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Photochemical action plots evidence UV-promoted radical ring-opening polymerisation of cyclic ketene acetals†

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Radical ring-opening polymerisation (RROP) of cyclic ketene acetals (CKAs) is a powerful avenue for the synthesis of biodegradable polyesters with the potential to replace non-decomposable conventional polymers. The radical polymerisation of CKAs is – surprisingly – accelerated by UV light, yet to-date the cause of the acceleration is unknown. We herein demonstrate how highly wavelength-resolved photochemical action plots of the light-induced RROP of 2-methylene-1,3,6-trioxocane (MTC) provide key information for understanding the light-prompted acceleration. We showcase that two wavelengths, 275 and 350 nm, are critical for the acceleration, with the first one facilitating the ring-opening step of the key CKA-intermediate and the second one promoting free radical initiator decay in a wavelength-orthogonal fashion. In contrast to previous studies, we aimed at unravelling the photochemically-driven monomer conversion by performing RROP at room temperature. Computational studies on the MTC radical formed during RROP indicated the cause of the acceleration: a delocalisation of the radical within the ring, which are calculated to be excited by wavelengths close to those identified experimentally. Thus, remarkably, 275 nm light critically accelerates the rate-determining ring-opening step during RROP, suggesting that photons can be used as a traceless reagent in an unexpected fashion to expedite RROPs.

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Introduction

The ever-growing environmental pollution with non-(bio)degradable polymers forces humanity to seek more sustainable alternatives and substitutes. As stated by Walker *et al.*, merely close to 9% of globally produced plastic waste has ever been recycled and 12% incinerated whilst the remaining 79% have accumulated in natural ecosystems.¹ As a result of the abundance and persistence of plastics in the environment, these compounds can even be detected in humans as microplastics and are likely to pose severe health hazards for all organisms.² In order to address these issues, it is vital that research into (bio)degradable and eco-friendly polymers is accelerated. An

important class of polymers potentially able to address the noted issues are polyesters, as they entail biodegradable ester moieties in every repeating unit of their main chain. Common representatives include poly(lactic acid; PLA), poly(ϵ -caprolactone; PCL) and poly(β -hydroxybutyrate; PHB).³ Polyesters are classically synthesised *via* either ring-opening polymerisation or polycondensation, whereby the majority of these approaches require either toxic catalysts, feature low tolerance of participating functional groups or afford polymers with limited structural control.⁴ Radical ring-opening polymerisation (RROP) of cyclic ketene acetals (CKAs) is an alternative for synthesising polyesters and has gained significant research interest in the last decades.^{5–10} CKAs are able to undergo radical polymerisation due to their *exo*-cyclic double bond resulting in a cleavable ester group upon ring-opening.^{5,11} RROP of CKAs entails unique features, such as branching originating from intra- and/or inter-molecular H-transfer reactions as pioneered by Jin and Gonsalves as well as the opportunity to include pH-sensitivity from amine-bearing CKAs.^{10,12–15}

As promising candidates, poly(CKA)s and structurally similar poly(cyclic allylic sulfides) and poly(thionolactones) have been assessed and investigated as biodegradable polymers.^{16–18} Common CKAs that have been mapped amply by several research groups are for example 2-methylene-1,3-

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dioxepane (MDO), 2-methylene-4-phenyl-1,3-dioxolane (MPDL), 2-methylene-1,3,6-trioxocane (MTC) and even amine-bearing CKAs, such as *N*-iso-propyl-2-methylene-1,3,6-dioxocane (¹Pr-MAC).^{6,8,11,19–22}

