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## Profiting from light-induced metal-to-metal intramolecular electron transfer: towards highly efficient heterodinuclear photosensitizers for photodynamic therapy†

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Photodynamic therapy is receiving increasing attention due to its versatile application in anticancer therapy. Ru(II) and Pt(II) complexes are among the most investigated compounds as potential photosensitizers for photodynamic therapy based on their outstanding photophysical and biological properties (*i.e.*, strong emission, high intersystem crossing efficiency, large Stokes shift, high (photo-)stability, biological compatibility, good water solubility). While these classes of compounds have been widely studied separately or combined in derivatives that display a dual therapeutic effect, herein, a novel study on the conjugation of Ru(II) and Pt(II) fragments into a single bimetallic conjugate is proposed. It is assumed that this molecular design could undergo an intramolecular electron transfer from the Ru(II) moiety to the Pt(II) moiety upon irradiation to produce a highly efficient excited state. Capitalizing on the presence of both metals, the bimetallic conjugate was found to generate singlet oxygen with an outstanding efficiency in comparison to its individual components. To enhance the pharmacological profile, the bimetallic complex was encapsulated into polymeric nanoparticles. The nanoparticles were demonstrated to eradicate human breast adenocarcinoma monolayer cells as well as multicellular tumor spheroids upon light irradiation at nanomolar concentrations. We are confident that this approach will open new avenues towards the development of novel highly efficient photosensitizers.

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## Introduction

Cancer has become one of the deadliest diseases worldwide. In 2022, the estimated number of new cases was around 20 million causing 10 million deaths globally.<sup>1</sup> During the last decades, chemotherapy has risen as one of the most important therapies to treat cancer. Despite its global use, some cancers are intrinsically resistant to chemotherapy or develop resistance upon a first successful treatment and the majority of chemotherapeutic drugs produce severe side effects (*i.e.*, kidney damage, nausea, vomiting, bone marrow suppression).<sup>2,3</sup> Therefore, there is a need for the development

of alternative therapies that can overcome the afore-mentioned limitations. Light-activated therapeutics are gaining interest in chemical and biological research due to their ability to offer spatio-temporal control over treatments.<sup>4–8</sup> One of the most promising approaches in this field is photodynamic therapy (PDT).<sup>9,10</sup> In PDT, a photosensitizer is administered either locally or systemically. The photosensitizer initially disperses non-specifically throughout the body and reaches the target tissue. After an appropriate incubation period, the target tissue is exposed to light, triggering the local, catalytic production of reactive oxygen species (ROS),<sup>10–12</sup> that increase oxidative stress and ultimately leads to cell death. Importantly, the therapeutic effect is confined to the tissue containing the photosensitizer at the time of irradiation, enabling precise and selective treatment.<sup>13,14</sup>

Most photosensitizers applied in clinical settings share a tetrapyrrolic core structure (*e.g.*, porphyrin, chlorin, bacteriochlorin, phthalocyanine).<sup>11,15,16</sup> Due to this shared structural scaffold, these photosensitizers face common challenges, including low water solubility, limited photostability, tedious synthesis and purification, slow body clearance leading to pro-

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longed photosensitivity, and limited cancer selectivity.<sup>17</sup> To mitigate these issues, researchers have explored the incorporation of metal ions. Certain metals can increase the molecule's stability against metabolic breakdown and light degradation.<sup>18</sup> Additionally, specific heavy atoms can enhance intersystem crossing efficiency by the heavy atom effect, thus boosting ROS generation.<sup>19–22</sup> Ru(II) and Pt(II) complexes are some of the most extensively studied compounds as potential photosensitizers for PDT, owing to their exceptional photophysical and biological characteristics, including strong emission, high inter-system crossing efficiency, large Stokes shift, excellent (photo-) stability, biological compatibility, and good water solubility.<sup>23–26</sup> Notably, the Ru(II) polypyridine complex TLD-1433 has progressed to phase II clinical trials for the treatment of non-muscle invasive bladder cancer.<sup>27</sup>

While Ru(II) and Pt(II) complexes have been widely studied in the literature either independently,<sup>23,28–30</sup> or combined in conjugates that exhibit a dual therapeutic effect,<sup>31,32</sup> this work aims to combine these two classes of compounds in a single architecture to attain a synergistic PDT effect. Thus, the computational design, chemical synthesis, photophysical evaluation and biological testing of a novel bimetallic Ru(II)–Pt(II) conjugate is reported here. The new bimetallic photosensitizer exhibits a high efficiency in the production of singlet oxygen compared to its individual components and a remarkable potential as photosensitizer for PDT as a result of a light-induced intramolecular electron transfer process between both metal fragments. To improve its pharmacological profile, the bimetallic complex was encapsulated within polymeric nanoparticles. These nanoparticles were found to effectively eradicate human breast adenocarcinoma monolayer cells and multicellular tumor spheroids under light irradiation at nanomolar concentrations.

## Results and discussion

### Theoretical design

Previous studies have reported on the chemical synthesis of Ru(II)–Pt(II) conjugates linked through a conjugated system.<sup>33–35</sup> However, these compounds have rarely been studied for any biological application. The research groups of Chao and Stang have reported on the first example of a tetranuclear Ru(II)–Pt(II) metallacycle, formed through coordination-driven self-assembly, for biological applications. This compound eradicated human pulmonary carcinoma cells upon light exposure.<sup>36</sup> The Gasser and Gibson groups have developed a Ru(II)–Pt(IV) bimetallic complex that undergoes dissociation under red light irradiation, which results in a multi-action, multitarget cytotoxic effect in drug-resistant human ovarian cancer cells.<sup>37</sup> A similar strategy was followed by Chakravarty *et al.* using a biotin–Pt(IV)–Ru(II)–BODIPY conjugate.<sup>38</sup> Although these initial studies showed promise for multinuclear Ru–Pt complexes in PDT, the Ru and Pt centers acted independently, producing additive therapeutic effects. Following these preliminary studies, herein, we envisioned that the combination of both

metal centers into a single conjugate could benefit from a synergistic effect based on the strong light-harvesting properties of the Ru(II) polypyridine fragments and the high catalytic properties of Pt(II)–terpyridine moieties.

Density functional theory (DFT) and time-dependent DFT (TD-DFT) calculations were utilized as the foundation for the chemical design of a novel conjugate. For the generation of a highly efficient bimetallic photosensitizer, an effective electron transfer from the Ru(II) polypyridine moiety to the Pt(II)–terpyridine moiety is essential. In pseudo-octahedral Ru(II) polypyridyl complexes, the highest occupied molecular orbital (HOMO) is usually located on the ruthenium  $t_{2g}$  orbitals, while the lowest unoccupied molecular orbital (LUMO) typically corresponds to  $\pi^*$  orbitals distributed over the polypyridyl ligands.<sup>39</sup> In Pt(II) complexes of the type  $[Pt(terpy)(C\equiv C-R)]^+$  (terpy = terpyridine,  $C\equiv C-R$  = alkynyl ligand), the HOMO is usually spread over the  $\pi$  orbitals of the alkynyl ligand and the Pt center, while the LUMO is associated with  $\pi^*$  orbitals on the terpyridine ligand.<sup>30,40</sup> To enable the desired electron transfer between these metal fragments, the bridging ligand must provide the necessary electronic communication. Thus, different approaches were considered to conclude that the use of an alkynyl-functionalized  $\beta$ -carboline ligand could be suitable for this purpose. Consequently, the monometallic Ru(II) complex

