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Versatile NHC-based zinc and magnesium complexes for the synthesis and chemical recycling of aliphatic polyesters and polycarbonates†

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Backbone substituted NHC derivatives of zinc and magnesium, in the presence of alcohol initiators, have been shown to be effective catalysts for the ring-opening polymerization of different cyclic monomers, efficiently furnishing sustainable aliphatic polyesters and polycarbonates. Comparing the behaviour of complexes with the same ligands but different metals, the order of the activity was found to be dependent on the monomer, with magnesium complexes showing a higher activity in the ROP of ε-caprolactone (ε-CL), trimethylene carbonate (TMC) and 1-methyl-trimethylene carbonate (Me-TMC), while the zinc complexes polymerized L-lactide (L-LA) and 2,2-dimethyl-trimethylene carbonate (DTC) more efficiently than their magnesium counterparts. Also the symmetry of the backbone substituents on the NHC ligand influenced the activity in the ROP with the *syn* substituents inducing a higher activity for both metals and for all the monomers with respect to the ligand with the *anti* symmetry. Kinetic studies demonstrated the polymerization reactions of L-LA, ε-CL and TMC to proceed *via* a mechanism first order in monomer concentration, with the four zinc and magnesium complexes. The obtained polymers possessed controlled molecular masses and dispersities dependent on the metal (generally higher for polymers obtained by magnesium complexes). The results of MALDI-ToF and NMR analysis confirmed the controlled nature of the present catalytic systems, where side reactions, such as inter- and intramolecular transesterifications, were minimized during the polymerization. Zinc and magnesium complexes were also tested in preliminary alcoholysis experiments of PLLA samples, and found able to promote these reactions of polylactide upcycling.

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Introduction

Leading researchers in the field of synthetic polymers recently described the invention of plastic as a blessing and a curse, at the same time.[1] Just as the innumerable advantages of plastic materials are undeniable, due to their versatility, lightness and low cost, equally the environmental crisis of which plastic itself is one of the main culprits is there for all to see. Since it is beyond doubt that also a society without plastic would be unsustainable,[2] the polymer community is working both on the production of polymers that are more sustainable for the environment[3] and on the implementation of recycling techniques for plastic materials which are already on the market.[4]

In this context, aliphatic polyesters and aliphatic polycarbonates occupy a relevant position, as they are obtained from renewable resources and are biodegradable, thus fully satisfying the criteria to be included in the category of biopolymers.[5]

The most common and effective route to obtain well-defined aliphatic polyesters[6] and aliphatic polycarbonates[7] is the ring-opening polymerization (ROP) of the corresponding cyclic monomers catalysed by well-defined metal complexes. More interesting are sustainable metal catalysts, that is, catalysts based upon inexpensive biocompatible and environmentally friendly metals, essential aspects when these polymers are applied in the fields of biomedicine and pharmaceuticals.[8,9]

Magnesium and zinc are essential elements for humans, playing a central role in a large number of enzymatic reactions. Their common oxidation state is +2 with closed shell

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configurations (Zn^{2+}, $[Ar]3d^{10}4s^0$; Mg^{2+}, $[Ne]3s^0$), showing very similar ionic radii over a range of coordination numbers. Despite their strong similarities, magnesium and zinc compounds often show different reactivities in catalysis.[10]

Thus, a number of magnesium and zinc complexes containing various ancillary ligands, such as β-diketiminate, phenolate, and N-heterocyclic carbenes, have been synthesized, some of them demonstrating high activities and good control in the production of aliphatic polyesters[11–22] and/or aliphatic polycarbonates.[23–29]

Both classes of polymers have all the prerequisites to become fully compatible with a model of circular economy for plastics, however the strategies for their end-of-life management are still not well planned.[4,30] In this context, chemical recycling could offer great opportunities to convert these materials into the starting monomers,[31,32] or, alternatively, into high added value products.[33] Several examples have been recently reported describing the chemical recycling of PLA by green processes based on efficient and non-toxic catalysts.[34] Particularly, homogeneous zinc complexes are emerging as the most efficient catalysts for the chemical alcoholysis of PLA to obtain alkyl lactates.[18,20,35–41]

Among the different classes of ancillary ligands explored as a coordinative environment for the synthesis of homogeneous organometallic catalysts, NHC ligands have gained considerable attention as versatile and powerful ligands because of their unique stereoelectronic properties associated with a high degree of modularity.[42–45] Examples of zinc complexes, supported by NHC ligands having different architectures, were described by different researchers as active catalysts in the ROP of cyclic esters and/or cyclic carbonates.[27,28,46–49] In addition, Dagorne and co-workers reported that zinc complexes, stabilized by NHC ligands bearing sulfur or oxygen atoms as additional donors, promoted also the alcoholysis of PLA.[48]

Less developed are NHC–magnesium complexes,[50,51] as the increased electropositivity of magnesium makes the NHC–metal bond of these species weaker and more labile, strongly limiting their applications in catalysis.[52–56] Indeed, to the best of our knowledge, only a few examples of magnesium complexes coordinated to NHC ligands able to promote the ROP of cyclic esters have been reported to date.[47,49,57]

We recently reported backbone substituted NHC-based zinc complexes as active catalysts in the ring-opening polymerization of both lactide[58] and six-membered cyclic carbonates.[59] NHC ligands that combine *syn*- or *anti*-phenyl groups on the backbone with *o*-tolyl *N*-substituents have been selected for the possibility they offer of creating differently shaped reactive pockets around the metal, which may affect the activity and selectivity of the resulting catalysts. In fact, the precise stereochemical arrangement of the phenyl groups on the backbone can, in turn, induce a preferred relative orientation of the *N*-tolyl groups, thus providing stable NHC conformers that define the available space near the metal in a different way.[60–62] Furthermore, the configuration of the backbone also seems to affect the electronic properties of the NHC ligands, and, consequently, the catalytic behaviour of the corresponding catalysts.[58,63,64] In this contribution, we extend our interest in the synthesis of aliphatic polyesters and polycarbonates to magnesium complexes and describe polymerization experiments carried out to compare the activity of zinc and magnesium based catalysts (Fig. 1).

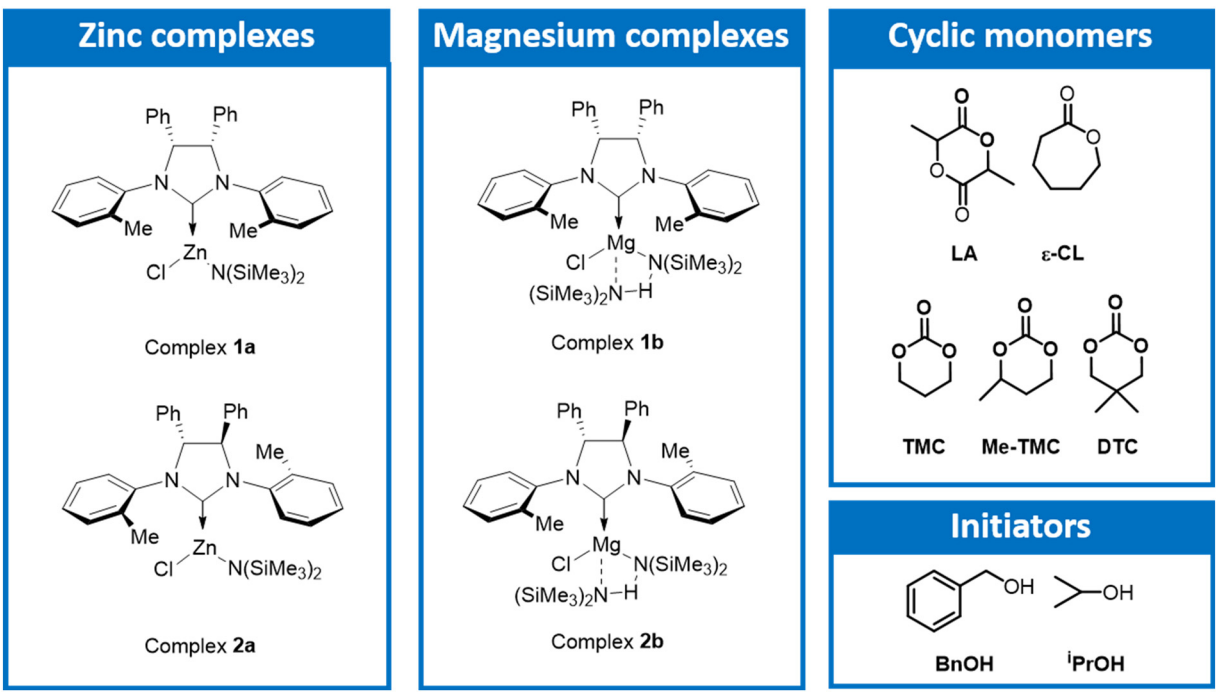


Fig. 1 Structures of complexes, monomers and initiators used in this work.