Implementing biodegradable units into vinylic polymers exhibiting C–C backbones by copolymerisation with CKAs is generally well-established. Here, the properties of the already existing vinylic part govern the properties of the final material.^{23–25} Several research groups have focused on the adaptation of typical polymerisation techniques applied to the copolymerisation of vinylic monomers to CKAs, paving the way for new biodegradable polymers. Although RROP of CKAs represents a viable methodology to yield polyesters, structural limitations of CKAs due to their acetal moiety are a continuing limitation. For example, the ubiquitous issue of CKA hydrolysis was addressed by Kordes *et al.* and Carter *et al.*, who recently investigated the hydrolytic behaviour of MDO for a possible use in an aqueous polymerisation protocol.^{26,27} Nicolas and coworkers have shown that this copolymerisation approach is even applicable for the generation of nanoparticles *via* radical ring-opening copolymerisation-induced self-assembly (RROPISA).^{28–30}

While the above approach is generally functioning well, the resulting polymers still suffer from incomplete degradation of the backbone following the use of vinylic comonomers.²⁸ To enable complete disintegration, CKAs can be polymerised on their own using RROP, generating an array of fully degradable polyesters, including self-assembling and pH-sensitive ones.^{8,15,28,31} In doing so, a focus is placed on the reaction mechanism of RROP, including the omnipresent branching reactions. Branching within poly(2-methylene-1,3-dioxepane) (PMDO), for example, can be utilised to control the melting temperature of this PCL analogue. Kinetic studies on RROP of MTC revealed that branching was merely dependent on monomer conversion and showed an unexpected, yet intriguing accelerated reactivity under broadband ultraviolet light irradiation of 200–400 nm.^{10,32} Even though the RROPs of MTC were compared with two different initiators in this study, the UV-polymerisation rate as well as macroscopic properties of the obtained polymer differed in such a substantial manner from the thermal approach, that merely relying on the influence of the employed initiator seemed not sufficient to rationalise these observations. Taking this finding into account and considering previous studies, UV light exposure has thus been noted as a promising leverage point for the acceleration of RROP of the MTC system, sparking interest to combine photochemistry with RROP of CKAs.^{8,32} Herein, we critically advance the mechanistic understanding of RROP in monochromatic photonic fields and determine the principle behind the observed unexpected acceleration. By addressing the main disadvantage of RROP of CKAs, *i.e.* their slow polymerisation rate, we will critically advance the polymerisation to make it more widely applicable.

In the field of photochemistry, photochemical action plot (AP) measurements have been pioneered by the Barner-Kowollik group as a pivotal methodology assessing the overall

effectiveness of photochemical processes in a highly wavelength-resolved fashion.^{33–35} Modern day APs broke the previous reliance on predicting the reactivity of a photochemical system solely based on its absorbance. For a broad range of photochemical systems, a strong disparity between the absorbance maximum and reactivity maximum has been observed *via* careful AP mapping, often presenting a strong red shift.^{34,36} This bathochromism of the reactivity maximum had prevented chemists from employing the most efficient wavelength for photochemical processes.³⁷ Establishing the true reactivity maxima and minima allows for individually addressing photo-responsive moieties, giving access to controlling photochemical processes with two colours of light synergistically, orthogonally, and antagonistically.^{38,39} Thus, photochemical APs are an ideal tool to gain holistic wavelength-resolved insights into photochemical processes.³³

Herein, we determine the mechanistic cause of the reported UV light-induced polymerisation rate acceleration of the RROP of MTC by means of a deep photochemical AP analysis (Scheme 1). Without having access to absorbance spectra of the photoactive species, we critically advance the range of applications of APs. Our herein presented monochromatic wavelength-by-wavelength analysis allows to unpack the effects different wavelengths have on the polymerisation process, carefully separating the effect of MTC's reactivity from the initiation process. In contrast to previous studies combining thermal- and light-driven CKA conversion, we herein unravel the photochemical contribution by performing all reactions at room temperature. We underpin our wavelength-resolved findings with computational studies with the key ring radical of MTC. A kinetic study subsequently allows for in-depth insights into the properties of the final polymer for two distinct wavelengths (Scheme 1). Our study thus critically expands the use of APs and advances the photochemical and mechanistic understanding of the RROP of CKAs and simultaneously shows how RROPs can be enhanced in a wavelength-orthogonal fashion. The latter will enhance their use as a viable biodegradable alternative for common commodity plastics.

