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Enhancing multifunctional drug screening *via* artificial intelligence

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## Enhancing multifunctional drug screening via artificial intelligence†

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Computational drug screening is of fundamental importance in early-stage drug discovery. The current computational methods predict compound affinities to their targets based on docking or pharmacophore (PH4) hypotheses. Here, we develop Alpha-Pharm3D, a versatile deep learning method that predicts ligand–protein interactions using 3D PH4 fingerprints by explicitly incorporating geometric constraints. This comprehensive new algorithm enhances substantially not only the prediction interpretability and accuracy of binding affinities of ligands against the target protein, but also the PH4 potential for screening large compound libraries efficiently. Alpha-Pharm3D outperforms state-of-the-art scoring methods in bioactivity prediction and achieves considerable improvements in both accuracy and success rate, irrespective of data scarcity. We demonstrate the superior applicability of Alpha-Pharm3D for compound screening against the NK1R, a cancer growth and metastasis related G-protein coupled receptor, yielding nanomolar active compounds. This opens up attractive possibilities for applying PH4 fingerprints to efficiently promote scaffold hopping and ultimately accelerate ligand-based drug discovery.

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## Introduction

Identifying potential candidates with novel scaffolds and desirable properties has always been a challenging and time-intensive process in modern drug discovery.<sup>1</sup> Exploring the vast chemical space is essential for hunting small-molecule drug candidates with therapeutic effects.<sup>2–5</sup> Virtual screening and molecular generation methods enhance the efficiency of modern, high-throughput drug development by evaluating binding efficacy through ligand–protein interaction predictions and compound substructure similarity matching.<sup>6,7</sup> Such methods are widely applied to drug repurposing,<sup>8</sup> scaffold hopping<sup>9</sup> and lead optimization.<sup>10</sup> They consider explicitly the interaction profile with drug targets, druggability and synthetic accessibility of the proposed molecules.<sup>11</sup>

Macromolecules exert physiological functions through the interaction with small molecular counterparts. In exploring the chemical environment of the target protein, ligands could engage in non-covalent interactions such as  $\pi$ – $\pi$  stacking, hydrophobic contacts and electrostatic interactions. The interaction patterns of a collection or ensemble of ligands or targets can be effectively integrated into a 3D pharmacophore (PH4), summarizing the key chemical and structural features involved in the interactions.<sup>12</sup> Searching for PH4s, which encode binding properties between ligands and proteins, has been a critical step for accelerating virtual screening. With the substantial growth of molecular virtual databases, the PH4 concept has regained increasing interest in drug discovery.<sup>13–15</sup> The use of PH4 fingerprints in virtual drug screening has been promising in selecting compounds fitting optimally into protein–ligand binding pockets and thereby alleviating the expensive burden of wet-lab functional screening experiments.<sup>16</sup> This cheminformatics approach facilitates the development of two-dimensional (2D) or three-dimensional (3D) Quantitative Structure–Activity Relationship (QSAR) models, thus expediting interpretable hit identification and lead optimization.<sup>17,18</sup>

Common PH4 modeling methods can be categorized into three types based on the type of input data.<sup>19</sup> (1) Ligand-based PH4 modeling identifies the chemical properties and spatial positions of functional groups within molecules by superimposing the structure of single or multiple small molecules via molecular field and substructure pattern recognition.<sup>20,21</sup> (2) The receptor-based PH4 perception method examines the

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chemical properties and surface charges of residues within the pocket by analyzing the input apo protein 3D structure and specific binding cavity coordinates.<sup>22</sup> (3) The complex-based approach depends on the high-quality structures of protein–ligand complexes and constructs a PH4 model by analyzing the chemical and structural properties of the interacting groups.<sup>23</sup> Although PH4 models constructed from complex structures offer better interpretability and accuracy, obtaining the structure of ligand–protein complexes with appropriate affinity can be challenging. Moreover, the limited availability of complex structures of various protein active states creates a hurdle to accurate PH4 modeling. Hence, integrating the geometric representation of the binding pocket into the ligand-based approach enables the modeling of multiple compounds with different scaffolds simultaneously, exploiting the advantages of multi-modal data sources and providing a suitable interface for the integration of deep learning techniques.

At present, ligand-based PH4 modeling effectively aggregates and generalizes the chemical properties of various small molecules. Such conventional methodologies are limited by the quantity and diversity of ligands. Only structurally similar compounds can be used to build feasible PH4 models, which results in generating molecules with less diversity.<sup>24</sup> This could inadvertently introduce biases towards specific functional groups, potentially hindering the scalability of the approach for high throughput drug development programs.<sup>25,26</sup> A multitude of machine learning algorithms have been developed and refined for molecular scoring and molecular generation, leveraging PH4 fingerprints.<sup>27,28</sup> Here, we argue that current machine learning methods for constructing PH4 predictions may encounter three significant challenges that could result in systematic biases, constraining their inherent extension into downstream applications. (1) Machine learning methods that lack a stringent data cleaning strategy tend to simply memorize the entire molecular samples from the training set. Thus, this leads to a diminished generalizability towards new data sets. (2) Machine learning models without integrating receptor information exhibit limited interpretability. Convolutional neural networks (CNNs)<sup>29</sup> and message-passing neural networks (MPNNs)<sup>30</sup> excel at predicting protein–ligand binding activity and PH4 attributes, by learning and updating the relationship between nodes and edges. This might merely reflect the ability to memorize training samples rather than capturing the specific chemical environment of ligand–receptor complexes. (3) In addition to the accuracy of the PH4 prediction, another critical issue is that many current machine learning models cannot incorporate screening into a comprehensive framework themselves. They rely on external software (*e.g.* Phase<sup>25</sup> or MOE<sup>26</sup>) to interpret the constructed PH4 model. These machine learning algorithms can either infer PH4 models solely from training set molecules<sup>31</sup> or rely on other computer programs, combined with expert experience, to manually generate PH4 models for virtual screening and molecular generation.<sup>32</sup>

To overcome the current limitations of predicting PH4 properties, we here develop Alpha-Pharm3D (labeled as Ph3DG), a versatile ligand-based 3D PH4 modeling and screening workflow which captures the causality between ligand

bioactivity and PH4 fingerprints. To overcome the barrier of data cleaning, we present rigorous strategies specifically trained on functional EC<sub>50</sub>/IC<sub>50</sub> and K<sub>i</sub> values of ligand–receptor interactions from the ChEMBL database. To facilitate maximum flexibility and interpretability, the workflow explicitly incorporates conformational ensembles of ligands and the geometric constraint of receptors to construct 1D trainable PH4 fingerprints. The devised architecture accurately predicts compound activities, demonstrating a competitive result of Area Under the Receiver Operator Characteristic curve (AUROC) of about 90% in a diverse range of data sets. Besides the rationally constructed PH4 models, true positive (TP) molecules are effectively retrieved from screening data sets, with a mean recall rate exceeding 25% regardless of scarcity of available data. From the comprehensive perspective of bioactivity characterization and screening power, Alpha-Pharm3D performs equal to or better than prevailing traditional and AI-based screening and scoring methods.

To assess the prediction power of Alpha-Pharm3D on different types of targets with potentially limited training data, the developed tool is applied to several important drug targets, including kinases and proteases, where it shows generally applicable performance on a series of G-protein-coupled receptors (GPCRs). In a proof-of-concept study, the Alpha-Pharm3D model prioritized three experimentally active compounds with significantly distinct scaffolds targeting the neurokinin-1 receptor, NK1R, a prototypical G-protein-coupled receptor (GPCR). Among these, two lead compounds were optimized by chemical modification to exhibit EC<sub>50</sub> values of approximately 20 nM. The outstanding performance of Alpha-Pharm3D in hit identification and lead optimization provides a novel perspective for rational drug design.<sup>33</sup>

## Methods

### Training data collection

The procedure of building training data sets involves the following steps: (1) downloading all target-specific compound activity data from the ChEMBL database (version ChEMBL34);<sup>13</sup> (2) acquiring representative high-resolution receptor–ligand complexes from the DUD-E database<sup>14</sup> (complex pdbs that do not exist in the DUD-E database are derived from RCSB PDBank<sup>15</sup>); (3) filtering out ions, cofactors and other solvent molecules and keeping only the orthogonal-binding ligands and receptors. General pipelines for all target data cleaning are illustrated in ESI Fig. S1.†

### ChEMBL data cleaning

Eight target structures with training compound activities are collected for benchmarking all scoring methods, including tyrosine-protein kinase ABL (ABL1), beta-2 adrenergic receptor (ADRB2), beta-secretase 1 (BACE1), C–C chemokine receptor type 5 (CCR5), cyclin-dependent kinase 2 (CDK2), C–X–C chemokine receptor type 4 (CXCR4), insulin-like growth factor I receptor (IGF1R) and neurokinin 1 receptor (NK1R) from the ChEMBL database (ChEMBL IDs: 1862, 210, 4822, 274, 301,





2107, 1957, and 249, respectively). The corresponding PDB IDs of complex structures are 2HZI, 3NY8, 3L5D, 6AKX, 1H00, 3ODU, 2OJ9, and 6HLP, respectively.