Furthermore, we investigate the performances of the zinc and magnesium complexes in the methanolysis reaction of PLLA samples.

Results and discussion

Synthesis and characterization of complexes

NHC proligands[61] and zinc complexes **1a** and **2a**[58] were synthesized according to reported procedures (Scheme 1).

Magnesium complexes **1b** and **2b** were synthesized in benzene by direct reaction (Scheme 1) between the corresponding carbene salt and 1 equiv. of $Mg[N(TMS)_2]_2$.

After stirring the reaction mixture for one hour at room temperature, complexes **1b** and **2b** were recovered, by evaporation of the solvent under vacuum, as whitish powders in good yields (**1b**: 85%; **2b**: 80%). Both complexes were fully characterized by NMR spectroscopy (Fig. S3–S12†). The ^{1}H NMR spectrum of complex **1b** (Fig. 2), recorded in C_6D_6 at room temperature, reveals a C_S symmetry in solution with eight signals for the hydrogen atoms of the ligand (two signals are superimposed) and one singlet for the proton resonance of the methyl groups bound to silicon. The disappearance of the resonance due to the proton of the precarbenic position of the imidazolium salt suggested the formation of the complex in which the NHC ligand is coordinated to magnesium. COSY and NOESY NMR analysis allowed the full assignment of the proton signals (Fig. S3–S7†). Unlike what was previously observed with analogous zinc complexes **1a** and **2a**,[58] the signal of the methyl groups bound to silicon shows an intensity that is double that expected, while the signal of the amine formed during the reaction has a negligible intensity compared to that expected from the liberation of an equivalent of free amine. Even after removing the solvent and drying the solid product for a few hours under vacuum, the integral value of this signal does not decrease. From this observation, we hypothesized that the amine produced during the reaction remains coordinated to magnesium and forms a hydrogen bond with the amide group which makes them interchangeable, according to the structure represented in the inset of Fig. 2. Subsequently, the spectrum of the

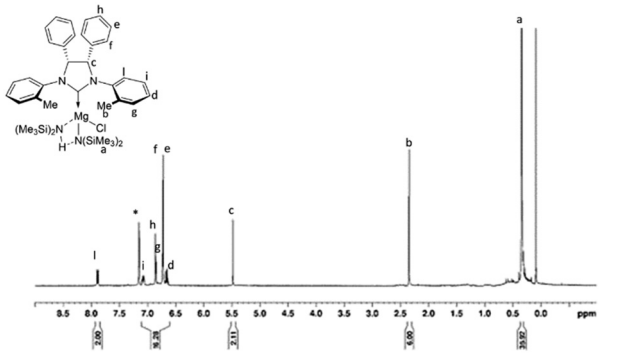
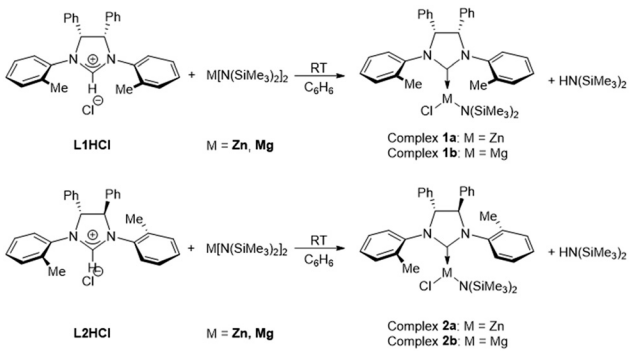


Fig. 2 ^{1}H NMR spectrum of magnesium complex **1b** (20 °C, 600 MHz, C_6D_6).

magnesium complex was recorded in THF-d8, wondering whether this coordinating molecule was able to replace the amine. Indeed, in this case, the ^{1}H NMR spectrum shows two different signals at low chemical shift, although the partial overlap of the signals does not allow a reliable integration.

To get more structural information on magnesium complex **1b**, the diffusion coefficients were determined by the 2D version of the PGSE experiment (DOSY, Diffusion Ordered SpectroscopY) in both C_6D_6 (Fig. 3) and THF-d8 solution (Fig. S13†), using TMSS as an internal standard. The results are summarized in Table S1.† The obtained diffusion coefficients were then converted into molecular masses and the values were compared with the molecular masses of: the monomeric complex, the monomeric complex with an additional molecule (amine or THF) and the dimeric species. For complex **1b** the best agreement of the molecular mass estimated by DOSY carried out in C_6D_6 (886 Da) was found with the theoretical mass of the monomeric complex with the coordinated amine (786 Da), in agreement with what we hypothesized using ^{1}H NMR data. On the other hand, the molecular mass estimated by DOSY in THF-d8 (605 Da) is very close to that of the monomeric complex (624 Da), although more reasonably the species present in the solution



Scheme 1 Schematic pathway for the synthesis of NHC–zinc and –magnesium complexes.

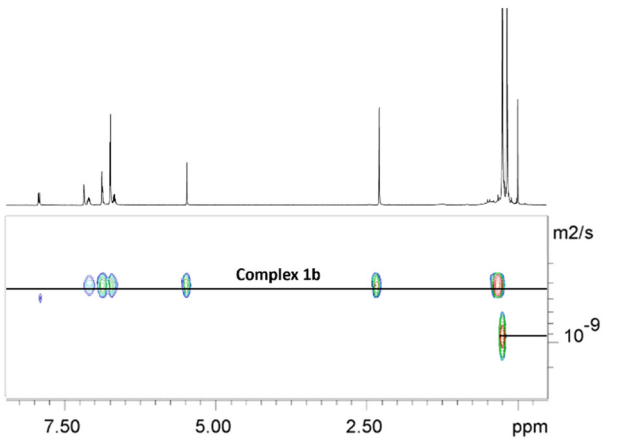
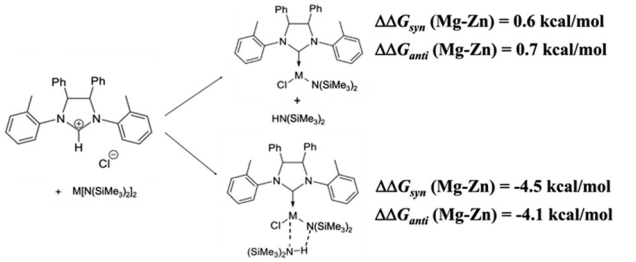


Fig. 3 DOSY NMR spectrum of complex **1b** with TMSS as an internal standard (400 MHz, 25 °C, C_6D_6).



Scheme 2 Free energy difference in benzene as a solvent, calculated for the formation of monomeric complexes of M = Zn and Mg ($\Delta\Delta G_{syn}$ (Mg–Zn) and $\Delta\Delta G_{anti}$ (Mg–Zn), respectively) with the reactants and reaction products at an infinite distance.

of this coordinating solvent will have a magnesium coordinated also to a THF molecule (corresponding to a molecular mass of 696 Da) which displaced the amine. Confirming the displacement, the diffusion coefficient associated with the signal of the amine was different from that of the main species (Fig. S13†), and corresponded to an estimated molecular mass (190 Da) in good agreement with the effective molecular mass of the amine (162 Da). Finally, as can be seen from Table S1,† for zinc complex **1a** the value of the mass estimated *via* DOSY NMR (Fig. S14†) in C_6D_6 (553 Da) is closer to that of the mass of the monomeric complex (665 Da), as expected.