Results and discussion

To explore the photochemical activity of MTC, its free radical polymerisation using 2,2'-azobis(2-methylpropionitrile) (AIBN) as initiator was initially examined. The AP methodology has been described in substantial detail elsewhere (refer to Scheme S3†).³³ Briefly, a monochromatic tuneable optical parametric oscillator (OPO, herein referred to as laser) was employed to map the wavelength-dependent monomer conversion of MTC *via* ¹H-NMR spectroscopy, resulting in a photochemical AP ranging from 235 to 420 nm (Scheme 1, Tables S1, S4 and Fig. S3†).

The AP assessment of MTC/AIBN revealed two conversion maxima at two different wavelengths in the UV region (Fig. 1A). Whilst one maximum is located close to 275 nm, the second conversion maximum covers the spectral range



from AIBN at these wavelengths does not suffice to initiate the FRP of MMA.

In the subsequent step, it was critical to validate these findings. We assessed the monomer to polymer conversion of MMA *via* $^1\text{H-NMR}$ spectroscopy (Fig. 1B), while irradiating the samples with either 275 or 350 nm. The irradiation experiment with 0.5 M MMA at 350 nm clearly displays a resonance at $\delta = 3.57$ ppm and thus radical formation that induced PMMA formation (Fig. S8A \dagger). Reference experiments at 275 nm with variable concentrations of MMA were conducted (4.7 to 0.1 M at identical AIBN concentrations (Table S3 \dagger)), displaying no resonance at $\delta = 3.57$ ppm, indicating the absence of PMMA formation at any of the assessed concentrations (Fig. 1B, refer to Fig. S7 \dagger for full MMA concentration series). Notably, for 0.5 M MMA, the absorption of MMA was below 0.7 at 275 nm, suggesting that MMA itself was not responsible for the observed lack of polymerisation by absorbing the majority of the incident photon flux (Fig. S9 \dagger). These observations underpin that AIBN does not decompose below 300 nm and remains photochemically silent particularly at 275 nm – which is a highly remarkable finding in itself. As a final control to evidence the absence of AIBN decay at 275 nm, the MMA analogue methyl isobutyrate was illuminated at 275 nm in the presence of AIBN and UV/Vis spectra of the mixture were recorded prior to and after irradiation. The obtained spectra clearly showed no AIBN decay at 275 nm due to an identical intensity of the absorbance band of AIBN at 350 nm after irradiation (Fig. S8B \dagger). UV-acceleration of RROP of MTC at 275 nm was thus wavelength-orthogonal to the AIBN decay promoted at 350 nm.

In turn, we hypothesise that as radical supply for initiating the RROP of MTC at 275 nm, a slow thermal background decay of AIBN at room temperature took place rather than photo-induced cleavage at 350 nm. This limited number of generated radicals sufficed to commence the polymerisation of MTC, promoted by an intermediately formed primary radical present after ring-opening. However, the slow decay of AIBN was – compared to the MTC/AIBN system – not sufficient to initiate the free radical polymerisation of MMA, which is critically promoted by an intermediately generated tertiary radical. This radical is stabilised by a carbonyl group, kinetically slowing subsequent chain propagation. Despite the absence of PMMA formation due to an insufficient radical supply from AIBN at 275 nm and the fact that AIBN only contributes a small number of primary radical at room temperature, it can of course not be excluded that photochemical background initiation also contributes to the provision of primary radicals at 275 nm.

