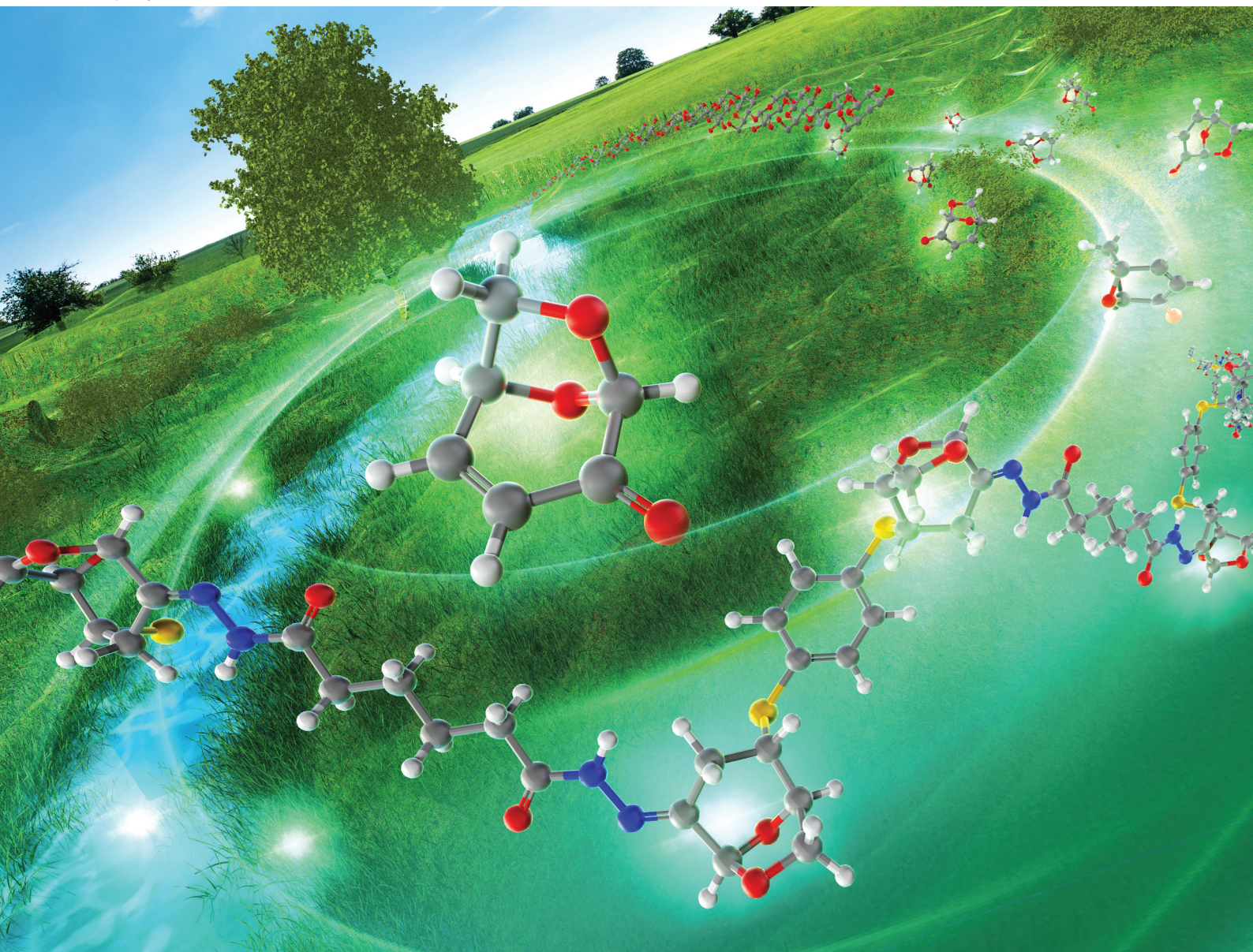


# Polymer Chemistry

Volume 16  
Number 7  
21 February 2025  
Pages 765-916

rsc.li/polymers



ISSN 1759-9962

 ROYAL SOCIETY  
OF CHEMISTRY

**PAPER**

Atsushi Tahara *et al.*  
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**15**  
YEARS  
ANNIVERSARY

Cite this: *Polym. Chem.*, 2025, **16**, 800

# Stereoselective polycondensation of levoglucosenone leading to water-degradable biopolymers†

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Highly stereochemically controlled polymers were successfully synthesized from levoglucosenone (LGO), derived from cellulose. Since its discovery in the 1970s, the reactivity of LGO has been widely studied in organic chemistry, owing to its diverse functional groups that serve as linkages for polymer formation. However, most of the previous methods for synthesizing polymers from LGO lacked precise control over regio- and stereochemistry, making stereoselective polymerization from LGO a persistent challenge. Although the ketone moiety in LGO is typically reduced before polymerization, a new LGO polymer was designed, containing a C=N bond obtained by condensation with dicarboxylic dihydrazide. NMR measurements revealed that condensation occurred with high stereoselectivity to produce the *E*-isomer. This selectivity extended from the model compound to polymer synthesis, achieving high *E/Z* selectivity. The resulting polymer exhibited optical rotation (up to +89), indicating its potential as a chiral polymer. In spite of these polymers showing high tolerance toward many solvents, they were degradable in water with a simple chemical treatment. The proposed approach facilitates the development of sustainable, high-performance materials that can address both environmental and industrial needs.

