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## Development of hafnium-silica solid Lewis acids for $\gamma$ -valerolactone production from biobased levulinic acid<sup>†</sup>

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There is growing awareness of the need to expand the  $\gamma$ -valerolactone (GVL) market within the biobased economy, although challenges remain in developing sustainable, green processes. In this work, the biobased top platform chemical, levulinic acid (LA), was converted to GVL under relatively mild conditions, via catalytic transfer hydrogenation (CTH) routes, using solid Lewis acid catalysts consisting of morphologically distinct EPDM and LFS type silicas and hafnium. The catalyst development was based on important requirements for process feasibility and involved several post-synthesis strategies and introduction of Hf-sites via different methods to meet superior performances. Complementary structural and molecular-level characterisation techniques were employed together with catalytic and kinetic modelling/computational studies for studying the influence of the material properties on the catalytic performances for targeting GVL. The developed heterogeneous catalysts contributed to enhanced productivity (up to 87% GVL yield, at 180 °C), were stable in consecutive runs, and also seemed promising in terms of the E factor. EPDM and LFSs were never investigated before for biomass conversion processes or any other catalytic system, to the best of our knowledge.

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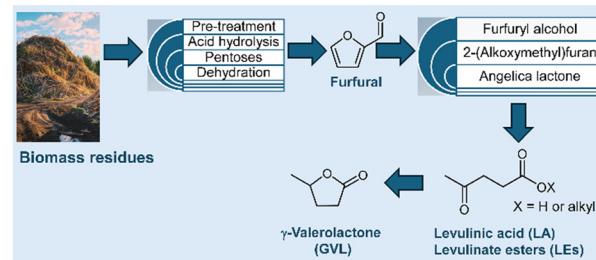
## Introduction

Non-renewable sources of organic carbon, *i.e.*, fossil fuels, represent more than 80% of the world's energy mix, and have largely contributed to global climate change, health and equity concerns, greatly pressing the chemical industry into the energy transition towards renewable and sustainable feedstocks.<sup>1–5</sup> The carbon footprint of the chemical industry may be reduced *via* the use of renewable sources of organic carbon, obtainable from municipal/industrial residues/waste. In this sense, there is an urgent need to convert vegetable biomass derived compounds to useful biobased chemicals that may complement/substitute petrochemicals.

An important biobased top platform chemical is levulinic acid (LA), which is synthesised from non-edible lignocellulosic biomass.<sup>6–8</sup> A reference player of the LA market is GF Biochemicals Ltd.<sup>9,10</sup> The synthesis of LA

involves acid hydrolysis of hemicelluloses (C5 route) or cellulose (C6 route), under relatively mild conditions, giving predominantly pentoses such as D-xylose (C5) and the hexose D-glucose (C6).<sup>11–13</sup> The C5 route proceeds *via* dehydration of D-xylose to furfural, followed by hydrogenation to furfuryl alcohol and furan ring opening to LA (Scheme 1).<sup>12,13</sup> On the other hand, the C6 route proceeds *via* the isomerisation of D-glucose, dehydration to 5-(hydroxymethyl)furfural and furan ring opening to LA plus formic acid.<sup>14–16</sup>

The carbonyl and carboxylic functional groups of LA make it a reactive/versatile building block for several applications.<sup>11,13,17</sup> LA can be converted to useful levulinate esters (LEs) and  $\gamma$ -valerolactone (GVL).<sup>13</sup> LEs are promising



**Scheme 1** Vegetable biomass valorisation routes to levulinic acid and subsequent conversion to levulinic acid (LA), levulinate esters (LEs) and  $\gamma$ -valerolactone (GVL).

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for food formulations, cosmetics, fragrances, resins, polymers, plasticisers, coatings and agrochemicals.<sup>13,17,18</sup> On the other hand, the application profile of GVL includes green solvents, liquid fuel bioadditives and green chemical intermediates.<sup>13</sup> The conversion of LA to GVL involves hydrogenation, thus requiring a hydrogen source and adequate catalysts.<sup>19</sup> The use of molecular hydrogen poses several concerns related to storage, transportation and equipment safety/costs.<sup>20-22</sup> Envisaging sustainable production processes, strategies to convert LA to GVL have included the use of safe hydrogen donors (H-donors), non-noble metal catalysts to avoid expensive/scare noble metals, and moderate operating conditions.<sup>19,20,23</sup> Safe H-donors include secondary alcohols which, in combination with non-noble metal heterogeneous catalysts, may promote the conversion of LA to GVL *via* catalytic transfer hydrogenation (CTH).

Different families of heterogeneous catalysts were reported for the conversion of LA to GVL *via* CTH routes: mixed metal oxides, organic-inorganic hybrids, silicates and zeolites.<sup>22</sup> Nevertheless, important requirements to be put on the CTH catalysts include: their commercial availability, cost, reduced toxicity, thermal resistance (*e.g.*, >450 °C to remove coke – in this sense, catalysts possessing organic components, such as organic-inorganic hybrids may present drawbacks), versatility to tune the catalyst surface properties and accessibility of the active sites to enhance productivity. A study of the CTH of ethyl levulinate to GVL, reported by Luo *et al.*,<sup>24</sup> compared different types of transition metals (Ti, Sn, Hf, Zr) in zeolites (20–40 days hydrothermal syntheses, using hydrofluoric acid), which showed the superiority of the Hf-catalyst, using 2-butanol as a H-donor. The superior performances of Hf-catalysts were also reported for other systems related to biomass conversion, *e.g.*, furfural to GVL.<sup>25</sup> In the development of CTH catalysts, scaling-up is another important factor which may be facilitated in the case of catalyst preparation *via* post-synthesis treatments (*e.g.*, in relation to hydrothermal synthesis under autogenous pressure).<sup>26,27</sup>

In this work, recognising the importance of efficient processes using catalysts which fulfil the requirements for the conversion of LA to GVL *via* CTH routes, new hafnium/silica catalysts were developed *via* top-down strategies, from morphologically distinct EPDM, LFS-150 and LFS-50 silicas. EPDM consists of micron-size spheres (*ca.* 1.5–2.0 μm) composed of small interwoven primary entities and has macro/mesoporosity. On the other hand, the LFSs consist of aggregates of overlapping fine (20–500 nm thick) scaly-like particles with different widths and mesoporosity. The material properties were modified *via* post-synthesis treatments to meet superior performances for GVL production, at 180 °C. The influence of the type of silica support, modification treatments and respective conditions, hafnium loading and the type of impregnation method on the material properties and catalytic performances was studied by combining molecular level solid-state characterisation techniques of the materials (vibration spectroscopy with a base probe, NMR, XPS, *etc.*), catalytic/kinetic modelling and catalyst stability studies. EPDM and LFSs

were never investigated for biomass conversion processes or any other catalytic system, to the best of our knowledge. These Hf-silicas are, to the best of our knowledge (based on reported characterisation studies), the first purely Lewis acidic (without intrinsic Brønsted acidity) transition metal silicates developed for the target reaction. The modified Hf-silicas developed are among the top reported fully inorganic transition metal/silicas, in terms of GVL yields for the target reaction, and seem promising in terms of the E factor.

## Experimental

The suppliers and purities of the chemicals and materials used are indicated in the ESI†.

### Preparation and characterisation of the catalysts

The silicas EPDM-10-1000-AW (EPDM, 99.99%, AGC SI-Tech Co., Ltd.; *ca.* 1.5–2.0 μm spherical particles), LFS-HN-050 (LFS50, >98%, AGC; mean particle width  $\cong$  0.5 μm) and LFS-HN-150 (LFS150, >98%, AGC; mean particle width  $\cong$  1.5 μm) were subjected to different post-synthesis treatments (described below) to furnish them with adequate activity for GVL production. The materials were characterised by complementary techniques (please see details in the ESI†), namely powder X-ray diffraction (PXRD), scanning electron microscopy (SEM), energy dispersive X-ray spectroscopy (EDS), N<sub>2</sub> sorption isotherms, diffuse reflectance (DR) UV-vis spectroscopy, <sup>29</sup>Si (CP) MAS NMR, X-ray photoelectron spectroscopy (XPS), and attenuated total reflectance Fourier-transform infrared (ATR FT-IR) spectroscopy.

The acid properties were measured by FT-IR spectroscopy of adsorbed pyridine (details in the ESI†). According to the literature, pyridine is an adequate base probe to quantify the Lewis acid sites in hafnium silicates possessing different types of Hf sites (including HfO<sub>2</sub>).<sup>28</sup> This technique allows Lewis (L) and Brønsted acid (B) sites to be distinguished and each type to be quantified (based on the areas of the bands at *ca.* 1540 and 1430–1460 cm<sup>-1</sup> which are associated with pyridine adsorbed on B and L acid sites, respectively, and using molar extinction coefficients determined in the home-made IR installation used). This technique also gives insight into the acid strength, based on the molar ratio of acid sites measured at 350 °C and 150 °C (L<sub>350</sub>/L<sub>150</sub> and B<sub>350</sub>/B<sub>150</sub>) and considering that the acid sites of moderate strength remain adsorbed at 150 °C, and relatively strong acid sites remain adsorbed at 350 °C.

The base properties were measured by temperature programmed desorption (TPD) of CO<sub>2</sub> as a probe molecule (CO<sub>2</sub>-TPD), using a Micromeritics Autochem II chemisorption analyser equipped with a fixed-bed U-shaped quartz flow reactor. The sample (100 mg) was pre-treated *in situ* at 400 °C (10 °C min<sup>-1</sup>) for 1 h, under a He flow (50 mL min<sup>-1</sup>). The reactor was cooled down to 100 °C and then fed with a gas mixture composed of 10% CO<sub>2</sub> and 90% He (50 mL min<sup>-1</sup>), for 1 h. Afterwards, the reactor was purged with He for 1 h to remove excess/physisorbed CO<sub>2</sub>, and then the sample was



heated until 800 °C (10 °C min<sup>-1</sup>) and the CO<sub>2</sub> desorbed was analysed using a thermal conductivity detector (TCD). The amount of desorbed CO<sub>2</sub> was calculated *via* deconvolution of the CO<sub>2</sub>-TPD curves, and peak integration, using calibration curves. The TPD profiles were bimodal (a peak up to 400 °C, assigned to weak (Wb) base sites plus medium strength (Mb) base sites, and another peak in the range of *ca.* 400–800 °C, assigned to strong base sites (Sb)). The total amount of CO<sub>2</sub> desorbed equals Wb + Mb + Sb. The discussed base strength is based on the molar ratio Sb/(Wb + Mb).

**Alkaline treatment (Na, TPA).** EPDM was subjected to alkaline treatment to modify the surface chemistry and texture.<sup>29</sup> The modification methodologies were developed based on a literature survey contemplating various types of materials such as zeolites (USY,<sup>30–35</sup> ZSM-5,<sup>36–40</sup> Beta<sup>29,41</sup>) and (less explored) silicate-1 and silicate-2, using TPAOH (TPA)<sup>42–44</sup> and NaOH (Na).<sup>45,46</sup> NaOH may be a stronger desilication agent than quaternary ammonium hydroxides,<sup>29</sup> requiring relatively mild conditions to avoid extensive desilication.

Commercial EPDM (1.5 g) was mixed with 45 mL of an alkaline solution (base concentration,  $z = 0.04\text{--}1.2$  M aq. TPAOH or 0.2 M aq. NaOH), in a Teflon® Erlenmeyer flask, and stirred (500 rpm) for a specified time ( $x = 0.5\text{--}3$  h), at 65 °C. The solid was separated by filtration, washed with hot deionised water at 60 °C until neutral pH, and dried at 100 °C for 2 h, giving EPDM-zTPAx and EPDM-zNax. The commercial LFSs were pre-dried at 85 °C overnight, ground using an agate mortar/pestle, and then modified in a similar fashion to EPDM, but using a 0.2 M alkaline solution, for 3 h, at 65 °C, leading to LFS50-Na, LFS50-TPA, LFS150-Na and LFS150-TPA. Since desilication produces inorganic debris (which may cause pore blockage and affect mass transfer during the catalytic processes),<sup>47–50</sup> the treated silicas were subjected to acid washing, as generally described in the literature (*e.g.*, for silicate-1,<sup>45</sup> zeolites<sup>30,37,51,52</sup>); the acid washing also eliminates alkaline cations. Specifically, 1 g of treated silica was mixed with 100 mL of 0.1 M aq. HCl in a Teflon® Erlenmeyer flask, for 5 h, at 65 °C (with stirring, 500 rpm). The solid was filtered, washed with hot deionised water (60 °C) until neutral pH, and dried at 100 °C for 2 h; the removal of Cl and Na was checked by EDS. This led to the materials (sample names starting with H-): H-EPDM-zNax, H-EPDM-zTPAx, H-LFS50-Na, H-LFS150-Na, H-LFS50-TPA, and H-LFS150-TPA. The synthesis yields were generally in the range of 55–88% and 79–99% for the Na- and TPA-treated materials, respectively.

**Surface hydroxylation treatment (HT).** The commercial silicas (1 g) were subjected to a surface hydroxylation treatment (HT), using a solution of 79.7 mL of 30 wt% aq. H<sub>2</sub>O<sub>2</sub> plus 0.3 mL of 37 wt% aq. HCl, following a similar procedure to that described in the literature for an amorphous mesoporous silica.<sup>53</sup> The mixture was stirred (500 rpm) at 70 °C, for a specified time (1.5–4.5 h for EPDM, and 4.5 h for the LFSs). The solids were then separated by filtration, washed with hot deionised water (60 °C) until neutral pH, and dried at 100 °C for 2 h, giving EPDM-HT1.5,

EPDM-HT4.5, LFS50-HT and LFS150-HT (in 89–97% yields for EPDM and approximately quantitative yields for LFSs).