## PH4 preprocessing

**Ligand structure preprocessing.** As ligand conformations have significant impacts on the quality of PH4 modeling, multiple 3D conformers are first generated using RDKit EmbedMultipleConfs and optimized with the *MMFFOptimizeMolecule* function under the MMFF94 force field.<sup>34</sup> To balance between prediction accuracy and computation burden, a preliminary experiment with different numbers of conformer settings to the NK1R training compounds (*i.e.*, 5, 10, 15, 20, and 25) is conducted (see ESI Fig. S2†). Compounds with rigid and less rotatable 3D structures will not yield as many as the pre-defined number of conformers, and thus a maximal number of conformers are retained to the next step. Considering the competitive efficiency and accuracy performance of embedding 5 conformers per molecule, we determine the optimal number of conformers used in the training stage for all other targets. Valid probe conformers are then aligned with the crystallized reference, using the mixed scheme of the Open3DALIGN rigid-body alignment method with atomic types and charges as well as PH4 properties superimposed.<sup>35</sup> Molecular properties (*e.g.*, atom types, charges and valences) for alignment are computed *via* the *MMFFGetMoleculeProperties* function. Molecules with rare elements (*e.g.*, As, I, and Sr) that lack corresponding force field parameters are excluded in chemical property calculations and subsequent alignments. The resulting conformers are used to compute PH4 fingerprints and voxelization into Euclidean 3D space.

**Coordinate voxelization.** To prepare the numerical input for model training, we consider the discrete distribution of PH4 grids rather than compound coordinates to ensure rotational and translational invariance.<sup>36</sup> Box boundaries are first determined by the maximum and minimum values of the *x*, *y*, and *z* axes of all generated and aligned atomic coordinates. According to the boundary values, 3D conformational space is sliced and divided by a step length of 1 Å. For instance, 2773 NK1R training compounds are embedded into a 3D box  $([-22, 33, -23, 33, -5, 61])$  with  $55 \times 56 \times 66$  grids along the *x*, *y*, and *z* axes, respectively, containing 203 280 grid points. Thus, atomic coordinates are transformed into 3D grid points.

**Exclusion volume preparation.** Based on the determined coordinate boundary, exclusion volume is calculated using the geometric relationship between the PH4 feature points and ligand-binding pocket.<sup>37,38</sup> Pocket atomic coordinates  $\mathcal{P} \in \mathbb{R}^{n \times 3}$  are transformed into grid vertices  $\mathcal{V} \in \mathbb{R}^{n \times 3}$  to calculate a convex hull.<sup>39</sup> Due to the inherent polyhedron shape of the binding pocket, the Möller-Trumbore algorithm is applied to scan the intersection of all triangles formed by pocket vertices with a ray.<sup>40,41</sup> The PH4 feature point is located inside the polyhedron if the total number of rays intersecting all triangles is odd. In contrast, feature points that are outside the pocket surface indicate high probability of clashing with protein atoms. By detecting the number of intersections, PH4 features that are

within the pocket polyhedron will be further incorporated into the model input array.

Given pocket grid point coordinates  $\mathcal{V}$ , the polyhedron object  $\mathcal{H}$  is initialized using the *ConvexHull* function of the Scipy spatial package.<sup>42</sup> Then, vertex triangles  $t$  are constructed for all simplices from the specific polyhedron object. For the ray used to intersect with, the ray origin points  $o$  are defined by (1,0,0). To assess whether the ray intersects with or is parallel to the simplex triangles, determinant  $d$  is calculated to represent the 3D spatial relation between the ray and the triangular plane:

$$d = \mathbf{e}_1 \cdot (\mathbf{o} \times \mathbf{e}_2) \quad (1)$$

where  $\mathbf{e}_1$  and  $\mathbf{e}_2$  are vectors representing edges of a specific triangle and  $\mathbf{o}$  indicates the ray direction. If  $d$  is close to 0, the ray is parallel to the triangle plane, and thus, no intersection exists. The conditions of no intersection given two barycenter coordinates  $u$  and  $v$  are calculated as follows:

$$u = \frac{1}{d} \times [\mathbf{s} \cdot (\mathbf{o} \times \mathbf{e}_2)] \quad (2)$$

$$v = \frac{1}{d} \times (\mathbf{o} \cdot \mathbf{q}) \quad (3)$$

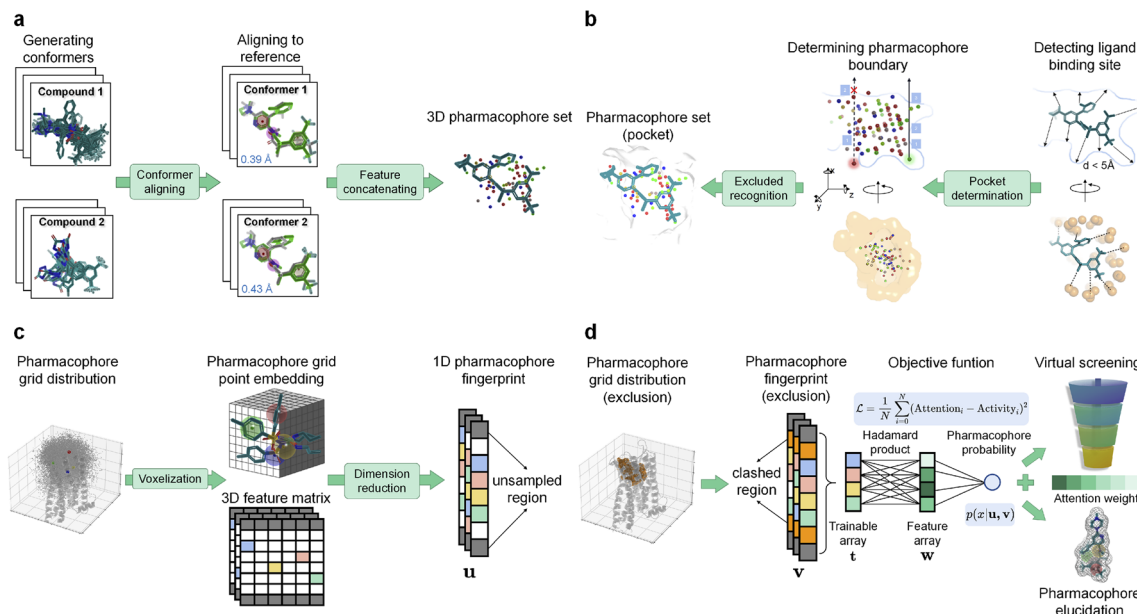
where  $\mathbf{o}$  is the ray origin,  $\mathbf{s} = \mathbf{o} - \mathbf{v}_0$  is the vector from the ray origin to the triangle vertices, and  $\mathbf{q} = \mathbf{s} \times \mathbf{e}_1$  is the vector cross product of  $\mathbf{s}$  and  $\mathbf{e}_1$ . The condition of intersection  $t$  is calculated using:

$$t = \frac{1}{d} \cdot (\mathbf{e}_2 \cdot \mathbf{q}) \quad (4)$$

where  $\varepsilon$  is a small constant set at  $10^{-9}$ . In this study, pocket overlapping atoms are defined as atoms that are in contact with reference ligands within the 5 Å range which is an adjustable variable. Determined by the *get\_distance* command in the PyMol API,<sup>43</sup> the coordinates of overlapping atoms are used to construct the initial exclusion volume boundary representing the pocket surface and are then transformed into polyhedron grid points (for the detailed ray casting algorithm see ESI Algorithm S1†).

