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## Selective electroreduction of CO<sub>2</sub> to formate by a heterogenized Ir complex using H<sub>2</sub>O as an electron/hydrogen source†

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A newly synthesized tetradentate PNNP-coordinated iridium (Ir) complex, Mes-IrPPH<sub>2</sub>, immobilized on a carbon material, was found to be a superior catalyst for CO<sub>2</sub> electrochemical reduction reaction (CO<sub>2</sub>ERR) to give formate, (HCOO<sup>-</sup>), allowing an operation near the theoretical potential (−0.18 V vs. RHE, pH = 7.3) in water. The combined [Mes-IrPPH<sub>2</sub>] electrode furnished HCOO<sup>-</sup> with a current density of greater than 2.2 to 7.7 mA cm<sup>-2</sup> over −0.27 to −0.47 V vs. RHE, providing faradaic efficiencies (FE) of >90%. The outstanding robustness of the electrode attained continuous production of HCOO<sup>-</sup> up to 12.5 mmol with 2.86 μmol of Mes-IrPPH<sub>2</sub> at −0.27 V vs. RHE over 168 h. Furthermore, solar-driven electrochemical CO<sub>2</sub> reduction to HCOO<sup>-</sup> was also carried out in water with a Ni/Fe–Ni foam anode as a water oxidation catalyst and a silicon photovoltaic cell to achieve a solar-to-formate conversion efficiency ( $\eta_{STF}$ ) of 13.7%.

### Broader context

The electrochemical reduction of carbon dioxide (CO<sub>2</sub>) has emerged as a crucial strategy for reducing global carbon emissions and advancing sustainable energy solutions. Utilizing water as an electron donor for CO<sub>2</sub> fixation offers a practical approach, particularly in the realm of renewable energy storage. Enhancing CO<sub>2</sub> reduction efficiency can be achieved through the heterogenization of molecular catalysts onto electrode surfaces. However, a significant challenge remains: the long-term durability of electrochemical CO<sub>2</sub> reduction in aqueous solutions, which often deteriorates after only a few hours. In this study, we present a novel Ir complex immobilized on a carbon material electrode to achieve both efficient and durable CO<sub>2</sub> reduction. The heterogenization of the Ir complex notably reduces the overpotential and yields formate as the main product with a high faradaic efficiency. Remarkably, the catalyst maintains its activity for a week, demonstrating exceptional long-term stability. It is worth noting here that, when the Ir electrode was employed in solar-driven electrochemical CO<sub>2</sub> reduction, the solar-to-formate conversion efficiency of 13.7% was achieved, highlighting its potential for scalable practical/industrial applications.

## Introduction

The electrochemical reduction of carbon dioxide (CO<sub>2</sub>) has received significant attention in recent years due to the growing demand for carbon-neutral energy carriers for the storage of renewable energy.<sup>1</sup> Reduction of CO<sub>2</sub> by utilizing water as an electron/hydrogen donor for the fixation of CO<sub>2</sub> is an ideal process for practical use. Thus, the development of catalysts for CO<sub>2</sub> reduction in aqueous solutions is the key to realizing this noble technology.<sup>2</sup> Various materials, including semiconductors and molecular metal complexes, have been studied for photoelectrochemical<sup>3,4</sup> and electrochemical<sup>5–7</sup> reduction of CO<sub>2</sub> to desired materials such as carbon monoxide (CO), formic

acid, methanol, methane, and C<sub>2</sub>+ compounds. Despite substantial efforts on the development of the CO<sub>2</sub> electrochemical reduction reaction (CO<sub>2</sub>ERR), (i) low energetic efficiency requiring a large overpotential, (ii) poor selectivity for carbonaceous products in water originating from low solubility of CO<sub>2</sub>, (iii) the competitive hydrogen evolution reaction (HER) and (iv) deactivation of the catalysts restrict this technology from practical use.<sup>8</sup>