**Impregnation methods.** The untreated/treated supports (1 g) were subjected to solid state (SS) or wet impregnation (WI) methods using hafnium acetylacetone (Hf(acac)<sub>4</sub>) as the metal precursor. For the SS method, the silica and Hf precursor were manually mixed (*ca.* 20 min) using an agate mortar/pestle, and then calcined at 550 °C for 5 h (1 °C min<sup>-1</sup>), in an air flow. For commercial/untreated silicas, the Hf loading was  $w = 2\text{--}16$  wt% (0.112–0.896 mmol<sub>Hf</sub> g<sup>-1</sup>), giving  $w$ Hf-EPDM-SS,  $w$ Hf-LFS50-SS and  $w$ Hf-LFS150-SS. For treated silicas,  $w = 2$  and 8 wt% Hf (0.112 and 0.448 mmol<sub>Hf</sub> g<sup>-1</sup>, respectively), giving  $w$ Hf-EPDM-zNax-SS,  $w$ Hf-EPDM-zTPAx-SS,  $w$ Hf-EPDM-HT1.5-SS,  $w$ Hf-EPDM-HT4.5-SS,  $w$ Hf-LFS50-Na-SS,  $w$ Hf-LFS150-Na-SS,  $w$ Hf-LFS50-TPA-SS,  $w$ Hf-LFS150-TPA-SS,  $w$ Hf-LFS50-HT-SS and  $w$ Hf-LFS150-HT-SS.

For the WI method, 1 g of treated/untreated silica support (EPDM, H-EPDM-zNax, H-EPDM-zTPAx, EPDM-HT1.5, EPDM-HT4.5, LFS50, LFS150, H-LFS50-Na, H-LFS150-Na, H-LFS50-TPA, H-LFS150-TPA, LFS50-HT, LFS150-HT) was mixed with 0.112 mmol Hf(acac)<sub>4</sub> in 6 mL toluene ( $w = 2$  wt% Hf), then heated at 60 °C (with stirring) until complete evaporation of the solvent, and finally calcined as described above. Selected supports (EPDM, LFS50, LFS50-HT, LFS50-TPA, LFS50-Na, LFS150, LFS150-HT, LFS150-TPA, LFS150-HT) were impregnated in a similar fashion, but with  $w = 8$  wt% Hf (0.448 mmol<sub>Hf</sub> g<sup>-1</sup>).

## Catalytic and kinetic studies

The catalytic reactions were carried out using borosilicate batch milli-reactors with a pear-shaped bottom, equipped with a Teflon® valve (for loading/emptying/purging the reactor) and a Teflon® coated magnetic stirring bar. For all catalysts, the reactors were loaded with a solution of 0.45 M LA in 2BuOH (0.75 mL) plus a catalyst (25.5 g<sub>catalyst</sub> L<sup>-1</sup>), and then immersed in a pre-heated oil bath at 180 °C, with stirring (*ca.* 800 rpm, checked to avoid mass transfer limitations); this moment was taken as the initial instant of the catalytic reaction.

Individual reactors were prepared for each experimental point (reaction time) and the presented results are the mean values of at least two replicates (<6% error). Freshly prepared samples (from the cooled reactors) were analysed by gas chromatography (GC) using a Thermo Scientific Trace 1300 Series GC equipped with an Agilent Technologies, Inc. capillary column (DB-5, 30 m × 0.32 mm × 0.25 μm; He as carrier gas) and a flame ionisation detector (FID). The quantification of LA and reaction products was based on response factors determined by calibration curves using internal standards (the response factor for 2-butyl levulinate (2BL) was considered as that of commercially available 1-butyl levulinate 1BL). The reaction products were identified using a Shimadzu QP 2010 ultra-GC-MS, equipped with a HT-5 GC column (25 m × 0.32 mm × 0.10 μm; He as carrier gas).

After the reaction, the solid was separated from the reaction mixture by centrifugation at 10 000 rpm for 5 min,



thoroughly washed with 2BuOH, dried overnight at 80 °C and finally calcined at 550 °C for 5 h (20 mL min<sup>-1</sup> air flow). The contact tests (CTs) consisted of bringing into contact the solid catalyst with 2BuOH at 180 °C, under identical conditions to those for a normal catalytic test, but without LA; after 8 h, the solid was separated by centrifugation (10 000 rpm, 5 min). The liquid phase was passed through a 220 nm pore size PTFE membrane, and the filtrate (LP) was transferred to a separate clean reactor. LA was added to the LP solution to give an initial LA concentration of 0.45 M, which was left to react at 180 °C (monitored by GC). Commercial HfO<sub>2</sub> was tested as a catalyst in a hafnium molar amount equivalent to that present in the catalytic mixtures with 16Hf-silicas.

A pseudo-homogeneous kinetic model was developed, considering a perfectly stirred, isothermal batch reactor and irreversible and first order reactions (please see details in the ESI†), which supported the discussed mechanistic proposal, and produced the kinetic constants of each step ( $k_i$ ).

## Results and discussion

### Characterisation of the materials

**General considerations.** EPDM and the LFSs were subjected to a surface hydroxylation treatment (HT) or alkaline treatment (using NaOH (Na) or TPAOH (TPA)) to modify the surface chemistry and texture for subsequent hafnium impregnation.<sup>54</sup> The influences of the surface treatments and respective conditions were studied in detail for the EPDM family, and, based on these results, some treatment conditions were selected for the LFSs. Hafnium was introduced in different loadings ( $w$  = 2–16 wt% Hf) *via* wet (WI) or solid-state (SS) impregnation, to furnish the surface with adequate sites for LA conversion to GVL. The Si/Hf molar ratios of the materials were comparable to those of their respective preparation mixtures (Table S1†), suggesting that all Hf was incorporated in the materials. This may be attributed to the fact that the SS and WI impregnation methods used do not require operations that can cause metal losses (e.g., filtration/centrifugation).

**EPDM materials.** Fig. S1–S4† show the PXRD patterns of the untreated/treated EPDMs, including HfO<sub>2</sub> (Fig. S3 and S4†). The untreated/treated EPDM supports exhibited a very broad peak at *ca.* 15–25° 2θ, characteristic of amorphous silicas.<sup>55–58</sup> For the untreated EPDM, the Hf loading ( $w$ ) was varied in the range of 2–16 wt% *via* the SS impregnation method, and 2–8 wt% *via* the WI impregnation method. For a metal loading ( $w$ ) of up to 8 wt% Hf *via* the SS method, the PXRD pattern was similar to that of commercial EPDM and no crystalline phases of hafnium oxide appeared. For higher Hf loadings of  $w$  > 8 wt% (SS method) and  $w$  = 8 wt% (WI method), new reflections appeared assignable to monoclinic HfO<sub>2</sub> (*ca.* 28.5, 31.6, 34.5, 50.6° 2θ; PDF card number 04-006-7680), Fig. S3B.†

The SEM images of the parent EPDM show micron-size spheres (*ca.* 1.5–2.0 μm) composed of small interwoven primary entities (*ca.* 100–200 nm size) (Fig. 1a and S5†). The treatments

(HT, TPAOH (Fig. S5 and S6†), NaOH (Fig. S7†)) and the WI method (Fig. 1b) did not seem to affect the morphology. However, the SS method caused some fracture of spherical particles (Fig. 1c and d), indicating the limited mechanical resistance of EPDM towards grinding processes. The elemental mappings suggested uniform Si and Hf distributions for the wHf-EPDMs prepared *via* the SS and WI methods (Fig. S8†).

Commercial EPDM exhibited a type III N<sub>2</sub> sorption isotherm (Fig. S9a;† characteristic of materials which have weak adsorbate–adsorbent interactions) and a specific surface area ( $S_{BET}$ ) of 57 m<sup>2</sup> g<sup>-1</sup> (Table S1†). The pore size distributions measured by N<sub>2</sub> sorption and Hg intrusion indicated 2–10 nm size mesopores (Fig. S10a and Table S1†) and 70–100 nm size macropores. Hence, EPDM presented bimodal mesopore/macropore distributions. Possibly, the mesopores may be intrinsically associated with the primary entities, and the macropores may be void spaces between primary entities.

The treated EPDMs exhibited similar N<sub>2</sub> sorption isotherms to the parent EPDM (Fig. S9a†), with comparable or slightly broader mesopore size distributions (2–18 nm; Table S1†). The HT treatment (1.5 or 4.5 h duration) did not enhance the  $S_{BET}$  (31–35 m<sup>2</sup> g<sup>-1</sup> for EPDM-HT1.5 and EPDM-HT4.5) (Table S1†). On the other hand, the TPAOH treatment enhanced the  $S_{BET}$  of the EPDM-*z*TPA<sub>x</sub> silicas, which increased with increasing base concentration (*z*) in the order (keeping constant *x* = 3 h): 57 m<sup>2</sup> g<sup>-1</sup> (EPDM) < 71 m<sup>2</sup> g<sup>-1</sup> (EPDM-0.08TPA3 and EPDM-0.2TPA3) < 80 m<sup>2</sup> g<sup>-1</sup> (EPDM-0.4TPA3) < 86 m<sup>2</sup> g<sup>-1</sup> (EPDM-0.6TPA3) < 149 m<sup>2</sup> g<sup>-1</sup> (EPDM-1.2TPA3) (Table S1†). For the 0.2 M NaOH treatment, the  $S_{BET}$  was not significantly influenced by the treatment time (*x*) until 1.5 h (52–53 m<sup>2</sup> g<sup>-1</sup> for EPDM-0.2Na<sub>x</sub> with *x* = 0.5 or 1.5 h), but a longer treatment (*x* = 3 h) enhanced the  $S_{BET}$  (86 m<sup>2</sup> g<sup>-1</sup> for EPDM-0.2Na<sub>3</sub>). The acid washing of the alkaline treated EPDMs enhanced the  $S_{BET}$ , which may be attributed to the removal of inorganic debris, *e.g.*, 71/96 m<sup>2</sup> g<sup>-1</sup> for EPDM-0.2TPA3/H-EPDM-0.2TPA3; 86/167 m<sup>2</sup> g<sup>-1</sup> for EPDM-0.2Na<sub>3</sub>/H-EPDM-0.2Na<sub>3</sub> (Table S1†).

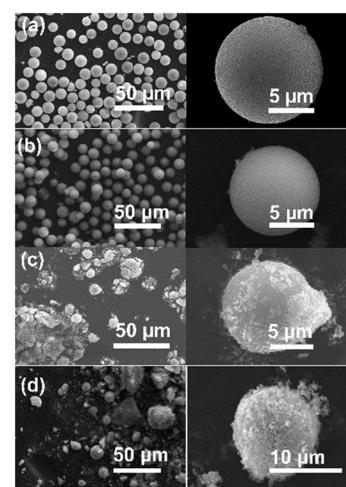


Fig. 1 SEM images of EPDM (a), 2Hf-EPDM-WI (b), 2Hf-EPDM-SS (c) and 8Hf-EPDM-SS (d).



The types of Si sites of the supports may change during the HT/TPA/Na treatment and/or Hf impregnation process, which was studied by  $^{29}\text{Si}$  MAS NMR and  $^{29}\text{Si}\{^1\text{H}\}$  CP MAS NMR spectroscopy. The types of Si sites may be  $Q^n = \text{Si}(\text{OSi})_n(\text{OH})_{4-n}$ ,  $n = 1, 2, 3$  or 4. The  $^{29}\text{Si}$  MAS NMR technique allows the amount of silanol groups to be calculated. On the other hand,  $^{29}\text{Si}\{^1\text{H}\}$  CP MAS NMR spectroscopy is a qualitative technique that enhances the signal of the Si sites with proximal protons (e.g.,  $Q^2$  and  $Q^3$  sites), and thus it is relatively sensitive to changes in the local environments of the Si sites, complementing  $^{29}\text{Si}$  MAS NMR spectroscopy. The  $^{29}\text{Si}$  MAS NMR spectrum of the parent EPDM showed a main peak at  $-110$  ppm due to  $Q^4$  (fully condensed siloxane groups), a small shoulder centred at  $ca.$   $-102$  ppm due to  $Q^3$  (Si sites with one hydroxyl group) and a very small peak at  $-95$  ppm due to  $Q^2$  (Si sites with geminal hydroxyl groups) (Fig. 2a).<sup>59–63</sup> Consistently,  $^{29}\text{Si}\{^1\text{H}\}$  CP MAS NMR spectroscopy indicated increased relative intensity of the  $Q^3$  and  $Q^2$  peaks in relation to  $Q^4$ , due to the proximal hydrogen atoms (Fig. 2b).<sup>60,62</sup> The untreated and treated EPDM supports exhibited comparable peaks, with slight enhancement in the concentration of silanols after treatment ( $[\text{SiOH}]$ , which includes  $Q^2$  and  $Q^3$  sites, Table S2†):  $0.4$  mmol g $^{-1}$  for untreated EPDM *versus*  $0.9$ – $1.1$  mmol g $^{-1}$  for the treated EPDM supports.

After Hf impregnation, the relative intensity of the  $Q^3$  peak decreased considerably ( $^{29}\text{Si}\{^1\text{H}\}$  CP MAS NMR spectra, Fig. S11†), which may be due to the interactions between  $Q^3$  sites

and the hafnium precursor, possibly forming Si–O–Hf bonds (supported by XPS, discussed below). Somewhat comparable results were reported by Zhang *et al.*<sup>61</sup> for Zr impregnated on SBA-15, in that  $Q^3$  sites were converted to pseudo  $Q^4$  sites of the type  $\text{Si}(\text{OSi})_3(\text{OZr})$ , although the latter were hardly distinguishable spectroscopically from the (abundant)  $Q^4$  groups ( $\text{Si}(\text{OSi})_4$ ).

As opposed to  $^{29}\text{Si}$  (CP) MAS NMR spectroscopy, ATR FT-IR spectroscopy (Fig. S12a and b†) was not sufficiently sensitive for distinguishing silanol groups,<sup>64–66</sup> which may be partly due to low concentration limitations and/or superimposable broad bands; *e.g.*, the broad band centred at  $ca.$   $1065$  cm $^{-1}$  (assignable to Si–O–Si vibrations; Table S3†).