Received 1st October 2024,  
Accepted 15th December 2024

DOI: 10.1039/d4py01094a

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## Introduction

Owing to the increasing global concern over environmental issues, the development of biomass polymers has become the focus of considerable research interest.<sup>1</sup> Although biomass-derived polyethylene/polypropylene has been previously synthesized,<sup>2</sup> polymers using lactic acid<sup>3</sup> or 5-(hydroxymethyl)furfural (HMF)<sup>4</sup> have also been reported with unique properties that are different from those of conventional polymers. Among them, biological or environmental degradability is crucial for facilitating sustainability.<sup>5</sup>

Based on the aforementioned analysis, we focused on (1*S*,5*R*)-6,8-dioxabicyclo[3.2.1]oct-2-en-4-one, that is, levoglucosenone (LGO; **1**), as a monomer.<sup>6</sup> LGO is a biomass molecular

compound composed of C<sub>6</sub>H<sub>6</sub>O<sub>3</sub>; it has an enone and a cyclic acetal moiety with a rigid dioxabicyclo[3.2.1]octane skeleton. Enantiomerically pure LGO is easily obtained from the acid-catalyzed pyrolysis of cellulose *via* the formation of an intramolecular 1,6-glycosidic bond to generate 1,6-anhydro-beta-*D*-glucose, that is, levoglucosan (LGA) as an intermediate, which is referred to as anhydrosugars.<sup>7</sup>

Since the discovery of LGO in the 1970s, its reactivity has been explored in terms of organic chemistry.<sup>8</sup> For example, transformation reactions of the C=C bond *via* 1,4-addition,<sup>9</sup> hydrogenation,<sup>10</sup> Diels–Alder reaction,<sup>11</sup> other additions,<sup>12</sup>  $\alpha$ -substitution,<sup>13</sup> and  $\beta$ -substitution<sup>14</sup> have been reported. For the C=O bond, 1,2-addition,<sup>15</sup> hydride reduction,<sup>16</sup> other additions,<sup>17</sup> condensation with amines,<sup>18</sup> aldol condensation,<sup>19</sup> as well as the ring-opening reactions of the cyclic acetal<sup>20</sup> have been investigated. Other dynamic skeletal rearrangements of LGO have also been developed to form HMF and<sup>21</sup>  $\gamma$ -(hydroxymethyl)- $\alpha,\beta$ -butenolide (HBO),<sup>22</sup> among others.<sup>23</sup> Notably, the transformation of LGO proceeds with high regio- and stereoselectivities owing to its rigid skeleton; therefore, LGO chemistry has been applied to the total syntheses of natural products using chiral building blocks,<sup>24</sup> pharmaceutical sciences,<sup>25</sup> and carbohydrate chemistry.<sup>26</sup>

After the fundamental reactivity of LGO was established, the adoption of these approaches slowed owing to the challenges in obtaining a sufficient quantitative supply at the laboratory scale.

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†Electronic supplementary information (ESI) available: Procedures, characterization of compounds, and spectroscopic data. See DOI: <https://doi.org/10.1039/d4py01094a>



However, Circa Group Ltd successfully industrialized the production of LGO and its hydrogenated product (Cyrene™), enabling the mass-utilization of LGO/Cyrene™ as a monomer of biomass polymers and the use of a greener polar solvent.<sup>27</sup>

LGO dimerizes at the C<sup>3</sup>=C<sup>4</sup> bond under basic conditions;<sup>28</sup> hence, forming LGO homopolymers *via* a direct connection between the C<sup>3</sup>- and C<sup>4</sup>-positions in the LGO ring is ideal. However, further treatment of the LGO homodimer with LGO results in the formation of a cyclic trimer.<sup>28</sup> Therefore, in most cases, LGO was reportedly incorporated into biomass polymers after chemical modification. For example, Banwell,<sup>29</sup> Fadlallah, and Allais<sup>30</sup> independently reported the ring-opening metathesis polymerization (ROMP) of an LGO derivative prepared by the Diels–Alder reaction of LGO with cyclopentadiene, where LGO was incorporated as a side chain in the polymers obtained. For biopolymers containing an LGO skeleton in the main chain, the C<sup>2</sup>- and C<sup>4</sup>-diol derivatives of LGO were utilized as components of the copolymers. Mouterde, Miller, and Allais reported the synthesis of bio-based polyesters from the diol derivatives of LGO (HO-LGOL).<sup>31</sup> The same approach was applied to the polymerization of LGA,<sup>32</sup> or skeletally transformed compounds from LGO such as hydrated HBO (HO-HBO)<sup>33</sup> and dimerized HBO (2H-HBO-HBO).<sup>34</sup> There are several examples in which the main chain of the polymers was constructed only with LGO. Schlaad reported the ROMP of levoglucosenol and *O*-alkyl levoglucosenyl ether to form a series of polyacetals.<sup>35</sup> They also reported the cationic ring-opening polymerization (CROP) of the same monomers *via* the formation of the intermolecular 1–6 glycosidic bonds forming other polyacetals.<sup>36</sup>

Unlike other biomass compounds, LGO contains diverse reactive functional groups that can be utilized as linkages to form polymers. However, previously reported methods for polymer synthesis using LGO do not precisely control the regio- and stereochemistry. Notably, Niu achieved the formation of precisely aligned polyacetals *via* CROP of the cyclic acetals of LGA derivatives.<sup>37</sup> Sato also reported the CROP of 6,8-dioxabicyclo[3.2.1]octane (6,8-DBO) derivatives prepared by the Wolff–Kishner reduction of LGO derivatives, where the formation of stereoselective intermolecular 1–6 glycosidic bonds was performed, providing enantiomerically enriched polyacetals.<sup>38</sup> Compared with these studies that focused on C–O cleavage, there are no studies regarding chiral polymers including the enone moiety in the main chain. In addition, the carbonyl moiety tends to be removed, increasing the reactivity of the other C=C or acetal moieties. Pollard recently reported that using DBU as an initiator provided the LGO homopolymer, which demonstrated high thermal stability.<sup>39</sup> Although this was an impactful achievement, the <sup>1</sup>H NMR spectrum of the homopolymer obtained appeared complicated. Therefore, the stereoselective synthesis of polymers from LGO remains challenging.