**PH4 featurization.** PH4 features  $\mathcal{U}(f)$  and 3D coordinates  $\mathcal{U}(x, y, z) \in \mathbb{R}^{n \times 3}$  are subsequently calculated using the RDKit ChemicalFeatures library and placed into the corresponding grid box. Six types of PH4 features, including hydrogen-bond donor (0), hydrogen-bond acceptor (1), ionizable (2), aromatic (3), hydrophobic (4), and lumped hydrophobic (5), are detected and assigned distinct integer feature numbers for each aligned conformer using the BuildFeatureFactory method. Other trivial PH4 features assigned feature number  $-1$  are not involved in the input feature. The feature type is then converted to a one-hot vector of length 6. PH4 one-hot encoding for all grid points is used to construct a 2D feature matrix  $\mathcal{F}$  with columns representing feature vectors and rows indicating grid numbers. The 2D feature array is then vertically stacked for all conformers, thus generating a 3D feature matrix  $\mathcal{F} \in \mathbb{R}^{G \times F \times M}$  (Fig. 1a) (for detailed molecular voxelization and the featurization algorithm see ESI Algorithm S2†).





**Fig. 1** Architecture and training process of Alpha-Pharm3D. (a) Schematic diagram of the molecular embedding process. Multiple conformations are aligned to the reference molecule to obtain a set of PH4s in 3D Euclidean space. (b) Schematic graph of pocket detection and PH4 constraints. The receptor pocket is detected in a 5 Å atomic environment surrounding the reference ligand, represented by orange surface and spheres. PH4 features within the pocket polyhedron surface are calculated by the Möller–Trumbore algorithm. (c) Voxelization and construction of PH4 fingerprints. PH4s of all training compounds are concatenated and embedded into 3D grid space. The unsampled region (grids in gray) is defined by grids that are not sampled by any PH4 of training compounds, while the white grids indicate no features for the specific conformer. (d) Training process incorporated with exclusion volume. The trainable array is truncated and masked by considering exclusion volume which improves model's interpretability. Given the condition of exclusion volume  $v$  and unsampled region  $u$ , the output PH4 probability  $p(x|u,v)$  of a specific compound can be regarded as ligand binding probability, while the attention weight is outputted to rank all potential PH4s for virtual screening.

### Matrix simplification and exclusion volume incorporation.

To simplify the training input, two categories of grids are searched in the binned 3D space: (1) grids without any PH4 features; (2) sterically overlapping grids as defined by the exclusion volume boundary. Grids with all-zero vectors  $[0,0,0,0,0]$  containing no PH4 feature are set as untrainable ( $U(f) = -1$ ). Overlapping grids are detected by judging whether the specific grid coordinates are inside pocket exclusion volume or not as described in the “Exclusion volume preparation” section. The first type of grid is consistently removed to simplify input and improve training efficiency, while the second category could be applied optionally depending on whether a high resolution receptor structure is available. In this study, pocket geometric constraints are incorporated for all benchmarking systems during the training process, while this information is masked to improve model transparency in the screening stage. As a result, the number of grid points is converted from 203 280 to 26 894 grids for the “Alpha-Pharm3D-MLP” variant (excluded volume detection) in the case of training NK1R (Fig. 1b).

**Training data preprocessing.** To enable the deployment of the feature matrix on a GPU, 3D grid coordinates are subsequently flattened into a 1D vector in the order of  $x, y$ , and  $z$  axes using the *ravel\_multi\_index* function in NumPy v.1.24.4 to obtain a flattened grid index.<sup>44</sup> We construct a 2D attention index matrix  $Z \in \mathbb{R}^{G \times 2}$  by superimposing all the conformation ensembles and then obtain a 2D fingerprint tensor  $T \in \mathbb{R}^{M \times G}$  as training input.

The attention matrix  $Z$  contains two columns with one representing grid index with any features and another involving feature type integers. In the case of the NK1R data set, the shape of the attention matrix is (5920, 2). After building the index library, the conformer-specific tensor is obtained *via* a one-hot encoding scheme representing the existence of a specific PH4 in the specific conformer. Thus, the lengths of tensors are fixed to be the PH4 feature dimensions and the number of tensors corresponds to the number of conformers. In the study of the NK1R data set, the shape of the trainable tensor is (13 775, 5920). These constant length tensors containing both positional and PH4 information are compatible and amenable to acting as the input of MLP and diffusion models. For detailed input data preparation and pre-processing procedures see ESI Fig. S1.† For a detailed matrix simplification algorithm see ESI Algorithm 3.†

**Alpha-Pharm3D deep learning architecture.** Alpha-Pharm3D is an innovative ligand-based deep learning method that develops the PH4 principle within the confines of exclusion volumes of the target-ligand binding pockets. It screens compound libraries based on their PH4 features. By leveraging the devised deep learning framework, the model distinguishes PH4 grid distribution patterns of the most potent molecules proposing sets of potential PH4s. Consequently, the better the PH4 grid distribution of a given compound aligns with that of the most active molecules, the greater is its PH4 potential and corresponding score. Furthermore, the higher the similarity is



between the PH4 set of screened molecules and the hypothetical PH4, the more favorably they are ranked.

For each target-specific molecular set, compounds with positive or negative binding affinity labels are considered training samples that undergo conformational enumeration, alignment and voxelization into 3D grid space, confined within the binding pocket. Prior to embedding the training compounds into 3D grid points, a conformational augmentation layer is incorporated to produce multiple conformers for each compound, thereby integrating ligand flexibility information. During the alignment phase, the generated conformers are aligned to a reference molecule considering both atomic coordinates and PH4 properties (see the “Ligand structure preprocessing” section and Fig. 1a). Next, the PH4 coordinates of the generated compounds are voxelized into a 3D grid box yielding a PH4 feature matrix for each conformer (see the “Coordinate voxelization” section). After voxelizing the conformers, an exclusion volume module can be selected to confine ligand PH4 sampling space within the ligand-binding pocket, leveraging a ray-intersection geometric algorithm. The specific shape of the ligand-binding pocket is incorporated to restrain PH4s from sampling the exterior polyhedron space by filtering out atomic-clash grids (see the “Exclusion volume preparation” section and Fig. 1b). To streamline the data structure, the 3D PH4 feature matrix is converted into a 1D trainable fixed-length array, known as the PH4 fingerprint, which encapsulates both spatial and PH4 information into a latent representation (see the “Training data preprocessing” section and Fig. 1c). During the Alpha-Pharm3D training process, two deep learning models, namely multi-layer perceptron (MLP) and stable diffusion, are introduced to capture the attentive PH4 distributions of the compounds. If the validation loss attains a global minimum, the model will stop the training phase and a feature importance matrix alongside the predicted PH4 score for each compound is established (Fig. 1d).

**Alpha-Pharm3D-MLP.** We build a 3D ligand-based PH4 prediction model with a commonly used feed-forward neural network (FFN). The framework takes a set of feature tensors for each molecule as input vector  $T \in \mathbb{R}^{M \times G}$ . The output contains model checkpoints for screening and grid attention weights  $W$  for ranking features (for detailed training and weighting procedures of Ph3DG see ESI Algorithm 4†). The 1D feature tensor dataset is split into training, validation and testing subsets with ratios of 80%, 10% and 10%, respectively. The *StratifiedKFold* strategy is used to ensure the proportion of different activity labels of samples in the testing set to be identical to that in the original dataset. Randomly initialized weight tensors are applied to calculate Hadamard products with trainable feature tensors, i.e., multiplication of corresponding element positions.

$$(A \odot B)_{ij} = a_{ij} \times b_{ij} \quad (5)$$

where  $A$  represents the simplified feature tensors and  $B$  denotes the random weight tensors with identical order of matrix  $ij$ . During the readout phase, Hadamard products are processed by average pooling within each molecule, obtaining a single attention weight across all features.

$$\text{pooling}(x, i) = \frac{1}{k} \sum_{a=0}^{k-1} x[i+a] \quad (6)$$

where  $x$  indicates the input feature tensor,  $i$  represents the initial position of pooling, and  $k$  denotes the length of the input tensor. The objective function is calculated by minimizing the commonly used Mean Square Error (MSE) between predicted and ground truth activity labels implemented with the PyTorch v.1.21.1 nn module.<sup>45</sup>

$$\mathcal{L}_{\text{MLP}} = \frac{1}{N} \sum_{i=1}^N [\|\hat{y}(x_i) - y_i\|^2] \quad (7)$$

where  $N$  denotes the number of samples in each epoch and  $y_i$  indicates the vector of ground truth activity labels representing the model predicted label of raw data  $x_i$ . The MLP is constructed via PyTorch v.1.21.1 backend using the Adam optimizer with a learning rate of  $10^{-3}$ . Batch size is set at 16. The maximum number of epochs is tuned to be 10 000 with an early stopping patience of 500 applied on the validation loss. 5-Fold cross validation is performed by shuffling training and validation sets. The output weight tensors are then validated by testing the data set and transformed into 3D grid space representing the spatial coordinates with top-ranked frequencies of feature occurrences. Training processes are all conducted on a single RTX3090 GPU. Detailed hyperparameters are summarized in ESI Table S2.† After training, the frequency of feature occurrences is saved and top 20 features with the highest training weights are used to construct the initial PH4 model (Fig. 2).

