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# A chiral Si(IV) complex bearing a 1,2,4-triazole-2,2'-diphenol ligand: synthesis, (chiro-)optical properties and computational investigation†

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The reactivity of bis-3,5-phenol 1-phenyl-1,2,4-triazole, a non-symmetrical tridentate O<sup>−</sup>N<sup>−</sup>O<sup>−</sup> proligand derived from Deferasirox, towards a Si(IV) precursor is herein studied. The reaction of the proligand (O<sup>−</sup>NO<sup>−</sup>)<sub>2</sub>H<sub>2</sub> with SiCl<sub>4</sub> afforded a highly stable homoleptic hexacoordinate complex, namely Si(O<sup>−</sup>NO<sup>−</sup>)<sub>2</sub>, in high yield. While the emission profile of the proligand – arising from an excited-state intramolecular proton transfer (ESIPT) mechanism – appears featureless and broad, the Si(IV) complex exhibits enhanced photoluminescence in the violet-to-deep-blue region, with a quantum yield of up to 32% in spin-coated thin films. A comprehensive study, combining photophysical methods and (time-dependent) density functional theory (TD-DFT) calculations, has rationalized the emissive behavior of this complex. Due to the non-symmetrical nature of the ligand, the homoleptic Si complex is obtained as a racemate of two Δ/Λ enantiomers that were separated by chiral chromatography and characterized by electronic circular dichroism (ECD). Subsequent TD-DFT calculations enabled the modelling of the ECD spectra and the assignment of the absolute configuration of the resolved enantiomers.

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## Introduction

Organosilicon compounds are currently of great importance in the development of new chemical reagents and functional materials, such as polymers, glasses and ceramics.<sup>1</sup> Organosilicon derivatives offer great molecular diversity, also because, unlike carbon, silicon allows coordination greater than four.<sup>2</sup> Some of the major achievements in organosilicon chemistry over the last few decades have been related to hyper-coordinated intermediates.<sup>3,4</sup> This coordination diversity has been studied to yield interesting tetra-, penta- or hexa-coordinated compounds with attractive applications in various fields, such as electroluminescent and electron transport

materials as well as photodynamic therapy agents.<sup>5</sup> For example, hexacoordinated N–Si complexes containing two 2,6-bis(benzimidazol-2-yl)pyridine pincer-type ligands have demonstrated excellent optoelectronic properties and exceptional thermal stability suitable for applications in optoelectronics, such as electroactive materials in organic light-emitting diodes (OLEDs) and photovoltaic devices (OPVs).<sup>6,7</sup> Very recently, we have reported the synthesis and photo/electroluminescence properties of a neutral homoleptic hexacoordinate Si(IV) complex based on two pincer-type tridentate O<sup>−</sup>C<sup>−</sup>O<sup>−</sup> N-heterocyclic carbene ligands (Scheme 1). This complex, which shows remarkable saturated true-blue emission, was then employed as an emitter in a proof-of-concept OLED.<sup>8</sup> This result led us to investigate other tridentate ligands for the synthesis of homoleptic Si(IV) complexes, and among the available options, we focused on the molecular structure of Deferasirox, a tridentate O<sup>−</sup>N<sup>−</sup>O<sup>−</sup> iron chelator used for the treatment of iron overload that has also recently been used in chemotherapy and as an antifungal and antimicrobial agent.<sup>9–14</sup> Additionally, Deferasirox derivatives have shown interesting photophysical properties for practical applications as sensors and detectors in physiological environments<sup>15,16</sup> and have been shown to yield phosphorescent Pt(II) complexes with excited-state lifetimes on the order of microseconds and photoluminescence quantum yields (PLQYs) of up to 17%.<sup>17</sup>

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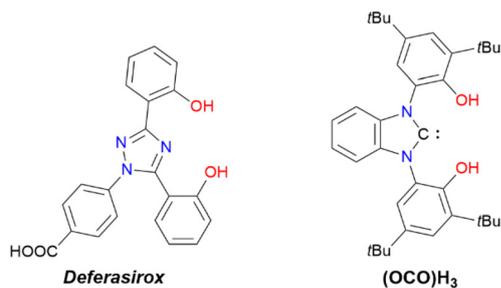
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**Scheme 1** Structures of Deferasirox (top, left), the previously studied NHC pincer-type  $\text{O}^{\wedge}\text{C}^{\wedge}\text{O}$  proligand  $(\text{OCO})\text{H}_3$  (top, right) and the synthetic strategy for obtaining the  $\text{Si}(\text{IV})$  complex of the current work (bottom).

Herein, we report the easy access of a chiral, homoleptic, neutral and hexacoordinate luminescent complex, namely,  $\text{Si}(\text{O}^1\text{NO}^2)_2$ , bearing the asymmetric bis-aryloxide triazole ligand  $(\text{O}^1\text{NO}^2)\text{H}_2$  along with its (chiro)-optical and computational investigation.

## Results and discussion

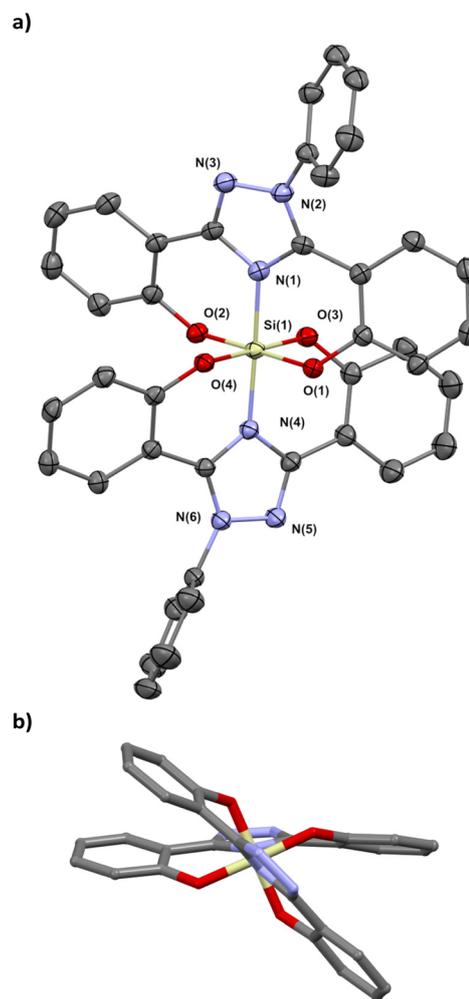
It is important to note that due to the presence of the phenyl substituent on the triazole ring, the two phenoxy moieties are chemically different. As a consequence, the tridentate proligand, named  $(\text{O}^1\text{NO}^2)\text{H}_2$  for the sake of further considerations, yields a racemic octahedral complex with chiral meridional  $C_2$  symmetry and the general structure  $\text{rac}[\text{Si}(\text{O}^1\text{NO}^2)_2]$  upon coordination to the  $\text{Si}(\text{IV})$  centre. Therefore, the  $\text{Si}(\text{O}^1\text{NO}^2)_2$  complex exists in two possible absolute configurations, namely,  $\bar{\Delta}$  and  $\bar{\Lambda}$ , owing to the two possible mutual orientations in space of the tridentate  $\text{O}^1\text{NO}^2$  scaffolds around the  $\text{Si}(\text{IV})$  atom (see Fig. S7† for details on the absolute configuration).

