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## Novel auxetic semiconductors with high carrier mobility: first principles prediction of Janus $\text{Ge}_2\text{XY}$ ( $\text{X}/\text{Y} = \text{S, Se, Te}$ ) monolayers

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Recently, auxetic materials have attracted attention due to their unusual behavior and multifunctional applications. A negative Poisson's ratio has been found in some two-dimensional (2D) asymmetric layered materials. In this work, we predict a new class of 2D auxetic materials with the chemical formula  $\text{Ge}_2\text{XY}$  ( $\text{X}/\text{Y} = \text{S, Se, Te}$ ) using *ab initio* calculations. We construct the crystal structure and evaluate the stability of Janus  $\text{Ge}_2\text{XY}$  monolayers under ambient conditions. Phonon dispersion spectra, cohesive energy calculations, and molecular dynamics simulations confirm the high structural stability of  $\text{Ge}_2\text{XY}$ . At the ground state,  $\text{Ge}_2\text{XY}$  monolayers are semiconductors with narrow band gaps ranging from 0.11 to 1.09 eV. We also calculate the mechanical properties, including elastic constants, Young's modulus, and Poisson's ratio. Importantly, the  $\text{Ge}_2\text{XY}$  monolayers represent ideal auxetic materials with a large negative Poisson's ratio. All three  $\text{Ge}_2\text{XY}$  systems possess Poisson's ratio values of around  $-0.2$  along the  $x$ -axis. Moreover,  $\text{Ge}_2\text{XY}$  monolayers are predicted to have high electron mobility up to  $10.92 \times 10^3 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$  ( $\text{Ge}_2\text{STE}$ ). The combination of ideal auxetic behavior and tunable transport properties makes the Janus  $\text{Ge}_2\text{XY}$  structures promising materials for nanoelectronic and mechanical applications.

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## 1 Introduction

One of the important parameters for determining a material's mechanical characteristics is Poisson's ratio. Auxetic materials, which possess a negative Poisson's ratio (NPR), have several unique and beneficial properties, such as high indentation resistance,<sup>1</sup> improved toughness,<sup>2</sup> and superior sound absorption.<sup>3</sup> These enhanced features could lead to uses in protector devices,<sup>4</sup> healthcare,<sup>5</sup> and industry.<sup>6</sup> Previous reports have shown that bulk, two-dimensional (2D), and even one-dimensional (1D) materials exhibit NPR effects. For example, 1D carbon nanostructures named diamond nanothreads show auxetic behavior.<sup>7</sup> The NPR phenomena were also observed in poly[5]asterane,<sup>8</sup> which is known to be a 1D nanostructure with mechanical and chemical stability. 3D structures, such as composites,<sup>9</sup> microporous polymers,<sup>10</sup> and cubic metals,<sup>11</sup> also exhibit NPR effects. Like bulk and 1D materials, auxetic

behavior is also present in many 2D nanostructures. Jiang and Park observed that the first 2D material to possess the NPR phenomenon was black phosphorus.<sup>12</sup> However, the effect in black phosphorus is small, with a Poisson's ratio value of  $-0.027$ . Other 2D structures, such as  $\delta$ -phosphorene,<sup>13</sup> borophene,<sup>14</sup> and penta-graphene,<sup>15</sup> are auxetic materials. According to earlier results, the NPR behavior originates from the unusual geometrical structures of the materials, such as re-entrant or puckered crystal structures.

Very recently, the NPR phenomenon has also been observed in van der Waals heterostructures. Li and co-workers predicted that the graphene/hexagonal boron nitride (G/h-BN) superlattice in various stacking modes possesses NPR values of around  $-0.1$ .<sup>16</sup> Interestingly, the authors showed that the auxetic behavior in the G/h-BN superlattice originates from the interaction of  $p_z$  orbitals between the interfacial layers. The strength of this interaction depends on the distance-dependent hopping integral, which is considered a parameter related to the electronic band structure. This study has contributed to enriching the family of auxetic materials. In addition, it has been shown that the NPR phenomenon is present in 2D Janus asymmetric materials. It is well known that the mirror symmetry structure is lost when we add a third element to the binary compound, forming an asymmetric configuration in the vertical direction. The symmetry breaking in Janus structures has given rise to many unusual and interesting properties compared to their symmetric counterparts.<sup>17-19</sup> Therefore, 2D

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Janus structures have introduced a new class of materials with diverse applications in different fields.<sup>20–24</sup> In recent studies, auxetic behavior has been found in Janus systems, further enriching the exotic properties of this class of materials. For example, Hiep *et al.* theoretically predicted that Janus  $\text{Si}_2\text{XY}$  ( $\text{X}/\text{Y} = \text{S, Se, Te}$ ) monolayers are stable structures possessing NPR effects along both in-plane directions.<sup>25</sup> The  $\text{Si}_2\text{SSe}$  monolayers exhibit the highest auxetic behavior, possessing a Poisson's ratio value of  $-0.131$  along the  $x$ -axis. In addition,  $\text{Si}_2\text{OS}$  and  $\text{Si}_2\text{OSe}$  semiconductors have both been predicted to exhibit Poisson's ratio with large negative values.<sup>26</sup> Amazingly, the NPR effect in Janus  $\text{Si}_2\text{OS}$  monolayers is superior, up to  $-0.234$  along the  $x$ -direction. The advantages of superior electronic properties and NPR effects make these Janus structures promising for applications in various fields. To date, few studies have explored the NPR effect in Janus structures, so it is worth searching for new auxetic materials belonging to the family of Janus materials.