$[Ru(bipy)_2(1-(5-ethynylpyridin-2-yl)-9-methyl-\beta\text{-carboline})]^{2+}$  (**Ru**) (bipy = 2,2'-bipyridine) was studied by using this theoretical approach. The topology and relative energy values of the MOs were calculated for the ground electronic state ( $S_0$ ) (Fig. S1, S2 and Table S1†). For this complex the HOMO (−5.87 eV) essentially corresponds to the  $Ru(d_{\pi})$  orbitals while the LUMO (−2.70 eV) is located over the  $\pi^*$  orbitals of the  $\beta$ -carboline ligand. On the other hand, the Pt(II) complex  $[Pt(terpy)(phenylacetylene)]^+$  (**Pt**) (terpy = 2,2':6',2'-terpyridine) was also studied. The topology and relative energy values of the MOs calculated for the ground electronic state (Fig. S1, S3 and Table S2†) showed that the HOMO (−5.92 eV) corresponds mainly to a combination of  $\pi$  orbitals located over the alkynyl ligand with a minor participation of the Pt(II) center, while the LUMO (−3.02 eV) is mainly spread over the  $\pi^*$  orbitals of the terpyridine ligand and has a small contribution of the Pt(II) metal center. Next, both metal fragments were combined forming the Ru(II)–Pt(II) conjugate  $[Ru(bipy)_2(1-(5-ethynylpyridin-2-yl)-9-methyl-\beta\text{-carboline})Pt(terpy)]^{3+}$  (**RuPt**). The topology and relative energy values of the MOs calculated for the ground electronic state of **RuPt** (Fig. S1, S4 and Table S3†) confirmed the participation of the Ru and Pt fragments into HOMO and LUMO, respectively. The HOMO calculated for the heterobimetallic complex is distributed over the Ru center (approx. 71%, Table S4†) with a small contribution of the  $\beta$ -carboline ligand and consequently exhibits a topology ( $Ru(d_{\pi})$  orbital) and an energy value virtually identical to that of **Ru** (Fig. 1). By contrast, the LUMO of **RuPt** is spread over the Pt(terpy) fragment (approx. 98%, Table S4†) and therefore it displays a similar topology and a comparable energy value to those of **Pt** as expected due to the similar structure of both moieties (Fig. 1). These results confirmed the par-



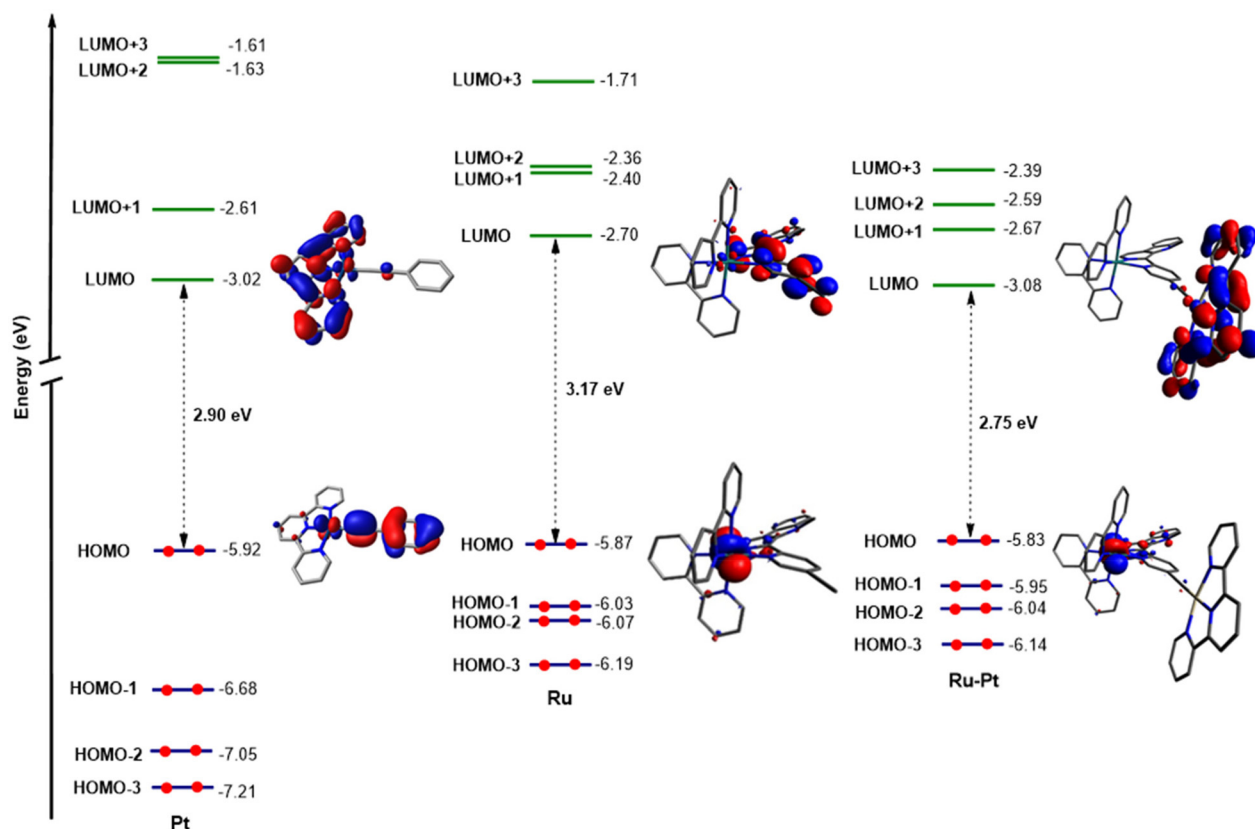


Fig. 1 Schematic representation showing the isovalue contour plots calculated for HOMOs and LUMOs of Pt, Ru and RuPt.

icipation of both metal fragments in the HOMO  $\rightarrow$  LUMO monoexcitation and suggest an orthogonal nature for these molecular orbitals, meaning that there is no overlap between them. Moreover, the combination of both metal fragments in **RuPt** leads to a reduction in the HOMO–LUMO band gap with regard to **Ru**, and in a lesser extent with respect to **Pt**. The electronic transitions that take place in the calculated UV-Vis absorption spectrum (Fig. S5†) were analyzed for **RuPt** (Table S5†). For this complex the nature of the lowest singlet excited state ( $S_1$ ) is mainly described as a HOMO–LUMO monoexcitation, corresponding to a spin-allowed metal-to-ligand charge transfer ( $^1\text{MLCT}$ ) transition involving the promotion of one electron from a  $\text{Ru}(\text{d}_\pi)$  orbital to the  $\text{Pt}(\text{II})$ -terpy environment. This was also observed in NTO (natural transition orbital) hole and electron patterns for the  $S_0 \rightarrow S_1$  electronic transition (Fig. 2A). Nonetheless, the straight formation of  $S_1$  is restricted since HOMO and LUMO are orthogonal to each other. Indeed, the oscillator strength for  $S_0 \rightarrow S_1$  is 0, suggesting that this transition is forbidden. However,  $S_1$  can be efficiently reached through internal conversion from higher energetic singlet excited states ( $S_2$ ,  $S_3$ , *etc.*), whose population is more favored (*e.g.*:  $f = 0.0106$  for  $S_2$ ).

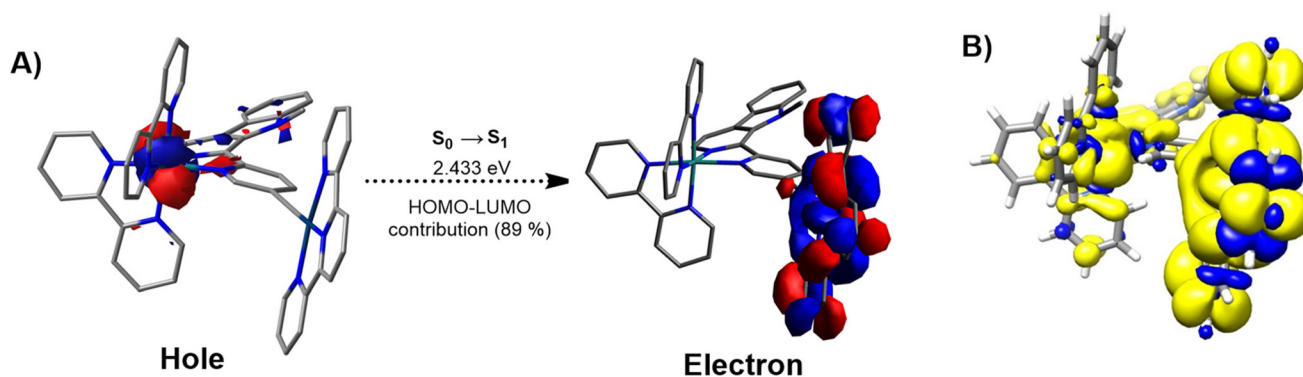
Moreover, the orthogonal nature of the HOMO and LUMO resulted in a minimal energy difference between  $S_1$  and the triplet excited state defined by HOMO–LUMO monoexcitation (Table S5†). The singlet triplet energy gap is given by  $\Delta E_{\text{ST}} = 2J$ ,