Based on the aforementioned background, we designed a new concept for the precise polymerization of LGO using the 1,4-addition of dithiol to the enone moiety, and the condensation of dihydrazide at the C<sup>2</sup>-carbonyl group, both of which have been regarded as effective polymerization methods using multicomponent monomers (Fig. 1).<sup>40</sup> Whether the condensation occurred

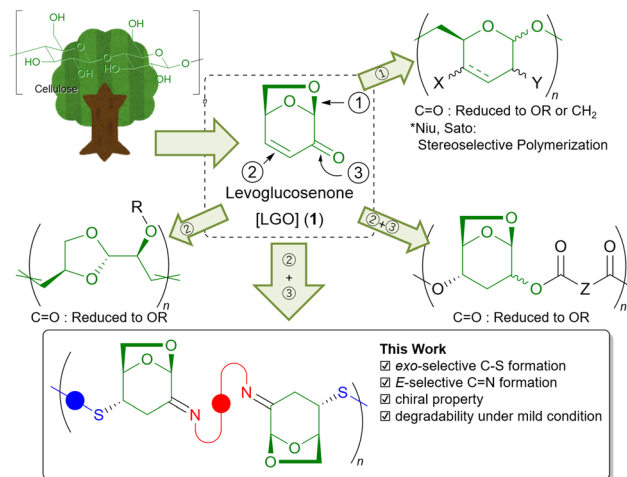


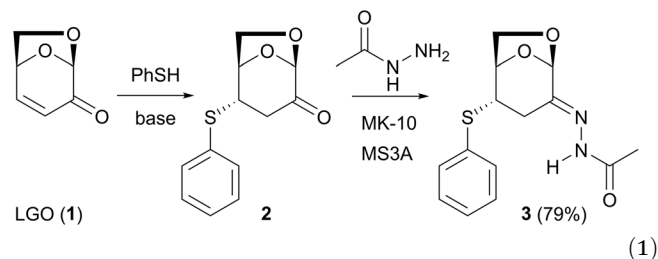
Fig. 1 Designs of LGO-containing polymers.

stereoselectively, leading to bishydrazone, was analyzed. In addition, unlike previous studies, the resulting C=N bonds were easily degradable under weakly acidic conditions compared to the ester bonds in polyesters. Herein, we report the synthesis of tri-component polymers containing LGO *via* the stereoselective C–S and C=N bond formation with the enone moiety.

## Results and discussion

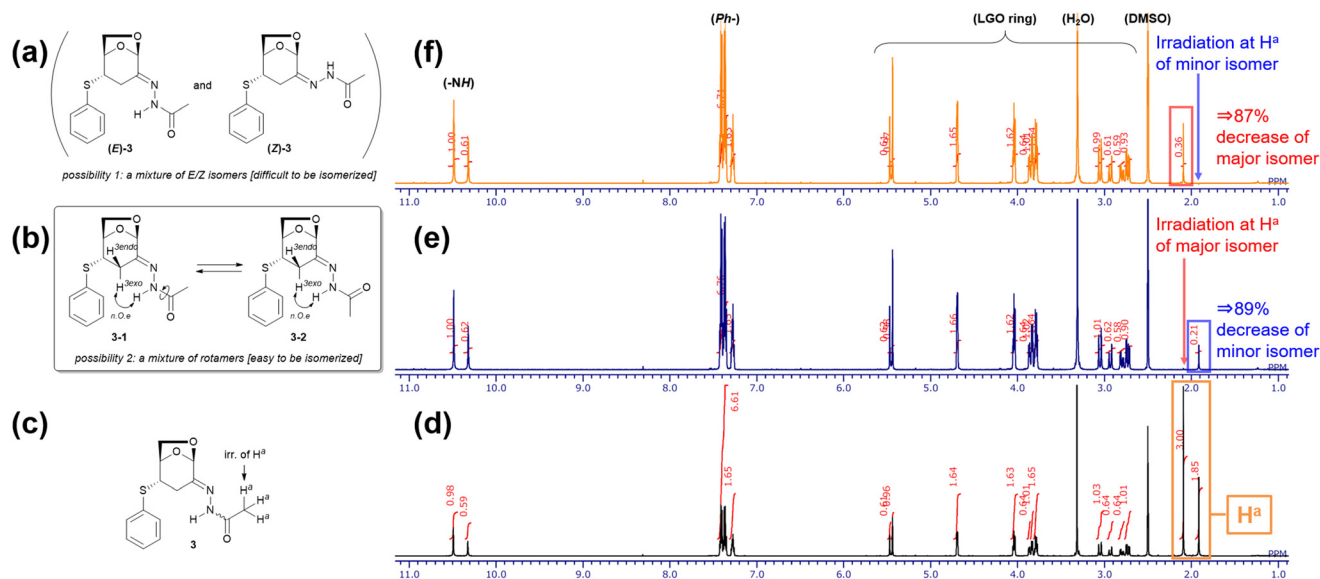
### Condensation using model compounds

The thia-Michael addition of thiophenol to LGO (1) is known to proceed under basic conditions with exclusive *exo*-selectivity to form compound 2 (eqn (1)).<sup>9</sup> Although the stereochemistry with the addition reaction of various types of nucleophiles to the enone moiety in 1 has been reported,<sup>8</sup> that for the condensation reaction of the carbonyl moiety in 1 with acylhydrazine has not been studied. Poplausky reported the reaction of 1 with thiosemicarbazide to form the corresponding semicarbazone compound, for which the stereochemistry was not determined; however, the 3D-model structure with a *Z*-form stereochemistry was determined.<sup>18</sup> To study the reactivity of the condensation with acylhydrazide and the stereoselectivity of the hydrazone produced, the condensation reaction of 2 with acetylhydrazide was initially investigated (eqn (1)).