Group 2D IV–VI compounds are known as representative materials in the family of 2D nanostructures because of their unique structure and physical properties.<sup>27,28</sup> Yang *et al.* assumed that the  $\text{Pma}2\text{-SiS}$  semiconductor has a moderate bandgap.<sup>29</sup> Remarkably, compared to 2D  $\alpha\text{-SiS}$  and  $\beta\text{-SiS}$ , the smaller formation energy suggests that the 2D  $\text{Pma}2\text{-SiS}$  structure is more structurally stable. Furthermore, because of its appealing  $ZT$  values at medium-high temperatures, the 2D  $\text{Pma}2\text{-SiSe}$  monolayer has recently been suggested as a potential thermoelectric material.<sup>30</sup> Previously, the first-principles technique revealed the mechanical and electrical characteristics of 2D  $\text{SiS}$ ,  $\text{SiSe}$ , and  $\text{Si}_2\text{SSe}$ .<sup>31</sup> It has been predicted that these structures are good candidates for auxetic materials due to their high negative Poisson's ratio values. In particular, the  $\text{SiS}$  monolayer possesses a high NPR value of  $-0.19$  in the  $x$ -axis.<sup>31</sup>

In addition to the auxetic behavior, 2D IV–VI structures possess high anisotropic carrier mobility and strain-tunable band gap energy, making them promising in optoelectronic and nanomechanical applications. The NPR phenomenon was also observed in  $\text{GeS}$  monolayers by using first-principles calculations.<sup>32</sup> Also, piezoelectricity was enhanced in  $\text{GeS}$  compared to transition metal dichalcogenide and hexagonal BN monolayers. Intrigued by the diverse and outstanding physical properties of group IV–VI materials, herein, we investigate the

structural, mechanical, electronic, and transport features of three 2D Janus  $\text{Ge}_2\text{XY}$  ( $\text{X}/\text{Y} = \text{S, Se, Te}$ ) monolayers employing *ab initio* calculations. The combination of remarkable transport properties and exotic auxetic behavior makes this family of materials a potential candidate for multifunctional applications.

## 2 Computational details and methodology

In the present manuscript, all simulations were carried out by density functional theory (DFT) *via* the Vienna *ab initio* simulation package (VASP)<sup>33,34</sup> in conjunction with the projector augmented wave approach.<sup>33</sup> We used the generalized-gradient approximation formulated by Perdew–Burke–Ernzerhof (PBE)<sup>35</sup> to treat the electronic exchange–correlation energy. Further, the Heyd–Scuseria–Ernzerhof hybrid functional (HSE06) was used to achieve an accurate band structure.<sup>36</sup> A  $k$ -points grid of  $(15 \times 15 \times 1)$  was adopted for integration over the Brillouin zone using the Monkhorst–Pack scheme.<sup>37</sup> For the plane-wave expansion, a kinetic cutoff energy of 500 eV was applied. The convergence criteria of energy and forces on each atom are set to be  $10^{-6}$  eV and  $10^{-3}$  eV  $\text{\AA}^{-1}$ , respectively. A vacuum region of 20  $\text{\AA}$  was adopted in the  $z$  direction to reduce the interaction between neighboring slabs. *Ab initio* molecular dynamics (AIMD) simulations were employed to examine the thermal stability of structures.<sup>38</sup> We recorded the phonon dispersion spectra by using the finite displacement technique *via* the PHONOPY code.<sup>39</sup> Carrier mobility in the proposed materials is calculated based on deformation potential (DP) theory.<sup>40</sup>

## 3 Results and discussion

### 3.1 Crystal structure, structural stability, and mechanical features

We first examine the crystal structures of Janus  $\text{Ge}_2\text{XY}$  monolayers and their structural stability. Fig. 1(a) reveals the optimized structure of the Janus  $\text{Ge}_2\text{XY}$  monolayers from various views. Notably,  $\text{Ge}_2\text{XY}$  has an orthorhombic structure. Indeed, there are eight atoms in the unit cell: two  $\text{X}$ , two  $\text{Y}$ , and four  $\text{Ge}$  atoms. We can obtain the asymmetric  $\text{Ge}_2\text{XY}$  structure from its symmetric counterpart  $\text{GeX}$  by replacing one layer of  $\text{X}$  atoms

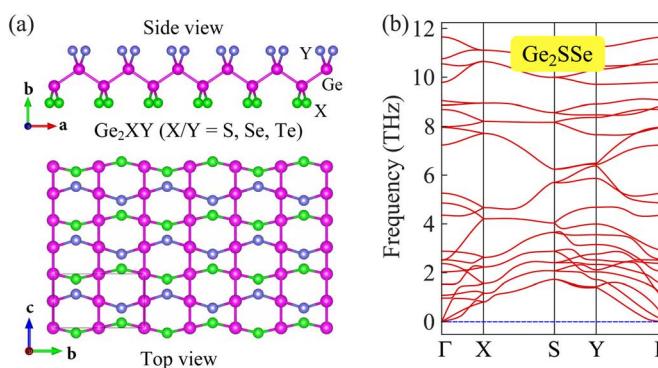


Fig. 1 Crystal structures (a) and phonon dispersions (b) of Janus  $\text{Ge}_2\text{XY}$  ( $\text{X}/\text{Y} = \text{S, Se, and Te}; \text{X} \neq \text{Y}$ ) monolayers.



Table 1 Optimized lattice constants  $a$  and  $b$ , bond length  $d$ , cohesive energy  $E_c$ , and elastic constant  $C_{ij}$  of  $\text{Ge}_2\text{XY}$  monolayers

	$a$ (Å)	$b$ (Å)	$d_{\text{Ge-X}}$ (Å)	$d_{\text{Ge-Y}}$ (Å)	$d_{\text{Ge-Ge}}$ (Å)	$E_c$ (eV per atom)	$C_{11}$ (N m <sup>-1</sup> )	$C_{12}$ (N m <sup>-1</sup> )	$C_{22}$ (N m <sup>-1</sup> )	$C_{66}$ (N m <sup>-1</sup> )
$\text{Ge}_2\text{SSe}$	6.98	4.11	2.26	2.38	2.48	4.47	61.03	-9.01	47.22	6.57
$\text{Ge}_2\text{STe}$	7.12	4.14	2.28	2.60	2.50	4.28	45.39	-8.84	43.12	6.40
$\text{Ge}_2\text{SeTe}$	7.40	4.17	2.42	2.60	2.50	4.14	42.85	-8.96	42.73	5.83

with one layer of Y atoms. We calculate the structural parameters of  $\text{Ge}_2\text{XY}$  crystals and summarize them in Table 1. Our results reveal that Janus  $\text{Ge}_2\text{SSe}$ ,  $\text{Ge}_2\text{STe}$ , and  $\text{Ge}_2\text{SeTe}$  monolayers have lattice constants  $a$  ( $b$ ) of 6.98 Å (4.11 Å), 7.12 Å (4.14 Å), and 7.40 Å (4.17 Å), respectively. The increasing trend of the lattice constant is consistent with the increasing atomic radius of the chalcogen element in the periodic table. At the same time, the bond length between two neighboring Ge atoms is almost unchanged in all three predicted structures. Meanwhile, the Ge-X and Ge-Y bond lengths depend on the atomic radius of the chalcogen element, similar to the changing trend of the lattice constant.