**Alpha-Pharm3D-Diff.** To compare the constructed PH4 interpretability, we utilize an identical feature tensor to train a simple diffusion model with the FFN framework. The neural network architecture consists of three fully connected layers and outputs a scalar probability to represent the PH4 binding affinity. The three layers are connected with the ReLU activation

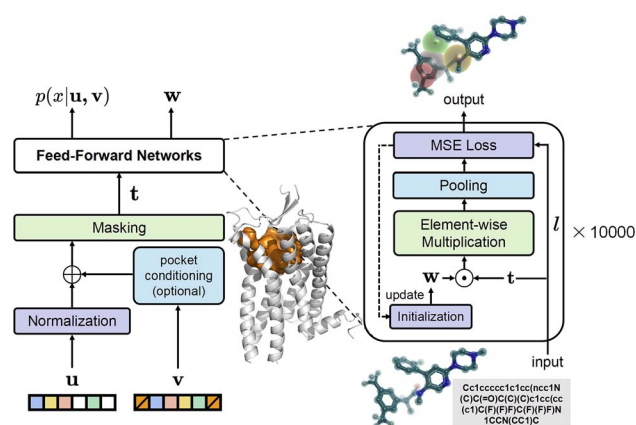


Fig. 2 Visualized scheme of the Alpha-Pharm3D-MLP model. The multi-modal inputs comprise bioactivity label  $l$  and trainable array  $t$  which can be prepared from molecular SMILES strings or 3D conformers. The model captures weight matrix  $w$  by iteratively multiplying it with  $t$  under the constraints of exclusion volume vector  $v$  and ligand vector normalization  $u$ . The updated  $w$  can be regarded as PH4 attentions with the specific ligand  $x$  binding probability  $p(x|u, v)$  outputted.



function. The feed forward function adjusts the timestep into the column vector which is then concatenated with input features. The maximum boundary of timestep  $t$  is set at 100. The noise intensity parameter  $\beta$  is set as a variable value ranging from 0.001 to 0.2 with a step of  $t$ . During the forward diffusion process, noise  $\beta_t$  is gradually updated and added until the PH4 feature space is completely covered by stochastic noise:

$$x_t = \sqrt{1 - \beta_t} \cdot x_{t-1} + \sqrt{\beta_t} \cdot \varepsilon_t \quad (8)$$

where  $\beta_t$  is the intensity of noise in each iteration step and  $\varepsilon_t$  is the standard normally distributed noise. The reverse denoising process aims to recover original input  $x_0$  from noisy data  $x_t$ :

$$p_\theta(x_{t-1}|x_t) = N(x_{t-1}; \mu_\theta(x_t, t), \sigma_t^2 I) \quad (9)$$

where  $p_\theta(x_{t-1}|x_t)$  is the conditional probability distribution of time  $t-1$  given time  $t$ ,  $\mu_\theta(x_t, t)$  is the prediction mean value of recovering original data from  $x_t$ , and  $\sigma_t$  is the variance of the noise control variable. The loss function is computed by MSE between predicted and original input  $x_0$  to measure the denoising performance:

$$\mathcal{L}_{\text{Diff}}(\theta) = E_q[\|f_\theta(x_t, t) - x_0\|^2] \quad (10)$$

where  $f_\theta(x_t, t)$  is the model output of original data  $x_0$  and  $q$  is the distribution of samples representing the process of drawing samples from a real data distribution. The training process optimizes model parameters  $\theta$  through back propagation by minimizing the difference between the output denoised data and the original raw data. The diffusion model is constructed via PyTorch v.1.21.1 backend using the Adam optimizer with a learning rate of  $10^{-4}$ . Batch size is set at 32. The maximum number of epochs is tuned to be 1000 with an early stopping patience of 50 applied on the validation loss. 5-Fold cross validation is performed by shuffling training and validation sets. Training epochs are all conducted on a single RTX3090 GPU. Detailed hyperparameters are summarized in ESI Table S3.† For detailed model training loss, see ESI Fig. S3.†

## Model evaluation metrics

**Area under the receiver operating curve (AUROC).** Model performances are first assessed by the classification accuracy on testing subsets with an initial threshold of 0.5 to discern active and inactive samples. The predicted and ground-truth labels in testing sets are subsequently evaluated using AUROC (area under the receiver characteristic curve) calculated with the backend of the scikit-learn v.1.0.2 metrics method.<sup>46</sup> The Youden index is determined by comparing true positive rates (TPRs) and false positive rates (FPRs) in the testing set to obtain the optimal classification cutoffs.<sup>47</sup> The distributions of prediction are visualized to examine the overall performance of classification.

**Area under the precision recall curve (AUPRC).** AUPRC is used to evaluate model training with imbalanced distributions of active and inactive samples. In this study, precision and recall rates are calculated by invoking the *precision\_recall\_curve* function with the sklearn.metrics implementation. Given these rates, AUPRC is computed using the *auc* function.

**Boltzmann-enhanced discrimination of the receiver operating characteristic (BEDROC).** The model is then evaluated with the BEDROC score, which is commonly utilized to assess the early recognition of true positive compounds of virtual screening methods.<sup>48</sup> The score, ranging from 0 to 1, represents the ranking power of a method to initiate an active coming from a hypothetical exponential PDF (probability distribution function) with parameter  $\alpha$ . In this study,  $\alpha$  is set at 20 for all benchmarking methods.

**Success rate (SR).** Success rate is a metric used to evaluate the enrichment capability of docking or scoring methods to propose active compounds at the top-ranking list. In this study, we examine the ratio of active compounds found within the top 1%, top 5% and top 10% of the total output list.

$$\text{SR} = \frac{\text{number of active compounds within top}(x\%)}{\text{total number of top}(x\%)\text{compounds}} \quad (12)$$

**Alpha-Pharm3D inference and screening strategies.** In the screening phase, unlabeled molecules from a hand-curated database are constructed and embedded into a box identical to that of the training set adhering to the previously outlined procedures. The screening database (retrieved in June 2021) contains 14 720 FDA-approved and clinical-candidate rule-of-five (Ro5) molecules with chiral and ionizable compounds enumerated. The similarity between PH4 grid coordinates and properties of the trained model and pre-screened library is evaluated to rank all unlabeled molecules based on PH4 potential. Consequently, the enriched compounds exhibit PH4 characteristics that share a distinct similarity of PH4 feature types and coordinates with the detected PH4. For each ligand PH4 grid, 4 dimensions, including feature types, x-grid, y-grid, and z-grid, are considered to match the top 20 weighted features. Conformers containing more than four mapping features are considered fully PH4-potential hit compounds. Conformers that match 1–3 features are also evaluated by calculating the recall rate and enrichment factor.

**Definition of scoring functions.** To rank the screened compounds with the identical number of matching features, we develop a scoring function that compares the potential of screened compounds to be brought to the next stage of lead optimization.

$$\mathcal{L} = 10 \times \mathcal{L}_{\text{weight}} + 0.5 \times \mathcal{L}_{\text{strain}} + 0.5 \times \mathcal{L}_{\text{clash}} \quad (13)$$

$$J = \text{sensitivity} + \text{specificity} - 1 - \frac{\text{true positives}}{\text{true positives} + \text{false positives}} + \frac{\text{true negatives}}{\text{true negatives} + \text{false positives}} - 1 \quad (11)$$





where  $\mathcal{L}_{\text{weight}}$ ,  $\mathcal{L}_{\text{strain}}$ , and  $\mathcal{L}_{\text{clash}}$  indicate weight scores, strain energies, and clash scores, respectively. All scoring components have values bounded between 0 and 1. Three coefficients are manually incorporated to reweight each scoring component which emphasizes the importance of matching features, where it can also be user-defined. The equations for calculating the scoring components are as follows:

$$\mathcal{L}_{\text{weight}} = \frac{\sum_{i=1}^n \text{matched feature weights}}{\sum_{i=1}^{20} \text{feature weights}} \quad (14)$$

$$\mathcal{L}_{\text{strain}} = \frac{E_{\text{minimum molecule}}}{E_{\text{matched conformer}}} \quad (15)$$

$$\mathcal{L}_{\text{clash}} = \frac{N_{\text{clashed atom}}}{N_{\text{total atom}}} \quad (16)$$

$\mathcal{L}_{\text{strain}}$  measures the strain energy of the matched conformer and energetically minimize conformer given an identical 2D chemical structure. A larger value indicates a more stable 3D conformation of the matched compound.  $\mathcal{L}_{\text{clash}}$  compares the number of clashed atoms and total atoms of the matched compounds. A larger score denotes that the matched compounds have more atomic clashes with the receptor, which is unfavorable for further optimization. In this study, feature weights are of PH4 importance predicted by the Alpha-Pharm3D model while molecular strain energies and clash scores are calculated with the RDKit toolkit. Clashed atoms are defined by ligand heavy atoms that appear within 2.5 Å of the protein pocket.

