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# Insights into photoelectrocatalytic lignin oxidation to value-added products using a niobium-doped titanium dioxide photoanode†

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The pulp and paper industry generates large quantities of black liquor (BL), a lignin-rich waste stream with the potential to be converted into value-added products, such as low-molecular-weight phenolic products (LMPPs). This study investigates the use of photoelectrocatalysis (PEC) as a promising, yet unexplored, process for lignin oxidation to produce LMPPs. For the first time, a photoanode based on niobium-doped titanium dioxide (TiO<sub>2</sub>:Nb) and a photoelectrochemical flow cell were applied. Lignin was isolated from Kraft BL (KBL) and used to prepare alkaline Kraft lignin (KL) solutions. The lignin and TiO<sub>2</sub>:Nb photoanode were thoroughly characterized before and after the PEC process. Six LMPPs, including aldehydes, aromatic acids, and ketones, were identified and quantified during the lignin photoelectrocatalytic oxidation. Applying a constant cell potential of ~0.8 V transformed the lignin structure, yielding a maximum of 26 ± 1 mg kg<sup>-1</sup> of LMPPs after 7 h of reaction and a consumed charge of 5 C g<sub>Lignin</sub><sup>-1</sup>. The photoelectrocatalytic oxidation of KL predominantly yielded aromatic acids.

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## Green foundation

1. This work advances green chemistry by introducing a sustainable photoelectrocatalytic approach to valorize lignin, a major waste from the pulp and paper industry. Using a niobium-doped titanium dioxide photoanode and a custom-designed flow cell, lignin was converted into low-molecular-weight phenolic products under mild conditions, promoting waste reduction, resource efficiency, and renewable chemical pathways.
2. Quantitatively, this process yielded up to 26 mg kg<sup>-1</sup> of phenolic products, primarily aromatic acids, after 7 hours at ~0.8 V and 5 C g<sub>Lignin</sub><sup>-1</sup>. Qualitatively, it represents a sustainable, innovative process for valorizing lignin-rich waste, promoting renewable chemical production and reducing environmental impact.
3. This work can be made greener and elevated by further research by optimizing the photoanode material, improving the reactor design, integrating renewable energy, scaling up the process, and enhancing downstream separation and purification of products.

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## 1. Introduction

Black liquor (BL) is the major by-product of the pulp and paper industry, where the Kraft process holds 90% of the total production capacity. It contains large amounts of dissolved lignin, together with other organic and inorganic compounds. BL has been concentrated in multi-stage evaporation units and then burned as a low-value fuel to generate electricity, produce heat, and recover cooking chemicals, neglecting the potential of its high lignin content.<sup>1,2</sup>

Lignin is composed of three monomeric structures (*p*-coumaryl alcohol, coniferyl alcohol, and sinapyl alcohol) randomly linked through ether (C–O–C) and carbon–carbon (C–C) bonds.<sup>3,4</sup> These monomers are the precursors of the primary



moieties in the lignin backbone: guaiacyl (G), syringyl (S), and *p*-hydroxyphenyl (H) units. The mixture of S and G units gives rise to different types of lignin: softwoods (S units), hardwoods (S and G units), and grasses (all three units, with higher production of H units). Lignin also contains various functional groups, such as methoxy, carbonyl, and hydroxyl along with a high C/O ratio.<sup>5</sup> All these properties make lignin a great potential feedstock for producing fuel and value-added compounds, such as low-molecular-weight phenolic products (LMPPs).<sup>6,7</sup>

LMPPs are often reported as major lignin depolymerization products. The most important LMPPs derived from lignin are vanillin (V) and syringaldehyde (Sy),<sup>8–10</sup> commonly used as flavoring and fragrance ingredients with important applications in the food and cosmetics industries. They are also widely used in organic synthesis and pharmaceutical applications.<sup>9</sup> Their respective acids (vanillic acid – VA, and syringic acid – SA, respectively) and ketones (acetovanillone – VO, and acetosyringone – SO, respectively) are also of interest. They have also been widely used in the chemical and pharmaceutical businesses as intermediary compounds to produce high-value-added compounds.<sup>11,12</sup> Moreover, VA and SA have been used as therapeutic agents while possessing antioxidant, antimicrobial, and anti-inflammatory effects.<sup>12,13</sup>

Several processes have been investigated for the production of value-added compounds from lignin, including alkaline oxidation,<sup>10,14,15</sup> hydrogenolysis,<sup>16,17</sup> and photocatalysis (PC).<sup>18</sup> Alkaline oxidation of lignin with oxygen (O<sub>2</sub>) as an oxidant is one of the most extensively studied processes for the effective generation of aldehyde products with high yields. However, this process resorts to harsh reaction conditions, namely high temperatures and pressures. The photoelectrocatalysis (PEC) process is a very promising alternative approach because (i) it requires much milder reaction conditions than traditional chemical processes, allowing for operation at ambient pressure and room temperature, (ii) the generation of oxidants (electrons) does not require external high-value reagents, (iii) it is typically low energy consuming, and (iv) it prevents the recombination of the electron/hole (e<sup>−</sup>/h<sup>+</sup>) pairs generated by PC since PEC refers to the combination of the PC and electrocatalysis (EC) processes in a standalone device.<sup>3,19,20</sup> Photoelectrocatalytic lignin depolymerization is, however, an understudied process. The current research in this field faces several limitations, including: (i) a very limited range of photoanode materials tested, (ii) exclusive reliance on batch electrochemical cells, (iii) the predominant use of lignin model compounds rather than raw lignin, (iv) a lack of quantification for LMPPs, and (iv) a primary focus on ketone production. The effect of charge (constant current density, *j*, or applied cell potential, *E*<sub>cell</sub>) is also not usually researched for lignin depolymerization by the PEC process.

This work aims to assess the feasibility of applying an innovative photoelectrocatalytic technology for lignin oxidation to produce value-added LMPPs based on a novel niobium-doped titanium dioxide (TiO<sub>2</sub>:Nb) photoanode and a customized commercial photoelectrochemical flow cell back-side illuminated (BSI) by an ultraviolet A-light-emitting diode (UVA-LED)

system. Lignin was isolated from Kraft BL (KBL) obtained from a Portuguese pulp mill and used to prepare alkaline Kraft lignin (KL) solutions, which were then fed into the photoelectrocatalytic cell. Seven phenolic compounds (*p*-hydroxybenzaldehyde – pHy, syringaldehyde – Sy, vanillin – V, syringic acid – SA, vanillic acid – VA, acetosyringone – SO, and acetovanillone – VO) were identified and quantified during the PEC experiments. The PEC process was carried out at distinct *E*<sub>cell</sub> and compared to the PC and EC processes. The lignin and the TiO<sub>2</sub>:Nb photoanode were thoroughly characterized both before and after photoelectrocatalytic oxidation. To the best of our knowledge, this is the first time that lignin oxidation by a PEC process has been reported using a TiO<sub>2</sub>:Nb photoanode and a photoelectrochemical flow cell. The selection of TiO<sub>2</sub>:Nb as the photoanode stemmed from preliminary tests in our research group involving various photoanode materials (*e.g.*, hematite – α-Fe<sub>2</sub>O<sub>3</sub>, aluminum doped zinc oxide – ZnO:Al, gallium doped zinc oxide – ZnO:Ga, antimony doped zinc oxide – ZnO:Sb, tantalum nitride – TaN, strontium titanate doped with ruthenium – SrTiO<sub>3</sub>:Ru), with TiO<sub>2</sub>:Nb consistently demonstrating superior stability, activity, and/or selectivity (data not reported).

## 2. Materials and methods

### 2.1. Chemicals

Sulfuric acid (H<sub>2</sub>SO<sub>4</sub>) with 96% (w/w) purity from Panreac was used to prepare diluted solutions for acidic lignin precipitation. Nitrobenzene (C<sub>6</sub>H<sub>5</sub>NO<sub>2</sub>) with ≥99% (w/w) purity supplied by Merck was used for nitrobenzene oxidation (NO) of lignin samples. Sodium hydroxide (NaOH) with ≥99% (w/w) purity from Merck was used for lignin dissolution. Chloroform (CHCl<sub>3</sub>) with 99.0–99.4% (w/w) purity supplied by VWR Chemicals was used for liquid–liquid extraction (LLE). Oxalic acid dihydrate (C<sub>2</sub>H<sub>2</sub>O<sub>4</sub>·2H<sub>2</sub>O) with 99.5–102.5% (w/w) purity and methanol (MeOH, CH<sub>3</sub>O) with ≥99.8% (w/w) purity (HiPerSolv CHROMANORM®) from PanReac and VWR Chemicals, respectively, were used as eluent solutions in high-performance liquid chromatography (HPLC) analyses. MeOH was also used as a solvent for LLE and solid-phase extraction (SPE). The standards *p*-hydroxybenzaldehyde (pHy, C<sub>7</sub>H<sub>6</sub>O<sub>2</sub>) with ≥95% (w/w) purity, vanillic acid (VA, C<sub>8</sub>H<sub>8</sub>O<sub>4</sub>) with ≥98% (w/w) purity, syringic acid (SA, C<sub>9</sub>H<sub>10</sub>O<sub>5</sub>) with 98% (w/w) purity, vanillin (V, C<sub>8</sub>H<sub>8</sub>O<sub>3</sub>) with 99% (w/w) purity, syringaldehyde (Sy, C<sub>9</sub>H<sub>10</sub>O<sub>4</sub>) with 98% (w/w) purity, acetovanillone (VO, C<sub>9</sub>H<sub>10</sub>O<sub>3</sub>) with 98% (w/w) purity, and acetosyringone (SO, C<sub>10</sub>H<sub>12</sub>O<sub>4</sub>) with 97% (w/w) purity were supplied by either Thermo Scientific, Merck or Acros Organics. Demineralized water was obtained from a reverse osmosis system (Panice). Ultrapure water was obtained from a Millipore® Direct-Q system (18.2 MΩ cm<sup>−1</sup> resistivity at 25 °C).

Fluorine-doped tin oxide (FTO) glass substrates (soda-lime type, TEC-7–7 Ω sq<sup>−1</sup>, 2.2 mm thickness) were supplied by Greatcell Solar Materials Pty Ltd. The glass composition was ~70% silicon dioxide (SiO<sub>2</sub>), ~9% calcium oxide (CaO), and



~4% magnesium oxide (MgO), among other minor constituents. Derquim LM 01 alkaline detergent from Panreac and ethanol (EtOH, C<sub>2</sub>H<sub>6</sub>O) with 70% (v/v) purity from VWR were used for glass substrate cleaning. A conductive silver paste (Ferro GmbH GSSP SP 1963) containing 50–80% iron (Fe) was used to allow the electrical conduction from the FTO thin film to the non-conductive glass-side. A titanium : niobium (Ti : Nb) target (96 : 4 wt%) with 99.9% (w/w) purity from FHR Anlagenbau GmbH was used for the magnetron sputtering deposition of a TiO<sub>2</sub>:Nb thin film onto FTO glass substrates. Research grade argon (Ar) with 99.999% (w/w) purity and oxygen (O<sub>2</sub>) with 99.999% (w/w) purity, both from Air Liquid, were used as working and reactive gas, respectively, for the deposition of TiO<sub>2</sub>:Nb thin films.

## 2.2. Lignin: source, isolation, and characterization

Industrial *Eucalyptus globulus* (hardwood) KBL was collected from a Portuguese pulp mill at the outlet of the Kraft digester. Lignin was isolated from KBL by slow acidification with H<sub>2</sub>SO<sub>4</sub> : H<sub>2</sub>O 1 : 1 (v/v) up to pH 4–5 under agitation and at low temperature (10 °C). The precipitated KL was recovered by centrifugation (10 min at 3500 rpm), washed two times with demineralized water, and freeze-dried for at least 48 h.

