOS) for Np(1), Np(2), and Np(3) [blue, orange, green] and all O atoms [red], with total phonon pDOS [black]. (b) Partial phonon DOS for Np(1), Np(2), and Np(3) only.



atoms are moving at which frequencies, which is useful for mode assignment. The pDOS is distinct from the optical cross sections measured in Raman spectroscopy; however, it is related. There are two important differences to highlight here between the Raman spectra and the partial pDOS. First, the pDOS is averaged over the entire Brillouin zone, whereas long-wavelength optical spectroscopy (Raman and infrared spectroscopy) probes the phonon frequencies only very near to the  $\Gamma$  point. In most cases, though, the optical phonon dispersion is not so large that the pDOS cannot be used to aid the qualitative interpretation of optical spectra. Second, the pDOS does not contain any information related to the light-matter interaction. The Raman cross section for a given optical mode is proportional to the spatial derivative of the dielectric polarizability, which is not calculated here.

Panel (a) in Fig. 5 shows that above  $200\text{ cm}^{-1}$  phonon motion is dominated by oxygen atoms. This result is unsurprising as the Np mass is substantially higher than O. A small phonon gap is opened between  $700\text{--}725\text{ cm}^{-1}$ , and the overall phonon DOS is terminated at  $825\text{ cm}^{-1}$ . In panel (b), which plots only the Np contributions to the total DOS, there is a clear phonon cutoff opens near  $210\text{ cm}^{-1}$  for Np atoms. Interestingly, Np(3) has significant contributions to the pDOS near  $400$  and  $500\text{--}600\text{ cm}^{-1}$ , whereas minimal contributions from Np(1) and Np(2) are observed in these regions.

$\text{Np}_2\text{O}_5$  is theorized to contain so-called “actinyl-actinyl interactions” (AAI). AAIs are structural coordination geometries involving the adjacency of two actinyl ( $[\text{AnO}_2]^{2+}$ ) groups. Forbes *et al.* described the neptunyl coordination in  $\text{Np}_2\text{O}_5$  between sheets as “Np(1) and Np(2) neptunyl ion O atoms are equatorial vertices of the Np(3) square bipyramid of an adjacent sheet”.<sup>33</sup> The assignment of the coordination geometry by Forbes *et al.* is based on the bond distances, with Np(1)– $\text{O}_{y1}$  and Np(2)– $\text{O}_{y1}$  bond distances equal to  $1.866$  and  $1.885\text{ \AA}$ . The structural motif in  $\text{Np}_2\text{O}_5$ , wherein the “ $\text{O}_{y1}$ ” of Np(1) and Np(2) is also an equatorial ligand to Np(3), appears to be a common bonding arrangement in  $\text{Np}^{5+}$  crystal structures.<sup>50</sup> From this, there is an outstanding question as to whether  $\text{Np}_2\text{O}_5$  shows evidence of these AAIs in the vibrational Raman spectrum.

Previously, a qualitative method of analyzing phonon eigenvectors as predicted from DFT has been used to assist with spectral assignment in multimetal center systems.<sup>51</sup> Conceptually, this considers that each phonon eigenvector,  $\mathbf{e}(\omega)_{i,j}$ , contains information about the direction and amplitude of each atom ( $j$ ) during excitation of each phonon with mode index  $i$ . Actinyl systems exhibit strong Raman scattering of the symmetric stretching mode of the  $[\text{O}-\text{An}-\text{O}]^{2+}$  unit. We calculate the following “yl-like eigenvector amplitude” quantity for each mode:

$$|\mathbf{e}(\omega)_i|_{yl} = \sum_j^{yl} |\mathbf{e}_{ij}| \cdot \mathbf{k}, \quad (5)$$

where  $i$  is the mode index,  $j$  is the atom index, and the summation over  $j$  proceeds over only selected atoms. In this case,

we calculate the summation over the oxygen atoms considered to be “yl-like”, with bond distances to the Np atoms less than  $2.1\text{ \AA}$ . The  $2.1\text{ \AA}$  cutoff excludes all equatorially bonded oxygens and includes the two yl-like oxygens for each Np. The  $\mathbf{k}$  vector is a polarization vector defined as  $\mathbf{x}_{y11}-\mathbf{x}_{y12}$ , where  $\mathbf{x}_{y1}$  is the Cartesian position vector for the yl-like oxygens. The polarization vector allows the isolation of motion along the linear  $[\text{O}-\text{An}-\text{O}]^{2+}$  bond since it is expected to be for the symmetric Raman stretching modes in actinyl systems. The summation is performed separately for each Np ion as the polarization vector is defined for each Np center individually.