**Scoring evaluation metrics.** The performances of various PH4 screening models are evaluated by recalling and ranking positive compounds within the top ranking list. The first set of metrics evaluating scoring power aimed at assessing the robustness of finding true positive molecules.

(1) Recall rate: the proportion of successfully identified positive conformers to the total number of positive conformations. The ratio represents the sensitivity of the established model in identifying positive samples.

(2) Enrichment factor (EF): a commonly used metric to evaluate the enrichment extent of a specific subset that appears in the total population.

$$\text{EF}(x\%) = \frac{\frac{n_{\text{screened actives}}}{n_{\text{screened actives}}/N_{\text{screened}}}}{\frac{n_{\text{total actives}}}{n_{\text{total actives}}/N_{\text{total}}}} \quad (17)$$

Another metric ranking power (RP) is obtained by calculating the statistics of the ranking proportion of positive compounds.

$$\text{RP} = \frac{\text{positives ranking number}}{\text{of top}(x\%)\text{compounds}} \quad (18)$$

To guarantee the fairness of comparison, top ( $x\%$ ) is selected according to the Alpha-Pharm3D screening results, *i.e.* top 2465 (for ABL1), 884 (ADRB2), 1039 (BACE1), 1173 (CCR5), 551 (CDK2), 959 (CXCR4), 1430 (IGF1R), and 406 (NK1R) are

determined for different targets. For all baseline models, 2.5% and 16.7% of the total screening data sets are used to calculate the enrichment factor.

**Baselines.** Considering code availability and state-of-the-art performance of current established models, baseline frameworks are used to compare training accuracy and screening enrichment with Alpha-Pharm3D with those of the identical data sets described above. The selected baselines including both machine learning-based and traditional physics-based models are utilized to evaluate the targets:

(1) Schrodinger virtual screening module (PHASE):<sup>25</sup> a commercialized toolkit allowing the preparation of virtual compound libraries, creation of PH4 models, and screening of databases against defined PH4 hypotheses. In this study, proof-of-concept screening data sets are prepared as a phdb database with 5 conformers generated for each molecule. Crystallized ligands with receptor structures are utilized to create a PH4 model with exclusion volume considered. For each target, 4–6 PH4 features are automatically set as criteria to screen the proof-of-concept compound library. Other parameters are kept as default based on the official tutorial.

(2) Schrodinger molecular docking module (Glide SP):<sup>23</sup> a commercialized toolkit incorporating receptor grid generation and ligand docking. In this study, crystallized ligands are used as orthogonal binding ligands to define the binding site of the specific target. Other settings are kept as default.

(3) Autodock-Vin:<sup>49</sup> a freely accessible docking and scoring method that prepares receptor grid and ligand conformations. In this study, 32 conformations are generated per docking compound.

(4) PLANET:<sup>50</sup> an open-source graph neural network to score compounds of interest. The model depends on the input of the optimized protein structure, the reference ligand, and pre-screened ligands. Note that the toolkit can only output compound scores without binding poses as the output compounds are in 2D. In this study, we use only the prediction mode of this method to score data sets of interest.

(5) EquiScore:<sup>51</sup> a state-of-the-art pre-trained model to score and screen compound data sets. In this study, we use only the screening mode to score protein–ligand interactions without retraining the model. Screening with EquiScore is implemented on a single RTX3090 GPU. Models to construct PH4s include CavPharmer<sup>52</sup> and Phase, where the input considers both ligand and protein structures. Other parameters are set as default.

**Molecular dynamics simulations of NK1R-ligand complexes.** For the NK1R, protein missing loops ICL3 (S226–E234) and residues Y274 in ECL3 and C318 in helix 8 are filled with Modeller17 v.10.1 (ref. 53) and the NK1R human amino acid sequence (UniProt ID: P25103). Hit and lead compounds for simulation are preprocessed to generate energetically favorable conformations and protonation states using Epik under the OPLS4 empirical forcefield.<sup>54,55</sup> Ligands and ligand–protein complexes are preprocessed using the LigPrep and Protein preparation module of Maestro.<sup>56</sup> All-atom molecular dynamics simulations are carried out using the GROMACS-2020v4 engine<sup>57</sup> under the charmm36m forcefield.<sup>58</sup> The bilayer membrane system is prepared using the *Bilayer Builder* module in the CHARMM-GUI server.<sup>59</sup> A





rectangular simulation box is applied with a  $90 \times 90 \times 160 \text{ \AA}^3$  volume for incorporating binding compounds and receptor complex structures. The bilayer membrane is composed of palmitoyl-oleoyl-phosphatidylcholine lipid (POPC). The thickness of the bilayer membrane is automatically determined to be  $32 \text{ \AA}$  with the other size composed of  $22.5 \text{ \AA}$  water layers. The simulation system contains  $0.15 \text{ M NaCl}$ . An energy minimization and subsequent six equilibration steps are performed before the production run. The six equilibration runs last for  $0.0625$ ,  $0.125$ ,  $0.125$ ,  $0.5$ ,  $0.5$ , and  $0.5$  nanoseconds (ns). The long-range Coulomb interaction type is defined by the Particle Mesh Ewald (PME) method.<sup>60</sup> The non-bonded interactions are set as buffered neighbor searching *via* the Verlet algorithm,<sup>61</sup> while short-range electrostatic and van der Waals interaction cutoffs are set to  $1.0 \text{ nm}$ . Bonded settings comprise the LINCS algorithm to constrain all hydrogen bonds interactions.<sup>62</sup> The integrator for the energy minimization process is selected as the steepest descent minimization algorithm. Berendsen is selected for the temperature coupling algorithm<sup>63</sup> where 'protein and ligand', 'lipids', and 'water and ions' are considered three individual coupling groups with reference temperature set at  $303.15 \text{ K}$ . With the proceeding of equilibration, protein backbone and side-chain atoms are imposed with positional constraints decreasing gradually from  $4000$ ,  $2000$ ,  $1000$ ,  $500$ ,  $200$  to  $50$  and from  $2000$ ,  $1000$ ,  $500$ ,  $200$ ,  $50$  to  $0$ , respectively. Position restraints of lipids are decreasing from  $1000$ ,  $400$ ,  $200$ ,  $40$  to  $0$ , correspondingly. Each compound is relaxed with a production run lasting for  $100$  nanoseconds (ns) with three parallels to alleviate stochastic sampling. The production run is strided by  $1000$  to evaluate root mean squared deviation (RMSD). A simulation in which the RMSD fluctuation during the final  $10 \text{ ns}$  of the trajectory remains within  $0.1 \text{ \AA}$  is considered to be equilibrated and converged. The  $100 \text{ ns}$  trajectory of a single compound is used to construct ligand-specific dynamic PH4 models. Conformations with RMSD higher than  $0.3 \text{ \AA}$  (compared to the initial binding conformation) are classified as inactive samples. All conformations within  $100 \text{ ns}$  are separated in equal time intervals ( $0.1 \text{ ns}$ ) to obtain  $1000$  frames to train the Alpha-Pharm3D model. The captured distribution of PH4 grid points is used to assess the binding stability of a specific hit compound.