The DR UV-vis spectra of the Hf-EPDMs were different from that of  $\text{HfO}_2$  (Fig. S13a and b†), supporting their distinct chemical features. Specifically, monoclinic  $\text{HfO}_2$  possesses seven coordinated Hf-sites<sup>67</sup> and exhibited an intense, single band at  $ca.$   $208$  nm. On the other hand, the  $w\text{Hf}$ -EPDMs exhibited a band at  $ca.$   $220$  nm (like the parent support). For  $w \leq 4$  wt%, the  $w\text{Hf}$ -EPDMs exhibited a band at  $ca.$   $200$  nm (like the parent support), which decreased in relative intensity or disappeared with increasing  $w$ . These results correlated with the decreased relative intensity of the  $Q^3$  peak (mentioned above) after Hf impregnation. Somewhat consistently, according to the literature, the  $ca.$   $200$  nm band may be due to defect sites of the silica framework,<sup>68–70</sup> which may be relatively reactive with the Hf-precursor to form Si–O–Hf bonds. For  $w \geq 8$  wt%, a new small band appeared at  $ca.$   $260$  nm (not observed for the respective support), which may be due to Hf-sites possessing distorted coordination environments and/or relatively high coordination numbers,<sup>71</sup> or defect Hf-sites in small clusters.<sup>70</sup> For  $w \geq 8$  wt% Hf, the materials additionally exhibited steep absorption as the wavelength tended to  $190$  nm, as well as a broad band at  $ca.$   $380$  nm (Fig. S13a and b†), assignable to  $\text{HfO}_x$  species<sup>72</sup> (which may be amorphous for  $8\text{Hf}$ -EPDMs, since these materials did not exhibit PXRD peaks characteristic of crystalline  $\text{HfO}_2$ ). Based on the DR UV-vis spectral features of  $\text{HfO}_2$  (intense band at relatively low wavelengths) and the PXRD studies (formation of  $\text{HfO}_2$  for higher  $w$  values), one cannot exclude the possibility of the steep absorption for  $w \geq 8$  wt% Hf, being associated with polynuclear hafnium oxide species. Nevertheless, based on the literature, the spectral region below  $210$  nm may have contributions from tetrahedral/isolated Hf-sites.<sup>25,70,71</sup>

Fig. 3a shows the XPS spectra (Hf 4f region) of selected EPDMs, namely, untreated  $w\text{Hf}$ -EPDMs (8Hf-EPDM-SS, 8Hf-EPDM-WI, 16Hf-EPDM-SS) and TPA-treated 8Hf-EPDM-0.2TPA3-SS, and for comparison  $\text{HfO}_2$ . Pure  $\text{HfO}_2$  exhibited two peaks at  $17.3$  eV and  $18.9$  eV due to the spin-orbit split doublets  $\text{Hf } 4f_{7/2}$  and  $\text{Hf } 4f_{5/2}$ , respectively. The binding energies associated with these peaks are in good agreement with literature data for  $\text{HfO}_2$ .<sup>73,74</sup> On the other hand, the  $x\text{Hf}$ -EPDMs exhibited two peaks which are slightly shifted to higher binding energies in relation to  $\text{HfO}_2$  (*e.g.*, for 8Hf-EPDM-0.2TPA3-SS, the binding energies associated with  $\text{Hf } 4f_{7/2}$  and

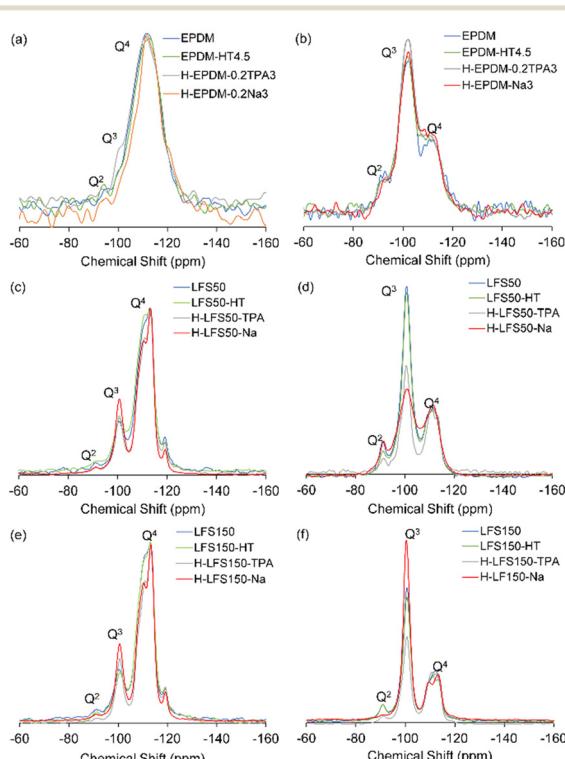
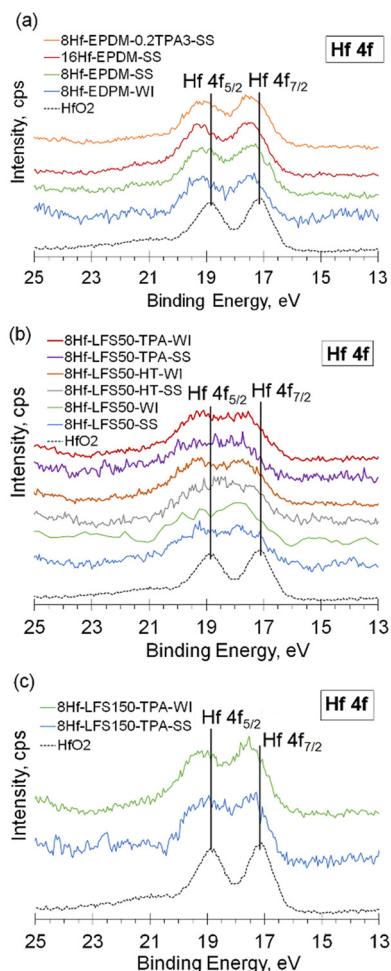


Fig. 2  $^{29}\text{Si}$  MAS NMR (a, c and e) and  $^{29}\text{Si}\{^1\text{H}\}$  CP MAS NMR spectra (b, d and f) of selected EPDMs (a and b), LFS50s (c and d) and LFS150s (e and f).





**Fig. 3** XPS spectra of (a) 8Hf-EPDM-W, 8Hf-EPDM-SS, 16Hf-EPDM-SS and 8Hf-EPDM-0.2TPA3-SS; (b) 8Hf-LFS50-SS, 8Hf-LFS50-WI, 8Hf-LFS50-HT-SS, 8Hf-LFS50-HT-WI, 8Hf-LFS50-TPA-SS, and 8Hf-LFS50-TPA-WI; (c) 8Hf-LFS150-TPA-SS and 8Hf-LFS150-TPA-WI (please see legends in a-c for sample names). The spectrum for  $\text{HfO}_2$  is included for comparison (black line in a-c).

$\text{Hf } 4f_{5/2}$  are 17.4 and 19.0 eV, respectively). Similar peak shifting was reported in the literature for Hf-silicates, and was attributed to the presence of framework Hf-sites in tetrahedral coordination.<sup>72,73,75,76</sup> Accordingly, the wHf-EPDM silicates may possess tetrahedral Hf-sites. Johnson *et al.*<sup>28</sup> reported different types of tetrahedral Hf-sites playing important roles in the CTH reaction of cyclohexanone with butanol, namely closed sites (fully condensed) and open sites (possessing an OH group), which may have different intrinsic activities.

For more detailed XPS analyses, fittings were performed in the Hf 4f region for the materials 16Hf-EPDM-SS, 8Hf-EPDM-SS and bulk  $\text{HfO}_2$  (Fig. S14†). The fitted spectrum of 16Hf-EPDM-SS evidenced a shift of the Hf  $4f_{7/2}$  main peak at 17.3 eV for  $\text{HfO}_2$  to 17.5 eV for 16Hf-EPDM-SS, which was accompanied by peak broadening. Hence, the spectrum of 16Hf-EPDM-SS included a new relatively low intensity Hf  $4f_{7/2}$  peak at a higher binding energy of 18.2 eV (not appearing for bulk  $\text{HfO}_2$ ) assignable to Hf-O-Si groups (in agreement with the above

discussion). The shift to higher binding energy may be explained by the higher electronegativity of silicon in relation to hafnium. Specifically,  $\text{SiO}_4$  groups surrounding Hf centers (*e.g.*,  $\text{Hf}(\text{OSi})_x$ ) may withdraw more electron density from the Hf centers than  $\text{HfO}_2$  groups surrounding Hf centers (as in  $\text{Hf}(\text{OHf})_x$ , for example). Hence, Hf-O-Si groups may lead to more electron deficient Hf centers, conferring acidity to the materials (ascertained by acid property measurements, discussed below). For 16Hf-EPDM-SS, the small shift of the main peak (*ca.* 0.2 eV in relation to bulk  $\text{HfO}_2$ ) and the weak intensity of the Hf  $4f_{7/2}$  peak at 18.2 eV (Hf-O-Si) suggested that this material possessed a significant portion of  $\text{HfO}_2$  type species, which is consistent with the PXRD and DR UV-vis spectroscopy studies. On the other hand, the fitted spectra of 16Hf-EPDM-SS and 8Hf-EPDM-SS were somewhat comparable (Fig. S14†), which somewhat parallels the roughly comparable acid site concentrations for these two materials, discussed below (Table 1).

FT-IR spectroscopy of adsorbed pyridine (as a base probe for measuring acidity) indicated that the Hf-EPDMs were solid Lewis (L) acids of moderate strength ( $L_{350}/L_{150} = 0$ ) and did not possess measurable Brønsted acidity (Table 1). The concentration of L

**Table 1** Acid properties of the Hf-EPDMs and Hf-LFS50s

Sample	$L^a$ ( $\mu\text{mol g}^{-1}$ )	$L_{350}/L_{150}^b$
8Hf-EPDM-SS	10	—
8Hf-EPDM-WI	4	—
12Hf-EPDM-SS	10	—
16Hf-EPDM-SS	12	—
8Hf-EPDM-HT4.5-SS	8	—
8Hf-EPDM-0.2TPA3-SS	7	—
8Hf-EPDM-1.2TPA3-SS	9	—
8Hf-EPDM-0.2Na3-SS	12	—
2Hf-LFS50-SS	9	—
4Hf-LFS50-SS	29	—
8Hf-LFS50-SS	30	0.07
8Hf-LFS50-WI	30	—
12Hf-LFS50-SS	56	0.09
16Hf-LFS50-SS	49	0.10
8Hf-LFS50-HT-SS	37	—
8Hf-LFS50-HT-WI	56	—
8Hf-LFS50-TPA-SS <sup>c</sup>	48	0.08
8Hf-LFS50-TPA-WI	54	0.02
8Hf-LFS50-Na-SS	29	—
2Hf-LFS150-SS	11	—
4Hf-LFS150-SS	24	0.06
8Hf-LFS150-SS	28	—
8Hf-LFS150-WI	27	0.07
12Hf-LFS150-SS	31	0.10
16Hf-LFS150-SS	37	0.05
8Hf-LFS150-HT-SS	45	—
8Hf-LFS150-HT-WI	42	—
8Hf-LFS150-TPA-SS	41	0.22
8Hf-LFS150-Na-SS	24	—

<sup>a</sup> Acid properties ( $L = \text{Lewis acid sites}$ ) measured by FT-IR of adsorbed pyridine. For 8Hf-LFS50-Na-WI, 8Hf-LFS150-TPA-WI, and 8Hf-LFS150-Na-WI, several attempts failed to form a wafer, and thus the FT-IR spectra were not recorded. <sup>b</sup> Lewis (L) acid strength based on the molar ratio  $L_{350}/L_{150}$ . <sup>c</sup> For this material, the amount of Brønsted (B) acid sites = 9  $\mu\text{mol g}^{-1}$  (the remaining materials did not possess measurable B acidity).

acid sites did not vary considerably for  $w$  in the range of 8 to 16 wt% Hf ( $L = 4\text{--}12 \mu\text{mol g}^{-1}$ ) and for the different types of treatments of the 8Hf-EPDMs ( $L = 7\text{--}12 \mu\text{mol g}^{-1}$ ).

**LFS materials.** LFSs are crystalline silicas and are structurally similar, ascertained by PXRD (Fig. S15A and B $\dagger$ );  $2\theta \approx 4.59, 9.56, 12.16, 19.64, 26.21$ , and  $49.63^\circ$  for LFS50, and  $2\theta \approx 4.57, 9.48, 12.18, 19.30, 26.26$ , and  $49.58^\circ$  for LFS150. The crystalline structure is comparable to that of kenyaita, a sodium silicate hydroxide hydrate with the general formula of  $\text{NaSi}_{11}\text{O}_{20.5}(\text{OH})_{4.3}\text{H}_2\text{O}$ , possessing a monoclinic crystal system (PDF card number: 00-020-1157). The untreated, HT- and TPA-treated LFSs exhibited similar PXRD patterns, whereas the NaOH treatment led to slight differences in the  $10\text{--}17^\circ 2\theta$  region (Fig. S15A $\dagger$ ). Based on the literature, NaOH seems to be a stronger desilication agent than TPAOH, which may explain these differences.<sup>29</sup> The Na-treated LFSs were structurally identified to be orthorhombic sodium silicate hydroxide hydrate with the formula of  $\text{Na}_2((\text{SiO}_2)_{20}(\text{OH})_2)(\text{H}_2\text{O})_8$  (PDF card number: 01-091-1288). A treatment time of up to 4.5 h for HT, and 3 h for alkaline treatments, did not significantly influence the crystalline structure (Fig. S15B $\dagger$ ). The impregnation of up to *ca.* 8 wt% Hf *via* the SS or WI methods, on the untreated/treated LFS silicas, also did not significantly influence the crystalline structure (Fig. 4 and S15C and D $\dagger$ ). For LFSs with  $w \geq 12$  wt% Hf, new peaks appeared at  $28.5, 31.6^\circ 2\theta$ , characteristic of  $\text{HfO}_2$  (Fig. 4).