Heterogenization of molecular catalysts on electrode surfaces has emerged as a promising approach in terms of lower catalyst loading and improving electron transfer efficiency.<sup>9,10</sup> Significant progress has been made in the functionalization of carbon surfaces of electrodes to anchor molecular catalysts as CO<sub>2</sub>ERR electrocatalysts.<sup>11–13</sup> However, in many cases, the CO<sub>2</sub>ERR in an aqueous solution halted after no more than a few hours, and thus, its long-term durability has remained a significant challenge. Solar-driven CO<sub>2</sub> conversion to formate

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( $\text{HCOO}^-$ ) has also been carried out to achieve electrochemical  $\text{CO}_2$  reduction using heterogenized metal complexes in combination with photovoltaic cells.<sup>14–20</sup> Although their solar-to-electricity conversion efficiencies are usually lower than those of the GaAs-based photovoltaic cells, silicon is known to be a more suitable material for photovoltaic cells owing to their higher cost performance. To improve solar-to-formate conversion efficiency, the cell voltage between the two poles must be reduced, and it is essential to develop an efficient electrocatalyst that can operate at a very low overpotential.

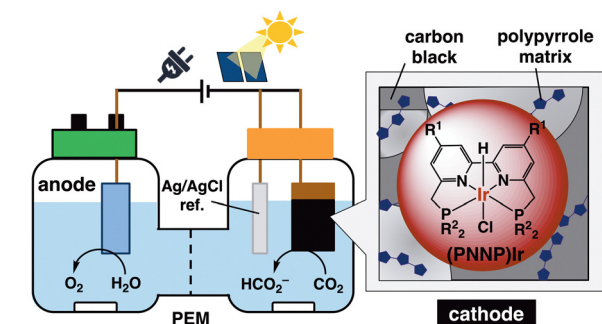
We recently reported tetradentate PNNP-coordinated iridium ((PNNP)Ir) complexes as efficient and robust photocatalysts to selectively reduce  $\text{CO}_2$  to formic acid with high reactivity in an organic solvent (with a small amount of  $\text{H}_2\text{O}$ ).<sup>21,22</sup> Herein, the Ir complexes were successfully exploited to catalyze  $\text{CO}_2$  reduction in an aqueous solution through its heterogenization onto the surface of a carbon material electrode supported by carbon black and a polymer matrix.<sup>23</sup> This heterogenized Ir complex deployed on the carbon electrode is abbreviated as [Ir-ink] in this report, wherein (1) as depicted in Scheme 1,  $\text{CO}_2$ ERR at a very small overpotential of around 90 mV was achieved over the [Ir-ink] electrode (cathode) together with a platinum wire (anode) and Ag/AgCl as the counter and reference electrodes, respectively. The [Ir-ink] electrode exhibited outstanding  $\text{CO}_2$  reduction activity with a current density of  $2.2 \text{ mA cm}^{-2}$  at  $-0.27 \text{ V vs. RHE}$ .  $\text{HCOO}^-$  was produced as the main product with a high faradaic efficiency (FE) of  $>98\%$  in 1 h and prolonging the reaction time to 168 h with optimal conditions generated a total amount of 12.5 mmol of  $\text{HCOO}^-$  (using *ca.*  $2.86 \mu\text{mol}$  of Ir in [Ir-ink]). (2) The developed [Ir-ink] successfully promoted electrochemical  $\text{CO}_2$  reduction to  $\text{HCOO}^-$  utilizing  $\text{H}_2\text{O}$  as an electron and proton source in a two-compartment cell combined with photovoltaic (PV) cells. The solar-to-formate conversion efficiency ( $\eta_{\text{STF}}$ ) reached 13.7% by combination with a Ni/Fe–Ni foam anode and a silicon PV cell.