where  $J$  is the exchange energy. The value of  $J$  can be minimized by decreasing the overlap between the HOMO and LUMO orbitals.<sup>41</sup> As a result, the singlet and the triplet excited states are almost isoenergetic (2.433 eV and 2.429 eV, respectively). The forbidden nature of  $S_1$ , which results in a long-lived state, combined with the small  $\Delta E_{\text{ST}}$ , facilitates efficient intersystem crossing to the triplet state. For a better comprehension of the nature of the lowest triplet excited state ( $T_1$ ) of **RuPt**, the geometry of the fully relaxed triplet excited state was optimized (Table S6†) and the unpaired-electron spin-density calculated (Fig. 2B). The unpaired-electron spin-density computed is distributed between the Ru environment and the Pt metal fragment. These findings confirm that the  $T_1$  state primarily arises from the HOMO  $\rightarrow$  LUMO monoexcitation and the electron transfer from the  $\text{Ru}(\text{II})$  center to the  $\text{Pt}(\text{II})$  metal fragment. The orthogonality between the HOMO and LUMO in this triplet excited state significantly slows electron recombination to the HOMO, hindering decay to  $S_0$ . This feature adds to the already spin-forbidden nature of the transition, resulting in a highly forbidden  $T_1 \rightarrow S_0$  transition and leading to an exceptionally long triplet lifetime (*vide infra*).

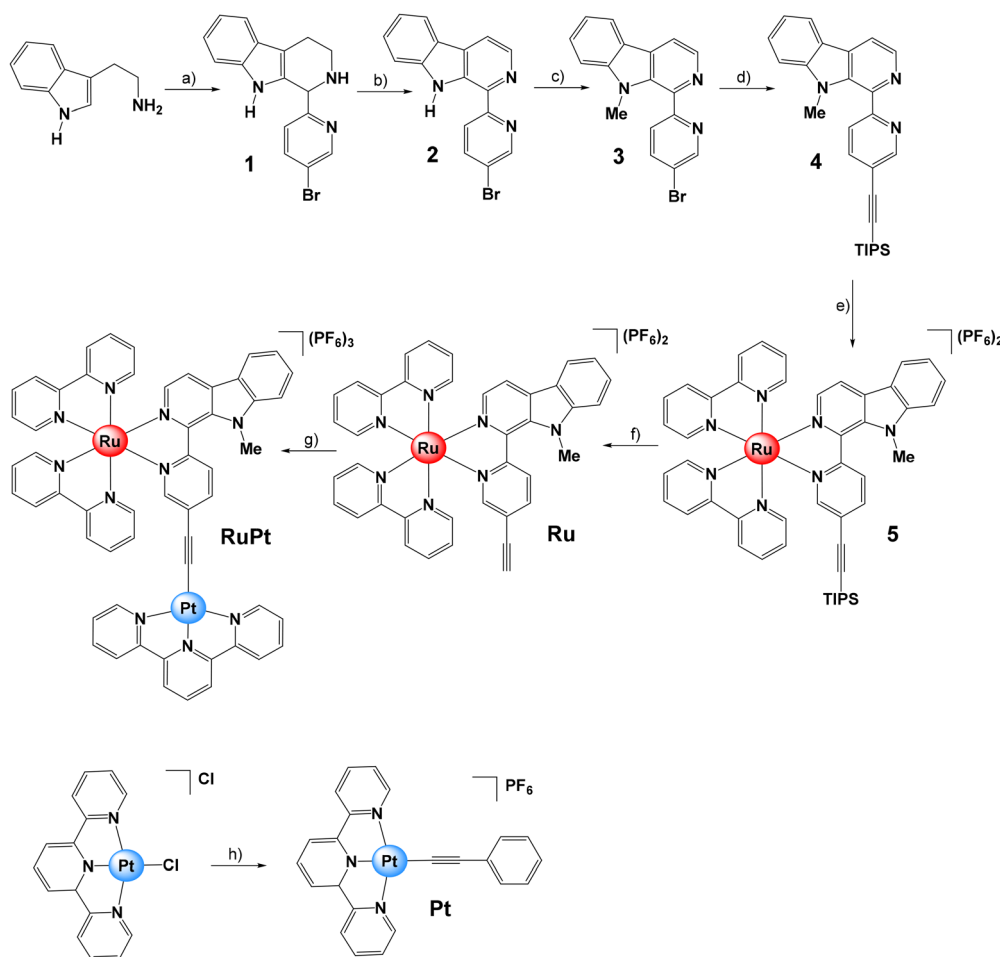
### Chemical synthesis

The  $\beta$ -carboline moiety selected as bridging ligand was synthesized in three steps (Fig. 3). Firstly, tryptamine and 5-bromo-2-pyridinecarboxaldehyde were reacted to produce the corresponding tetrahydro- $\beta$ -carboline (**1**), which was then oxi-





**Fig. 2** (A) NTO hole and electron patterns for  $S_1$  excited state on the optimized  $S_0$  geometry of RuPt. (B) Unpaired-electron spin-density contours computed for the fully relaxed triplet excited state ( $T_1$ ) of RuPt.



**Fig. 3** Synthesis and molecular structures of  $\beta$ -carboline ligand **4** and Pt, Ru and RuPt investigated in this study. Conditions and reagents: (a) 5-bromo-2-pyridinecarboxaldehyde, anisole, reflux, 3 h; (b)  $\text{MnO}_2$ , reflux, 21 h; (c) NaH, MeI, DMF, 0 °C  $\rightarrow$  rt, overnight; (d) (triisopropylsilyl)acetylene,  $\text{Pd}(\text{PPh}_3)_4$ ,  $\text{NEt}_3$ , CuI, DMF, 65 °C, overnight; (e)  $\text{Ru}(\text{bipy})_2\text{Cl}_2$ ,  $\text{EtOH} : \text{H}_2\text{O}$  (1 : 1), pressure flask (120 °C), 24 h; (f) TBAF, AcOH, ACN : THF (2 : 1), rt, overnight; (g)  $[\text{Pt}(\text{terpy})\text{Cl}]\text{Cl}$ , CuI,  $\text{NEt}_3$ , DMF, rt, 72 h; (h) phenylacetylene, CuI,  $\text{NEt}_3$ , DMF, rt, 24 h.

dized in the presence of activated manganese dioxide to obtain **2** in a one-pot two-steps process.<sup>42</sup> Subsequently, the 1-(5-bromopyridin-2-yl)- $\beta$ -carboline (**2**) was converted into its methylated form, 1-(5-bromopyridin-2-yl)-9-methyl- $\beta$ -carboline (**3**), by using

sodium hydride as the base and methyl iodide as a methylating agent. Then, a Sonogashira reaction protocol was followed using **3** and (triisopropylsilyl)acetylene to obtain 9-methyl-1-(5-((triisopropylsilyl)ethynyl)pyridin-2-yl)- $\beta$ -carboline (**4**).<sup>43</sup>



The monometallic complexes **Pt** and **Ru** were prepared as reference compounds for comparison purposes (Fig. 3). In brief, the Ru(II) complex **5** was obtained by reacting **4** with one equivalent of  $[\text{Ru}(\text{bipy})_2\text{Cl}_2]$ . Then, the triisopropylsilyl protecting group was removed in the presence of tetrabutylammonium fluoride to yield the Ru(II) complex  $[\text{Ru}(\text{bipy})_2(1-(5\text{-ethynylpyridin-2-yl})-9\text{-methyl-}\beta\text{-carboline})][\text{PF}_6]_2$  (**Ru**). The monometallic Pt(II) derivative  $[\text{Pt}(\text{terpy})(\text{phenylacetylene})][\text{PF}_6]$  (**Pt**) was synthesized by reacting the Pt(II) precursor  $[\text{Pt}(\text{terpy})\text{Cl}]\text{Cl}$  with phenylacetylene in the presence of copper(I) iodide and triethylamine.<sup>30</sup> Finally,  $[\text{Ru}(\text{bipy})_2(1-(5\text{-ethynylpyridin-2-yl})-9\text{-methyl-}\beta\text{-carboline})\text{Pt}(\text{terpy})][\text{PF}_6]_3$  (**RuPt**) was prepared similarly by reacting **Ru** with one equivalent of  $[\text{Pt}(\text{terpy})\text{Cl}]\text{Cl}$  in the presence of copper(I) iodide and triethylamine. All compounds were analyzed by multinuclear NMR ( $^1\text{H}$ ,  $^{13}\text{C}$ -NMR) and high-resolution mass spectrometry (HR-MS) (Fig. S6–S36†). The purity of ligands and complexes was verified by elemental analysis (see ESI†).