**BRET assays.** The cell-based functional assays using Bioluminescence Resonance Energy Transfer (BRET) follow a protocol published elsewhere.<sup>64</sup> In brief, the modified NK1 receptor fused to nano-luciferase (NK1R-NanoLuc) is co-expressed in HEK cells together with mini  $G_q$ -Venus (mini  $G_q$  protein fused to the Venus fluorescent protein, for details see ref. 64) or  $\beta$ -Arrestin2-Venus ( $\beta$ -Arrestin2 fused to the Venus fluorescent protein, for details see ref. 65). The formation of an active complex between NK1R-NanoLuc and mini  $G_q$ -Venus or between NK1R-NanoLuc and  $\beta$ -Arrestin2-Venus is measured *via* BRET between the fused NanoLuc and Venus proteins. HEK293T (ATCC, Cat # CRL-11268) cells were grown in Dulbecco's Modified Eagle Medium (DMEM), supplemented with Fetal Bovine Serum (FBS) and penicillin-streptomycin. Cells were plated and transfected with NK1R-NanoLuc and/or mini  $G_q$ -Venus/ $\beta$ -Arrestin2-Venus concurrently for  $24 \text{ h}$  before each experiment using polyethylenimine (PEI) as a transfection reagent. Before measurement, cells were washed with Hank's Balanced Salt Solution (HBSS) and seeded into a 96-

well plate. Furimazine and compounds were added at corresponding concentrations, respectively. After  $5 \text{ min}$  of incubation with the compounds to be tested, cellular BRET signals were measured at wavelengths of  $440$  and  $530 \text{ nm}$ . Under each condition, measurements were performed in four parallel wells. Reported data are average values of three independent experiments.

## Results

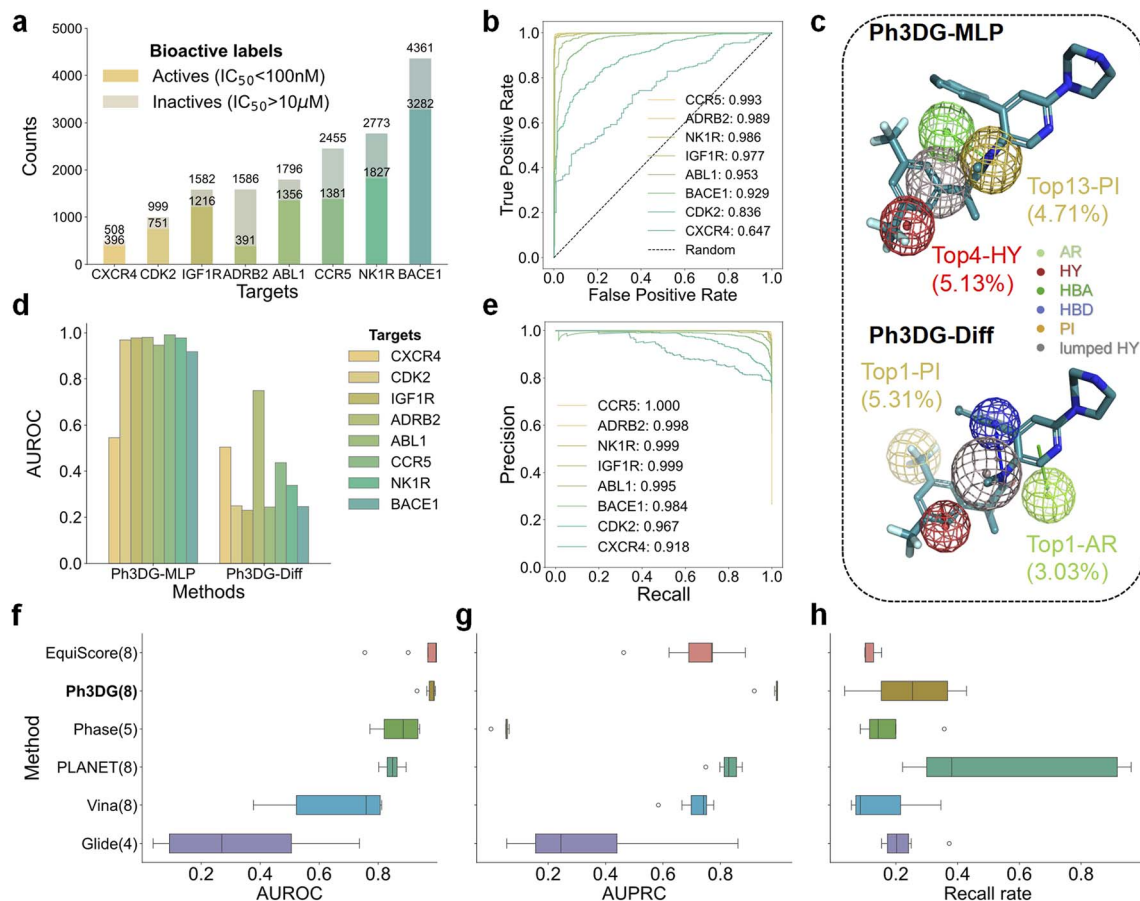
### Generalizability of Alpha-Pharm3D-MLP in accurately predicting compound bioactivity

As the Alpha-Pharm3D framework allows establishing PH4 models, categorizing compound bioactivities, and subsequently screening for unseen compounds, its efficiency in predicting protein-ligand affinity according to the PH4 perceptron is assessed. To ensure generalizability, 8 targets with different ratios of active compounds are selected to train the Alpha-Pharm3D-MLP (denoted as Ph3DG) model and other baseline methods (Fig. 3a). In general, Ph3DG demonstrates balanced AUROC and AUPRC performance in categorizing the activity of compounds across diverse targets (Fig. 3b and e). Significant correlation between sample size and accuracy suggests that the Ph3DG model may achieve better predictivity with sufficient ( $>1000$ ) training data (see ESI Fig. S4†). To be specific, CXCR4 and ADRB2 with minimal numbers of total and active training samples, respectively, are well characterized by Ph3DG, achieving an AUPRC of  $0.918$  and  $0.998$ , respectively (see ESI Fig. S5†). This performance validates the robustness of Alpha-Pharm3D in a data imbalance and scarcity scenario. As depicted in Fig. 3c and d, Alpha-Pharm3D-MLP significantly outperformed Alpha-Pharm3D-Diff (also denoted as Ph3DG-Diff) in achieving superior classification accuracy for all eight targets. In addition, four out of the top 20 important PH4 features, that were identified by the MLP framework, precisely focus on the chemical attributes of the reference molecule. They accurately pinpoint a positively ionizable center (PI), a trifluoromethyl hydrophobic group (HY) and a lumped hydrophobic backbone. In contrast, the hydrogen-bond donor (HBD) and aromatic group (AR) features modeled through diffusion architecture are notably more sparsely dispersed from the reference molecule, with PI being erroneously identified (Fig. 3c). These results demonstrate that the MLP framework surpasses the diffusion variant in terms of accurately classifying the activity of labeled compounds and enhancing the reliable construction of the PH4 model.

### Robustness and interpretability of Alpha-Pharm3D in comparison with baseline methods

By comparison, three traditional scoring methods (Phase, Glide and Vina) and two state-of-the-art machine learning-driven algorithms (PLANET and EquiScore) are introduced as baseline methods (see the "Baselines" section in Methods). Alpha-Pharm3D exhibits outstanding AUROC and AUPRC performances and ranks within the top 3 among various scoring methods (Fig. 3f and g). It is noteworthy that conventional docking and PH4 screening methodologies, namely Glide and Phase, are inherently limited in their ability to identify





**Fig. 3** Performance of Alpha-Pharm3D and its variant in categorizing the bioactivities of training compounds. (a) Barplots representing training data sets (compounds with activity labeled) across various targets. Data cleaning strategies of the ChEMBL database are illustrated in Methods. (b) AUROC curves of the Alpha-Pharm3D-MLP model. The black dashed line represents random classification results. (c) 3D PH4 features trained on various models aligned with the reference NK1R ligand, Neutpitant (PDB: 6HLP). Essential feature attentions are indicated in brackets. PH4 features are indicated by mesh balls with different colors, i.e. blue: HBD, green: HBA, yellow: PI, pale green: AR, red: HY, and gray: lumped HY. (d) Barplots showing AUROC scores predicted by Alpha-Pharm3D variants, indicating its generalizability across various classes of receptors. Ph3DG-MLP represents Alpha-Pharm3D trained with a multi-layer perceptron (MLP), while Ph3DG-Diff indicates Alpha-Pharm3D trained with a diffusion model. (e) AUPRC curves of the Alpha-Pharm3D-MLP model. (f–h) Boxplots of AUROC, AUPRC and recall rates across benchmarking methods, respectively, showing the stability of the Alpha-Pharm3D-MLP model in predicting and enriching active compounds from eight different targets. Numbers in brackets represent the total number of targets scored by a specific method. Stars represent the outliers for each baseline. AUROC and AUPRC scores evaluate the classification of bioactivity performance during the training phase, while recall rates indicate the performance of screening power of different baselines.

biologically active molecules among specific target subsets. A challenge arises where bioactive molecules fail to meet the defining criteria of PH4s or the necessary interactions, rendering them undetectable by these methods (see ESI Fig. S6†). Alpha-Pharm3D performs excellently in enriching TP samples among molecules that possess the highest PH4 prediction scores, especially those among the top 1% molecules (see ESI Fig. S7†). The comparable performance demonstrated by Alpha-Pharm3D-MLP underscores the ability of the Alpha-Pharm3D model to distinguish significant PH4 patterns, in contrast to merely memorizing the entire training samples.