Next, we examine the stability of the proposed structures. We first calculate the cohesive energies of the proposed structures to evaluate the strength of their chemical bond lengths. The cohesive per atom  $E_c$  of Janus  $\text{Ge}_2\text{XY}$  can be calculated as<sup>41</sup>

$$E_c = \frac{N_{\text{Ge}}E_{\text{Ge}} + N_{\text{X}}E_{\text{X}} + N_{\text{Y}}E_{\text{Y}} - E_{\text{tot}}}{N_{\text{Ge}} + N_{\text{X}} + N_{\text{Y}}}, \quad (1)$$

where  $N_{\text{Ge}}$ ,  $N_{\text{X}}$ , and  $N_{\text{Y}}$  are the number of atoms Ge, X, and Y in the primitive cell, respectively;  $E_{\text{Ge}}$ ,  $E_{\text{X}}$ , and  $E_{\text{Y}}$  refer to the energies of the single atoms Ge, X, and Y, respectively;  $E_{\text{tot}}$  stands for the total energy of the proposed structures. The calculated cohesive energies of Janus  $\text{Ge}_2\text{SSe}$ ,  $\text{Ge}_2\text{STe}$ , and  $\text{Ge}_2\text{SeTe}$  monolayers are found to be 4.47, 4.28, and 4.14 eV per atom, as listed in Table 1. With large cohesive energy, the proposed materials are energetically stable with strong internal bonds. It is shown that the cohesive energy of Janus  $\text{Ge}_2\text{XY}$  decreases with increasing lattice constant. Obviously, the greater the distance between atoms, the weaker the bond between atoms. The cohesive energies of Janus  $\text{Ge}_2\text{XY}$  monolayers are comparable with those of similar structures, including Janus  $\gamma\text{-Ge}_2\text{SSe}$  (3.55 eV per atom),<sup>41</sup>  $\gamma\text{-Sn}_2\text{SSe}$  (4.18 eV per atom),<sup>28</sup>  $\text{Si}_2\text{OS}$  (4.89 eV per atom)<sup>42</sup> or  $\text{Si}_2\text{SSe}$  (5.10 eV per atom).<sup>25</sup>

To confirm the dynamical stability of the Janus  $\text{Ge}_2\text{XY}$  structures, we recorded their phonon dispersion spectra along the high symmetry points in the Brillouin zone (BZ), as shown in Fig. 1(b). We can observe that the vibrational branches are all positive in the BZ for our three considered monolayers. It suggests that the Janus  $\text{Ge}_2\text{XY}$  structures possess high dynamical stability. Fig. 1(b) reveals that the phonon spectrum contains 24 vibrational modes, including three acoustic and 21 optical branches. It is attributed to the presence of eight atoms in the unit cell.

Along with the dynamical stability, we further consider the thermal stability of the Janus  $\text{Ge}_2\text{XY}$  monolayers by performing AIMD simulations at room temperature within 8 ps. Fig. 2 plots

the total energy fluctuations with the simulation time of Janus  $\text{Ge}_2\text{XY}$  at room temperature. We note that the energy fluctuations occur only over a small range. The crystal structures of the calculated monolayers remain stable within 8 ps of the AIMD test. We observe no structural phase transitions or bond breaking in these monolayers after test. These results indicate the high thermal stability of the three  $\text{Ge}_2\text{XY}$  structures.

Next, we explore the mechanical features of the  $\text{Ge}_2\text{XY}$  structures, including the elastic constant  $C_{ij}$ , Young's modulus

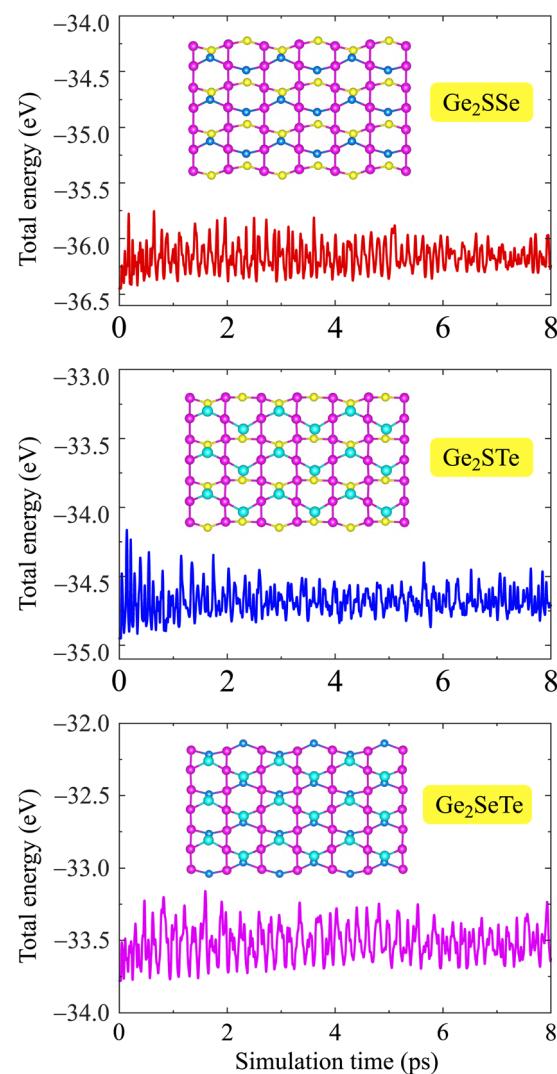


Fig. 2 Time dependence of the total energies of  $\text{Ge}_2\text{XY}$  from AIMD simulations at room temperature. Insets show the crystal structures of  $\text{Ge}_2\text{XY}$  at 8 ps.