The treatment of *exo*-adduct 2 with 1.0 equiv. of acetylhydrazide in the presence of solid acid (montmorillonite K10; MK10) and molecular sieves (MS3A) resulted in the formation of the



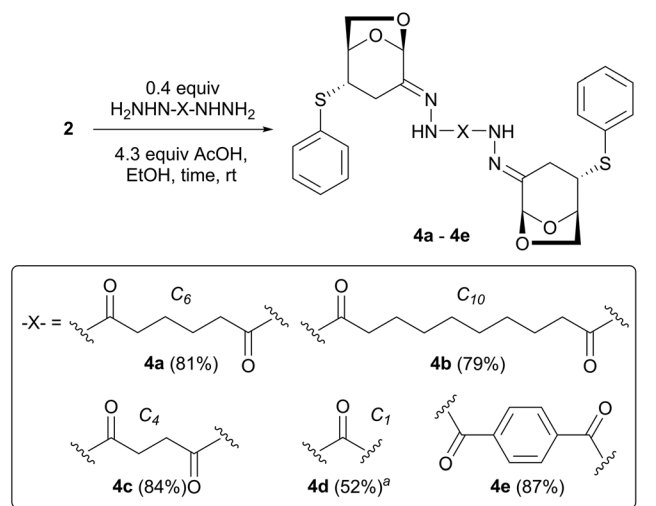


**Fig. 2** (a) Possibility of a mixture of *E/Z* isomers. (b) Possibility of a mixture of rotamers. (c) Irradiation experiments of **3**. (d)  $^1\text{H}$  NMR chart of **3** without irradiation. (e) Irradiation at  $\delta$  2.09 ppm. (f) Irradiation at  $\delta$  1.91 ppm.

corresponding hydrazone compound (**3**) with a 79% isolated yield. The  $^1\text{H}$  NMR spectrum of **3** measured in  $\text{DMSO}-d_6$  at room temperature indicated a mixture of two isomers (ratio of 62 : 38), which may be due to several possibilities; one was a mixture of *E/Z* isomers (Fig. 2a), and the other was a mixture of rotamers (Fig. 2b). An irradiation experiment was performed to clarify this (Fig. 2c–e). When the  $^1\text{H}$  NMR spectrum of compound **3** in  $\text{DMSO}-d_6$  was measured under irradiation at  $\delta$  2.09 ppm, which was derived from the acetyl methyl protons of the major isomer, the integration of the signal observed at  $\delta$  1.91 ppm, which was derived from the acetyl methyl protons of the minor isomer, decreased by 82% (Fig. 2d). When the same measurement was performed under irradiation at  $\delta$  1.91 ppm, the signal observed at  $\delta$  2.09 ppm also decreased by 87% (Fig. 2e). These results indicate that a spin-saturation transfer occurred between the two isomers, and that their isomerization proceeded rapidly. The  $^1\text{H}$  NMR total correlation spectroscopy (TOCSY) of **3** was also performed to exclude the possibility of simultaneous irradiation owing to the close chemical shifts. Because the ratio of the two isomers gradually varied with the mixing time, they were found to be interchangeable on the NMR time scale (see the ESI $^\dagger$ ). Finally, the  $^1\text{H}$  NMR nuclear Overhauser effect spectroscopy (NOESY) of **3** demonstrated that both isomers had strong correlations between the NH protons ( $\delta$  10.49 and 10.32 ppm) and  $\text{H}^{3\text{exo}}$  ( $\delta$  3.05 and 2.93 ppm), indicating that both were assigned *E*-forms. Thus, we concluded that the two isomers are rotamers of the amide bonds, as shown in Fig. 2b. The NMR analyses revealed that the condensation reaction on LGO proceeded stereoselectively, leading to the formation of *E*-isomers. Notably, the NMR spectrum of **3** measured in  $\text{CDCl}_3$  showed a single diastereomer (see the ESI $^\dagger$ ). However, as the polymers we prepared were not dissolved in  $\text{CDCl}_3$ ,  $\text{DMSO}-d_6$  was used as a solvent for measuring the NMR spectra of the polymers discussed below.

Next, 2 equiv. of *exo*-adduct **2** were treated with adipic dihydrazide in the presence of acetic acid (AcOH) to provide the bishydrazone compound **4a** with an 81% yield (eqn (2)). In this reaction, **4a** was obtained as a precipitate in EtOH; therefore, acetic acid was used instead of solid MK10, and the product was easily separated *via* centrifugation.

Similar to **4a**, sebacic and succinic dihydrazides were condensed with compound **2** to obtain solids **4b** and **4c**, respectively, with good yields. In the synthesis of **4d** from carbohydrazide, MK10 was used because **4d** is soluble in EtOH. The former procedure was applied to aromatic dicarboxylic dihydrazides such as terephthalic dihydrazide to obtain compound **4e** with an 87% yield.



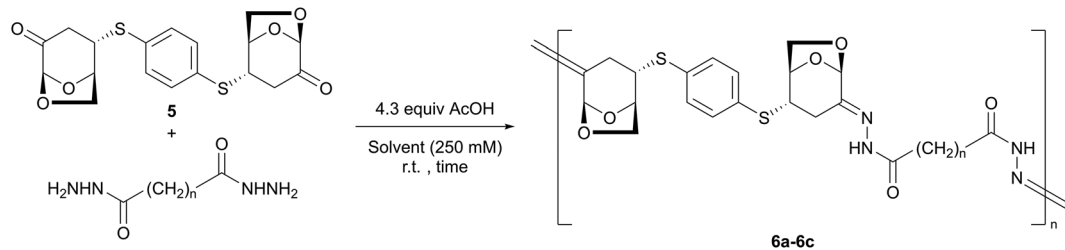
$^a$ Montmorillonite K10 was used as acid

(2)

Given that two rotamers were observed for compound **3** in  $\text{DMSO}-d_6$  at room temperature, **4a–4c** could exist as three rota-