As shown in Scheme 1, the bis-tridentate, homoleptic  $\text{Si}(\text{IV})$  complex was obtained by the direct reaction of  $\text{SiCl}_4$  with the 3,5-bisphenol 1-phenyl-1,2,4-triazole proligand  $(\text{O}^1\text{NO}^2)\text{H}_2$  and was easily purified by column chromatography.

$^1\text{H}$  NMR spectroscopy at room temperature exhibits a highly symmetric resonance pattern, indicative of fluxional behaviour around the  $\text{Si}(\text{IV})$  centre and coherent with the small

energetic barrier associated with the ring puckering motion of the coordinated tridentate  $\text{O}^1\text{NO}^2$  ligands that deviate from planarity. The fast exchange between the different possible ligand conformations gives rise to the different helical twists that each of the two ligands may independently adopt, namely  $(\delta,\delta)$ ,  $(\delta,\lambda)$ ,  $(\lambda,\delta)$ , and  $(\lambda,\lambda)$ . Similarly,  $^{29}\text{Si}$  NMR spectroscopy confirms the hexacoordinate nature of silicon with a chemical shift of  $\delta = -194.1$  ppm, that falls in the range typically observed for other hexacoordinated complexes.<sup>6,8</sup> NMR spectra are reported in Fig. S1–S6 of the ESI.†

Single crystals of  $\text{rac-Si}(\text{O}^1\text{NO}^2)_2$  suitable for X-ray crystallographic analysis were obtained by vapour diffusion of  $\text{Et}_2\text{O}$  into a  $\text{CH}_2\text{Cl}_2$  solution of the racemate and the molecular structure of the  $\Delta$  isomer is shown in Fig. 1. The complex crystallised in the  $P2_1/c$  monoclinic space group with an octa-



**Fig. 1** (a) Molecular structure of  $\Delta$ - $\text{Si}(\text{O}^1\text{NO}^2)_2$ . The hydrogen atoms are omitted for clarity. Selected bond lengths [Å] and angles [°]: Si(1)–O(2), 1.7468(16); Si(1)–O(3), 1.7546(15); Si(1)–O(4), 1.7583(15); Si(1)–O(1), 1.7642(15); Si(1)–N(4), 1.8721(18); Si(1)–N(1), 1.8740(18); O(2)–Si(1)–O(3), 89.45(7); O(2)–Si(1)–O(4), 91.85(7); O(3)–Si(1)–O(4), 178.62(8); O(2)–Si(1)–O(1), 179.26(8); N(2)–N(3)–N(6)–N(5),  $-49.83(18)$ . (b) View of  $\Delta$ - $\text{Si}(\text{O}^1\text{NO}^2)_2$  along the N1–N4 axes. Hydrogen atoms and phenyl moieties are omitted for clarity (CCDC 2422992†).



hedral geometry around the Si centre, showing O–Si–O angles in the range of 87.92–91.86° and 178.63–179.27°, O–Si–N angles in the ranges 89.46–91.20° and an N–Si–N angle of 177.79°. The octahedral Si centre bridges two tridentate ligands in a *mer*- $\text{O}^1\text{NO}^2$  coordination motif, with an average length of the Si–O bonds of 1.756 Å and more elongated Si–N bonds, averaging 1.873 Å. Although the environment around silicon is perfectly octahedral, the chelate ligands adopt an arrangement that positions them at an average angle of *ca.* 50° to each other with respect to the N1–N4 axes (Fig. 1b displays a view along the N1–N4 axes). Interestingly, the complex has a very high thermal stability as shown by thermogravimetric analysis (TGA), with degradation starting above 300 °C and a 5% weight loss temperature  $T_{5\%}$  being as high as 366 °C (Fig. S8†).

The two enantiomers were separated under standard conditions using a Chiralpak® IB-N5 chiral stationary phase with a heptane/ethanol/ $\text{CH}_2\text{Cl}_2$  mixture (60/20/20) as the eluent with an enantiomeric excess (ee) of over 99.5%. The successful chiral resolution of the enantiomers was confirmed by analytical chiral HPLC characterization and electronic circular dichroism (ECD) spectra. Lastly, the high configurational stability of the complexes was confirmed by ECD measurements carried out on enantiopure samples before and after thermal treatment in refluxing toluene for 24 hours (see the ESI† for further details).

### Photophysical characterization

First, the electronic absorption of the ligand ( $\text{O}^1\text{NO}^2$ ) $\text{H}_2$  and *rac*- $\text{Si}(\text{O}^1\text{NO}^2)_2$  was investigated in dilute  $\text{CH}_2\text{Cl}_2$ . The elec-

tronic absorption spectra are displayed in Fig. 2 and the corresponding data are listed in Table 1.

In the 300–350 nm region, the electronic absorption spectrum of the proligand ( $\text{O}^1\text{NO}^2$ ) $\text{H}_2$  exhibits a slightly structured profile with moderate intensity ( $\epsilon = 1.46 \times 10^4 \text{ M}^{-1} \text{ cm}^{-1}$ ) at the relative maximum at  $\lambda_{\text{abs}} = 308 \text{ nm}$ . Upon complexation onto the Si(IV) centre, the absorption maximum in  $\text{Si}(\text{O}^1\text{NO}^2)_2$  is bathochromically shifted by *ca.* 1400  $\text{cm}^{-1}$  at  $\lambda_{\text{abs}} = 322 \text{ nm}$  with  $\epsilon$  values of  $2.15 \times 10^4 \text{ M}^{-1} \text{ cm}^{-1}$  and  $1.36 \times 10^4 \text{ M}^{-1} \text{ cm}^{-1}$  at the shoulder at  $\lambda_{\text{abs}} = 345 \text{ nm}$ . The concomitant increase in band intensity is related to the presence of two chromophoric ligands in the  $\text{Si}(\text{O}^1\text{NO}^2)_2$  complex.