Thus, we may conclude that in solution of non-coordinating solvents, the amine ($HN(SiMe_3)_2$) formed during the synthesis of the organometallic species remains coordinated to the metal for both magnesium complexes while moving away from the metal for zinc complexes. To rationalize this different behavior of the *syn* and *anti* magnesium (**1b** and **2b**) and zinc (**1a** and **2a**) complexes, DFT calculations were performed.

Therefore, the formation energy of the monomeric Zn and Mg complexes and those of corresponding complexes with the amine coordinated were computed and compared (see Scheme 2 and Fig. 4).‡

The calculations, in agreement with the experimental results, show that the formation of the *syn* and *anti* monomeric complexes was favored for Zn by about 1 kcal mol^{-1}, while the formation of the amine coordinated complexes is favored for Mg by about 5 kcal mol^{-1}.

In line with this result, the analysis of the most stable geometries of the *syn* and *anti* complexes of Zn and Mg shows that the interaction of the metal with the nitrogen of the coordinated amine is stronger for the magnesium complexes with a shorter M–N distance (compare 2.33 Å for Zn, Fig. 4A and C, with 2.26/2.27 Å for the corresponding complexes of Mg, Fig. 4B and D). This is a consequence of the difference in charge of the two metal cations. As in Fig. 4, the positive partial charge of Mg is about 0.5 higher than that

‡ To overcome the entropy error that is made when comparing reactions with different molecularities, we report the relative energies of formation of the *anti* and *syn* zinc and magnesium complexes for each type of reaction.

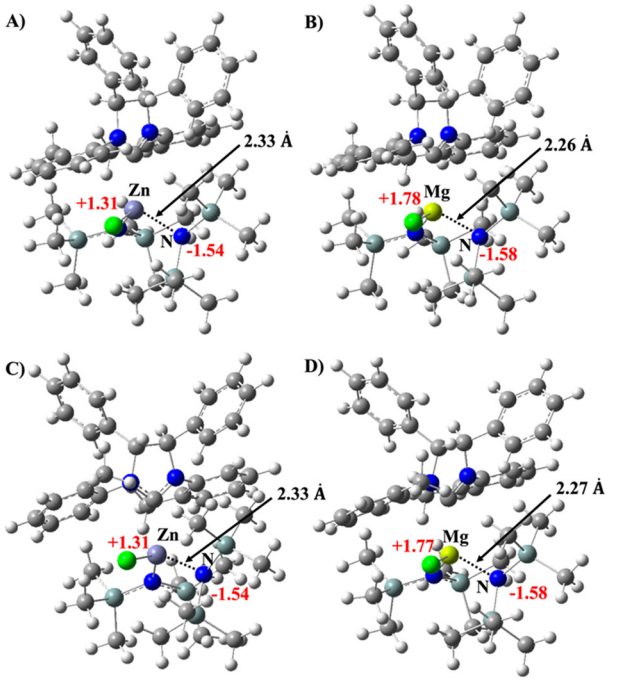


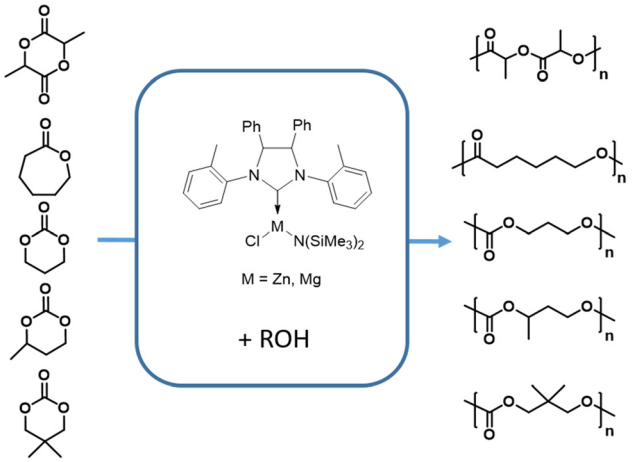
Fig. 4 Optimized structure of the *syn* (panel A and B) and *anti* (panel C and D) monomeric complexes of Zn and Mg with the coordinated amine. The structures are represented in balls and sticks. C, H, N, Si, Cl, Zn and Mg are depicted in grey, white, blue, light green, green, light purple and yellow, respectively. The bond distances are reported in angstrom (Å) and shown in black, while the NBO charge values of the metals and of the amine nitrogen are in red.

of Zn, which increases the interaction of Mg with the amine, favoring coordination at the metal center. Furthermore, calculations carried out on magnesium complex **1b** show that the replacement of the amine coordinated to the metal by the THF solvent molecule is favored by approximately 13 kcal mol^{-1} (Table S2 and Scheme S1†), in agreement with the experimental evidence obtained by NMR analyses.

Ring-opening polymerization of cyclic monomers

Having observed a distinguishable behavior of the magnesium complex in different deuterated solvents, we decided to begin this study on the activity of our complexes in the ROP experiments, by verifying the activity of magnesium complex **1b** as the polymerization solvent varies. Polymerization tests of TMC (100 equivalents of monomer) with magnesium complex **1b** and one equivalent of benzyl alcohol as the initiator§ were carried out at room temperature and selecting toluene, dichloromethane and THF, as classical solvents, in addition to methyl–THF and 1,3-dioxolane as green solvents (Table S4†). We observed the activity of the

§ Our previous observations with zinc complex **1a** highlighted the critical role of the initiator in achieving controlled polymerization. The same result has been obtained when using magnesium complex **1b** (for more details, see Table S3†); thus, in this work, we carried out all the polymerization in the presence of alcohol.



Scheme 3 ROP of the cyclic esters and cyclic carbonates promoted by NHC-based zinc and magnesium complexes **1a**–**2a** and **1b**–**2b**.

catalytic system constituted by complex **1b**/BnOH to decrease in the order: toluene > THF > 1,3-dioxolane > DCM > Me–THF (see Table S4† for more details). Delighted by the fact that our catalytic system showed good activity in all cases, even in green solvents, we decided to use THF as the solvent to compare the behavior of the four complexes in the ring-opening polymerization of different cyclic esters and cyclic carbonates (Scheme 3 and Table 1) as the activity was higher in this solvent. During the polymerization, the product mixtures were analysed by ^{1}H NMR spectroscopy, with the quantities of polymers and monomers determined by integrating the appropriate resonances (whose chemical shift values are specified in the ESI† part).

Initially, we examined the effectiveness of the zinc and magnesium complexes for the ring-opening polymerization of two cyclic esters, such as L-lactide (L-LA) (entries 1–4) and ε-caprolactone (ε-CL) (entries 5–8) by adding one equivalent of isopropanol (^{i}PrOH) as an initiator, in THF as a solvent and at 20 °C.

The four complexes were found active in the synthesis of both polylactide and polycaprolactone, however while in L-LA polymerization zinc complexes were more active than the analogue magnesium complexes, and the opposite order of activity was observed in the ROP of ε-caprolactone. In all cases, comparing complexes with the same metal but different NHC ligands, it may be inferred that better performance is induced to the catalysts by NHC ligand L1 with respect to L2.