Results of this analysis are shown in Fig. 4, where the colored lines below the data are placed at the calculated vibrational frequencies (for Raman-active modes only). Transparency of the lines indicates the magnitude of the yl-like eigenvector amplitude, with low transparency (higher opacity) representing larger magnitude. The magnitudes are normalized to unity, with the strongest modes for each Np center fully opaque. The solid black lines above the data are the DFT-calculated, Raman active  $\Gamma$ -point mode frequencies unweighted by the yl-like eigenvector amplitude.

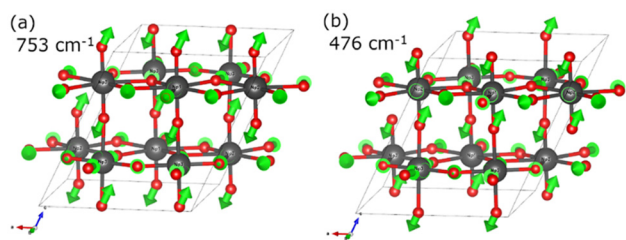
The relatively strong intensity of the  $565$  and  $781\text{ cm}^{-1}$  mode are reminiscent of excitations present in actinyl-like systems; hence, the logical assignment is to assign these modes to  $[\text{NpO}_2]^{2+} \nu_s$  symmetric stretching vibrations. Based on the yl-like eigenvector amplitude analysis, the  $781\text{ cm}^{-1}$  mode (DFT-calculated frequency of  $753\text{ cm}^{-1}$ ) originates from the collective symmetric  $\text{O}_{y1}$  stretching motion of all three Np centers. However, there is no significant “yl-like” character of phonon modes near  $565\text{ cm}^{-1}$  based on DFT results. The nearest calculated phonon frequencies *via* DFT are  $541$  and  $589\text{ cm}^{-1}$ . Both of these modes are primarily in-plane ( $a/b$  plane) translational shearing modes between layers, involving little neptunyl oxygen motion. However, DFT determines a phonon frequency of  $476\text{ cm}^{-1}$  for a mode containing motion highly reminiscent of yl-like motion. This mode, reproduced in Fig. 6 along with the mode at  $781\text{ cm}^{-1}$ , involves the symmetric motion of all “yl-like” oxygens. Note that  $\text{O}_{y1}$  atoms associated with all three Np centers are involved in this motion. Such a mode might be a vibrational indicator of hypothesized AAIs.

However, considering the  $\text{Np}_2\text{O}_5$  crystal structure and the space group symmetry, a pure  $[\text{NpO}_2]^{2+}$  symmetric stretching mode does not exist. The  $[\text{NpO}_2]^{2+}$  units for Np(1) and Np(2) are inclined with respect to the  $c$  axis by  $40$  degrees. The strongest “yl-like” phonon eigenvectors (at  $476$  and  $781\text{ cm}^{-1}$  in Fig. 6) are polarized along the  $c$  axis. These modes not being polarized directly along the  $[\text{O}-\text{Np}-\text{O}]$  bond does not preclude them from originating strong scattering in the Raman, as their scalar product along the  $[\text{O}-\text{Np}-\text{O}]$  bond is still large. Whether these modes can be truly referred to in the same concept as the traditional Raman-active actinyl stretching vibrations is a matter of further discussion.

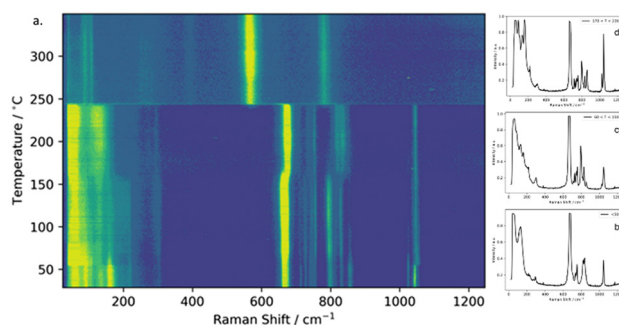
### Neptunyl ammonium nitrate decomposition mechanism

Combining the multimodal analysis of neptunyl ammonium nitrate and its decomposition pathway, including pXRD, STA,