$Y_{2D}$ , and Poisson's ratio  $\mathcal{P}$ . For  $\text{Ge}_2\text{XY}$  structures, there are four coefficients, including  $C_{11}$ ,  $C_{12}$ ,  $C_{22}$ , and  $C_{66}$ , as listed in Table 1. Our calculated results demonstrate that  $C_{12}$  has a negative value as revealed in Table 1. The presence of a negative value for  $C_{12}$  may lead to the auxetic behavior. Importantly, the obtained results satisfy the conditions of  $C_{66} > 0$  and  $C_{11}C_{22} - C_{12}^2 > 0$ , confirming the mechanical stability of Janus  $\text{Ge}_2\text{XY}$  structures according to the Born–Huang criteria.<sup>43,44</sup> Our calculated results demonstrated that Janus  $\text{Ge}_2\text{XY}$  monolayers are dynamically, thermally, energetically, and mechanically stable. This supports the hypothesis that the proposed monolayers can be fabricated by conventional experimental methods.

The angle-dependent Young's modulus  $Y_{2D}(\theta)$  and Poisson's ratio  $\mathcal{P}(\theta)$  are determined as follows:<sup>45,46</sup>

$$Y_{2D}(\theta) = \frac{C_{11}C_{22} - C_{12}^2}{C_{11} \sin^4 \theta - \mathcal{Q} \sin^2 \theta \cos^2 \theta + C_{22} \cos^4 \theta}, \quad (2)$$

$$\mathcal{P}(\theta) = \frac{C_{12}(\sin^4 \theta + \cos^4 \theta) - \Pi \sin^2 \theta \cos^2 \theta}{C_{11} \sin^4 \theta - \mathcal{Q} \sin^2 \theta \cos^2 \theta + C_{22} \cos^4 \theta}, \quad (3)$$

where  $\mathcal{Q} = 2C_{12} + (C_{12}^2 - C_{11}C_{22})/C_{66}$  and  $\Pi = C_{11} + C_{22} + (C_{12}^2 - C_{11}C_{22})/C_{66}$ . The angle between the  $x$ -axis and examined direction is denoted by  $\theta$ .

Fig. 3(a) demonstrates the angle-dependent  $Y_{2D}(\theta)$  of the 2D Janus  $\text{Ge}_2\text{XY}$  systems. As can be seen, Young's modulus exhibits a highly anisotropic character, consistent with the in-plane anisotropy of the crystal structure in the predicted monolayers. For all  $\text{Ge}_2\text{XY}$  monolayers,  $Y_{2D}$  reaches its maximum value at  $\theta = 0^\circ$  and then decreases to a minimum value at  $\theta = 45^\circ$ . For example, the  $\text{Ge}_2\text{SeTe}$  monolayer has a maximum  $Y_{2D}$  of

40.97 N m<sup>-1</sup> at  $\theta = 0^\circ$  and a minimum  $Y_{2D}$  of 17.34 N m<sup>-1</sup> at  $\theta = 45^\circ$ . This leads us to conclude that, for Janus  $\text{Ge}_2\text{XY}$  materials, they will be stiffest along the zigzag direction ( $\theta = 0^\circ$ ) and that they will be softest corresponding to  $\theta = 45^\circ$  and  $\theta = 135^\circ$ . In addition, the obtained value of Young's modulus decreases in the order from  $\text{Ge}_2\text{SSe}$  to  $\text{Ge}_2\text{STe}$  to  $\text{Ge}_2\text{SeTe}$ . These calculated results can be explained by the fact that the shorter the interatomic bond length, the stronger the bond, and the higher the in-plane stiffness. Compared with other 2D structures, such as  $\gamma\text{-GeS}$  (73.25 N m<sup>-1</sup>),<sup>41</sup>  $\text{WS}_2$  (137 N m<sup>-1</sup>)<sup>47</sup> or graphene (336 N m<sup>-1</sup>)<sup>48</sup> monolayer, the Young's modulus value of the 2D  $\text{Ge}_2\text{XY}$  monolayer is much smaller. It implies that our predicted systems withstand applied strain better than other 2D systems.

Fig. 3(b) depicts the angle dependence of Poisson's ratio for the Janus  $\text{Ge}_2\text{XY}$  systems. Like Young's modulus, Poisson's ratios of  $\text{Ge}_2\text{XY}$  structures exhibit a highly anisotropic feature. As shown in Fig. 3(b), the angle-dependent  $\mathcal{P}(\theta)$  plots of all three materials are similar. The maximum values of  $\mathcal{P}(\theta)$  for  $\text{Ge}_2\text{XY}$  monolayers correspond to  $\theta = 45^\circ$  and  $\theta = 135^\circ$ . The maximum values of  $\mathcal{P}$  for  $\text{Ge}_2\text{SSe}$ ,  $\text{Ge}_2\text{STe}$ , and  $\text{Ge}_2\text{SeTe}$  are calculated to be 0.54, 0.47, and 0.49, respectively. Importantly, we found the NPR along the two in-plane axes  $x$  and  $y$  in the polar diagrams of  $\mathcal{P}(\theta)$  of  $\text{Ge}_2\text{XY}$  materials. It is indicated that Janus  $\text{Ge}_2\text{SeTe}$  possesses the largest NPR of -2.10 along the  $x$  direction, as revealed in Fig. 3. The value of NPR along the  $y$  direction for  $\text{Ge}_2\text{SeTe}$  is found to be -2.09. The NPR values along the  $x$ ( $y$ ) direction are found to be -0.191(-0.148) and -0.205(-0.195) for  $\text{Ge}_2\text{SSe}$  and  $\text{Ge}_2\text{STe}$ , respectively. The negative Poisson's ratio behavior in Janus  $\text{Ge}_2\text{XY}$  is closely related to its hinge-like structure. This hinge-like structure is a necessary