Upon increasing the solvent polarity, a steady hypsochromic shift of the absorption can be observed along the series toluene  $\rightarrow$  THF  $\rightarrow$   $\text{CH}_2\text{Cl}_2$   $\rightarrow$   $\text{CH}_3\text{CN}$   $\rightarrow$  MeOH, which is indicative of a negative solvatochromic effect (see Fig. S9 and Table S1†). Comparison with the UV-vis spectrum of the ligand ( $\text{O}^1\text{NO}^2$ ) $\text{H}_2$  in  $\text{CH}_2\text{Cl}_2$  allows us to associate the high-energy flank at  $\lambda_{\text{abs}} = 250$ –270 nm of the absorption profile of the complex  $\text{Si}(\text{O}^1\text{NO}^2)_2$  with an electronic transition of mainly singlet ligand-centred ( $^1\text{LC}$ ) nature. Lastly, the broad absorption bands at  $\lambda_{\text{abs}} = 320$ –350 nm of  $\text{Si}(\text{O}^1\text{NO}^2)_2$  can be overall ascribed to overlapping electronic transitions with mixed singlet-manifold intraligand charge transfer ( $^1\text{ILCT}$ ) and  $^1\text{LC}$  with  $\pi_{\text{PhO}} \rightarrow \pi_{\text{Trz}}^*$  and  $\pi_{\text{O}^1\text{NO}^2} \rightarrow \pi_{\text{O}^1\text{NO}^2}^*$  character, respectively.

As far as the photoluminescence properties of the ( $\text{O}^1\text{NO}^2$ ) $\text{H}_2$  proligand in  $\text{CH}_2\text{Cl}_2$  are concerned, the emission profile appears featureless and broad with a maximum at  $\lambda_{\text{em}} = 519 \text{ nm}$  and low intensity, with PLQY being as low as 1% (see Table 1). Interestingly, its spectrum is characterized by a very large Stokes' shift, as large as  $13\,200 \text{ cm}^{-1}$ . This emission is attributed with confidence to the excited-state keto form ( $\text{K}^*$ ), resulting from the photoinduced keto-enol tautomerisation process between the acidic phenolic proton and the H-accepting N-atoms of the substituted 1,2,4-triazole ring, after the excited-state intramolecular proton transfer (ESIPT) process, in agreement with recently reported findings.<sup>18</sup>

In sharp contrast, upon excitation at  $\lambda_{\text{exc}} = 320 \text{ nm}$ , a dilute  $\text{CH}_2\text{Cl}_2$  sample of  $\text{Si}(\text{O}^1\text{NO}^2)_2$  shows a featureless photoluminescence profile in the violet-to-deep-blue region with a maximum centred at  $\lambda_{\text{em}} = 414 \text{ nm}$  and higher intensity with a PLQY of 12%. The emission maximum of  $\text{Si}(\text{O}^1\text{NO}^2)_2$  is slightly affected by the polarity of the solvent, yet in an opposite way compared to the absorption spectra, with the peak shifting from  $\lambda_{\text{em}} = 414$  to 410 nm upon decreasing the solvent polarity. This spectral shift is accompanied by a remarkable increase in

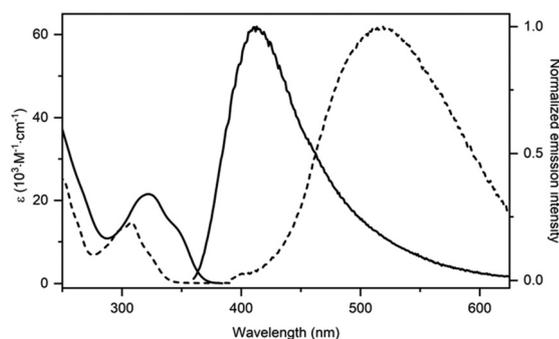


Fig. 2 UV-vis absorption and photoluminescence emission in dilute  $\text{CH}_2\text{Cl}_2$  ( $2 \times 10^{-5} \text{ M}$ ) for the complex  $\text{Si}(\text{O}^1\text{NO}^2)_2$  (solid traces) and the proligand ( $\text{O}^1\text{NO}^2$ ) $\text{H}_2$  (dashed traces). Emission spectra were recorded upon excitation at  $\lambda_{\text{exc}} = 320 \text{ nm}$ .

Table 1 Photophysical properties of *rac*- $\text{Si}(\text{O}^1\text{NO}^2)_2$  and ( $\text{O}^1\text{NO}^2$ ) $\text{H}_2$  in dilute air-equilibrated  $\text{CH}_2\text{Cl}_2$  solution ( $2.0 \times 10^{-5} \text{ M}$ ) at room temperature

	$\lambda_{\text{max}} (\epsilon)$ [nm, ( $10^3 \text{ M}^{-1} \text{ cm}^{-1}$ )] $\text{CH}_2\text{Cl}_2$ , $2 \times 10^{-5} \text{ M}$ , air-equilibrated	$\lambda_{\text{em}}$ [nm]	PLQY (%)	$\tau_{\text{obs}}$ [ns]	$\bar{\tau}_{\text{obs}}$ [ns]	$k_{\text{r}}$ [ $10^7 \text{ s}^{-1}$ ]	$k_{\text{nr}}$ [ $10^8 \text{ s}^{-1}$ ]
( $\text{O}^1\text{NO}^2$ ) $\text{H}_2$	298sh (12.81), 308 (14.60), 323sh (6.99)	519	1	2.49 (65%), 11.34 (35%)	8.76	0.1	1.13
$\text{Si}(\text{O}^1\text{NO}^2)_2$	322 (21.52), 345sh (13.60)	414	12	3.26	—	3.7	2.70

sh denotes a shoulder.



the PLQY value from 2% in MeOH and CH<sub>3</sub>CN to 21% in toluene.

As far as the fluorescence decay of Si(O<sup>1</sup>NO<sup>2</sup>)<sub>2</sub> is concerned, time-resolved spectroscopy yields data that can be nicely fitted with a mono-exponential decay model for the three most apolar solvents, namely, toluene, THF and CH<sub>2</sub>Cl<sub>2</sub>, while the CH<sub>3</sub>CN and MeOH samples yield kinetic data that require a two-exponential decay model. As listed in Table 1 and Table S1,† the observed lifetimes are in the range of a few nanoseconds, the longest being  $\tau = 3.30$  ns in toluene and  $\tau = 3.27$  ns in CH<sub>2</sub>Cl<sub>2</sub> and the shortest being the two-exponential  $\tau_1 = 1.44$  ns (29%) and  $\tau_2 = 0.88$  ns (69%) in MeOH, with  $\tau_{ave} = 1.10$  ns. Similar excited-state lifetimes can be obtained for samples in other solvents, without a clear trend dependency on solvent polarity. This finding, combined with the lower PLQY observed for Si(O<sup>1</sup>NO<sup>2</sup>)<sub>2</sub> in MeOH and CH<sub>3</sub>CN, points towards the fact that a specific emitter–solvent interaction, such as H-bonding, might be at play, providing efficient quenching channels (see below).