To verify the interpretation of the predicted distributions of PH4s, the Alpha-Pharm3D constructed PH4 model is visualized and evaluated based on two criteria: (1) the precision of PH4 chemical features compared to a reference ligand; (2) the

distribution of PH4 coordinates within refined exclusion volume constraints of ligand binding sites. Alpha-Pharm3D not only models the exclusion volume within ligand binding sites, but also furnishes interaction guidance surrounding the reference molecule (ESI Fig. S8†). The top 10 weighted features identified by Alpha-Pharm3D encompass representative protein–ligand interaction modes that exhibit structural and chemical similarities to the reference ligand. Meanwhile, the top 50 features generated by Alpha-Pharm3D exhibit a more compact and dense distribution over CavPharmer (ESI Fig. S9†) and represent a five-fold increase in feature quantity over those developed by Phase (ESI Fig. S10†). Thus, the overall results showcase the interpretability of PH4 construction regardless of the specific location of functional groups of the reference ligand.



## Effectiveness of Alpha-Pharm3D in screening true positives from the compound library

An important aspect in evaluating docking and screening methodologies lies in the proficiency in prioritizing true positives (TPs) early from a ranked list of active compounds.<sup>46</sup> Here, we present three metrics to assess thoroughly the overall screening capability of Alpha-Pharm3D against the prevailing state-of-the-art methods. The capability to retrieve TPs is quantified through the recall rate and enrichment factor (EF), whereas the ranking power is assessed by evaluating the positioning of TPs within the ranked list of actives (for detailed mathematical formulation, see Methods). As depicted in Fig. 3h, the Alpha-Pharm3D model exhibits a moderate capability to recall and rank positive samples within the top 2 positions (mean recall rate over 25%), performing equal to or better than other methods in this consideration. Although the performance of the Alpha-Pharm3D model is moderate on this metric, Alpha-Pharm3D excels in its ability to consistently recall a uniform quantity of positive compounds across all benchmarked targets without notable bias (ESI Fig. S11a†). This stands in contrast to ML-based affinity prediction method PLANET, which exhibits a high average recall but a substantially larger variance ( $0.348 \pm 0.368$ ), indicating high variability and inconsistency across different targets. Although it successfully recalled TPs for two benchmarked targets (CCR5 and ABL1), it fails to recall any TPs from the remaining four targets (ESI Fig. S11b†). Furthermore, the protein–ligand scoring-based PLANET lacks the ability to capture PH4 patterns and to generate binding poses for potential ligands. By integrating Alpha-Pharm3D to construct 3D spatial PH4 models, the proposed approach demonstrates advantages in scoring performance over traditional methods, such as Glide, Phase, and Vina, while offering superior interpretability compared to state-of-the-art ML models, *i.e.* EquiScore and PLANET.

Here, it is worth mentioning that Alpha-Pharm3D exhibits an improved performance in training and screening tasks especially from the here-investigated GPCR-related targets CCR5, CXCR4, NK1R and ADRB2. Our developed model consistently ranks top 2 among all baselines in five of the six evaluation metrics (ESI Fig. S12†). This exceptional performance, which is resilient to data scarcity, suggests the promising applicability of this model in identifying deeply buried positive compounds, even from challenging targets with limited prior knowledge or few known active compounds (ESI Fig. S11c†).

## Validation of the Alpha-Pharm3D outcome by functional assays

To validate the accuracy of Alpha-Pharm3D, we exploit the developed model on the NK1R target protein by screening FDA-approved libraries. The neurokinin receptor (NK1R) is a prototypical member of the G-protein coupled receptor family (GPCRs) and encompasses a broad spectrum of therapeutic applications, ranging from anti-inflammatory and analgesic effects to alleviating nausea post-chemotherapy.<sup>66–69</sup> The activation of the G protein and signal propagation of GPCRs play a vital role in transmitting physiological response across cellular membrane systems. Triggered by ligand binding, GPCRs are decoupled from intracellular G proteins, leading to the dissociation of  $G_\alpha$  and  $G_{\beta\gamma}$  subunits. Based on their signaling effects, the  $G_\alpha$  protein can be categorized into  $G_{as}$  (stimulatory G protein) activating adenylyl cyclase to increase cyclic adenosine monophosphate (cAMP) levels,  $G_{ai}$  (inhibitory G protein) reducing cAMP levels,  $G_{aq}$  activating phospholipase C and producing inositoltrisphosphate (IP3) and diacylglycerol (DAG), and  $G_{12/13}$  regulating cytoskeletal changes and cell movement. Despite recent research focusing on resolving the biased activation of  $G_q$  over  $G_s$  with NK1R and its corresponding interaction mode, the intricate complex formed between this receptor and  $\beta$ -arrestin has yet to be unveiled.<sup>70,71</sup> Hence, screening for and optimizing selective compounds featuring unique molecular backbone structures, distinct from marketed antagonists, holds significant clinical application value and innovative potential.

In this work, Alpha-Pharm3D-MLP is applied to build a PH4 model, which is used later to screen for NK1R (PDB: 6HLP) antagonists. Here, we use netupitant (ChEMBL: 206 253) as a reference molecule. After screening and sorting PH4 potentiated hits, 12 compounds are tested by cell-based functional assays (screening process shown in ESI Fig. S14;† bioactivity data not shown). To narrow down the number of compounds for further study, we only choose three compounds with (1) a molecular mass between 400 and 700 g mol<sup>−1</sup>; (2) minimal atomic clashes; (3) unique backbones that are not on the market for the NK1R (Table 1). For a comprehensive comparison of PH4 patterns of these hit compounds, we select Alpha-NK1-005 for further manual optimization according to its binding mode (PH4 patterns for the three clinical candidates, see ESI Fig. S15†). As depicted in Fig. 4a and b, the PH4 model

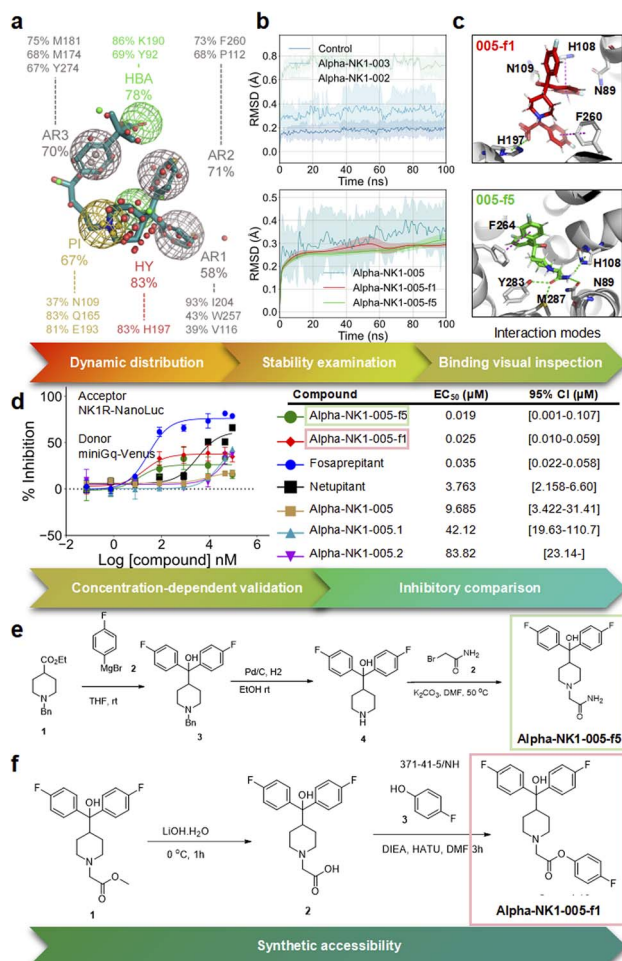
Table 1 Molecular properties of screened compounds for the NK1R target<sup>a</sup>