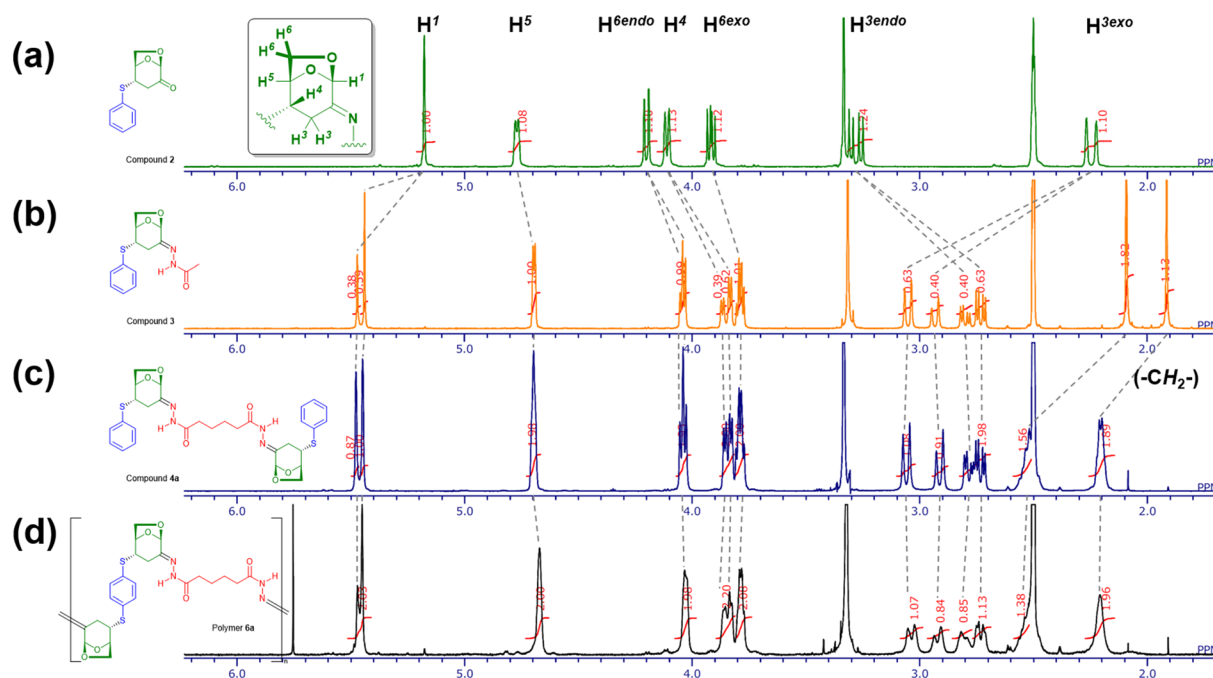


Table 1 Optimization of the polymerization conditions for polymers 6a–6c<sup>a</sup>


Entry	Dihydrazide	Polymer	Solvent	Time (h)	Conv. (%)	$M_n$	$M_w$	$M_w/M_n$	DP
1	Adipic dihydrazide	6a	Ethanol (EtOH)	2	43	171	1711	9.99	—
2	Adipic dihydrazide	6a	Tetrahydrofuran (THF)	2	73	1000	1300	1.29	1.9
3	Adipic dihydrazide	6a	Dichloromethane (DCM)	2	85	2400	5000	2.05	4.5
4	Adipic dihydrazide	6a	<i>N,N</i> -Dimethylformamide (DMF)	2	89	4100	10 100	2.48	7.7
5	Adipic dihydrazide	6a	1,1,1,3,3,3-Hexafluoro-2-propanol (HFIP)	2	76	4800	16 200	3.40	9.0
6	Adipic dihydrazide	6a	DCM : DMF = 1 : 1	2	86	1800	2900	1.59	3.4
7	Adipic dihydrazide	6a	DMF : HFIP = 1 : 1	2	77	1300	1900	1.53	2.4
8	Adipic dihydrazide	6a	DCM : HFIP = 1 : 2	2	— <sup>e</sup>	5400	17 400	3.25	10.1
9	Adipic dihydrazide	6a	DCM : HFIP = 1 : 2	24	— <sup>e</sup>	5400	20 400	3.74	10.1
10	Adipic dihydrazide	6a	DCM : HFIP = 1 : 1	2	91	5300	15 400	2.92	10.0
11 <sup>b</sup>	Adipic dihydrazide	6a	DCM : HFIP = 1 : 1	2	80	6400	15 600	2.42	12.2
12 <sup>c</sup>	Sebacic dihydrazide	6b	DCM : HFIP = 1 : 1	2	70	10 000	21 600	2.15	17.2
13 <sup>c</sup>	Succinic dihydrazide	6c	DCM : HFIP = 1 : 1	8	48	4900	14 200	2.93	9.7
14 <sup>d</sup>	Carbohydrazide (eqn (4))	6d	DCM : HFIP = 1 : 1	24	50	6200	14 400	2.31	13.8

<sup>a</sup> 5 (0.25 mmol), dicarboxylic dihydrazide (1.00 equiv.), AcOH (4.3 equiv.), solvent (1 mL total), room temperature, 2 h, and precipitation with Et<sub>2</sub>O. <sup>b</sup> Rinsed with THF. <sup>c</sup> 30 °C, rinsed with DCM. <sup>d</sup> 0.75 mmol of 5 and carbohydrazide were used (750 mM), 40 °C, and rinsed with DCM (10 times). <sup>e</sup> Not determined owing to the monitoring time course.

observed as a multiplet at *ca.*  $\delta$  4.7 ppm. The remaining acetal proton H<sup>1</sup> exists at a lower magnetic field at  $\delta$  5.5 ppm. Regarding the carbohydrazone moieties, NH protons were observed in two areas: at approximately  $\delta$  10.3 and  $\delta$  10.5 ppm, owing to the rotamers as indicated above. These observations in the <sup>1</sup>H NMR spectrum of 6a are in

Fig. 4 Comparison of the <sup>1</sup>H NMR spectra of compounds (a) 2, (b) 3, and (c) 4a; and (d) polymer 6a (LGO ring region).

good agreement with those of model compounds **2**, **3**, and **4a**, which clearly indicates that polymer **6a** has a high stereoselectivity. The NOESY correlations of **6a** showed that the stereochemistry of the C=N bond was the *E*-form, similar to **4a**.

