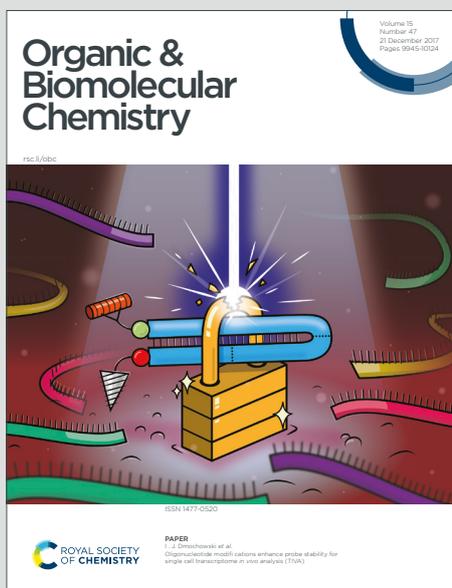


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## COMMUNICATION

## Transaminase-Triggered Synthesis of 2,5-Disubstituted Pyrrolidines

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**2,5-Disubstituted pyrrolidines were synthesised from ketoenone substrates using a transaminase-triggered intramolecular aza-Michael reaction in moderate to good yields. The pyrrolidines were isolated as mixtures of diastereoisomers and a novel epimerisation was developed to isolate *cis*-2,5-*N*-nosyl-protected pyrrolidines as the major product in all examples, apart from the ester derivative, with high levels of diastereoselectivity and moderate yields.**

Pyrrolidines are ubiquitous in Nature and have been well-represented in US FDA-approved drugs in the last 10 years. The 2,5-disubstituted derivatives also have several synthetic applications in the field of asymmetric organocatalysis.<sup>1-4</sup> Given their importance, numerous routes for their synthesis have been reported in the literature, and they often involve starting from an existing pyrrolidine ring,<sup>5,6</sup> exploiting 1,3-dipolar cycloadditions<sup>7,8</sup> or relying on intramolecular cyclisations.<sup>9-13</sup> The intramolecular aza-Michael reaction (IMAMR) is one of the most direct approaches to form a new carbon-nitrogen bond and was first reported over a century ago. The reaction requires the presence of a nitrogen nucleophile and a Michael acceptor in the same molecule and the strategy has been widely adopted for the preparation of *N*-heterocycles,<sup>10,11,14</sup> including asymmetric approaches.<sup>15</sup> The availability of amine synthesis enzymes and their unrivalled stereo- and regioselectivity has seen considerable attention devoted to the development of biocatalytic approaches for the preparation of *N*-heterocycles, including substituted piperidines<sup>16-19</sup> and pyrrolidines.<sup>21-23</sup> Kroutil and co-workers published a chemoenzymatic route towards the synthesis of 2,6-disubstituted piperidines from 1,5-diketones that employed an amine transaminase (ATA) to install a chiral amine, followed by diastereoselective hydrogenation using Pd/C.<sup>16</sup> However, this synthetic route could not be utilised

for the five-membered counterparts due to poor diastereoselectivity in the reduction of the pyrroline. The Turner group reported an efficient cascade for the synthesis of 2,5-disubstituted pyrrolidines that involved the ATA-mediated amination of 1,4-diketone to form a chiral pyrroline, followed by a diastereoselective chemoenzymatic cascade, which utilised a monoamine oxidase (MAO-N) and ammonia borane (NH<sub>3</sub>.BH<sub>3</sub>).<sup>21</sup> There have also been several recent reports of enzymatic cascades to access these disubstituted heterocycles with many relying on the initial generation of a cyclic imine followed by selective reduction to the pyrrolidine.<sup>18</sup> ATA-triggered reactions have been particularly useful in the biocatalytic synthesis of *N*-heterocycles and involve the functional group interconversion between carbonyls and amines followed by an inter- or intramolecular reaction.<sup>24</sup> Our research group first reported an ATA-triggered aza-Michael strategy for the synthesis of disubstituted piperidines in 2016,<sup>17</sup> and since then we have developed this methodology further for the synthesis of cyclic β-enaminones and more complex tricyclic *N*-heterocycles.<sup>25,26</sup>

To expand the scope of the ATA-triggered aza-Michael approach for the synthesis of disubstituted pyrrolidines, a panel of six ketoenone substrates were synthesised. The synthetic route to access ketoenones **3a-d** in Scheme 1 was reported previously by our group and involved the ozonolysis of 6-methyl-5-hepten-2-one (**1**) to ketoaldehyde **2**, followed by a Wittig reaction with a stabilized ylide to access the target (*E*)-ketoenone substrate (Scheme 1a).<sup>27</sup>