Subsequently, the performance of the four complexes was checked in the ROP of TMC (100 equivalents) in the presence of one equivalent of benzyl alcohol as the initiator, working in THF at 20 °C. Also in this case, the complexes were all able to produce poly(trimethylene carbonate), with magnesium complexes showing activities higher than those of the corresponding zinc complexes, for both NHC ligands. Meanwhile, the influence of the two different ligands was the same as that observed in the ROP of the cyclic esters and already observed in our previous paper.[58]

Finally, the activities of complexes **1a** and **1b** were compared in the ROP of two substituted cyclic carbonates, which were synthesized by a green procedure starting from biorenewable starting materials, such as CO_2 and the opportune diol.[68,69] For these monomers the polymerizations were carried out under bulk conditions at 70 and 160 °C, for

Table 1 ROP of the cyclic esters and cyclic carbonates promoted by complexes **1a**, **1b**, **2a** and **2b**[a]

Entry	Complex	Monomer (equiv.)	Initiator	Temp. (°C)	Time	Conv.[b] (%)	M_n^{thc} (kDa)	M_n^{expd} (kDa)	$Đ^d$	k_{obs} (h^{-1})
1	**1a**	L-LA (100)	^{i}PrOH	20	2.5 h	84	12.1	8.5	1.27	0.80
2	**1b**	L-LA (100)	^{i}PrOH	20	6 h	55	7.9	6.3	1.25	0.14
3	**2a**	L-LA (100)	^{i}PrOH	20	6 h	61	8.8	8.2	1.21	0.23
4	**2b**	L-LA (100)	^{i}PrOH	20	6 h	49	7.2	6.3	1.18	0.11
5	**1a**	ε-CL (100)	^{i}PrOH	20	7 h	80	9.1	10.1	1.19	0.17
6	**1b**	ε-CL (100)	^{i}PrOH	20	15 min	99	11.3	10.3	1.90	13.6
7	**2a**	ε-CL (100)	^{i}PrOH	20	9 h	7	0.8			9.5×10^{-3}
8	**2b**	ε-CL (100)	^{i}PrOH	20	30 min	99	11.3	6.7	1.6	8.8
9	**1a**	TMC (100)	BnOH	20	1 h	92	9.4	10.5	1.45	2.7
10	**1b**	TMC (100)	BnOH	20	10 min	95	9.7	9.9	1.76	42.3
11	**2a**	TMC (100)	BnOH	20	5.5 h	96	9.8	8.8	1.30	0.57
12	**2b**	TMC (100)	BnOH	20	15 min	96	9.8	12.4	1.63	10.1
13[e]	**1a**	DTC (50)	BnOH	160	1 h	79	5.1	*4.6*		
14[e]	**1b**	DTC (50)	BnOH	160	1 h	94	6.1	*5.7*		
15[e]	**1a**	Me-TMC (50)	BnOH	70	1 h	75	3.8	*5.9*		
16[e]	**1b**	Me-TMC (50)	BnOH	70	3.5 h	75	4.5	*4.3*		
17[e]	**1a**	Me-TMC (50)	BnOH	160	30 min	91	5.3	*6.2*		
18[e]	**1b**	Me-TMC (50)	BnOH	160	30 min	84	4.9	*5.7*		

[a] General conditions: polymerization reactions were carried out in THF except when differently specified. Complex = 8 μmol for cyclic carbonates, 15 μmol for cyclic esters; one equivalent of alcohol. [b] Determined by ^{1}H NMR spectral data. [c] M_n^{th} (kDa) = $MM_{monomer}$ × ([monomer]$_0$/[Cat]$_0$) × monomer conversion × 10^{-3}. [d] Experimental M_n (in kDa) and M_w/M_n ($Đ$) values were determined by SEC in THF using polystyrene standards and corrected using a factor of 0.58 for PLA,[65] 0.56 for PCL[66] and 0.73 for PTMC (as indicated in the literature[67] for PTMC with theoretical mass in the range 5000–10 000). Experimental M_n (in g mol^{-1}) values indicated in italic have been determined by MALDI analysis. [e] Polymerization experiments carried out under bulk conditions.

1-methyl-trimethylene carbonate (Me-TMC) and 2,2-dimethyl-trimethylene carbonate (DTC), respectively. Zinc complex **1a** showed a higher activity than magnesium with Me-TMC (entries 15 and 16), while magnesium **1b** was more active with DTC (entries 13 and 14). Wondering if the temperature could have an effect on the detachment of the amine from the magnesium and hence on its activity, we carried out the ROP of Me-TMC also at 160 °C with both complexes (entries 17 and 18). In this case, almost complete conversions were registered after 30 minutes. Moreover, although at this higher temperature the difference in activity between the two complexes is smaller, zinc complex **1a** retained a slightly higher efficiency than magnesium complex **2a**.

To determine the catalytic performance of all the complexes, kinetic studies were carried out. The apparent rate constants (k_{obs}) (where $k_{obs} = k_p[Cat]^x$, and k_p is the propagation rate constant) were obtained from the slope of the semilogarithmic plots of the monomer conversion ($\ln([Monomer]_0/[Monomer]_t)$) *versus* time, shown in Fig. 5 and summarized in Table 1. A linear relationship was observed in all cases, indicating first-order kinetics in monomer concentration. Comparing the behaviour of zinc and magnesium complexes in the ROP of the same monomer, the catalytic activity of the tested complexes decreased in the order: **1a** > **2a** > **1b** > **2b** for L-LA and **1b** > **2b** > **1a** > **2a** for both ε-CL and TMC.

When comparing the most active catalytic systems reported in the literature, such as, for example, for the ROP of lactide, the hyperactive zinc complexes supported by macrocyclic ligands reported by Williams,[70] the zinc guanidinate complexes reported by Herres-Pawlis[71] and the phenoxy–imino–pyridine zinc complexes reported by some of us,[18] or even the more recent (thio)urea anion organocatalysts by Waymouth[72] which were found to be highly efficient catalytic systems for fast and ultra-selective ROP of various cyclic monomers, it is clear that the activity of our catalytic systems is somewhat lower. However, when these results are compared with similar zinc and magnesium complexes bearing NHC-type ligands,[28,46–49] the performance of our systems is either comparable or even superior (as shown in Table S6†).

Moreover, beyond the activity values themselves, we found the dependence of activity on both the metal and the monomer particularly interesting. In fact, in most literature reports comparing zinc and magnesium complexes with the same ancillary ligand as catalysts in ROP processes, magnesium species typically show higher activity, regardless of the monomer used, whether cyclic esters[73–77] or cyclic carbonates.[25,78]

The obtained polymers were fully characterized by NMR, MALDI-ToF (Fig. S17–S22 and S25–S29†) and SEC analysis. ^{1}H NMR spectra of polylactide and polycaprolactone samples, in addition to the signals expected for the proton of the repeating monomeric units, clearly showed the existence of $-OCH(CH_3)_2$ and $HO(CH_2)_5CO-/HOCH(CH_3)CO-$ (for PCL and for PLLA, respectively) as exclusive chain end groups. Coherently, the ^{1}H NMR spectra of polycarbonate polymers