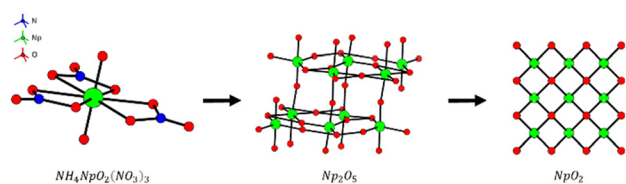
**Fig. 6** Phonon eigenvectors for two specific modes with frequencies at 753 and 476  $\text{cm}^{-1}$  (as calculated with DFT), which we propose are the likely origin of the strongest features in the Raman spectrum. Both modes show significant  $\nu_1$ -like eigenvector decompositions, with symmetric stretching vibrations of the [O–Np–O] units. Np atoms are represented by gray spheres, while oxygen atoms are represented by red spheres.



**Fig. 8** (a) *In situ* Raman spectroscopic heatmap showing the thermal degradation of neptunyl ammonium nitrate. Average Raman spectra collected at (b) below 50 °C, (c) between 60 °C and 150 °C, and (d) between 170 °C and 230 °C are shown for comparison.

and Raman analysis, the multiple decomposition steps towards production of Np oxides can be deconvoluted. Phase identification *via* pXRD confirms that the initial Np solid is primarily  $\text{NH}_4\text{NpO}_2(\text{NO}_3)_3$  (Fig. 1), which then undergoes four thermal decomposition steps between 150 °C–600 °C (Fig. 2). The intermediate phase at 350 °C is confirmed to be  $\text{Np}_2\text{O}_5$  (Fig. 3) and is characterized above using Raman and DFT. However, there are additional transition steps observed in TGA and DSC data between  $\text{NH}_4\text{NpO}_2(\text{NO}_3)_3$  and  $\text{Np}_2\text{O}_5$  before the oxide is fully reduced to  $\text{NpO}_2$  (Fig. 7), necessitating further insights into the decomposition mechanism below 300 °C.

To understand the mechanism by which neptunyl ammonium nitrate undergoes degradation to  $\text{Np}_2\text{O}_5$ , *in situ* Raman spectroscopic maps were collected continuously from room temperature to 350 °C, with the resulting Raman spectroscopic heatmap shown in Fig. 8a. Below temperatures of  $\sim 50$  °C (Fig. 8b), Raman spectra collected for the sample are broadly in good agreement with that reported for a single crystal of  $(\text{NH}_4)\text{NpO}_2(\text{NO}_3)_3$ . As was seen in pXRD, however, small contributions from an additional minor Np phase may be present. Two low intensity peaks located at  $\sim 725$  and  $825$   $\text{cm}^{-1}$  are present in our sample that do not appear in the reported spectrum of  $(\text{NH}_4)\text{NpO}_2(\text{NO}_3)_3$ . These peaks may be attributable to spectroscopic contributions from  $\text{NpO}_2(\text{NO}_3)_2(\text{H}_2\text{O})_2$ . Alternatively, the appearance of these low intensity features could be due to differences in Raman spectra collected for a single crystal of  $(\text{NH}_4)\text{NpO}_2(\text{NO}_3)_3$  *versus* a polycrystalline powder in this work. Since only one spectrum for a single crystal of  $(\text{NH}_4)\text{NpO}_2(\text{NO}_3)_3$  is reported in the lit-



**Fig. 7** Structures of identified crystalline decomposition products during thermal treatment of  $\text{NH}_4\text{NpO}_2(\text{NO}_3)_3$ .

erature, it is possible that polarization effects could be operative and additional vibrational modes are activated due to the random orientation of crystallites in our polycrystalline sample. Additional evidence for polarization dependence is observed in spectra collected in this work, with significant differences in observed intensity of Raman features relative to the spectrum reported by Autillo *et al.*<sup>48</sup> Nevertheless, data are insufficient to definitively assign the additional features in the low temperature ( $\leq 50$  °C) Raman spectra to either an impurity phase or differences resulting from sample type (single *vs.* polycrystal).