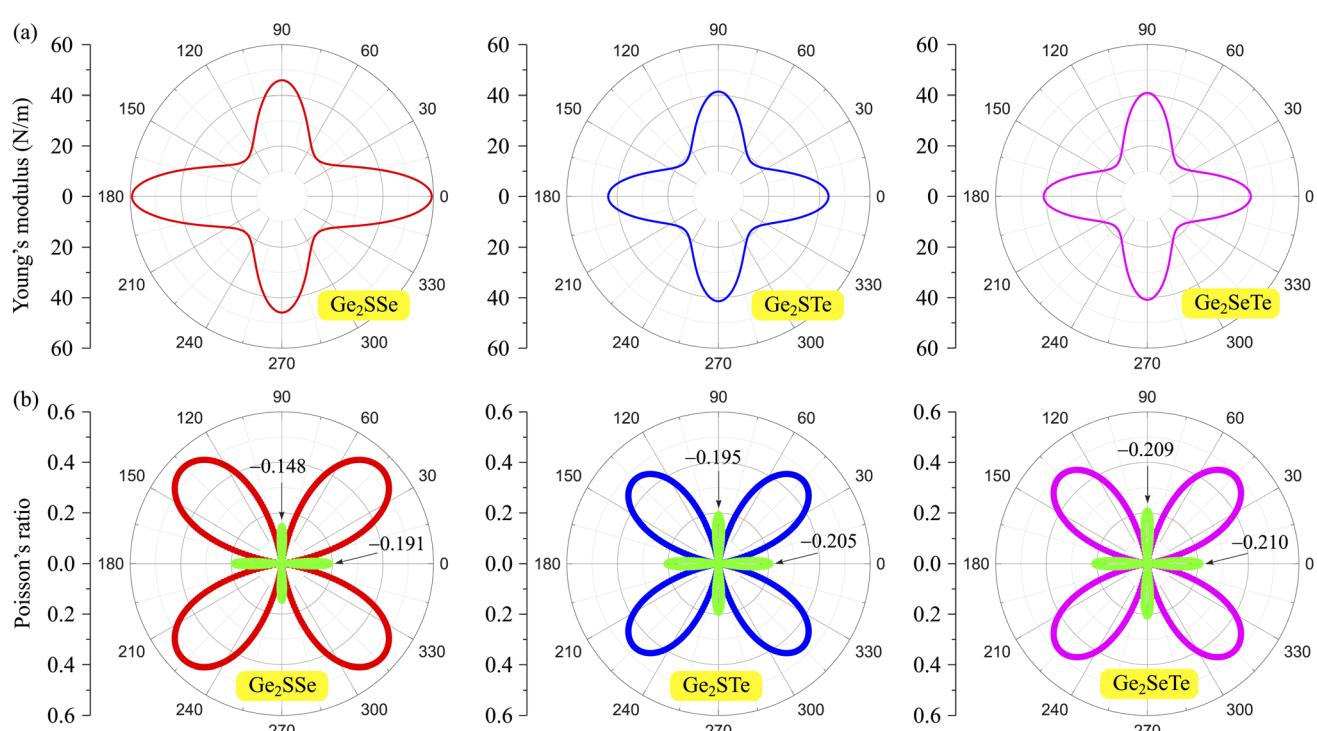


Fig. 3 Young's moduli (a) and Poisson's ratios (b) of Janus  $\text{Ge}_2\text{XY}$  monolayers. Negative values of Poisson's ratio in (b) are indicated in green.



condition for the negative Poisson's ratio. This has also been shown in phosphorene with a high negative Poisson's ratio.<sup>49</sup> Possessing a high negative Poisson's ratio value, Janus Ge<sub>2</sub>XY monolayers exhibit a much stronger auxetic effect than other 2D auxetic structures, such as SiS (−0.19),<sup>31</sup> borophene (−0.053),<sup>49</sup> phosphorene (−0.027),<sup>50</sup> or GeS monolayer (−0.137).<sup>32</sup> The superior mechanical properties of Janus Ge<sub>2</sub>XY monolayers may lead to many nanomechanical applications.

### 3.2 Electronic characteristics

In the following, we explore the electronic features of 2D Janus Ge<sub>2</sub>XY monolayers to suggest them for suitable applications. We use different functionals, namely PBE and HSE06, to