The SEM and STEM images of the commercial LFSs showed aggregates of fine scaly-like particles (Fig. 5 and S16 $\dagger$ ), which were somewhat larger for LFS150 than LFS50 (mean particle sizes of *ca.* 1.5 and 0.5  $\mu\text{m}$ , respectively (technical specifications)). For each LFS, the respective untreated materials possessed somewhat comparable morphologies (Fig. 5).

After Hf impregnation, no considerable morphological changes were observed (exemplified for the LFS50 family with the WI method, in Fig. S17 $\dagger$ ). The elemental mappings suggested uniform metal distributions for  $w$  up to at least 8

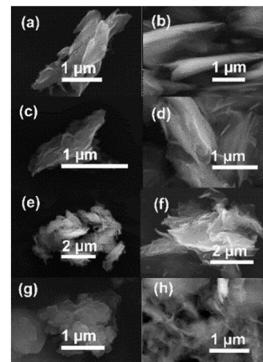


Fig. 5 SEM images of LFS50 (a), LFS150 (b), LFS50-HT (c), LFS150-HT (d), H-LFS50-TPA (e), H-LFS150-TPA (f), H-LFS50-Na (g), and H-LFS150-Na (h).

wt% Hf (Fig. S18 and S19 $\dagger$ ), but increasing further the  $w$  to 16 wt% Hf led to the appearance of intense colour spots in the hafnium mappings, assignable to  $\text{HfO}_2$  (Fig. S18j $\dagger$ ).

The  $\text{N}_2$  sorption isotherms of the commercial LFSs showed a small uptake at low relative pressures (micropore volume was less than  $0.04 \text{ cm}^3 \text{ g}^{-1}$ ) and a hysteresis loop attributable to mesoporosity (Fig. S9b and c $\dagger$ ).<sup>77</sup> The pore size distributions of the parent LFSs were in the range of 2–10 nm (Fig. S10b and c $\dagger$ ), and the  $S_{\text{BET}}$  was 218 and  $210 \text{ m}^2 \text{ g}^{-1}$  for LFS50 and LFS150, respectively (Tables S4 and S5 $\dagger$ ). The treated LFSs possessed similar isotherms and slightly broader mesopore size distributions (2–20 nm) compared to the untreated LFSs (Tables S4 and S5 $\dagger$ ). The HT treatment led to enhanced  $S_{\text{BET}}$  and  $S_{\text{meso}}$ ; *e.g.*, the  $S_{\text{BET}}$  was  $218/286 \text{ m}^2 \text{ g}^{-1}$  and the  $S_{\text{meso}}$  was  $149/284 \text{ m}^2 \text{ g}^{-1}$  for LFS50/LFS50-HT (Table S4 $\dagger$ ). The alkaline treatments led to decreased  $S_{\text{BET}}$  (especially using NaOH as a desilication agent), which may be due to the formation of inorganic debris (Tables S4 and S5 $\dagger$ ). However, after HCl washing of the alkaline treated silicas, the  $S_{\text{BET}}$  and  $S_{\text{meso}}$  increased: *e.g.*, the  $S_{\text{BET}}$  was  $218/269/235 \text{ m}^2 \text{ g}^{-1}$  and the  $S_{\text{meso}}$  was  $149/234/229 \text{ m}^2 \text{ g}^{-1}$  for LFS50/H-LFS50-TPA/H-LFS50-Na (Table S4 $\dagger$ ), and the  $S_{\text{BET}}$  was  $210/215/249 \text{ m}^2 \text{ g}^{-1}$  and the  $S_{\text{meso}}$  was  $144/164/200 \text{ m}^2 \text{ g}^{-1}$  for LFS150/H-LFS150-TPA/H-LFS150-Na (Table S5 $\dagger$ ). The impregnation of Hf led to similar or lower  $S_{\text{BET}}$  (which seemed more pronounced for the WI method and higher Hf loadings; Tables S4 and S5 $\dagger$ ). For example, comparison of the type of impregnation method indicated that the  $S_{\text{BET}}$  decreased from  $215 \text{ m}^2 \text{ g}^{-1}$  for H-LFS150-TPA to  $193/184 \text{ m}^2 \text{ g}^{-1}$  for 2Hf-LFS150-TPA-SS/2Hf-LFS150-TPA-WI, from  $249 \text{ m}^2 \text{ g}^{-1}$  for H-LFS150-Na to  $213/174 \text{ m}^2 \text{ g}^{-1}$  for 2Hf-LFS150-Na-SS/2Hf-LFS150-Na-WI, and from  $251 \text{ m}^2 \text{ g}^{-1}$  for LFS150-HT to  $225/182 \text{ m}^2 \text{ g}^{-1}$  for 2Hf-LFS150-HT-SS/2Hf-LFS150-HT-WI.

The  $^{29}\text{Si}$  MAS NMR spectra profiles of the untreated LFS supports were different from those of untreated EPDM, suggesting some differences in distributions of Si-sites with different chemical environments for the LFSs *versus* EPDM (Fig. 2). The spectra of the untreated LFSs showed relatively defined peaks, specifically, predominant  $Q^4$  (*ca.*  $-110 \text{ ppm}$ ), weaker  $Q^3$  (*ca.*  $-100 \text{ ppm}$ ) and very weak  $Q^2$  (*ca.*  $-90 \text{ ppm}$ )

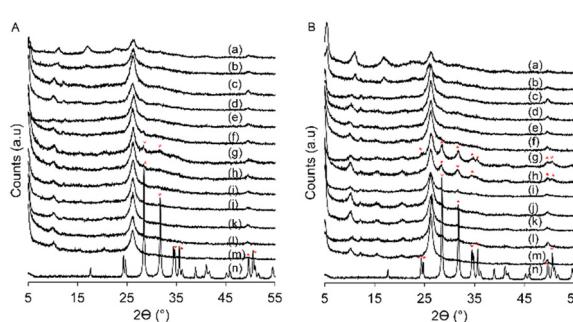


Fig. 4 PXRD patterns of  $w\text{Hf-LFS50s}$  (A) and  $w\text{Hf-LFS150s}$  (B). (A) 8Hf-LFS50-Na (WI (a); SS (b)); 8Hf-LFS50-TPA (WI (c); SS (d)); 8Hf-LFS50-HT (WI (e); SS (f));  $w\text{Hf-LFS50-SS}$  ( $w = 16$  (g), 12 (h), 8 (i), 4 (j), 2 (k));  $w\text{Hf-LFS50-WI}$  ( $w = 8$  (l), 2 (m));  $\text{HfO}_2$  (n). (B) 8Hf-LFS150-Na (WI (a); SS (b)); 8Hf-LFS150-TPA (WI (c); SS (d)); 8Hf-LFS150-HT (WI (e); SS (f));  $w\text{Hf-LFS150-SS}$  ( $w = 16$  (g), 12 (h), 8 (i), 4 (j), 2 (k));  $w\text{Hf-LFS150-WI}$  ( $w = 8$  (l), 2 (m));  $\text{HfO}_2$  (n).



resonances (Fig. 2c and e).<sup>62,63,78,79</sup> The alkaline treatment led to changes in the  $Q^4$  peak profile, *i.e.*, the less intense shoulder at *ca.* -110 ppm close to 120 ppm (Fig. 2c and e) suggested that a fraction of  $Q^4$  sites were reactive under alkaline conditions. Consistent with the  $^{29}\text{Si}$  MAS NMR data, the  $^{29}\text{Si}\{^1\text{H}\}$  CP MAS NMR spectra showed enhanced relative intensity of the  $Q^3$  and  $Q^2$  peaks due to proximal hydrogen atoms (Fig. 2d and f).<sup>62</sup> The concentration of silanol groups ( $[\text{SiOH}]$ , Table S2†) was not considerably influenced by the HT treatment, but increased with the alkaline treatments (Table S2†). For example, for the NaOH treatment,  $[\text{SiOH}]$  was 1.6/3.1 mmol g<sup>-1</sup> for LFS50/H-LFS50-Na and 1.5/2.8 mmol g<sup>-1</sup> for LFS150/H-LFS150-Na, and for the TPAOH treatment,  $[\text{SiOH}]$  was 1.6/2.0 mmol g<sup>-1</sup> for LFS50/H-LFS50-TPA and 1.5/2.5 mmol g<sup>-1</sup> for LFS150/H-LFS150-TPA.

After Hf impregnation, the  $^{29}\text{Si}$  MAS NMR spectra showed decreased relative intensity of the  $Q^3$  peak (exemplified for untreated/treated LFS50s in Fig. S20a–d†), and  $[\text{SiOH}]$  decreased after Hf impregnation (Table S2†). Additionally, the  $^{29}\text{Si}\{^1\text{H}\}$  CP MAS NMR spectra (Fig. 6 and S21†) showed decreasing relative intensity of the  $Q^3$  peak with increasing  $w$  up to 8 wt% (*e.g.*, for  $w\text{Hf-LFS50-SS}$  in Fig. S21e†). These results support that, possibly, the reaction between silanol groups and the Hf precursor occurred, forming Si–O–Hf bonds (supported by XPS, discussed below).<sup>61</sup> Increasing further the Hf loading to 12–16 wt% did not lead to significant spectral differences (Fig. S21e†), possibly due to the formation of polynuclear  $\text{HfO}_2$ .

Based on the above studies, in general, the LFSs seemed to be more affected by the treatments than the EPDMs. A possible explanation is that the larger particles and generally

lower specific surface areas of the EPDMs in relation to the LFSs may contribute to the higher robustness of the former towards chemical treatments. Nevertheless, the distinct morphological and textural features of the LFSs may lead to more favourable surface properties (*e.g.*, acid–base properties, discussed below).

The ATR FT-IR spectra of the LFSs showed typical bands associated with external/internal, asymmetric/symmetric stretching vibrations of Si–O–Si groups (*ca.* 1220 cm<sup>-1</sup><sup>80,81</sup> 1040–1050 cm<sup>-1</sup><sup>65,66,69,80–82</sup> 780 cm<sup>-1</sup><sup>65,81,82</sup>) and bending mode vibrations involving Si–O bonds at *ca.* 420 cm<sup>-1</sup><sup>65,66,69,81</sup>. A somewhat broad band centred at *ca.* 3650 cm<sup>-1</sup> is assignable to hydrogen-bridging hydroxyl groups (–Si–OH···O–Si or vicinal hydrogen bonded silanol groups),<sup>64,80</sup> and at *ca.* 980 cm<sup>-1</sup> to Si–O stretching of silanol groups (Fig. S12c–f; Table S3†).<sup>64–66</sup> For the alkaline treated LFSs, the *ca.* 980 cm<sup>-1</sup> band was generally more pronounced (Fig. S12c and e†), which correlated with the enhanced  $[\text{SiOH}]$  (Table S2†).

Somewhat in parallel with that verified for EPDM, the DR UV-vis spectra of the parent LFSs exhibited two small bands at *ca.* 215 and 240 nm, and their spectra were very different from that of pure  $\text{HfO}_2$  (Fig. S13c–f). For the  $w\text{Hf-LFSs}$ , the relative intensity of the *ca.* 215 nm band (defect sites of the silica framework)<sup>68–70</sup> decreased with increasing  $w$  until 8 wt% Hf, attributable to the reaction between defect sites of the silica framework and hafnium (possibly forming Si–O–Hf bonds, which is supported by the XPS studies discussed below). For  $w > 8$  wt%, the *ca.* 215 nm band was not distinguishable, and instead a steep increasing absorption band below 220 nm, appeared, which, according to the literature, is assignable to tetrahedral/isolated Hf-sites.<sup>25,70,71</sup> A broad band at *ca.* 380 nm appeared principally for the alkaline treated 8Hf-LFSs (especially using NaOH), which may be associated with hafnium oxide species.<sup>72</sup>

Fig. 3b and c show the XPS spectra (Hf 4f region) for selected  $w\text{Hf-LFSs}$ . In parallel with that verified for the EPDMs, the binding energies associated with Hf 4f<sub>7/2</sub> and Hf 4f<sub>5/2</sub> of the untreated/treated 8Hf-LFS50 and the TPA-treated 8Hf-LFS150 samples were shifted to higher binding energies in comparison with those for  $\text{HfO}_2$  (*e.g.*, the binding energies associated with Hf 4f<sub>7/2</sub> and Hf 4f<sub>5/2</sub> were 17.4 and 19.1 eV, respectively, for 8Hf-LFS50-TPA-WI, and 17.5 and 19.1 eV for 8Hf-LFS150-TPA-WI). These differences may be partly due to the presence of tetrahedral Hf-sites.<sup>72,73,75,76</sup>

For more detailed XPS analyses, fittings were performed in the Hf 4f region for selected samples, namely 8Hf-LFS50-SS and 8Hf-LFS50-TPA-SS, in a similar fashion to those performed for the  $w\text{Hf-EPDMs}$  discussed above (Fig. S14†). In parallel with that verified for the EPDMs, the spectra of the LFS50s showed peak broadening in comparison with the Hf 4f spectrum of pure  $\text{HfO}_2$ , which may be at least partly attributed to the formation of Hf–O–Si bonds in the LFS50s. Accordingly, the LFS50s exhibited a new Hf 4f<sub>7/2</sub> peak at *ca.* 18.2 eV associated with Hf–O–Si groups (besides the Hf 4f<sub>7/2</sub> peak at *ca.* 17.5 eV due to the  $\text{HfO}_2$  type species). The relative intensity of the peak at *ca.* 18.2 eV in relation to the peak at

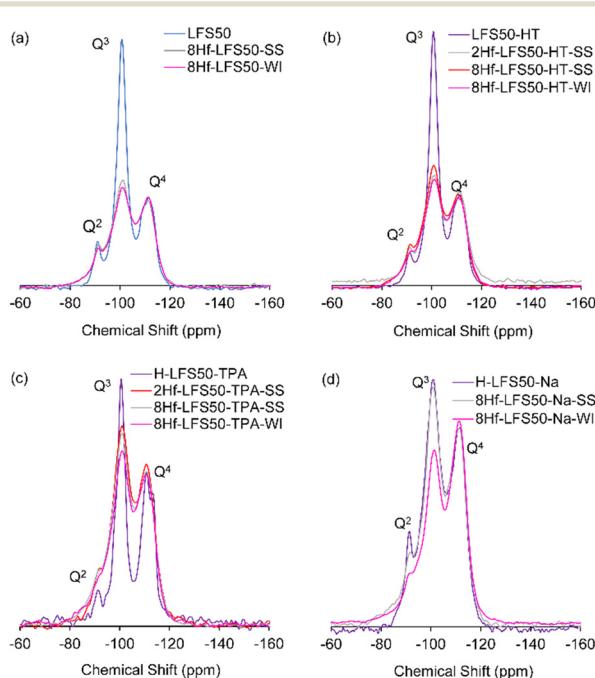


Fig. 6  $^{29}\text{Si}$  CP  $\{^1\text{H}\}$  MAS NMR spectra of selected untreated (a) and treated (b–d) LFS50s, before and after Hf impregnation.

ca. 17.5 eV was higher for 8Hf-LFS50-SS than the 8Hf-EPDM-SS counterpart, which is consistent with the higher amount of acid sites of the former (discussed below).