As was observed from pXRD, it is clear that  $(\text{NH}_4)\text{NpO}_2(\text{NO}_3)_3$  is the majority phase fraction. The most prominent spectroscopic features include a doublet of  $\nu_1$  symmetric stretching vibrational modes associated with  $\text{NO}_3^-$  and a  $\nu_1$  peak attributed to [O–Np–O] ( $\text{NpO}_{y1}$ ) vibrations. The intense band centered at 665  $\text{cm}^{-1}$ , based on literature assignments, originates from symmetric stretching of axial O atoms that coordinate Np centers, and is in good agreement with the position of a band reported by Autillo *et al.*<sup>48</sup> A lower intensity peak at 858  $\text{cm}^{-1}$ , also reported by Autillo *et al.*<sup>48</sup> although unassigned by those authors, might be attributable to additional stretching modes of the  $\text{NpO}_{y1}$ .<sup>52</sup> Pyrch *et al.* assign bands at  $\sim 850$   $\text{cm}^{-1}$  to  $\nu_3$  antisymmetric stretching of  $\text{NpO}_{y1}$  in materials with neptunyl–neptunyl interactions,<sup>52</sup> although this assignment is inappropriate here based on selection rules for linear  $\text{NpO}_2$  units, which dictate that  $\nu_3$  modes are Raman silent. Nevertheless, changes in the position and intensity of this 858  $\text{cm}^{-1}$  peak are described. Although no significant changes in the position of the 665  $\text{cm}^{-1}$  neptunyl mode are observed until a temperature of 175 °C is reached, attenuation of this band occurs at  $\sim 75$  °C. Conversely, low-energy lattice vibrations, often assigned to equatorial actinide–oxygen coordination environments, are seen between 35–250  $\text{cm}^{-1}$  and become more diffuse during *in situ* heating, with a significant loss of resolution observed at  $\sim 50$  °C. The  $\nu_1$  nitrate-related modes, centered at  $\sim 1025$  and 1043  $\text{cm}^{-1}$ , likely correspond to vibrations of two crystallographically distinct O atoms that coordinate N centers.<sup>48</sup> Other nitrate-related vibrational



modes, including  $\nu_2$  symmetric bending modes, and  $\nu_3$  wagging contributions are seen between 780–835 and 710–740  $\text{cm}^{-1}$ , respectively. Changes in the relative intensity and resolution of nitrate modes are observed with increasing temperature. Notably, at  $\sim 50^\circ\text{C}$ , a peak centered at  $\sim 795\text{ cm}^{-1}$ , related to  $\nu_2\text{ NO}_3^-$  symmetric bending, increases in intensity relative to a second  $\nu_2\text{ NO}_3^-$  bending mode, suggesting modifications to nitrate connectivity with neptunyl centers as temperature increases.

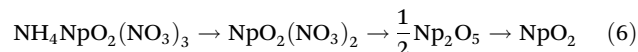
Changes in the equatorial coordination of Np and the rearrangement of nitrate groups first observed around  $\sim 50^\circ\text{C}$  persist until  $\sim 150^\circ\text{C}$ . Notably, the two distinct  $\nu_1$  peaks originating from  $\text{NO}_3^-$  gradually converge, with the lower energy mode decreasing in intensity with increasing temperature (Fig. 8c). The coordination around N is such that two of the three O atoms are crystallographically equivalent equatorial ligands of Np centers, and the remaining crystallographically distinct O atom is unshared. The decrease in intensity and convergence of  $\nu_1\text{ NO}_3^-$  peaks suggests that all O atoms coordinating N become increasingly crystallographically identical with increasing temperature. This structural rearrangement is further indicated by the diffusivity of equatorial vibrational modes seen in the low-energy (up to  $\sim 250\text{ cm}^{-1}$ ) region of the spectra above  $50^\circ\text{C}$ . The unidentified peak located at  $858\text{ cm}^{-1}$  significantly decreases in intensity in this temperature range. Although unlikely, if this peak were attributable to  $\nu_3$  antisymmetric stretching about the neptunyl center, then the loss of intensity here could be attributable to an increase in  $\text{NpO}_{\text{YI}}$  bond rigidity imparted by equatorial O structural rearrangement.