However, yields were lower than expected using this route, likely due to issues arising during the purification of ketoaldehyde **2**, owing to the generation of stoichiometric triphenylphosphine oxide (TPPO). For the current work, we accessed the ketoenone substrates by a modified version of this methodology developed in the Dussault group (Scheme 1b).<sup>28</sup> The tandem ozonolysis-Wittig reaction gave improved yields,

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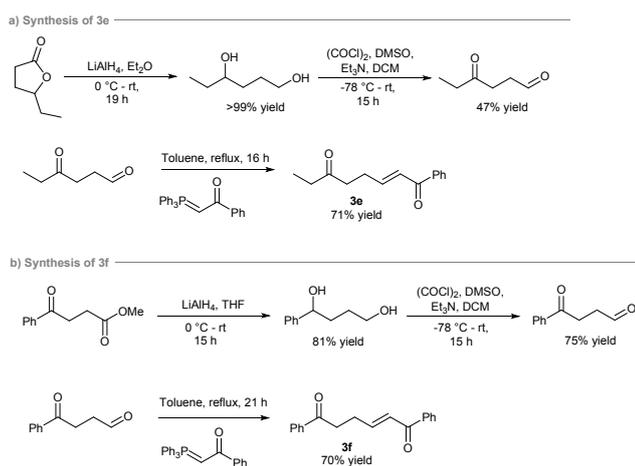
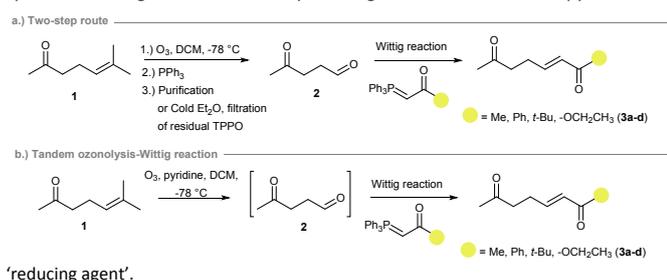
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faster reaction times, less purification steps, and avoided the accumulation of the unstable ozonide intermediate. Alternatively, a modified two-step approach can be used that enables the isolation of the common ketoaldehyde intermediate **2** with minimal purification (filtration of recrystallised TPPO), allowing for its application in multiple Wittig reactions.<sup>26</sup> Ketoenones **3e** and **3f**, bearing more sterically demanding substituents, were accessed in good yields *via* the novel combination of previously reported synthetic steps (Scheme 2).

**Scheme 1** a.) Two-step synthetic route towards the synthesis of the methyl ketoenone substrates previously reported by our group.<sup>26,27</sup> b.) In this work, the ketoenones were synthesised using a tandem ozonolysis-Wittig reaction that utilised pyridine as a

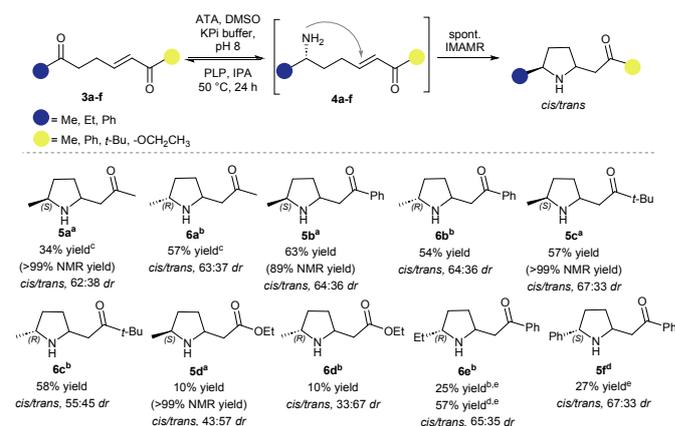


**Scheme 2** Three-step synthetic routes to access ketoenones **3e** and **3f**, which contain more sterically demanding substituents in good yields. This approach involves the reduction of a lactone/keto-ester, followed by a Swern oxidation and a Wittig reaction.

A selection of commercially available ATAs from Codexis<sup>®</sup> were screened for their ability to accept and aminate ketoenone substrates **3a-f** to form aza-Michael intermediates **4a-f**, employing a colorimetric assay involving *o*-xylylenediamine.<sup>29</sup> There were several positive hits from this assay (see SI) and a selection of ATAs were tested in small-scale ATA-triggered aza-Michael reactions. Two enantiocomplementary enzymes, (*S*)-selective ATA256 and (*R*)-selective ATA025, successfully converted selected ketoenones to their corresponding pyrrolidine products **5a-d** and **6a-d** (Scheme 3). It was notable that ATA251 and ATA025, respectively, allowed high conversion of **3e** to pyrrolidine **5e** and **6e** using 2 equivalents of isopropylamine (IPA). Additionally, ATA251 efficiently