**2.2.1. Black liquor characterization – total dissolved solids and ashes.** The contents of total dissolved solids (TDS) and ashes were determined following the procedure by Pinto *et al.*<sup>14</sup> Briefly, 20 mL of KL was added to prior dried crucibles containing calcinated and sieved sand. The crucibles were dried at 105 °C to constant weight for TDS determination and incinerated at 550 °C for 8 h for gravimetric quantification of ashes. Analyses were carried out in triplicate. The relative error was lower than 2%.

### 2.2.2. Isolated Kraft lignin characterization

**2.2.2.1. Gravimetric analysis for inorganic content determination.** The inorganic content of KL was determined by gravimetric quantification after incineration at 600 °C for 6 h of 100 mg of KL.<sup>21</sup> This determination was performed in duplicate. The relative error was lower than 2%.

**2.2.2.2. Nitrobenzene oxidation for low-molecular-weight phenolic product determination.** KL was subjected to NO to determine the LMPPs as already described elsewhere.<sup>22</sup> Briefly, 30 mg of isolated freeze-dried lignin was dissolved in 7 mL of 2 M NaOH aqueous solution in a Teflon vessel. A volume of 0.45 mL of nitrobenzene was added to the reaction mixture and heated up to 170 °C for 4 h in a stainless-steel reactor. The oxidized cooled-down lignin was subjected to LLE with chloroform, followed by acidification with H<sub>2</sub>SO<sub>4</sub> : H<sub>2</sub>O 1 : 1 (v/v) and a second LLE to recover the LMPPs. The resulting organic phase was evaporated under reduced pressure (Bibby rotary vacuum evaporator, model Re 100), and the dried sample was dissolved in 10 mL of MeOH. The solution was then analyzed by HPLC following the procedure provided in the ESI.† NO and HPLC analyses were performed in duplicate.

**2.2.2.3. Fourier-transform infrared spectroscopy for lignin functional group determination.** KL (before and after oxidation) was characterized by universal attenuated total reflectance

Fourier-transform infrared spectroscopy (UATR-FT-IR) analysis to detect the functional groups of lignin. A PerkinElmer Spectrum Two™ FT-IR Spectrometer equipped with a deuterated triglycine sulfate (DTGS) detector was used, and a diamond plate accessory was employed during measurements. The FT-IR spectrum was recorded between 4000 cm<sup>-1</sup> to 500 cm<sup>-1</sup> wavenumbers at 4 cm<sup>-1</sup> resolution and 16 scans per sample.

## 2.3. Fabrication and characterization of photoanodes

**2.3.1. Glass substrate preparation.** The photoanodes (Fig. SI-1; ESI†) were prepared on a conductive FTO TEC-7 glass substrate (section 2.1). The substrates were cleaned by sequential ultrasonic treatments (Elmasonic S 120 (H) from Elma): 10 min in soapy water, 10 min in distilled water, 5 min in 2 M NaOH ethanolic solution (70% v/v), and 10 min in demineralized water.<sup>23</sup> The substrates were then hand-washed with EtOH (70% v/v) and dried with nitrogen (N<sub>2</sub>). After drying, a conductive silver paste was manually painted on both sides of the substrate edges and sintered at 500 °C for 1 h (ramp rate of 5 °C min<sup>-1</sup>), as reported elsewhere.<sup>24</sup>

**2.3.2. Titanium dioxide doped with niobium thin film deposition.** Thin films of TiO<sub>2</sub>:Nb with a thickness of 300 nm (ref. 25) were produced by reactive DC magnetron sputtering at the Centre of Physics of the University of Minho, Portugal. A target of Ti : Nb with 10 cm diameter was used in a planar configuration. The chamber was evacuated prior to the depositions with a primary rotary pump and a turbo molecular pump to achieve a base pressure of around 10<sup>-4</sup> Pa. The target–substrate distance was kept at 6.5 cm, and the substrate holder was heated up to 430 K. Ar was used as working gas to enable plasma formation, and O<sub>2</sub> was used as reactive gas at a flow rate of 7 sccm. Before the deposition, 2 min of etching at 500 V was performed under an Ar atmosphere at a pressure of 1.8 Pa in continuous rotation. This process removes oxides and impurities possibly accumulated and retained on the surface of the substrates and creates atomic defects in the substrate, enabling better nucleation of the film during deposition. This was followed by a dummy deposition of 2 min for target cleaning (burn). The films were deposited for 5 min at a pressure of around 0.22 Pa, with a target current density fixed at 12.7 mA cm<sup>-2</sup>, a bias voltage of –60 V, and an Ar flow of 40 sccm. These deposition conditions enable an effective ~2 at% Nb substitutional doping in TiO<sub>2</sub>. The substrate holders were mounted onto a rotating 6-position support controlled by a motor. Four samples were deposited in a single batch.

### 2.3.3. Morphological, structural, and elemental characterization

**2.3.3.1. Scanning electron microscopy combined with energy-dispersive X-ray spectroscopy.** An FEI Nova SEM 200 scanning electron microscope (SEM) in combination with an energy-dispersive X-ray spectrometer (EDX) was used at the Materials Characterization Services (CEMAT), University of Minho, Portugal, to evaluate the morphology and elemental composition of the TiO<sub>2</sub>:Nb thin films. EDX data were obtained using



an accelerating voltage of 15 kV with a scanning resolution of 129 eV for mapping and local analysis using the standardless ZAF quantification method. The obtained data were analyzed using EDAX Genesis APEX 2i software.

**2.3.3.2. X-ray diffraction.** X-ray diffraction (XRD) analysis was performed to investigate the crystallographic structure of the TiO<sub>2</sub>:Nb thin films using a Bruker AXS D8 advanced Discovery diffractometer at the CEMAT, University of Minho, Portugal. The diffraction patterns were acquired using grazing incidence X-ray diffraction (GIXRD), with an incident angle of 1°, a step of 0.02°, and an integration time of 1 s. CuKα radiation was used with a wavelength ( $\lambda$ ) of 1.5406 Å.

**2.3.3.3. X-ray photoelectron spectroscopy.** X-ray photoelectron spectroscopy (XPS) was used to evaluate the surface composition of the film at the Materials Research Center (CENIMAT-i3N), NOVA University of Lisbon, Portugal. The experiments were performed using a Kratos Axis-Supra instrument equipped with monochromatic Al-Kα radiation (1486.6 eV). A co-axial electron neutralizer was used to minimize surface charging. The photoelectron spectra were collected from a take-off angle of 90° relative to the sample surface. The measurement was done in a constant analyzer energy lens mode (CAE) with a pass energy of 160 eV for the survey spectra and 40 eV for the high-resolution spectra. Emission currents of 15 mA and 20 mA were used for the survey spectra and high-resolution spectra, respectively. Charge referencing was done by setting the lower binding energy of the C 1s hydrocarbon peak (the most intense component of the C 1s spectrum) at 284.8 eV. Unifit software was used to fit the recorded experimental XPS spectra.

**2.3.3.4. Optical properties.** Measurements of optical transmittance and reflectance were carried out using a Shimadzu UV-2501 PC spectrophotometer in the 200 nm to 900 nm  $\lambda$  range. From these measurements, the absorbance coefficient ( $\alpha$ , in m<sup>-1</sup>) was calculated as follows:<sup>26</sup>

$$\alpha = \frac{1}{d_{\text{film}}} \ln \left( \frac{1 - \text{reflectance}}{\text{transmittance}} \right) \quad (1)$$

where  $d_{\text{film}}$  is the film thickness ( $3 \times 10^{-7}$  m),<sup>25</sup> and the reflectance and transmittance values are expressed in %.

The indirect optical band-gap energy ( $E_g$ ) was obtained from the Tauc plot by extrapolating to zero the dependence of eqn (2):<sup>27,28</sup>

$$(\alpha E)^{1/p_T} = B(E - E_g) \quad (2)$$

where  $E$  is the incident photon energy (eV) given by eqn (3),<sup>29,30</sup> the Tauc exponent  $p_T$  depends on the band structure of the semiconductor material ( $p_T = 2$  for indirect allowed band-gap transitions), and  $B$  is a proportionality constant independent of the photon energy.<sup>25,30</sup>

$$E = h\nu \quad (3)$$

where  $h$  is the Planck's constant ( $4.1357 \times 10^{-15}$  eV Hz<sup>-1</sup>) and  $\nu$  is the photon's frequency (Hz).

## 2.4. Experimental setup

### 2.4.1. (Photo)electrochemical characterization

**2.4.1.1. Lignin.** Cyclic voltammetry (CV) analysis was carried out in a three-electrode glass cell to infer about the lignin susceptibility for oxidation. The cell was composed of a 3 mm diameter freshly polished glassy carbon electrode (Chemical Instruments) as the working electrode, a 99.9% pure platinum (Pt) wire (Alfa Aesar®) as the counter-electrode, and a silver/silver chloride electrode in saturated potassium chloride solution (Ag/AgCl/sat. KCl) (Metrohm) as the reference electrode. The measured potential vs. Ag/AgCl/sat. KCl was converted into the reversible hydrogen electrode (RHE) potential scale following the Nernst equation (eqn (4)).<sup>31</sup> Tests were performed at room temperature in the dark using a ZENNIUM workstation (Zahner Elektrik) controlled by Thales software package (Thales Z 2.0). CVs were recorded at a scan rate of 100 mV s<sup>-1</sup> using 1 M NaOH (pH = 13.2) and aqueous lignin solutions containing 1, 10, 30, and 60 g L<sup>-1</sup> lignin (in 1 M NaOH, pH = 13.2).

$$E_{\text{RHE}} = E_{\text{Ag/AgCl/sat.KCl}} + 0.059\text{pH} + 0.197. \quad (4)$$

**2.4.1.2. TiO<sub>2</sub>:Nb photoanodes.** Linear-sweep voltammetry (LSV) analysis was conducted on a photoelectrocatalytic cell device, known as the “Cappuccino” cell,<sup>32</sup> to characterize the fabricated TiO<sub>2</sub>:Nb photoanodes. A standard three-electrode configuration was employed comprising the prepared TiO<sub>2</sub>:Nb photoanodes ( $1.2 \times 3.0$  cm<sup>2</sup>) as the working electrode, a 99.9% pure Pt wire (Alfa Aesar®) as the counter electrode, and an Ag/AgCl/sat. KCl (Metrohm) as the reference electrode. The measured potential vs. Ag/AgCl/sat. KCl was converted into the RHE potential scale following the Nernst equation (eqn (4)). The active photoanode surface area was 0.283 cm<sup>2</sup>, defined by an internal mask. (Photo)current density–voltage ( $j$ – $V$ ) characteristic curves were obtained by applying an external potential bias to the cell and measuring the generated (photo)current. Data were recorded in an AUTOLAB electrochemical station (Metrohm, PGSTAT302N) at a scan rate of 10 mV s<sup>-1</sup> using 1 M NaOH (pH = 13.2), and aqueous lignin solutions containing 1, 10, 30, and 60 g L<sup>-1</sup> lignin (in 1 M NaOH, pH = 13.2). The measurements were performed at room temperature in the dark and under illumination using a UVA-LED illumination system that provides an intense emission band centered at 365 nm (L14310-100 LED-head unit – Lightningeure® LC-L1, Hamamatsu Photonics; standard irradiance of 1000 mW cm<sup>-2</sup> at 1 cm from the lens tip). The photoanode was BSI, *i.e.*, the light passes first through the FTO glass and then through the TiO<sub>2</sub>:Nb thin film, avoiding absorption losses from the electrolyte in front-side illuminated (FSI) configurations. The distance between the “Cappuccino” cell and the UVA-LED system was adjusted to produce the same photocurrent as in the two-electrode PEC system employed for lignin oxidation (Section 2.4.2.) (11.8 cm vs. 8.1 cm, respectively).