Compound	$M_w$ (g mol <sup>−1</sup> )	Strain score	Feature score	Clash score	$\Delta G$ (kCal mol <sup>−1</sup> )	Classification accuracy
Netupitant	578.60	0.73	1.45	n.d.	$-25.12 \pm 1.28$	n.d.
Alpha-NK1-002	693.73	0.29	1.41	0.18	$-22.85 \pm 0.88$	0.76
Alpha-NK1-003	533.64	0.49	1.44	0.13	$-22.12 \pm 0.72$	0.77
Alpha-NK1-005	501.67	0.39	1.54	n.d.	$-26.83 \pm 2.48$	0.81

<sup>a</sup> \*The calculation of scoring functions represented by the strain score, feature score, and clash score are illustrated in Methods. n.d. indicates not detected. \*\*All molecular properties are calculated by RDKit implementation.  $\Delta G$  is calculated using MM/PBSA with three parallel runs for each compound. Classification accuracy is calculated by the construction of dynamic PH4s given by the 100 ns molecular dynamics trajectories. \*\*\*Inactive samples are defined as conformations with an RMSD of more than 0.3 Å aligned to the initial conformation. The best results are denoted in bold.







**Fig. 4** Alpha-Pharm3D screened hit compounds and experimental activity assays of NK1R leads. (a) Dynamic PH4 distributions of the potential hit compound Alpha-NK1-005 by running 100 ns molecular dynamics simulation. Conformations in frames with equal time intervals are extracted to train the Alpha-Pharm3D-MLP model and the top 50 features are visualized to summarize PH4 features. PH4 colors correspond to those in Fig. 3c. Probabilities indicate the time proportion along a 100 ns trajectory where PH4 features exist around a specific functional group. (b) Time course of RMSD fluctuations of potential hits and lead compounds optimized based on Alpha-NK1-005. 100 ns production is strided and smoothed by 1000 per data point. (c) Detailed interaction modes of optimized Alpha-NK1-005-f1 and Alpha-NK1-005-f5 lead compounds. Colors of carbon the element of ligands correspond to those shown in panel (b). Purple dashed lines represent  $\pi$ - $\pi$  stacking while green dashed lines indicate H-bonds. Interactive residues within 5 Å distance are shown. (d) Concentration-dependent inhibitory potential of hit and lead compounds. Data were obtained from BRET assays carried out by heterologous expression of human NK1R in HEK cells. Data were normalized to the maximal inhibitory effect delivered by fosaprepitant ( $n = 4$  biological replicates, presented as mean  $\pm$  s.e.m.). The left panel shows % inhibition of mini G<sub>q</sub> protein activation; the right table shows EC<sub>50</sub> statistics and errors. Experimental details of BRET measurement are described in Methods. (e and f) Synthesis routes of Alpha-NK1-005-f5 and Alpha-NK1-005-f1 demonstrate the synthetic accessibility of the optimized lead compounds. For other synthesis routes see ESI Fig. S16†

established from flexible conformations of Alpha-NK1-005 stays close to the original proposed binding mode, especially in the middle piperazine ring and two heading aromatic rings, which indicates the generalizability of developed PH4s and the stability of the 3D structure. Next, we synthesize Alpha-NK1-005 analogs with a similar backbone comprising 2–3 aromatic rings, a central piperazine ring and a terminal carboxyl group. Due to moderate activity of Alpha-NK1-005.1 and Alpha-NK1-005.2 (experimental EC<sub>50</sub> values of 42.12 and 83.82 μM, respectively), the two compounds have been chemically modified to optimize their antagonistic properties while preserving the overall Alpha-NK1-005 ring system and the hydrophobic features. From the seven successfully synthesized compounds, two lead compounds (Alpha-NK1-005-f1 and Alpha-NK1-005-f5) interact with the target through hydrogen bonding (e.g. N89, N109 and Y283) and hydrophobic environment (e.g. H108, H197, F260 and F264) exhibiting similar intermolecular patterns to the reference netupitant (Fig. 4c).

In addition, the lead compounds demonstrate inhibitory effects with half maximal effective concentration (EC<sub>50</sub>) values of 25 and 19 nM, respectively, comparable to those of the positive references, fosaprepitant (EC<sub>50</sub> = 35 nM) and netupitant (EC<sub>50</sub> = 3.73 μM) (Fig. 4d). Routes for synthesis of optimized compounds are described in ESI Fig. S16†. The underperformed EC<sub>50</sub> of netupitant may be because of the precipitation at a 100 μM concentration. Although the EC<sub>50</sub> values of optimized leads surpass those of the positive control, the maximum inhibitory potency of these two compounds is only half of that of the reference molecule. This might be due to their relatively small molecular size which in turn introduces limited capabilities to induce conformational changes in the NK1R. Nonetheless, our results suggest that the PH4-based *in silico* screening identified compounds efficiently inhibiting the activation of the NK1R. This opens up the possibility to optimize these lead compounds towards clinically useful NK1R antagonists.

## Conclusions

Introducing explainable deep learning methods in the early stage of hit screening and lead identification is an important step in modern drug discovery. The development of simultaneous recall of baseline data sets and accurate screening of virtual screening methods with biological activity are of great importance in this process.

In this study, we develop a versatile deep learning model, Alpha-Pharm3D that enables the incorporation of PH4 elucidation, bioactivity classification and PH4 screening using only a few hyperparameter adjustments. The platform integrates multi-modal input from molecular strings towards protein geometric constraints using the exclusion volume principle to improve the model's interpretability and alleviate atomic clashes for the first time. Compared to current virtual screening methods, the Alpha-Pharm3D-MLP model demonstrates overwhelming bioactivity prediction capability with AUROC and AUPRC achieving 91.4% and 98.3%, respectively, averaging different types of targets. In the virtual screening task, our developed model is highly enriched with TP compounds and showcases balanced performance across



various benchmarking targets with an enrichment factor outperforming most of the current baselines. Despite accurate evaluation metrics, PH4 models built using Alpha-Pharm3D fit into the reference molecule and corresponding binding pocket chemically and structurally, avoiding atomic clashes. The interpretability of the Alpha-Pharm3D model is demonstrated in cell-based functional assays of a prototypical drug screening case for the NK1R. This study demonstrates that although the developed Alpha-Pharm3D does not achieve the top performance in terms of recall, enrichment factor, and ranking compared to PLANET, its integrated capability in interpretable PH4 identification and bioactivity scoring suggests that Alpha-Pharm3D may hold great potential for broad application in challenging areas of drug discovery and repurposing, such as targeting GPCRs.

In summary, we developed a novel machine learning based drug screening method, namely Alpha-Pharm3D, which overcomes several inherent weaknesses of current PH4 screening algorithms. Alpha-Pharm3D has the potential to be harnessed for pinpointing potential binding modes and hotspots within protein–ligand interactions, thereby significantly expanding its realm of application in small molecule drug discovery. In the future, beyond rule of 5 (bRo5) molecules can be used to train and screen the model enhancing the capability to discover the PH4 properties of protein–protein binding sites and to facilitate macromolecule lead optimization. Furthermore, exploring the potential of incorporating equivariant and relative distance representations of PH4 grid points is a promising avenue for future endeavors. The robust predictions of the established PH4, coupled with protein–ligand interactions, offer unprecedented avenues for hit identification, lead optimization, and scaffold hopping, significantly contributing to the entire process of rational drug design. Our work here provides an insightful view into the next generation of modern drug discovery.

## Data availability

All data related to this work, including the datasets and programs for training, testing, and validation, are available at <https://doi.org/10.5281/zenodo.15536135>.

## Author contributions

Conceptualization: Y. S.; data curation: D. J. and L. T.; formal analysis: Y. S., D. J. and W. C.; funding acquisition: Y. S. and V. H.; investigation: D. J., L. T., W. C., W. S., and Z. W.; methodology: Y. S. and D. J.; project administration: Y. S.; resources: Y. S. and V. H.; software: D. J., X. M., W. S. and H. Z.; supervision: Y. S. and V. H.; validation: L. T.; visualization: Y. S., D. J.; writing – original draft: D. J.; writing – review & editing: Y. S., V. H. and W. B.

## Conflicts of interest

Y. S., W. S. and H. Z. are employees of AlphaMol Science Ltd. All the other authors declare no competing interests.

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