### Absorption and emission

The photophysical properties of the new metal complexes were studied to evaluate their potential use as photosensitizers (Fig. 4, Table 1 and Fig. S37†). In good agreement with the calculated theoretical absorption spectrum (Fig. S5†), a weak absorption profile was observed for **Pt** in the visible region (Fig. 4). The Pt(II) complex exhibited a low-intensity absorption band around 400 nm, extending into wavelengths beyond 500 nm.

By contrast, **Ru** showed two main absorption bands centered at 424 nm and around 486 nm with an absorption tail

that extends up to 600 nm. The heterobimetallic complex **RuPt** showed a similar absorption profile compared to that of **Ru**, with two main absorption bands in the visible region. According to the TD-DFT calculations (Table S5†), the absorption band centered at 428 nm is attributed to the combination of both  $S_{11}$  (2.861 eV, 433.4 nm;  $f = 0.0961$ ) and  $S_{13}$  (2.931 eV, 423.1 nm;  $f = 0.1391$ ) excited states while the broad absorption band located around 482 nm that extends up to 600 nm is attributed to the sum of both  $S_8$  (2.74 eV, 452.9 nm;  $f = 0.0551$ ) and  $S_9$  (2.74 eV, 452.5 nm;  $f = 0.0931$ ) excited states. These absorption bands correspond to a mixture of spin-allowed metal-to-ligand charge transfer ( $^1\text{MLCT}$ ), ligand-to-ligand charge transfer ( $^1\text{LLCT}$ ) and ligand-centered ( $^1\text{LC}$ ) transitions.

The emission spectra of the new metal complexes were recorded for aqueous solutions under blue light excitation ( $\lambda_{\text{ex}} = 450$  nm). **Pt** displayed a broad emission band with a maximum at 511 nm, while **Ru** and **RuPt** featured bands centered at 681 and 676 nm, respectively (Table 1 and Fig. S37†). The lifetime for the observed emission of **RuPt** exhibited two components, the first one with a value of 8 ns (contributing with a percentage share of 24%) and the second one with a value of 311 ns (percentage share of 76%), indicative of two separate excited states. Moreover, the photoluminescence quantum yield for **RuPt** was very low ( $\Phi_{\text{PL}} = 0.91\%$ ). This suggests that non-radiative pathways are very efficient, including the intersystem crossing to non-emissive triplet excited states, which were characterized by transition absorption spectroscopy (*vide infra*).

### Transient absorption spectroscopy measurements

Aiming to characterize non-emissive excited states of **RuPt** and reveal the mechanism involved in the photosensitization of molecular oxygen we performed nanosecond transient absorption spectroscopy (TAS) experiments on this complex. The evolution of the transient absorption spectrum with time, for a degassed aqueous solution at room temperature using a laser excitation wavelength of 355 nm (Fig. 5A) shows a single lifetime over the studied spectral range, corresponding to the decay of  $T_1$  and recovery of the ground state absorption. Despite the strong overlap and the existing of a single decay component, the normalized transient absorption spectrum at 0.1 ms, when overlapped with the normalized (and inverted) ground-state absorption spectrum of **RuPt** allowed us to identify regions of the spectrum that correspond to singlet ground-state depletion and trough spectral decomposition the approximate spectrum of the  $T_1 \rightarrow T_n$  transitions (Fig. S38†). Thus,

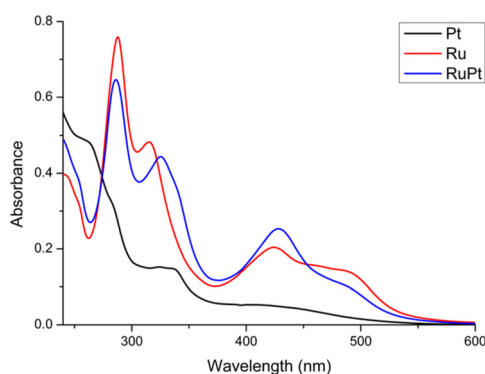
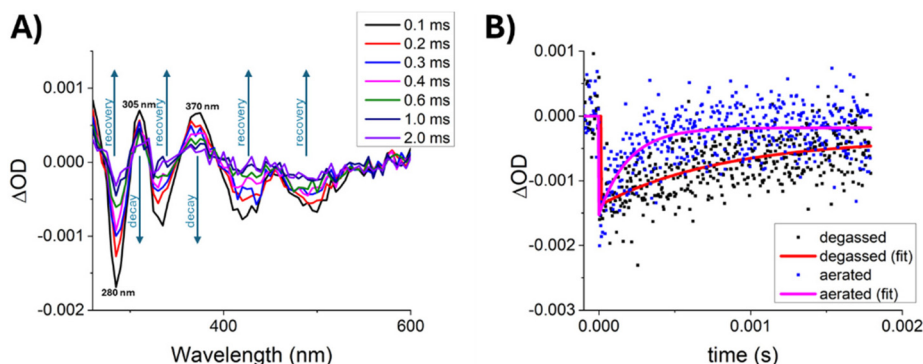


Fig. 4 Overlaid absorbance spectra of Pt, Ru and RuPt ( $10^{-5}$  M) in  $\text{H}_2\text{O} : \text{DMSO}$  (99 : 1, v : v) at room temperature.

Table 1 Photophysical properties of **Pt**, **Ru** and **RuPt** solutions ( $10^{-5}$  M) in  $\text{H}_2\text{O} : \text{DMSO}$  (99 : 1, v : v).  $\lambda_{\text{abs}}$  = absorption maximum;  $\lambda_{\text{em}}$  = emission maximum;  $\tau$  = photoluminescence lifetime;  $\Phi_{\text{PL}}$  = photoluminescence quantum yield;  $\Phi_{\Delta}$  = singlet oxygen quantum yield

Complex	$\lambda_{\text{abs}}/\text{nm}$ ( $\epsilon/\text{M}^{-1} \text{cm}^{-1}$ )	$\lambda_{\text{em}}/\text{nm}$	$\tau/\text{ns}$ (contribution/%)	$\Phi_{\text{PL}}/\%$	$\Phi_{\Delta}/\%$
<b>Pt</b>	262 (48 324), 282 (32 669), 324 (15 206), 403 (5280)	511	507	5	39
<b>Ru</b>	288 (75 900), 315 (48 263), 424 (20 414), 486 (14 245)	681	307	2	8
<b>RuPt</b>	286 (64 650), 325 (44 367), 428 (25 311), 482 (10 918)	676	8 (24) 311 (76)	1	88





**Fig. 5** (A) Evolution of the transient absorption spectra of **RuPt** with time. (B) Recovery of the ground-state after depletion acquired at 280 nm under aerated and degassed conditions; arrows highlight the recovery of the ground state after bleaching (up arrow) and decay of  $T_1$  to the ground state (down arrow).

the spectrum exhibits bleaching of the ground state (negative optical density changes) features at 285, 335 and 425 nm, meaning that the ground state absorptions ( $S_0 \rightarrow S_n$ ) have higher extinction coefficients at these wavelengths than the transient absorptions  $T_1 \rightarrow T_n$ . Moreover, two positive transient absorption regions are observed at 305 and 370 nm, where the opposite is true, *i.e.*, the transient absorptions  $T_1 \rightarrow T_n$  have higher extinction coefficients than the ground state absorptions.