**2.4.2. Photoelectrocatalytic lignin oxidation.** A customized commercial electrochemical flow cell device (Micro Flow Cell





from ElectroCell A/S), referred to as  $e^-$ Cell, was used in the semi-batch mode (constant recirculation of the solution). The description of the  $e^-$ Cell has already been presented in detail by Morais *et al.*<sup>33</sup> and Rosa *et al.*<sup>34</sup> The filter-press cell is composed of two flat plates working as electrodes (an anode and a cathode). Peroxide-cured ethylene propylene diene monomer (EPDM) gaskets are placed between all the cell components to avoid leakages. The fluid enters the  $e^-$ Cell reactor through a single bottom inlet and leaves the reactor through a single top outlet. Here, (i) a customized polytetrafluoroethylene (PTFE) flow frame with a trapezoidal channel (3.0 cm  $\times$  3.3 cm  $\times$  0.4 cm) was used, featuring additional pins near the inlet and outlet to enhance the fluid distribution and support the glass photoanodes, and (ii) a stainless-steel current collector (9.5 cm  $\times$  4.7 cm  $\times$  0.6 cm) was used, as shown in Fig. 1a. This current collector contains a window of 4.0 cm  $\times$  4.3 cm  $\times$  0.2 cm that accommodates the photoanode, allowing its BSI. Similarly, both the front-end frame and the supporting frame feature a 4.0 cm  $\times$  4.3 cm window to enable BSI. A two-electrode configuration was used, consisting of the as-prepared photoanode as the working electrode (anode) and a 316 stainless-steel plate as the counter electrode (cathode). The interelectrode gap was 3.8 mm, and the active photoanode surface area was 10 cm<sup>2</sup> (3.0 cm  $\times$  3.3 cm).

The  $e^-$ Cell reactor was incorporated into a system (Fig. 1b) containing: (i) a recirculation glass vessel thermostatically controlled and magnetically stirred, (ii) a refrigerated heating circulating bath (Grant, model ecocool 150R) for temperature control of solution in the recirculation glass vessel, (iii) a gear

pump (model BVP-Z, pump head Ismatec Z-142), (iv) a Velleman® power supply (model LABPS3005DN, 0–5 A, 0–30 V), (v) a digital multimeter (Range®, model RE64), and (vi) the UVA-LED illumination system (L14310-100 LED-head unit – Lightningcure® LC-L1, Hamamatsu Photonics; standard irradiance of 1000 mW cm<sup>−2</sup> at 1 cm from the lens tip). The cell-to-LED distance was 8.1 cm, enabling full photoanode illumination with an irradiance of 120  $\pm$  10 mW cm<sup>−2</sup> (radiant power of 1.2  $\pm$  0.1 W), determined by ferrioxalate actinometry<sup>35</sup> with Fe<sup>3+</sup> = 10 mM. All the system components were connected using PTFE tubing.

KL was dissolved in 1 M NaOH aqueous solution to a final concentration of 60 g L<sup>−1</sup> (pH = 13.2). A volume of 200 mL of this KL solution was employed, resulting in a photoanode active surface area-to-solution volume ratio of 5.0 m<sup>2</sup> m<sup>−3</sup>. The system operated at a Reynolds number (Re) of 1750 (flow rate = 75.5 L h<sup>−1</sup>) to overcome mass transfer limitations.<sup>33,34</sup> Photoelectrocatalytic lignin oxidation was performed at constant  $E_{\text{cell}}$  and room temperature (controlled). No pH control was performed. A control sample was taken after placing the KL solution in the recirculation glass vessel and allowing it to reach 25  $\pm$  1 °C. The beginning of the reactions was marked by the switching on of the power supply and/or the illumination system. Samples were taken at predetermined time intervals up to 7 h. Experiments were carried out in duplicate.

Two additional trials were performed: a PC experiment, which only involves the illumination of the TiO<sub>2</sub>/Nb photoanode (here functioning as the photocatalyst; no application of  $E_{\text{cell}}$ ); and an EC experiment, which only entails the application of a constant  $E_{\text{cell}}$  (no illumination). Furthermore, a

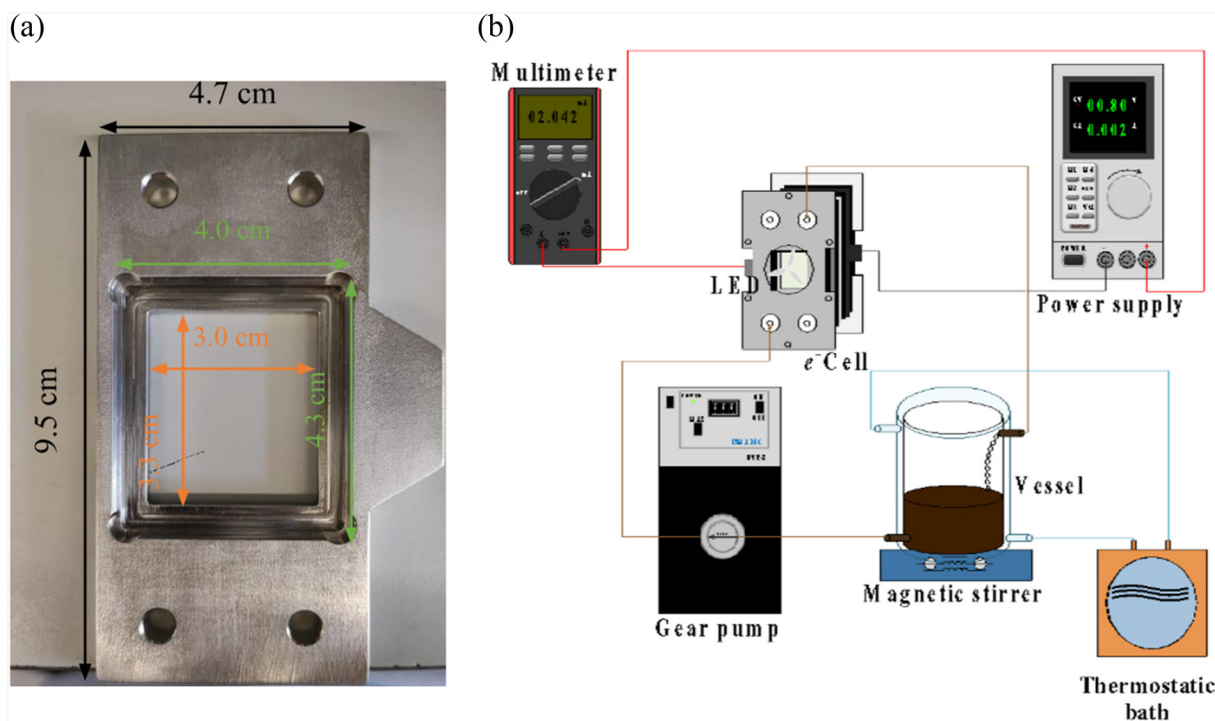


Fig. 1 (a) Stainless-steel current collector of  $e^-$ Cell, and (b) scheme of the experimental setup for the PEC process.



blank trial without the application of  $E_{\text{cell}}$  or illumination was also conducted.

### 2.5. Analytical determinations

HPLC, pH, temperature, and viscosity determinations are described in the ESI.†

### 2.6. Calculations

The efficiency of the photoelectrocatalytic oxidation of lignin was evaluated in terms of yield of LMPPs and selectivity to V and Sy. The yield of a given LMPP was determined on a mass basis (mg kg<sup>-1</sup>) via eqn (5).<sup>14</sup> Selectivity to V and Sy was expressed as the mass ratio of aldehyde/aromatic acid (eqn (6)).<sup>10,14</sup>

$$\text{Yield to LMPP} = \frac{m_{\text{LMPP}_t} - m_{\text{LMPP}_0}}{m_{\text{Lignin}}} \quad (5)$$

$$\text{Selectivity} = \frac{m_{\text{aldehyde}}}{m_{\text{aromatic acid}}} \quad (6)$$

where  $m_{\text{LMPP}}$  represents the mass of a specific LMPP (in mg) at a given time  $t$  or at the beginning of the experiment (0), and  $m_{\text{Lignin}}$  is the initial mass of lignin (in kg) after subtracting the mass of ashes and carbohydrates.

The consumed specific charge was calculated according to eqn (7) (in C g<sub>Lignin</sub><sup>-1</sup>) and eqn (8) (in Ah L<sup>-1</sup>).<sup>36</sup>

$$\text{Charge} = \frac{It}{m_{\text{Lignin}}} \times 3600 \quad (7)$$

$$\text{Charge} = \frac{It}{V_s} \quad (8)$$

where  $I$  is the current intensity (in A),  $t$  the (photo)electro-oxidation time (in h),  $m_{\text{Lignin}}$  is in g, 3600 is a factor to convert h into s, and  $V_s$  is the solution volume (in L).

The energy consumption for electrical current supply (ECo., in kW h m<sup>-3</sup>) for the  $e^-$ -Cell reactor operation was calculated from eqn (9):<sup>37</sup>

$$\text{ECo.} = \frac{E_{\text{cell}} It}{V_s} \quad (9)$$

where  $E_{\text{cell}}$  is expressed in V,  $I$  in A,  $t$  in h, and  $V_s$  in L.

The apparent quantum yield (AQY, in %) was calculated according to eqn (10),<sup>38</sup> considering the generated LMPPs since the stoichiometry of lignin oxidation to the different LMPPs is unclear.

$$\text{AQY (\%)} = \left( \frac{n_{\text{LMPP}} N_A}{\frac{E_e A t}{\frac{hc}{\lambda}}} \right) \times 100 \quad (10)$$

where  $n_{\text{LMPP}}$  is the number of moles of generated LMPP (in mol),  $N_A$  is the Avogadro number ( $6.022 \times 10^{23} \text{ mol}^{-1}$ ),  $E_e$  is the irradiance of the light source (in W m<sup>-2</sup>),  $A$  is the illuminated area (in m<sup>2</sup>),  $t$  is expressed in s,  $h$  is the Planck's constant ( $6.626 \times 10^{-34} \text{ J s}$ ),  $c$  is the light velocity ( $3.00 \times 10^8 \text{ m s}^{-1}$ ), and  $\lambda$  is the light source wavelength (in m).

## 3. Results and discussion

### 3.1. Lignin characterization

**3.1.1. General composition of Kraft black liquor and lignin.** KL was isolated from KBL by acidic precipitation with a yield of  $39 \pm 5\%$  (calculated with reference to TDS). KL contained dissolved contaminants because of its separation from wood during the pulping process. Despite the isolation process, KL also included considerable contents of contaminants, such as organic substances (lignin intermediates, carbohydrates, and extractives), along with the remaining inorganic pulping chemicals.<sup>14,21,39,40</sup> These contaminants were then quantified, and the results are presented in Table 1. The obtained results are in agreement with those obtained by other authors for KBL and KL.<sup>1,41</sup>

**3.1.2. Low-molecular-weight phenolic products of Kraft lignin.** NO in an alkaline medium allows for the quantitative and qualitative determination of LMPPs available in the lignin. The contribution of the condensed structures of lignin (C-C linkages between aromatic rings) through NO is very limited. Therefore, the oxidative degradation of the 4-hydroxyphenylpropane units and their corresponding esters by NO gives the phenolic monomers in the non-condensed fraction of lignin. G-type units yield V and VA; S-type units give Sy and SA; and H-type units yield pHy. The results of NO give the maximum yields of the simple phenolic aldehydes (pHy, V, and Sy), aromatic acids (VA, SA), and ketones (VO, SO) that could be achieved through lignin depolymerization.<sup>14,15,42</sup> G : H : S provides the basic information about the nature of the lignin. The yields and types of the LMPPs obtained by NO are presented in Table 2.