Using the optimized polycondensation conditions, the scope of the dicarboxylic dihydrazides was investigated. The polymerization of **5** with sebacic dihydrazide proceeded to form polymer **6b** ( $M_w = 21\,600$ , entry 12). When succinic dihydrazide was used, a longer reaction time was required to obtain a sufficient length of the corresponding polymer **6c** ( $M_w = 14\,200$ , entry 13). For carbohydrazide, a higher concentration (750 mM) was necessary to consume **5**, and polymer **6d** was obtained with a moderate yield (50%,  $M_w = 14\,400$ , entry 14) after washing the precipitate thoroughly with DCM (10 times). Notably, when the filtrate was concentrated *in vacuo*, the cyclic dimer **7** was obtained. In the  $^1\text{H}$  NMR spectrum of **7**, a pair of similar signals derived from the LGO fragment were observed at a ratio of 1 : 1. Conversely, two doublet signals at  $\delta$  7.42 and 7.73 ppm, corresponding to the *p*-phenylene protons, were observed to be magnetically inequivalent. Although one of the NH protons appeared at  $\delta$  9.05 ppm, the other was downfield-shifted to  $\delta$  10.90 ppm, suggesting intramolecular hydrogen bonding. Both hydrazone moieties were determined as the *E*-form based on the NOESY correlations. Note that in the  $^{13}\text{C}$  NMR spectrum of **7**, only one carbonyl signal was observed.

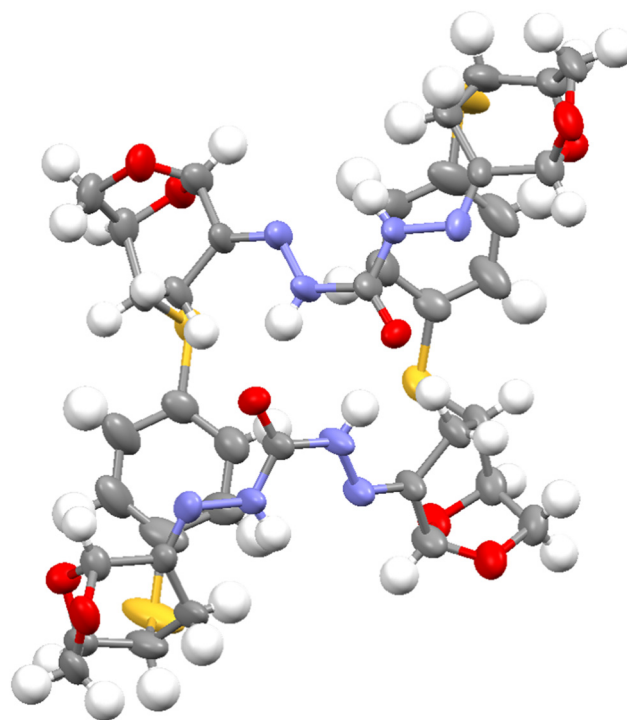
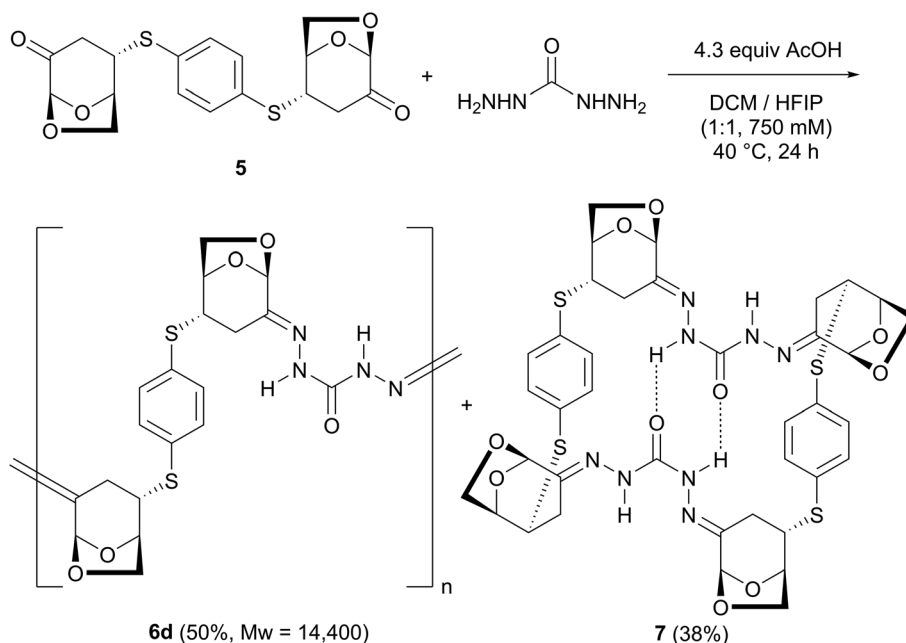


Fig. 5 Molecular structure of the cyclic dimer **7**.<sup>41</sup> C: grey, H: white, N: blue, O: red, and S: yellow.



The molecular structure of **7** was determined *via* X-ray diffraction.<sup>41</sup> Fig. 5 clearly shows that **7** is a cyclic dimer consisting of four LGO fragments, two 1,4-benzenedithiol, and two carbazone moieties. The crystal structure of the compound exhibits  $C_2$ -symmetry, which is consistent with the symmetry inferred from the NMR data in the solution. All stereochemistries of the four hydrazone moieties were

determined to be the *E*-form, and all C–S bonds were connected from the *exo*-faces of the LGO moiety. Both *cis*- and *trans*-amide bonds were observed in the carbazone. The NH of the latter amide and the oxygen atom of the other carbonyl group are within close proximity with a distance of 1.934 Å, indicating the existence of intramolecular hydrogen bonding.