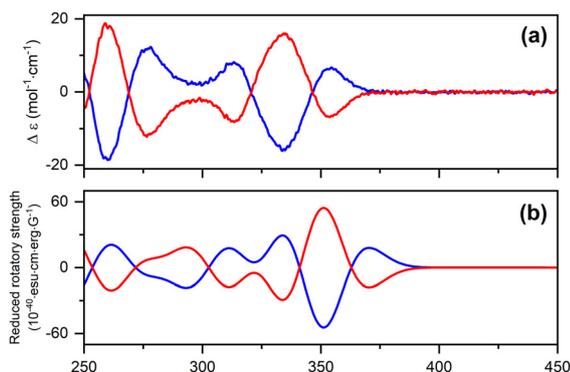
These data allow us to estimate the radiative ( $k_r$ ) and non-radiative ( $k_{nr}$ ) rate constants characterising the emissive excited state by using the following equations (eqn (1) and (2)):

$$k_r = \frac{PLQY}{\tau} \quad (1)$$

$$k_{nr} = \frac{1 - PLQY}{\tau} \quad (2)$$

which provide  $k_r$  values as high as  $3.7 \times 10^7$  and  $1 \times 10^6$  s<sup>-1</sup> in CH<sub>2</sub>Cl<sub>2</sub> indicative of a highly allowed radiative process (see Table 1). The singlet character of the emissive excited state is also confirmed by the absence of oxygen dependency in both the excited-state lifetime and PLQY value. On the other hand,  $k_{nr}$  values are in the order of  $1.1$ – $2.7 \times 10^8$  s<sup>-1</sup> for the CH<sub>2</sub>Cl<sub>2</sub> sample.

At a later stage, the chiroptical properties of the two enantioenriched fractions (ee > 99.5%) were investigated in CH<sub>2</sub>Cl<sub>2</sub> solution. The ECD spectra obtained for the two eluted fractions provided definitive confirmation of the resolution of



**Fig. 3** (a) Experimental ECD spectra in dilute CH<sub>2</sub>Cl<sub>2</sub> at a concentration of  $2 \times 10^{-4}$  M and (b) computed Boltzmann-weighted ECD spectra for  $\Lambda$ -Si(O<sup>1</sup>NO<sup>2</sup>)<sub>2</sub> (first eluted, blue trace) and  $\Delta$ -Si(O<sup>1</sup>NO<sup>2</sup>)<sub>2</sub> (second eluted, red trace).

the two enantiomers, as evidenced by the mirror-image shape of the spectra (Fig. 3a). As far as the first eluted fraction is concerned, the ECD spectrum displays several Cotton effects with bands possessing moderate intensity, observed at  $\lambda_{max} = 354$  nm ( $\Delta\epsilon = +6.6$  M<sup>-1</sup> cm<sup>-1</sup>), 333 nm (-16.1), 313 nm (+8.1), 287 nm (+12.3), and 259 nm (-18.2) (Table 2). A very similar, mirror-image ECD spectrum was found for the second enantiomer. Additional ECD spectra recorded in CH<sub>3</sub>CN can be found in the ESI (Fig. S10 and Table S3†), exhibiting nearly identical spectral features in both intensity and wavelength.

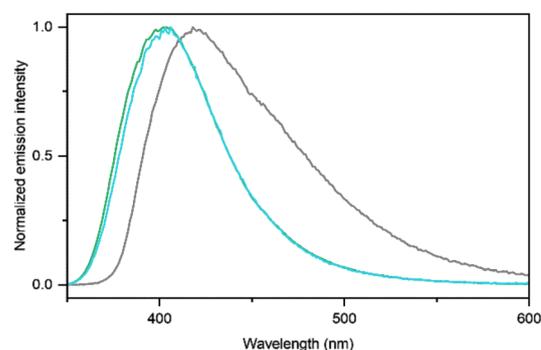
Regrettably, CH<sub>2</sub>Cl<sub>2</sub> samples of the two enantiomers exhibit only negligible circularly polarized luminescence (CPL) with very low dissymmetry factors  $|g_{Lum}|$  on the order of  $10^{-4}$  at  $\lambda_{em} = 400$ – $450$  nm (Fig. S12 of the ESI†).

Remarkably, the complex Si(O<sup>1</sup>NO<sup>2</sup>)<sub>2</sub> exhibits interesting photophysical properties in the solid state. The spectra recorded for the neat powder as well as thin-film samples at a 10% doping level in 120k poly(methyl-methacrylate) (PMMA) and 35k polystyrene (PS) matrices are displayed in Fig. 4. The most meaningful photophysical data are compiled in Table 3.

As far as the neat powder sample is concerned, the compound Si(O<sup>1</sup>NO<sup>2</sup>)<sub>2</sub> exhibits a broad and featureless emission

**Table 2** Experimental ECD data of the two Si(O<sup>1</sup>NO<sup>2</sup>)<sub>2</sub> enantiomers in dilute CH<sub>2</sub>Cl<sub>2</sub> at a concentration of  $2 \times 10^{-4}$  M

Isomer	$\lambda_{max}$ ( $\Delta\epsilon$ ) [nm, (M <sup>-1</sup> cm <sup>-1</sup> )]
$\Lambda$	259 (-18.15), 278 (12.31), 313 (8.14), 333 (-16.07), 354 (6.60)
$\Delta$	259 (18.48), 276 (-11.82), 313 (-8.01), 334 (15.98), 354 (-6.64)



**Fig. 4** Photoluminescence emission spectra for Si(O<sup>1</sup>NO<sup>2</sup>)<sub>2</sub> as crystal-line powder (grey trace), 10 wt% doped thin film in PMMA (green trace) and 10 wt% doped thin film in PS (aqua trace). Spectra were recorded upon excitation at  $\lambda_{exc} = 320$  nm.

**Table 3** Photophysical properties of Si(O<sup>1</sup>NO<sup>2</sup>)<sub>2</sub> spin-coated thin films

Compound	Doping % polymer	$\lambda_{em}$ [nm]	PLQY (%)	CIE (x, y)	$\tau_{obs}$ [ns]	$\bar{\tau}_{obs}$ [ns]
Si(O <sup>1</sup> NO <sup>2</sup> ) <sub>2</sub>	10% PMMA 120k	403	26	0.17, 0.07	1.79 (51%), 4.43 (62%)	5.03
	10% PS 35k	420	32	0.17, 0.11	2.15 (92%)	—



profile with a maximum at  $\lambda_{\text{em}} = 420$  nm that is bathochromically shifted by  $345\text{ cm}^{-1}$  compared to the  $\text{CH}_2\text{Cl}_2$  sample, yet with a comparable PLQY value (11%) and  $\tau_{\text{ave}} = 2.36$  ns. On the other hand, dispersion of the compound in doped polymer thin films yields emission profiles seemingly independent of the polarity of the polymer matrix, with maxima peaking at  $\lambda_{\text{em}} = 402$  and  $403$  nm and with a remarkable enhancement of the PLQY values up to 29% and 26% for PS and PMMA samples, respectively. Overall, these findings point towards an emissive singlet-manifold excited state with admixed  $^1\text{ILCT}/^1\text{LC}$  nature.