The obtained results are in agreement with the expected ones for hardwood KL, which yields mainly S-type units along with some G-type ones.<sup>10,14</sup> The low NO yields of LMPPs obtained are indicative of a lignin with a highly condensed structure, and a large number of C-C bonds,<sup>43</sup> which are more difficult to break than C-O bonds.<sup>4,44-46</sup> This might be an indication that the KL used in this work was difficult to depolymerize. Nevertheless, the obtained NO yields for LMPPs can be very variable (Table SI-1†) due to the different feedstocks, pulping processes, lignin heterogeneities, and isolation procedures, as also reported by Villar *et al.*<sup>47</sup> The obtained NO

**Table 1** General composition and properties of Kraft black liquor and isolated Kraft lignin

	Kraft black liquor – KBL	Kraft lignin – KL
pH	13.2 <sup>a</sup>	—
Density ( $\rho$ , kg m <sup>-3</sup> )	1.32	—
Viscosity (mm <sup>2</sup> s <sup>-1</sup> )	2.51	—
Total dissolved solids (TDS, %)	17.2 $\pm$ 0.1	—
Inorganic matter (wt%)	62.55 $\pm$ 0.03 <sup>b</sup>	17.1 $\pm$ 0.1 <sup>c</sup>

<sup>a</sup> Alkaline error. <sup>b</sup> Contents referred to TDS. <sup>c</sup> Contents presented as wt%.



**Table 2** Yields of LMPPs obtained by nitrobenzene oxidation of lignin isolated from Kraft black liquor

LMPPs <sup>a</sup>	pHy	VA	SA	V	Sy	VO	SO	Total yield
mg kg <sup>-1</sup>	10 × 10 <sup>1</sup>	1.9 × 10 <sup>3</sup>	1.3 × 10 <sup>4</sup>	1.7 × 10 <sup>4</sup>	6.9 × 10 <sup>4</sup>	9.0 × 10 <sup>2</sup>	2.4 × 10 <sup>3</sup>	1.0 × 10 <sup>5</sup>

<sup>a</sup> Reported on dry weight and corrected to nonvolatile solids weight after deducing inorganics content; pHy – *p*-hydroxybenzaldehyde; VA – vanillic acid; SA – syringic acid; V – vanillin; Sy – syringaldehyde; VO – acetovanillone; SO – acetosyringone.

yields for KL are however within the expected range for most LMPPs.

**3.1.3. Functional groups of Kraft lignin.** FT-IR analysis can be divided into two main regions: group frequency (4000–1500 cm<sup>-1</sup>) and fingerprint (1500–500 cm<sup>-1</sup>).<sup>48</sup> The group frequency is characteristic of specific small functional groups in lignin, while the fingerprint region constitutes specific vibrations of the lignin structure.<sup>49</sup> The occurrence of different types of chemical bonds can be identified in the group frequency region for the single bond (4000–2500 cm<sup>-1</sup>), triple bond (2500–2000 cm<sup>-1</sup>), and double bond (2000–1500 cm<sup>-1</sup>).<sup>50</sup> Fig. 2 presents the FT-IR spectra of KL before and after the PEC process applying an  $E_{\text{cell}}$  of ~0.8 V for 7 h. The identification of the respective four regions and the absorbance peaks is also presented. The association of each peak is displayed in Table SI-2.† It followed the assignments reported in the literature.

The characteristic peaks of lignin are related to aromatic and ether-containing structures: aliphatic and phenolic OH-groups (3385 cm<sup>-1</sup>),<sup>51</sup> C–H stretching in methyl groups of the side chain (2939 cm<sup>-1</sup>),<sup>9,11,51–54</sup> and aromatic ring vibrations of the phenyl-propane skeleton (1598 cm<sup>-1</sup>, 1517 cm<sup>-1</sup>, and 1424 cm<sup>-1</sup>).<sup>9,49,52,53,55–57</sup> No bands were found in the range of

1740–1655 cm<sup>-1</sup>, which originate from the C=O stretching in unconjugated ketones, carbonyls and ester groups, and conjugated aldehydes and carboxylic acids.<sup>49,53,55,57,58</sup>

Characteristic vibrations of S-type units were found at 1329 cm<sup>-1</sup> (ref. 53–55, 57 and 58) and 1111 cm<sup>-1</sup> (ref. 53–55 and 58). G-type units were found alongside S-type units at 1216 cm<sup>-1</sup> (ref. 9, 55 and 57) and 828 cm<sup>-1</sup> (ref. 9, 11, 53, 55, 56 and 58). This is consistent with the predominance of S-type units observed for NO in section 3.1.2. There was also contamination detection, revealed by the residual content of sugars at 1043 cm<sup>-1</sup>, which is assigned to C–O stretching in cellulose, hemicellulose, and lignin or C–O–C stretching in cellulose and hemicellulose.<sup>56,57</sup>

From the recorded spectra, it can be concluded that the KL is mainly composed of S-type units, along with some G-type units. The main functional groups identified in the lignin structure were hydroxyl and methyl.

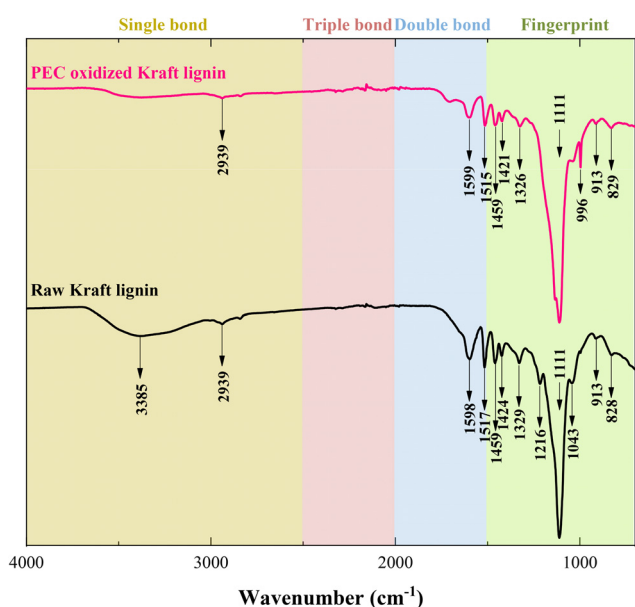
After lignin photoelectrocatalytic oxidation, the analysis of the FT-IR spectrum suggests that the lignin structure underwent complex chemical transformations that involved the modification and/or removal of –OH and C–O bonds, and structural rearrangement of lignin units (S-type and G-type), especially S-type ones. These are perceptible by analyzing the bands: (i) disappearance at 3385 cm<sup>-1</sup> and 1216 cm<sup>-1</sup>, (ii) appearance at 996 cm<sup>-1</sup>, and (iii) intensity increase at 1111 cm<sup>-1</sup>. Furthermore, the absence of a peak at ~1700 cm<sup>-1</sup> suggests that lignin was not transformed into C=O or carbonyl functional groups,<sup>59,60</sup> or that only low contents were produced.

## 3.2. TiO<sub>2</sub>:Nb photoanode characterization

**3.2.1. Physical characterization.** The thin films of the TiO<sub>2</sub>:Nb photocatalyst coated on FTO glass substrates were characterized in terms of morphological, structural, textural, and optical characteristics, as well as elemental composition, following SEM-EDX, XRD, XPS, and UV-Vis spectrophotometry. All these techniques were used to evaluate the differences between the unused (as-deposited) and used films after photoelectrocatalytic oxidation of lignin.

**3.2.1.1. Morphological and textural characteristics (SEM-EDX).** Fig. 3a.1, 2, 3b.1 and 2 present the SEM micrographs of TiO<sub>2</sub>:Nb thin films on FTO glass substrates, with the corresponding elemental mapping from EDX presented in Fig. 3a.3, 3b.3, and Table 3.

Before the utilization of TiO<sub>2</sub>:Nb photoanodes for lignin photoelectrocatalytic oxidation, the SEM micrographs show a well-adhered and dense semiconductor film with a rather



**Fig. 2** FT-IR spectra of Kraft lignin before (black line) and after (pink line) photoelectrocatalytic oxidation at ~0.8 V using TiO<sub>2</sub>:Nb photoanodes for 7 h. Each colored band represents a different region for the occurrence of specific chemical bonds.<sup>48–50</sup>



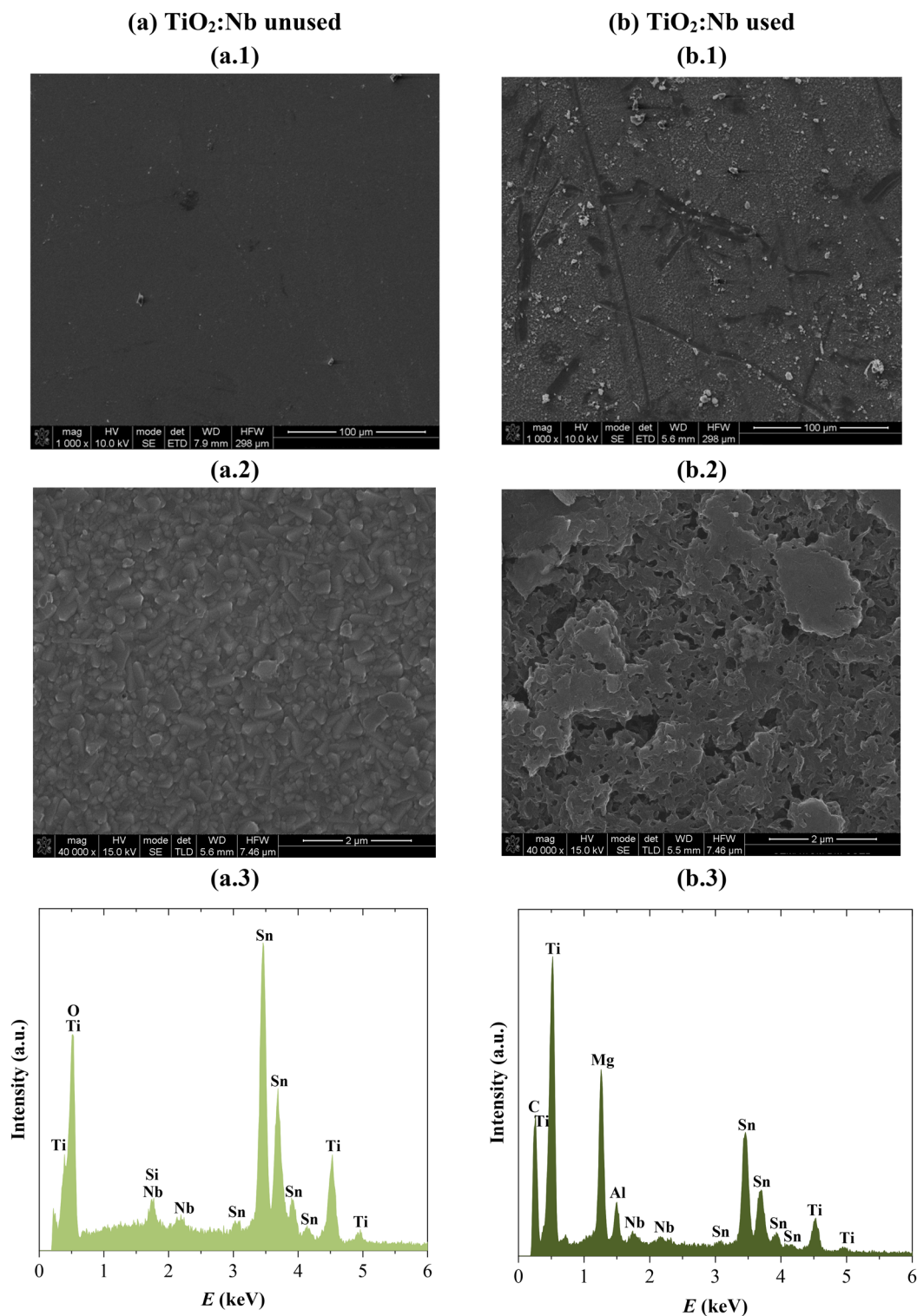


Fig. 3 SEM-EDX analysis of the (a) unused and (b) used  $\text{TiO}_2\text{:Nb}$  thin films.