## Results and discussion

The (PNNP)Ir complexes were synthesized based on the previous reports<sup>22,24</sup> and Scheme S1, ESI† [Ir-ink] electrodes were fabricated by drop-casting a prepared Ir complex ink ((PNNP)Ir–

polypyrrole–Nafion–Vulcan) on a carbon material as visualized in Scheme S2, ESI† The ratio of (PNNP)Ir–polypyrrole–Nafion–Vulcan was recruited based on the composition of the electrodes previously prepared.<sup>20,25</sup> The morphology of a deposited Ir complex (Fig. 1a, **Mes-IrPPh2**) on a carbon material ([**Mes-IrPPh2**], which denotes [Ir-ink] on the carbon electrode) was obtained using scanning electron microscopy (SEM) images (Fig. 1b). The element mapping images obtained *via* energy-dispersive X-ray spectroscopy (EDS) of the [**Mes-IrPPh2**] revealed a homogeneous distribution of C, P, and Ir on the plain surface, while those of the side view indicated that the **Mes-IrPPh2** complex is concentrated on the outer surface of the carbon material (Fig. S1–S3, ESI†). X-ray photoelectron spectroscopy (XPS) of the [**Mes-IrPPh2**] electrode was further conducted to understand the electronic state of the electrode catalyst. The [**Mes-IrPPh2**] electrode before starting the electroreduction exhibited Ir 4f XPS peaks at 63 and 66 eV (Fig. 1c) assignable to Ir(III) (Fig. S4, ESI†)<sup>26</sup> as the original **Mes-IrPPh2** complex. Fig. 1d shows linear sweep voltammetry (LSV) results for the [**Mes-IrPPh2**] electrode. The small onset potential ( $E_{\text{on}}$ ) of  $-0.24 \text{ V vs. RHE}$  revealed how quickly the electrocatalyst initializes catalytic activity at such a low potential.

The initial conditions for electrochemical reduction of  $\text{CO}_2$  were examined in a two-compartment cell operated at  $-0.37 \text{ V vs. RHE}$  using several different PNNP-type Ir complexes (*ca.*  $1.43 \mu\text{mol}$  of Ir in [Ir-ink]; Table S1, ESI†). Among the Ir complexes, **Mes-IrPPh2** showed the most outstanding performance in achieving high product amounts and selectivity towards carbon products ( $\text{HCOO}^-$  and CO;  $765 \mu\text{mol}$ ) with a high current density ( $5.49 \text{ mA cm}^{-2}$ ). The FE for  $\text{HCOO}^-$  formation reached 86%. The results indicate that introducing a bulky mesityl group and modifying the phosphine substituent of the PNNP ligand significantly enhanced  $\text{HCOO}^-$  production. Replacing the cyclohexyl (Cy) group with a phenyl (Ph) group further improved selectivity by seemingly facilitating  $\pi$ – $\pi$ /CH– $\pi$



- Electrocatalytic  $\text{CO}_2$  reduction at a low overpotential ( $>90 \text{ mV}$ )
- Solar-to-formate conversion efficiency of 13.7%

Scheme 1 Schematic representation of a cell.