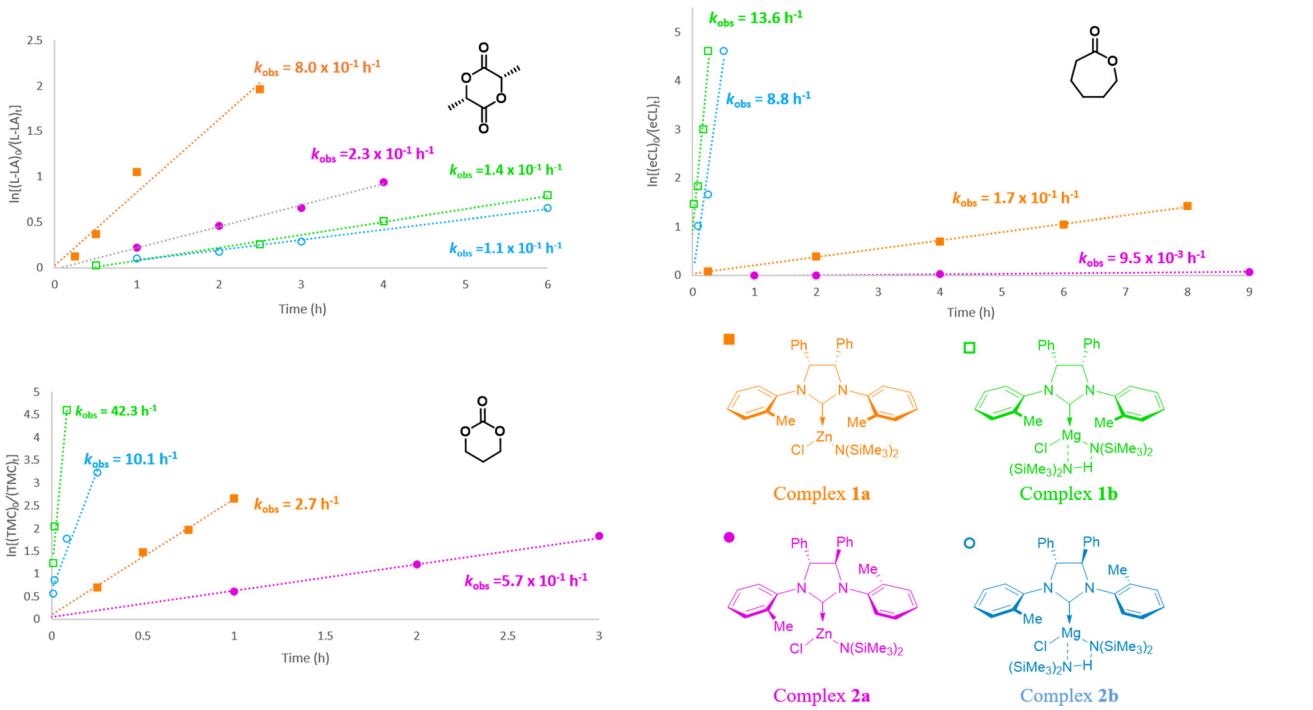


Fig. 5 First-order kinetic plots for the consumption of L-LA, ε-CL and TMC by complex **1a** (orange full squares, entries 1, 5 and 9 in Table 1), complex **1b** (green empty squares, entries 2, 5 and 10 in Table 1), complex **2a** (purple full circles, entries 3, 6 and 11 in Table 1) and complex **2b** (blue empty circles, entries 4, 7 and 12 in Table 1) in combination with an equivalent of BnOH or ^{i}PrOH as initiators, in tetrahydrofuran at room temperature.

showed signals which can be associated with benzyloxy α-chain ends and with the methylene protons of ω-chain ends (for Me-TMC also a signal associated with methine protons of ω-chain ends was observed, see Fig. S25†). Thus, for all polymerization reactions, the NMR characterization suggests the initiation reaction from the alcohol initiator and the termination reaction by hydrolysis.

The MALDI spectra of the polymers obtained from the magnesium complexes show a prevalent distribution with intervals in Da equal to the mass of the monomer unit and corresponding to the polymer chains with the end groups expected from the initiation with the alcohol used as an initiator (isopropanol for the cyclic esters and benzyl alcohol for cyclic carbonates) and termination by hydrolysis, in agreement with that observed *via* NMR analysis. However, smaller distributions attributable to secondary events (*i.e.* transesterifications and/or hydrolysis) are observable in the same MALDI spectra. This result indicates that the polymerizations promoted by the magnesium complexes are less controlled than those promoted by the zinc complexes (whose MALDIs did not show significant secondary distributions). The same conclusion can be drawn from the observation of the dispersity values obtained through SEC analysis: in fact, these values are, in most cases, higher for polymerizations promoted by magnesium. Finally, as indicated in Table 1, the molecular weights determined experimentally by SEC and/or MALDI are in fair agreement with theoretical molecular weights.

Mechanistic studies *via* NMR analysis

In our previous studies, we observed that NHC–zinc complex **1a** by reaction with one equivalent of an alcohol in C_6D_6 forms the corresponding carbene alcoholic adduct and a zinc species.[58,59] Here, we carried out the same stoichiometric reactions with magnesium complex **1b** with one equivalent of benzyl alcohol in three different deuterated solvents, such as C_6D_6, THF-d8 and CD_2Cl_2 and, for comparison, the same reactions, in the same solvents, were performed also with zinc complex **1a** (Fig. 6 and S30 and S31†). In all cases, the quantitative conversion of the metal complex into the benzyloxy adduct was observed in the time necessary to acquire the NMR spectra, as indicated by the pattern of this species (already reported in C_6D_6) and by the presence of the same signals in the spectra of the experiments carried out with zinc and magnesium complexes, in each solvent. To confirm that the alcohol adduct was involved in the polymerization reaction, 15 equivalents of TMC were added to the reaction mixture obtained by mixing complex **1b** with benzyl alcohol in THF-d8. The NMR spectrum obtained after a few minutes shows the disappearance of the signals of both the alcohol adduct and the added monomer and the appearance of new signals attributable to a new form of the alcohol adduct with the PTMC chain bonded in place of the benzoxide group (Fig. S32†).

With the aim to have more information on the active mechanism with these catalytic systems and also a clearer comparison between the activities of the zinc and magnesium complexes (which differ not only for the metal but also for the presence of a neutral amine coordinated to magnesium), we conducted a series of new polymerization experiments whose results are summarized in Table S7 of the ESI.†

We chose L-lactide and trimethylene carbonate as reference monomers, thus we first investigated whether the isopropoxide adduct L1HO^{i}Pr, synthesized *ad hoc*, was active in the ROP of these two monomers.[79] By carrying out the reactions under the same conditions used with the metal complexes, no conversion of the monomers into the corresponding polymers was found in both cases, suggesting that the alcohol adduct requires the cooperation of the metal species to promote the reactions. Then, we conducted the ROP of trimethylene carbonate with three different catalytic systems: *i.e.*, complex **1a** with 1 equivalent of ^{i}PrOH, the isopropoxy adduct with 0.5 equivalents of $ZnCl_2$ and 0.5 equivalents of $Zn[N(TMS)_2]_2$ and finally the isopropoxy adduct with one equivalent of $Zn[N(TMS)_2]_2$. It is worth mentioning that the second experiment was conducted by premixing equal amounts of the two metal precursors in order to induce the formation of the mixed chloride/amide zinc species which is believed to generate together with the alcohol adduct (see the reaction in Fig. 6). The same polymerizations were carried out with magnesium-based systems. And, finally, the same six catalytic systems were used in the ROP of L-LA, under the same reaction conditions. First of all, these control experiments highlight the cooperative action of the alcohol adduct with the metal species, also indicating the importance of the nature of the metallic species. In fact, the systems consisting of the alcohol adduct and the equimolar mixture of the two metal precursors show activity and polymerization control similar to those found with the metal complex/alcohol combination, while the catalytic systems consisting of the isopropoxy adduct and an equivalent of the metal amide are the most active catalysts but do not show efficient control over the