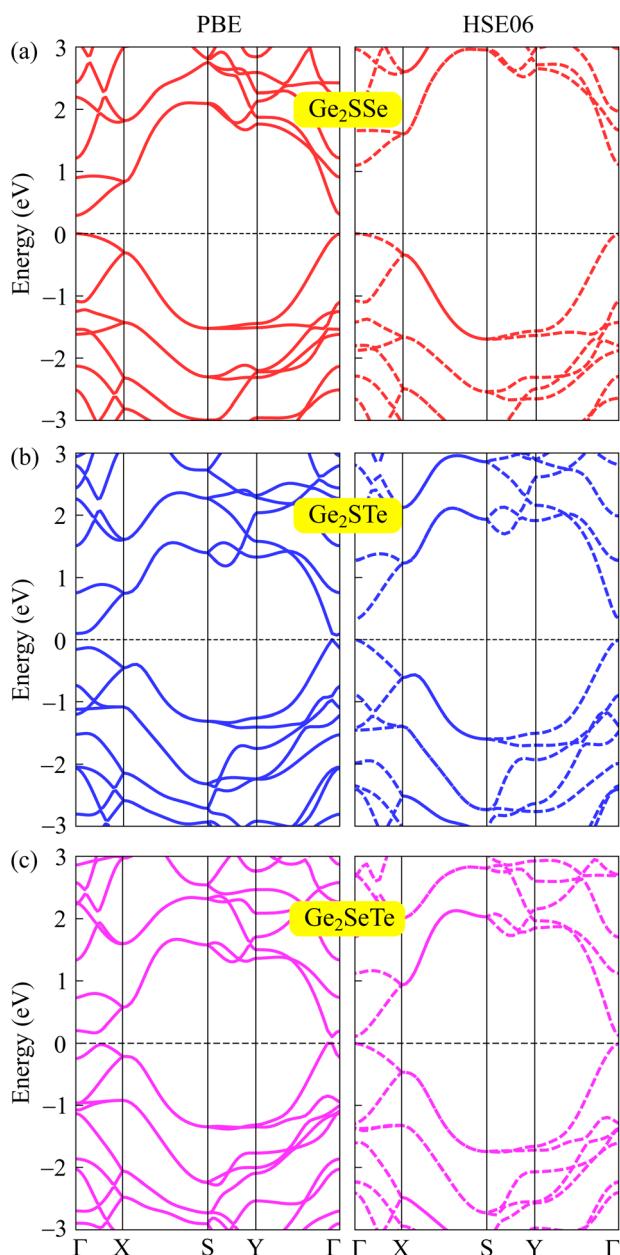


Fig. 4 The computed band structures of Ge<sub>2</sub>SSe (a), Ge<sub>2</sub>STe (b), and Ge<sub>2</sub>SeTe (c) materials at the PBE (left) and HSE06 (right) theoretical levels.

Table 2 Obtained PBE/HSE06 band gaps  $E_g$ , difference between the vacuum levels  $\Delta\Phi$ , and work function on the X(Y) side  $\Phi_X(\Phi_Y)$  of Ge<sub>2</sub>XY materials

	$E_g^{\text{PBE}}$ (eV)	$E_g^{\text{HSE06}}$ (eV)	$\Phi_X$ (eV)	$\Phi_Y$ (eV)	$\Delta\Phi$ (eV)
Ge <sub>2</sub> SSe	0.29	1.09	5.24	4.84	0.40
Ge <sub>2</sub> STe	0.07	0.32	5.46	4.57	0.89
Ge <sub>2</sub> SeTe	0.10	0.11	4.88	4.39	0.49

calculate the electronic energy band structure of Ge<sub>2</sub>XY as illustrated in Fig. 4. Both methods reveal that the 2D Ge<sub>2</sub>XY systems are all semiconductors with small bandgaps. Compared to the PBE method, the HSE06 method provides higher bandgap values. Among all the proposed monolayers, the Ge<sub>2</sub>SSe monolayer possesses the widest bandgap energy of 1.09 eV at the HSE06 level. The other two monolayers have narrower HSE06 bandgaps, which are 0.32 eV and 0.11 eV for Ge<sub>2</sub>STe and Ge<sub>2</sub>SeTe, respectively. The obtained bandgap energies of Ge<sub>2</sub>XY are smaller than those of the Janus Si<sub>2</sub>SSe monolayer (1.51 eV) at the HSE06 level.<sup>31</sup> Table 2 summaries the obtained PBE and HSE06 bandgaps of Janus Ge<sub>2</sub>XY structures.

In addition to the band structures, we calculate the electrostatic potentials and the work functions of Janus Ge<sub>2</sub>XY monolayers. The value of the work function reflects the energy required for electrons to escape from the crystal surface. The work function  $\Phi$  is related to the Fermi level  $E_F$  and the vacuum level  $E_{\text{vac}}$  through the expression  $\Phi = E_{\text{vac}} - E_F$ . For the studied compounds, the two faces are made up of various chalcogen elements, whose electronegativities are different, giving rise to an out-of-plane dipole moment. The magnitude of this dipole moment depends on the electronegativity difference between the two faces of the material. Therefore, it is necessary to add the dipole correction when evaluating the electrostatic potentials in asymmetric structures.<sup>51</sup> Fig. 5 shows the electrostatic potentials of Janus Ge<sub>2</sub>XY monolayers with dipole corrections. The computed results reveal that the vacuum levels on the two faces of the structure are different, and the shape of the electrostatic potential is asymmetric. That is attributed to the lack of vertical mirror symmetry in the Janus structure. The values of the work function  $\Phi$  and the vacuum level differences  $\Delta\Phi$  at the Ge<sub>2</sub>XY surfaces are listed in Table 2. For Ge<sub>2</sub>XY monolayers, the work functions at the X surface vary from 4.88 to 5.46 eV, while those at the Y surface range from 4.39 to 4.84 eV. Our results reflect that the energy required for electrons to escape from the Y surface is lower than that of the X surface. In addition, Table 2 also reveals that the Ge<sub>2</sub>STe monolayer has the highest vacuum level difference  $\Delta\Phi$  compared to the other monolayers. This result is consistent with the fact that the higher the electronegativity difference between the elements, the higher the vacuum level difference between the surfaces.

### 3.3 Transport features and carrier mobility

In the last part, we explore the fundamentals of the proposed Janus Ge<sub>2</sub>XY monolayers. Transport parameters, particularly carrier mobility, play a critical role in the performance and efficiency of electronic devices. It is necessary to investigate



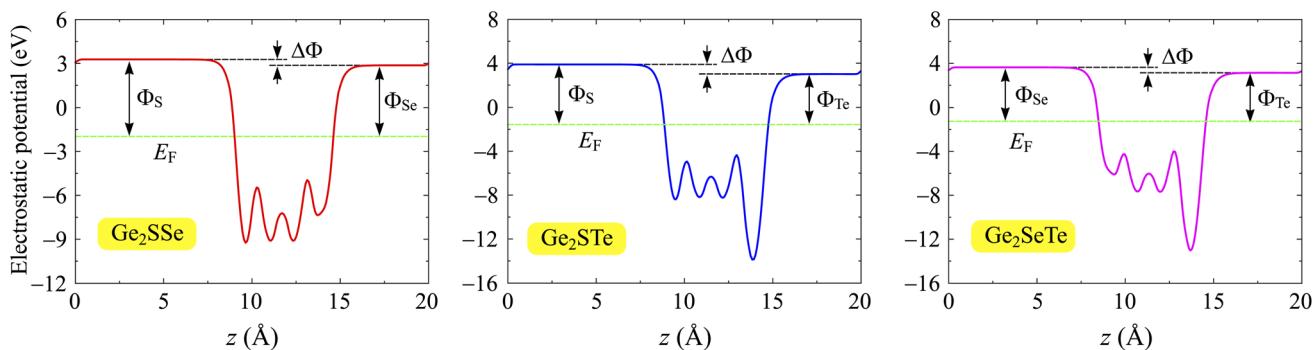


Fig. 5 Electrostatic potentials of  $\text{Ge}_2\text{SSe}$ ,  $\text{Ge}_2\text{STe}$ , and  $\text{Ge}_2\text{SeTe}$  monolayers. The dashed horizontal lines indicate the Fermi level  $E_F$ . The potential difference between the sides is labeled  $\Delta\Phi$ .