The fitted spectra of 8Hf-LFS50-SS and 8Hf-LFS50-TPA-SS presented similar features, in that the relative intensity of the Hf 4f<sub>7/2</sub> peak at ca. 18.2 eV (Hf-O-Si) was slightly higher than that of the Hf 4f<sub>7/2</sub> peak at ca. 17.5 eV (HfO<sub>2</sub>) (Fig. S14†); semiquantitative comparisons for these two materials may be erroneous due to signal/noise spectral limitations, which somewhat affects the fittings.

The acid properties measurements of selected *w*Hf-LFSs indicated that the materials were essentially solid Lewis acids of moderate strength and, in some cases, with few relatively strong acid sites ( $L_{350}/L_{150} < 0.22$ ); Brønsted acidity was generally not measurable (Table 1). The amount of acid sites was generally higher for the LFSs than for the EPDM family (e.g., for *w* = 8, 4–12  $\mu\text{mol g}^{-1}$  for 8Hf-EPDMs *versus* 24–56  $\mu\text{mol g}^{-1}$  for 8Hf-LFSs). The smaller particle sizes and generally higher  $S_{\text{BET}}$  of the LFSs than the EPDM counterparts may lead to favourable distributions of acid sites. For the untreated *w*Hf-LFS50s, increasing *w* until 12 wt% Hf led to an increasing amount of L acid sites (9/56  $\mu\text{mol g}^{-1}$  for 2Hf-LFS50-SS/12Hf-LFS50-SS), but increasing further *w* to 16 wt% did not lead to further improvement (49  $\mu\text{mol g}^{-1}$  for 16Hf-LFS50-SS). For the untreated *w*Hf-LFS150s, the concentration of acid sites increased with *w*, from 11  $\mu\text{mol g}^{-1}$  for 2Hf-LFS150-SS to 37  $\mu\text{mol g}^{-1}$  for 16Hf-LFS150-SS.

On the other hand, a comparative study of the type of impregnation method for the 8Hf-LFSs indicated a roughly comparable or higher amount of acid sites for the WI method. While the WI method involves liquid–solid mixtures, the SS method involves solid–solid mixtures, which may impact the acidity. Specifically, factors such as differences in mass transfer phenomena during the impregnation process may influence the distribution of acid sites.

Regarding the types of treatments, the HT- and TPA-treated 8Hf-LFSs possessed enhanced acidity in relation to the untreated 8Hf-LFSs (e.g., 30/57  $\mu\text{mol g}^{-1}$  for 8Hf-LFS50-SS/8Hf-LFS50-TPA-SS and 30/54  $\mu\text{mol g}^{-1}$  for 8Hf-LFS50-WI/8Hf-LFS50-TPA-WI, Table 1). On the other hand, a comparative study of the TPA- *versus* Na-treated 8Hf-LFSs indicated that the former possessed an enhanced amount of acid sites (29/57  $\mu\text{mol g}^{-1}$  for 8Hf-LFS50-Na-SS/8Hf-LFS50-TPA-SS *versus* 24/41  $\mu\text{mol g}^{-1}$  for 8Hf-LFS150-Na-SS/8Hf-LFS150-TPA-SS). At least for the LFS50 family, the higher  $S_{\text{BET}}$  of the HT- and TPA-treated supports than that of the untreated LFS50 and Na-treated supports possibly led to favourable distribution of acid sites (Tables 1 and S4†). Nevertheless, additional factors such as surface chemistry of the supports may impact acid properties. For example, although no direct correlation could be established with the molar ratio (SiOH groups of the support)/Hf, one cannot exclude the possibility of this influencing the distributions of acid sites.

Based on the above complementary characterisation studies, at least some acid sites seem to be related to Hf-O-Si bonds. The acid strength may depend on the structure of the Hf sites.

It is very challenging to unambiguously assign a specific structure of Hf sites to a specific acid strength. Literature studies for fully inorganic hafnium silicates reported Hf sites with different chemical environments (coordination spheres) and in different locations of the catalyst's structure.<sup>28,83</sup> Otomo *et al.*<sup>83</sup> reported an extensive study entirely dedicated to the characterisation (combining techniques) of the structure and acidity of Hf sites in Hf-BEA type materials, which suggested the presence of Hf sites such as: tetrahedral open sites (Hf(OH)(SiO)<sub>3</sub>), tetrahedral closed sites (Hf(SiO)<sub>4</sub>), sites associated with bridging Si–(OH)–Hf groups, Hf sites in extra-framework HfO<sub>x</sub> species, and Hf–OH groups. It was hypothesised that the acid strength may follow the order: open and closed Hf sites > extra-framework HfO<sub>x</sub> species > Hf–OH.<sup>83</sup> Nevertheless, it is possible that the populations of Hf sites and their strengths differ for different families of materials.

The base properties were measured for selected Hf-LFS50s (Table 2). Besides acidity, the materials possessed base properties, *i.e.*, they are amphoteric and may act as bifunctional acid–base catalysts. The higher amount of base sites than of acid sites (Tables 1 and 2) may be at least partly related to the fact that the acid and base probe molecules possess very different features (e.g., reactivity and molecular dimensions, which may impact factors such as steric hindrance and extension of the titration reactions). In general, the materials possessed more stronger (Sb) base sites than weak plus moderate base sites (Wb + Mb) (*i.e.*, Sb/(Wb + Mb) > 1). Increasing the Hf loading from 2 to 12 wt% led to increasing amounts of base sites, without affecting considerably the base strength (Sb/(Wb + Mb) in the range of 2.0–2.8). A further increase of *w* from 12 to 16 wt% Hf impacted negatively the amount of base sites (which decreased from 326 to 237  $\mu\text{mol g}^{-1}$ , Table 2). This trend paralleled the trend of acid sites concentration as a function of *w* (Table 1). The HT- and (especially) TPA-treated materials (namely 8Hf-LFS50-HT-SS and 8Hf-LFS50-TPA-SS) possessed more base sites than the Na-treated 8Hf-LFS50-Na-SS (Table 2); also, this trend paralleled the trend of acid sites concentration (Table 1). The TPA treatment seemed to favour the formation of some stronger base sites (Sb/(Wb + Mb) = 5.9 *versus* 2.5–2.7 for the Na and HT treatments), which paralleled the fact

Table 2 Base properties of selected Hf-silicas

Sample	Total Base sites ( $\mu\text{mol g}^{-1}$ )	Sb/(Wb + Mb) <sup>a</sup>
8Hf-EPDM-SS	51	0.6
2Hf-LFS50-SS	49	2.0
4Hf-LFS50-SS	201	2.7
8Hf-LFS50-SS	220	2.8
12Hf-LFS50-SS	326	2.5
16Hf-LFS50-SS	237	2.3
8Hf-LFS50-HT-SS	242	2.7
8Hf-LFS50-TPA-SS	476	5.9
8Hf-LFS50-Na-SS	215	2.5

<sup>a</sup> Base strength is based on the molar ratio Sb/(Wb + Mb), where Wb = amount of weak base sites, Mb = amount of medium strength base sites, and Sb = amount of strong base sites.



that stronger L acid sites were verified for 8Hf-LFS50-TPA-SS and not for the Na- and HT-treated materials (Table 1). Hence, 8Hf-LFS50-TPA-SS seemed to possess some strong acid-base sites.

For comparison with the LFS type materials, the base properties of 8Hf-EPDM-SS were measured, which indicated that the latter possessed a lower amount and strength of base sites (Table 2); this matched the acid properties in that 8Hf-EPDM-SS possessed lower acid sites concentration than 8Hf-LFS50-SS (Table 1).

## Catalytic studies

**General considerations.** The Hf-EPDM and Hf-LFS type catalysts were developed for the conversion of levulinic acid (LA) to  $\gamma$ -valerolactone (GVL), using 2-butanol (2BuOH) as a H-donor, at 180 °C.

According to the literature, the secondary alcohols 2-propanol and 2-butanol are among the most frequently employed H-donors with considerable potential for the target CTH process.<sup>22,84</sup> Some literature studies reported somewhat higher selectivity to biobased products using 2BuOH: *e.g.*, furfural to GVL<sup>73</sup> and other biobased products,<sup>85,86</sup> and xylose to LEs.<sup>87</sup> This partly motivated the choice of 2BuOH in the present study. Additionally, 2BuOH may be produced from LA<sup>88</sup> and other components derived from vegetable biomass, *e.g.*, lignocelluloses,<sup>89,90</sup> or in the wine industry,<sup>91</sup> which is attractive for integrated biorefinery processes and a circular bioeconomy.

The first stage of the catalyst development involved studying the influence of the types of treatments of the silica supports at a relatively low Hf loading of 2 wt%; subsequently, the influence of the Hf loading ( $w$ ) was studied for selected supports.

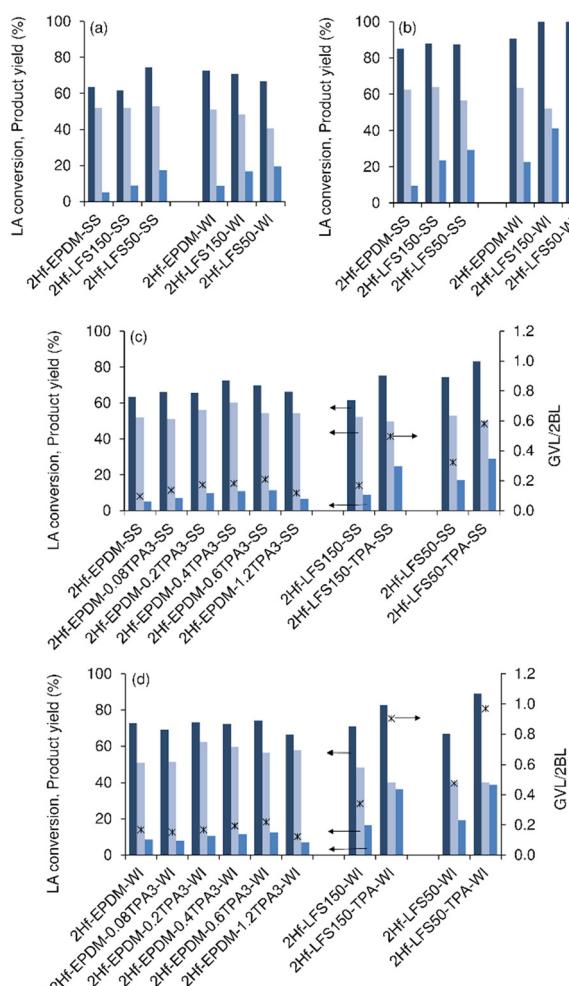
In the catalytic studies of the 2Hf-silicas the mass of the catalyst and Hf loading was kept approximately constant ( $w = 2$  wt% Hf). Hence, the discussed trends of LA conversion parallel those of catalytic activity (based on the mass of the catalyst) or turnover frequencies (based on the Hf loading). The same applies for the discussed trends of GVL yields in that they parallel those of GVL formation rates (based on the mass of the catalyst). Hence, for the sake of simplicity, LA conversions and GVL yields are presented in the figures for discussion.

The LA reaction without a catalyst gave 2-butyl levulinate (2BL) as a sole product in 37% yield at 39% conversion, at 24 h and 180 °C. The silica supports without Hf were ineffective in converting LA to GVL, leading to 2BL in 37–48% yield at 39–49% conversion, at 24 h (Fig. S22†); the carbon balances closed in >97% (Fig. S23†). Pure HfO<sub>2</sub> was also sluggish, leading to a 46% 2BL yield and less than 2% GVL yield at 51% conversion, at 24 h. The GVL production was considerably augmented using the Hf-silicas (up to 87% yield). Hence, the developed catalysts possessed adequate Hf-sites that promoted the target reaction (which, as mentioned above, may be tetrahedral Hf sites<sup>72,92,93</sup>).