We note, however, that MTC also forms an intermediate tertiary radical on the acetal ring during RROP (blue ellipse in Scheme 1). Thus, a possible explanation for the UV-accelerated polymerisation of MTC is that 275 nm light excites and promotes the opening of the ring radical towards a highly reactive primary radical. Such light-responsiveness would, however, require a delocalised radical that is susceptible to UV light. Computational studies were thus conducted to closely examine

the radical within the ring-opening step. Please note that a CH_3 radical was chosen as an attacking radical (3D structure in Fig. 2A, schematic structure in Fig. 2B (I)). While this type of radical does not reflect the true nature of the initiator or intermediately formed tertiary radical, this simplification of the molecular structure allowed for the application of a broad range of basis sets at a reduced computational cost.

The intermediately formed tertiary radical **I** and two reference structures containing either no oxygen atoms or no radical were modelled and analysed (Fig. 2 and Fig. S12 \dagger) for bond length and bond order using Slater-type-orbitals based on Gaussian functions (STO-6G see chapter 1.3 in the ESI \dagger). Atoms were numbered starting from the radical-bearing carbon atom (C1) and then following the ring to O2'/O2'' and C3'/C3'' on either side of C1. Both C–O bonds starting from C1 (C1–O2'/2'' in Fig. 2B with 140.0 and 140.3 pm, respectively) were 3.5 to 4 pm shorter than the following C–O bonds for carbon atoms C3'/C3'' (O2'–C3'/O2''–C3'' in Fig. 2B with 143.7 and 144.1 pm, respectively) To put this in perspective, C–O single bond lengths are generally defined by values of 143 pm and C–O bonds with a partial double bond character exhibit bond lengths as low as 136 pm. Consequentially, the herein depicted C1–O2'/2'' bond lengths of close to 140 pm in radical **I** indeed indicate a slight double bond character and hence a delocalised electron.⁴⁰ Both calculated bond orders of the C1–O2'/2'' bonds (1.024 and 0.991, respectively) are approximately 0.05 higher than the ones of the O2'–C3'/O2''–C3'' bonds (0.954

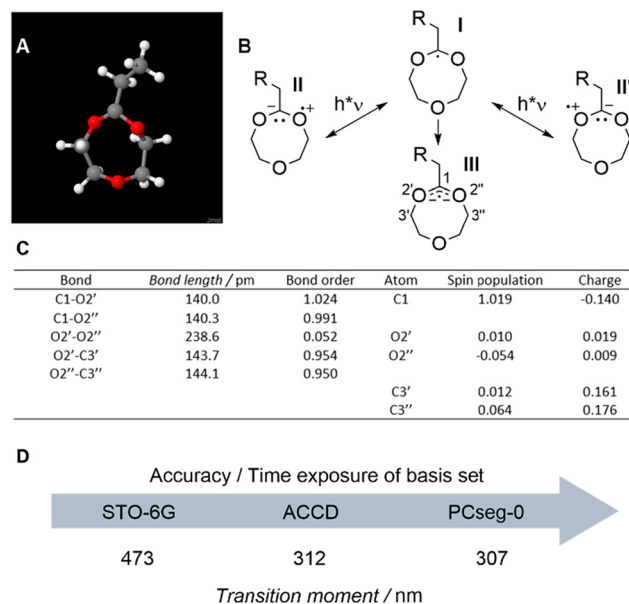


Fig. 2 (A) Ball-rod-model of the intermediately formed tertiary radical **I** with methyl substituent as R; (B) 2D-visualisation indicating delocalisation of the radical *via* the α -positioned oxygens (**II** + **II'**) and labelled atoms (**III**); (C) summarised computational values of interest determined *via* basis set STO-6G for radical **I** poised to undergo UV-promoted ring-opening at 275 nm; and (D) computationally-determined transition moment energies translated into wavelengths of radical **I** for increasingly complex basis sets.



and 0.950, respectively), also supporting the theory of slightly delocalised electrons within the acetal moiety. Further, an intriguing and highly surprising O2'–O2'' bond order of 0.052 across the ring molecule **I** was found. Note that the equivalency of the O2'–O2'' bond order with the amount by which the C1–O2'/2'' bonds were stronger, underpins the presence of a slightly delocalised radical. Consequently, suitable mesomeric resonance structures of radical **I** were modelled (structures **II** and **II'** in Fig. 2B). Contrary to the electronegativity values of oxygen and carbon, these suggested slightly positively charged oxygen atoms O2'/O2'' as well as negatively charged radical-bearing C1. Our calculations confirm this notion with charges of 0.019 and 0.009 for O2' and O2'', respectively, and a charge of –0.140 for C1 (Fig. 2C).