electron mobility because it strongly affects the efficiency, speed, and behavior of electronic devices. Here, we use deformation potential (DP) theory to explore the carrier mobility of the proposed structures.<sup>40</sup>

The carrier mobility in the framework of DP for 2D nano-structures is given by<sup>52</sup>

$$\mu_{2D} = \frac{e\hbar^3 C_{2D}}{k_B T m^* m^* E_d^2}, \quad (4)$$

where  $e$  denotes the elementary charge,  $\hbar$  is the reduced Planck constant,  $C_{2D}$  refers to the elastic modulus,  $k_B$  is the Boltzmann constant,  $T = 300$  K is the selected temperature, the effective mass is denoted by  $m^*$ , the average effective mass is denoted by  $\overline{m^*} = \sqrt{m_x m_y}$ , and  $E_d$  is the DP constant.

The transport parameters can be calculated based on the DFT method *via* the following equations:

$$\frac{1}{m^*} = \frac{1}{\hbar^2} \left| \frac{\partial^2 E(k)}{\partial k^2} \right|, \quad (5)$$

$$C_{2D} = \frac{1}{V_0} \frac{\partial^2 E_{\text{tot}}}{\partial \epsilon_{\text{uni}}^2}, \quad (6)$$

$$E_d = \frac{\Delta E_{\text{edge}}}{\epsilon_{\text{uni}}}, \quad (7)$$

where  $E(k)$  stands for the wavevector ( $k$ ) dependence of the band-edge energy, the area of the optimized cell is denoted by  $V_0$ , the total energy of the 2D sheet is denoted by  $E_{\text{tot}}$ ,  $\epsilon_{\text{uni}}$  indicates the applied uniaxial strain along the  $x/y$ -axis, and  $\Delta E_{\text{edge}}$  is the band-edge (VBM/CBM) shifting relative to the vacuum level.

The effective mass of charge carriers (holes and electrons) plays a fundamental role in determining carrier mobility in semiconductors. The effective mass is a concept that arises from the band structure and strongly affected by the curvature of the sub-band at the band-edges. From eqn (5), we can see that a flat curvature (large radius) indicates a large effective mass, while a steep curvature leads to a smaller effective mass. The calculated results for  $m^*$  along the  $x$  and  $y$  axes are listed in Table 3. It can be seen that Janus  $\text{Ge}_2\text{XY}$  monolayers exhibit very low electron-effective mass. Further, the effective mass of carriers exhibits highly directional anisotropy along the two transport directions  $x$  and  $y$ . For example, the calculated values of  $m_x$  and  $m_y$  for Janus  $\text{Ge}_2\text{SSe}$  are calculated to be  $0.67m_0$  and  $0.13m_0$  ( $m_0$  refers to the mass of the free electron), respectively. We can obtain the  $C_{2D}$  and  $E_d$  by fitting the uniaxial strain dependence of the total energy and band-edge positions as shown in Fig. 6. In these procedures, a small uniaxial strain  $\epsilon_{\text{uni}}^{x/y}$  ranging from  $-0.4$  to  $0.4\%$  is applied along the  $x/y$  axis. The computed values of  $C_{2D}$ ,  $E_d$ , and corresponding  $\mu_{2D}^{x/y}$  are listed in Table 3. We can see that the transport parameters of  $\text{Ge}_2\text{XY}$  monolayers exhibit highly directional anisotropic characteristics. This is consistent with the anisotropy in the crystal structures of  $\text{Ge}_2\text{XY}$  monolayers. It is demonstrated that  $\text{Ge}_2\text{XY}$  monolayers have high electron mobility, as shown in Table 3. The electron mobility along the  $x$  ( $\mu_{2D}^x$ ) and  $y$  ( $\mu_{2D}^y$ ) directions for  $\text{Ge}_2\text{SSe}$  is  $417.79$  and  $266.44 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$ , respectively. The Janus  $\text{Ge}_2\text{SeTe}$  possesses a higher electron mobility with  $\mu_{2D}^x = 5.57 \times 10^3 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$  and  $\mu_{2D}^y = 501.38 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$ . Particularly, ultra-high electron mobility up to  $10.92 \times 10^3 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$  is found along the  $x$  axis in the Janus  $\text{Ge}_2\text{STe}$  monolayer as presented in Table 3. With high electron

Table 3 Effective mass  $m^*$  ( $m_0$ ), DP constant  $E_d$  (eV), elastic modulus  $C_{2D}$  ( $\text{N m}^{-1}$ ), and mobility of carriers  $\mu_{2D}$  ( $\text{cm}^2 \text{ V}^{-1} \text{ s}^{-1}$ ) of Janus  $\text{Ge}_2\text{XY}$  monolayers along the  $x$  and  $y$  directions.  $m_0$  is the mass of the free electron