At  $\sim 170^\circ\text{C}$  and above (Fig. 8d), a significant blueshift is observed in the prominent  $\text{NpO}_{\text{YI}}$  vibrational mode from  $665$  to  $\sim 675\text{ cm}^{-1}$ . This blueshift supports the observation that [O–An–O] bond rigidity increases with increasing temperature. Further, this  $10\text{ cm}^{-1}$  shift in peak position indicates that a decrease in  $\text{NpO}_{\text{YI}}$  bond lengths is likely in this temperature range. The increase in  $\text{NpO}_{\text{YI}}$  bond strength imparted by shorter bond lengths is commensurate with both the decrease in intensity and the eventual disappearance of the  $858\text{ cm}^{-1}$  peak and the behavior of vibrational modes associated with  $\text{NO}_3^-$ . Although the singular symmetric stretching vibration of N–O remains at  $\sim 1047\text{ cm}^{-1}$  between  $170^\circ\text{C}$ – $230^\circ\text{C}$ , significant differences in the  $\nu_2$  and  $\nu_3$  modes are seen. Between  $60^\circ\text{C}$ – $150^\circ\text{C}$  (Fig. 8c)  $\nu_2$  nitrate modes appear at  $793$  and  $831\text{ cm}^{-1}$ , but at higher temperature the lower energy mode shifts to  $820\text{ cm}^{-1}$ , again indicating a more rigid structure, this time about the N in addition to the Np center. Likewise, the  $\nu_3$  nitrate modes, seen at  $\sim 725$  and  $756\text{ cm}^{-1}$  between  $60^\circ\text{C}$ – $150^\circ\text{C}$  shift to  $736$  and  $750\text{ cm}^{-1}$  at higher temperature.

Although no  $\text{NH}_4^+$  vibrational modes are visible in the region examined during the *in situ* heating experiment, it can be inferred the behavior of this moiety from spectroscopic changes is the character of the neptunyl modes. N atoms of  $\text{NH}_4^+$  moieties are situated directly between axial O atoms of  $\text{NpO}_{\text{YI}}$  centers,<sup>48</sup> with a distance of  $2.97\text{ \AA}$  between O and N. Given the approximate bond length of N–H in  $\text{NH}_4^+$  ( $\sim 1.02\text{ \AA}$ ),

and typical O–H bond distances ( $\sim 2.5$ – $3.2\text{ \AA}$ ), it suggests that significant H bonding exists between axial O atoms that coordinate Np centers and the hydrogen atoms associated with ammonium groups. Thus, the blueshift observed in the neptunyl vibrational mode is very likely attributable to loss of ammonium at this temperature. This would also support the hypothesis of equatorial structural rearrangement, as reconfiguration of bond strength about the neptunium center would be required to account for the bond strength deficiencies associated with the loss of hydrogen bonding to the neptunyl oxygen. Interestingly, this temperature range also is characterized by a significant exothermic event in the DSC (Fig. 2), further supporting this assessment. As described previously, nitrate vibrational modes are still present even after the blueshift, which we ascribe to loss of ammonium indicating that these degradation steps occur separately. This is not unexpected as  $\text{NH}_4^+$  occupies interstitial space between neptunyl centers, whereas  $\text{NO}_3^-$  is coordinated directly to Np polyhedra. It stands to reason that the interstitial species is more easily removed than the directly bonded moieties.

Further insight into the chemical changes below  $300^\circ\text{C}$  is provided by the DSC and QMS data collected in combination with TGA data, corroborating the loss of ammonium nitrate at lower temperatures suggested by Raman data. The recorded off-gases show release of ammonia below  $250^\circ\text{C}$  but not at the largest reaction step at  $300^\circ\text{C}$ , suggesting the removal of the  $\text{NH}_4$  group from the structure occurs at lower temperature regimes. Additionally, the reaction that occurs around  $150^\circ\text{C}$  is an exothermic step (Fig. 2). Decomposition reactions of ammonium nitrate ( $\text{NH}_4\text{NO}_3$ ) can be strongly exothermic<sup>53</sup> and loss of  $\text{NH}_4\text{NO}_3$  during U denitration at  $170^\circ\text{C}$  is also reported as an exothermic reaction,<sup>13</sup> suggesting the observed step at  $150^\circ\text{C}$  is associated with loss of  $\text{NH}_4\text{NO}_3$  from the initial Np phase. These observations suggest that one mole of  $\text{NH}_4\text{NO}_3$  is lost as a discrete step in the decomposition reaction, which would support the following reaction series:



This set of equations proposes that the loss of  $\text{NH}_4\text{NO}_3$  produces a neptunyl nitrate intermediate phase before  $\text{Np}_2\text{O}_5$  formation. Although this intermediate is not expected based on U denitration, an intermediate neptunyl nitrate phase has been reported during decomposition of Np(v) hydroxy nitrate,<sup>34</sup> which also subsequently converted to  $\text{Np}_2\text{O}_5$  before producing  $\text{NpO}_2$ . To further assess the possibility of these reactions, theoretical mass loss calculations were performed and compared with TGA data from Fig. 2. The theoretical and actual mass loss for the reaction steps of  $\text{NpO}_2(\text{NO}_3)_2$  to  $\text{Np}_2\text{O}_5$ , as well as  $\text{Np}_2\text{O}_5$  to  $\text{NpO}_2$ , align well (Table 2). However, this assumes that the recorded steps from  $200^\circ\text{C}$ – $300^\circ\text{C}$  are part of the same chemical reaction, despite appearing as two distinct steps in the TGA curve in Fig. 2. The initial reaction step below  $175^\circ\text{C}$  has a lower recorded mass loss than expected for the theoretical mass loss, which is likely because of mass