Table 3 EDX analysis of the unused and used  $\text{TiO}_2\text{:Nb}$  thin films on FTO glass substrates

Sample	O (at%)	Sn (at%)	Ti (at%)	Si (at%)	Nb (at%)	C (at%)	Mg (at%)	Al (at%)
$\text{TiO}_2\text{:Nb}$ unused	58.5	26.7	12.2	1.9	0.7	0	0	0
$\text{TiO}_2\text{:Nb}$ used	51.2	8.5	3.6	0	0.4	23.9	10.4	2.0





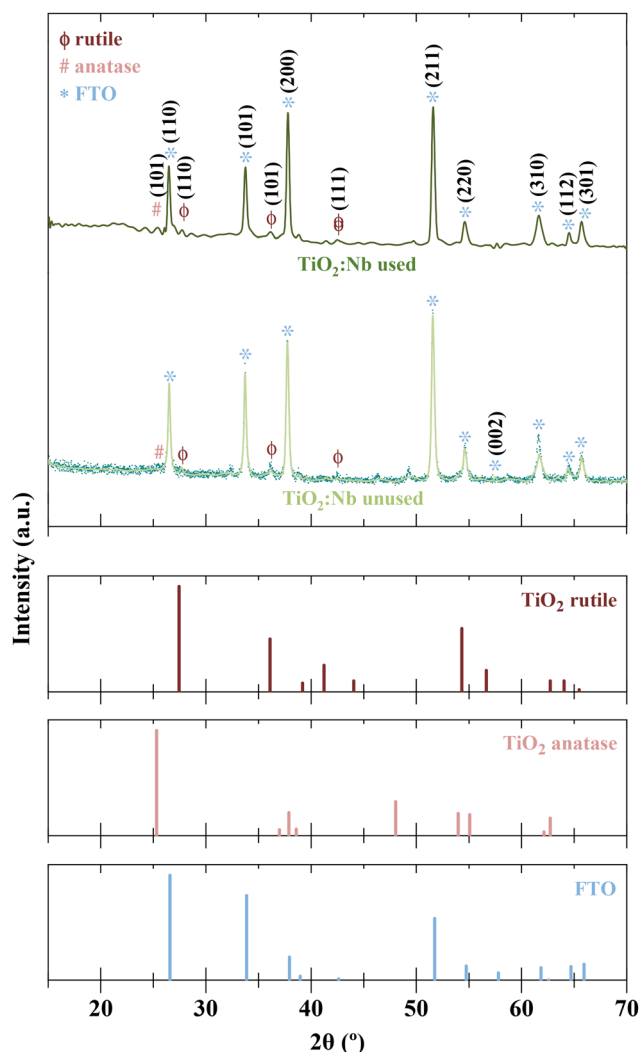
smooth texture and relatively uniform distribution with particle sizes below 1  $\mu\text{m}$ . The EDX results confirm that the primary elemental constituents are oxygen (O), tin (Sn), and titanium (Ti). Silicon (Si) and Nb are also found. The presence of Si may originate from the FTO glass substrate, possibly due to the beam spot incident on the sample edge of the FTO glass substrate during the EDX analysis.<sup>25</sup> The presence of Sn is likely attributed to the FTO coating itself. From the EDX analysis, 12.2 at% Ti and 0.7 at% Nb are detected, which is in agreement with other similar depositions,<sup>25</sup> and within the measurement error of Ti(96):Nb(4) wt% (2.1 at% of Nb).

The used  $\text{TiO}_2\text{:Nb}$  thin film has a different morphology. This is probably the result of the deposition of an organic film that mainly contains carbon (C) and magnesium (Mg) elements (Table 3), which probably resulted from lignin deposition or its LMPPs. Carbon comes from the aromatic ring structure,<sup>61</sup> while inorganic elements such as Mg and aluminum (Al) are present in the wood chips and dissolved into the KL.<sup>62</sup> This also explains the decrease in the quantification of elements with film usage: the deposition of an organic film hinders the quantification of the underneath film. The deposition of lignin and/or lignin derivatives on the  $\text{TiO}_2\text{:Nb}$  surface led to the formation of aggregated particles (average particle size  $>2\ \mu\text{m}$ ), as seen on the SEM micrograph. Oxygen remained stable because an oxidation process took place, which also led to the deposition of this element. The absence of silver (Ag) indicates that the painted conductive silver paste (section 2.3.1.) did not suffer any abrasion and remained stable.

**3.2.1.2. Crystallographic structure (XRD).** XRD data presented in Fig. 4 indicate no apparent differences between unused and used  $\text{TiO}_2\text{:Nb}$  thin films on the FTO glass substrates, confirming that both materials are composed of FTO and  $\text{TiO}_2$  in rutile and anatase phases. The highest intensity diffraction peaks are all related to FTO: (211) at  $2\theta = 51.6^\circ$ , (200) at  $2\theta = 37.8^\circ$ , (101) at  $2\theta = 33.6^\circ$ , and (110) at  $2\theta = 26.5^\circ$ . The presence of reflections from both (110) rutile and (101) anatase phases is expected, as previously reported by Ribeiro *et al.*<sup>25</sup> for reactive DC magnetron sputtering of Ti:Nb (96 : 4 wt%) at a 6.5–7.5 sccm of reactive oxygen flow rate.

Furthermore, XRD patterns reveal a preferred orientation, which agrees with that obtained from the Rietveld refinement (Table SI-3†) for the unused  $\text{TiO}_2\text{:Nb}$  thin film. The volume and the lattice parameters of the  $\text{TiO}_2\text{:Nb}$  unit cell parameters did not change significantly for the unused and used film. However, the Rietveld refinement shows less crystalline rutile peaks, and no anatase phase is discerned. The average crystallite size increased 3-fold after using the semiconductor film (50 nm vs. 150 nm). All these results corroborate that the deposition of an organic layer may be occurring.

**3.2.1.3. Surface composition (XPS).** To further investigate potential surface modifications, XPS analysis was conducted for the unused (Fig. 5) and used (Fig. 6)  $\text{TiO}_2\text{:Nb}$  thin films on the FTO glass substrates. It allows the determination of the chemical and structural information of the  $\text{TiO}_2\text{:Nb}$  film com-



**Fig. 4** XRD for the unused and used  $\text{TiO}_2\text{:Nb}$  thin films. The XRD patterns (upper graph) were compared with those of  $\text{TiO}_2$  rutile (ICDD PDF card 00-021-1276),  $\text{TiO}_2$  anatase (ICDD PDF card 00-064-0863), and  $\text{SnO}_2$  (FTO, ICDD PDF cards 00-046-1088 and 01-070-6995). Dotted values indicate experimental data and lines indicate Rietveld refined data.

position. Elemental composition quantification (Table 4) was derived from the XPS fits for the O 1s and C 1s singlets, and Nb 3d and Ti 2p doublet core levels with the respective fitting parameters listed in Tables SI-4, SI-5, SI-6, and SI-7,† respectively. For the used  $\text{TiO}_2\text{:Nb}$  thin film, XPS fits were not applicable to the doublets Ti 2p and Nb 3d, which did not allow for its quantification. The Lorentzian–Gaussian (L–S) relative profile was considered for the XPS fits in doublet peaks. Si traces were detected in the survey spectra due to the incidence of the beam spot on the sample border, as previously highlighted.

For the unused  $\text{TiO}_2\text{:Nb}$  thin film, the quantification of the elemental composition derived from the XPS fits (not considering C) for the Ti 2p, O 1s and Nb 3d core levels were as follows: 73 at% for O, 12% for Ti, and 0.7% for Nb. An over-stoichio-

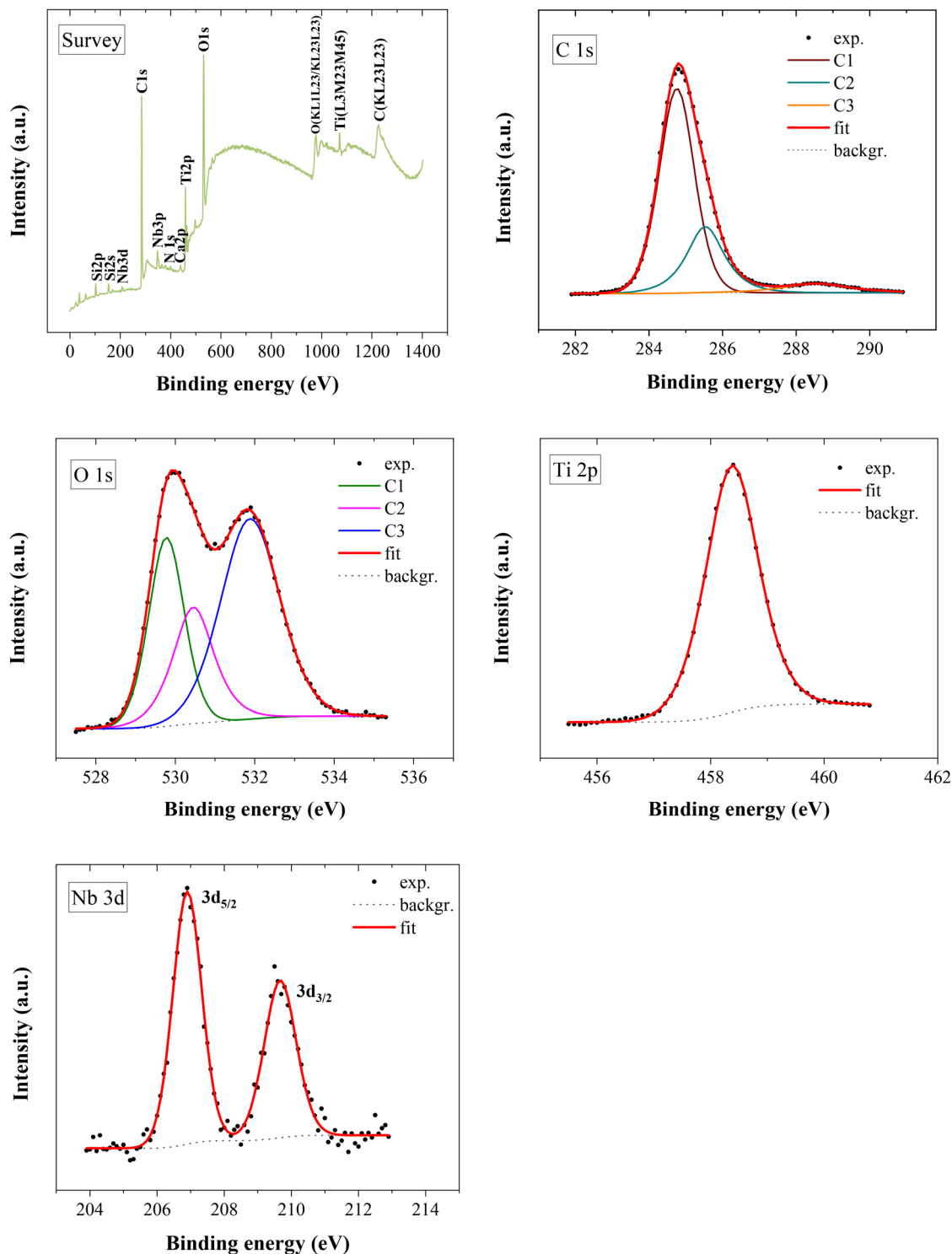


Fig. 5 XPS spectra with the respective survey scan and XPS fits for the C 1s and O 1s core lines for the unused  $\text{TiO}_2\text{:Nb}$  thin film.

metry in oxygen occurred for the  $\text{TiO}_2\text{:Nb}$  thin film (1:6), explaining the higher quantification of oxygen than expected (73% vs. 66%).<sup>25</sup>

On analyzing the O 1s core level, the fit of the  $\text{TiO}_2\text{:Nb}$  thin films included three components – C1, C2, and C3

(Table SI-4,<sup>†</sup> and Fig. 5 and 6). For the unused film, these are associated with Ti–O bonds (C1), Nb–O bonds and defective oxygen (vacancies) (C2), and surface adsorbed oxygen and hydroxide impurities (C3).<sup>25</sup> For the used film, the contribution C1 (531.6 eV) is attributed to organic C=O bonds, C2