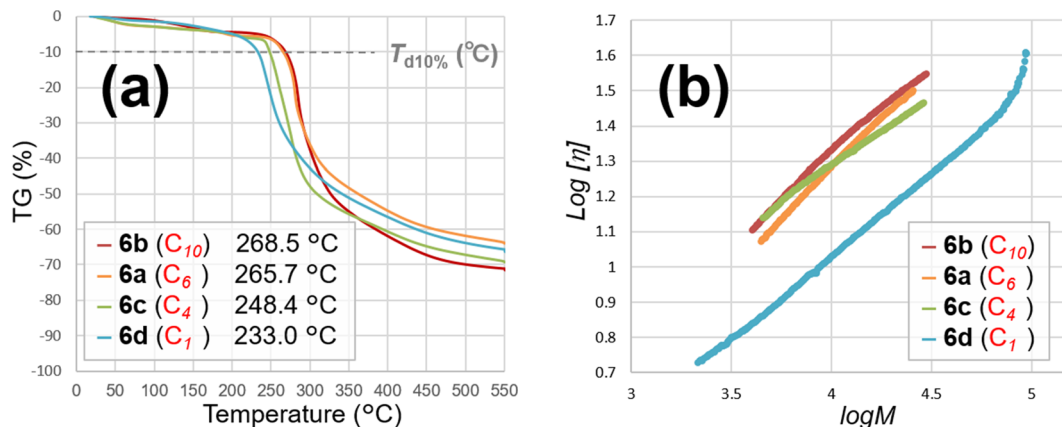


Fig. 6 (a) TGA of polymers 6a–6d. (b) Viscosity measurement of polymers 6a–6d.

The attempt for the polymerization of monomer 5 with terephthalic dihydrazide failed due to low solubility of the oligomers produced (see the ESI†).

Table 2 Properties of polymers 6a–6d

Polymer	m.p. (°C)	$T_{d,10\%}$ <sup>a</sup> (°C)	$T_g$ (°C)	$[\alpha]_D^{25}$
6b (C <sub>10</sub> )	155.2	268.5	—	+41.1
6a (C <sub>6</sub> )	205.7	265.7	—	+67.9
6c (C <sub>4</sub> )	218.4	248.4	—	+89.0
6d (C <sub>1</sub> )	— <sup>b</sup>	233.0	—	+24.6

<sup>a</sup> 10% weight-loss temperature. <sup>b</sup> No m.p. below  $T_{d,10\%}$ .

### Properties of polymers 6a–6d

The properties of the synthesized polymers, 6a–6d, were evaluated. They showed high tolerance (insolubility) toward many solvents such as Et<sub>2</sub>O, THF, DCM, EtOH and acetone, and were only soluble in DMF or DMSO. As shown in Fig. 6a, a thermogravimetric analysis (TGA) revealed that the 10% weight-loss temperature ( $T_{d,10\%}$ ) increased as the number of carbon chains increased as follows: C<sub>1</sub> (233.0 °C for 6d), C<sub>4</sub> (248.4 °C for 6c), C<sub>6</sub> (265.7 °C for 6a), and C<sub>10</sub> (268.5 °C for 6b). Conversely, the melting points (m.p.) of 6a–6c decreased as follows: C<sub>4</sub> (218.4 °C for 6c), C<sub>6</sub> (205.7 °C for 6a), and C<sub>10</sub> (155.2 °C for 6b), owing to the increasing flexibility of the main chains. All