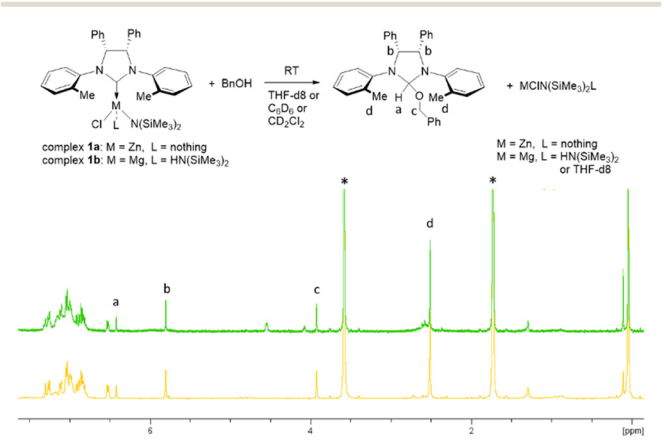
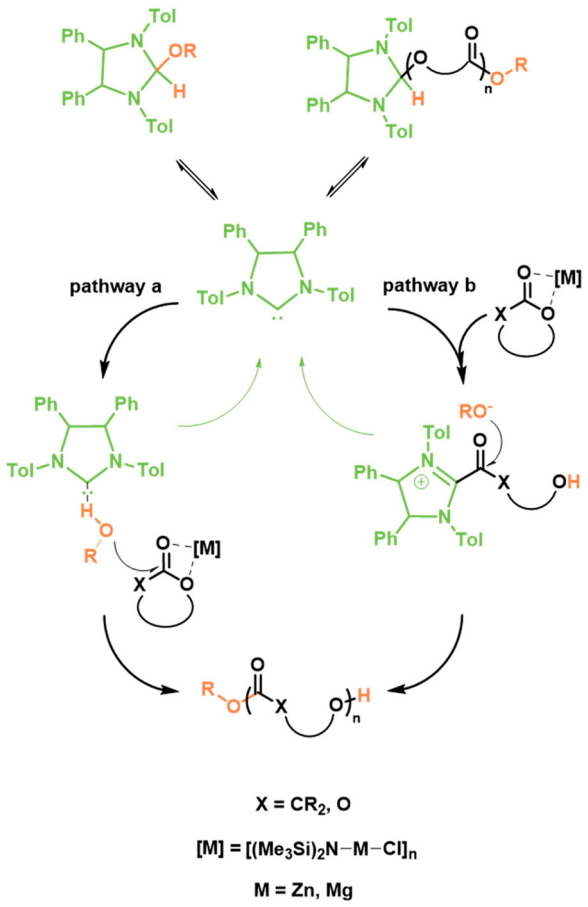


Fig. 6 Up: Schematic reaction of complexes **1a** and **1b** with BnOH in different deuterated solvents. Down: ^{1}H NMR spectra of the products obtained from the reaction of complex **1a** (green spectrum) and complex **1b** (orange spectrum) with one equivalent of BnOH in THF-d8 (peaks denoted with *) (400 MHz, 20 °C).

polymerization processes. In line with this, magnesium amide alone shows a high activity in the ROP of both monomers but at the expense of control over the molecular masses of the obtained polymers. Another interesting result is that magnesium-based systems are more active than zinc-based ones for the TMC polymerizations, while the reverse order of activity is observed in the polymerization of L-LA. This aspect, highlighted in the kinetic graphs shown in Fig. S33,† allows us to state that this order of activity depends on the metal and not on the additional equivalent of amine coordinated to magnesium.

These results lead us to hypothesize that also these magnesium-based catalytic systems promote the polymerizations of cyclic esters and cyclic carbonates *via* a dual mechanism (Scheme 4), already reported for other NHC-based systems in the literature[57,80,81] and by us for complex **1a**. The principal role of the Lewis acidic metal species is the activation of the monomer by coordination of the carbonylic oxygen, while the free carbene, in equilibrium with the alcoholic adduct (bearing the isopropoxy group or the growing chain), catalyzes the polymerization by a monomer-activated mechanism. The free carbene can behave both as a base and as a nucleophile according, respectively, to pathways a) and b) shown in Scheme 4. In both cases, a polymer chain with the same end groups is obtained. DFT studies will be conducted in this direction to discriminate between the two different possibilities.

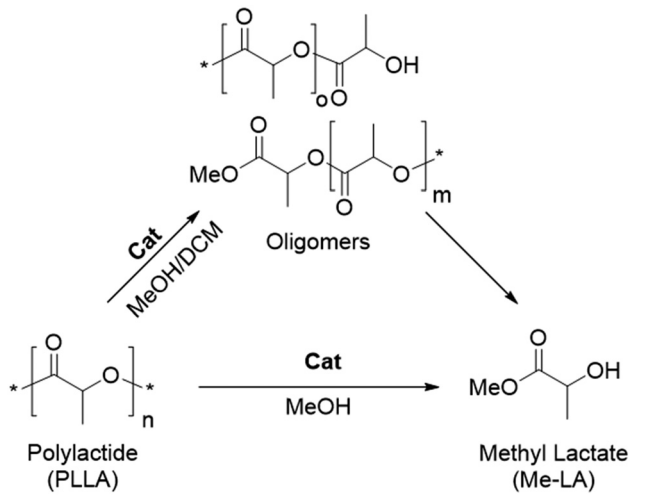
Since magnesium complexes compared to zinc complexes showed higher activity in the polymerization of ε-caprolactone, trimethylene carbonate, and 2,2-dimethyl-trimethylene carbonate, while a reverse order of activity was recorded with L-lactide and 1-methyl-trimethylene carbonate, we tentatively hypothesize the intervention of steric effects, which slow down the polymerization promoted by the magnesium complexes with the monomers having a substituent close to their carbonyl moiety.



Scheme 4 Proposed mechanism for the ROP of cyclic monomers promoted by zinc and magnesium complexes in combination with an alcohol. In "pathway a", the free NHC acts as a base while in "pathway b" the NHC acts as a nucleophile. In both cases the metal species operates as the Lewis acid which activates the monomer.

Chemical recycling of PLLA

The zinc complexes and magnesium complex **2b** were also tested as catalysts in the chemical recycling, *via* methanolysis, of PLLA. Generally, in solution, the alcoholysis proceeds by a random scission of the polymer chains[18] to produce oligomers that are progressively converted into the final product, methyl lactate (Me-LA), a compound that found large application as a green solvent (Scheme 5). The species formed during the reaction can be easily quantified by analysis of the methine region (*ca.* δ = 4.2–5.2 ppm) of the ^{1}H NMR spectra. The efficiency of the process can be estimated by parameters such as conversion, selectivity, and yield of methyl lactate (X_{Int}, $S_{Me\text{-}LA}$, $Y_{Me\text{-}LA}$, respectively) that are evaluated by sample checks of the reaction mixtures (see the ESI† for more details). Initially, the experiments were carried out under the same reaction conditions described in the literature for the NHC zinc complexes, *i.e.* with a zinc complex : ester units of the PLLA : methanol molar ratio of 1 : 200 : 100. For all tests, a PLLA sample (M_n = 30 kDa, $Đ$ = 1.2), opportunely synthesized and purified to remove catalytic residuals, was used. The PLLA samples were



Scheme 5 Methanolysis reactions promoted by complexes **1a**, **2a** and **2b**.

dissolved in DCM/MeOH solutions, and the reactions were carried out in the glove box, at room temperature. The results are reported in Table 2. Under these conditions, complex **1a** was found to be scarcely active: after 24 hours only 6% of the polymer was converted to oligomeric species, while no evidence of the formation of methyl lactate emerged from the ^{1}H NMR spectrum of the reaction mixture (entry 1, Table 2). Differently, in the presence of **2a**, after the same time, about 56% of the polymer was oligomerized with a yield of 20% in Me-LA (entry 2, Table 2). This result is similar to that obtained by Dagorne with dinuclear zinc–N-heterocyclic carbene complexes for which a yield of about 30% of methyl lactate was obtained after 24 hours starting from a PLA sample of M_n = 18 kDa.[48]

A lower activity was achieved with magnesium complex **2b** (entry 3, Table 2), reflecting the same reactivity scale observed in the ROP of L-LA (see entries 3 and 4, Table 1). In all cases, the alcoholysis performed in solution gave mixtures of oligomers and Me-LA, coherently with a mechanism of random scission of the polymeric chains by the catalytic system (Scheme 5). On the other hand, when the alcoholysis reactions were performed in neat methanol (entries 3 and 4, Table 2), Me-LA was produced selectively (Scheme 5), even at low conversions, as a consequence of the unzipping mechanism of the polymer starting from the chain ends, as discussed in previous work.[18] Interestingly, in the absence of solvent, the performance of both catalysts improved significantly as clearly evident by comparing entries 3 and 4 with entries 1 and 2. Zinc complex **2a** was able to convert quantitatively the whole amount of PLLA in methyl lactate after 19 hours (compare entries 2 and 5, Table 2), and preserved its activity (entry 6, Table 2) in the degradation of a post-consumer PLLA sample (M_n = 53 kDa).