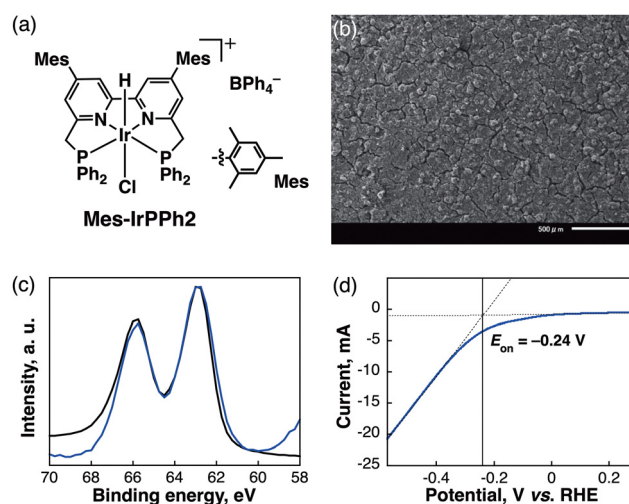


Fig. 1 (a) Chemical structure of **Mes-IrPPh2**. (b) A SEM image of the [**Mes-IrPPh2**] electrode. Scale bar is 500  $\mu\text{m}$ . (c) Ir 4f XPS spectra of the [**Mes-IrPPh2**] (blue line) electrode and  $\text{Ir}^{\text{III}}\text{Cl}_3 \cdot \text{H}_2\text{O}$  (black line) as a reference. (d) LSV for the [**Mes-IrPPh2**] electrocatalyst in a  $\text{CO}_2$ -saturated 0.5 M  $\text{KHCO}_3$  solution.



interactions with the carbon material and polypyrrole. The electrochemical properties of the Ir complexes were recorded using an Ar or CO<sub>2</sub>-saturated MeCN solution (Fig. S5, ESI†). Two redox waves were observed for **Mes-IrPPh2** at  $-0.95$  and  $-1.28$  V vs. SCE, which were more positive than those of **Mes-IrPCY2** at  $-1.31$  V vs. SCE (Fig. S5a, ESI†). When the Ar was replaced by CO<sub>2</sub>, the catalytic current with **Mes-IrPPh2** was much enhanced compared to those with **Mes-IrPCY2**, where the  $E_{\text{on}}$  for **Mes-IrPPh2** ( $-1.17$  V) was also more positive than those of **Mes-IrPCY2** ( $-1.21$  V). The results indicate that subtle modifications in the metal complex ligand structure can have a profound impact on catalytic performance (Fig. S5b, ESI†). The [**Mes-IrPPh2**] electrode operated at a reaction current up to  $7.7$  mA cm<sup>-2</sup> over a potential of  $-0.07$  to  $-0.47$  V vs. RHE (Fig. 2a), achieving FEs of  $>90\%$  for HCOO<sup>-</sup> production (Fig. 2b and Table S2, ESI†). When the reaction was operated at near-theoretical potential ( $-0.17$  V vs. RHE) with a [**Mes-IrPPh2**] electrode, it also produced a miniscule amount of carbon products ( $18$  μmol) with low current density (Fig. 2a,  $<0.5$  mA cm<sup>-2</sup>). However, it is known that the activation barrier to form HCOO<sup>-</sup> can sometimes be overcome by thermal activation at room temperature.<sup>27</sup> To acquire extra energy for inducing the catalyst, the applied potential of  $-0.27$  V vs. RHE was selected as an optimum potential in this catalytic system. In the optimized conditions, the lack of each pivotal component (an Ir complex, carbon black, or pyrrole) resulted in the negligible formation of products, while the absence of Nafion gave small amounts of the products at  $-0.27$  V vs. RHE for 3 h (Table S3, ESI†). Isotope-labelling experiments using <sup>13</sup>C-labeled carbon dioxide (<sup>13</sup>CO<sub>2</sub>) in D<sub>2</sub>O were conducted to verify the carbon source of the produced HCOO<sup>-</sup> and CO. <sup>1</sup>H NMR measurement of the reaction solution showed a doublet ( $J = 194.4$  Hz) attributable to the hydrogen atom bound to the <sup>13</sup>C atom of H<sup>13</sup>COO<sup>-</sup> (Fig. S6, ESI†). In the <sup>13</sup>C NMR spectrum (Fig. S7, ESI†), the formation of H<sup>13</sup>COO<sup>-</sup> was observed together with deuterated formate, D<sup>13</sup>COO<sup>-</sup> (triplet,  $J = 30.3$  Hz).<sup>28</sup> Gas chromatography-mass spectrometry (GC-MS) analysis of the gaseous product also identified <sup>13</sup>CO ( $m/z = 29$ ) as the main gaseous product (Fig. S8, ESI†). The results substantiate that HCOO<sup>-</sup> and CO originated from CO<sub>2</sub> rather than from carbon contaminants in the reaction mixture.