converted ketoenone **3f** to the corresponding pyrrolidine (**5f**), which was pleasing, given ATAs traditionally display low activity towards substrates bearing two bulky substituents. Unfortunately, we were unable to access the (*R*)-enantiomer of **5f** as no conversion of ketoenone **3f** was observed with ATA025. As expected, NMR analysis of the biotransformation products revealed the presence of diastereoisomers, resulting from the non-selective, spontaneous IMAMR. While many of the NMR signals overlap in the spectra, H2 and H5 were distinct allowing the ratio of diastereoisomers to be identified for each reaction. A clear NOE between H2 and H5, which was absent in the *trans*-isomers, and a distinct pattern in the order of appearance of each proton revealed that the *cis*-isomer was present in slight excess (approx. 60:40 *dr*) in all cases, apart from the ester derivatives **5d** and **6d**, where the *trans*-isomer was favoured.

Water suppression NMR spectroscopy was used to accurately measure the conversion to (*S*)-methyl derivatives **5a-d** directly from the biotransformation mixture. Maleic acid was used as an internal standard, to circumvent any extraction issues and to gain a complete insight into the progress of the reaction. Interestingly, the *cis/trans* ratio of pyrrolidine **5b** was 1:1 when water suppression NMR was performed. However, after a basic extraction, isolated **5b** showed a bias towards the *cis*-isomer. The ratio differences are consistent with a dynamic diastereo-equilibration process (see below for more discussion).

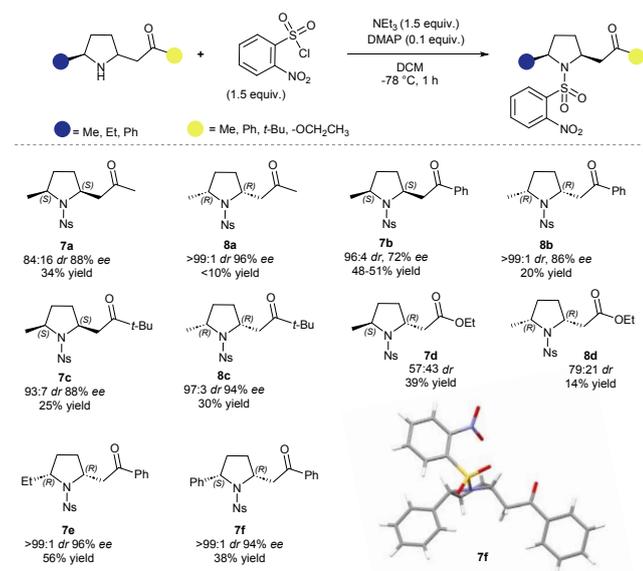


**Scheme 3** ATA-triggered aza-Michael reaction for the synthesis of eleven novel disubstituted pyrrolidine compounds. <sup>a</sup> = catalysed by ATA256. <sup>b</sup> = catalysed by ATA025. <sup>c</sup> = crude yield. Purification resulted in complete decomposition. <sup>d</sup> = catalysed by ATA251. <sup>e</sup> = Biotransformation was allowed to react for 48h.

Despite reproducibly good to excellent conversions (i.e., NMR yields), isolated yields were low, which suggested that the pyrrolidine products extracted poorly, or that the basic conditions of the work-up were leading to side-reactions/decomposition (although no side-products were detected). Particularly notable in this respect were the biotransformations forming ester derivatives **5d** and **6d**. Following extraction, the novel disubstituted pyrrolidines synthesised by the ATA-triggered aza-Michael reaction were purified by column chromatography using alumina as the stationary phase, as the compounds undergo decomposition in the presence of silica (Scheme 3).



The disubstituted pyrrolidines **5** and **6** were all isolated as inseparable mixtures of diastereoisomers and we were keen to explore the possibility of using chemical or biocatalytic epimerisation approaches. Unlike their 6-membered relatives, the 2,6-disubstituted piperidines,<sup>17</sup> attempted epimerisation by stirring in methanol, or exposure to various bases, was unsuccessful and often led to uncharacterised decomposition products (Table S1 in the SI). We hypothesise that this is due to minimal energy difference between the *cis* and *trans* pyrrolidine isomers. Previous reports on similar compounds suggested that a protecting group was necessary for base-mediated epimerisation,<sup>30,31</sup> but there was no change observed in the *cis/trans* ratio upon *N*-Propionyl or *N*-Boc protection of compound **5b**, nor after attempted base epimerisation of *N*-Boc **5b**. However, when **5b** was reacted with 2-nosyl chloride at room temperature to give the sulfonamide derivative, a change in the diastereomeric ratio (dr) (from 64:36 to 80:20) was observed by <sup>1</sup>H-NMR analysis of the crude reaction product **7b** (Scheme 4). Additionally, these nosyl-protected derivatives were significantly more stable than the unprotected pyrrolidines and could be purified on silica, although separation of the *cis/trans*-stereoisomers was not possible.