		$m_x$	$m_y$	$C_{2D}^x$	$C_{2D}^y$	$E_d^x$	$E_d^y$	$\mu_{2D}^x$	$\mu_{2D}^y$
Electron	$\text{Ge}_2\text{SSe}$	0.67	0.13	124.42	73.30	-5.66	-12.35	417.79	266.44
	$\text{Ge}_2\text{STe}$	0.10	0.07	106.23	66.91	-5.01	-8.31	$10.92 \times 10^3$	$3.68 \times 10^3$
	$\text{Ge}_2\text{SeTe}$	0.11	0.26	97.32	61.77	-4.47	-7.72	$5.57 \times 10^3$	501.38
Hole	$\text{Ge}_2\text{SSe}$	2.70	0.31	124.42	73.30	-3.62	-6.18	81.75	143.94
	$\text{Ge}_2\text{STe}$	0.17	0.18	106.23	66.91	-5.02	-7.84	$3.02 \times 10^3$	735.37
	$\text{Ge}_2\text{SeTe}$	0.09	0.07	97.32	61.77	-4.43	-8.30	$14.50 \times 10^3$	$3.97 \times 10^3$



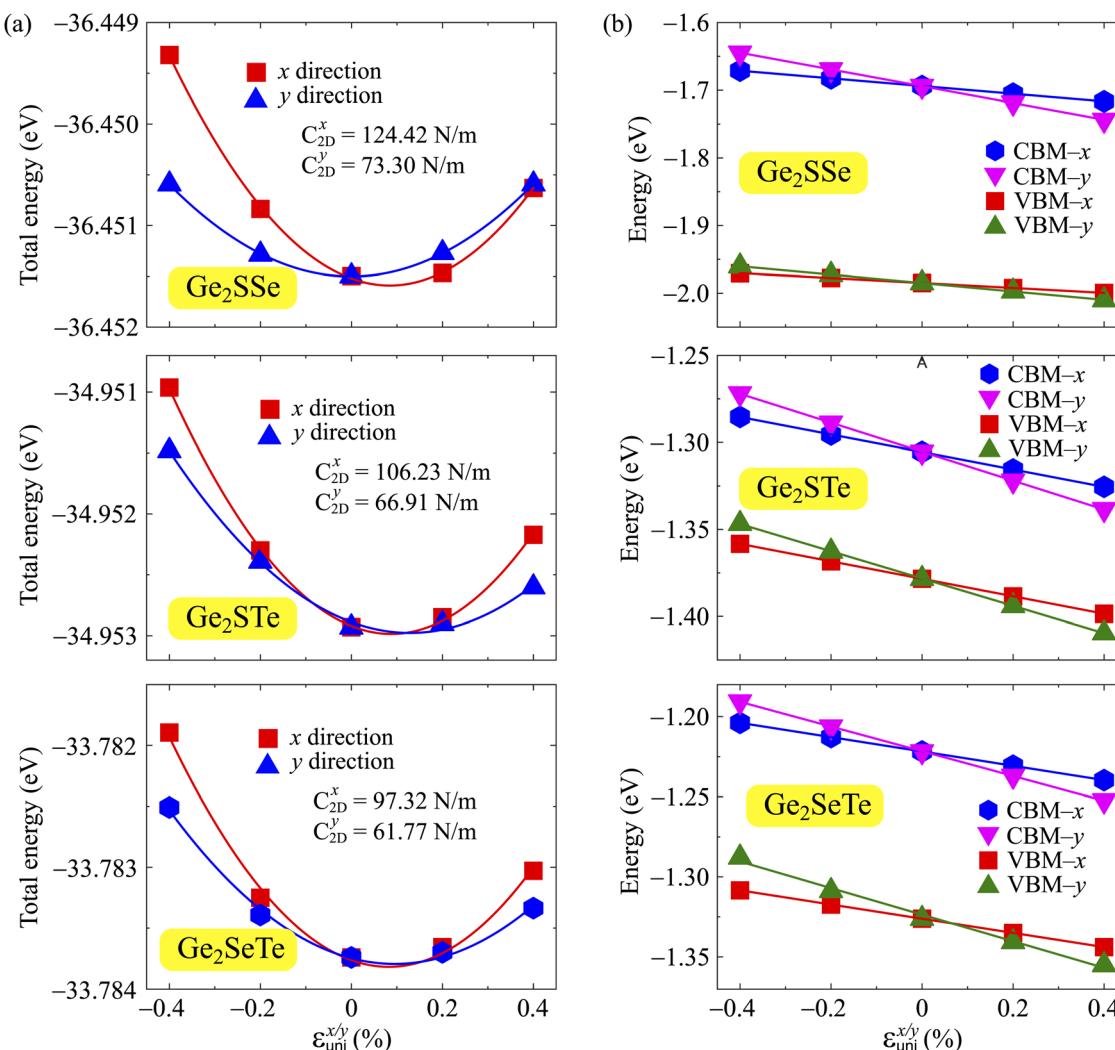


Fig. 6 The uniaxial strain dependence of (a) the total energy shifts and (b) band-edge positions of Ge<sub>2</sub>XY structures.

mobility, Janus Ge<sub>2</sub>XY monolayers are suitable for applications in flexible nanoelectronics.

## 4 Conclusion

In conclusion, a new class of 2D auxetic materials Ge<sub>2</sub>XY (X/Y = S, Se, Te) has been predicted by employing *ab initio* calculations. Janus Ge<sub>2</sub>XY monolayers have been predicted to be stable crystalline semiconductors. They are materials with great mechanical flexibility due to their small Young's coefficient. Due to the anisotropic crystalline structure, the mechanical properties of Ge<sub>2</sub>XY are highly anisotropic. In particular, they possess auxetic behaviors with large negative Poisson's ratios, up to -0.210 (Ge<sub>2</sub>SeTe monolayer). We found that the auxetic effect along the x-axis is the strongest. The transport characteristics of Ge<sub>2</sub>XY monolayers also exhibit strong anisotropic characteristics. The carrier mobility along the x-axis is computed to be larger than that along the y-axis. With their large carrier mobility and outstanding mechanical properties, 2D Janus Ge<sub>2</sub>XY monolayers have great prospects for applications in flexible nanoelectromechanical devices. Our findings

broaden the range of auxetic materials and provide insight into the physical characteristics of 2D Ge<sub>2</sub>XY structures.

## Data availability

All data that support the findings of this study are included within the article.

## Conflicts of interest

There are no conflicts of interest to declare.

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