To assess the influence of oxygen on the decay of the **RuPt** transients, we performed flash photolysis under degassed and aerated conditions over a fresh solution of the complex, by monitoring the ground-state depletion at 280 nm (Fig. 5B). The transients revealed that in the absence of oxygen **RuPt** has a very long-lived excited state featuring an outstanding lifetime in the hundreds of microseconds scale ( $0.82 \pm 0.20$  ms), which is expected for a forbidden triplet excited state. By contrast, this is unusual in the case of metal complexes where the spin-orbit coupling renders  $T_1 \rightarrow S_0$  transitions less forbidden, which leads to faster triplet decays. Furthermore, oxygen was proved to be a strong quencher of this triplet excited-state, showing a significant reduction in its lifetime value ( $0.20 \pm 0.05$  ms) under aerated conditions. Moreover, the kinetic traces essentially go back to zero in the presence of oxygen, meaning that the ground state is recovered upon the interaction with molecular oxygen. This fact rules out a significant contribution of an electron transfer mechanism to  $O_2$ , where the kinetic traces would not return to zero. On the contrary, an energy transfer mechanism to produce  $^1O_2$  is corroborated.

Then, we determined the energy transfer efficiency from **RuPt** to  $O_2$ ,  $\Phi_{ET}$ , by using eqn (1) and the values for the lifetimes in the absence ( $\tau_0$ ) and in the presence ( $\tau$ ) of oxygen,

$$\Phi_{ET} = 1 - \frac{\tau}{\tau_0} \quad (1)$$

Thus, a value of  $0.75 (\pm 0.20)$  was obtained for  $\Phi_{ET}$ . This value is in line with the value recorded for singlet oxygen generation,  $\Phi_\Delta$ , of 0.88 (*vide infra*), which implies a quantum yield of triplet formation,  $\Phi_T$ , close to 1, since  $\Phi_\Delta = \Phi_T \cdot \Phi_{ET}$ . This

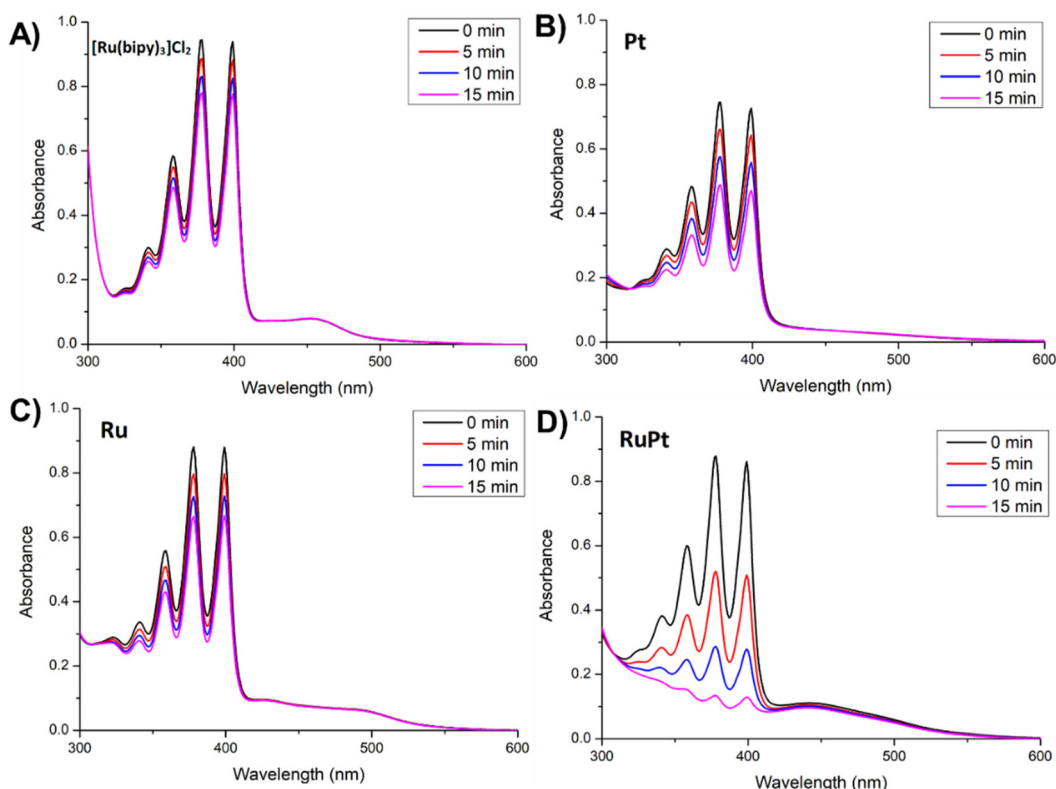
efficiency in the triplet formation might be attributed to a highly efficient intersystem crossing between the isoenergetic singlet and triplet excited states of **RuPt**. In conclusion, the long lifetime of **RuPt** arises from a forbidden  $T_1 \rightarrow S_0$  transition, which is uncommon in metal complexes due to enhanced spin-orbit coupling. In organic molecules, long lifetimes are more frequent when  $T_1$  and  $S_0$  share the same symmetry, violating El-Sayed's Rule. For the heterobimetallic complex **RuPt**, the HOMO-LUMO orthogonality and spatial separation hinder electron recombination, prolonging decay.

### Singlet oxygen generation

The capacity of **Pt**, **Ru** and **RuPt** to generate singlet oxygen ( $^1O_2$ ) in aqueous solution was experimentally determined by using 9,10-anthracenediyl-bis(methylene) dimalonate (ABDA) as a probe. This probe reacts with a molecule of singlet oxygen, that has been photocatalytically generated by the photosensitizer, forming the corresponding endoperoxide. This reaction was monitored by UV-Vis and the gradual decrease of the absorption band located at 378 nm upon different irradiation times was used to evaluate the ability of **Pt**, **Ru** and **RuPt** to generate  $^1O_2$  (Fig. 6 and Fig. S39–S43†).

Upon light irradiation (460 nm, 24 W), a notable decrease of absorbance at 378 nm was observed for all metal complexes, including the reference complex  $[Ru(bipy)_3]Cl_2$ . Indeed, monometallic complexes **Ru** and **Pt** displayed similar decomposition rates to that determined for  $[Ru(bipy)_3]Cl_2$ . Strikingly, the heterobimetallic complex **RuPt** showed an outstanding capacity to generate  $^1O_2$ , showing the faster photodegradation rate among the evaluated metal complexes. The  $^1O_2$  quantum yields ( $\Phi_\Delta$ ) of our metal complexes were determined using  $[Ru(bipy)_3]Cl_2$  as a reference photosensitizer ( $\Phi_\Delta = 0.18$  in water).<sup>44</sup> The calculated  $\Phi_\Delta$  values were 0.39 and 0.08 for the monometallic complexes **Pt** and **Ru**, showing a moderate and low efficiency, respectively. By contrast, the heterobimetallic complex **RuPt** displayed an extraordinary  $\Phi_\Delta$  of 0.88 under light irradiation. This exceptional  $\Phi_\Delta$  value shown by **RuPt** could likely be related to the excellent efficiency in the triplet formation and the very long-lived dark triplet excited state. As afore-men-





**Fig. 6** Photobleaching of 9,10-anthracenediyl-bis(methylene) dimalonic acid in the presence of (A) [Ru(bipy)<sub>3</sub>]Cl<sub>2</sub>, (B) Pt, (C) Ru and (D) RuPt under blue-light irradiation (460 nm, 24 W) in H<sub>2</sub>O : DMSO (99 : 1, v : v).

tioned, this state is formed as a consequence of the intramolecular electron transfer between both metal fragments, as usually observed in donor-bridge-acceptor organic molecules used in artificial photosynthesis.<sup>45</sup>

In Fig. 7 we summarize the simplified mechanism for the photophysical process involved in the generation of the triplet excited state of **RuPt** and the subsequent production of <sup>1</sup>O<sub>2</sub> through an energy transfer pathway (Fig. 7A). In brief, under light irradiation **RuPt** is excited to S<sub>2</sub> or S<sub>3</sub>, since the direct excitation to S<sub>1</sub> is forbidden owing to the lack of overlapping between HOMO and LUMO (orthogonality). S<sub>1</sub> is then populated from S<sub>2</sub> by internal conversion thanks to the coupling between the high vibrational states of S<sub>1</sub> and the low vibrational states of S<sub>2</sub>. Next, a triplet state defined by the HOMO–LUMO monoexcitation is formed through an intersystem crossing step, which is very favored due to several factors: (a) the decay from S<sub>1</sub> to S<sub>0</sub> is highly forbidden; (b) HOMO–LUMO orthogonality leads to a small difference in energy between <sup>1</sup>(HOMO–LUMO) and <sup>3</sup>(HOMO–LUMO) states, respectively S<sub>1</sub> and T<sub>3</sub> in the Franck Condon State (Fig. 7B); (c) geometrical relaxation leads to a decrease in the energy of <sup>3</sup>(HOMO–LUMO) state, which becomes the new T<sub>1</sub> state and (d) the transition from the new T<sub>1</sub> state, <sup>3</sup>(HOMO–LUMO), to the S<sub>0</sub> ground state <sup>1</sup>(HOMO–HOMO) is forbidden both by the orthogonality between the HOMO and the LUMO and by the change of spin multiplicity. Finally, the triplet state exhibits a