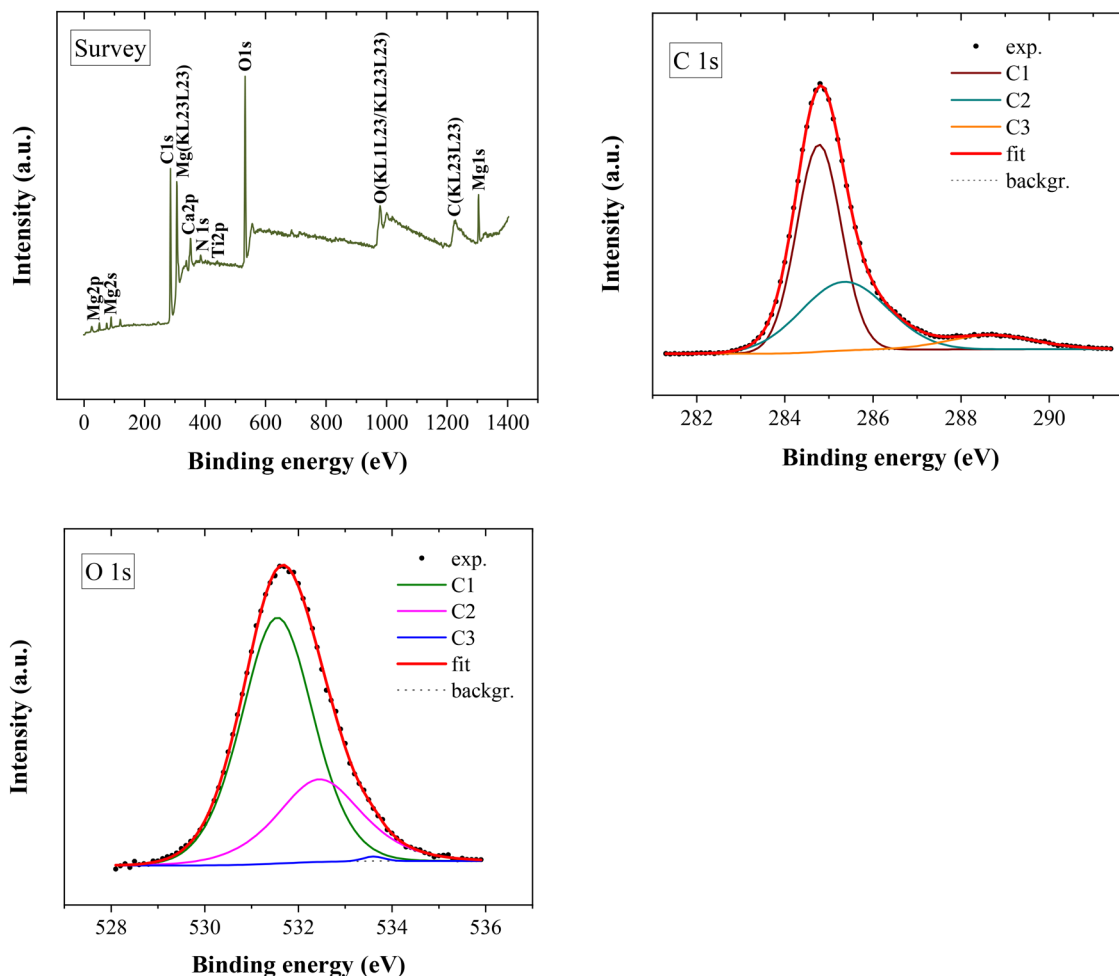


Fig. 6 XPS spectra with the respective survey scan and XPS fits for the C 1s and O 1s core lines for the used  $\text{TiO}_2\text{:Nb}$  thin film.

**Table 4** Elemental composition of the unused and used  $\text{TiO}_2\text{:Nb}$  thin films derived from the XPS fits

Sample	C (at%)	O (at%)	Ti (at%)	Nb (at%)	Si (at%)
$\text{TiO}_2\text{:Nb}$ unused	59	30	5.0	0.3	4.9
$\text{TiO}_2\text{:Nb}$ used	63	37	0	0	0.1

(532.5 eV) is ascribed to aliphatic C=O bonds or esters (C–O–C), and C3 (533.6 eV) is associated with aromatic and aliphatic C=O–O\* bonds.<sup>63</sup> These organic bonds indicate surface-adsorbed organic compounds, probably lignin along with LMPPs. Contributions C2 and C3 (532–534 eV) can also be related to surface-adsorbed oxygen and hydroxide, and metal carbonates. Surface-adsorbed species such as Ti–OH might have been adsorbed from water, and metal carbonates from  $\text{CO}_2$  reaction with air.<sup>64</sup> The area ratio between C1 and C2 is approximately 60 : 40% for the unused  $\text{TiO}_2\text{:Nb}$  thin film, and it increases to almost 70 : 30% for the used film.

A detectable quantity of C 1s arises from adventitious carbon contamination, which results from sample exposition

to the atmosphere and is a commonly used charge reference for XPS spectra. Although carbon can also come from polymer compounds, core binding energies for adventitious carbon contamination (284.8–288.5 eV) and carbon polymers (284.8–290 eV) are very close.<sup>65</sup> Hence, a definitive identification of the carbon species cannot be determined.

For the unused  $\text{TiO}_2\text{:Nb}$  thin film, a spin–orbital (L–S) splitting with 2.8 eV of width is observed for the Nb 3d core level (Table SI-6†), which is in agreement with the literature.<sup>25,66</sup> The peak position of Ti 2p<sub>3/2</sub> in Fig. 5 and Table SI-7† corresponds to that of the  $\text{Ti}^{4+}$  oxidation state.<sup>66,67</sup> The shape of the Ti 2p excludes the presence of traceable amounts of  $\text{Ti}^{3+}$ ,  $\text{Ti}^{2+}$ , and  $\text{Ti}^0$ ,<sup>68</sup> as no other peaks are perceptible. The binding energies associated with Ti 2p (458–462 eV; Table SI-7†) and Nb 3d (207–213 eV; Table SI-6†) are indicative of Ti–O and Nb–O bonds, respectively. No Ti–Ti or Nb–Nb metal bonds are present, as no binding energies at 454.1 eV and 202.4 eV, respectively, occur (Fig. 5 and 6, spectra).<sup>65</sup> This indicates that the  $\text{TiO}_2$  films were effectively doped with Nb. After the use of  $\text{TiO}_2\text{:Nb}$  in photoelectrocatalytic lignin oxidation, the metal elements (Ti, Nb, and Si) were not possible to be quantified, which indicates the deposition of a layer, probably lignin and/



or lignin LMPPs. Moreover, Mg and calcium (Ca) traces were noticed (Fig. 6, survey), which could have arisen from lignin itself. As the wood chips can contain inorganic species, these might not be completely removed upon lignin precipitation and washing.<sup>62</sup>

**3.2.1.4. Optical properties.** Fig. 7 presents the UV-Vis spectrometry, and the respective Tauc plot for the TiO<sub>2</sub>:Nb thin films on the FTO glass substrates before and after usage for photoelectrocatalytic oxidation of lignin. The film thickness was determined to be around 300 nm.<sup>25</sup>

The semiconductor film not subjected to lignin photoelectrocatalytic oxidation presents higher values of both transmittance and reflectance when compared to the used material by 1.3- and 1.5-fold, respectively. The observed reflectance signal oscillations arise from the multiple internal light reflections in the film, which is an effect of the film thickness.<sup>25</sup>

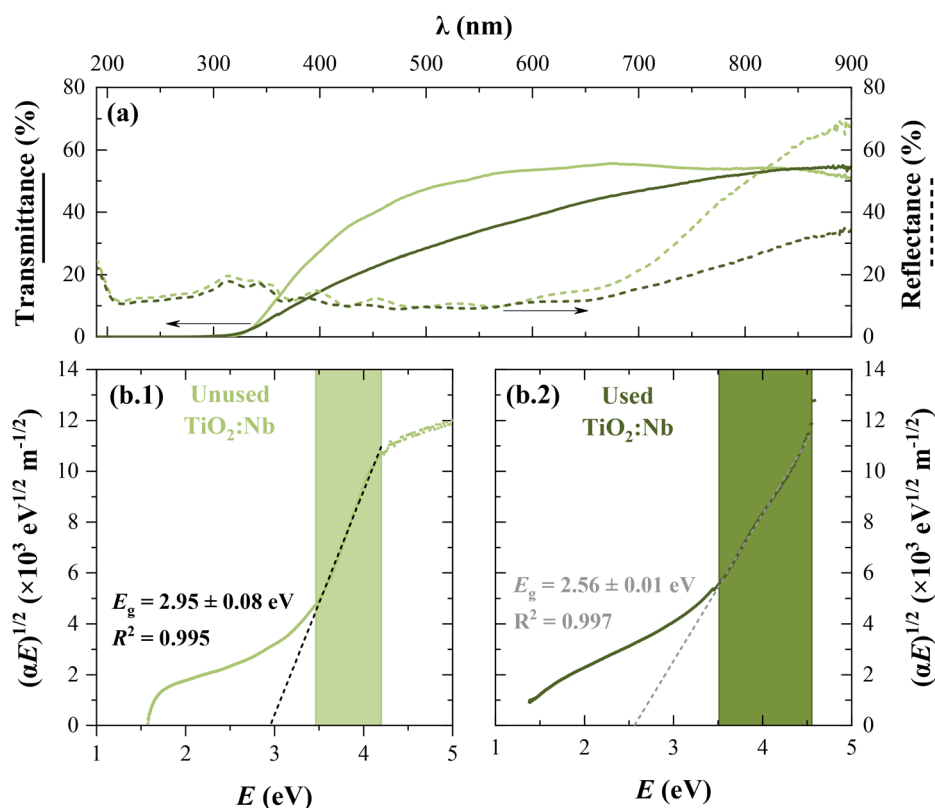
For  $\lambda = 365$  nm, transmittance and reflectance values of 17% and 12% are obtained for the unused TiO<sub>2</sub>:Nb thin film, respectively, and of 9% and 12% for the used one, respectively. Overall, the higher absorption capability (and lower transmittance) of the used TiO<sub>2</sub>:Nb thin film agrees with the results obtained in the previous sections, where there has been an indication of film adsorption on the TiO<sub>2</sub>:Nb surface. These differences lead to the consideration that there are

changes in the  $\alpha$  value and, as a result, in the  $E_g$  value (derived from Tauc plots – Fig. 7b). As expected, a higher  $E_g$  value is obtained for the unused TiO<sub>2</sub>:Nb thin film ( $2.95 \pm 0.08$  eV) when compared to the used one ( $2.56 \pm 0.01$  eV). However, the attained  $E_g$  value is far from the expected value of  $\sim 3.24$  eV reported by Ribeiro *et al.*<sup>25</sup> for the as-deposited TiO<sub>2</sub>:Nb thin film with a 7.0 sccm oxygen flow rate for 5 min. As the  $E_g$  values for the TiO<sub>2</sub> phases are different (3.2 eV for anatase and 3.0 eV for rutile),<sup>69</sup> this observed difference could be explained by the diffraction peaks identified in section 3.2.1.2. As  $\sim 41$  wt% of rutile is observed *vs.* only  $\sim 5$  wt% of anatase, an  $E_g$  value closer to that of rutile is expected. Ribeiro *et al.*<sup>25</sup> observed that increasing reactive oxygen flow rates improved the  $E_g$  value due to enhancement of the anatase phase crystallization, which was not observed here.