**Untreated 2Hf-silicas.** The untreated (commercial) silica supports impregnated with 2 wt% Hf (2Hf-silicas) led to 2BL

and GVL, at 180 °C (Fig. 7a and b). The carbon balances closed in 87–99% until 48 h of reaction (Fig. S23†). For each impregnation method, the GVL yields at 48 h increased in the order 2Hf-EPDM (9–23%) < 2Hf-LFS150 (24–41%) < 2Hf-LFS50 (29–58%) (Fig. 7). Hence, the LFSs seemed to be more favorable supports than EPDM, which may be due to the interplay of several factors. For example, the untreated 2Hf-LFSs possessed higher  $S_{BET}$  than the 2Hf-EPDM counterparts, which may favour adsorption of reaction intermediates and the H-donor necessary for GVL formation.

For each support, the WI impregnation method generally led to higher LA conversions and GVL yields at 48 h than the SS method (Fig. 7b): *e.g.*, 58%/29% for 2Hf-LFS50-WI/2Hf-LFS50-SS (at 100%/87% conversion). These results suggested that the WI method may favour the formation of Hf-sites with relatively high intrinsic activity.



**Fig. 7** Influence of the TPAOH treatment and Hf impregnation method (SS or WI) on the catalytic performances of the 2Hf-silicas for LA conversion (first dark blue bars) to 2BL (second light blue bars) and GVL (third blue bars), and the GVL/2BL molar ratio (\*). Catalytic results for the untreated 2Hf-silicas at 24 h (a) and 48 h (b), and comparisons with the TPA-treated 2Hf-silicas at 24 h (c and d). Reaction conditions: 0.45 M LA in 2BuOH, 25.5 g<sub>cat</sub> L<sup>-1</sup>, 180 °C.



**Alkaline treated 2Hf-silicas.** The impact of the alkaline treatment on the catalytic performances was studied for the 2Hf-EPDMs (prepared using 0.08–1.2 M TPAOH or 0.2 M NaOH) and 2Hf-LFSs (prepared using 0.2 M base) (Fig. 7c and d and 8 and S24–S26†).

In general, the alkaline treated materials led to higher LA conversions and GVL yields than the untreated counterparts, especially for 2Hf-LFSs (Fig. 7c and d, S24† and 8). Fig. 7c and d and S24† show the influence of the TPAOH concentration on the performance of the treated 2Hf-EPDMs. Until 0.6 M TPAOH (0.6TPA), the GVL yield at 48 h was slightly enhanced in relation to the untreated counterparts, *e.g.*, 9%/31% yield (at 85%/100% conversion) for 2Hf-EPDM-SS/2Hf-EPDM-0.6TPA3-SS, and 23%/30% yield (at 91%/97% conversion) for 2Hf-EPDM-WI/2Hf-EPDM-0.6TPA-WI. However, a further increase in TPAOH concentration to 1.2 M (1.2TPA) did not significantly enhance the GVL yields (21%/28% for 2Hf-EPDM-1.2TPA-WI/2Hf-EPDM-1.2TPA-SS).

On the other hand, the alkaline treatment time of 1.5 or 3 h did not impact significantly the catalytic performance of the 2Hf-EPDMs (Fig. S26†). Hence, in subsequent studies, the LFSs were treated with 0.2 M base for 3 h, for further catalytic studies.

The alkaline treated 2Hf-LFSs led to higher LA conversions and GVL yields than the alkaline treated 2Hf-EPDM counterparts (0.2 M base), in parallel with that verified for the untreated 2Hf-LFSs *versus* the untreated 2Hf-EPDMs. These results correlated, for example, with the generally higher  $S_{BET}$  for the alkaline treated 2Hf-LFSs (up to 263  $\text{m}^2 \text{g}^{-1}$ ; Tables S4 and S5†) than the 2Hf-EPDM counterparts

(up to 192  $\text{m}^2 \text{g}^{-1}$ , Table S1†). A comparative study of the type of base (0.2 M NaOH or TPAOH) indicated that, in general, NaOH led to lower GVL yields and GVL/2BL molar ratios than TPAOH; the differences were less pronounced for the 2Hf-EPDMs (Fig. 7c and d and 8 and S24†).

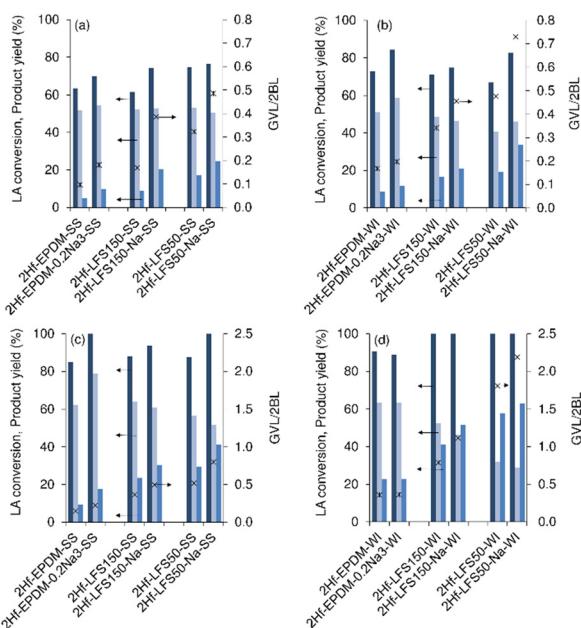
A comparative study of the type of impregnation method for the alkaline treated (0.2 M base) 2Hf-silicas indicated that, in general, the WI method impacted more positively the catalytic performance than the SS method (in parallel with that verified for the untreated silicates), especially for the treated 2Hf-LFSs (Fig. 7c and d and S24† and 8). For example, the GVL yield at 48 h was 60–68% (at 100% conversion) for 2Hf-LFS150-TPA-WI/2Hf-LFS50-TPA-WI *versus* 39–43% (at 95–97% conversion) for 2Hf-LFS150-TPA-SS/2Hf-LFS50-TPA-SS (Fig. S24 and S25†).

Regarding the treatments, the HT treatment of the 2Hf-LFSs (using the SS or WI method) led to higher GVL yields than the untreated counterparts, albeit to a smaller extent than that observed for the alkaline treated *versus* untreated counterparts (Fig. S25 and S27†). For example (comparing HT-treated *versus* untreated LFS50s), 2Hf-LFS50-HT-SS/2Hf-LFS50-HT led to 35%/29% GVL yields (87–93% conversion), whereas 2Hf-LFS50-HT-WI/2Hf-LFS50-WI led to 67%/58% GVL yields (100% conversion), at 48 h (Fig. S25 and S27†). The catalytic performances may be due to the complex interplay of several factors such as acidity, texture/morphology and surface chemistry.

**Influence of the Hf loading.** The influence of the Hf loading ( $w$ ) was studied in the range of 2–16 wt% Hf for the untreated silicas using the SS method (Fig. 9) and for the treated silicas (Fig. S28†).

For the untreated silicas, increasing the  $w$  from 2 to 12 wt% enhanced the LA conversion to GVL (Fig. 9); *e.g.*, the GVL yield at 48 h was 44%/67%/78% (at > 99% conversion) for ( $w$  = 12 wt%) 12Hf-EPDM-S/12Hf-LFS150-SS/12Hf-LFS50-SS, compared to the 9%/24%/29% yields (at *ca.* 85% conversion) for ( $w$  = 2 wt%) 2Hf-EPDM-SS/2Hf-LFS50-S/2Hf-LFS150-SS. These results correlated with the higher amount of acid sites of the catalysts with higher  $w$  (in the range of 2–12 wt%, Table 1). However, for  $w$  > 12 wt%, the GVL yield decreased, likely due to the formation of  $\text{HfO}_2$  species (based on PXRD (Fig. S3† and 4B and D) and elemental mappings (Fig. S18†)). The catalytic results also correlated with the base sites concentration (exemplified for  $w$ Hf-LFS50-SS in Table 2), which increased with  $w$  in the range of 2–12 wt% Hf and then decreased. Huang *et al.*<sup>92</sup> reported for fully inorganic hafnium silicates (natural clay halloysite nanotubes), the possible involvement of Hf–O Lewis acid–base pairs in the CTH of LA to GVL. Hence, one cannot rule out the possibility of the LA reaction being promoted by acid–base sites of the prepared materials.

A comparative study of the 8Hf-silicas *versus* the 2Hf-silica counterparts indicated higher GVL yields for the former (Fig. S28†). On the other hand, in parallel with that observed for the untreated 2Hf-silicas, for the untreated 8Hf-silicas the LA conversion and GVL yields increased in the order 8Hf-EPDMs



**Fig. 8** Influence of the Na-treatment and type of impregnation method (SS (a and c) or WI (b and d) method) on the catalytic performances of the 2Hf-silicates for LA conversion (first dark blue bars) to 2BL (second light blue bars) and GVL (third blue bars), and GVL/2BL molar ratio (\*), at 24 h (a and b) and 48 h (c and d). Reaction conditions: 0.45 M LA in 2BuOH, 25.5  $\text{g}_{\text{cat}} \text{L}^{-1}$ , 180 °C.



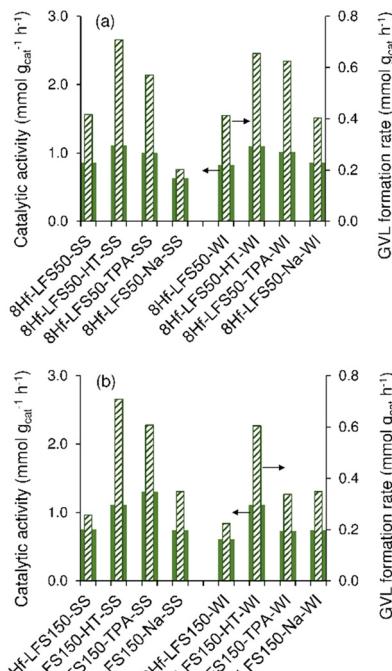


Fig. 9 Catalytic activity and GVL formation rate for the 8Hf-LFS50s (a) and 8Hf-LFS150s (b) prepared via the SS or WI impregnation method. Reaction conditions: 0.45 M LA in 2BuOH, 25.5  $g_{\text{cat}} \text{ L}^{-1}$ , 180 °C.

< 8Hf-LFS150s < 8Hf-LFS50s (Fig. S28†). A similar trend was observed for the GVL formation rates and catalytic activities expressed per unit mass of the catalyst (Fig. 10 and S29†), as well as for the turnover frequency (TOF) based on the Hf loading (since, as mentioned above, the mass of the catalyst was kept constant). These results correlated with the higher  $S_{\text{BET}}$  of the 8Hf-LFSs ( $161\text{--}202 \text{ m}^2 \text{ g}^{-1}$ ) versus 8Hf-EPDMs ( $109\text{--}117 \text{ m}^2 \text{ g}^{-1}$ ; Tables S1, S4 and S5†) and the higher concentration of acid sites of the untreated 8Hf-LFSs ( $28\text{--}30 \mu\text{mol g}^{-1}$ , Table 1) versus 8Hf-EPDM counterparts ( $4\text{--}10 \mu\text{mol g}^{-1}$ , Table 1). Moreover, the results also correlated with the higher amount of base sites of 8Hf-LFS50-SS in relation to the EPDM counterpart (Table 2).

For the treated 8Hf-silicas, superior performances of the LFSs to the EPDMs were also verified (Fig. S28†). For the 8Hf-EPDMs, the type of treatment did not considerably influence the GVL yields (Fig. S28a and b†). In contrast, for the 8Hf-LFSs, the TPA- and HT-treatments seemed generally more favourable than the Na-treatment; *e.g.*, 69%/74% GVL yields at 24 h (100% LA conversion) for 8Hf-LFS50-TPA-SS/8Hf-LFS50-HT-SS versus 33% yield (79% conversion) for 8Hf-LFS50-Na-SS, and 62/68% yields at 24 h (100% conversion) for 8Hf-LFS50-TPA-SS/8Hf-LFS150-HT-SS versus 48% yield (82% conversion) for 8Hf-LFS150-Na-SS (Fig. S28c-f†). A similar trend was observed in comparing the GVL formation rates and catalytic activities (and TOF) of catalysts (Fig. 10 and S29†). The higher GVL yields for the HT- and TPA-treated 8Hf-LFSs in relation to the Na-treated counterparts correlated with the higher concentration of acid sites of the former:  $37\text{--}57 \mu\text{mol g}^{-1}$  for 8Hf-LFS50-HT-SS/8Hf-LFS50-TPA-SS versus  $30\text{--}34 \mu\text{mol g}^{-1}$  for 8Hf-LFS50-Na-SS (Table 1).

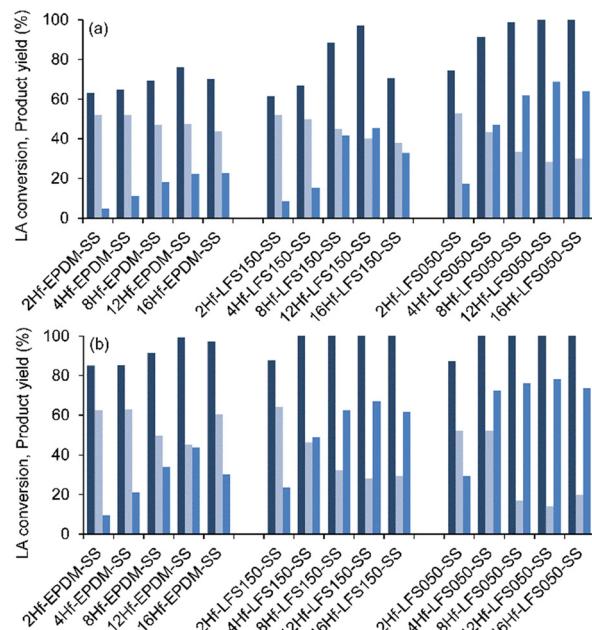
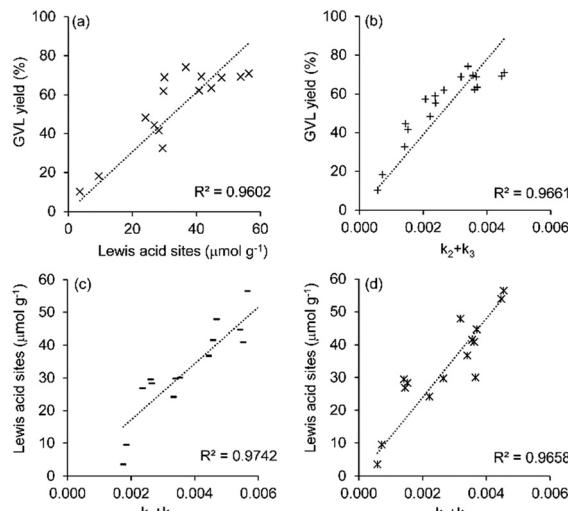


Fig. 10 Impact of the Hf loading (SS method) on the performances of the wHf-silicates for LA conversion (dark blue bars) to 2BL (light blue bars) and GVL (blue bars), and GVL/2BL molar ratio (\*), at 24 h (a) and 48 h (b). Reaction conditions: 0.45 M LA in 2BuOH, 25.5  $g_{\text{cat}} \text{ L}^{-1}$ , 180 °C.