Upon lowering the temperature to 77 K, samples of racemic and enantiopure HPLC-purified  $\text{Si}(\text{O}^1\text{NO}^2)_2$  in a toluene glassy matrix exhibit a structured steady-state emission profile that allows us to identify two coexisting emissive phenomena (Fig. 5). The first one is located at higher energy and it is characterised by a short-lived emission peaking at  $\lambda_{\text{em}} = 392$  nm with a fast two-exponential decay in the range of a few nanoseconds ( $\tau_{\text{ave}} = 6.43$  ns at  $\lambda_{\text{em}} = 400$  nm). The second one is found at lower energy and it is characterized by a green structured emission instead, with maxima at  $\lambda_{\text{em}} = 446, 478, 510,$  and  $550$  nm and a long-lived excited state. This latter emission can be fitted by using a two-exponential decay model that provides an average lifetime value as long as  $\tau_{\text{ave}} = 262$  ms at  $\lambda_{\text{em}} = 480$  nm. Both the structured profile and emission decay time allowed us to ascribe the short- and long-lived radiative processes to two excited states that are electronically decoupled with strong  $^1\text{ILCT}$  and  $^3\text{LC}$  character, respectively.

It should be noted that since this emission profile is observed in both the racemate and the HPLC-enriched enantiomers, it is intrinsic to the complex and not due to trace impurities.

### Computational investigation

First, the structures of both the ligand  $(\text{O}^1\text{NO}^2)\text{H}_2$  and the complex  $\text{Si}(\text{O}^1\text{NO}^2)_2$  were optimized starting from the coordi-

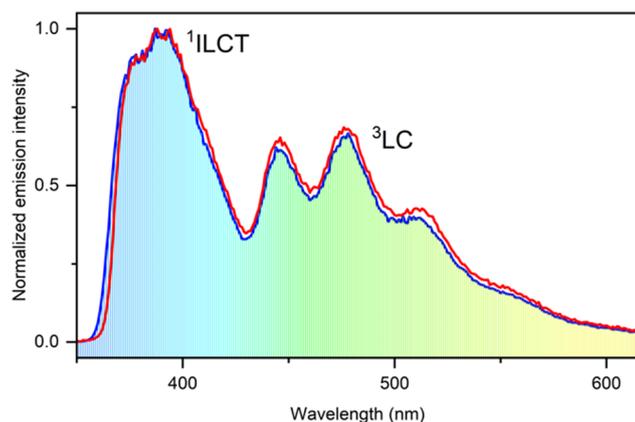
nates obtained by single-crystal X-ray diffraction. For the complex, the conformational analysis was carried out on the  $\bar{A}$  stereoisomer and yielded four different structures associated with the possible helical twists adopted by the non-planar  $\text{O}^1\text{NO}^2$  chelates. Indeed, it can be noticed that the phenol rings of the coordinated  $\text{O}^1\text{NO}^2$  ligands are not coplanar, as shown by the  $\angle(\text{C}-\text{C}-\text{C})$  dihedral angle values of  $-24.9$  to  $-25.8^\circ$  versus  $-25.8$  to  $-29.5^\circ$  for experimental and theoretical structures, respectively.

Some selected geometrical parameters and energetics are listed in Table 4. The stereoisomer  $\Lambda(\delta, \delta)$  was shown to be at the absolute minimum, named  $\text{GS}_a$ , while the  $\Lambda(\lambda, \lambda)$  stereoisomer, obtained by complete inversion of the  $\text{O}^1\text{NO}^2$  twist, corresponds to a second energy-minimum structure, named  $\text{GS}_b$ . These two minima (Fig. 6) are computed to be almost degenerate, with  $\text{GS}_a$  lying only  $0.1\text{ kcal mol}^{-1}$  above  $\text{GS}_b$ , and its geometrical parameters excellently agree with those observed experimentally in the single-crystal X-ray structure.

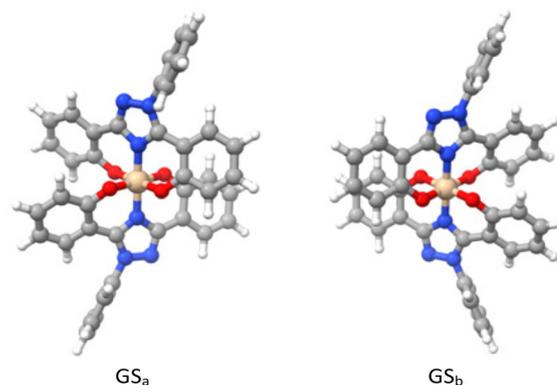
Two other energy minima, named  $\text{GS}_{i1}$  and  $\text{GS}_{i2}$ , were obtained for the conformers with an alternate helical twist of one of the two  $\text{O}^1\text{NO}^2$  chelates,  $\Lambda(\lambda, \delta)$  and  $\Lambda(\delta, \lambda)$ , respectively. These conformers lie energetically slightly above the  $\text{GS}_a$  geo-

**Table 4** Selected experimental and computed geometrical parameters for the different conformers of the  $\bar{A}\text{-Si}(\text{O}^1\text{NO}^2)_2$  complex. Distances, dihedral angles and Gibbs free energy differences are given in [Å], [°], and [ $\text{kcal mol}^{-1}$ ], respectively. The Boltzmann population of the different conformers is computed at 300 K

RX	$\text{GS}_a$ $\Lambda(\lambda, \lambda)$	$\text{GS}_{i1}$ $\Lambda(\lambda, \delta)$	$\text{GS}_b$ $\Lambda(\delta, \delta)$	$\text{GS}_{i2}$ $\Lambda(\delta, \lambda)$
Si-O1	1.747	1.772	1.770	1.773
Si-N1	1.874	1.887	1.891	1.887
Si-O2	1.764	1.784	1.780	1.783
Si-O3	1.755	1.772	1.769	1.773
Si-N2	1.872	1.887	1.891	1.887
Si-O4	1.758	1.784	1.781	1.783
C1-C2-C3-C4	$-24.9$	$-29.3$	$-25.8$	$29.5$
C5-C6-C7-C8	$-25.8$	$-29.3$	$25.8$	$-25.7$
$\Delta G$	0.1	2.5	0.0	2.7
Relative Boltzmann population	0.451	0.008	0.534	0.006



**Fig. 5** Low-temperature photoluminescence emission spectra in a toluene glassy matrix at 77 K of  $\Lambda\text{-Si}(\text{O}^1\text{NO}^2)_2$  (first eluted, blue trace) and  $\Delta\text{-Si}(\text{O}^1\text{NO}^2)_2$  (second eluted, red trace). Spectra were recorded upon excitation at  $\lambda_{\text{exc}} = 320$  nm.



**Fig. 6** DFT-optimized structures of  $\text{GS}_a$  (left) and  $\text{GS}_b$  (right).



metry. The associated transition state between the  $\Lambda(\delta,\delta)$  and  $\Lambda(\lambda,\delta)$  energy minima is low in energy, with the barrier being as small as  $\Delta G^\ddagger = 4.9 \text{ kcal mol}^{-1}$ , providing the possibility for fast interconversion in solution at room temperature between the  $\text{GS}_a$  and  $\text{GS}_b$  structures through the  $\text{GS}_{11}$  and  $\text{GS}_{12}$  intermediates (see Fig. S13<sup>†</sup> and Table 4).