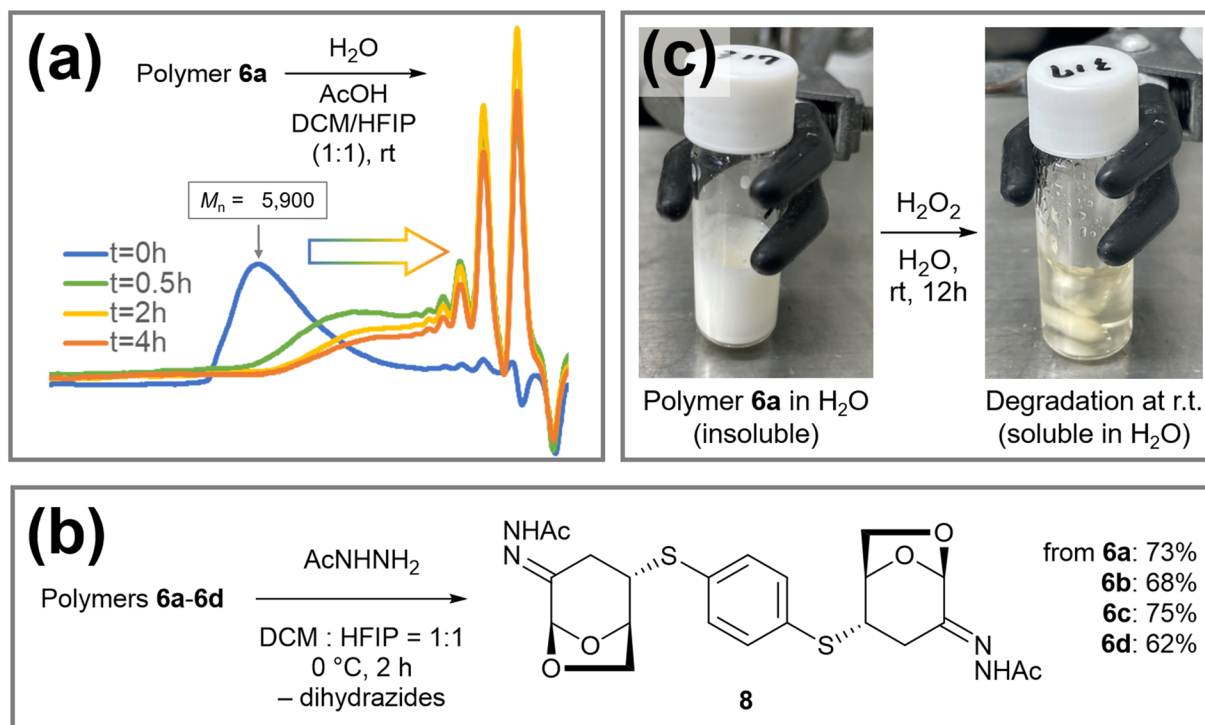


Fig. 7 (a) Degradation of polymer 6a with water in an organic solvent. (b) Degradation of polymers 6a–6d with the “capping reagent”. (c) Degradation of polymer 6a with H<sub>2</sub>O<sub>2</sub> to full dissolution in water.



four polymers demonstrated no glass transition temperature ( $T_g$ ) in the differential scanning calorimetry (DSC) analysis. Notably, polymers **6a–6d** showed positive optical rotation values. These results clearly demonstrate that the obtained polymers have the potential for application as chiral polymers (Table 2). Unexpectedly, the shortest chain length of the carbazone polymer **6d** demonstrated a relatively low viscosity. When the Hawk–Marking–Sakurada formula was applied, the slope was approximately 0.5, suggesting that the polymer was flexible in the solution (Fig. 6b).

### Degradation experiments and modification attempts

An advantage of the polymer described herein is the presence of relatively weak C=N bonds, which can be cleaved *via* hydrolysis or interchanged with other amino/hydrazide groups. To elucidate the reactivity of the C=N bonds, polymer **6a** was treated with excess water in the presence of AcOH. Hydrolysis of **6a** resulted in an increase in the retention time, as demonstrated by the SEC measurements (Fig. 7a). However, the desired compound **5** was not obtained cleanly.

Subsequently, **6a** was treated with acetohydrazide as the “capping reagent”. Chemical degradation rapidly occurred even at room temperature to form compound **8** (73%), an analogue of compound **5** capped with an acetohydrazone moiety. Similarly, polymers **6b–6d** were depolymerized into compound **8** in good yields along with the corresponding dicarboxylic dihydrazides (Fig. 7b).

Based on the literature regarding late-stage modifications of polymers,<sup>39</sup> we attempted the Baeyer–Villiger oxidation of **6a**. Notably, when **6a** was treated with H<sub>2</sub>O<sub>2</sub> in an aqueous medium at room temperature for 12 h, the white suspension gradually transitioned into a colorless clear solution. The viscous products obtained by reprecipitation in THF were a mixture of degradation products. The main product was identified as compound **9**, an analogue of HBO linked to the 1,4-benzenedisulfonyl group (see the ESI†). Compound **9** is thought to be obtained from the Baeyer–Villiger oxidation of the LGO skeleton, followed by hydrolysis and  $\gamma$ -lactone formation, as well as the oxidation of sulfur atoms and hydrolysis of the C=N bonds.

## Conclusions

The synthesis and properties of a new polymer using LGO, a biomass compound obtained from cellulose, are reported herein. The sequential treatment of LGO with 1,4-benzenedithiol and mono- or dicarboxylic dihydrazides resulted in the formation of tricomponent biomass copolymers. In all the polymers and model compounds, both C–S and C=N bonds formed stereoselectively as *exo*-adducts and *E*-isomers, respectively.

All the obtained polymers showed positive optical rotations, indicating their potential as chiral monomers. Their thermal properties can be tuned by changing the number of carbon chains in the dihydrazides. To the best of our knowledge, this is the first study on an LGO-containing polymer connected by C=N bonds which demonstrated water-degradability owing to its hydrolysis

property. As shown in the Introduction, the general strategies for LGO polymerization are limited to the CROP of cyclic acetals, ROMP of alkenes, or polyester formation after the removal of the carbonyl group. These results clearly demonstrate a novel option for connecting LGO *via* a carbonyl group without reduction.

In this study, 1,4-benzenedithiol was selected as the bis-nucleophile, whose skeleton appeared to be as hard as the LGO moiety. To increase flexibility, the modification of aromatic dithiols into aliphatic compounds is under development. The use of diols instead of dithiols is also being investigated.

## Author contributions

Conceptualization by A. T.; data curation by A. T., S. Y., and T. H.; formal analysis by A. T., S. Y., T. H., and Y. Y.; funding acquisition by A. T., T. H., and S. K.; investigation by A. T., S. Y., and T. H.; methodology by A. T., S. Y., T. H., and Y. Y.; project administration by A. T.; resources by A. T., T. K., and T. D.; supervision by A. T.; validation by A. T., T. H., and S. K.; visualization by A. T., S. Y., and T. H.; writing (original draft) by A. T., T. H., and T. D.; and writing (review & editing) by A. T. All authors discussed the results.

## Data availability

Experimental details and characterization data of the compounds can be found in the ESI.†

The molecular structure of compound **7** is openly available in CCDC 2322689 at <https://doi.org/10.5517/ccdc.csd.cc2hygw>.

## Conflicts of interest

There are no conflicts to declare.

## Acknowledgements

This work was supported by a project (JPNP16002) subsidized by the New Energy and Industrial Technology Development Organization (NEDO) [A. T., T. H. and S. K.], JSPS KAKENHI Grant Numbers JP22H02089 [A. T.], JP22H05554 [A. T.], and JP24H01304 [A. T.], JSPS LEADER Grant Number JPMXS0320200558 [A. T.] and JST SPRING Grant Number JPMJSP2114 [S. Y.]. X-ray diffraction study for compound **7** was operated by Dr Hiroyasu Sato (RIGAKU). We also would like to thank Ms Honoka Ishikawa for her experimental support.

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