The effect of drop-casting (DC) counts of an [Ir ink] on the surface of the working electrode (cathode) was examined to optimize the amounts of the Ir catalyst (Table S4 and Fig. S9, ESI†). By increasing the count of DC from 2 to 4, 6, and 8 times, the amounts of HCOO<sup>-</sup> increased at DC = 4 and reached a plateau with DC = 6 or 8, where the current densities continued to rise, ascribable simply to the increased amount of **Mes-IrPPh2** on the electrodes. In all cases, high FEs ( $>90\%$ ) for HCOO<sup>-</sup> formation were achieved. Thus, for subsequent experiments, the [**Mes-IrPPh2**] electrodes were prepared using the 4 times DC.

After fabricating the 4 times-DC [**Mes-IrPPh2**] electrode (containing  $2.86$  μmol of **Mes-IrPPh2**), continuous long-term electrocatalysis of CO<sub>2</sub> at ca.  $-0.27$  V vs. RHE was conducted over 168 h using a flow reactor for CO<sub>2</sub> supply (Fig. 3a);

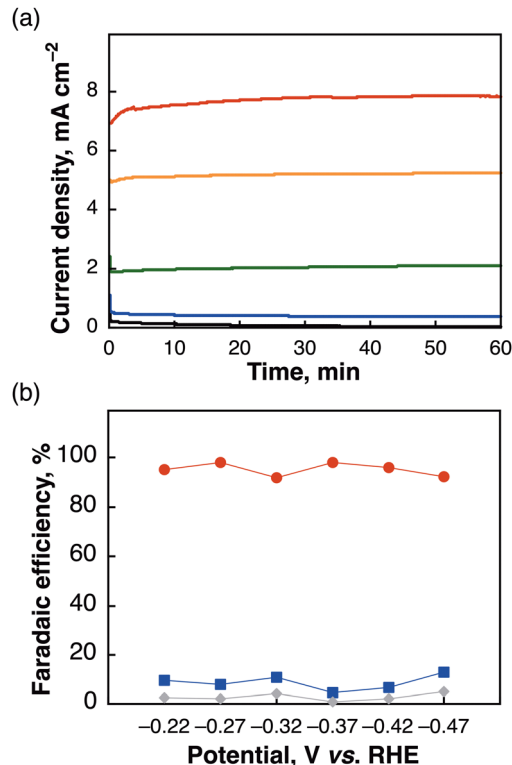
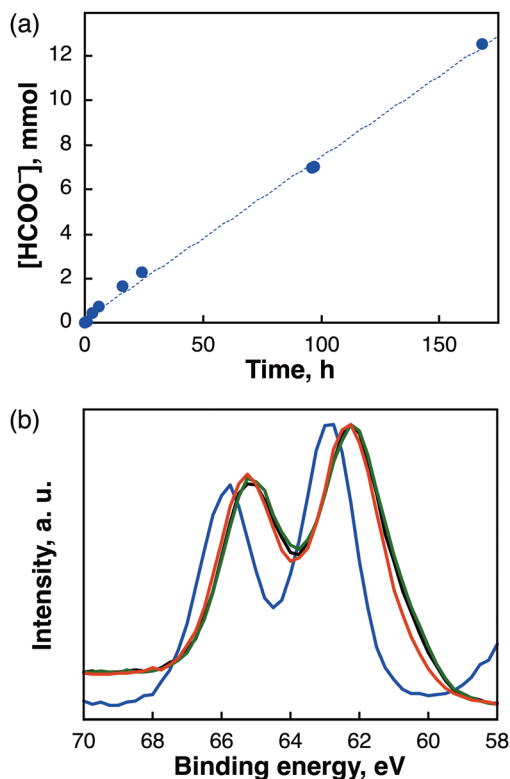