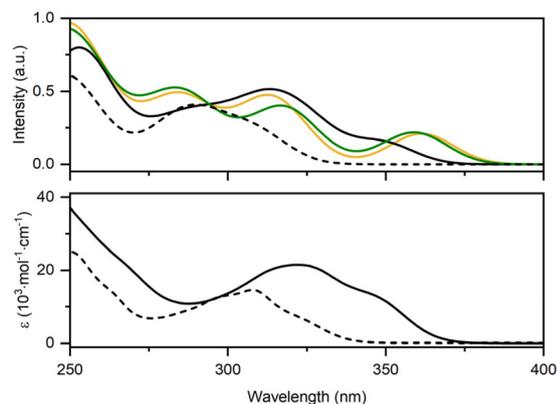
Given the low interconversion barriers between the different conformers, the optical properties of the  $\text{Si}(\text{O}^1\text{NO}^2)_2$  complex in solution, whether enantiopure or as a racemate, should be the result of the contributions arising from multiple different conformers. To model the observed absorption spectrum, the electronic transitions for  $\text{GS}_a$ ,  $\text{GS}_{11}$ ,  $\text{GS}_{12}$  and  $\text{GS}_b$  structures of the complex  $\text{Si}(\text{O}^1\text{NO}^2)_2$  as well as for the proligand  $(\text{O}^1\text{NO}^2)\text{H}_2$  were computed within the framework of time-dependent density functional theory (TD-DFT) and the corresponding data are listed in Tables S4–S8.<sup>†</sup>

The calculated absorption spectrum of the proligand  $(\text{O}^1\text{NO}^2)\text{H}_2$  agrees well with the experimental one (Fig. 7). The absorption band between 270 and 320 nm is the convolution of three electronic transitions computed at 286 ( $\text{S}_0 \rightarrow \text{S}_1$ ), 297 ( $\text{S}_0 \rightarrow \text{S}_2$ ) and 310 nm ( $\text{S}_0 \rightarrow \text{S}_3$ ). The computed transition at higher energy can be described as a pure  $\pi\text{-}\pi^*$  localized on the phenol-triazole-phenol core (Fig. S14 and Table S4<sup>†</sup>). The two lower-energy transitions are very similar in nature and can be described as a mixture of  $\pi\text{-}\pi^*$  excitation with some degrees of charge transfer character from the phenol to the triazole-phenyl moiety.

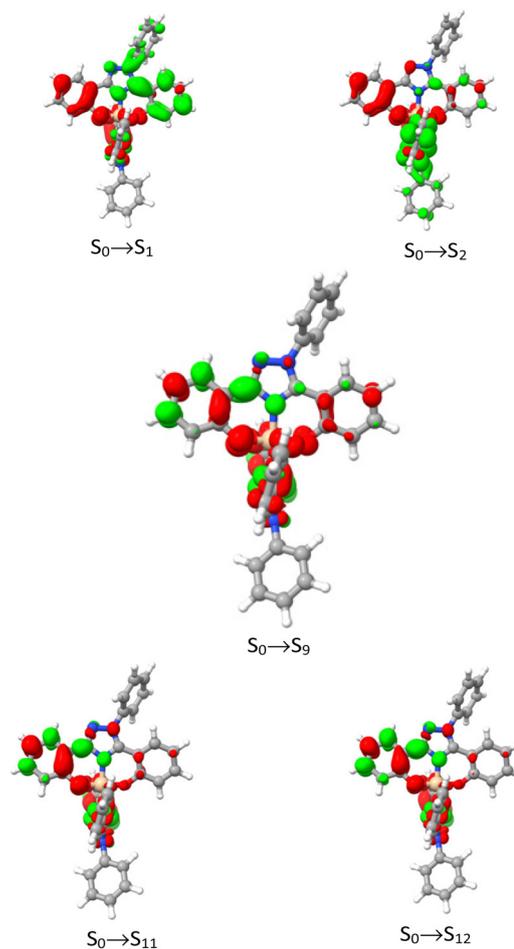
Experimentally, upon complexation of the proligand  $(\text{O}^1\text{NO}^2)\text{H}_2$  onto the  $\text{Si}(\text{IV})$  centre, a bathochromic shift of the absorption spectrum of  $\text{Si}(\text{O}^1\text{NO}^2)_2$  is observed, yet none of the computed absorption spectra of the four conformers displayed in Fig. 7 represent the experimental profile satisfactorily. Regardless, it is evident from the computed data how the conformation of the complex has a significant impact on the shape and intensity of the absorption spectra: the com-

puted absorption spectra of  $\text{GS}_a$  and  $\text{GS}_b$  appear to be relatively similar, whereas the absorption spectra of  $\text{GS}_{11}$  and  $\text{GS}_{12}$  are superimposable, as expected, and resemble the experimental one more closely.

As far as the conformer  $\text{GS}_{11}$  is concerned, a large absorption band is present at  $\lambda_{\text{abs}} = 270\text{--}370 \text{ nm}$ . The shoulder at 350 nm agrees well with the experimental one observed at  $\lambda_{\text{abs}} = 345 \text{ nm}$ . This less energetic band is the superposition of two electronic transitions with mixed  ${}^1\text{ILCT}/{}^1\text{LC}$  character corresponding to  $\text{S}_0 \rightarrow \text{S}_1$  and  $\text{S}_0 \rightarrow \text{S}_2$  transitions (Fig. 8) computed at  $\lambda_{\text{abs}} = 350$  and 349 nm, respectively. The more intense band computed at around  $\lambda_{\text{abs}} = 315 \text{ nm}$  is also in good agreement with the experimental peak measured at  $\lambda_{\text{abs}} = 322 \text{ nm}$  and is due to the convolution of many electronic transitions, with the main contribution arising from the predominantly  ${}^1\text{LC}$   $\text{S}_0 \rightarrow \text{S}_9$  transition computed at 310 nm. At higher energy, the experimental shoulder located around  $\lambda_{\text{abs}} = 290 \text{ nm}$  is mainly due to  ${}^1\text{LC}$   $\text{S}_0 \rightarrow \text{S}_{11}$  and  $\text{S}_0 \rightarrow \text{S}_{12}$  transitions computed at 294 and 293 nm, respectively.



**Fig. 7** Top: Computed electronic absorption spectra of the proligand  $(\text{O}^1\text{NO}^2)\text{H}_2$  (dashed trace) and of the different conformers of  $\text{Si}(\text{O}^1\text{NO}^2)_2$ :  $\text{GS}_a$  (yellow),  $\text{GS}_{11}$  (black),  $\text{GS}_{12}$  (purple), and  $\text{GS}_b$  (green), where  $\text{GS}_{11}$  and  $\text{GS}_{12}$  are superimposed. Bottom: Experimental electronic absorption spectra in dilute  $\text{CH}_2\text{Cl}_2$  of  $(\text{O}^1\text{NO}^2)\text{H}_2$  (dashed trace) and  $\text{Si}(\text{O}^1\text{NO}^2)_2$  (black solid line).