Analogous results were obtained with magnesium complex **2b**. The examples of well-defined Mg(II) catalysts active in the solvolysis of polyesters are quite rare; recently Jones reported catalen Mg(II)-complexes that allowed the methanolysis of 76% of a PLA sample (M_n = 45 kDa) after 8 hours at 80 °C.[82]

As previously described, mechanistic studies performed to unravel the nature of the active species in the ROP reactions revealed that the reaction between **1a** and one equivalent of the benzyl alcohol led to the formation of an equimolar mixture of the corresponding alcoholic adduct (L_1HOBn) and $ZnClN(SiMe_3)_2$, whereas both species are involved in the catalysis. Reasonably, the formation of the same species can be invoked also during the alcoholysis reactions of PLLA. To verify the involvement of both species in this catalysis, three alcoholysis experiments were performed: with the purposely synthesized L_1HOiPr (see ESI†), with $Zn[N(SiMe_3)_2]_2$ and with their equimolar mixture. The activity of the alcoholic adduct alone was very scarce, after 2 hours only 5% of the PLLA sample was converted (entry 8 in Table 2). On the other hand, zinc amide was much more active (entry 9 in Table 2), as previously reported.[35,83,84] Nicely, the best activity was achieved when the combination of these species was used as the catalytic system, confirming the beneficial effect of their cooperation also in the alcoholysis reactions (entry 10 in Table 2).

Interestingly, the activity scale of the two zinc complexes in the alcoholysis reaction was the opposite with respect to that observed in the ROP of lactide. Both electronic and steric aspects could be accountable for the observed behavior. As already discussed in previous studies,[58] the different stereochemistry of phenyls on the backbone influences the donating capacity of carbene ligands toward the zinc atom, as well as that of the carbon atom between the two nitrogen atoms in the corresponding alcoholic adduct. At the same time, steric factors could be involved, related to the binding of the complexes with different symmetry of the backbone substituents on the NHC ligand, with the different substrates (*i.e.*, the monomer and the polymer chain). The *syn* and *anti* symmetric alcoholic adducts, deriving from complexes **1a** and **2a** respectively, would interact differently with the s-*cis* lactones and s-*trans* esters of interchain esters, favouring the interaction with the monomer or the polymer chain.[85]

Experimental

General methods

All manipulations of air- and/or water-sensitive compounds were performed under a dry nitrogen atmosphere by using

Table 2 Methanolysis reactions of PLLA samples[a]

Entry	Cat	DCM (mL)	Time (h)	$^bX_{Int}$ (%)	$^bS_{Me\text{-}LA}$ (%)	$^bY_{Me\text{-}LA}$ (%)
1	**1a**	1.4	24	6	<1	<1
2	**2a**	1.4	24	56	36	19
3	**2b**	1.4	24	15	10	2
4[c]	**1a**	—	24	57	100	57
5[c]	**2a**	—	19	92	100	92
6[c,d]	**2a**	—	19	70	100	70
7[c,d]	**2b**	—	19	100	100	100
8[c]	L_1HO^iPr	—	2	5	100	5
9[c]	$Zn[N(SiMe_3)_2]_2$	—	2	40	100	40
10[c]	$L_1HO^iPr/Zn[N(SiMe_3)_2]_2$	—	2	95	100	95

[a] All reactions were carried out by using 14 μmol of catalyst (0.5 mol% relative to ester linkages), 2.8 mmol of PLLA in 1.4 mL of DCM, with 1.4 mmol of MeOH at room temperature. [b] Determined by ^{1}H NMR spectroscopy. [c] 10 μmol of catalyst (1 mol% relative to ester linkages), 1.0 mmol of PLLA and 1.0 mL of methanol were used. [d] PLLA from a commercial plastic cup.

a Braun Labmaster glovebox or standard Schlenk techniques. The glassware used in the synthesis of metal complexes and in the polymerization reactions was dried in an oven at 120 °C overnight. All chemicals were purchased from Merck while trimethylene carbonate was purchased from TCI. Benzene, toluene, THF and hexane were distilled over sodium benzophenone.

Dichloromethane was distilled over calcium hydride. CD_2Cl_2, THF-d8 and C_6D_6 were dried over activated 3 Å molecular sieves. Benzyl alcohol and isopropyl alcohol were dried by refluxing over sodium. L-Lactide was crystallized in toluene and then dried on P_2O_5. ε-Caprolactone was dried over CaH_2 and distilled under nitrogen. TMC was purified twice by recrystallization from dry THF and stored in the refrigerator. All other solvents and chemicals were commercially available and used as received unless otherwise stated. The synthesis and characterization of proligands, zinc complexes and substituted cyclic carbonates (Me-TMC and DTC) are described in the ESI.† NMR spectra were measured with Bruker AVANCE spectrometers operating at 300, 400 and 600 MHz, at 25 °C. Chemical shifts δ are given in ppm relative to the residual solvent peak of the used deuterated solvent. Molecular masses (M_n and M_w) and their dispersities (M_w/M_n) were measured by gel permeation chromatography (SEC), using THF as the eluent (1.0 mL min^{-1}) and narrow polystyrene standards were used as the reference. MALDI-ToF mass spectra were recorded using a Bruker solariX XR Fourier transform ion cyclotron resonance (FT-ICR) mass spectrometer (Bruker Daltonik GmbH, Bremen, Germany) equipped with a 7 T refrigerated actively shielded superconducting magnet (Bruker Biospin, Wissembourg, France). The samples were prepared at a concentration of 1.0 mg mL^{-1} in THF, while the matrix (DCTB, *trans*-2-[3-(4-*tert*butylphenyl)-2-methyl-2-propenylidene] malononitrile) was mixed at a concentration of 10.0 mg mL^{-1}.

Synthesis of complex 1b

The reaction was carried out in a glove box: in a vial, 0.100 g (2.273×10^{-4} mol) of NHC proligand was weighed, dissolved in 4 mL of anhydrous benzene and transferred into a 20 mL vial, equipped with a magnetic stirrer. In another vial, 0.0784 g (2.273×10^{-4} mol) of $Mg[N(TMS)_2]_2$ was weighed and dissolved in 4 mL of anhydrous benzene. The solution of the metal precursor was transferred to the solution of the salt and the mixture was left stirring for one hour at room temperature. The solvent was subsequently removed under reduced pressure and the complex obtained was a white powdery solid (yield = 85%). ^{1}H NMR (400 MHz, C_6D_6, 298 K): δ 0.34 (s, 18H, $Si(CH_3)_3$), 2.35 (s, 6H, CH_3), 5.48 (s, 2H, CH_2), 6.66 (t, 2H, Ar–H), 6.73 (d, 2H, Ar–H), 6.85 (tr, 2H, Ar–H), 6.84 (d, 2H, Ar–H), 6.86 (d, 2H, Ar–H), 7.07 (t, 2H, Ar–H), 7.88 (d, 2H, Ar–H). ^{13}C NMR (100.6 MHz, C_6D_6, 298 K): δ 6.37 ($Si(CH_3)_3$), 19.55 (2 CH_3), 73.22 (2 CH), 127.24 (2 CH) 127.97 (2 CH), 128.23 (2 CH) 128.76 (2 CH), 128.81 (2 CH), 129.89 (2 CH), 132.05 (2 CH), 132.19 (2 Cq), 134.12 (2 Cq), 137.61 (2 Cq-N), 209.49 (C carbenic).

Synthesis of complex 2b

The same procedure used for the synthesis of complex **1b** was followed. In this case 0.100 g (2.273×10^{-4} mol) of the NHC proligand and 0.0784 g (2.273×10^{-4} mol) of $Mg[N(TMS)_2]_2$ were used. The complex was obtained as a yellow powdery solid (yield = 80%). ^{1}H NMR (400 MHz, C_6D_6, 298 K): δ 0.35 (s, 18H, $Si(CH_3)_3$), 2.21 (s, 6H, CH3), 5.41 (s, 2H, CH2), 6.76 (d, 2H, Ar–H), 6.80 (d, 2H, Ar–H), 6.88 (tr, 2H, Ar–H), 7.01 (tr, 2H, Ar–H), 7.10 (d, 2H, Ar–H), 7.19 (d, 2H, Ar–H), 8.06 (d, 2H, Ar–H). ^{13}C NMR (100.6 MHz, C_6D_6, 298 K): δ 6.86 ($Si(CH_3)_3$), 20.26 (2 CH_3), 76.92 (2 CH), 127.61 (2 CH) 129.15 (2 CH), 129.66 (2 CH), 129.80 (2 CH), 129.85 (2 CH), 130.43 (2 CH), 132.67 (2 CH), 133.37 (2 Cq), 138.15 (2 Cq), 138.54 (2 Cq-N), 208.45 (C carbenic).