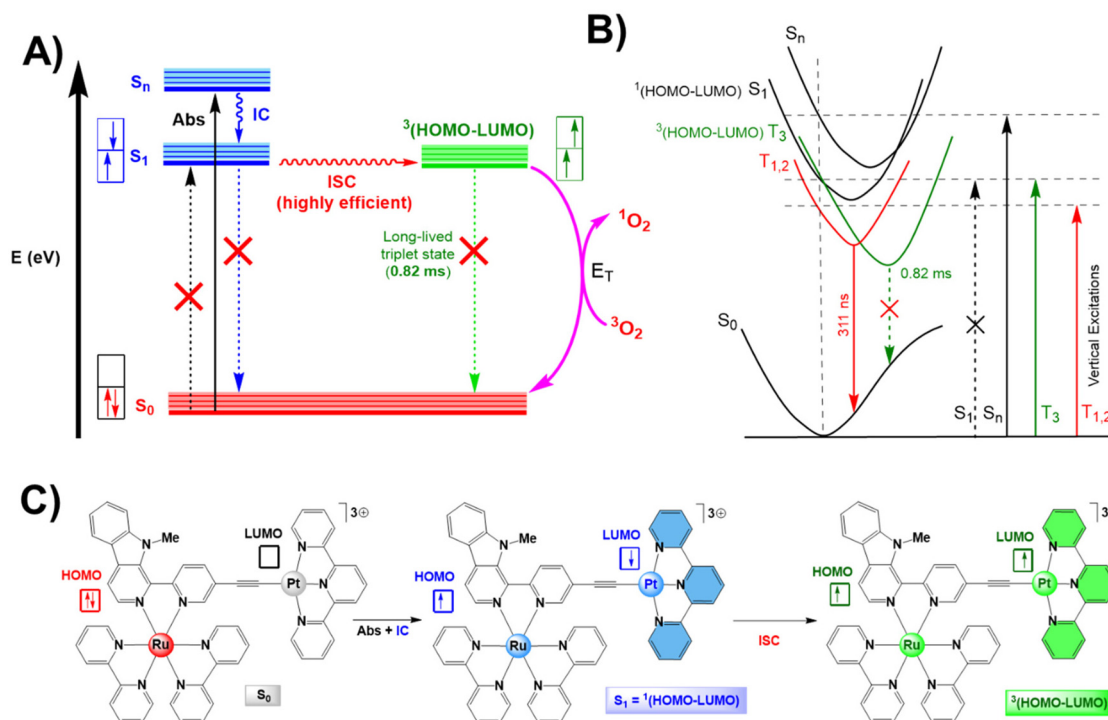
very long lifetime, since electronic recombination to form S<sub>0</sub> is forbidden not only because of the spin rule but also due to the lack of overlapping between HOMO and LUMO. Hence, this long lifetime for the triplet excited state of **RuPt** results from the intramolecular electron transfer between both metal fragments (Fig. 7C), and it is responsible for its high photosensitizing ability of O<sub>2</sub> that ultimately generates <sup>1</sup>O<sub>2</sub> via an energy transfer mechanism.

### Pharmacological properties

The solubility of the bimetallic conjugate was assessed via dynamic light scattering measurements. A stock solution of **RuPt** in dimethyl sulfoxide was prepared and subsequently diluted in phosphate-buffered saline to achieve a final dimethyl sulfoxide concentration of 0.5%. Dynamic light scattering measurements were then used to monitor for any precipitate or particle formation. No evidence of particle formation or aggregation was detected, indicating that the conjugate is fully soluble under physiological conditions.

Furthermore, the stability of compounds in physiological conditions is an essential feature for biological applications to ensure a safe and effective therapeutic outcome. By contrast, unintended degradation could reduce efficacy and cause side effects. Indeed, Chakravarty *et al.* have observed the photocleavage of the Pt–C(acetylide) bond under visible light irradiation for complexes of the type [(terpy)Pt–C≡C–R].<sup>46,47</sup> To assess the





**Fig. 7** (A) Simplified Jablonski diagram for the <sup>1</sup>O<sub>2</sub> generation by RuPt. (B) Schematic representation of singlet and triplet excited states calculated by TD-DFT approach. (C) Schematic representation for the generation of <sup>3</sup>(HOMO-LUMO).

stability of the bimetallic complex in an aqueous solution, **RuPt** was incubated in phosphate-buffered saline for 48 h and the absorption profile monitored as well as the identity of the compound analyzed by mass spectrometry. The spectra showed no changes during the incubation time (Fig. S44†), indicating that **RuPt** remains stable under physiological conditions. Analogously, the photostability of the conjugate in aerated aqueous solutions was studied under continuous blue light irradiation (460 nm, 24 W, 6 h). Within the investigated time interval, no significant changes in the absorption profile of **RuPt** or any decomposition in the mass spectra were observed (Fig. S45†), corroborating its high photostability.

The lipophilicity of the metal complexes was assessed by determining their distribution coefficient between the phosphate-buffered saline and the octanol phases (log *P*) using the “shake-flask” method (Table S7†). **Ru** was predominantly located in the aqueous phase (log *P* = −0.7 ± 0.1), whereas **Pt** was found in the octanol phase (log *P* = +1.1 ± 0.2). Interestingly, **RuPt** exhibited an amphiphilic character (log *P* = +0.4 ± 0.2), as expected for a bimetallic derivative that combines features from both monometallic complexes. The cell membrane permeability was evaluated using a parallel artificial membrane permeability assay (Table S8†). The results showed that **Ru** exhibited poor cell permeability (0.010 ± 0.002 μm s<sup>−1</sup>), whereas **Pt** (0.031 ± 0.004 μm s<sup>−1</sup>) and **RuPt** (0.024 ± 0.003 μm s<sup>−1</sup>) displayed moderate cell permeability. Overall, these findings demonstrated that the bimetallic conjugate is soluble and stable under physiological conditions as

well as upon irradiation. Furthermore, **RuPt** showed an amphiphilic character that enables a moderate cell permeability.

### Encapsulation into nanoparticles

To enhance the pharmacological properties and provide improved tumor selectivity of the bimetallic conjugate, it was encapsulated with the polymer Pluronic F-127/Polaxamer-407 (poly(ethylene glycol)-*block*-poly(propylene glycol)-*block*-poly(ethylene glycol)) into nanoparticles, hereinafter referred to as **RuPt NP**. Notably, this polymer has been clinically approved by the US Food and Drug Administration (FDA).<sup>48</sup> Dynamic light scattering measurements of the nanoparticles showed that the nanoparticles had a hydrodynamic diameter of approximately 132 nm with a polydispersity of 0.251 (Fig. S46†). The nanoparticles were found with a zeta potential of +27 mV. Using inductively coupled plasma optical emission spectroscopy (ICP-OES), the amount of encapsulated metal complex was quantified. Following concentrations of the nanoparticles refer to the concentration of the metal complex within the polymeric nanoparticles.