In contrast to radical **I**, neither a saturated reference molecule without the tertiary radical at C1 (Fig. S12A†) nor a radical without adjacent oxygen atoms (Fig. S12B†) showed deviating bond lengths, deviating bond orders, positively charged oxygens O2'/O2'' or a negatively charged carbon C1. The structural peculiarities of acetal radical **I** were solidified by these negative controls and may thus be associated with the observed light-responsiveness. We were thus able to infer a slight but critical delocalisation of the radical over the entire three-atom entity of the acetal moiety, capable of absorbing incident UV irradiation at 275 nm. Radical **I** was thus better represented by a delocalised three-way bond (structure **III** in Fig. 2B).

Completing the link to light-responsiveness are final computational studies to assess a possible interaction of molecule **I** with light of 275 nm. Thus, the transition moments (HOMO–LUMO gap) of tertiary radical **I** were calculated for increasingly complex basis sets (more expensive in calculation time). Starting with the basis set STO-6G, a transition moment of 473 nm could be identified.⁴¹ The more complex, and hence more accurate basis sets CCD and ACCD from the Dunning family⁴² as well as basis set KTZV from the Ahlrich group^{43,44} resulted in transition moments of 311–312 nm for all of them. This range was verified by APCseg-0 and PCseg-0 from the Jensen family with 316 and 307 nm, respectively.⁴⁵ (Fig. 2D, see Table S6† for a comprehensive overview of all basis sets). Following this considerable decrease in wavelength (*i.e.* increase in energy gap) with increasing accuracy of basis set, it is reasonable to assume that the real transition would occur at an even lower wavelength (*i.e.* require more energy). Taking a likely hypsochromic shift towards the reactivity maximum at 275 nm into account, as elaborated *via* action plots for a broad range of chromophores, a reasonable match between the computed absorption maximum of radical **I** and experimental reactivity maximum of the RROP of MTC is observed.^{33,34,36} Being able to solely accelerate RROP at 275 nm and having identified the underlying mechanistic reason will allow for faster development of this chemistry.

To elaborate the scope of the identified photo-sensitivity of RROP of MTC, we subsequently explored possible influences of the utilised wavelength on the polymerisation kinetics. Following the argument of wavelength-orthogonality, poly-

merisations conducted separately at 275 nm (accelerating RROP) and 350 nm (AIBN cleavage) were thus analysed for characteristic properties, such as monomer conversion, polymerisation rate, density of branches (DB), molecular weight and dispersity (Fig. 3). Following a previously published protocol, these experiments were carried out in bulk as well as in a 1 : 1 dilution with *tert*-butanol, however in the current study, we performed RROP exclusively at room temperature.³²

In dilution, monomer conversion, DB and dispersity revealed similar absolute values under both 275 and 350 nm (Fig. 3, Fig. S4 and Table S2†). In both cases, MTC conversion increases in a logarithmic manner from 7% after 15 min to 50% after 360 min of irradiation, whereas no MTC conversion was monitored at any time under light exclusion (Fig. 3A). Using UV light of 275 nm, allowed for a 50% conversion of MTC after 360 min at room temperature. A similar value (about 55%) had been reached in the thermal polymerisation protocol of MTC at 65 °C after the same period of time. Via this comparison, the strong acceleration of RROP of MTC under irradiation with UV light of 275 nm is evident.³² The dispersity increased from close to 1.9 to approx. 2.7 in the same time frame (Fig. 3D). The DB of PMTC increased linearly from close to 5% to approximately 9% from 10% to 50% conversion, respectively, underpinning the previously suggested exclusive dependence of the DB on monomer conversion and no other external parameters (Fig. 3B).^{26,32,46} The DBs for the bulk kinetics were not determined due to unknown other side reactions that hindered a clear read of the CH₃-signal in the ¹H-NMR spectrum required for DB determination.³²