Synthesis of the isopropoxide adduct L1HO^{i}Pr

The reaction was carried out in the glove-box in a nitrogen atmosphere. A THF suspension (1.25 mL) of KH (0.0238 g, 5.93×10^{-4} mol) was added to a THF suspension (4.4 mL) of the L1HCl salt (0.200 g, 4.55×10^{-4} mol). Once the addition was complete, the reaction mixture was left to stir for 5 minutes at room temperature. Subsequently, a solution of ^{i}PrOH (0.0251 g, 4.2×10^{-4} mol) in THF (1.0 mL) was added and the reaction mixture was left under stirring for 1 hour. Then, the reaction mixture was filtered to remove residual salts and the solvent was removed under vacuum. The product was obtained as a white powdery solid (yield = 58%). ^{1}H NMR (400 MHz, C_6D_6, 298 K): δ 0.53 (d, 6H, CH_3), 2.61 (s, 6H, CH_3), 3.00 (m, H, CH), 5.73 (s, 2H, CH), 6.22 (s, H, CH), 6.72 (t, 2H, Ar–H), 6.83 (t, 6H, Ar–H), 6.97 (t, 2H, Ar–H), 7.02 (t, 2H, Ar–H), 7.13 (t, 2H, Ar–H), 7.35 (d, 2H, Ar–H). ^{13}C NMR (150 MHz, C_6D_6, 298 K): δ 19.64 (2 CH_3), 23.13 (2 CH_3), 67.24 (2 CH), 71.05 (CH), 100.53 (CH), 124.75 (2 CH) 125.58 (2 CH), 126.88 (2 CH) 127.32 (2 CH), 128.02 (2 CH), 129.21 (2 CH), 131.45 (2 CH), 134.26 (2 Cq), 139.69 (2 Cq), 142.93 (2 CqN).

Computational details

All the DFT geometry optimizations were performed by using the Gaussian16 package[86] at the B3LYP-D3(BJ)[87,88] level of theory, using the quasi relativistic LANL2DZ ECP effective core potential[89] for Zn and Mg and the 6-311g (d,p) basis set for C, H, N, Cl, and Si atoms. The reported free energies were obtained by adding the thermal correction in the gas-phase to the electronic energy in solvent (SMD model) calculated *via* single point energy calculations in benzene (ΔG_{BENZ}) at the M06 (ref. 90) level. The electronic configuration of the systems was described by using the standard triple-ζ TZVP[91] basis set with a polarization function of Ahlrichs and co-worker for the atoms of the main groups and LANL2DZ ECP pseudopotential for Zn and Mg. The $\Delta\Delta G$ values in benzene as a solvent were computed as the energy difference between the ΔGs of the Mg complexes and the ΔGs of the Zn complexes. The charge distribution in the complexes was calculated using the natural bond orbital (NBO) analysis.

General polymerization procedure

Polymerization in solution at room temperature. Polymerization experiments at room temperature were performed in a glovebox: in a 4 mL vial, the complex (15.0×10^{-6} mol for cyclic ester polymerizations, 8.0×10^{-6} mol for cyclic carbonate polymerizations) was weighed and dissolved in the desired solvent. Subsequently, the solution of the alcohol was added to the solution of the complex and left to stir for 5 min. Finally, the monomer solution was added to the reaction mixture. The polymerization was stopped using wet dichloromethane, and then the solvent was removed under reduced pressure. The polymer was washed with methanol to remove the non-reacted monomer. Most of the samples were characterized by NMR spectroscopy, MALDI-ToF mass spectrometry and/or SEC analysis both before and after washing with methanol.

Ring-opening polymerization of cyclic carbonates in bulk. In a typical procedure, the complex (8.0×10^{-6} mol) was weighed into a vial, one equivalent of the alcohol was added to the complex and left to stir for a 5 minutes, then the desired equivalents of monomer were added to the reaction mixture and the vial was immersed in a thermostated oil bath at the desired temperature. After the reaction time, the polymerization was stopped using dichloromethane and the solvent was removed under reduced pressure. In all cases, the polymers were washed with methanol, dried, and then characterized by NMR spectroscopy, MALDI-ToF mass spectrometry, and/or SEC analysis.

Procedure for methanolysis performed in DCM solution

The zinc complex (14 μmol) was transferred into a 4 mL vial, equipped with a magnetic stirrer, and was dissolved in 0.4 ml of DCM. The polymer (200 mg, 2.8 mmol) was weighed into another 4 mL vial and then dissolved in 1 ml of DCM. The solution of the complex was added to the polymer solution and left stirring for 5 minutes: after this time, methanol (56.3 μl, 1.4 mmol) was added and the reaction mixture was stirred at room temperature for the predicted time. An aliquot of the reaction mixture was transferred in a vial containing wet CD_2Cl_2 and was analyzed by ^{1}H NMR spectroscopy.

Procedure for methanolysis performed under solvent free conditions

The zinc complex (10 μmol) was weighed into a 4 mL vial then the polymer (72 mg, 1 mmol) and 1 mL of methanol were added. The solution was left stirring for the desired time before stopping and carrying out the analysis *via* ^{1}H NMR spectroscopy.

Conclusions

In this work, complexes based on zinc and magnesium, supported by N-heterocyclic carbene ligands, were synthesized and characterized by NMR spectroscopy. NMR spectra show that the amine formed during the synthesis remains in the coordination sphere of magnesium complexes, but not the zinc complexes. This different behavior was rationalized by DFT calculations. The theoretical results highlight that there is a greater positive charge on magnesium compared to zinc, which stabilizes the interaction of magnesium complexes with the amine.

Subsequently, the complexes were tested in the ring-opening polymerization of different cyclic esters and cyclic carbonates derived from renewable sources. The versatility of the complexes was demonstrated by the fact that in all cases the expected polymers were produced. These biopolymers have been characterized using different techniques, suggesting that all the complexes exert a fair control in the polymerization processes, with the zinc complexes performing slightly better than the magnesium species. Kinetic studies showed a first-order dependence on monomer concentration (L-LA, ε-CL and TMC), for all the complexes. NMR studies to identify the nature of the species formed during the reactions and polymerization experiments with different catalytic systems that mimic the species formed *in situ* have led us to hypothesize a dual activation mechanism, in which the cooperation between the alcohol adduct and the mixed metal species is essential for controlling the polymerization process. Interestingly, an order of activity of magnesium *vs.* zinc complexes depending on the monomer nature was found. Tentatively, we hypothesized that steric effects occur, however, this unexpected result prompts us to examine the reaction mechanism through in-depth computational studies that will be conducted and published in due time. Finally, studies on methanolysis reactions of PLLA samples underlined the versatility of zinc and magnesium complexes, capable of promoting also these polyester upcycling reactions, which are strategically important from the point of view of the environmental sustainability, as they close the life cycle of the polymer itself.

Data availability

The data supporting this article have been included as part of the ESI.†

Author contributions

Conceptualization: M. L.; methodology: F. T., F. S., C. L., G. S., I. R.; investigation: M. L., L. C., M. M. and F. G.; visualization: M. L., L. C., M. M. and F. G.; data curation: M. L. and L. C.; writing – review and editing: M. L., L. C., F. G. and M. M. All authors have read and agreed to the published version of the manuscript.

Conflicts of interest

There are no conflicts to declare.

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