**Table 2** Theoretical *versus* recorded mass loss during thermal decomposition of neptunyl ammonium nitrate

Reaction step	Temperature range (°C)	Theoretical mass loss	Recorded mass loss
$\text{NH}_4\text{NpO}_2(\text{NO}_3)_3 \rightarrow \text{NpO}_2(\text{NO}_3)_2$	100–175	16.91	12.78
$\text{NpO}_2(\text{NO}_3)_2 \rightarrow \text{Np}_2\text{O}_5$	200–300	24.52	24.09
$\text{Np}_2\text{O}_5 \rightarrow \text{NpO}_2$	550–600	1.69	1.63

losses that occurred between room temperature (20 °C) and the first recorded temperature in the STA (60 °C). Another possible reason for slight variation in initial mass loss is the presence of a trace-level secondary phase, which has a lower theoretical mass loss than  $\text{NH}_4\text{NpO}_2(\text{NO}_3)_3$ . As discussed with the initial diffraction data, the possibility of trace-level impurities, while not identifiable through any characterization means employed here, cannot be fully excluded.

A limitation of this proposed set of reactions is the lack of phase identification during the 100 °C–300 °C period. Confirmation of the initial crystalline phase ( $\text{NH}_4\text{NpO}_2(\text{NO}_3)_3$ ) and crystalline phase at 350 °C ( $\text{Np}_2\text{O}_5$ ) was possible because of the ease of isolation and the relative stability of  $\text{Np}_2\text{O}_5$  over a large temperature range for *ex situ* pXRD analysis. Isolation of any intermediate nitrates between 100 °C–300 °C during STA experiments would be unpredictable and potentially incorrect if samples were not isolated at the precise temperatures associated with the very rapid transitions that occur in this temperature regime. Alternatively, high-temperature pXRD could have been employed to study phase transitions during the reaction. However, X-ray studies of the analogous U system struggled to identify some intermediate phases, which were found to be amorphous,<sup>29</sup> which is also likely for the intermediate Np nitrate phases. Despite this limitation, the multiple lines of evidence—theoretical mass losses, calorimetry, mass spectrometry of off-gas, and Raman spectroscopy—all provide pieces of the complex puzzle associated with Np phase transitions and support the assessment of the decomposition mechanism provided in eqn (6), along with corroboration from literature evidence available on Np and U denitration mechanisms.

## Conclusions

Multimodal analysis of Np phases throughout thermal denitration  $\text{NH}_4\text{NpO}_2(\text{NO}_3)_3$  was performed to uncover the reaction mechanisms of this unique element. Using a combination of thermal analysis, pXRD, and Raman spectroscopy, the intermediate phases during thermal decomposition are proposed to be  $\text{NpO}_2(\text{NO}_3)_2$  and  $\text{Np}_2\text{O}_5$  in the 100 °C–300 °C range before final reduction of the oxide at ~550 °C. As part of characterization of the  $\text{Np}_2\text{O}_5$  intermediate, the first Raman spectra of pure phase  $\text{Np}_2\text{O}_5$  are reported here, along with associated peak assignments, which are conclusively identified using DFT modeling. This combination of solid-state analyti-

cal techniques is rare for transuranic elements and represents important advances for solid-state Np chemistry. Continued pursuit of chemical studies for each actinide element on an individual basis is of vital importance, given the observed differences between known U denitration mechanisms and Np denitration mechanisms reported here. Future efforts for Np denitration should attempt to more conclusively identify intermediate nitrate phases in low-temperature regions, as well as evaluate potential mechanistic differences between  $\text{Np}^{(\text{VI})}$  and  $\text{Np}^{(\text{V})}$  nitrate phases.

## Author contributions

The manuscript was written through contributions of all authors. All authors have given approval to the final version of the manuscript.

## Data availability

The data supporting this article have been included as part of the ESI.† Crystallographic data has been deposited at CCDC under Deposition Number 2417020.‡

## Conflicts of interest

There are no conflicts to declare.

## Acknowledgements

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