### Biological properties in cancer cells

The cellular uptake of the molecular complex **RuPt** in comparison to its nanoparticle formulation **RuPt NP** was evaluated by incubating human breast adenocarcinoma (MCF-7) cells for 4 h, followed by analysis of metal content using ICP-OES. The results indicated that **RuPt NP** had a cellular uptake approximately 3.3-higher than **RuPt** (Fig. S47†), confirming that the

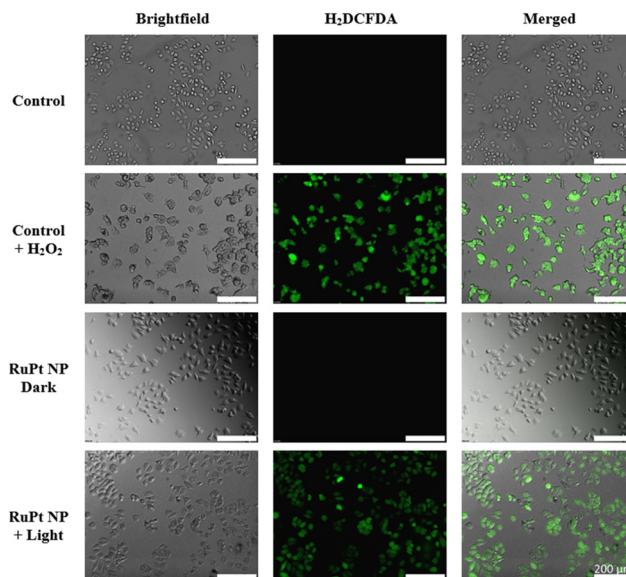


formulation into a nanomaterial enhanced the internalization into the cancer cells.

The therapeutic effect of **Ru**, **Pt**, **RuPt**, **RuPt NP** was studied in comparison to the clinically applied photosensitizer photofrin and the chemotherapeutic drug cisplatin against cancerous mouse colon carcinoma (CT-26), human breast adenocarcinoma (MCF-7), human hepatocellular carcinoma (HepG2), and non-cancerous human fibroblast (GM-5657) cells. The monometallic complexes **Ru** and **Pt** were found to be inactive in the dark as well as upon irradiation ( $IC_{50} > 50 \mu M$ ) against CT-26 cells. The bimetallic complex **RuPt** was found with a weak therapeutic response ( $IC_{50, \text{dark}} > 50 \mu M$ ,  $IC_{50, \text{light}} = 26 \pm 5 \mu M$ ) in CT-26 cells, due to the poor cellular uptake. Following, the nanoparticles were tested against all cell lines. The nanoparticles displayed a cytotoxic effect upon treatment in the dark in the moderate micromolar range within all tested cell lines ( $IC_{50} = 24.81\text{--}45.37 \mu M$ ). Upon irradiation with blue light, the therapeutic effect is strongly enhanced towards the very low micromolar to nanomolar range ( $IC_{50} = 0.54\text{--}2.64 \mu M$ ). The strongest therapeutic effect was observed on MCF-7 cells with a phototoxic index of 65. Interestingly, the cytotoxic response for **RuPt NP** upon irradiation with blue light was approximately 16-times stronger than upon treatment with the clinically approved photosensitizer photofrin under the investigated conditions (Table 2). Notably, the therapeutic effect is strongly dependent on the conditions within the cellular setting. Based on these findings, further experiments were performed using MCF-7 cells.

The ability of **RuPt NP** to generate ROS inside the cancer cells was evaluated by fluorescence microscopy using the ROS specific probe 2',7'-dichlorodihydrofluorescein diacetate. While being non-fluorescent under physiological conditions, the probe is oxidized in the presence of ROS, generating a green-fluorescent dye. As a positive control, hydrogen peroxide was directly added to the cells. Microscopy images of untreated cancer cells and cells treated with **RuPt NP** in the dark showed no green fluorescence. In contrast, the cells treated with **RuPt NP** and subsequently irradiated displayed a strong green fluorescent emission, confirming the ability of the nanoparticles to photogenerate ROS (Fig. 8).

To gain deeper insights, studies were conducted to identify the specific types of ROS (*i.e.*,  $\cdot OH$ ,  $^1O_2$ , or  $\cdot O_2^-$ ) generated by the metal complex. The cancer cells were preincubated with specific scavengers for each ROS type: D-mannitol for  $\cdot OH$ ,



**Fig. 8** Fluorescence images of MCF-7 cells upon co-incubation of **RuPt NP** in the dark or upon irradiation (450 nm, power: 20%, 10 min,  $1.2 J cm^{-2}$ ) and the ROS specific probe 2',7'-dichlorodihydrofluorescein diacetate. Untreated cells were used as a negative control and hydrogen peroxide as a positive control.  $\lambda_{ex} = 460\text{--}490 nm$ ,  $\lambda_{em} = 527 nm$ . Scalebars represent 200  $\mu m$ .

sodium azide for  $^1O_2$ , and 4,5-dihydroxy-1,3-benzenedisulfonic acid disodium salt monohydrate for  $\cdot O_2^-$ . Following preincubation in the presence of each scavenger, cells were treated with **RuPt NP** at its  $IC_{50}$  value ( $0.54 \mu M$ ), and cell viability was assessed. Since no change in cell survival was observed with the  $\cdot OH$  and  $\cdot O_2^-$  scavengers, these ROS types were ruled out. On the contrary, preincubation with the  $^1O_2$  scavenger significantly increased cell viability (Fig. S48†), suggesting that the cytotoxic mechanism of the nanoparticles primarily involves the generation of  $^1O_2$ . Complementary, fluorescence microscopy experiments were conducted on the cancer cells treated with **RuPt NP** in the presence of the specific fluorophores for each ROS: 3'-p-(hydroxyphenyl) fluorescein for  $\cdot OH$ , singlet oxygen sensor green for  $^1O_2$ , or dihydroethidium for  $\cdot O_2^-$ . No fluorescence was detected from the  $\cdot OH$  and  $\cdot O_2^-$  probes. However, the incubation with the  $^1O_2$  probe, produced a strong green emission (Fig. S49†). Overall, these findings indicate that **RuPt NP** efficiently generated  $^1O_2$  in the cancer cells.

**Table 2**  $IC_{50}$  values (in  $\mu M$ ) of **RuPt NP** in comparison to the clinically applied photosensitizer photofrin and the chemotherapeutic drug cisplatin in cancerous mouse colon carcinoma (CT-26), human breast adenocarcinoma (MCF-7), human hepatocellular carcinoma (HepG2), and non-cancerous human fibroblast (GM-5657) cells in the dark or upon irradiation (450 nm, power: 20%, 10 min,  $1.2 J cm^{-2}$ ). Average of three independent measurements. n.d. = not determined