Upon the use of the TiO<sub>2</sub>:Nb thin film, the  $E_g$  value significantly decreased by 0.39 eV ( $2.56 \pm 0.01$  eV – Fig. 7b.2). This band-gap narrowing could be due to carbon adsorption on the surface, and incorporation into the titania lattice, shifting the conduction band (CB) of TiO<sub>2</sub> and introducing surface states near the valence band (VB) of the semiconductor, leading to a reduced band-gap.<sup>70–72</sup>

### 3.2.2. (Photo)electrochemical characterization

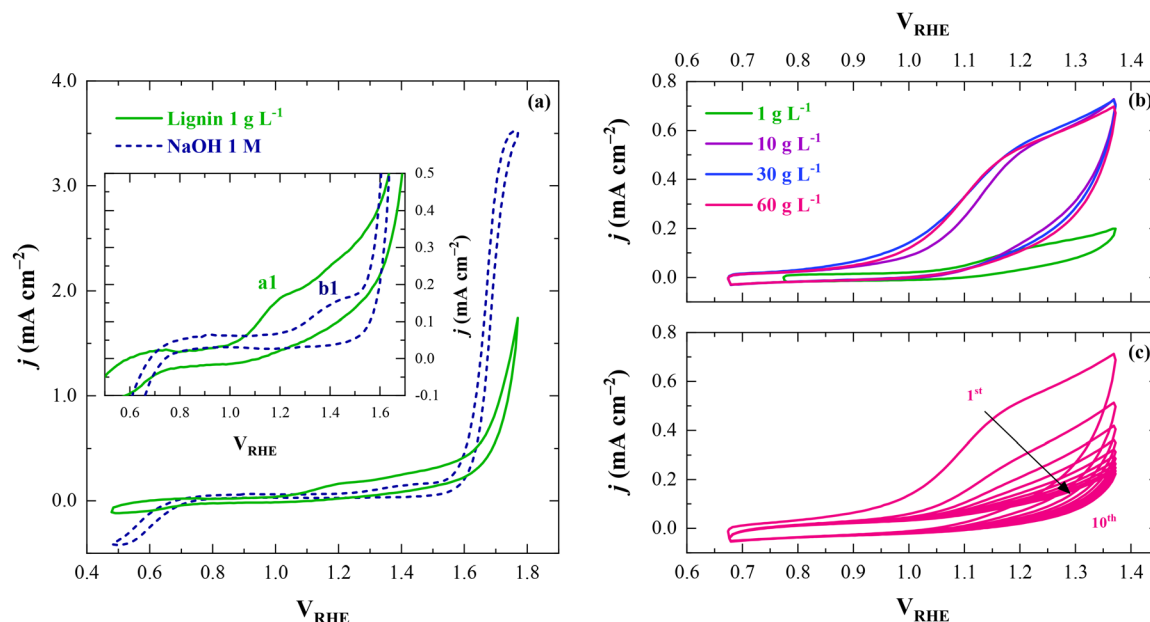
**3.2.2.1. Lignin.** Fig. 8 shows the CV analysis conducted in a three-electrode glass cell equipped with a glassy carbon



**Fig. 7** Representations of the (a) optical transmittance (solid lines) and reflectance (dashed lines) for unused (light green) and used (dark green) TiO<sub>2</sub>:Nb thin film photoanodes over the wavelength ( $\lambda$ ), and (b) Tauc plots for the optical band-gap energy ( $E_g$ ) determination for (b.1) unused and (b.2) used TiO<sub>2</sub>:Nb thin film photoanodes, respectively, with 300 nm thickness.







**Fig. 8** Cyclic voltammograms acquired in a three-electrode glass cell with a glassy carbon electrode acting as the working electrode at a scan rate of  $100 \text{ mV s}^{-1}$  for (a)  $1 \text{ M NaOH}$  ( $\text{pH} = 13.2$ ) and  $1 \text{ g L}^{-1}$  lignin ( $\text{pH} = 13.2$ ) aqueous solutions, (b) lignin aqueous solutions at different concentrations ( $\text{pH} = 13.2$ ), and (c)  $60 \text{ g L}^{-1}$  lignin ( $\text{pH} = 13.2$ ) aqueous solution over 10 consecutive cycles.

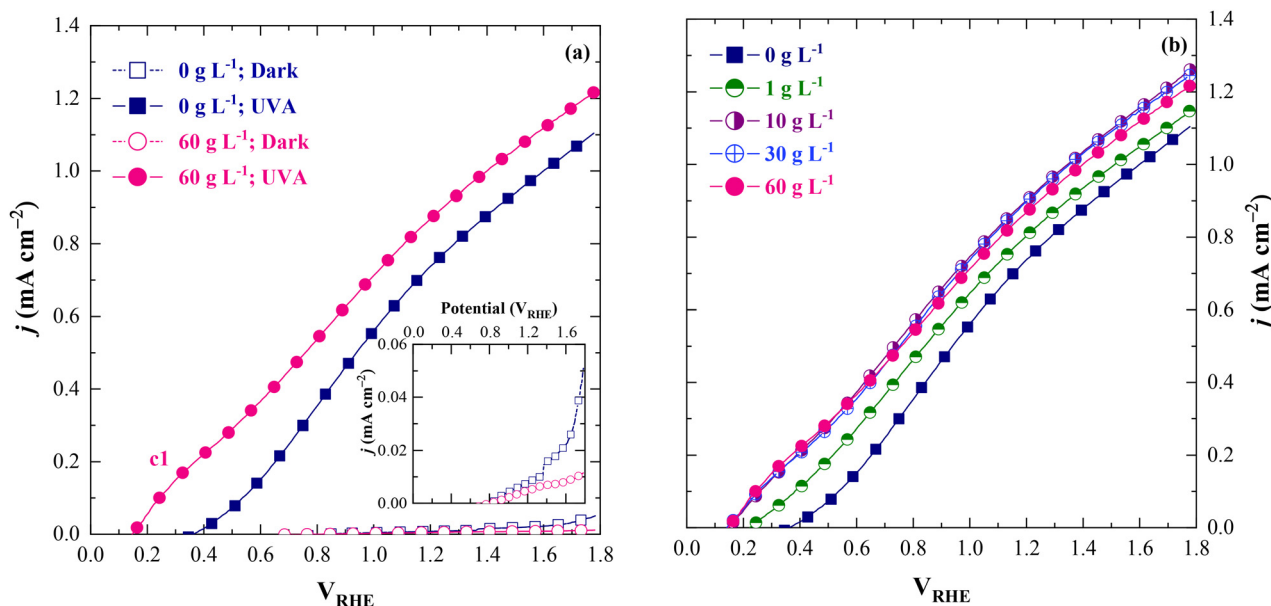
working electrode to study the electrochemical behavior of lignin aqueous solutions ( $0 \text{ g L}^{-1}$  to  $60 \text{ g L}^{-1}$  in  $1 \text{ M NaOH}$ ).

The wide CV scan displayed in Fig. 8a allows the identification of the onset potentials for the oxygen evolution reaction (OER) and the hydrogen evolution reaction (HER):  $\sim 1.60 \text{ V}_{\text{RHE}}$  and  $\sim 0.60 \text{ V}_{\text{RHE}}$ , respectively. This agrees with the reported bibliography on glassy carbon electrodes tested in highly-alkaline environments.<sup>73</sup> An anodic peak (b1) can be observed in the absence of lignin at  $\sim 1.40 \text{ V}_{\text{RHE}}$  (with onset at  $\sim 1.30 \text{ V}_{\text{RHE}}$ ). This signal can be associated with carbon oxidation,<sup>74</sup> but given its small peak  $j$ , it did not significantly interfere in the attempts to identify other oxidation reactions. After adding  $1 \text{ g L}^{-1}$  lignin, an oxidation peak appeared at  $\sim 1.20 \text{ V}_{\text{RHE}}$  (a1), the oxygen evolution potential (OEP) slightly shifted to more cathodic potentials ( $\sim 1.50 \text{ V}_{\text{RHE}}$ ), and the OER kinetics decreased. In the potential range of  $1.10$ – $1.60 \text{ V}_{\text{RHE}}$  (Fig. 8a, inset), a higher current response was obtained when compared with the scenario in the absence of lignin. This indicates that lignin electro-oxidation is thermodynamically more favorable than the OER.<sup>75</sup> This anodic peak at  $\sim 1.20 \text{ V}_{\text{RHE}}$  can likely be attributed to the electro-oxidation of lignin on the glassy carbon electrode. Previous studies have reported that lignin electro-oxidation occurs at potentials below that for the OER.<sup>76</sup> The CV curves show no traces of a corresponding reduction peak, demonstrating that lignin electro-oxidation is an irreversible reaction.<sup>1,77,78</sup>

Fig. 8b shows a linear correlation ( $R^2 = 0.995$ ) between the lignin concentration and  $j$  up to  $10 \text{ g L}^{-1}$  of lignin, together with growing current responses up to  $30 \text{ g L}^{-1}$  of lignin. From  $30 \text{ g L}^{-1}$  of lignin, a current density plateau was observed. These results suggest that lignin underwent direct charge

transfer oxidation at the glassy carbon electrode surface, and also indicate that (i) electrode surface poisoning may have occurred due to the adsorption of lignin and LMPPs, as supported by SEM and XPS analyses, (ii) the electrode surface may have become saturated with lignin, and/or (iii) the reaction rate may have become limited by mass transport of lignin to the electrode surface. The application of 10 consecutive CV cycles (Fig. 8c) at a constant lignin concentration resulted in a gradual decrease in  $j$ , which can be attributed to the deactivation of the surface of the glassy carbon electrode due to lignin and LMPP adsorption. Thus, the electrode surface poisoning may have played a major role. Note that despite the occurrence of electrode surface poisoning, the electrode activity was fully restored after a simple physical cleaning procedure involving rinsing with water.

**3.2.2.2.  $\text{TiO}_2\text{:Nb}$  photoanodes.** Fig. 9 shows the LSV analysis carried out to study the (photo)electrochemical behavior of the fabricated  $\text{TiO}_2\text{:Nb}$  photoanodes in the absence and presence of lignin.  $j$ - $V$  curves under dark conditions showed a current increase for a potential higher than  $\sim 1.60 \text{ V}_{\text{RHE}}$  for  $1 \text{ M NaOH}$  ( $0 \text{ g L}^{-1}$  lignin), and  $\sim 1.50 \text{ V}_{\text{RHE}}$  for  $60 \text{ g L}^{-1}$  lignin. These onset potentials of dark current indicate the occurrence of the OER. Under light conditions, the onset potential of the  $j$ - $V$  curves was  $\sim 0.5 \text{ V}_{\text{RHE}}$  for  $1 \text{ M NaOH}$  and  $\sim 0.20 \text{ V}_{\text{RHE}}$  for  $60 \text{ g L}^{-1}$  lignin (Fig. 9a). An anodic oxidation peak/wave (c1) seems to be occurring at  $\sim 0.2$ – $0.5 \text{ V}_{\text{RHE}}$  in the presence of lignin (Fig. 9a), which can be ascribed to its oxidation. Nevertheless, the shape of the  $j$ - $V$  curves in Fig. 9b suggests an overlap between these two mechanisms: lignin oxidation and the OER. Upon increasing the lignin concentration (from  $0 \text{ g L}^{-1}$  to  $10 \text{ g L}^{-1}$ ), the  $j$  value also increased at



**Fig. 9**  $J$ - $V$  characteristic curves acquired in a three-electrode "Cappuccino" cell with the fabricated  $\text{TiO}_2\text{:Nb}$  photoanodes acting as a working electrode at a scan rate of  $10 \text{ mV s}^{-1}$  for (a) 1 M NaOH (pH = 13.2) and  $60 \text{ g L}^{-1}$  lignin (pH = 13.2) aqueous solutions under dark and light conditions, and (b) lignin aqueous solutions at different concentrations (pH = 13.2) under light conditions.

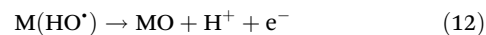
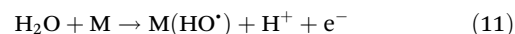
$\sim 0.2$ – $0.5 V_{RHE}$ , although not linearly. From  $10 \text{ g L}^{-1}$  onwards, no perceptible differences in  $j$  occurred. This trend is consistent with that observed for the glassy carbon electrode. It indicates that lignin likely underwent direct charge transfer oxidation at the  $\text{TiO}_2\text{:Nb}$  photoanode, and that more evident limiting factors in the electrochemical process may have emerged at higher lignin concentrations, including electrode surface poisoning due to lignin and lignin-derived product adsorption, electrode surface saturation, and mass transport limitations. Once again, the photoelectrode activity was completely restored following a simple physical cleaning procedure that involved rinsing with water.