29  $\mu\text{mol g}^{-1}$  for 8Hf-LFS50-SS/8Hf-LFS50-Na-SS, and for the LFS150s,  $41/45 \mu\text{mol g}^{-1}$  for 8Hf-LFS150-TPA-SS/8Hf-LFS150-HT-SS versus  $24 \mu\text{mol g}^{-1}$  for 8Hf-LFS150-Na-SS (Table 1). An overall comparison of the 8Hf-silicas indicated that the GVL yields tended to increase with increasing concentration of Lewis (L) acid sites (Fig. 11a).

The superior catalytic results (higher GVL yields) also seemed to correlate with the higher base sites concentration of the catalysts (exemplified for the 8Hf-LFS50s in Table 2). No clear correlation could be established between the catalytic results and the base strengths, *e.g.*, the molar ratio Sb/(Wb + Mb) was similar for 8Hf-LFS50-HT-SS and 8Hf-LFS50-Na-SS (Table 2), whereas the latter catalyst performed inferiorly.

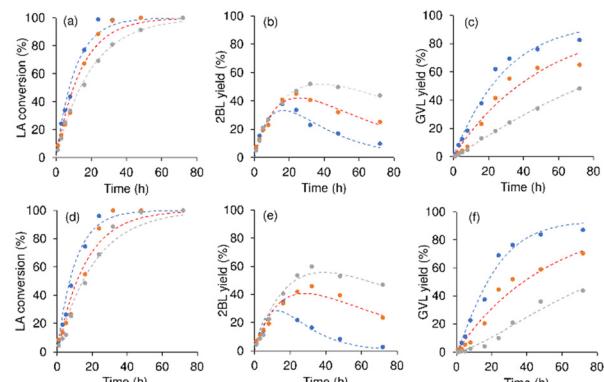
**Kinetic and mechanistic studies.** According to the literature, the conversion of LA to GVL may involve the intermediate formation of 4-hydroxyvaleric acid (route (i)) or  $\alpha$ -angelica lactone (route (ii)). Specifically, route (i) involves hydrogenation at the carbonyl group of LA, giving the intermediate 4-hydroxyvaleric acid (HPA);<sup>94,95</sup> subsequently, the intramolecular interactions between the hydroxyl and carboxyl groups of HPA may lead to dehydration and cyclisation reactions, giving GVL.<sup>96</sup> In route (ii), LA may undergo enolisation at the carbonyl group, followed by intramolecular esterification to form  $\alpha$ -angelica lactone (water as a co-product), and then hydrogenation of the  $\text{C}=\text{C}$  bond of  $\alpha$ -angelica lactone, giving GVL.<sup>96</sup> In alcohol medium, the esterification of LA gives levulinic esters (*e.g.*, 2BL), which, in turn, may be hydrogenated to 4-hydroxypentanoate intermediates (*e.g.*, 2-butyl 4-hydroxypentanoate (BHP)), followed by cyclisation to GVL.<sup>96</sup> In this work,  $\alpha$ -angelica



**Fig. 11** For all 8Hf-silicates: relationship between the GVL yields at 24 h and the (a) Lewis acidity or (b) kinetic constants (+) of GVL formation ( $k_2 + k_3$ ), and relationship between the Lewis acidity and the (c) kinetic constants (–) of LA conversion ( $k_1 + k_3$ ) or (d) kinetic constants (\*) of GVL formation ( $k_2 + k_3$ ). Experimental reaction conditions: 0.45 M LA in 2BuOH, 25.5 g<sub>cat</sub> L<sup>-1</sup>, 180 °C.

lactone was not formed, suggesting that route (i) prevails. The intermediates BHP and HPA were not detected, possibly due to their high reactivity.<sup>95,97</sup> Tan *et al.*<sup>97</sup> did not experimentally detect the 4-hydroxypentanoate ester, but its formation was supported by theoretical calculations.<sup>97</sup> To gain further insights into the reaction mechanism, the kinetic curves were analysed. Fig. 12 shows the kinetic profiles for the untreated 8Hf-silicas, at 180 °C. The 2BL yields reached a maximum and then decreased, whereas the GVL yield continued increasing reaching 83–87% for 8Hf-LFS50s, 65–70% for 8Hf-LFS150s and 44–48% for 8Hf-EPDMs, at 72 h. For example, for 8Hf-LFS150-SS and 8Hf-LFS150-WI, the 2BL yield reached 45–46% at 24 h and 32 h, respectively, and then decreased to 23–25% at 72 h, and for the 8Hf-EPDMs, the 2BL yield reached 52–60% at 32 h and then decreased to 44–47% at 72 h. The kinetic profiles for the treated 8Hf-LFSs were, in general, similar, *i.e.*, the 2BL yield reached a maximum (Fig. S30b and e and S31b and e†) and then decreased with the concomitant increase of GVL yields (Fig. S30c and f and S31c and f).

Based on these mechanistic considerations and literature data, the reaction mechanism for the LFSs and EPDMs is proposed in Scheme 2. The overall mechanism involves the acid-catalysed esterification of LA to 2BL ( $k_1$ ) and the subsequent catalytic transfer hydrogenation (CTH) of 2BL to GVL ( $k_2$ ). In parallel with the esterification route, LA may be converted to GVL ( $k_3$ ) without the intermediate formation of levulinic esters (LES).<sup>94,95,97–99</sup> The possible formation of by-products ( $D_{LA}$ ;  $k_4$ ) is contemplated because the material balances did not close in 100% (Fig. S23†). Huang *et al.*<sup>100</sup> proposed a simpler one-step mechanism for a Hf-polyacrylonitrile nanofiber (Hf@PAN-TP) catalyst; in that

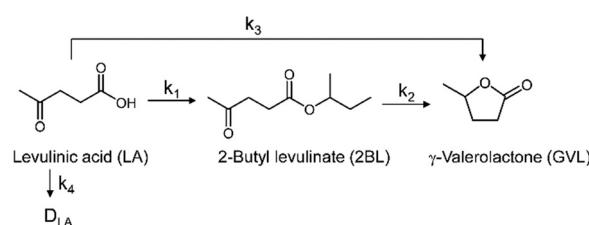


**Fig. 12** Kinetic model fitting (dashed lines) to the experimental results (points) for the LA conversion (a and d), 2BL yield (b and e) and GVL yield (c and f) versus time, for the untreated 8Hf-silicates, prepared via the SS (a–c) or WI (e–g) impregnation method. Specifically, 8Hf-LFS50s – blue, 8Hf-LFS150s – orange and 8Hf-EPDMs – grey. Reaction conditions: 0.45 M LA in 2BuOH, 25.5 g<sub>cat</sub> L<sup>-1</sup>, 180 °C.

study, the LE yields were less than 10%, at 170 °C, and LA esterification was not considered.

A kinetic model was developed, which fitted reasonably well the experimental data for the 8Hf-silicas, indicating that the proposed mechanism is plausible ( $F_{obj} = 1.10 \times 10^{-3}$ – $2.93 \times 10^{-2}$ ; Fig. 12 and S30 and S31†). The calculated kinetic constants  $k_i$  are given in Table S6.† In general, the lowest kinetic constant was that of the decomposition of LA ( $k_4$ ) ( $< 5.89 \times 10^{-4}$  L g<sub>cat</sub>  $^{-1}$  h $^{-1}$ , Table S6†). Hence, the developed catalysts were effective for selectively targeting GVL. The kinetic constants for LA conversion ( $k_1 + k_3$ ), as well as for GVL formation ( $k_2 + k_3$ ), tended to somewhat increase with the concentration of L acid sites (Fig. 11c and d).

Although the catalysts possessed Lewis acid sites and negligible/no Brønsted acid sites, one cannot fully exclude the possible involvement of Brønsted acidity in steps that may benefit from Brønsted acid sites, such as the esterification of LA to 2BL (*e.g.*, reported in ref. 101–103). Esterification leads to the formation of water as a co-product, which may interact with Lewis acid sites. The Lewis acid sites may polarize water molecules, inducing the *in situ* formation of Brønsted acidity. For example, Omata and Nambu<sup>104</sup> reported the catalytic role of the water molecules polarized at Lewis acid sites of transition metal oxides (studies involving isotopes), and the polarized water molecules acted as Brønsted acid sites in cumene cracking which is a reaction



**Scheme 2** Mechanistic proposal for LA conversion to GVL production, in 2BuOH at 180 °C.



that has been generally understood to proceed on Brønsted acid sites.

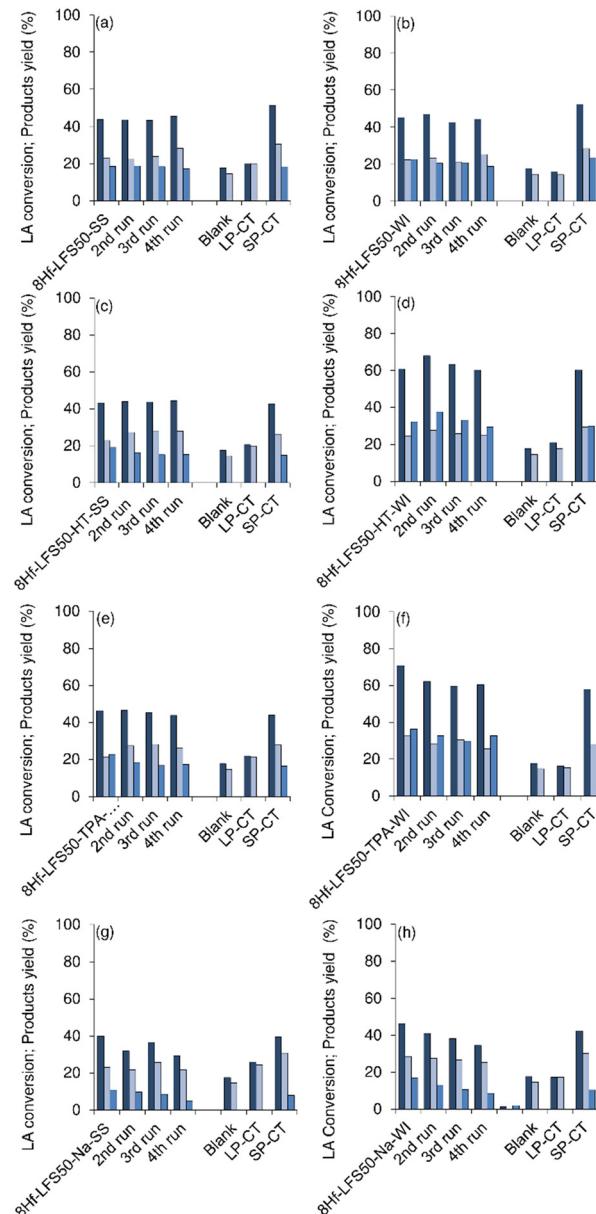
As mentioned above, besides the acid sites, the base sites may be involved in the CTH reaction of LA to GVL. According to literature studies (*e.g.*, for Zr-containing heterogeneous catalysts), the oxygen-containing base sites (*e.g.*, oxygen bridges) may facilitate the deprotonation of the alcohol H-donor (*via* dissociation of the O-H bond). On the other hand, the carbonyl group (possessing an electron-rich O-atom) of LA may be activated by (electron-deficient) Lewis acid Hf-sites (please see the discussion of the XPS studies). Then, a hydrogen transfer step may occur between the dissociated O-H (of the alcohol H-donor) and the activated LA, leading to 4-hydroxypentanoate intermediates, which finally convert to GVL.<sup>94,103,105-107</sup> Accordingly, one cannot rule out the possibility of the catalytic results correlating with both acid and base site concentrations of the prepared materials (as discussed above).

It is also important to evaluate the catalytic performances in terms of green chemistry metrics. For example, the non-productive decomposition (NPdec) of 2BuOH (*i.e.*, not used for GVL formation), which reflects the hydrogen atom transfer efficiency, may enhance the E factor. For the 8Hf-LFSs, the NPdec of 2BuOH increased with time, giving *sec*-(butoxy)butane (BBu) in up to 0.11% yield at 72 h (Fig. S32†). Theoretically, one may consider the molar ratio BBu/GVL = 0 as indicative of 100% hydrogen atom transfer efficiency (higher BBu/GVL, indicating lower efficiency). Since the highest BBu yield was reached at 81% GVL yield, corresponding to a relatively low ratio BBu/GVL of 0.06, it seemed that the hydrogen atom transfer was rather efficient.

**Catalyst stability.** The catalyst stability was studied for EPDMs (8Hf-EPDM-SS, 8Hf-EPDM-WI) and LFS50s (8Hf-LFS50-SS, 8Hf-LFS50-TPA-SS, 8Hf-LFS50-Na-SS, 8Hf-LFS50-HT-SS and the counterparts prepared *via* the WI method). The studies involved the reuse of the thermally regenerated catalysts (Fig. 13 and S33†), contact tests (CTs; please see details in the Experimental section) (Fig. 13 and S33†), and characterisation of the used catalysts by PXRD (Fig. S34†), SEM (Fig. S35 and S36†) and elemental analysis (Table S7†).