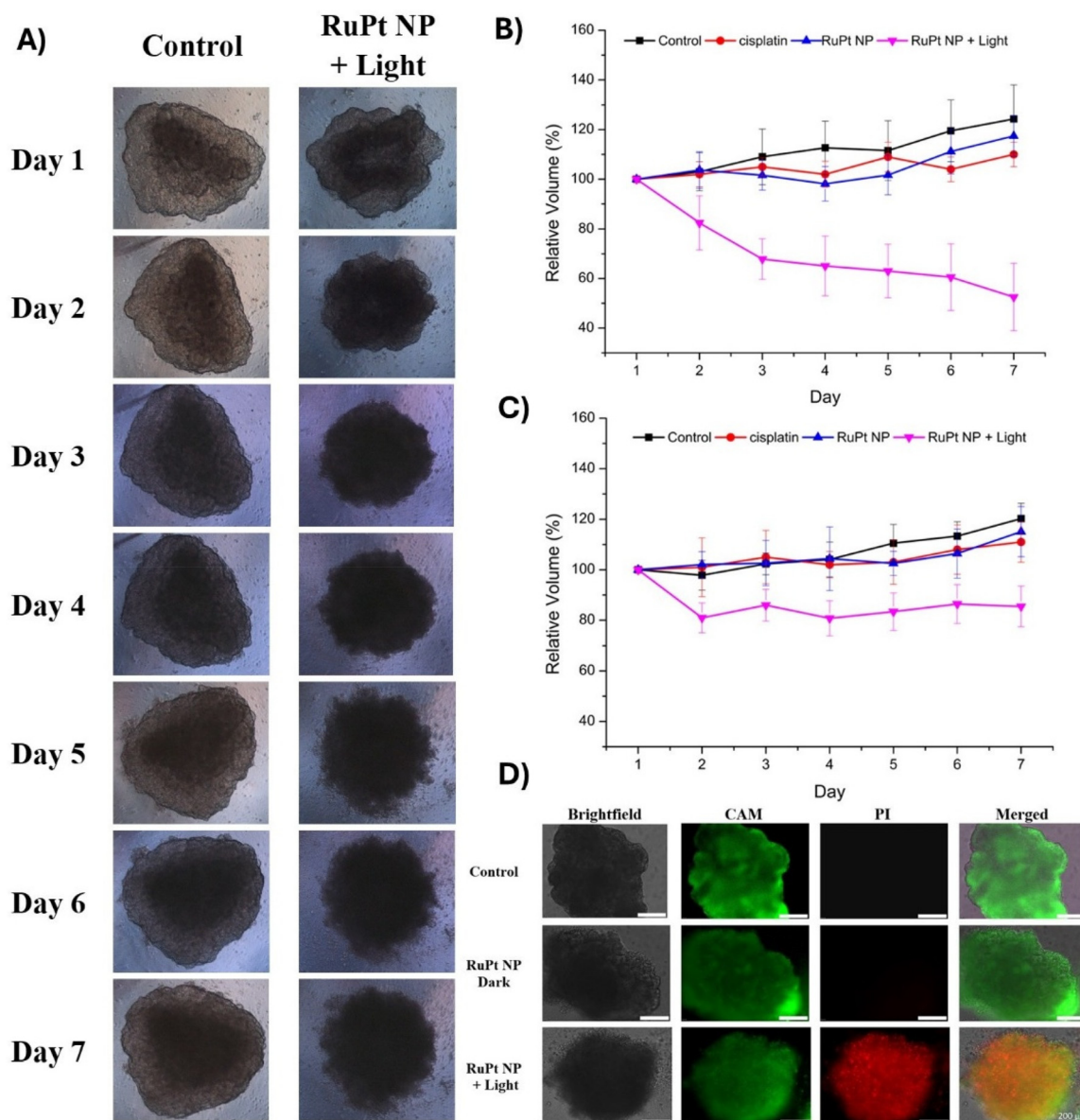
	MCF-7			CT-26			HepG2			GM-5657		
	Dark	Light	PI	Dark	Light	PI	Dark	Light	PI	Dark	Light	PI
<b>RuPt NP</b>	$34.86 \pm 3.57$	$0.54 \pm 0.06$	64.6	$24.81 \pm 3.85$	$1.37 \pm 0.19$	18.1	$45.37 \pm 4.16$	$1.84 \pm 0.23$	24.7	$41.68 \pm 3.27$	$2.64 \pm 0.30$	15.8
Cisplatin	$21.59 \pm 2.48$	n.d.	n.d.	$25.48 \pm 1.83$	n.d.	n.d.	$17.36 \pm 2.25$	n.d.	n.d.	$33.57 \pm 3.64$	n.d.	n.d.
Photofrin	>50	$8.73 \pm 0.53$	>5.7	>50	$4.31 \pm 0.74$	>11.6	>50	$7.38 \pm 0.61$	>6.8	>50	$9.84 \pm 0.66$	>5.1



### Biological properties in multicellular tumor spheroids

Based on the promising biological effects observed in two-dimensional monolayer cancer cells, the therapeutic properties of **RuPt NP** were further evaluated in multicellular tumor spheroids, a widely used model that closely mimics the pathological conditions of solid tumors.<sup>49</sup> Herein, MCF-7 multicellular tumor spheroids with an average diameter of 650  $\mu\text{m}$  were cultivated and treated with **RuPt NP** in comparison to the chemotherapeutic drug cisplatin and exposed to light irradiation (450 nm, power: 20%, 10 min,  $1.2 \text{ J cm}^{-2}$ ).

The tumor spheroids were daily monitored over a period of seven days. While due to the low concentration in the nanomolar range, no significant therapeutic effect for cisplatin was observed, resulting in the further growth of the tumor spheroid, a therapeutic response was monitored upon treatment with **RuPt NP** (Fig. 9B). To explore the treatment on larger tumors, the therapeutic effects of the compound was further examined in MCF-7 multicellular tumor spheroids with an average diameter of approximately 850  $\mu\text{m}$ . Previous studies have shown that larger multicellular tumor spheroids are considerably more difficult to treat than smaller ones, mainly



**Fig. 9** Evaluation of the therapeutic effect of **RuPt NP** on MCF-7 multicellular tumor spheroids in the dark or upon irradiation (450 nm, power: 20%, 10 min,  $1.2 \text{ J cm}^{-2}$ ). (A) Light microscopy images of a single tumor spheroid with an initial diameter of 850  $\mu\text{m}$  untreated or treated with **RuPt NP** and exposure to light. (B) Tumor growth inhibition curves of tumor spheroid with an initial diameter of 650  $\mu\text{m}$  upon treatment with **RuPt NP** or cisplatin. (C) Tumor growth inhibition curves of tumor spheroid with an initial diameter of 850  $\mu\text{m}$  upon treatment with **RuPt NP** or cisplatin. (D) Fluorescence microscopy images upon treatment with **RuPt NP** in the dark or light and incubation with the cell live stain calcein (CAM,  $\lambda_{\text{ex}} = 460\text{--}490 \text{ nm}$ ,  $\lambda_{\text{em}} = 515 \text{ nm}$ ) and cell death stain propidium iodide (PI,  $\lambda_{\text{ex}} = 545\text{--}580 \text{ nm}$ ,  $\lambda_{\text{em}} = 617 \text{ nm}$ ).



because of proliferation gradients and hypoxic regions at the tumor core.<sup>50</sup> Similarly, to the smaller tumor spheroids, no significant cytotoxic effect for cisplatin was observed. In contrast, the treatment with **RuPt NP** upon light irradiation resulted in a tumor growth inhibition of the tumor spheroid (Fig. 9C). Light microscopy images of the larger tumor spheroid showed the darkening of the core as well as morphological damage and alterations upon treatment with photoactivated **RuPt NP** (Fig. 9A). To further investigate the therapeutic effect, multicellular tumor spheroids were treated with **RuPt NP** under dark and light conditions, followed by staining with specific cellular live (calcein AM) and dead (propidium iodide) dyes. No cytotoxic response was observed when spheroids were treated in the dark; however, a strong therapeutic effect was evident under light exposure. Notably, dead cells were detected even at the spheroid core, a region typically difficult to target, indicating effective nanoparticle penetration (Fig. 9D). Overall, these findings demonstrate the high therapeutic potential of the new bimetallic-based nanoparticles.

## Conclusions

In summary, this study presents the computational design, chemical synthesis, photophysical evaluation, and biological testing of a novel bimetallic Ru(II)–Pt(II) conjugate (**RuPt**). This photosensitizer displays a very high photocatalytic activity in the singlet oxygen generation leveraging an excited state intramolecular electron transfer process from the Ru(II) moiety to the Pt(II) fragment for applications in photodynamic therapy. More specifically, using computational calculations, an orthogonal arrangement was predicted for the HOMO (Ru environment) and LUMO (Pt-terpy environment) of **RuPt** and the corresponding electron transfer process was characterized. Thanks to this design, the conjugate exhibits an extremely long-lived triplet excited state stemming from the light-induced metal to metal intramolecular electron transfer. This conjugate is capable to produce singlet oxygen upon photoactivation more efficiently than its monometallic congeners, **Ru** and **Pt**. To further improve its pharmacological profile, the bimetallic complex was encapsulated within polymeric nanoparticles. The nanoparticles were able to eradicate human breast adenocarcinoma monolayer cells and multicellular tumor spheroids under light irradiation at nanomolar concentrations. We are confident that this approach will open new avenues for highly efficient bimetallic photosensitizers as well as open new perspectives towards its application as PDT agents against cancer.

## Author contributions

Juan Sanz-Villafuella: writing – original draft, investigation and formal analysis; Lisa-Marie Servos: investigation and formal analysis; Nicolás Montesdeoca: investigation and formal analysis; José V. Cuevas-Vicario: investigation and

formal analysis; Artur J. Moro: investigation, formal analysis and data curation; João C. Lima: supervision, formal analysis and writing – review & editing; Marta Martínez-Alonso: supervision; Gustavo Espino: supervision, writing – review & editing, funding acquisition and conceptualization; Johannes Karges: supervision, writing – review & editing, funding acquisition and conceptualization.

## Data availability

The data supporting the findings of this study are available within the article and its ESI.†

## Conflicts of interest

There are no conflicts to declare.

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