Size exclusion chromatography (SEC), however, revealed a notable difference for the polymers originating from the two distinct incident wavelengths. A general trend of decreased

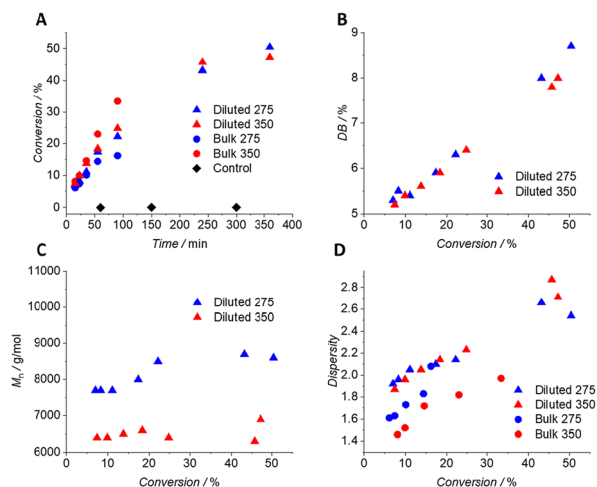


Fig. 3 Kinetic studies of the RROP of MTC under UV light of 275 and 350 nm at room temperature entailing (A) MTC conversion vs. time development for all kinetics together with control samples kept under light exclusion; (B) DB vs. MTC conversion development for 1 : 1 diluted kinetics; (C) relatively determined M_n vs. MTC conversion development for 1 : 1 diluted kinetics; and (D) dispersity vs. MTC conversion correlation for all kinetics.



elution times for polymers from 275 nm over polymers from 350 nm was observed (Fig. S5A†, Fig. 3C, refer to Fig. S5B† for the complete data set). Using a universal calibration of linear PMMA standards, these decreased elution times translated into increased molecular weights. While the absolute numbers of the determined molecular weight of branched PMTC polymers may thus not agree with their true values, the relative difference in molecular weight between the polymers is still valid.^{10,12,32} PMTC formed at 275 nm exhibits increased molecular weights by approximately 20–30% compared to 350 nm, ranging from 7700 to 8600 g mol⁻¹ for 275 nm and from 6400 to 6900 g mol⁻¹ for 350 nm – again from 10 to 50% conversion, respectively (Fig. S5B†). As possible rationalisation, the dependence of the molecular weight on the initiator concentration can be invoked. In a simplified manner, more initiator leads to lower molecular weights of the resulting polymers.⁴⁷ Due to the UV-induced dissociation of AIBN at 350 nm, a larger number of radicals is formed, resulting in lower molecular weights of the obtained polymers.⁴⁷ The slow thermal and photochemical background decay of AIBN at 275 nm leads to a smaller number of radicals to initiate RROP, thus the obtained polymers display a larger molecular weight. We note that inherent transfer reactions occurring during RROP of CKAs mitigate the influence of the AIBN radical flux during the reaction, ultimately lowering the disparity in the observed molecular weights.³² Note that the herein determined molecular weights appear to be relatively low, *i.e.* 8500 g mol⁻¹ at 50% monomer conversion (for 1:1 dilution at 275 nm). It should be noted that the formed polyester exhibits a significant absorption band at 275 nm, which could absorb a certain amount of incident photons, eventually disallowing quantitative monomer conversion and, as a possible consequence, higher molecular weight (Fig. S11†). This potential limit is particularly pronounced when compared to previous studies by Mehner *et al.* for a thermal RROP protocol, achieving 17 000 g mol⁻¹ at similar conversions.³² However, these studies have been performed at elevated temperature as opposed to the current work. In addition, as these molecular weights from thermal polymerisation were obtained using absolute molecular weight determination, they cannot be compared to the current study using relative calibration.