Fig. 2 (a) Chronoamperograms over a [**Mes-IrPPh2**] cathode and a Pt anode for 1 h with several bias voltages [ $-0.07$  V (black),  $-0.17$  V (blue),  $-0.27$  V (green),  $-0.37$  V (orange),  $-0.47$  V (red)] and (b) FEs of the products [HCOO<sup>-</sup> (red), CO (blue), H<sub>2</sub> (gray)] obtained during the electrocatalytic CO<sub>2</sub> reduction using a [**Mes-IrPPh2**] electrode in a solution of CO<sub>2</sub>-saturated 0.5 M KHCO<sub>3</sub>.

however, the gaseous phase could not be analysed accurately owing to the escaping gas from the reactor. Therefore, we only evaluated the amount of non-gaseous HCOO<sup>-</sup> generated in the aqueous phase, which linearly increased over 12.5 mmol with FE of  $>77\%$  by prolonging the reaction time to 168 h. To ascertain the overwhelming robustness of the [**Mes-IrPPh2**] catalyst, XPS measurements before and after the reaction (3 h, 15 h, and 72 h) were recorded to monitor the reaction of the electrode. The XPS analysis of the Ir 4f region revealed that the Ir metal in **Mes-IrPPh2** was converted from Ir<sup>III</sup> (Fig. 3b, blue) to Ir<sup>I</sup> (Fig. 3b, black) within 3 h and the Ir<sup>I</sup> species remained the same over 72 h (Fig. 3b, red), consistent with the results of ESI-MS analysis (Fig. S10, ESI†). The results indicate that almost no deterioration of the catalyst occurred after an electrolysis for 72 h.

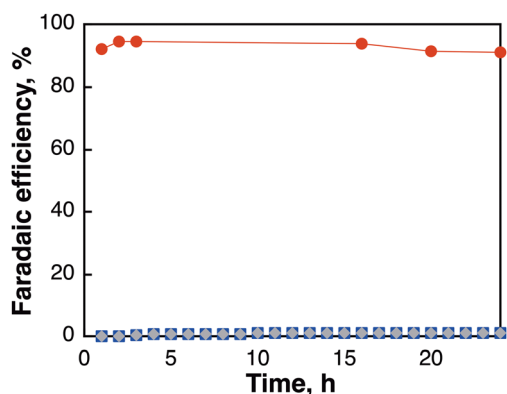
Solar-driven CO<sub>2</sub> reduction was next examined using a two-compartment reactor composed of the [**Mes-IrPPh2**] electrode as a CO<sub>2</sub> reduction catalyst (cathode), Fe/Ni-Ni foam as a water oxidation catalyst (anode),<sup>29</sup> and a heterojunction with an intrinsic thin layer (HIT) solar cell (silicon solar cell), which served as the light absorber with a catalog-specified maximum  $\eta_{\text{PV}}$  of approximately 22.7%.<sup>30</sup> A two-compartment reactor separated by a Nafion-117 ion-exchange membrane was used in this system, operating at  $-2.1$  V. The CO<sub>2</sub> reduction using the PV cell was conducted by immersing the cathode-anode





**Fig. 3** (a) Time course plots of the  $\text{HCOO}^-$  obtained during the electrocatalytic  $\text{CO}_2$  reduction at  $-0.27$  V vs. RHE using a **[Mes-IrPPh<sub>2</sub>]** cathode and a Pt anode in a solution of  $\text{CO}_2$ -saturated  $0.5$  M  $\text{KHCO}_3$ . (b) Ir 4f XPS spectra of a **[Mes-IrPPh<sub>2</sub>]** electrode [before (blue) and after electrolysis for 3 h (black), 15 h (green), 72 h (red)].

conjunction system in a solution of  $\text{CO}_2$ -saturated  $0.5$  M  $\text{KHCO}_3$  under irradiation with solar simulated light (1 sun, AM1.5) at  $308$  K. The time course of the FEs for different products is shown in Fig. 4, illustrating that  $\text{HCOO}^-$  was generated only by the reaction of  $\text{CO}_2$  with  $\text{H}_2\text{O}$  as a raw material using sunlight as an energy source.  $\text{HCOO}^-$  was continuously generated during irradiation for  $24$  h with over



**Fig. 4** Time course plots of the product  $[\text{HCOO}^-]$  (red),  $\text{CO}$  (blue),  $\text{H}_2$  (gray) obtained during the  $\text{CO}_2$  electrochemical reduction using a **[Mes-IrPPh<sub>2</sub>]** cathode and Fe/Ni–Ni foam anode under simulated solar light irradiation.