### 3.3. Photoelectrocatalytic oxidation of lignin to value-added products

Lignin oxidation trials were conducted with an initial lignin content of  $60 \text{ g L}^{-1}$  to minimize errors in the HPLC analysis when low yields of LMPPs were generated, while minimizing mass transfer limitations of lignin to the  $\text{TiO}_2\text{:Nb}$  photoanode surface. The lignin oxidation by PEC (using a two-electrode setup) was assessed for different applied constant  $E_{cell}$  values between  $\sim 0 \text{ V}$  and  $\sim 2.5 \text{ V}$ . The generation of LMPPs was not detected in the blank trial (without the application of  $E_{cell}$  or illumination).

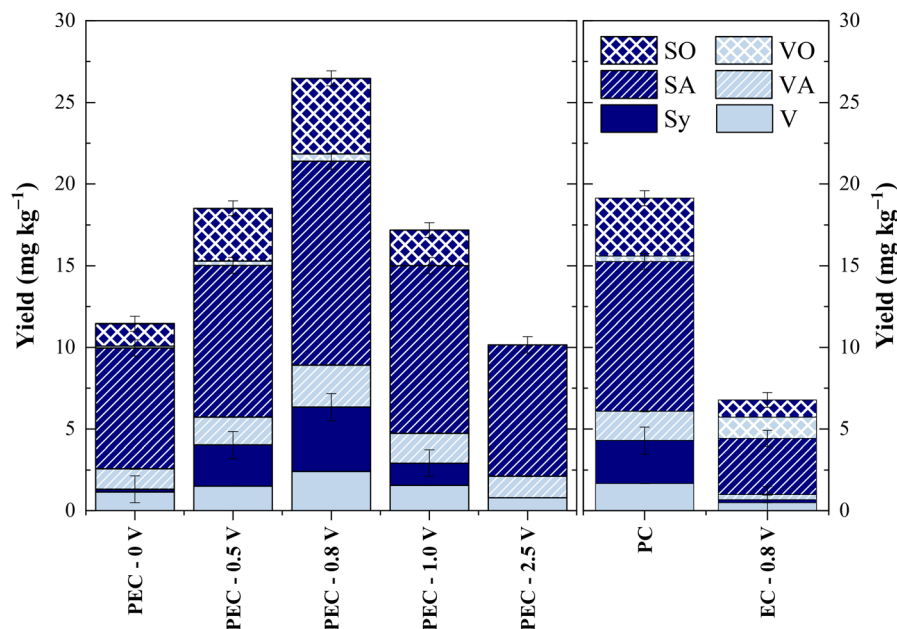
The content of each LMPP was assessed over time for PEC at different applied  $E_{cell}$  values. The results are available in Fig. SI-2.† The content of most LMPPs increased over time regardless of the  $E_{cell}$  value, reaching a maximum content after 7 h of reaction. Based on these kinetic profiles, yields of LMPPs were assessed in detail after 7 h of reaction and are presented in Fig. 10.

For the PEC process, the increase of the applied  $E_{cell}$  from  $\sim 0 \text{ V}$  to  $\sim 0.8 \text{ V}$  resulted in increased yields of LMPPs. This positive effect can be attributed to enhanced direct oxidation of lignin on the surface of the  $\text{TiO}_2\text{:Nb}$  photoanode at a higher applied  $E_{cell}$  value. It was previously reported that lignin serves as an electron donor through O–H bond breaking, producing protons ( $\text{H}^+$ ) and consuming photogenerated  $\text{h}^+$ .<sup>3</sup> For applied  $E_{cell} > 0.8 \text{ V}$ , the yields of LMPPs decreased, most likely due to the generation of strong oxidative reactive oxygen species (ROS) able to overoxidize lignin. ROS such as the hydroxyl radicals ( $\text{HO}^\bullet$ ) can adsorb on the  $\text{TiO}_2\text{:Nb}$  surface (M), forming the so-called superoxide  $\text{MO}$  via eqn (11) and (12).<sup>79</sup> The redox couple  $\text{MO}/\text{M}$  can act as a mediator in the EC process, unselectively oxidizing lignin and the formed LMPPs. Other ROS such as the superoxide radical ( $\text{O}_2^{\bullet-}$ ), hydroperoxyl radical ( $\text{HO}_2^\bullet$ ), and hydrogen peroxide ( $\text{H}_2\text{O}_2$ ) can also be formed.<sup>79</sup>



Regarding the nature of the LMPPs formed, S-type units were predominant, being produced in a higher amount than G-type ones, with no H-type units being detected. This agrees with the expected generation of LMPPs from hardwood Kraft lignin oxidation. Even though the FT-IR analysis does not show C=O bond stretching (section 3.1.3.), the modification/elimination of C–O bonds and structural rearrangement of lignin units corroborate the obtained results. The absence of bond stretching might be the result of the low obtained yields. LMPPs can be arranged in the following order with respect to the yield of their generation upon the application of PEC at a constant  $E_{cell}$  of  $\sim 0.8 \text{ V}$ : SA > SO > Sy > VA > V > VO. Regardless





**Fig. 10** Yields of combined LMPPs after 7 h of reaction for lignin oxidation using the photoelectrocatalysis (PEC) process at different applied cell potentials, and photocatalysis (PC) and electrocatalysis (EC) processes at  $\sim 0.8$  V. Conditions:  $\text{TiO}_2\text{:Nb}$  (photo)anode/photocatalyst;  $[\text{NaOH}] = 1.0$  M;  $[\text{lignin}]_0 = 60$  g  $\text{L}^{-1}$ ;  $T = 25 \pm 1$   $^\circ\text{C}$ ;  $\text{Re} = 1750$ ; a UVA-LED illumination system employed in PEC and PC processes ( $\lambda = 365$  nm, irradiance =  $120 \pm 10$   $\text{mW cm}^{-2}$ ) under BSL. All tests were performed in duplicate. Error bars represent mean errors. Legend: V – vanillin, Sy – syringaldehyde, VA – vanillic acid, SA – syringic acid, VO – acetovanillone, and SO – acetosyringone. *p*-Hydroxybenzaldehyde (pHy) was not detected.

of the unit type, aromatic acids were always generated in higher amounts compared to aldehydes and ketones. This can be attributed to the continuous oxidation of lignin to the aromatic acid forms (SA and VA). The lower yields of aromatic aldehydes and ketones may be due to their overoxidation, as other authors have previously reported.<sup>80,81</sup> To further evaluate the aldehyde overoxidation hypothesis, the analyses of process selectivity to aldehyde generation were conducted. This was performed by examining the V/VA and Sy/SA ratios. The higher the ratios, the higher the selectivity towards aldehydes. Ratios of  $2.7 \pm 0.2$  for V/VA and  $5.1 \pm 0.2$  for Sy/SA were achieved at the beginning ( $t = 0$  h) of all the experiments. Apart from EC at  $\sim 0.8$  V, all other experiments resulted in a decrease of selectivity of  $\sim 2$ -fold for V/VA and of  $\sim 5$ -fold for Sy/SA after 7 h of reaction time. This indicates that the generated aromatic acids (VA and SA) are likely products of further oxidation of the corresponding aldehydes, as previously stated. For the EC process, the decrease in selectivity was only 1-fold (V/VA) and 2.5-fold (Sy/SA), indicating a higher effect of  $\text{h}^+$  on C–O bond oxidation to C=O. A higher decrease is expected for S-type units, as they are more reactive.

For an applied  $E_{\text{cell}}$  of  $\sim 0.8$  V, the obtained results demonstrate a cumulative effect of the PC and EC processes on the overall PEC process (Fig. 10). Total yields of  $19 \pm 1$   $\text{mg kg}^{-1}$  and  $7 \pm 2$   $\text{mg kg}^{-1}$  were obtained for PC and EC, respectively, while the PEC process afforded a total yield of  $26 \pm 1$   $\text{mg kg}^{-1}$ . The absence of synergistic effects of EC and PC on PEC might indicate that (i) charge carrier separation was not optimized, highlighting the complexity of achieving synergistic effects for

PEC processes, and/or (ii) lignin might have a high propensity to react quickly with generated  $\text{h}^+$  and/or ROS. The higher efficiency of the PC process compared to the EC process can be related to a higher ability of  $\text{h}^+$  to oxidize lignin compared to electro-oxidation mechanisms.

The generation of LMPPs from lignin (photo)electro-oxidation was then analyzed as a function of the total consumed charge and ECo. (Table SI-8†). The highest yield was obtained after a consumed charge of  $5$   $\text{C g}_{\text{lignin}}^{-1}$  and an ECo. of  $0.06$   $\text{kW h m}^{-3}$  for the PEC process at a constant  $E_{\text{cell}}$  of  $\sim 0.8$  V. For PEC at  $E_{\text{cell}} > 0.8$  V, the increase in charge and electrical consumption did not translate into a higher yield of LMPPs. This might be explained by the higher competition with the OER along with an increasing LMPP overoxidation degree. The AQY for the total LMPPs obtained under the PEC process at  $\sim 0.8$  V was 0.0016%.

## 4. Conclusions

The fabricated  $\text{TiO}_2\text{:Nb}$  photoanode was able to photoelectrooxidize lignin, with direct charge transfer playing a crucial role. However, the adsorption of lignin and lignin-derived products on the photoanode surface occurred immediately after the beginning of the reaction, affecting its activity. The PEC process was responsible for the modification/elimination of C–O bonds, and the structural rearrangement of lignin units. Applying the PEC process at  $\sim 0.8$  V provided the highest yield of LMPPs –  $26 \pm 1$   $\text{mg kg}^{-1}$  after 7 h of reaction with a con-



sumed charge of 5 C g<sub>Lignin</sub><sup>-1</sup>. The yield of the PEC process resulted from the cumulative effects of the yields from the PC and EC processes. S-type units were predominant, with no H-type units detected, consistent with the expected formation of LMPPs from the oxidation of hardwood KL. Aromatic acids were the predominant LMPPs generated, and their formation can be attributed to the overoxidation of aldehydes. The maximum yield of LMPPs was low, highlighting the need for further developments in the PEC technology applied to lignin oxidation.

## Author contributions

Daniela F. S. Morais: data curation, investigation, methodology, visualization, and writing – original draft; Luiza M. G. Sena: investigation; Joana M. Ribeiro: investigation; Telmo da Silva Lopes: conceptualization, investigation, and writing – review & editing; Paula Dias: conceptualization, supervision, and writing – review & editing; Adélio Mendes: supervision; Carina A. E. Costa: investigation, methodology, and writing – review & editing; Alírio E. Rodrigues: supervision; Susana R. S. Pereira: resources; Paula C. Pinto: resources; Rui A. R. Boaventura: writing – review & editing; Carlos J. Tavares: supervision and writing – review & editing; Vítor J. P. Vilar: conceptualization, funding acquisition, supervision, and writing – review & editing; Francisca C. Moreira: conceptualization, funding acquisition, methodology, project administration, supervision, and writing – review & editing.

## Data availability

The data supporting this article have been included as part of the ESI.†

## Conflicts of interest

There are no conflicts of interest to declare.

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147221/2019 – <https://doi.org/10.54499/SFRH/BD/147221/2019> and SFRH/BD/147426/2019 – <https://doi.org/10.54499/SFRH/BD/147426/2019>, respectively). Paula Dias, Vítor J. P. Vilar, and Francisca C. Moreira acknowledge the FCT Individual Call to Scientific Employment Stimulus (CEECIND/02862/2018, CEECIND/01317/2017 (<https://doi.org/10.54499/CEECIND/01317/2017/CP1399/CT0010>), and 2023.07337.CEECIND (<https://doi.org/10.54499/2023.07337.CEECIND/CP2834/CT0009>, respectively). Carlos J. Tavares acknowledges the funding from FCT through the Strategic Funds project reference UIDB/04650/2020-2023.

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