**Fig. 8** Electronic density difference maps (EDDMs) computed for complex  $\text{Si}(\text{O}^1\text{NO}^2)_2$  between  $\text{S}_0 \rightarrow \text{S}_1$  (top left),  $\text{S}_0 \rightarrow \text{S}_2$  (top right),  $\text{S}_0 \rightarrow \text{S}_9$  (center),  $\text{S}_0 \rightarrow \text{S}_{11}$  (bottom left) and  $\text{S}_0 \rightarrow \text{S}_{12}$  (bottom right) at Franck-Condon geometry. Electronically enriched and depleted areas are colored in green and red, respectively.



The high conformational flexibility of the complex makes the simulation of the ECD spectra even more challenging, as it is highly sensitive to geometric parameters, or small variations thereof. For example, Fig. S15 and S16 of the ESI† clearly exemplify the variations in the computed ECD spectra for the different conformers of  $\Lambda$  and  $\Delta$  enantiomers, respectively. At this stage, it is possible to derive the Boltzmann-weighted populations for the different conformers by employing the relative energies for the different geometries listed in Table 4, and to further derive the computed Boltzmann-weighted ECD spectra for both enantiomers, shown in Fig. 3b along the experimental spectra. Indeed, the so-obtained Boltzmann-weighted ECD spectra agree satisfactorily with the experimental data, yet with an overall bathochromic shift of about 30–40 nm compared to the experimental data. Additionally, according to these results, it is possible to assign with confidence the absolute configuration of the resolved enantiomers, with  $\Lambda$  being the first and  $\Delta$  being the second eluted enantiomer.

The excited-state properties of the pro-ligand  $(\text{O}^1\text{NO}^2)\text{H}_2$  were investigated by considering both structures with and without taking into account the possible keto–enol tautomerism.<sup>18</sup> Optimization of the  $S_1$  potential energy surface (PES) leads to several minimum-energy conformations with computed emissions at  $\lambda_{\text{em,theo}} = 415$  nm (non-ESIPT process) to 1617 and 808 nm ( $\text{K}^*$  form), as listed in Table 4 and Fig. 9. All these values are far from the experimental emission centred at  $\lambda_{\text{em,exp}} = 519$  nm. On the other hand, optimisation of the  $S_2$  state of the lowest-energy  $\text{K}^*$  structure leads to a computed emission at  $\lambda_{\text{em,theo}} = 516$  nm, in good agreement with the experimental value (Table 1). It is reasonable to think that the weak experimental emission of the proligand arises from the  $S_2$  tautomeric  $\text{K}^*$  form and that the structures with computed emissions in the IR domain would act as close-energy non-radiative deactivation channels, in agreement with the low PLQY in solution and the relatively large  $k_{\text{nr}}$  values observed experimentally (Table 1).

Upon complexation, the absence of the acidic protons of the phenolates in the complex  $\text{Si}(\text{O}^1\text{NO}^2)_2$  leads to a sharply different picture. Upon  $S_1$  geometry relaxation from the admixed  $^1\text{LC}/^1\text{LLCT}$  at the Franck–Condon (FC) point, two minima are found on the PES during optimization (Fig. 10). The first state has a pure  $^1\text{LLCT}$  character and a computed



$\lambda_{\text{em,theo}}$ [nm]	415	1617	808
$\Delta G$ [kcal mol <sup>-1</sup> ]	15.2	4.2	0.0

Fig. 9 Structure, emission wavelength ( $\lambda_{\text{em,theo}}$ ) and relative Gibbs free energies ( $\Delta G$ ) of different minima on the  $S_1$  PES of the  $(\text{O}^1\text{NO}^2)\text{H}_2$  ligand.

character	$^1\text{LLCT}$	TS	$^1\text{ILCT}$
$\lambda_{\text{em,theo}}$ [nm]	528	-	492
$\Delta G$ [kcal mol <sup>-1</sup> ]	0.0	2.3	1.1

Fig. 10 Electronic density difference maps (EDDMs) for the complex  $\text{Si}(\text{O}^1\text{NO}^2)_2$  between  $S_1 \rightarrow S_0$  state, computed on the  $S_1$  PES for both minima and transition state structures along with emission wavelength ( $\lambda_{\text{em,theo}}$ ) and relative Gibbs free energies ( $\Delta G$ ). Electronically enriched and depleted areas are colored in green and red, respectively.

emission wavelength at  $\lambda_{\text{em,theo},1} = 528$  nm, while the second state possesses  $^1\text{ILCT}$  character with a computed emission at  $\lambda_{\text{em,theo},2} = 492$  nm; however, both of these values are far from the experimental emission at  $\lambda_{\text{em,exp}} = 414$  nm.

Taking into account the relative energies, the  $^1\text{ILCT}$  state lies only 1.1 kcal mol<sup>-1</sup> above the  $^1\text{LLCT}$  state, and both minima are linked by a transition state (TS) located 2.3 kcal mol<sup>-1</sup> above the  $^1\text{LLCT}$  state, which has a corresponding emission wavelength  $\lambda_{\text{em,theo,TS}} = 453$  nm. These barriers associated with the TS are low enough to allow fast hopping between the different electron density distributions. Additionally, given the overall  $C_2$ -symmetric nature of  $\text{Si}(\text{O}^1\text{NO}^2)_2$ , both minima are doubly degenerate. It should be noted that the computed energy minima of  $S_1$  are probably not representative of the average structure present in solution.

## Experimental section

### General considerations

All reagents and solvents were purchased from commercial chemical suppliers (Acros, Alfa Aesar, Sigma-Aldrich and TCI Europe) and used without further purification. NMR spectra were recorded with a 500 MHz apparatus in deuterated solvent at 25 °C. All <sup>13</sup>C NMR spectra are decoupled <sup>1</sup>H (<sup>13</sup>C{<sup>1</sup>H}). The chemical shifts ( $\delta$ ) and coupling constants ( $J$ ) are expressed in ppm and Hz, respectively. The following abbreviations are used: s: singlet; d: doublet; t triplet; q: quadruplet; quint: quintuplet; sext: sextet; sept: septet; m: multiplet; and br: broad signal. Thermogravimetric analyses were carried out by using a Q50 system from TA Instruments under air with a thermal scanning rate of 5 °C min<sup>-1</sup>.

### Synthesis

To a  $\text{CH}_2\text{Cl}_2$  solution (6 mL) of the ligand  $(\text{O}^1\text{NO}^2)\text{H}_2$  (250 mg, 0.76 mmol, 2 equiv.) in a Schlenk tube under argon at room



temperature was added a 1 M CH<sub>2</sub>Cl<sub>2</sub> solution of SiCl<sub>4</sub> (380 μL, 0.38 mmol, 1 equiv.). After 15 minutes of stirring, Et<sub>3</sub>N (250 μL, 1.8 mmol, 4.7 equiv.) was added dropwise, and the mixture was stirred for 6 hours. After evaporation of the CH<sub>2</sub>Cl<sub>2</sub> solvent, toluene was added to the crude product and the mixture was filtered to eliminate Et<sub>3</sub>NHCl. The filtered solution was concentrated and the crude product was purified by column chromatography on silica gel using a pentane/CH<sub>2</sub>Cl<sub>2</sub> (50/50 up to 0/100 gradient). The desired product was obtained in 86% yield (223 mg, 0.327 mmol).