$91\%$  of FEs where  $\text{CO}$  and  $\text{H}_2$  were produced with less than  $2\%$  of FEs, respectively, and the solar-to-formate conversion efficiency ( $\eta_{\text{STF}}$ ) was calculated to be  $13.7\%$  from the rate of  $\text{HCOO}^-$  generation ( $\text{mmol HCOO}^- \text{ s}^{-1}$ ). It is noteworthy that the  $\eta_{\text{STF}}$  up to  $13.7\%$  in this system reached the highest level among the photoelectrochemical  $\text{CO}_2$  reduction systems<sup>14–20,31</sup> using a molecular catalyst (Table S5, ESI†).

## Conclusions

In this study, we demonstrated the high-performance systems for electrochemical and solar-driven electrochemical  $\text{CO}_2$  reduction in water using a PV cell through the fabrication of an effective cathode deploying a PNNP-type Ir complex as a  $\text{CO}_2$  reduction electrocatalyst. The Ir catalyst immobilized on a carbon material ([Ir-ink]: cathode) showed a superior catalytic activity for selective  $\text{CO}_2$  reduction to  $\text{HCOO}^-$ , providing FEs of  $>98\%$  at a constant rate, in addition to an overpotential of no more than  $\geq 90$  mV. The rate of production of  $\text{HCOO}^-$  linearly increased to give  $12.5$  mmol (using *ca.*  $2.86$   $\mu\text{mol}$  of Ir) over  $168$  h at  $-0.27$  V vs. RHE, highlighting the extremely robust electrode composed of a (PNNP)Ir complex. The solar-to-formate conversion efficiency of  $13.7\%$  was achieved after  $24$  h irradiation yielding  $7$  mmol of  $\text{HCOO}^-$  with FEs of  $>91\%$ , in conjunction with a Ni/Fe–Ni foam anode as a water oxidation catalyst and a silicon photovoltaic cell as a photon absorber. Through a bespoke molecular design of a robust metal complex with a PNNP ligand backbone, an electrocatalyst made of hybridized molecular catalyst–bulk carbon material promoted  $\text{HCOO}^-$  formation with concurrent high activity, selectivity, and stability. The present result is in good contrast to the  $\text{CO}_2$  reduction systems we have achieved using light energy and C–H bonds (electron and hydrogen donor),<sup>21,22</sup> as well as heat energy and H–H bonds,<sup>32</sup> which were consistently promoted by (PNNP)Ir complex catalysts. This work will surely be further advanced to hitherto unknown efficient solar-driven  $\text{CO}_2$  reduction devices using water (O–H bond) as the electron- and hydrogen donor.

## Author contributions

Jieun Jung supervised the project on-site and wrote the original draft of the manuscript. Keun Woo Lee, Naonari Sakamoto, and Selvam Kaliyamoorthy conducted the experiments and collected the data. Taku Wakabayashi developed the initial process for solar-driven electrochemical  $\text{CO}_2$  reduction. Kenji Kamada provided resources for the research. Keita Sekizawa handled data curation. Shunsuke Sato was responsible for the conceptualization of the study. Tomiko M. Suzuki developed the Fe/Ni–Ni foam as a water oxidation catalyst. Takeshi Morikawa was involved in funding acquisition and administrative aspects. Susumu Saito conceived and supervised the project, managed project administration and funding acquisition, and was responsible for manuscript writing, editing, and curation.



## Data availability

The data supporting this article have been included as part of the ESI.†

## Conflicts of interest

There are no conflicts to declare.

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