**Scheme 4** Nosylation led to the isolation of the *cis*-nosyl-protected pyrrolidine in all examples, apart from the ester derivative **7d**. The single crystal X-ray structure of **7f** confirmed the absolute stereochemistry of the compound.

We initially speculated that the change in the dr recorded upon nosyl protection was due to a retro-aza-Michael reaction of the product sulfonamide; therefore, we screened several typical epimerisation conditions (Table S1). However, aside from some observed decomposition under certain conditions, there was no notable change in the diastereomeric ratio, i.e., once formed the sulfonamide is not subject to any change in stereochemistry. We, therefore, considered whether the adjustment in diastereomeric ratio upon nosyl protection was due to epimerisation and then a selective protection of

pyrrolidine **5b**. The nosylation reaction was thus tested at various temperatures (Table S2). When the reaction was performed at 0 °C and -20 °C the 80:20 ratio was maintained but at -78 °C we observed a 94:6 ratio of *cis/trans* isomers. We applied these conditions across our panel of disubstituted pyrrolidines (**5a-f** and **6a-e**) and were able to isolate the *cis*-nosyl-derivative as the major/exclusive stereoisomer in all examples, apart from the ester derivative **7d** (Scheme 4). The relative stereochemistry of these novel compounds was determined by <sup>1</sup>H-NMR spectroscopy and the single crystal X-ray structure of **7f** confirmed the absolute stereochemistry of the compound.

We propose that the unprecedented levels of diastereoselectivity in the sulfonamide products observed at -78 °C was due to a rapid background retro-aza-Michael-mediated epimerisation that relied on the selective reaction of 2-nosyl chloride with the *cis*-isomer. We suggest that the high levels of diastereoselectivity observed at -78 °C, in comparison to the other temperatures, is because the rate of the nosyl protection is significantly slower than the rate of the aza-Michael epimerisation reaction at this temperature. The excellent diastereoselectivities observed for some of the *cis*-sulfonamide compounds formed in this reaction support the proposed epimerisation mechanism; however, isolated yields were low. Despite these low yields, the sulfonamide products were the major compounds present in the crude <sup>1</sup>H-NMR spectra following these reactions, and there were no *cis*- or *trans*-pyrrolidine starting materials remaining. While we are unable to detect any significant impurities by NMR spectroscopy, there is a mass balance issue most likely originating from unstable starting material. This was evidenced by a measured discrepancy in the concentration of model substrate **5b** added to the reaction (see SI section 13 for details).

Unsurprisingly, deprotection of **7b** (*cis:trans* = 96:4) led to a mixture of diastereoisomers of **5b** consistent with the original ratio (*cis:trans* = 64:36) observed prior to nosyl-protection and reinforced the theory that a retro-aza-Michael reaction was mediating the epimerisation. We also reduced **7b** to the corresponding alcohol using L-Selectride® (see SI for more info.), and while the reduction was not particularly stereoselective (2:1 dr) there was no change in the diastereomeric ratio upon deprotection of this alcohol, indicating that the ketone moiety was necessary for epimerisation and again supported the retro-aza-Michael route.

These results support our proposed epimerisation mechanism for the synthesis of *cis*-nosyl-protected pyrrolidines. Additionally, this work demonstrates that both diastereoisomers of the unprotected pyrrolidine can *interconvert* via a retro-aza-Michael reaction. In conclusion, we have expanded the scope of the ATA-triggered aza-Michael reaction for the synthesis of 2,5-disubstituted pyrrolidines. Owing to the unique chemical reactivity of pyrrolidine compounds they could not be epimerised as readily as their 6-membered counterparts in their unprotected form, but a and a



novel epimerisation strategy was uncovered involving a retro-aza-Michael reaction and a selective reaction between 2-nosyl chloride and the *cis*-disubstituted pyrrolidine at -78 °C. We propose that this epimerisation is not limited to 2-nosyl chloride and that future work could involve the development of similar reactions with other protecting groups if the resolution (protection) step was significantly slower than the retro-aza-Michael reaction, allowing high levels of diastereoselectivity to be achieved.

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### Conflicts of interest

There are no conflicts of interest to declare.

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## Data Availability Statement

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The supporting data has been provided as part of the Supplementary information, and includes additional data gathered that is not discussed in detail in the main paper. Crystallographic data for [compound number] has been deposited at the CCDC under accession code 2506209.