Varying the initial MTC concentration from 1:1 dilution to bulk revealed a disparate polymerisation behaviour, particularly at 275 nm. While the polymerisation rate increased by a factor of 2.5 for the bulk kinetic at 350 nm, it significantly decreased at 275 nm (Fig. S4 and Table S2†). In addition, SEC measurements reveal consistently lower molecular weights at 275 compared to 350 nm, which is in contrast to previous findings in 1:1 dilution and to literature.³² These data can be rationalised when inspecting the UV/Vis spectrum of PMTC, which absorbs light at 275 nm, but not at 350 nm (Fig. S11†). When working in bulk, any formed PMTC now absorbs incident photons, which subsequently cannot perform the critical acceleration of the ring-opening step. Thus, no acceleration of ring-opening occurs at 275 nm, but rather a deceleration of the overall monomer consumption, concomitantly affecting the

molecular weight of the resulting PMTC as just discussed. Intriguingly, the obtained dispersities for PMTC prepared in bulk exhibit consistently lower values compared to solution RROP, which contradicts previously published data of the homopolymerisation of MTC.³² This contradiction remains the subject of further investigations.

Conclusions

We herein employ the powerful photochemical action plot methodology to determine why the polymerisation rate of the ring-opening polymerisation of MTC is critically accelerated by UV light of specific wavelengths. All reactions were carried out at room temperature to minimise thermally-driven MTC conversion. In doing so, we determined two conversion maxima in the MTC/AIBN polymerisation system, *i.e.* at 275 and 350 nm. Key reference experiments utilising MMA/AIBN allowed us to allocate 350 nm to UV-promoted AIBN decay and 275 nm to accelerated RROP of MTC. The identified wavelength selectivity was corroborated by computational studies. Bond lengths, bond orders, and charge distributions of the intermediate closed-ring radical suggested a slight but notable delocalisation of the intermediate radical over the acetal unit, rendering the molecule susceptible to UV light. Applying the trend of decreasing excitation wavelengths with increasingly complex basis sets aligned well with the noted reactivity maximum at 275 nm, considering the ubiquitous bathochromic shift of photochemical reactivity for a vast array of chromophores. Subsequent kinetic measurements revealed the enhancement of monomer conversion by UV light of 275 nm in conjunction with an increase of molecular weight for polymers generated at 275 nm compared to ones formed at 350 nm. The behaviour is rationalised by the higher number of radicals formed through photo-activated AIBN scission upon incident UV light of 350 nm. Additional efforts will be focused on modulating the macroscopic PCKA properties by varying the incident photon flux. Both the reference experiments as well as theoretical considerations suggest that the MTC/AIBN system responds to UV light in a wavelength-orthogonal fashion. While radiation with 275 nm light uniquely expedites the rate of the ring-opening step of the key CKA intermediate, radiation with 350 nm only promotes photolytic AIBN decay.

The herein exemplified MTC-specific interaction with UV irradiation paves the way for exploiting this mechanism for other CKAs, including copolymerisation and controlled radical polymerisation protocols. This critical acceleration could now be expanded to other CKAs and allows RROP to be employed in a broader synthetic field as their major drawback – a slow reaction rate – can now be overcome with UV light. As photochemical APs allow for allocating the acceleration wavelength without previous knowledge of the absorption maximum of the photochemically active species, our study critically broadens the application of photochemical APs in modern synthetic macromolecular chemistry.



Author contributions

All authors contributed to the writing, editing, and formatting of the manuscript. All authors have given approval to the final version of the manuscript.

Data availability

Experimental data is available in the ESI.† Additional data for this article, including [NMR spectra, UV-Vis spectra, computational data] are available at Zenodo at <https://doi.org/10.5281/zenodo.13141568>.

Conflicts of interest

There are no conflicts to declare.

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