The liquid phases of the CTs (please see details in the Experimental section) were sluggish and gave roughly comparable results to the blank test without a catalyst (no GVL was formed; Fig. 13 and S33†), suggesting that the materials performed as heterogeneous catalysts. Moreover, the solid phases of the CTs (SP-CT) led to comparable results to the respective original solids. Consistently, the Si/Hf molar ratios were similar for the original and respective used solids (Table S7†), suggesting that no metal leaching occurred.

In general, the catalytic performances remained similar in consecutive runs, excluding the Na-treated samples which seemed to suffer from partial loss of activity (Fig. 13 and S33†). The morphology of the used catalysts was essentially preserved (Fig. S35 and S36†), and no crystalline structural modifications were observed, with the exception of 8Hf-LFS50-Na-SS and 8Hf-LFS50-Na-WI which seemed to exhibit somewhat pronounced



**Fig. 13** Catalytic stability of 8Hf-LFS50-SS (a), 8Hf-LFS50-WI (b), 8Hf-LFS50-HT-SS (c), 8Hf-LFS50-HT-WI (d), 8Hf-LFS50-TPA-SS (e), 8Hf-LFS50-TPA-WI (f), 8Hf-LFS50-Na-SS (g) and 8Hf-LFS50-Na-WI (h) in the conversion of LA (first dark blue bars) to 2BL (second light blue bar) and GVL (third blue bar) at 180 °C at 8 h. The tests without a catalyst and the liquid and solid phases of the contact tests are included. Reaction conditions: 0.45 M LA in 2BuOH and 25.5 g<sub>cat</sub> L<sup>-1</sup>.

reflections at *ca.* 28.5 and 31.6 2° 2θ, characteristic of HfO<sub>2</sub> (Fig. S34Be and g†). These results, together with the fact that HfO<sub>2</sub> was sluggish, suggested that the partial drop of performance of the Na-treated materials may be due to HfO<sub>2</sub> species.

**Performances of the Hf-silicas *versus* the literature.** The developed materials are, to the best of our knowledge, the first transition metal/silicas reported as purely solid Lewis acid heterogeneous catalysts for LA conversion to GVL *via* CTH routes.<sup>99,101,108-115</sup> Table S8† summarizes the literature data for transition metal/silicas, tested as catalysts for the

target reaction, using secondary alcohol H-donors. It is worth mentioning that this literature survey did not include other families of materials, such as hybrids possessing organic components due to the reasons indicated in the Introduction and, on the other hand, for the sake of conciseness (falling in the domain of review type articles).

Only one hafnium/silica was previously reported. Specifically, some of us reported hydrothermally synthesised mesoporous Hf-TUD-1 ( $\text{Si}/\text{Hf} = 50$ ; *ca.*  $0.31 \text{ mmol}_{\text{Hf}} \text{ g}^{-1}$ ), which led to a 29% GVL yield, at  $200^\circ\text{C}/24 \text{ h}$  ( $2\text{BuOH}$  as a H-donor; entry 7).<sup>110</sup> Using the same LA initial concentration, LA/catalyst ratio, and H-donor, but at a lower temperature of  $180^\circ\text{C}$ , higher GVL yields were reached for 8Hf-LFS50-SS, 8Hf-LFS50-HT-SS and 8Hf-LFS50-TPA-SS (62–74%; entries 1–6) *versus* 29% for Hf-TUD-1(50) at  $200^\circ\text{C}$  (entry 7). Even for a lower Hf loading than that of Hf-TUD-1, *e.g.*, 4Hf-LFS50-S (*ca.*  $0.22 \text{ mmol}_{\text{Hf}} \text{ g}^{-1}$ ), the GVL yield was 47% at  $24 \text{ h}/180^\circ\text{C}$  (Fig. 10a) which is still greater than the 29% yield for Hf-TUD-1(50) at  $200^\circ\text{C}$ . Moreover, as discussed below, Hf-TUD-1 is outperformed by the Hf-LFS50s in terms of the E factor, which is important for green processes.

There is very limited literature of fully inorganic transition metal/silicas for the target reaction *via* CTH routes. The reported studies are limited to  $\text{ZrO}_2$  impregnated on mesoporous silica SBA-15 (functionalised or not),<sup>99,108,111–114,116</sup>  $\text{SnO}_2$  on SBA-15,<sup>101,115</sup> and Zr on mesoporous silica KIT.<sup>109</sup> Fair comparisons are difficult to establish due to the great differences in reaction conditions used between the different studies. Hence, the following discussion is not intended to rank catalysts, but instead, to highlight relevant differences from catalytic and green chemistry perspectives.

A chlorinated tin precursor (dimethyldichlorostannane) in *p*-xylene was used to prepare  $\text{SnO}_2/\text{SBA-15}$  ( $0.31 \text{ mmol}_{\text{Sn}} \text{ g}^{-1}$ ; entry 15), which led to an 81% GVL yield at  $8 \text{ h}/110^\circ\text{C}$ .<sup>101</sup> However, tin may present some concerns. Based on LD50 (rat, oral; higher values indicate lower toxicity), zirconium and hafnium metal-based powders have similar LD50 (*e.g.*, toxicological information for CAS. No. 7440-67-7 and 7440-58-6, Sigma-Aldrich, pointing out  $5000 \text{ mg kg}^{-1}$  for both), whereas tin-based powders present greater toxicity (*e.g.*, CAS. No. 7440-31-5, ThermoFisher, LD50 of  $2000 \text{ mg kg}^{-1}$ ). Hence, from a toxicological perspective, the choice of Hf- or Zr-catalysts may be preferable to Sn-catalysts.

A zirconium *n*-butoxide precursor was used to prepare  $\text{ZrO}_2/\text{SBA-15}$  with 10 wt% Zr, which led to a very high GVL yield of 91% at  $3 \text{ h}/150^\circ\text{C}$  (entry 8).<sup>111</sup> However, 10 wt% Zr loading corresponds to *ca.*  $1.1 \text{ mmol}_{\text{Zr}} \text{ g}^{-1}$ , which is *ca.* 2.5 times greater than the Hf loading of the 8Hf-LFS50s ( $0.448 \text{ mmol}_{\text{Hf}} \text{ g}^{-1}$ ). Moreover, less than half the initial concentration of LA was used, which is less demanding than the conditions used for the 8Hf-LFS50s ( $0.2 \text{ M}$  *versus*  $0.45 \text{ M}$  LA for the 8Hf-LFS50s). Zirconium acetylacetone was used to prepare  $\text{ZrO}_2/\text{SBA-15}$  with an even higher metal loading of *ca.* 23 wt% Zr, for LA conversion at higher temperatures of  $250\text{--}310^\circ\text{C}$ , which initially led to a 96%

GVL yield that decreased to *ca.* 80% after 20 h on-stream, at  $250^\circ\text{C}$  (entry 9).<sup>112</sup>

Fig. 14 shows the reported GVL yields for the reaction parameters temperature and initial LA/catalyst mass ratio (LA/Cat), as well as the E factor, for previously reported fully inorganic transition metal/silicas (data in Table S8†). The GVL yields for the 8Hf-LFS50s were among the highest values (Fig. 14a and b). Regarding the E factor, it is important to include the contribution of the NPdec of the

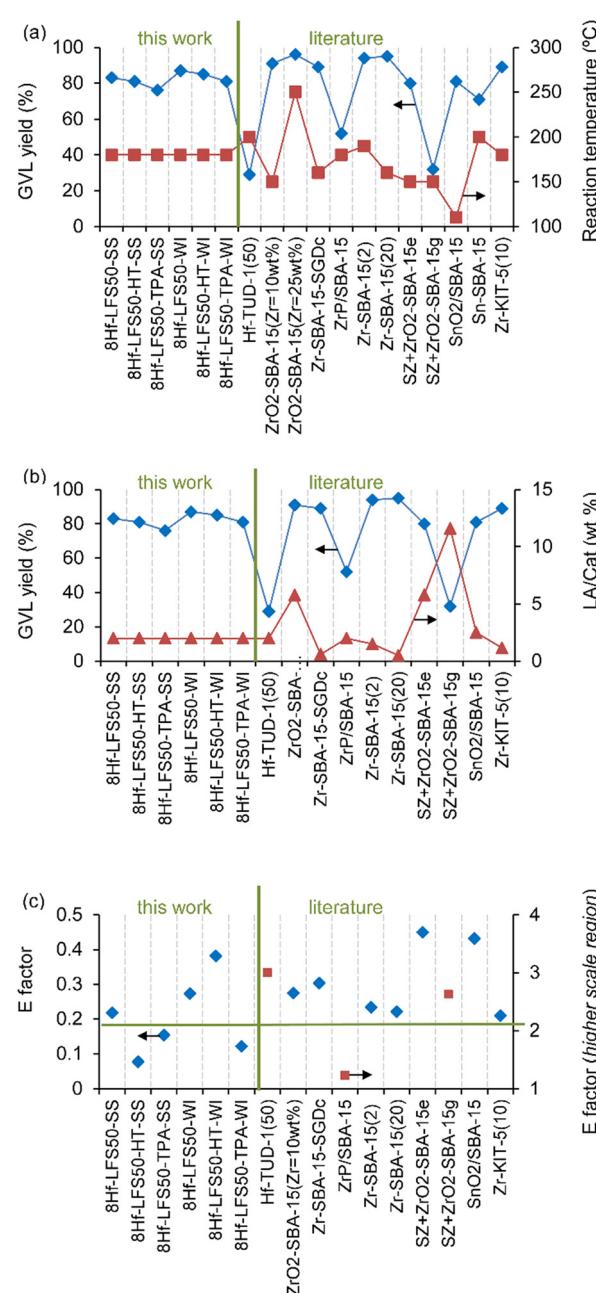


Fig. 14 Comparisons to literature data: GVL yields at different LA reaction temperatures (a) and LA/catalyst mass ratios (LA/Cat) (b), and E factor (c), for previously reported fully inorganic transition-metal containing silicas (data in Table S8†). The thick black horizontal line in (c) marks the lowest E factor (0.17) of the literature survey.

H-donor to waste generation. However, the previous studies (Fig. 14c) did not mention or report data of the NPdec of the H-donor. Thus, the E factor was calculated as follows from the data of the literature studies: E factor = [(initial mass of LA) – (mass of bioproducts)]/(mass of bioproducts), in which bioproducts = GVL + levulinic esters (whenever quantitative data were reported). This formula for the E factor considers that all LA that was not converted to bioproducts is possible waste and neglects the NPdec of the H donor. Nevertheless, for the 8Hf-LFSs, the E factor was calculated taking into account the NPdec of the H donor (putting more demanding requirements on the 8Hf-LFSs), *i.e.*, E factor = [(initial mass of LA) – (mass of bioproducts) + (mass of BBu)]/(mass of bioproducts). Fig. 14c compares the E factor for the different catalysts, indicating that the modification treatments led to Hf-silicas (*e.g.*, 8Hf-LFS50-HT-SS, 8Hf-LFS50-TPA-WI) which seemed promising in terms of the E factor.

## Conclusions

The catalytic transfer hydrogenation (CTH) of the biobased platform chemical levulinic acid (LA) to  $\gamma$ -valerolactone (GVL) was promoted by Lewis acid heterogeneous Hf-silica catalysts (2-butanol as a H-donor, at 180 °C). The catalysts were prepared from hafnium acetylacetone and morphologically distinct EPDM and LFS silicas. These Hf-silicas are the first purely Lewis acidic (without measurable Brønsted acidity) transition metal/silicas developed for the target reaction (to the best of our knowledge, based on reported characterisation studies). The materials were modified in a versatile fashion to meet superior performances for green reactions/processes. The modifications involved alkaline or surface hydroxylation (HT) treatments of the supports (and optimisation of the conditions), and solid state (SS) or wet (WI) impregnation methods of different Hf loadings. In general, superior performances were observed for the LFSs (up to 87% GVL yield for Hf-LFSs, and up to 48% for Hf-EPDMs), subjected to alkaline or HT treatment, and *ca.* 8 wt% Hf loading seemed to be a good compromise. Kinetic modelling supported an overall mechanism in which GVL was produced from LA, with or without the intermediate formation of 2-butyl levulinic ester.

Modified Hf-silicas were developed and are among the top previously reported fully inorganic transition metal/silicas, in terms of GVL yields for the target reaction, and seemed promising in terms of the E factor. It is, nevertheless, worth mentioning that, considering the catalyst requirements pointed out in the Introduction and for the sake of conciseness, the literature survey did not contemplate other families of materials, such as materials containing carbonaceous matter (organocatalysts, MOFs, carbons, *etc.*).

Given the complex interplay of several factors of the material properties influencing the catalytic performance, challenges remain in establishing more in-depth structure-activity relationships, where it would be desirable to better distinguish (qualitatively and quantitatively) the Hf-sites with

different electronic/chemical environments along the catalyst's surface, and then use, for example, computational chemistry to compare their different intrinsic activities in the CTH of LA. In these studies, it would also be interesting to relate the structures of the Hf-sites to the acid strength by combining more characterisation techniques.

## Data availability

Most data supporting this article have been included as part of the ESI† and other data are available from the corresponding authors upon reasonable request.

## Author contributions

MMA (investigation, conceptualisation, formal analysis, methodology, supervision, validation, visualisation, writing – original draft, writing – review and editing); KK (formal analysis, investigation); PN (formal analysis, investigation, validation); AF (formal analysis, investigation, validation); MA (formal analysis, investigation, validation); FR (resources); AAV (conceptualisation, resources, supervision, validation, visualisation, project administration, writing – review and editing).

## Conflicts of interest

There are no conflicts to declare.

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