<sup>1</sup>H NMR (500 MHz, CDCl<sub>3</sub>) δ 7.96 (dd, *J* = 7.8, 1.7 Hz, 2H), 7.71–7.67 (m, 4H), 7.66–7.61 (m, 6H), 6.96 (ddd, *J* = 8.4, 7.2, 1.8 Hz, 2H), 6.93–6.85 (m, 4H), 6.81 (ddd, *J* = 8.2, 7.3, 1.1 Hz, 2H), 6.50 (ddd, *J* = 8.2, 7.2, 1.1 Hz, 2H), 6.20 (ddd, *J* = 8.4, 5.0, 0.9 Hz, 4H). <sup>13</sup>C{<sup>1</sup>H} NMR (126 MHz, CDCl<sub>3</sub>) δ 160.7 (2C), 159.1 (2C), 154.9 (2C), 149.1 (2C), 137.7 (2C), 133.5 (2C), 132.3 (2C), 130.8 (2C), 130.3 (4C), 126.6 (4C), 125.8 (4C), 125.3 (2C), 122.1 (2C), 121.0 (2C), 119.0 (2C), 118.2 (2C), 113.5 (2C), 110.5 (2C). <sup>29</sup>Si NMR (99 MHz, CDCl<sub>3</sub>) δ –194.1. HRMS (ESI+, *m/z*) [M + H]<sup>+</sup> calc. for C<sub>40</sub>H<sub>27</sub>N<sub>6</sub>O<sub>4</sub><sup>28</sup>Si 683.1857 found 683.1846.

### Photophysical techniques

**Equipment.** The absorption spectra of fluid solution samples were measured on a PerkinElmer Lambda 650 double-beam UV-vis spectrophotometer and baseline-corrected.

Steady-state emission spectra were recorded on a Horiba Jobin-Yvon IBH FL-322 Fluorolog 3 spectrometer equipped with a 450 W xenon arc lamp, double-grating excitation, and emission monochromators (2.1 nm mm<sup>-1</sup> dispersion; 1200 grooves per mm) and a Hamamatsu R13456 red-sensitive Peltier-cooled PMT detector. Phosphorescence emission spectra were recorded on a Horiba PPD-850 red-sensitive Peltier-cooled PMT detector. Emission and excitation spectra were corrected for source intensity (lamp and grating) and emission spectral response (detector and grating) by standard correction curves.

Time-resolved measurements were performed using either the time-correlated single-photon counting (TCSPC) or the Multi-Channel Scaling (MCS) electronics option of the TimeHarp 260 board installed on a PicoQuant FluoTime 300 fluorimeter (PicoQuant GmbH, Germany), equipped with a PDL 820 laser pulse driver. A pulsed laser diode LDH-P-C-375 (λ = 375 nm, pulse full width at half maximum FWHM < 40 ps driven at a repetition rate in the range of 50 kHz–40 MHz) was used to excite the samples either with either single pulse or burst mode. The excitation source was mounted directly on the sample chamber at 90°. The photons were collected using a PMA Hybrid-07 single photon counting detector. The data were acquired by using the commercially available software EasyTau II (PicoQuant GmbH, Germany), while data analysis was performed using the built-in software FluoFit (PicoQuant GmbH, Germany).

All the PLQYs of the samples were recorded at a fixed excitation wavelength by using a Hamamatsu Photonics absolute PLQY measurement system Quantaury QY equipped with a

CW Xenon light source (150 W), mO1NO2chromator, integrating sphere, C7473 photonics multi-channel analyser and employing the commercially available U6039-05 PLQY measurement software (Hamamatsu Photonics Ltd, Shizuoka, Japan). All measurements were repeated five times at the excitation wavelength λ<sub>exc</sub> = 320 nm and their average values are reported in this article, unless otherwise stated.

ECD spectra were recorded on a JASCO J-815 spectrophotometer equipped with a JASCO Peltier cell holder PTC-423 to maintain the temperature at 25.0 ± 0.2 °C. A CD quartz cell of 1 mm of optical path length was used. The CD spectrometer was purged with nitrogen before recording each spectrum, which was baseline subtracted. The baseline was always measured for the same solvent and in the same cell as the samples. Acquisition parameters: intervals of 0.1 nm, a scanning speed of 50 nm min<sup>-1</sup>, a bandwidth of 2 nm, and 5 accumulations per sample.

**Methods.** For time-resolved measurements, data fitting was performed by employing the maximum likelihood estimation (MLE) method and the quality of the fit was assessed by the inspection of the reduced χ<sup>2</sup> function and the weighted residuals. For multi-exponential decays, the intensity, namely, *I*(*t*), has been assumed to decay as the sum of individual single-exponential decays (eqn (3)):

$$I(t) = \sum_{i=1}^n \alpha_i \exp\left(-\frac{t}{\tau_i}\right) \quad (3)$$

where τ<sub>*i*</sub> are the decay times and α<sub>*i*</sub> are the amplitudes of the components at *t* = 0. In the tables, the percentages of the pre-exponential factors, α<sub>*i*</sub>, are listed upon normalization. The intensity average lifetimes were calculated by using the following equation (eqn (4)):

$$\bar{\tau} = \frac{a_1\tau_1^2 + a_2\tau_2^2}{a_1\tau_1 + a_2\tau_2} \quad (4)$$

All solvents employed were Merck Uvasol® or Carlo Erba Spectrosol® spectrophotometric grade. Deaerated samples were prepared by the freeze–pump–thaw technique by using a custom quartz cuvette equipped with a Rotaflo® stopcock.

**Chiro-optical spectroscopy.** Optical rotation was measured on a Jasco P-2000 polarimeter with a halogen lamp (589, 578, 546, 436 and 405 nm), in a 10 cm cell, thermostated at 25 °C with a Peltier-controlled cell holder. To ascertain the stability of the enantiomers, they were dissolved in toluene, refluxed for 24 h, evaporated to dryness, and their ECD spectra in CH<sub>2</sub>Cl<sub>2</sub> were compared with the original HPLC-purified samples.

### Computational details

All calculations have been performed with GAUSSIAN 16 version C01<sup>19</sup> at the DFT level of theory employing the B3LYP functional<sup>20</sup> and including Grimme's dispersion corrections.<sup>21</sup> All atoms were described by the 6-31+G\*\* basis set.<sup>22</sup> The solvent (CH<sub>2</sub>Cl<sub>2</sub>) was taken into account through the polarizable continuum model (PCM) formalism.<sup>23</sup> All structures were





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